City of Kingston Kingston Common Council
Meeting Agenda
Tuesday, December 5, 2017

A CALL TO ORDER

B PLEDGE OF ALLEGIANCE TO THE FLAG

C MOMENT OF SILENCE

D ROLL CALL

E DISPENSE WITH THE READING OF THE MINUTES OF THE PREVIOUS MEETING AND APPROVE SAME AS PREPARED AND FILED BY THE CITY CLERK.

F DISPENSE WITH THE READING OF THE GENERAL BILLS

G PUBLIC SPEAKING - *A Maximum of 30 Minutes is Allotted for this Purpose*

CLAIMS - *#17 - 39 - Auto Damage*
#17 - 40 - Auto Damage
#17 - 41 - Sewer Backup

H COMMUNICATIONS

H.1. NYS EFC WIIA Grant Application Authorization
Suggested Action: Authorize the submission of a WIIA Grant Application and commensurate funding for the WWTP Process Upgrades required by the October 25, 2016 SPDES Modification.
WIIA Grant Application Authorization_112917.pdf
General Location Map.jpg
EFC_IMG Application for 2018.pdf
WIIA-IMG Clean Water Application Instructions (2).pdf
WIIA Short Environmental Assessment Form_062217.pdf
WIIA Grant Application_062217.pdf
Smart Growth Assessment Form Revised-2017.pdf
Res 118 of 2017 MARKUP.pdf

H.2. City of Kingston 2016 Independent Audit Report
Suggested Action: Refer to Finance and Audit Committee
171121142224_0001.pdf
2016 Audit Report.pdf

H.3. J. Hansen, Superintendent Kingston Water Dept Re: Unpaid Water and Sewer charges to be Re-Levied
20171204130153.pdf

H.4. Scott Dutton Re: Support for Stop Sign - Pine Grove at Susan Street

H.5. K. Bryant, Corp Counsel Re: Washington Avenue Tunnel

20171204145407.pdf

H.6. C. Winnie, City Clerk Re: Budget Transfer Request
20171205101206.pdf

I DISPENSE WITH THE SECOND READING OF THE GENERAL BILLS AND PAY SAME

J REPORT OF THE COMMITTEES

J.1. December 2017 Proposed Legislation
20171201170804.pdf

K LOCAL LAWS - *First Reading of proposed Local Law 10 of 2017 - Cold War Exemption*

L ADJOURNMENT

M AWARDS AND PRESENTATIONS - *Presentation of plaques to outgoing Council Members*

CITY OF KINGSTON
Office of the City Engineer
rswenson@kingston-ny.gov

Ralph E. Swenson, P.E., City Engineer

Steven T. Noble, Mayor

November 29, 2017

Mr. James L. Noble, Jr.
Alderman-At-Large
President of the Common Council
Kingston City Hall
420 Broadway
Kingston, New York 12401

RE: NYS EFC WIIA Grant Application Authorization
Unlisted SEQRA Determination

Dear President Noble:

On October 25, 2016 the City of Kingston received a modified SPDES Permit for the Kingston WWTP. The new SPDES Permit discharge parameters slated to go into effect in approximately three and a half years require more stringent nitrogen effluent limitations of 5.9 and 9.0 mg/L (seasonally – summer and winter); these new parameters require major upgrades to plant processes.

Under the NYS Water Infrastructure Improvement Act (WIIA) & the NYS Intermunicipal Water Infrastructure Grant Program (IMG) $150M is available over the next three years through IMG / WIIA Grants for water quality infrastructure improvements. Under the WIIA Grant Program project funding is limited to the lesser of $5M or 25% of net eligible costs, under the IMG Grant Program project funding is limited to the lesser of $10M or 40% of net eligible costs.

Previously the Council authorized the submission of a grant application under the IMG Grant Program, see Resolution 118 of 2017, for the anticipated upgrades to WWTP plant processes in the amount of $7,900,000, including engineering fees and construction costs identified in the Arcadis Preliminary Report dated May 31, 2017. We now also request authorization to apply for grant funding under the WIIA Grant Program with similar stipulations as those enumerated within Resolution 118 of 2017.

In addition, I am also attaching a completed Short Environmental Assessment Form, and a project area map. In accordance with 6 NYCRR, Part 617, the proposed project is Unlisted. Please identify the City of Kingston as Lead Agent, and affirm my Unlisted SEQR determination.

Please forward this communication to the next regularly scheduled Finance Committee for further discussion.

Should you have any questions, do not hesitate to contact me.

Respectfully,

Ralph Swenson
City Engineer

Cc: Steven T. Noble, Mayor
John Tuey, Comptroller
Douglas Koop, Chair, Finance Committee

City Hall · 420 Broadway · Kingston, New York 12401 · (845) 334-3967· www.kingston-ny.gov


Kingston WWTP
© 2016 Google
Google Earth
41°55'13.56" N 73°58'37.61" W elev 1 ft eye alt 7036 ft

NEW YORK STATE OF OPPORTUNITY. | Environmental Facilities Corporation

ANDREW M. CUOMO
Governor
SABRINA M. TY
President and CEO

October 27, 2017

The Honorable Steven Noble
Mayor
City of Kingston
420 Broadway
Kingston, NY 12401

Re: Project No. C3-5374-08-00
WWTP Denitrification
City of Kingston
Ulster County

Dear Mayor Noble:

Thank you for your application to the NYS Water Infrastructure Improvement Act (WIIA) and Intermunicipal Water Infrastructure Grants Program (IMG) grant programs. Your community's project could not be considered during this round because the applications were incomplete. Your applications may be held for consideration only if the following item, which is required for an acceptable application in both programs, is received by the next grant application due date.

- Board Resolution Outlining Plan of Finance

Please complete and return the enclosed acknowledgement form to the NYS Environmental Facilities Corporation (EFC) no later than **November 10, 2017**.

We appreciate your interest in the WIIA and IMG programs.

Sincerely,

Timothy P. Burns, P.E.
Director
Division of Engineering & Program Management

cc.: Arcadis – Mr. A.J. Brooks

625 Broadway, Albany, NY 12207-2997 | 518.402.6924 | www.efc.ny.gov

ACKNOWLEDGMENT FORM

Please sign below and return to nyswatergrants@efc.ny.gov no later than **November 10, 2017.**

ACKNOWLEDGED:
City of Kingston
Project No. C3-5374-08-00

The City would like their application to be held and to be considered for the next round of WIIA grant.

- ☐ Yes
- ☐ No

The City would like their application to be held and to be considered for the next round of IMG grant.

- ☒ Yes
- ☐ No

Ralph Swenson (Signature of Authorized Representative)
RALPH SWANSON (Print Name)
CITY ENGINEER (Title) 11-15-17 (Date)

Short Environmental Assessment Form
Part 1 - Project Information

Instructions for Completing

Part 1 - Project Information. The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 - Project and Sponsor Information

Name of Action or Project:
City of Kingston WWTP Upgrade

Project Location (describe, and attach a location map):
City of Kingston, Garraghan Drive/Murray Street, Kingston, NY 12401

Brief Description of Proposed Action:
Kingston WWTP Process Upgrades for the purpose of compliance with the October 25, 2016 Modified SPDES Permit; nitrogen limitations of 5.9 and 9.0 mg/L (seasonally – summer and winter).

Name of Applicant or Sponsor:	
City of Kingston	Telephone: 845-334-3967
	E-Mail: SNoble@kingston-ny.gov

Address:
420 Broadway

City/PO:	State:	Zip Code:
Kingston	NY	12401

	NO	YES
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.	☑	☐
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: New York State Department of Environmental Conservation; New York State Environmental Facilities Corporation	☐	☑

3.a. Total acreage of the site of the proposed action? 2.0 acres
b. Total acreage to be physically disturbed? 0.5 acres
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? 2.0 acres

4. Check all land uses that occur on, adjoining and near the proposed action.
☐ Urban ☐ Rural (non-agriculture) ☑ Industrial ☑ Commercial ☐ Residential (suburban)
☐ Forest ☐ Agriculture ☐ Aquatic ☑ Other (specify): Municipal
☐ Parkland

7

	NO	YES	N/A
5. Is the proposed action,			
a. A permitted use under the zoning regulations?	☐	☑	☐
b. Consistent with the adopted comprehensive plan?	☐	☑	☐

	NO	YES
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?	☐	☑
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area? If Yes, identify: ________	☑	☐
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	☑	☐
b. Are public transportation service(s) available at or near the site of the proposed action?	☐	☑
c. Are any pedestrian accommodations or bicycle routes available on or near site of the proposed action?	☐	☑
9. Does the proposed action meet or exceed the state energy code requirements? If the proposed action will exceed requirements, describe design features and technologies: Not applicable	☑	☐
10. Will the proposed action connect to an existing public/private water supply? If No, describe method for providing potable water: Not Applicable	☑	☐
11. Will the proposed action connect to existing wastewater utilities? If No, describe method for providing wastewater treatment: WWTP Process Upgrades on the site of the existing treatment plant.	☐	☑
12. a. Does the site contain a structure that is listed on either the State or National Register of Historic Places?	☑	☐
b. Is the proposed action located in an archeological sensitive area?	☑	☐
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	☑	☐
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody? If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres: ________	☑	☐

14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply:

☐ Shoreline ☐ Forest ☐ Agricultural/grasslands ☐ Early mid-successional
☐ Wetland ☐ Urban ☐ Suburban

	NO	YES
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	☑	☐
16. Is the project site located in the 100 year flood plain?	☐	☑
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes,	☑	☐
a. Will storm water discharges flow to adjacent properties? ☐ NO ☐ YES		
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe: ☐ NO ☐ YES		

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	NO	YES
18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)? If Yes, explain purpose and size: ______	☑	☐
19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility? If Yes, describe: ______	☑	☐
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste? If Yes, describe: ______	☑	☐

I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE

Applicant/sponsor name: City of Kingston / Steve Noble, Mayor Date: June 1, 2017

Signature: ______

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PRINT FORM

NEW YORK STATE OF OPPORTUNITY. | Environmental Facilities Corporation

625 Broadway
Albany, New York 12207-2997
(518) 402-6924 (p)
(518) 402-6954 (f)
nyswatergrants@efc.ny.gov
www.efc.ny.gov/nyswatergrants

GRANT APPLICATION

NYS WATER INFRASTRUCTURE IMPROVEMENT ACT (WIIA)
&
NYS INTERMUNICIPAL WATER INFRASTRUCTURE GRANTS PROGRAM (IMG)
CLEAN WATER

A. APPLICANT

Name of Applicant: City of Kingston
County: Ulster
Federal I.D. Number: 14-6002267
DUNS Number: 020673166

Authorized Representative: Steve Noble
Phone: 845-334-3902
Title: Mayor
Email: mayor@kingston-ny.gov
Mailing Address: 420 Broadway
Kingston, NY 12401

Daily Contact Name: Ralph Swenson
Phone: 845-334-3967
Title: City Engineer
Email: rswenson@kingston-ny.gov
Company:

Engineering Consultant: A.J. Brooks
Phone: 1-518-250-7374
Title: Staff Water Engineer
Email: a.j.brooks@arcadis.com
Company: Arcadis of NY

B. GENERAL INFORMATION

Project Name: City of Kingston WWTP DENITRIFICATION
Project Location: City of Kingston Wastewater Treatment Plant
41.9201 Latitude -73.9773 Longitude
Service Area: City of Kingston
Population of Project Area: 24,000
SPDES Permit Number: NY0029351
Waterbody affected by project: Rondout

Legislative Districts
(you may include two districts)

US Congress	NY Senate	NY Assembly
NY-19	46	103

Estimated Total Project Cost: $ 7,900,000
Design Start Date: 9/1/17 (☑T / ☐A)
Construction Start Date: 5/1/18 (☑T / ☐A)
Construction End Date: 5/1/19 (☑T / ☐ A)

Check "T" for a target date and "A" for an actual date

C. GRANT CATEGORY

Identify the grant program(s) for which you are applying by checking the appropriate box or boxes below.

☑ New York Water Infrastructure Improvement Act (WIIA) grant

☑ Intermunicipal Water Infrastructure Grants Program (IMG) grant

D. PROJECT INFORMATION

1. Provide a brief description of your project.

In October 2016 the NYS DEC renewed the City of Kingston's SPDES Permit. The SPDES Permit was modified to include seasonal nitrogen discharge limits of 5.9 and 9.4 mg/L, as opposed to an ultimate oxygen demand (UOD) limit that was previously required. In order to meet the discharge limitations set forth in the City's SPDES Permit, the conventional activated sludge process is required to be upgraded for biological nutrient removal. The existing wastewater treatment plant does not have significant available land for additional tankage and the City has determined the most cost effective solution to meet seasonal nitrogen discharge limits is to convert the existing conventional activated sludge process to a ballasted floc activated sludge process to raise SRTs and maintain settleability of secondary sludge. Construction at the wastewater treatment plant includes replacement of the fine bubble aeration system with jet aeration/mixing, new turbo blowers and aeration controls, modification of in-tank baffles and gates, construction of a new +/-1,000 square foot structure to enclose new equipment for the ballasted floc activated sludge process, a 30 foot tall storage silo, and miscellaneous site improvements. The results of this Project will be significant reduced loadings for BOD and total Nitrogen for the Rondout Creek.

2. If applying for an IMG grant, describe how the project involves more than one municipality and is the best solution for an identified water quality problem.

The City of Kingston has two intermuncipal agreements in place with neighboring communities. Per each agreement, City is required to treat up to 646,000 gpd from the Town of Esopus and 75,000 gallons per day from the Town of Ulster. Jointly, wastewater from these three communities is treated at the City's wastewater treatment plant and the Town's of Esopus and Ulster comprise about 10 percent of the total flow. If they were required to construct and operate two smaller wastewater treatment plants, there would be a significant increase in costs from the two communities for BNR for nitrogen control. The use of the City's wastewater treatment plant offers significant savings.

3. Is the project required to be undertaken by an executed enforcement instrument or a SPDES permit (e.g., notice of violation, consent order, judicial order, compliance schedule, DEC-approved Long Term Control Plan)?

☐ No ☑ Yes   If yes, indicate the type, date of execution and reason for the enforcement action as it relates to the project. Please submit a copy of the executed enforcement instrument to EFC with the grant application.

The NYS DEC renewed the City's SPDES Permit in October 2016 to include seasonal effluent nitrogen limits of 5.9 and 9.4 mg/L as ammonia. The wastewater treatment plant currently cannot meet this effluent nitrogen limit. In order to meet this limit the NYS DEC has provided a 30 month schedule of compliance. An approvable engineering report is required to be submitted to the NYS DEC within 18 months or before April 2018. The City completed the engineering report nearly one year prior to the this date. Approvable plans, specifications, and construction schedule are to be submitted to the NYS DEC within 12 months of securing approval of the engineering report.

While a ballasted floc activated sludge process is not required by the City's LTCP for CSOs, the process will improve effluent quality during wet weather the the magnetite addition with a specific gravity of 5.1. The high specific gravity greatly increases the settleability of the sludge.

4. Describe the project's community impacts, including but not limited to public support for the project, critical community resources served by this project, or economic development impacts.

The discharge of the City's wastewater treatment plant is to the Rondout Creek, a tributary of the Hudson River. The Rondout is a popular for recreation (boating, fishing, kayaking, etc.) for the City of Kingston and the Town of Esopus. This reach of the Rondout is a location that the City has been promoting redevelopment and many cultural activities. There are a significant number of restaurants in this vicinity. By reducing the nitrogen discharged from the City's wastewater treatment plant the nutrient loading in the Rondout will decrease and reduce the chance for algal blooms that seem to be more prevalent with climate change. This project will also create new jobs at the wastewater treatment plant for both temporary construction and skilled operations staff, thus having a positive impact on the economy.

5. Is the project identified in any regional planning initiatives (e.g. a Comprehensive Plan, Regional Planning document, Waterfront Revitalization Plan, Watershed Plan or Estuary Plan)?

☑ No ☐ Yes   If yes, describe below and attach applicable documents:

Not applicable

6. Does the project require easements or land acquisition?

☐ No ☑ Yes If yes, describe the status of obtaining the easements and/or land:

The City Council has approved the purchase of the property adjacent to the WWTP contingent upon completion of a due diligence process.

7. Does the project require debt exclusion approval from the Office of the State Comptroller (OSC)?

☑ No ☐ Yes If yes, describe the status:

Not applicable

8. Check the applicable box(es) to indicate the financing plan for the balance of the project following a grant award.

- ☐ Municipal Contributions Amount: $ ____________
- ☐ Interfund Borrowing Amount: $ ____________
- ☐ CWSRF Financial Assistance
  - ☐ The project is currently listed on the 2017 Intended Use Plan (IUP) CWSRF Project Number: ________
  - ☐ A project listing for the 2018 IUP will be submitted

Note: If awarded an IMG grant, the project will not be eligible for subsidized financing through EFC and will only be eligible to apply for short-term market rate financing.

- ☑ Non-EFC Debt/Grants

Type (BAN*, Bonds, Grants, other)	**Amount**	**Status (provide dates)** Applied	Approved	Received
Municipal Bond	$4,740,000	9/1/2017		

*Please indicate if the BAN is callable, and if so, provide call date.

- ☐ Other Funding, please describe

9. Has the Applicant submitted timely annual financial reports to OSC for each of the last three years?

☐ No ☑ Yes If no, please explain:

Not applicable

E. TOTAL PROJECT BUDGET

Please add line items to the budget as needed. If you have additional questions, please call EFC or refer to the Intended Use Plan.

Category	Estimated Costs
1. Construction Costs	
Contract 1	$ 5,530,000
Contract 2	$ 380,000
Contract 3	$ 90,000
Contract 4	$
2. Engineering Costs	
a. Planning	$ 35,000
b. Design	$ 250,000
c. Construction	$ 365,000
d. Other	$
3. Other Expenses a. Local Counsel	$ 12,500
b. Bond Counsel	$ 12,500
c. Work Force	
- Technical	$ 12,500
- Administrative	$ 12,500
d. Fiscal Services	$
e. Net Interest	$
f. Miscellaneous (please describe)	$
	$
	$
	$
4. Equipment	$
5. Land Acquisition	$ 250,000
6. Contingencies	$ 1,025,000
7. Total Project Costs (sum lines 1-6)	$ 7,900,000
8. Less: Other Sources of Funding	$
9. Total Financial Assistance Requested (line 7 minus line 8)	$
10. SRF Issuance Costs[1]. Percentages should be applied to line 9.	
a. Direct Expenses (1.0%)	$
b. State Bond Issuance Charge (.84%)	$
11. TOTAL (sum of lines 9,10a, and 10b)	$

[1] Applicable to long-term non-hardship financings.

F. REQUIRED DOCUMENTS

1. For All Applications: The following documents are **required and must be submitted with the application** if not previously submitted to EFC. If any of these items are unavailable, do not continue with the application at this time.

Enclosed	Previously Submitted to EFC	
☑	☐	**Engineering Report**
☑	☐	**Smart Growth Assessment Form**
☑	☐	**Environmental Review Determination**
☑	☐	**SHPO Project Review Determination Letter**
☑	☐	**Bond Resolution (Certified) and/or Board Resolution (Certified)**

2. As Applicable: the following documents are **required and must be submitted with the application** if not previously submitted to EFC. If any of these items apply to your project and are unavailable, do not continue with the application at this time.

Enclosed	Previously Submitted to EFC	Not Applicable	
☑	☐	☐	**Intermunicipal Agreement (Executed and Current)** *(Required if applying for IMG)*
☐	☐	☑	**CWSRF Financing Application** *(Required if applying for WIIA or IMG grants with CWSRF Financing)*
☐	☐	☑	**Sewer District, District Extension Formation or Other OSC Approvals**

G. SUPPORTING DOCUMENTS

Submit any documents that demonstrate support for and/or readiness to proceed with the project.

H. ACKNOWLEDGEMENTS

1. Upon submission of this application, you acknowledge your responsibility to comply with New York State Executive Law, Article 15-A with respect to Minority and Women's Business Enterprise – Equal Employment Opportunity requirements, and to the State Smart Growth Public Infrastructure Policy Act. By checking this box, you acknowledge that you are aware of these obligations and that you are authorized to make this acknowledgement on behalf of the applicant.

   IMG MWBE goals with CWSRF financial assistance - 24%
   WIIA MWBE goals with CWSRF financial assistance - 23%
   MWBE goals for WIIA or IMG Grant-Only applicants - 30%
   EEO goals for all applicants - % varies by County (http://www.efc.ny.gov/mwbe)

   ☑ Acknowledged

2. In order to receive financing assistance through the CWSRF, you will need to meet various other New York State and federal requirements. These requirements include, but are not limited to, Davis-Bacon Federal Prevailing Wage and related acts, and American Iron and Steel requirement. Recipients of SRF financial assistance will be required to perform certain actions to verify compliance and ensure certain provisions are contained in all contracts and subcontracts. By checking this box, you acknowledge that you are aware of this requirement and that you are authorized to make this acknowledgement on behalf of the Applicant.

   ☑ Acknowledged

Please refer to the current IUP for your responsibilities under these programs.

I. SIGNATURE FOR GRANT APPLICATION

CERTIFICATION: On behalf of the Applicant, and in accordance with the board resolution by

Common Council of the City of Kingston
(governing body of municipal applicant)

authorizing me to do so, I apply for a WIIA grant or IMG grant for the project described in this application. By signing this application, I certify and agree on behalf of the Applicant and its governing body that all of the information contained in this application, in other statements and exhibits attached hereto or referenced herein, and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving a WIIA grant or IMG grant for the project(s) described herein, are true, correct and complete to the best of my knowledge and belief.

I further agree on behalf of the Applicant that, if CWSRF Assistance is provided for the project described in this application, the Applicant shall comply with all applicable provisions of the Federal Water Pollution Control Act, 33 U.S.C. §§ 1251 et. Seq. Chapter 565 of the Laws of New York of 1989, 6 NYCRR Part 649 and 21 NYCRR Part 2602, as amended, regarding CWSRF Assistance.

I further agree that the Applicant will comply with the provisions of the Minority and Women's Business Enterprise – Equal Employment Opportunity requirements of Article 15-A of the New York State Executive Law, and will maintain such records and take such actions necessary to demonstrate such compliance throughout the construction of the project.

Further, I acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

(Signature of Authorized Representative) 6/22/17
(Date)

Steve Noble, Mayor
(Name and Title)

CITY OF KINGSTON
(Applicant)

NEW YORK STATE OF OPPORTUNITY. | Environmental Facilities Corporation

Smart Growth Assessment Form

New York State Revolving Funds (CWSRF & DWSRF)

This form should be completed by the applicant's project engineer or other design professional.[1] Please refer to EFC's "Smart Growth Guidance".[2]

Applicant Information

SRF Applicant: City of Kingston SRF No.:_______

Project Name: WWTP Denitrification Upgrade Project

Is project construction complete? ☐Yes, date:________ ☑No

Project Summary: (provide a short project summary in plain language including the location of the area the project serves)

Section 1- Screening Questions

1. Prior Approvals

1A. Has the project been previously approved for SRF financing? Yes ☐ No ☑

1B. If so, what was the SRF project number(s) for the prior approval(s)? SRF No.: _______

Is the scope of the project substantially the same as that which was approved? Yes ☐ No ☐

IF THE PROJECT WAS PREVIOUSLY APPROVED BY EFC'S BOARD AND THE SCOPE OF THE PROJECT HAS NOT MATERIALLY CHANGED, THE PROJECT IS **NOT** SUBJECT TO SMART GROWTH REVIEW. SKIP TO SIGNATURE BLOCK.

2. New or Expanded Infrastructure

2A. Does the project add new wastewater collection/new water mains or a new wastewater treatment system/water treatment plant? Yes ☐ No ☑

Note: A new infrastructure project adds wastewater collection/water mains or a wastewater treatment/water treatment plant where none existed previously

2B. Will the project result in either: Yes ☐ No ☑

An increase of the State Pollution Discharge Elimination System (SPDES) permitted flow capacity for an existing treatment system;

OR

An increase such that a NYSDEC water withdrawal permit will need to be obtained or modified, or result in the NYSDOH approving an increase in the capacity of the water treatment plant?

Note: An expanded infrastructure project results in an increase of the SPDES permitted flow capacity for the wastewater treatment system, or an increase of the permitted water withdrawal or the permitted flow capacity for the water treatment system.

[1] If project construction is complete and the project was not previously financed through the SRF, an authorized municipal representative may complete and sign this assessment.

[2] Available at the Smart Growth Website

IF THE ANSWER IS "NO" TO BOTH "2A" AND "2B" ON THE PREVIOUS PAGE, THE PROJECT IS NOT SUBJECT TO FURTHER SMART GROWTH REVIEW. SKIP TO SIGNATURE BLOCK.

3. Court or Administrative Consent Orders

3A. Is the project expressly required by a court or administrative consent order? Yes ☐ No ☑

3B. If so, have you previously submitted the order to NYS EFC or DOH? If not, please attach. Yes ☐ No ☑

Section 2- Additional Information Needed for Relevant Smart Growth Criteria

EFC has determined that the following smart growth criteria are relevant for SRF projects and that projects must meet each of these criteria to the extent practicable:

1. Uses or Improves Existing Infrastructure

1A. Does the project use or improve existing infrastructure? Yes ☑ No ☐

Please Describe:

2. Serves a Municipal Center

Projects must serve an area in either 2A, 2B or 2C to the extent practicable.

2A. Does the project serve an area **limited** to one or more of the following municipal centers?

		Yes	No
i.	A city or incorporated village	☑	☐
ii.	A central business district	☑	☐
iii.	A main street	☑	☐
iv.	A downtown area	☑	☐
v.	A Brownfield Opportunity Area (for more information, go to the Department of State Website & search "Brownfield")	☑	☐
vi.	A downtown area of a Local Waterfront Revitalization Program Area (for more information, go to the Department of State Website & search "Waterfront Revitalization")	☑	☐
vii.	An area of transit-oriented development	☐	☑
viii.	An Environmental Justice Area (for more information, go to DEC'S Page of County Environmental Justice Area Maps)	☑	☐
ix.	A Hardship/Poverty Area	☑	☐

Please Describe All Selections:

2B. If the project serves an area located outside of a municipal center, does it serve an area located adjacent to a municipal center which has clearly defined borders, designated for concentrated development in a municipal or regional comprehensive plan and exhibit strong land use, transportation, infrastructure and economic connections to an existing municipal center?

Yes ☐ No ☐

Please Describe:

2C. If the project is not located in a municipal center as defined above, is the area designated by a comprehensive plan and identified in zoning ordinance as a future municipal center?

Yes ☐ No ☐

Please describe and reference applicable plans:

3. Resiliency Criteria

3A. Was there consideration of future physical climate risk due to sea-level rise, storm surge, and/or flooding during the planning of this project?

Yes ☐ No ☐

Please Describe:

Signature Block: By entering your name in the box below, you agree that you are authorized to act on behalf of the applicant and that the information contained in this Smart Growth Assessment is true, correct and complete to the best of your knowledge and belief.

Applicant Name: City of Kingston

Phone Number: (845) 334-3967

Name & Title of Project Engineer or Design Professional or Authorized Municipal Representative:
Ralph Swenson, City Engineer

Signature: Ralph Swenson Digitally signed by Ralph Swenson
DN: cn=Ralph Swenson, o=City of Kingston, ou=Office of the City Engineer, email=rswenson@kingston-ny.gov, c=US
Date: 2017.06.21 13:38:09 -04'00'

Date: 06212017

21

NYS WATER INFRASTRUCTURE IMPROVEMENT ACT (WIIA) GRANT

RESOLUTION 118 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE MAYOR TO SUBMIT AN APPLICATION TO THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION (EFC) FOR AN ~~INTERMUNICIPAL GRANT (IMG) PROGRAM~~ GRANT IN THE AMOUNT OF ~~\$7,900,000~~ \$5,000,000 FOR THE WWTP UPGRADES NECESSARY TO MAINTAIN COMPLIANCE WITH THE OCTOBER 25, 2016 MODIFIED SPDES DISCHARGE PERMIT, AND TO EXECUTE ANY AND ALL RELATED DOCUMENTS.

Sponsored by: Finance and Audit Committee

WHEREAS, the City of Kingston is eligible to apply to the EFC under the ~~Intermunicipal Grant Program (IMG)~~ NYS WIIA GRANT PROGRAM for funds in an amount of ~~\$7,900,000~~ \$5,000,000 for the purpose of making upgrades to the WWTP to meet new SPDES Permit discharge parameters slated to go into effect in approximately four years which require more stringent nitrogen limitations of 5.9 and 9.0 mg/L (seasonally – summer and winter); and

WHEREAS, this application, should the grant be awarded, requires a ~~60%~~ 75% match in the amount of ~~\$4,740,000~~ \$3,750,000 to be provided by in-kind, force account funds, additional grants, donations and, if necessary, bonding to be requested; and

WHEREAS, there are specific requirements and regulations governing the expenditure of these funds; and

WHEREAS, the action is categorized under 6 NYCRR, Part 617.4 as Unlisted.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. Administration of all funds under this grant will be in accordance with all terms and conditions contained in guidelines provided by NYS EFC under the Clean Water Infrastructure Act of 2017.

SECTION 2. The Mayor of the City of Kingston is hereby authorized and directed to file an application for funds from NYS EFC for an ~~IMG~~ WIIA Grant in the amount of ~~\$7,900,000,~~ \$5,000,000 and upon approval of said request to enter into and execute an agreement, and any and all related documents.

SECTION 3. The Common Council of the City of Kingston hereby determines that the Proposed WWTP Upgrades is an Unlisted action in accordance with 6 NYCRR Section 617 .4, the City of Kingston Common Council is hereby designated Lead Agent for the purpose of environmental significance, and herein determines no significant environmental impact.

SECTION 4. This resolution shall take effect immediately.

Submitted to the Mayor this 7th day	Approved by the Mayor this 8th day
of June ~~2017~~ 2018	of June ~~2017~~ 2018
Carly Williams, City Clerk	Steven T. Noble, Mayor

Adopted by Council on June 6 ~~2017~~ 2018

CITY OF KINGSTON
Office of the Comptroller
comptroller@kingston-ny.gov

John Tuey, Comptroller | Steven T. Noble, Mayor

November 21, 2017

Alderman at Large James Noble
City of Kingston Common Council
420 Broadway
Kingston, NY 12401

RE: 2016 Independent Audit Report

Dear Alderman at Large Noble,

The City has received its independent audit report for calendar year 2016 from RBT CPAs LLP. Please refer to the City's Finance Committee for discussion. Ten copies of the audit report are attached.

Sincerely,

John R. Tuey
Comptroller, City of Kingston

cc: Mayor Steven T. Noble

City Hall · 420 Broadway · Kingston, New York 12401 · (845) 334-3935· Fax (845) 334-3944 · www.kingston-ny.gov

FINANCIAL REPORT

Audited

CITY OF KINGSTON, NEW YORK

December 31, 2016

Audited for:

Members of the Common Council

CITY OF KINGSTON, NEW YORK

Audited by:

RBT CPAs, LLP
2678 South Road, Suite 101
Poughkeepsie, NY 12601
(845) 485-5510

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INDEPENDENT AUDITOR'S REPORT

To the Mayor and
Members of the Common Council
City of Kingston, New York
420 Broadway
Kingston, New York, 12401

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities, business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the City of Kingston, New York, as of and for the year ended December 31, 2016, and the related notes to the financial statements, which collectively comprise the City of Kingston, New York's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements.

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We did not audit the financial statements of the City's Water Fund, as shown on the Statement of Net Position and Statement of Revenues, Expenses, and Changes in Net Position – Proprietary Funds. Those statements were audited by other auditors, whose report has been furnished to us, and our opinion, insofar as it relates to the amounts included for the Water Fund, is based solely on the report of the other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

11 Racquet Road
Newburgh, NY 12550
T: (845) 567-9000
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An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, based on our audit and the report of the other auditors, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the City of Kingston, New York, as of December 31, 2016, and the respective changes in financial position and, where applicable, cash flows thereof for the year then ended in accordance with accounting principle generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis, budgetary comparison information, other post-employment benefits plan schedule of funding progress, schedule of proportionate share of the net pension liability, and schedule of employer contributions on pages 4-15 and 54-57 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the City of Kingston, New York's basic financial statements. The schedule of expenditures of federal awards and selected state awards is presented for purposes of additional analysis as required by Title 2, U.S. *Code of Federal Regulations,* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance) and is not a required part of the basic financial statements.

The schedule is the responsibility of management and were derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards, and selected state awards is fairly stated, in all material respects, in relation to the basic financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards,* we have also issued our report dated October 2, 2017 on our consideration of the City of Kingston, New York's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City of Kingston's internal control over financial reporting and compliance.

Restatement

Management has restated the accompanying financial statements as described in Note K.

RBT CPAs, LLP

Poughkeepsie, New York
October 2, 2017, except as to Note K, which is as of November 3, 2017

City of Kingston
New York 12401

Office of the Comptroller

CPO Box 1627 Telephone: (845)331-0080
City Hall, 420 Broadway Fax: (845)334-3944

Management's Discussion and Analysis

The discussion and analysis of the City of Kingston's financial performance provides an overview of the City's financial activities for the fiscal year ended December 31, 2016. Please read it in conjunction with the City's financial statements, which begin on page 23. The management discussion and analysis for component units are presented in the component units' own separately issued financial reports.

Financial Highlights

The City's net position decreased as a result of this year's operations. The net assets of our business-type activities increased by $11,207 or 0.09% and net assets of our governmental activities decreased by $1,778,771or 19.26%.

During the year the City had expenses that were $5.0 million more than the $44.3 million generated in tax and other revenues for governmental programs. This is compared to last year when expenses exceeded revenue by $4.86 million.

The revenues for the City's business-type activities increased by $213,334 or 2.26%. Expenses decreased by $96,779 or 1.04%.

The cost of all the City's programs increased by $5,345,941.

Using This Annual Report

This annual report consists of a series of financial statements. The Statement of Net Position on pages 23 – 24 and the Statement of Activities and Changes in Net Position on page 25 provide information about the activities of the City as a whole and present a longer-term view of the City's finances. Fund financial statements start on page 25. For governmental activities, these statements tell how these services were financed in the short term as well as what remains for future spending.

Fund financial statements also report the City's operations in more detail than the government-wide statements by providing information about the City's most significant funds.

Reporting the City as a Whole

One of the most important questions asked about the City's finances is, "Is the City better off or worse off as a result of the year's activities?" The Statement of Net Assets and the Statement of Activities and Changes in Net Position report information about the City as a whole and about its activities in a way that helps answer this question. These statements include all assets and liabilities using the accrual basis of accounting, which is similar to the accounting used by most private-sector companies. All of the current year's revenues and expenses are taken into account regardless of when cash is received or paid.

These two statements report the City's net assets and changes in them. You can think of the City's net assets - the difference between assets and liabilities - as one way to measure the City's financial health, or financial position. Over time increases or decreases in the City's net assets are one indicator of whether its financial health is improving or deteriorating. You will need to consider other nonfinancial factors, however, such as changes in the City's property tax base and the condition of the City's capital assets (roads, buildings, water, and sewer lines) to assess the overall health of the City.

In the Statement of Net Position and the Statement of Activities and Changes in Net Position we divide the City into three kinds of activities.

Governmental activities - Most of the City's basic services are reported here, including the police, fire, public works, community development and parks department and general administration. Property taxes, sales tax, franchise fees, charges for services and state and federal grants finance most of these activities.

Business - type activities - The City charges a fee to customers to help it cover all or most of the cost of certain services it provides. The City's water and sewer system are reported here.

Component units - The City included two separate legal entities in its report - the Kingston Local Development Corp., and Kingston - Ulster Empire Zone for 2011. Although legally separate, these "component units" are important because the City is financially accountable for them, or they are significant to the City's activities. The EZ is not included as a component unit for 2012 as it is no longer considered significant.

Reporting the City's Most Significant Funds

Our analysis of the City's major funds begins on page 11. The fund financial statements begin on page 22 and provide detailed information about the most significant funds - not the City as a whole. Some funds are required to be established by State law and by bond covenants. However the City Council establishes many other funds to help it control and manage money for particular purposes (such as capital projects) or to show that it is meeting legal responsibilities for using certain grants and other money (like grants received from the U.S. Department of Housing and Urban Development). The City's two kinds of funds - governmental and proprietary - use different accounting approaches.

Governmental funds - Most of the City's basic services are reported in governmental funds, which focus on how money flows into and out of those funds and the balances left at year-end that are available for spending. These funds are reported using an accounting method called modified accrual accounting which measures cash and all other financial assets that can readily be converted to cash. The governmental fund statements provide a detailed short-term view of the City's general government operations and the basic services it provides. Governmental fund information helps you determine whether there are more or fewer financial resources that can be spent in the near future to finance the City's programs. We describe the relationship (or differences) between governmental activities (reported in the Statement of Net Assets and the Statement of Activities and Changes in Net Position) and governmental funds in a reconciliation following the fund financial statements.

Proprietary funds - When the City charges customers for the services it provides - whether to outside customers or to other units of the City - these services are generally reported in proprietary funds. Proprietary funds are reported in the same way that all activities are reported in the Statement of Net Position and the Statement of Activities. In fact, the City's enterprise funds (a component of proprietary funds) are the same as the business- type activities we report in the government -wide statements but provide more detail and additional information, such as cash flows, for proprietary funds.

The City as a Whole

The City's total net position changed from a year ago, decreasing from a positive $3,394,703 to $1,262,643 or 63%. In contrast, from 2014 to 2015, net assets decreased by 27.0%. Our analysis on page 13 focuses on the net position (Table 1) and changes in net assets page 15 (Table 2) of the City's governmental and business-type activities.

Condensed Financial Statement and Analysis
Table 1

	Governmental Activities				Business-Type Activities				Total Primary Government			
	2016		2015		2016		2015		2016		2015	
Current & Other Assets	$	23,130,506	$	22,248,796	$	8,102,083	$	8,874,042	$	31,232,589	$	31,122,838
Capital Assets		44,198,463		41,751,179		36,634,874		32,237,865		80,833,337		73,989,044
Total Assets		67,328,969		63,999,975		44,736,957		41,111,907		112,065,926		105,111,882
Deferred Outflow of Resources		12,142,130		1,627,861		1,756,099		549,756		13,898,229		2,177,617
Total Assets and Deferred Outflow of Resources		79,471,099		65,627,836		46,493,056		41,661,663		125,964,155		107,289,499
Long Term Liabilities		73,121,371		61,485,517		24,888,797		22,795,109		98,010,168		84,280,626
Other Liabilities		15,417,104		13,184,733		8,682,934		6,103,995		24,100,038		19,288,728
Total Liabilities		88,538,475		74,670,250		33,571,731		28,899,104		122,110,206		103,569,354
Deferred Inflows of Resources		1,945,256		191,447		281,554		133,995		2,226,810		325,442
Total Liabilities and Deferred Inflows of Resources		90,483,731		74,861,697		33,853,285		29,033,099		124,337,016		103,894,796
Net Position												
Invested in Capital Assets, net of Debt		28,313,543		24,936,418		12,724,069		13,521,582		41,037,612		38,458,000
Restricted		120,065		186,673		-		-		120,065		186,673
Unrestricted(deficit)		(39,446,240)		(34,356,952)		(448,794)		(893,018)		(39,895,034)		(35,249,970)
Total Net Position	$	(11,012,632)	$	(9,233,861)	$	12,275,275	$	12,628,564	$	1,262,643	$	3,394,703

Net position of the City's governmental activities decreased by 19.26% (Negative $11.1 million compared to $9.2 million). Unrestricted net position represents the part of net position that can be used to finance day-to-day operations without constraints established by debt covenants, enabling legislation, or other legal requirements. The prior year deficit in unrestricted net position of $34,356,952 is now a deficit of $ $39,446,240

The deficit in unrestricted governmental net position is mainly due to the requirement to accrue postemployment benefits under GASB Statement No. 45. The total accrued liability for postemployment benefits was $48,697,452 as of December 31, 2016.

The net position of the business-type activities increased by 0.09% ($12.628 million to $12.639 million) in 2016 mainly due to increases in charges for services. This was partially offset by the requirement to accrue additional postemployment benefits of $1,475,181 under GASB Statement No. 45. The total accrued liability for postemployment benefits was $6,782,548 as of December 31, 2016. Even though the business-type activities have positive net position they cannot be used to offset governmental activities. The City generally can only use these net assets to finance the continuing operations of the water and sewer departments.

Changes in Net Assets
Table 2

	Governmental Activities		Business-Type Activities		Total Primary Government	
Revenues	2016	2015	2016	2015	2016	2015
Program Revenues						
Charges for Services	$ 3,091,358	$ 2,168,499	$ 9,562,809	$ 9,653,501	$ 12,654,167	$ 11,822,000
Operating Grants & Contrib.	490,231	663,563	-	-	490,231	663,563
Capital Grants & Contrib.	4,363,998	4,467,518	-	-	4,363,998	4,467,518
Total Program Revenues	7,945,587	7,299,580	9,562,809	9,653,501	17,508,396	16,953,081
General Revenues						
Property Taxes	18,497,686	16,679,155	-	-	18,497,686	16,679,155
Other Taxes	13,940,953	13,344,805	-	-	13,940,953	13,344,805
State Aid	3,725,033	3,092,087	-	-	3,725,033	3,092,087
Other General Revenues	265,600	1,633,154	54,655	5,971	320,255	1,639,125
Total General Revenues	36,429,272	34,749,201	54,655	5,971	36,483,927	34,755,172
Total Revenues	44,374,859	42,048,781	9,617,464	9,659,472	53,992,323	51,708,253
Program Expenses						
General Government	5,901,866	4,921,781	-	-	5,901,866	4,921,781
Public Safety	26,094,485	23,449,361	-	-	26,094,485	23,449,361
Health	68,623	35,864	-	-	68,623	35,864
Trasportation	6,175,840	3,825,433	-	-	6,175,840	3,825,433
Economic Assist. & Opp.	225,525	311,686	-	-	225,525	311,686
Culture & Recreation	2,851,488	2,975,635	-	-	2,851,488	2,975,635
Home & Community Serv.	4,638,797	4,990,175	-	-	4,638,797	4,990,175
Employee Benefits, retirees	2,962,134	3,428,303	-	-	2,962,134	3,428,303
Interest Expense	336,246	431,248	-	-	336,246	431,248
Water	-	-	4,264,391	4,319,537	4,264,391	4,319,537
Sewer	-	-	5,360,750	4,845,181	5,360,750	4,845,181
Total Expenses	49,255,004	44,369,486	9,625,141	9,164,718	58,880,145	53,534,204
Change in Net Assets before transfers	(4,880,145)	(2,320,705)	(7,677)	494,754	(4,887,822)	(1,825,951)
Transfers	-	(70,663)	-	70,663	-	-
Increase (decrease) in net assets	$ (4,880,145)	$ (2,391,368)	$ (7,677)	$ 565,417	$ (4,887,822)	$ (1,825,951)

The City's total revenues increased by $2,284,058 or 4.42%. The total cost of all programs and services increased by $5,345,941 or 9.99%. The following analysis separately considers the operations of governmental and business-type activities.

Governmental Activities

Revenues for the City's governmental activities increased by $2,326,066 or 5.53%, while total expenses increased by $4,885,518 or 11.01%, which results in a decrease in net assets of $2.6 million for 2016 compared to a $2.3 million decrease in 2015.

The cost of all governmental activities this year was $49.26 million compared to $44.37 million last year. However, as shown in Table 2, the amount that our taxpayers ultimately financed for these activities through City taxes was only $18,497,686 because some of the cost was paid by those who directly benefited from the programs, ($3,091,358) or by other governments and organizations that subsidized certain programs with grants and contributions ($4,854,229) and other general revenue ($17,931,574). Overall, the City's governmental program revenues increased $646,007 or 8.85%. The City paid for the remaining "public benefit" portion of governmental activities with $36.43 million in taxes and with the other revenues, such as general state aid.

Business-type Activities

Revenues of the City's business-type activities (see Table 2) decreased from $9.65 million to $9.61 million or 0.43% and expenses increased from $9.16 million to $9.63 million or 2.94%. The most significant factor driving the decrease in net assets was the cost of postemployment benefit expenses.

Details on changes relating to the Water Department may be found in the management discussion and analysis that is presented in the Department's own separately issued financial reports.

The City's Funds

As the City completed the year, its governmental funds as presented in the Balance Sheet on page 21 reported a combined fund balance of $1,648,918 which is $1,936,929 lower than last year's total. The capital expenditures create new assets for the City and are reported in the Statement of Net Position.

General Fund Budgetary Highlights

Over the course of the year, the Council revised the City budget several times. These budget amendments include the following:

1. Modifications required to reflect new grants which were obtained and to authorize expenditures for same.

2. Various modifications throughout the year for unanticipated expenditures.

Even with these adjustments, the actual charges to appropriations (expenditures) of $39,217,209 were $1,727,549 less than the final budget amount. This is most significantly due to Public Safety expenditures being under-budget by $837,677 and General Government Support expenditures being under-budget by $391,086

Capital Assets

At the end of 2016, the City had $80,833,337 invested in a broad range of capital assets, including buildings, roads, park facilities, water and sewer lines and equipment for police, fire, public works departments. (See Table 3 on page 19) This amount represents a net increase (including additions and deductions) of $6,844,203 or 9.25% over the last year.

This year's major additions included:

<u>General Fund</u>	
Washington Avenue storm sewer remediation paid with bonding.	$561,098
Various equipment purchases	$1,331,096
Road improvements using CHIPS funding.	$532,877
Greenkill Ave Bridge Improvements paid with grants and bonding	$1,197,280
<u>Water Fund</u>	
Water system improvements paid with bonding and local share.	$ 3,305,370
<u>Sewer Fund</u>	
Sewer system improvements paid with grants, bonding, and local share.	$2,387,668

The City's 2017 general fund capital budget anticipates a spending level of $660,000 which will be financed with grants and long term bonding. There was $0 of anticipated spending at the time the 2016 sewer fund capital budget was adopted. Most sewer infrastructure projects are approved outside of the annual capital budget process. The 2017 water fund capital budget is approximately $ 3,200,000 and will be financed by a combination of bonding and budget appropriations. More detailed information about the City's capital assets is presented in Note 3 to the financial statements.

Capital Assets at Year End
(Net Depreciation)
Table 3

	Governmental Activities		Business-Type Activities		Totals	
	2016	2015	2016	2015	2016	2015
Land	$ 192,500	$ 192,500	$ 118,729	$ 118,729	$ 311,229	$ 311,229
Construction in Progress	2,534,099	5,172,330	3,764,586	4,300,103	6,298,685	9,472,433
Buildings	6,977,720	7,265,571	4,929,335	3,615,794	11,907,055	10,881,365
Improvements	9,949,586	8,742,674	4,429,274	4,919,176	14,378,860	13,661,850
Infrastructure	19,319,190	15,615,128	21,785,283	18,012,755	41,104,473	33,627,883
Machinery & Equip.	5,225,368	4,763,066	1,607,667	1,271,308	6,833,035	6,034,374
Total	$ 44,198,463	$ 41,751,269	$ 36,634,874	$ 32,237,865	$ 80,833,337	$ 73,989,134

Debt
At year-end, the City had $38,261,386 in bonds and notes outstanding versus $37,246,724 last year – a decrease of 2.72%.

On April 2, 2014, S&P raised the rating on the City's long-term rating and underlying rating (SPUR) one notch from A+ to AA-, with a stable outlook. Some of the factors cited in the decision for the upgrade include strong budgetary flexibility, very strong liquidity, adequate overall budget performance, a strong debt and contingent liabilities profile, and strong management conditions with good financial management practices.

On March 6, 2016, S&P assigned its AA- long-term rating to the City's $8,673,407 public improvement bonds - 2016. At the same time, S&P affirmed the AA- long-term rating and underlying rating on the city's outstanding general obligation bonds. The outlook is stable. Some of the factors cited in the decision include strong budgetary flexibility, very strong liquidity, strong management conditions, strong debt and contingent liabilities profile, and strong institutional framework score.

On July 27, 2016, S&P assigned its AA- long-term rating to the City's $2,675,000 public improvement refunding bonds - 2016. At the same time, S&P affirmed the AA- long-term rating and underlying rating on the city's outstanding general obligation bonds. The outlook is stable. Some of the factors cited in the decision include strong budgetary flexibility, very strong liquidity, strong management, strong debt and contingent liability position, and strong institutional framework score.

The State limits the amount of general obligation debt that cities can issue to 7% of the average 5 year full assessed value of all taxable property within the City. The City's outstanding debt was approximately 25% of its limit at the end of the year.

Other obligations include liabilities for retirement, compensated absences, judgments and claims, and landfill closure. More detailed information about the City's long-term liabilities is presented in the notes to the financial statement. See Table 5 for details on debt.

Outstanding Debt at Year End
Table 4

	Governmental Activities		Business-Type Activities		Totals	
	2016	2015	2016	2015	2016	2015
General obligation bonds (Backed by the City)	$ 9,155,337	$ 10,956,385	$ 4,544,662	$ 4,947,021	$ 13,699,999	$ 15,903,406
Bond anticipation notes	5,999,495	5,007,077	6,459,593	3,125,040	12,459,088	8,132,117
NYS EFC Revolving Loans	-	-	9,903,000	10,644,221	9,903,000	10,644,221
Installment Debt	730,088	851,298	1,469,213	1,715,682	2,199,301	2,566,980
Total	$ 15,884,920	$ 16,814,760	$ 22,376,468	$ 20,431,964	$ 38,261,388	$ 37,246,724

Economic Factors and Next Year's Budgets and Rates

The City's elected and appointed officials considered many factors when setting the fiscal-year 2017 budget, tax rates, and fees that will be charged for the business-type activities. One of those factors is the economy. As of December 2016, unemployment in the Kingston, NY Metropolitan Statistical Area stands at 4.3 percent versus the state unemployment rate of 4.5 percent.

The residential housing market shows some signs of improvement, however the City has experienced declines in assessed value the last several budget cycles. Sales tax revenues have showed strong, consistent growth.

These indicators were taken into account when adopting the General Fund budget for 2017. Revenues were budgeted at $41,467,784 which was an increase from the 2016 actual amount of $40,082,624. The City appropriated $775,000 of fund balance for the 2017 budget.

Budgeted expenditures of $41,467,784 exceeded the final 2016 expended amount of $39,212,209. The City has added no major new programs or initiatives to the 2017 budget. The City has continued to implement a program of conservative spending for required programs and seek out grants and other sources of funding to cover unexpected expenses related to infrastructure repair. Through aggressive actions to control spending, the City has been able to minimize negative impact on fund balance.

As for the City's business-type activities, we expect that the 2017 results will be consistent with 2016 as there were no rate increases for Sewer charges. Also, the Kingston Water Board did not make any changes in its 2017 rates.

Contacting the City's Financial Management

This financial report is designed to provide our citizens, taxpayers, customers, investors and creditors with a general overview of the City's finances and to show the City's accountability for the money it receives. If you have questions about this report or need additional information, contact the City Comptroller's Office at 420 Broadway, Kingston, New York, 12401.

CITY OF KINGSTON, NEW YORK
STATEMENT OF NET POSITION
DECEMBER 31, 2016

	GOVERNMENTAL ACTIVITIES	BUSINESS-TYPE ACTIVITIES	TOTAL PRIMARY GOVERNMENT	COMPONENT UNITS
ASSETS				
Current assets:				
Cash and cash equivalents	$ 7,980,514	$ 3,527,360	$ 11,507,874	$ 1,930,378
Taxes receivable	5,088,681	-	5,088,681	-
Due from other governments	5,169,819	178,664	5,348,483	-
Notes receivable	911,050	-	911,050	214,038
Other receivables	4,555,334	2,021,818	6,577,152	19,661
Inventory	9,704	154,240	163,944	-
Prepaid expenses	359,387	168,658	528,045	8,904
Internal balances	(943,983)	943,983	-	-
Total current assets	23,130,506	6,994,723	30,125,229	2,172,981
Non-current assets:				
Cash - restricted	-	1,107,360	1,107,360	280,749
Long term receivables	-	-	-	1,120,627
Total non-current assets	-	1,107,360	1,107,360	1,401,376
Capital assets:				
Land	192,500	118,729	311,229	2,784,953
Improvements and Infrastructure, net of depreciation	29,157,349	26,701,016	55,858,365	230,412
Buildings, machinery and equipment, net of depreciation	12,314,515	6,050,541	18,365,056	1,479,960
Construction in progress	2,534,099	3,764,588	6,298,687	-
Total capital assets	44,198,463	36,634,874 -	80,833,337	4,495,325
DEFERRED OUTFLOW OF RESOURCES				
Other	24,587	-	24,587	-
Pension	12,117,543	1,756,099	13,873,642	-
Total deferred outflows of resources	12,142,130	1,756,099	13,898,229	-
Total assets and deferred outflow of resources	$ 79,471,099	$ 46,493,056	$ 125,964,155	$ 8,069,682

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF NET POSITION (CONTINUED)
DECEMBER 31, 2016

	GOVERNMENTAL ACTIVITIES	BUSINESS-TYPE ACTIVITIES	TOTAL PRIMARY GOVERNMENT	COMPONENT UNITS
LIABILITIES				
Current Liabilities:				
Accounts payable	$ 2,720,017	$ 1,863,686	$ 4,583,703	$ 1,370
Accrued liabilities and retainage payable	621,384	307,006	928,390	6,458
Due to other governments	4,043,549	226,682	4,270,231	-
Bond anticipation notes payable	5,999,495	4,968,839	10,968,334	-
Notes payable	-	-	-	85,733
Bond & Installment Obligations Payable	2,032,659	1,311,338	3,343,997	-
Compensated absence liability	-	5,383	5,383	
Total current liabilities	15,417,104	8,682,934	24,100,038	93,561
Non-current liabilities:				
Bond & Installment Obligations Payable	7,852,766	14,605,537	22,458,303	-
Bond anticipation notes payable	-	1,490,754	1,490,754	
Notes payable	-	-	-	841,557
Compensated absence liability	2,636,116	506,819	3,142,935	-
Other long term liabilities	594,838	27,958	622,796	-
Other post-employment benefits	48,697,452	6,782,548	55,480,000	-
Due to retirement system	1,911,823	-	1,911,823	-
Share of net pension liability	11,428,376	1,475,181	12,903,557	-
Total non-current liabilities	73,121,371	24,888,797	98,010,168	841,557
Total liabilities	88,538,475	33,571,731	122,110,206	935,118
DEFERRED INFLOW OF RESOURCES				
Deferred revenue	281,291	-	281,291	-
Pension	1,663,965	281,554	1,945,519	-
Total deferred inflows of resources	1,945,256	281,554	2,226,810	-
NET POSITION				
Net Investment in Capital Assets	28,313,543	12,724,069	44,062,703	4,495,325
Restricted for economic and community development	120,065	-	120,065	-
Restricted for special projects	-	364,496	-	-
Unrestricted	(39,446,240)	(448,794)	(42,555,629)	2,639,239
Total net position	(11,012,632)	12,639,771	1,627,139	7,134,564
Total liabilities, deferred inflow of resources, and net position	$ 79,471,099	$ 46,493,056	$ 125,964,155	$ 8,069,682

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED DECEMBER 31, 2016

					CHANGES IN NET POSITION PRIMARY GOVERNMENT			
FUNCTIONS/PROGRAMS	EXPENSES	CHARGES FOR SERVICES	OPERATING GRANTS AND CONTRIBUTIONS	CAPITAL GRANTS AND CONTRIBUTIONS	GOVERNMENTAL ACTIVITIES	BUSINESS-TYPE ACTIVITIES	TOTAL	COMPONENT UNITS
Governmental Activities:								
General government support	$ 5,901,866	$ 2,005,630	$ 88,992	$ -	$ (3,807,244)	$ -	$ (3,807,244)	$ -
Public safety	26,094,485	282,656	-	94,576	(25,717,253)	-	(25,717,253)	-
Health	68,623	63,753	-	-	(4,870)	-	(4,870)	-
Transportation	6,175,840	143,353	264,326	3,082,765	(2,685,396)	-	(2,685,396)	-
Economic assistance & opportunity	225,525	-	62,179	1,186,657	1,023,311	-	1,023,311	-
Culture and recreation	2,851,488	380,016	74,734	-	(2,396,738)	-	(2,396,738)	-
Home and community services	4,638,797	215,950	-	-	(4,422,847)	-	(4,422,847)	-
Employee benefits	2,962,134	-	-	-	(2,962,134)	-	(2,962,134)	-
Interest on long-term debt	336,246	-	-	-	(336,246)	-	(336,246)	-
Total governmental activities	49,255,004	3,091,358	490,231	4,363,998	(41,309,417)	-	(41,309,417)	-
Business-type activites:								
Water Fund	4,264,391	4,503,886	-	-	-	239,495	239,495	-
Sewer Fund	5,360,750	5,058,923	-	-	-	(301,827)	(301,827)	-
Total business-type activities	9,625,141	9,562,809	-	-	-	(62,332)	(62,332)	-
Total primary government	$ 58,880,145	$ 12,654,167	$ 490,231	$ 4,363,998	(41,309,417)	(62,332)	(41,371,749)	-
Component Units:								
Kingston Local Development Corp.	$ 277,731	$ 157,624	$ -	$ -	-	-	-	(120,107)
General revenues								
Taxes:								
Real property taxes					17,461,410	-	17,461,410	-
Other real property tax items					1,036,276	-	1,036,276	-
Sales taxes					12,898,536	-	12,898,536	-
Mortgage taxes					331,805	-	331,805	-
Utility taxes					336,784	-	336,784	-
Franchise taxes					373,828	-	373,828	-
Grants and contributions					3,725,033	-	3,725,033	-
Unrestricted investment earnings					27,990	1,247	29,237	7,734
Special item-Gain on sale of property and compensation for loss					145,580	4,482	150,062	-
Miscellaneous					92,030	48,926	140,956	-
Total general revenues, special items and transfers					36,429,272	54,655	36,483,927	7,734
Change in net position					(4,880,145)	(7,677)	(4,887,822)	(112,373)
Net position - beginning, as previously stated					(9,233,873)	12,628,564	3,394,691	7,246,937
Prior period adjustment (Note H)					3,101,386	18,884	3,120,270	-
Net position - beginning, restated					(6,132,487)	12,647,448	6,514,961	7,246,937
Net position - ending					$ (11,012,632)	$ 12,639,771	$ 1,627,139	$ 7,134,564

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
BALANCE SHEET
GOVERNMENTAL FUNDS
DECEMBER 31, 2016

	Restated General	Special Grant	Capital Projects	Debt Service	Total Governmental Funds
ASSETS					
Cash and cash equivalents	$ 6,754,019	$ 120,148	$ 177,509	$ 928,838	$ 7,980,514
Receivables:					
Taxes	5,088,681	-	-	-	5,088,681
Other	1,211,454	-	-	-	1,211,454
Inventory	9,704	-	-	-	9,704
Mortgage loans receivable	-	911,050	-	-	911,050
Due from other funds	2,178,287	-	1,041,194	162,975	3,382,456
Due from other governments	2,556,089	-	81,073	599,000	3,236,162
State and federal receivable	1,035,247	-	2,308,633	-	3,343,880
Prepaid expenses	604,029	-	-	-	604,029
Total assets	$ 19,437,510	$ 1,031,198	$ 3,608,409	$ 1,690,813	$ 25,767,930

LIABILITIES AND FUND EQUITY					
Liabilities:					
Accounts payable	$ 940,397	$ -	$ 1,779,620	$ -	$ 2,720,017
Accrued liabilities	515,453	-	-	-	515,453
Retainage payable	-	-	30,890	-	30,890
Bond anticipation notes payable	-	-	5,999,495	-	5,999,495
Due to other funds	1,355,853	-	2,057,689	912,897	4,326,439
Due to other governments	4,319,864	83	18,050	-	4,337,997
Deferred revenues	4,917,587	911,050	357,391	-	6,186,028
Other liabilities	221	-	-	2,472	2,693
Total liabilities	12,049,375	911,133	10,243,135	915,369	24,119,012
Fund Balance:					
Nonspendable	613,733	-	-	-	613,733
Restricted	-	-	3,663,800	-	3,663,800
Assigned appropriated	775,000	-	-	-	775,000
Assigned unappropriated	511,445	120,065	-	775,444	1,406,954
Unassigned	5,487,957	-	(10,298,526)	-	(4,810,569)
Total fund balance	7,388,135	120,065	(6,634,726)	775,444	1,648,918
Total liabilities and fund equity	$ 19,437,510	$ 1,031,198	$ 3,608,409	$ 1,690,813	$ 25,767,930

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
RECONCILIATION OF THE TOTAL GOVERNMENTAL FUNDS BALANCE SHEET
TO THE STATEMENT OF NET POSITION
DECEMBER 31, 2016

	Total Governmental Funds	Long-Term Assets and Liabilities	Reclassifications and Eliminations	Statement of Net Position Totals
ASSETS				
Cash and Cash Equivalents	$ 7,980,514	$ -	$ -	$ 7,980,514
Receivables				
Taxes	5,088,681	-	-	5,088,681
Other	4,555,334	-	-	4,555,334
Inventory	9,704	-	-	9,704
Mortgage loans receivable	911,050	-	-	911,050
Due from Other Funds	3,382,456	-	(4,326,439)	(943,983)
Due from other governments	3,236,162	1,933,657	-	5,169,819
Prepaid Expenses	604,029	(244,642)	-	359,387
Capital Assets, Net	-	-	-	
Land	-	192,500	-	192,500
Improvements and Infrastructure, net	-	29,157,349	-	29,157,349
Buildings, machinery and equipment, net	-	12,314,515	-	12,314,515
Construction in progress	-	2,534,099	-	2,534,099
Deferred Outflows of Resources - Other		24,587	-	24,587
Deferred Outflows of Resources - Pension	-	12,117,543	-	12,117,543
Total Assets and Deferred Outflows	$ 25,767,930	$ 58,029,608	$ (4,326,439)	$ 79,471,099
LIABILITIES				
Accounts Payable	$ 2,720,017	$ -	$ -	$ 2,720,017
Other Liabilities	2,693	592,145	-	594,838
Accrued Expenditures	515,453	75,041	-	590,494
Retainage payable	30,890	-	-	30,890
Bond Anticipation Notes Payable	5,999,495	-	-	5,999,495
Bond & Installment Obligations Payable	-	9,885,425	-	9,885,425
Due to Other Funds	4,326,439	-	(4,326,439)	-
Due to other governments	4,337,997	(294,448)	-	4,043,549
Due to Employees' Retirement System	-	1,911,823	-	1,911,823
Compensated Absences	-	2,636,116	-	2,636,116
Net Pension Liability-Proportionate Share	-	11,428,376	-	11,428,376
Other Postemployment Benefits	-	48,697,452	-	48,697,452
Deferred Inflows of Resources - Deferred Revenue	6,186,028	(5,904,737)	-	281,291
Deferred Inflows of Resources - Pension	-	1,663,965	-	1,663,965
Total Liabilities and Deferred Inflows	24,119,012	70,691,158	(4,326,439)	90,483,731
Total Fund Balances	1,648,918	(12,661,550)	-	(11,012,632)
Total Liabilities, Deferred Inflows and Fund Balances	$ 25,767,930	$ 58,029,608	$ (4,326,439)	$ 79,471,099

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
GOVERNMENTAL FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2016

	General	Special Grant	Capital Projects	Debt Service	Total Governmental Funds
Revenues:					
Real Property Taxes	$ 17,167,464	$ -	$ -	$ -	$ 17,167,464
Real Property Tax Items	1,036,276	-	-	-	1,036,276
Non Property Tax Items	13,609,148	-	-	-	13,609,148
Departmental Income	2,347,725	-	77,341	-	2,425,066
Intergovernmental Charges	65,000	-	-	-	65,000
Use of Money and Property	28,477	-	30	19,959	48,466
Licenses and Permits	565,322	-	-	-	565,322
Fines and Forfeitures	206,344	-	-	-	206,344
Sale of Property and Compensation for Loss	145,580	-	-	-	145,580
Miscellaneous	359,570	40,859	56,633	-	457,062
Interfund Revenues	100,000	-	-	-	100,000
State and Federal Aid	4,451,696	1,248,836	2,554,653	-	8,255,185
Total Revenues	40,082,602	1,289,695	2,688,657	19,959	44,080,913
Expenditures:					
General Government	5,622,147	-	218,910	5,289	5,846,346
Public Safety	19,450,322	-	693,673	-	20,143,995
Public Health	49,591	-	-	-	49,591
Transportation	3,142,448	-	2,730,382	-	5,872,830
Economic Assistance and Opportunity	171,637	-	-	-	171,637
Culture and Recreation	1,918,316	-	995,253	-	2,913,569
Home and Community Services	3,240,465	1,301,664	1,204,601	-	5,746,730
Employee Benefits	2,962,134	-	-	-	2,962,134
Debt Service	526,872	-	14,743	2,053,109	2,594,724
Total Expenditures	37,083,932	1,301,664	5,857,562	2,058,398	46,301,556
Excess/(Deficiency) of Revenues Over Expenditures	2,998,670	(11,969)	(3,168,905)	(2,038,439)	(2,220,643)
Other Financing Sources/(Uses):					
BANS Redeemed from Appropriations	-	-	-	-	-
Proceeds from Obligations	-	-	338,353	-	338,353
Operating Transfers In	34	-	125,500	2,007,777	2,133,311
Operating Transfers Out	(2,133,277)	-	(34)	-	(2,133,311)
Total Other Financing Sources/(Uses)	(2,133,243)	-	463,819	2,007,777	338,353
Change in Fund Balances	865,427	(11,969)	(2,705,086)	(30,662)	(1,882,290)
Fund Balances - Beginning, Previously Stated	6,522,708	186,673	(3,929,640)	806,106	3,585,847
Prior Period Adjustment (Note H)	-	(54,639)	-	-	(54,639)
Fund Balances - Beginning, as Restated	6,522,708	132,034	(3,929,640)	806,106	3,531,208
Fund Balances - Ending	$ 7,388,135	$ 120,065	$ (6,634,726)	$ 775,444	$ 1,648,918

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
RECONCILIATION OF THE STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
OF GOVERNMENTAL FUNDS TO THE STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED DECEMBER 31, 2016

	Total Governmental Funds	Long-Term Revenue, Expenses	Capital Related Items	Long-Term Debt Transactions	Reclassifications and Eliminations	Statement of Activities Totals
Revenues:						
Real Property Taxes	$ 17,167,464	$ 293,946	$ -	$ -	$ -	$ 17,461,410
Real Property Tax Items	1,036,276	-	-	-	-	1,036,276
Non Property Tax Items	13,609,148	-	-	-	-	13,609,148
Departmental Income	2,425,066	-	-	-	-	2,425,066
Intergovernmental/Grant Income	65,000	-	-	-	-	65,000
Use of Money and Property	48,466	-	-	-	-	48,466
Licenses and Permits	565,322	-	-	-	-	565,322
Fines and Forfeitures	206,344	-	-	-	-	206,344
Sale of Property and Compensation for Loss	145,580	-	-	-	-	145,580
Miscellaneous	457,062	-	-	-	-	457,062
Interfund Revenues	100,000	-	-	-	-	100,000
State and Federal Aid	8,255,185	-	-	-	-	8,255,185
Total Revenues	44,080,913	293,946	-	-	-	44,374,859
Expenditures:						
General Government	5,846,346	(427,653)	483,173	-	-	5,901,866
Public Safety	20,143,995	6,163,619	(213,129)	-	-	26,094,485
Public Health	49,591	19,032	-	-	-	68,623
Transportation	5,872,830	526,277	(223,267)	-	-	6,175,840
Economic Assistance and Opportunity	171,637	53,888	-	-	-	225,525
Culture and Recreation	2,913,569	569,825	(631,906)	-	-	2,851,488
Home and Community Services	5,746,730	754,222	(1,862,155)	-	-	4,638,797
Employee Benefits	2,962,134	-	-	-	-	2,962,134
Debt Service	2,594,724	-	-	(1,920,125)	(338,353)	336,246
Total Expenditures	46,301,556	7,659,210	(2,447,284)	(1,920,125)	(338,353)	49,255,004
Excess/(Deficiency) of Revenues Over Expenditures	(2,220,643)	(7,365,264)	2,447,284	1,920,125	338,353	(4,880,145)
Other Financing Sources/(Uses):						
Proceeds from Obligations	338,353	-	-	-	(338,353)	-
Operating Transfers In	2,133,311	-	-	-	(2,133,311)	-
Operating Transfers Out	(2,133,311)	-	-	-	2,133,311	-
Total Other Financing Sources/(Uses)	338,353	-	-	-	(338,353)	-
Change in Fund Balances	$ (1,882,290)	$ (7,365,264)	$ 2,447,284	$ 1,920,125	$ -	$ (4,880,145)

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF NET POSITION
PROPRIETARY FUNDS
DECEMBER 31, 2016

	WATER FUND	SEWER FUND	TOTAL
ASSETS AND DEFERRED OUTFLOWS OF RESOURCES			
ASSETS			
Current assets:			
Cash and cash equivalents	$ 1,141,424	$ 2,385,936	$ 3,527,360
Receivables:			
Charges for Services	964,806	1,026,355	1,991,161
Other	-	30,657	30,657
Internal balances	-	1,650,944	1,650,944
Prepaid expenses	165,522	3,136	168,658
Due from other governments	-	178,664	178,664
Inventories - net of allowance	154,240	-	154,240
Total current assets	2,425,992	5,275,692	7,701,684
Non current assets:			
Restricted cash and cash equivalents	1,107,360	-	1,107,360
Total non-current assets	1,107,360	-	1,107,360
Capital assets:			
Land	118,729	-	118,729
Improvements and Infrastructure, net of depreciation	5,593,246	21,107,770	26,701,016
Buildings, Machinery and Equipment, net of depreciation	5,128,776	921,765	6,050,541
Construction in progress	3,216,367	548,221	3,764,588
Total capital assets	14,057,118	22,577,756	36,634,874
DEFERRED OUTFLOW OF RESOURCES	897,255	858,844	1,756,099
Total assets and deferred outflow of resources	$ 18,487,725	$ 28,712,292	$ 47,200,017

See notes to the financial statements

CITY OF KINGSTON, NEW YORK

STATEMENT OF NET POSITION (CONTINUED)

PROPRIETARY FUNDS

DECEMBER 31, 2016

	WATER FUND	SEWER FUND	TOTAL
LIABILITIES, DEFERRED INFLOW OF RESOURCES, AND NET POSITION			
LIABILITIES			
Current liabilities:			
Accounts payable	$ 1,096,861	$ 766,825	$ 1,863,686
Accrued liabilities	95,825	56,184	152,009
Retainage payable	-	82,628	82,628
Internal balances	139,097	567,864	706,961
Due to other governments	6,370	220,312	226,682
Bond anticipation notes payable	1,360,000	3,608,839	4,968,839
Current portion of bonds, notes, and loans payable	482,922	828,416	1,311,338
Compensated absence liability	5,383	-	5,383
Total current liabilities	3,186,458	6,131,068	9,317,526
Non-current liabilities:			
Bond anticipation notes payable	1,490,754	-	1,490,754
Compensated absence liability	192,501	314,318	506,819
Bonds, notes, and loans payable	5,363,435	9,314,471	14,677,906
Other long term liabilities	27,958	-	27,958
Other post-employment benefits	3,020,000	3,762,548	6,782,548
Proportionate share of net pension liability	761,919	713,262	1,475,181
Total non-current liabilities	10,856,567	14,104,599	24,961,166
Total liabilities	14,043,025	20,235,667	34,278,692
DEFERRED INFLOW OF RESOURCES	90,976	190,578	281,554
NET POSITION			
Net investment in capital assets	4,326,532	8,397,537	12,724,069
Restricted	-	364,496	364,496
Unrestricted (deficit)	27,192	(475,986)	(448,794)
Total net position	4,353,724	8,286,047	12,639,771
Total liabilities, deferred inflow of resources and net positio	$ 18,487,725	$ 28,712,292	$ 47,200,017

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
PROPRIETARY FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2016

	WATER FUND	SEWER FUND	TOTAL
OPERATING REVENUES			
Charges for services	$ 4,196,092	$ 4,929,705	$ 9,125,797
Program grants and subsidies	-	54,960	54,960
Other revenue	307,794	74,258	382,052
Total operating revenues	4,503,886	5,058,923	9,562,809
OPERATING EXPENSES			
Personal services	2,635,427	2,704,933	5,340,360
Real property taxes	274,565	-	274,565
Maintenance, operations and contractual services	624,215	1,344,955	1,969,170
Depreciation	581,955	1,018,063	1,600,018
Total operating expenses	4,116,162	5,067,951	9,184,113
Net Operating Income (Loss)	387,724	(9,028)	378,696
Non-operating income and (expense)			
Interest income	879	368	1,247
Sale of property	1,534	2,948	4,482
Interest on bonds and notes	(148,229)	(292,799)	(441,028)
Rental Income	48,926	-	48,926
Total non-operating revenues (expenses)	(96,890)	(289,483)	(386,373)
Change in Net Position	290,834	(298,511)	(7,677)
Net Position, December 31, 2015	4,044,006	8,584,558	12,628,564
Prior Period Adjustment - (Note H)	18,884	-	18,884
Net Position, December 31, 2016	$ 4,353,724	$ 8,286,047	$ 12,639,771

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF CASH FLOWS
PROPRIETARY FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2016

	WATER FUND	SEWER FUND	TOTAL
Cash Flows From Operating Activities:			
Cash received from users	$ 4,574,157	$ 5,854,849	$ 10,429,006
Cash paid to employees	(1,859,006)	(2,704,933)	(4,563,939)
Cash paid for operating expenses	(1,356,684)	(2,308,589)	(3,665,273)
Net Cash Provided by Operating Activities	1,358,467	841,327	2,199,794
Cash Flows From Capital and Related Financing Activities:			
Proceeds from capital debt	1,526,254	1,516,077	3,042,331
Proceed from sale of capital assets	1,534	2,947	4,481
Purchase of Fixed Assets, net	(2,289,426)	(2,716,134)	(5,005,560)
Interest payments on bonded indebtedness	(147,490)	(292,799)	(440,289)
Principal payments on bonded indebtedness	(541,534)	(781,284)	(1,322,818)
Loans provided/paid, net	-	1,878,798	1,878,798
Net Cash Provided (Used) by Capital and Related Financing Activities	(1,450,662)	(392,395)	(1,843,057)
Cash Flows From Investing Activities:			
Rental income	48,926	-	48,926
Interest received	879	368	1,247
Net Cash Provided by Investing Activities	49,805	368	50,173
Net Increase (Decrease) in Cash and Cash Equivalents	(42,390)	449,300	406,910
Cash and Cash Equivalents at January 1, 2016	2,291,174	1,936,636	4,227,810
Cash and Cash Equivalents at December 31, 2016	$ 2,248,784	$ 2,385,936	$ 4,634,720
Reconciliation of operating income to net cash provided by operating activities:			
Operating income	$ 387,724	$ (9,028)	$ 378,696
Adjustments to reconcile operating income to net cash provided by operating activities:			
Depreciation	581,955	1,018,063	1,600,018
Changes in assets and liabilities:			
Decrease (Increase) in accounts receivable	70,211	795,926	866,137
Decrease (Increase) in inventories	(35,577)	-	(35,577)
Decrease (Increase) in prepaid items	13,173	(3,136)	10,037
Decrease (Increase) in due from related party	25,778	(568,334)	(542,556)
Increase (Decrease) in accounts payable	30,260	(49,426)	(19,166)
Increase (Decrease) in deferred revenues	(602,025)	73,065	(528,960)
Increase(Decrease) in accrued expenses and other liabilities	886,968	(415,803)	471,165
Net Cash Provided by Operating Activities	$ 1,358,467	$ 841,327	$ 2,199,794

See notes to the financial statements

CITY OF KINGSTON, NEW YOK

STATEMENT OF NET POSITION

FIDUCIARY FUND

DECEMBER 31, 2016

	AGENCY FUNDS
ASSETS	
Cash and cash equivalents	$ 317,761
Total assets	$ 317,761
LIABILITIES	
Escrow funds returnable and other liabilities	$ 317,761
Total liabilities	317,761
NET POSITION	-
Total liabilities and net position	$ 317,761

See notes to the financial statements

NOTE A - Summary of Accounting Policies

The City of Kingston, New York, (the "City") which was incorporated in 1872, is governed by its Charter, the City of Kingston local law and other general laws of the State of New York. The City operates under a strong-mayor form of government. The Common Council is the legislative body responsible for overall operations, the Mayor serves as chief executive officer and the Comptroller serves as chief fiscal officer. The following basic services are provided: fire and police protection; public works activities including water and sewer services, wastewater treatment, trash collection and removal, street maintenance, snow and ice removal; public transportation; cultural and recreational activities and public parks and facilities; economic and community development; human rights advocacy; tax assessment, collection and enforcement; and code enforcement.

The financial statements of the City of Kingston have been prepared in accordance with generally accepted accounting principles (GAAP) as applied to government units. The Governmental Accounting Standards Board (GASB) is responsible for establishing GAAP for state and local governments through its pronouncements. The more significant accounting policies established in GAAP and used by the City are discussed below.

1. The Reporting Entity

The financial reporting entity consists of (a) the primary government which is the City of Kingston, (b) organizations for which the primary government is financially accountable, and (c) other organizations for which the nature and significance of their relationship with the primary government are such that exclusion would cause the reporting entity's financial statements to be misleading or incomplete as set forth in GASB Codification Section 2100.

The decision to include a potential component unit in the City's reporting entity is based on several criteria set forth in GASB Codification Section 2100, including legal standing, fiscal dependency, and financial accountability. Based on the application of these criteria, the following is a brief review of certain entities considered in determining the City of Kingston's reporting entity.

The City of Kingston Local Development Corporation (KLDC), a not-for-profit corporation which was incorporated on June 1, 1994, administers economic development loans for businesses within the City of Kingston. It also developed and operates a business park for the City. It is governed by a board which is appointed by the Mayor. The City of Kingston Local Development Corporation financial statements are available at their offices located at Kingston City Hall, 420 Broadway, Kingston, New York. KLDC is included in the City of Kingston financial statements as a discretely presented component unit.

The City of Kingston previously included the Kingston Housing Authority in its financial statements for the year ending December 31, 2011. The City implemented GASB Statement No. 61 and have concluded that the Kingston Housing Authority no longer meets the criteria necessary to be included in the City's financial statements as a component unit.

The Kingston-Ulster Empire Zone was created by New York State to foster the creation of jobs, enhance the property tax base and encourage investment. The Mayor appoints the majority of the board. Activity for the Kingston-Ulster Empire Zone was minimal for the past year as the program is no longer supported by New York State. Therefore, since financial statements have not been prepared, the limited Empire Zone information has not been included in these financial statements.

The Dietz Stadium is jointly administered with the Kingston City School District and is excluded from the financial statements. See Note F for further information.

There were no significant transactions with component units other than that the City processes the payroll for Kingston Local Development Corp and Kingston-Ulster Empire Zone. These entities then reimburse the City.

NOTE A - Summary of Accounting Policies - continued

2. Basic Financial Statements – Government-Wide Statements

The City's basic financial statements include both government-wide (reporting the City as a whole) and fund financial statements (reporting the City's major funds). The government-wide statements include the Statement of Net Position and the Statement of Activities. The other statements are fund financial statements. Both the government-wide and fund financial statements categorize primary activities as either governmental or business-type. All of the City's services are classified as governmental activities except for water and sanitary sewer. These services are classified as business-type activities.

In the government-wide Statement of Net Position, both the governmental and business-type activities columns are (a) presented on a consolidated basis by column, and (b) reported on a full accrual economic resource basis, which recognizes all long term assets and receivables as well as long term related debt; restricted net position; and unrestricted net position. Net assets are reported as restricted when there are external restrictions by creditors, grantors, laws or regulations of other governments. The City first utilizes restricted resources to finance qualifying activities.

The government-wide Statement of Activities reports both the gross and net cost of each of the City's functions and business-type activities (public safety, transportation, etc.). The functions are also supported by general government revenues (property, sales and use taxes, etc.). The Statement of Activities reduces gross expenses (including depreciation) by related charges for services, operating and capital grants. Charges for services must be directly associated with the function (public safety, transportation, home and community services, etc.) or a business-type activity. Operating grants include operating-specific and discretionary (either operating or capital) grants while the capital grants column reflects capital-specific grants.

The net costs (by function or business-type activity) are normally covered by general revenue (property, sales taxes, intergovernmental revenues, investment earnings, etc.). The City does not allocate indirect costs. This government-wide focus is more on the sustainability of the City as an entity and the change in the City's net position resulting from the current year's activities.

3. Basic Financial Statements – Fund Financial Statements

The financial transactions of the City are reported in individual funds in the fund financial statements using the current financial resources measurement focus. Each fund is accounted for by providing a separate set of self-balancing accounts that comprises its assets, liabilities, reserves, fund equity, revenues and expenditures/expenses. The various funds are reported by generic classification within the financial statements. The City records its transactions in the fund types below:

1) Governmental Funds - The focus of the governmental funds' measurement (in the fund statements) is upon determination of financial position and changes in financial position (sources, uses, and balances of financial resources) rather than upon net income. The following are the City's governmental fund types:

   a) General fund - the principal operating fund and includes all operations not accounted for and reported in another fund.

   b) Special revenue funds - used to account for the proceeds of specific revenue sources that are legally restricted or committed to expenditures for specific purposes other than debt service or capital projects. This fund is used to account for the activities of the Community Development Office which are funded by HUD. This fund utilizes a June 30 year-end.

NOTE A - Summary of Accounting Policies - continued

3. Basic Financial Statements – Fund Financial Statements - continued

c) Debt service funds - used to account for and report financial resources that are restricted, committed, or assigned to expenditure for principal and interest on general obligation long term debt. Debt service funds are used when legally mandated for financial resources accumulated in a reserve for payment of future principal and interest on long-term indebtedness.

d) Capital projects funds - used to account for and report financial resources that are restricted, committed, or assigned to expenditure for the acquisition or construction of major capital facilities and equipment (other than those financed by business-type/proprietary funds).

2) Proprietary Funds - The focus of proprietary fund measurement is upon determination of operating income, changes in net assets, financial position, and cash flows. The generally accepted accounting principles are similar to businesses in the private sector. The City has only one type of proprietary fund; enterprise funds. Enterprise funds are required to be used to account for operations for which a fee is charged to external users for goods or services and the activity (a) is financed with debt that is solely secured by a pledge of the net revenues, (b) has third party requirements that the cost of providing services, including capital costs, is to be recovered with fees and charges or (c) establishes fees and charges based on a pricing policy designed to recover similar costs. The City's water and sewer activities are accounted for as enterprise funds.

The emphasis in fund financial statements is on the major funds in either the governmental or business-type activities categories. Non-major funds by category are summarized into a single column. GASB Statement No. 34 sets forth minimum criteria (percentage of the assets, liabilities, revenues or expenditures/expenses of either fund category or the governmental and enterprise combined) for the determination of major funds.

3) Fund Balance - Fund balance is presented in five different classifications which focus on the constraints imposed on resources in governmental funds, rather than on availability for appropriation. The classifications include: non-spendable, restricted, committed, assigned, and unassigned.

a) Non-spendable consists of assets that are inherently non-spendable in the current period either because of their form or because they must be maintained intact, including prepaid items, inventories, long-term portions of loans receivable, financial assets held for resale, and principal of endowments.

b) Restricted consists of amounts that are subject to externally enforceable legal purpose restrictions imposed by creditors, grantors, contributors, or laws and regulations of other governments; or through constitutional provisions or enabling legislation.

NOTE A - Summary of Accounting Policies - continued

3. Basic Financial Statements – Fund Financial Statements – continued

c) Committed consists of amounts that are subject to a purpose constraint imposed by a formal action of the government's highest level of decision-making authority before the end of the fiscal year, and that require the same level of formal action to remove the constraint. The Common Council is the decision-making authority that can, by action taken prior to the end of the fiscal year, commit fund balance.

d) Assigned consists of amounts that are subject to a purpose constraint that represents an intended use established by the government's highest level of decision-making authority, or by their designated body or official. The purpose of the assignment must be narrower than the purpose of the general fund, and in funds other than the general fund, assigned fund balance represents the residual amount of fund balance. The Common Council has not authorized a designated official to assign fund balance.

e) Unassigned represents the residual classification for the government's general fund, and could report a surplus or deficit. In funds other than the general fund, the unassigned classification should be used only to report a deficit balance resulting from overspending for specific purposes for which amounts had been restricted, committed, or assigned.

When resources are available from multiple classifications, the City spends funds in the following order: restricted, committed, assigned, unassigned.

The City has, by resolution, adopted a fund balance policy that states the City must maintain a minimum unrestricted (the total of committed, assigned, and unassigned) fund balance of at least ten percent of the general fund operating budget. Unrestricted fund balance below the minimum should be replenished.

Nonspendable		
Inventory	$ 9,704	
Prepaid expenses	604,029	
		$ 613,733
Assigned Appropriation		
Designated for subsequent year's budget		775,000
Assigned Unappropriated		
Recreation fees	114,202	
Risk retention reserve	225,804	
Encumbered	171,439	
		511,445
Unassigned		5,487,957
Total fund balance		$ 7,388,135

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NOTE A - Summary of Accounting Policies - continued

4. Encumbrances

Encumbrance accounting, under which purchase orders, contracts and other commitments for the expenditure of monies are recorded for budgetary control purposes, is employed in the general fund. Encumbrances are reported as restrictions, commitments, or assignments of fund balances since they do not constitute expenditures or liabilities. Expenditures for such commitments are recorded in the period in which the liability is incurred. Encumbrances of $171,439 were included in the reporting of assigned fund balance in the general fund.

5. Basis of Accounting

Basis of accounting refers to the point at which revenues or expenditures/expenses are recognized in the accounts and reported in the financial statements. It relates to the timing of the measurements made regardless of the measurement focus applied.

A. Accrual

Both governmental and business-type activities in the government-wide financial statements and the proprietary and fiduciary fund financial statements are presented on the accrual basis of accounting. Revenues are recognized when earned and expenses are recognized when incurred.

B. Modified Accrual

The governmental funds financial statements are presented on the modified accrual basis of accounting. Under the modified accrual basis of accounting, revenues are recorded when susceptible to accrual; i.e., both measurable and available. “Available” means collectible within the current period or within 60 days after year end. Expenditures are generally recognized under the modified accrual basis of accounting when the related liability is incurred. The exception to this general rule is that principal and interest on general obligation long-term debt, if any, is recognized when due.

6. Financial Statement Amounts

A. Cash and Investments

The City's investment policies are governed by State statutes. In addition, the City has its own written investment policy. City monies must be deposited in FDIC-insured commercial banks located within the State. The Comptroller is authorized to use demand accounts and certificates of deposit. Collateral is required for demand deposits and certificates of deposit not covered by federal deposit insurance. Obligations that may be pledged as collateral are obligations of the United States and its agencies and obligations of the State and its municipalities.

For the purposes of the proprietary funds statement of cash flows, the City considers all cash and cash equivalents with an original maturity of three months or less to be cash equivalents.

NOTE A - Summary of Accounting Policies - continued

A. Cash and Investments – continued

Deposits and investments at year-end were entirely covered by federal deposit insurance or by collateral held by a custodial bank in the City's name as follows:

Bank	Bank Balance	Insured (FDIC)	Collateralized-Custodial Bank	Not Collateralized
Chase Bank	$ 1,168,486	$ 500,000	$ 853,034	$ -
Catskill Hudson	2,545,520	500,000	2,626,708	-
M&T Bank	6,791,905	500,000	8,580,398	-
TD Bank	1,570,170	250,000	2,500,000	-

B. Taxes and Other Receivables, and Uncollectible Accounts

Significant receivables include amounts due from customers primarily for water and sewer charges. No allowance for uncollectible accounts is maintained as uncollected accounts are added on to the subsequent year's tax bills. The general fund reimburses the utility funds for the full amount even though the City may not have actually received payment.

At December 31, 2016, the City had total real property tax assets of $5,088,681. On the fund financial statements, the taxes are offset by deferred tax revenue of $4,426,587 for the City portion. The deferred tax revenue represents the tax liens which were not collected within the first sixty days of the subsequent year. In the government-wide financial statements, property taxes receivable and related revenue include all amounts due the City regardless of when received.

Other revenues totaling $34,514 are deferred in the governmental fund financial statements as they were not received within 60 days following year-end; therefore, are unavailable to pay liabilities of the current period. Other deferred revenue received after 60 days is recognized as revenue in the government-wide statements if grantor eligibility requirements are met.

C. Notes Receivable

The following is a summary as of December 31, 2016:

a) Homeowner Loans - The Housing Rehabilitation Program is a federally funded program for income eligible homeowners in the City of Kingston offering up to $20,000 per household. The priority of the program is to address health and safety issues, building code violations, and to reduce the cumulative effects of deteriorating properties concentrated in certain neighborhoods of the city. It allows for an interest-free, deferred loan to the homeowner that is spread over a 10 year period. At the end of the tenth year of owner-occupied homeownership, the loan is completely forgiven.

NOTE A - Summary of Accounting Policies - continued

6. Financial Statement Amounts - continued

C. Notes Receivable - continued

Summary of 2016 activity

	Balance 6/30/2015	Additions	Reductions	Balance 6/30/2016	Due in One Year
Homeowner Loans	$ 781,118	$ 129,932	$ -	$ 911,050	$ -
Total Loans	$ 781,118	$ 129,932	$ -	$ 911,050	$ -

D. Capital Assets

Capital assets purchased or acquired with an original cost of $5,000 or more are reported at historical cost or estimated historical cost. Contributed assets are reported at fair market value as of the date received. Additions, improvements and other capital outlays that significantly extend the useful life of an asset are capitalized. Other costs incurred for repairs and maintenance are expensed as incurred. Depreciation on all assets is provided on the straight-line basis over the following estimated useful lives:

Buildings	15-40 years
Improvements	15-40 years
Infrastructure	15-40 years
Machinery and equipment	3-40 years

NOTE A - Summary of Accounting Policies - continued

6. Financial Statement Amounts - continued

E. Capital Assets – continued

GASB Statement No. 34 requires the City to report and depreciate new infrastructure assets. Infrastructure assets include roads, underground pipe, etc. Neither their historical cost nor related depreciation had historically been reported in the financial statements prior to 2004 when the City's implemented all the provisions of GASB Statement No. 34 including retroactive reporting of infrastructure.

F. Property Taxes

The City of Kingston real property taxes are levied annually no later than December 31 and become a lien on January 1. The City of Kingston real property taxes are due in two installments. The first installment is due 45 days after the passage of the tax levy and the second is due 120 days later. Taxes for County purposes apportioned to the area of the County inside the City of Kingston and the Library are levied together with the general taxes for the City of Kingston on a single bill. The County and Library receive the full amount of their levies annually out of the first amounts collected on the combined bills. The collection of County taxes levied on properties within the City of Kingston and library taxes are enforced by the City. In addition, unpaid city school district taxes levied on properties within the City of Kingston are turned over to the City for enforcement.

G. Interfund Activity

Interfund activity is reported as either loans, services provided, reimbursements or transfers. Loans are reported as interfund receivables and payables as appropriate and are subject to elimination upon consolidation. Services provided, deemed to be at market or near market rates, are treated as revenues and expenditures/expenses. Reimbursements are when one fund incurs a cost, charges the appropriate benefiting fund and reduces its related cost as a reimbursement. All other interfund transactions are treated as transfers. Transfers between governmental or proprietary funds are netted as part of the reconciliation to the government-wide financial statements.

H. Budgets

Operating budgets - must be submitted by the Mayor in the form of a tentative budget to the Common Council at their regular meeting in October for the following calendar year. The tentative budget includes proposed expenditures and the proposed means of financing for the general and sewer funds. After public hearings are conducted to obtain taxpayer comments, the Common Council adopts the budgets. Once adopted, the Common Council may amend the legally adopted budgets when unexpected modifications are required within NYS guidelines.

Other funds - capital projects are budgeted over the life of the respective projects and are also subjected to the Council review and approval process. Formal budgets are not required for the water fund (an enterprise fund); however, a budget is prepared as a guideline for expenditures. Budgetary controls for the Community Development office are established in accordance with applicable grant agreements which may cover more than one year.

Basis of Budgeting - Budgets are adopted annually on a basis consistent with generally accepted accounting principles. Each fund's appropriated budget is prepared on a detailed line item basis. Revenues and expenditures are budgeted by department and class. Appropriations in all budgeted funds lapse at the end of the fiscal year, except that outstanding encumbrances are re-apportioned in the subsequent year.

NOTE A - Summary of Accounting Policies - continued

6. Financial Statement Amounts – continued

I. Deficit Fund Balances

The capital projects fund had a deficit fund balance at December 31, 2016. The deficit will be eliminated as short-term debt is converted to permanent financing.

J. Encumbrances

Encumbrance accounting, under which purchase orders, contracts and other commitments for the expenditure of monies are recorded for budgetary control purposes to reserve that portion of the applicable appropriations, is employed as a control in preventing over expenditure of established appropriations. Open encumbrances are reported as reservations of fund balance since they do not constitute expenditures or liabilities and will be honored through budget appropriations in the subsequent year.

K. Revenues

Substantially all governmental fund revenues are accrued. The governmental fund financial statements recognize the proceeds of debt as other financing sources.

Subsidies and grants to proprietary funds, which finance either capital or current operations, are reported as non-operating revenue based on GASB Statement No.33. In applying GASB No. 33 to grant revenues, the grantor recognizes liabilities and expenses and the recipient recognizes receivables and revenue when the applicable eligibility requirements, including time requirements, are met. Resources transmitted before the eligibility requirements are met are reported as advances by the grantor and deferred revenue by the recipient.

L. Expenditures

Expenditures are recognized when the fund liability is incurred. Inventory costs are reported in the period when inventory items are used, rather than when purchased.

M. Compensated Absences

The City accrues accumulated unpaid vacation and sick leave and associated employer-related costs when earned (or estimated to be earned) by the employee based on varying contracts. In the event of termination or upon retirement, an employee is entitled to payment for accumulated vacation and sick leave and unused compensatory absences at various rates subject to certain maximum limitations. The amount estimated to be used in subsequent fiscal years is maintained separately for governmental funds and represents a reconciling item between the fund and government-wide presentations.

NOTE A - Summary of Accounting Policies – continued

6. Financial Statement Amounts - continued

N. Pension Plans

Plan Description

The City of Kingston is required to participate in the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Policemen's and Firemen's Retirement System (PFRS) (the Systems). These are cost-sharing multiple-employer retirement systems. The Systems provide retirement benefits as well as death and disability benefits. Obligations of employers and employees to contribute and benefits to employees are governed by the New York State Retirement and Social Security Law (NYSRSSL). As set forth in the NYSRSSL, the Comptroller of the State of New York (Comptroller) serves as sole trustee and administrative head of the System. The Comptroller shall adopt and may amend rules and regulations for the administration and transaction of the business of the Systems and for the custody and control of their funds. The Systems issue a publically available financial report that includes financial statements and required supplementary information. That report may be obtained by writing to the New York State and Local Retirement Systems, 110 State Street, Albany, New York, 12244.

Funding Policy

The Systems are generally noncontributory. Tiers I-IV are noncontributory after ten years of participation and Tier V is required to contribute 3% of their salary, and Tier VI is required to contribute a minimum of 3% of their salary. Under the authority of the NYSRSSL, the Comptroller shall certify annually the rates of participating employers expressed as proportions of payroll of members, which shall be used in computing the contributions required to be made by those employers to the pension accumulation fund.

The City is required to contribute to the Systems at an actuarially determined rate. The required contributions for the current and prior two calendar years were $1,509,733, $1,668,827, and $1,822,264 for ERS, and $2,205,484, $2,287,767, and $2,726,392 for PFRS.

Chapter 57 of the Laws of 2010 of the State of New York was enacted that allows local employers to amortize a portion of their retirement bill for 10 years in accordance with the following stipulations:

i. For State fiscal year 2010-11, the amount in excess of the graded rate of 9.5 percent of employees' covered pensionable salaries, with the first payment of those pension costs not due until the fiscal year succeeding that fiscal year in which the amortization was instituted.
ii. For subsequent State fiscal years, the graded rate will increase or decrease by up to one percent depending on the gap between the increase or decrease in the System's average rate and the previous graded rate.
iii. For subsequent State fiscal years in which the System's average rates are lower than the graded rates, the employer will be required to pay the graded rate. Any additional contributions made will first be used to payoff existing amortizations, and then any excess will be deposited into a reserve account and will be used to offset future increases in contribution rates.

This law requires participating employers to make payments on a current basis, while bonding or amortizing existing unpaid amounts relating to the System's fiscal years ending March 31, 2007 through 2016. The total unpaid liability at the end of the fiscal year was $1,911,823 which is reported in the governmental activities of the government-wide financial statements.

NOTE A - Summary of Accounting Policies - continued

6. Financial Statement Amounts - continued

M. Pension Plans -continued

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions

At December 31, 2016, the City reported liabilities of $4,950,767 under the Employees' Retirement System, net of $17,043 allocated to the Kingston Local Development Corporation, and $7,969,833 under the Police and Fire Retirement System for its proportionate share of the net pension liability. This amount is recorded as a long-term liability due to the NYS Retirement System. The net pension liability was measured as of March 31, 2016, and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of that date. The City's proportion of the net pension liability was based on a projection of the City's long-term share of contributions to the pension plan relative to the projected contributions of all participating members, actuarially determined.

At March 31, 2016, the City's proportion was 0.0308454 percent of the Employees' Retirement System liability and 0.2691796 of the Police and Fire Retirement System.

For the year ended December 31, 2016, the City recognized pension expense of $1,831,262 under the Employees' Retirement System and $2,934,394 under the Police and Fire Retirement System. At December 31, 2016, the City reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Differences between expected and actual experience - ERS	$ 25,017	$ 586,831
Differences between expected and actual experience - PFRS	71,482	1,204,943
Changes of assumptions - ERS	1,320,220	-
Changes of assumptions - PFRS	3,435,769	-
Net difference between projected and actual investment earnings on pension plan investments - ERS	2,937,067	-
Net difference between projected and actual investment earnings on pension plan investments - PFRS	4,466,447	-
Changes in proportion and differences between employer contributions and proportionate share of contributions - ERS	224,824	4,311
Changes in proportion and differences between employer contributions and proportionate share of contributions - PFRS	70,902	46,050
Employer contributions subsequent to the measurement date - ERS	1,334,258	-
Total	$ 13,885,986	$ 1,842,135

NOTE A - Summary of Accounting Policies -continued

6. Financial Statement Amounts -continued

M. Pension Plans -continued

$1,334,258 reported as deferred outflows of resources related to pensions resulting from the City's contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ended December 31, 2017. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized in pension expense as follows:

Year ended December 31:	ERS	PFRS	TOTAL
2017	$ 999,882	$ 1,598,863	$ 2,598,745
2018	999,882	1,598,863	2,598,745
2019	999,882	1,598,863	2,598,745
2020	916,340	1,536,673	2,453,013
2021	-	460,346	460,346

Actuarial Assumptions

The total pension liability at March 31, 2016, was determined by using an actuarial valuation as of April 1, 2015, with update procedures used to roll forward the total pension liability to March 31, 2016. The actuarial valuation used the following actuarial assumptions.

Significant actuarial assumptions used in the April 1, 2015, valuation were as follows:

	ERS	PFRS
Inflation	2.5%	2.5%
Salary increases	3.8%	4.5%
Investment rate of return (net of investment expense, including inflation)	7.0%	7.0%
Cost of living adjustments	1.3%	1.3%

Annuitant mortality rates are based on April 1, 2010 – March 31, 2015 System's experience with adjustments for mortality improvements based on MP-2014.

The actuarial assumptions used in the April 1, 2015 valuation are based on the results of an actuarial experience study for the period April 1, 2010 – March 31, 2015.

The long term expected rate of return on pension plan investments was determined using a building block method in which best estimate ranges of expected future real rates of return (expected returns net of investment expense and inflation) are developed for each major asset class. These ranges are combined to produce the long term expected rate of return by weighting the expected future real rates of return of the target asset allocation percentage and by adding expected inflation.

NOTE A - Summary of Accounting Policies -continued

6. Financial Statement Amounts -continued

M. Pension Plans -continued

Best estimates of arithmetic real rates of return for each major asset class included in the target asset allocation as of March 31, 2016 are summarized below:

Asset Class	Long-Term Expected Real Rate of Return
Domestic equity	7.30%
International equity	8.55
Private equity	11.00
Real estate	8.25
Absolute return strategies	6.75
Opportunistic portfolio	8.60
Real assets	8.65
Bonds and mortgages	4.00
Cash	2.25
Inflation-indexed bonds	4.00

Discount Rate

The discount rate used to calculate the total pension liability was 7.0%. The projection of cash flows used to determine the discount rate assumes that contributions from plan members will be made at the current contribution rates and that contributions from employers will be made at statutorily required rates, actuarially. Based upon these assumptions, the System's fiduciary net position was projected to be available to make all projected future benefit payments of current plan members. Therefore the long term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total pension liability.

Sensitivity of the Proportionate Share of the Net Pension Liability to the Discount Rate Assumption

The following presents the City's proportionate share of the net pension liability calculated using the discount rate of 7.0 percent, as well as what the City's proportionate share of the net pension liability would be if it were calculated using a discount rate that is 1-percentage-point lower (6.0 percent) or 1-percentage-point higher (8.0 percent) than the current rate:

	1% Decrease (6.0%)	Current Assumption (7.0%)	1% Increase (8.0%)
City's proportionate share of the net ERS pension liability/(asset)	$ 11,163,620	$ 4,950,767	$ (298,828)
City's proportionate share of the net PFRS pension liability/(asset)	$ 17,801,462	$ 7,969,833	$ (271,201)

65

NOTE A - Summary of Accounting Policies -continued

6. Financial Statement Amounts -continued

M. Pension Plans -continued

Pension Plan Fiduciary Net Position

The components of the current-year net pension liability of all employers as of March 31, 2016, were as follows:

	Dollars in Thousands		
	Employees' Retirement System	**Police and Fire Retirement System**	**Total**
Employers' total pension liability	$ 172,303,544	$ 30,347,727	$ 202,651,271
Plan net position	156,253,265	27,386,940	183,640,205
Employers' net pension liability	$ 16,050,279	$ 2,960,787	$ 19,011,066
Ratio of plan net position to the employers' total pension liability	90.7%	90.2%	90.6%

N. Post-employment Benefits (Health insurance and firefighter disability)

From an accrual accounting perspective, the cost of postemployment benefits, like the cost of pension benefits, generally should be associated with the periods in which the cost occurs, rather than in the future year when it will be paid. In adopting the requirements of GASB statement No. 45 during the year ended December 31, 2008, the City recognizes the cost of postemployment benefits in the year when the employee services are received, reports the accumulated liability from prior years, and provides information useful in assessing potential demands on the City's future cash flows. Recognition of the liability accumulated from prior years will be phased in over 30 years, commencing with the 2008 liability.

Plan Description

The City provides continuation of medical insurance coverage to employees that retire directly from City employment after retirement age with a minimum of ten years of service. Based on collective bargaining agreements, the retiree and his or her beneficiaries receive this coverage for the life of the retiree and survivor. Healthcare benefits for non-union employees are based on those of union employees. Currently the retiree's share of premium cost ranges from 0 to 50%, depending on the employee group and lengths of service. These contracts will be renegotiated at various times in the future. Also, under requirements of state and local law, the City compensates firefighters that retire due to disability before the mandatory retirement age of 70. This compensation is equal to the differential between the retiree's pension and the salary that they would be paid if still in active service. There are currently 5 firefighters receiving such compensation.

The total number of participants as of January 1, 2016, the effective date of the OPEB valuations, follows:

Active employees	309
Retired employees	288
Spouses of deceased employees	0
	703

NOTE A - Summary of Accounting Policies -continued

6. Financial Statement Amounts -continued

O. Post-employment Benefits (Health insurance and firefighter disability) (Cont'd

Funding Policy

The City currently pays for postemployment healthcare benefits on a pay-as-you-go basis through premiums paid to insurance companies and reimbursements to retirees for their Medicare premiums.

Annual Other Post-employment Benefit Cost

For the year ended December 31, 2016, the City's annual OPEB cost (expense) was $11,380,000 and the Annual Required Contribution was $11,570,000. The payment of current health insurance premiums and Medicare reimbursement, which totaled $3,100,000 for retirees and their survivors, resulted in a Net OPEB obligation of $55,480,000 for the year ended December 31, 2016.

	Governmental Funds	Proprietary Funds	Total
Amortization of UAAL over 30 Years	$ 5,061,273	$ 698,727	$ 5,760,000
Normal cost with interest to end of year	5,172,104	637,896	5,810,000
Annual required contribution (ARC)	10,233,377	1,336,623	11,570,000
Interest on net OPEB obligation	1,542,395	227,605	1,770,000
Adjustment to annual required contribution	(1,708,642)	(251,359)	$ (1,960,000)
Annual OPEB cost	10,067,131	1,312,869	11,380,000
Contributions made	(2,673,654)	(426,346)	$ (3,100,000)
Increase in net OPEB obligation	7,393,477	886,523	8,280,000
Net OPEB obligation - beginning of year	41,303,975	5,896,025	47,200,000
Net OPEB obligation - end of year	$ 48,697,452	$ 6,782,548	$ 55,480,000

Actuarial Methods and Assumptions

Projections of benefits for financial reporting purposes are based on the substantive plan (the plan as understood by the employer and the plan members) and include the types of benefits provided at the time of each valuation and the historical pattern of sharing benefit costs between the employer and plan members to that point. The actuarial methods and assumptions used include techniques that are designed to reduce the effects of short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.

In the January 1, 2016 actuarial valuation, the most recent actuarial valuation date, the liabilities were computed using the projected unit credit cost method. The actuarial assumptions utilized a 3.75% discount rate. Because the plan is unfunded, this rate was selected based on the expected earnings of the City of Kingston's short-term investments such as certificates of deposit. The valuation assumes a current 8.00% healthcare cost trend increase, reduced by decrements to a rate of 0.5% per year through year seven, to 5.0% thereafter. GASB Statement #45 requires that actuarial valuations be performed at least biennially.

O. Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

NOTE A - Summary of Accounting Policies -continued

6. Financial Statement Amounts -continued

P. Subsequent Events

Management has evaluated subsequent events through October 2, 2017, the date the financial statements were available to be issued.

NOTE B - Interfund Receivables, Payables and Transfers

The following is a summary of amounts due from and due to other funds and transfers as of December 31, 2016. These inter-fund balances have been eliminated as part of the aggregation of balances in the statement of net assets. Such balances arise through the use of a pooled cash disbursement account and the temporary funding of capital projects. Transfers are recorded for the reimbursement of expenses and the permanent funding of capital projects.

FUND	RECEIVABLE	PAYABLE
General	$ 2,178,287	$ (1,355,853)
Capital Projects	1,041,194	(2,057,689)
Special Grant	-	-
Debt Service	162,975	(912,897)
subtotal Governmental funds	3,382,456	(4,326,439)
Proprietary funds:		
Water	-	(139,097)
Sewer	1,650,944	(567,864)
Subtotal Proprietary funds	1,650,944	(706,961)
TOTALS	**$ 5,033,400**	**$ (5,033,400)**

	TRANSFERS IN	TRANSFERS OUT
General	$ 34	$ (2,133,277)
Capital Projects	125,500	(34)
Special Grant	-	-
Debt Service	2,007,777	-
subtotal Governmental funds	2,133,311	(2,133,311)
Proprietary funds:		
Water	-	-
Sewer	-	-
Subtotal Proprietary funds	-	-
TOTALS	**$ 2,133,311**	**$ (2,133,311)**

NOTE C – Capital Assets

The table on the following page provides a summary of changes in capital assets. In addition, depreciation was charged to governmental activities functions as follows:

General government	$ 548,285
Public Safety	495,835
Transportation	1,234,897
Culture and Recreation	352,428
Home and community services	688,960
Total governmental activities depreciation	$ 3,320,405

The following describes the City's Capital Assets:

	Balance 12/31/2015	Additions	Disposals/ Transfers	Balance 12/31/2016
Governmental Activities:				
Land	$ 192,500	$ -	$ -	$ 192,500
Construction in progress	5,172,330	1,456,890	(4,095,121)	2,534,099
Depreciated Assets:				
Buildings	13,232,255	-	-	13,232,255
Improvements	14,927,400	1,897,828	-	16,825,228
Infrastructure	25,682,106	4,819,257	-	30,501,363
Machinery and equipment	15,502,375	1,688,835	-	17,191,210
Total Capital Assets	74,708,966	9,862,810	(4,095,121)	80,476,655
Less accumulated depreciation:				
Buildings	5,966,683	287,852	-	6,254,535
Improvements	6,184,726	690,916	-	6,875,642
Infrastructure	10,067,068	1,115,105	-	11,182,173
Machinery and equipment	10,739,310	1,226,532	-	11,965,842
Total Accumulated Depreciation	32,957,787	3,320,405	-	36,278,192
Net Governmental Assets	41,751,179	6,542,405	(4,095,121)	44,198,463
Business-Type Activities:				
Land	118,729	-	-	118,729
Construction in progress	4,300,103	3,071,549	(3,607,066)	3,764,586
Depreciated Assets:				
Buildings	4,996,149	1,518,737	-	6,514,886
Improvements	16,973,641	4,572	-	16,978,213
Infrastructure	26,006,807	4,463,811	-	30,470,618
Machinery and equipment	3,862,101	523,438	-	4,385,539
Total Capital Assets	56,257,530	9,582,107	(3,607,066)	62,232,571
Less accumulated depreciation:				
Buildings	1,380,355	205,196	-	1,585,551
Improvements	12,054,465	494,474	-	12,548,939
Infrastructure	7,994,052	691,283	-	8,685,335
Machinery and equipment	2,590,793	209,065	(21,986)	2,777,872
Total Accumulated Depreciation	24,019,665	1,600,018	(21,986)	25,597,697
Net Business-Type Assets	32,237,865	7,982,089	(3,585,080)	36,634,874
Primary Government Net Assets	$ 73,989,044	$ 14,524,494	$ (7,680,201)	$ 80,833,337

NOTE D - Long-Term Liabilities

Constitutional Debt Limit- At December 31, 2016 the total outstanding indebtedness of the City of Kingston aggregated $38,062,086. Of this amount, $24,964,275 was subject to the constitutional debt limit and represented approximately 25% of its limit.

Sources of Repayment - Governmental activities debt will be repaid from the general fund and business-type debt will be repaid from the applicable enterprise fund. Compensated absences, retirement and OPEB liabilities are paid from the fund responsible for the employee's compensation. Generally all other liabilities are paid from the general fund.

1. Types

a. Serial Bonds

The City of Kingston borrows money in order to acquire land or equipment or construct buildings and improvements. This enables the cost of these capital assets to be borne by the present and future taxpayers receiving the benefit of these capital assets. These long-term liabilities, which are backed by the full faith and credit of the City, are recorded in the enterprise funds and government-wide statements.

b. Loans Payable

i. NYS Environmental Facilities Corp - The Water Department received $1,183,625 from the Clean Water & Drinking Water Revolving Fund Revenue Bond of 1998 for improvements to its filtration plant. In addition, the Department received $2,545,816 from the 2004 Bond and $571,283 from the 2005 Bond for improvements to the water treatment plant. Interest payments are reduced by subsidies from the state.

ii. NYS Environmental Facilities Corp - The City received $1,552,579 from the Clean Water & Drinking Water Revolving Fund Revenue Bond series 2005B for the Wilbur Avenue Siphon project and pretreatment pump station. Interest payments are reduced by subsidies from the state. The total interest due on these bonds for the current year was reduced by $14,630.

iii. NYS Environmental Facilities Corp - The City received $5,819,243 from the Clean Water & Drinking Water Revolving Fund Revenue Bond series 2011C for sewer improvements. Interest payments are reduced by subsidies from the state. The total interest due on these bonds for the current year was reduced by $80,077.

c. Judgments and Claims

As described in Note D.1f. Insurance Claims, the City has prepared an estimate of future loss liabilities based on historical trends and other factors.

d. Compensated Absence and Vacation Pay Obligations

The City has prepared an estimate of the value of earned but unused leave time which is payable from future resources.

NOTE D - Long-Term Liabilities -continued

1. Types -continued

e. Landfill Costs

State and federal laws and regulations required the City to place a final cover on its landfill site and to perform certain maintenance and monitoring functions at the site for thirty years after closure. The final closure of the landfill took place in 1998. The estimated cost to the City of post-closure care was $2,692,498 at December 31, 2011. This estimate had not been reviewed for several years. Now that the City is half way through the post-closure period, the prior costs were reviewed to determine if the estimate was still appropriate. As a result of this review, the estimated remaining liability was increased to $601,842 and is reported with other long-term liabilities in the government- wide statement of net assets. Actual costs may vary due to inflation, changes in technology, or changes in regulations.

f. Insurance Claims

The City has adopted an insurance program which includes a self-insurance portion. The City is responsible for the payment of the first $50,000 of every claim arising prior to June 1, 1998 and for claims subsequent to that date, the self-insured retention is $25,000. Judgments and claims are recorded when it is probable that an asset has been impaired or a liability has been incurred and the amount of loss can be reasonably estimated. It is estimated that the City's potential liability for claims for the period prior to December 31, 2012 is $300,000 of which $225,804 has been reserved in the general fund.

The City also participates in a risk sharing pool to insure workers' compensation claims. This is a public entity risk pool created under Article 5, Workers' Compensation Law, to finance liability and risks related to workers' compensation claims. The City's share of the liability for unbilled and open claims is $402,340 which is reported with other long term liabilities in the government-wide financial statements.

2. Schedule of Notes Payable

Description	Date of Issue	Interest Rate	Balance 12/31/2015	Issued (Paid) During Year	Balance 12/31/2016	Date of Maturity
DUE IN ONE YEAR						
Bond Anticipation:						
Equipment/Improvement	Nov-16	1.00%	$ 5,007,077	$ 992,418	$ 5,999,495	Nov-17
Sewer Improvements	Nov-16	1.00%	1,730,040	1,878,798	3,608,838	Nov-17
Water Improvements	Mar-16	1.25%	1,395,000	(35,000)	1,360,000	Mar-17
Total Bond Anticipation			8,132,117	2,836,216	10,968,333	
DUE IN MULTIPLE YEARS						
Bond Anticipation:						
Water Improvements	Mar-16	2.00%	-	1,490,754	1,490,754	Mar-17
Installment Debt:						
Equipment #3	Nov-05	4.49%	314,385	(57,479)	256,906	Nov-20
Wendel Energy	Aug-07	3.41%	2,115,495	(272,645)	1,842,850	Aug-22
Water Vehicles	Jun-10	5.90%	137,100	(37,556)	99,544	Jun-17
Total Installment Debt			2,566,980	1,123,074	3,690,054	

NOTE D - Long-Term Liabilities -continued

3. Changes

The changes in the City's indebtedness during the year ended December 31, 2016, are summarized as follows:

	Balance 12/31/2015	Additions	Reductions	Balance 12/31/2016	Due in One Year
Governmental Activities:					
Bonds Payable	$ 10,956,387	$ -	$ (1,801,049)	$ 9,155,338	$ 1,785,683
Retirement payable	2,244,092		(332,270)	1,911,822	-
Compensated absences	2,786,701	-	(150,585)	2,636,116	-
Judgements and claims	68,103		(77,800)	(9,697)	-
Landfill closure liability	599,696	2,146		601,842	-
Installment debt	851,298	-	(121,210)	730,088	125,766
Share of net pension liability	1,441,500	9,986,876	-	11,428,376	-
OPEB liability	41,303,975	7,393,477	-	48,697,452	-
Total governmental	60,251,752	17,382,499	(2,482,914)	75,151,337	1,911,449
Business-type Activities:					
Bonds Payable	15,591,241	-	(1,143,579)	14,447,662	1,131,317
Installment debt	1,715,683	-	(246,470)	1,469,213	216,246
Compensated absences	428,010	84,192	-	512,202	5,383
Share of net pension liability	320,611	1,154,570	-	1,475,181	-
OPEB liability	5,896,025	886,523	-	6,782,548	-
Total business-type	23,951,570	2,125,285	(1,390,049)	24,686,806	1,352,946
Total Long Term Debt	$ 84,203,322	$ 19,507,784	$ (3,872,963)	$ 99,838,143	$ 3,264,395

4. Description

a. Serial Bonds

The City borrows money in order to acquire or construct buildings and public improvements. This enables the cost of these capital assets to be borne by the present and future taxpayers receiving the benefit of the capital assets. These long-term liabilities, which are backed by the full faith and credit of the City, are recorded in the long-term liabilities. The provision to be made in future budgets for capital indebtedness represents the amount, exclusive of interest, authorized to be collected in future years from taxpayers and others for liquidation of long-term liabilities.

NOTE D - Long-Term Liabilities -continued

7. **Description -continued**

a. **Serial Bonds (Cont'd).**

The following is a schedule of the City's serial bonds as of December 31, 2016:

Despcription	Date of Issue/ Maturity	Interest Rate	Total Authorized	Balance 12/31/2015	Issued (Paid) During Year	Balance 12/31/2016
Serial Bonds:						
Clean Water Revolving Loan	3/98 to 6/18	3.75% to 5.20%	$ 1,183,625	$ 195,000	$ (65,000)	$ 130,000
Clean Water Revolving Loan	7/04 to 2/25	1.99% to 5.025%	2,545,816	1,465,000	(125,000)	1,340,000
Clean Water Revolving Loan	3/05 to 5/25	2.10% to 4.405%	571,283	239,221	(21,221)	218,000
Clean Water Revolving Loan	7/05 to 10/24	2.059% to 3.969%	1,552,579	770,000	(80,000)	690,000
Public Improvement Serial	7/06 to 3/26	4.250% to 4.375%	4,116,824	220,000	(220,000)	-
Public Improvement Serial	4/10 to 4/27	2% to 4.25%	4,815,000	2,475,000	(300,000)	2,175,000
Refunding Serial Bonds	5/13 to 2/18	2% to 3.6%	3,110,000	1,860,000	(605,000)	1,255,000
Clean Water Revolving Loan	7/11 to 5/31	.28% to 4.113%	5,819,243	4,895,000	(295,000)	4,600,000
Clean Water Revolving Loan	11/12 to 11/32	.285% to 3.483%	3,552,158	3,080,000	(155,000)	2,925,000
Refunding Serial Bonds	8/15 to 8/21	.285% to 3.483%	2,675,000	2,675,000	(260,000)	2,415,000
Public Improvement Serial	3/15 to 3/35	.285% to 3.483%	8,673,407	8,673,407	(818,407)	7,855,000
				$ 17,874,221	$ (2,944,628)	$ 23,603,000

b. **Bond Anticipation Notes Payable**

Liabilities for bond anticipation notes (BANs) are generally accounted for in the capital projects and enterprise funds. Principal payments must be made annually. State law requires that BANs issued for capital purposes be converted to long-term obligations within five years after the original issue date.

NOTE D - Long-Term Liabilities -continued

4. Description -continued

c. Amortization

The annual requirements needed to amortize bonded debt and installment debt as of December 31, 2016, are as follows:

	Governmental Activities		Business-type Activities			
			Sewer Fund		Water Fund	
	Principal	Interest	Principal	Interest	Principal	Interest
2017	$ 1,785,683	$ 241,648	$ 684,539	$ 291,186	$ 446,778	$ 181,871
2018	1,666,648	198,041	623,396	274,438	451,756	169,336
2019	742,848	163,153	622,396	256,156	391,756	156,978
2020	601,143	142,128	659,095	235,782	396,762	144,984
2021	611,143	124,671	659,095	213,790	410,762	132,152
2022-2026	2,260,673	408,818	3,039,327	741,017	1,979,000	439,680
2027-2031	943,000	148,730	2,038,000	262,660	1,304,000	164,639
2032-2036	544,000	37,208	375,000	25,591	366,000	13,441
	$ 9,155,138	$ 1,464,397	$ 8,700,848	$ 2,300,620	$ 5,746,814	$ 1,403,081

d. Advance Refunding of Public Improvement Debt

In May 2005, the City issued $3,335,000 in serial bonds, and in July 2006, issued $4,116,824 in serial bonds through the Depository Trust Company. The proceeds were used to fund public improvements in the City, with $4,981,824 allocated to General Fund, $2,395,000 allocated for Sewer purposes, and $75,000 allocated for Water purposes. The net proceeds of the 2015 refunding, $2,932,469, after payment of $70,850 in underwriting and other issuance costs, were used to purchase state and local securities. These securities were deposited in an irrevocable trust with an escrow agent to provide for all future debt service payments on the refunded debt. As of August 19, 2015, the escrow funds were deposited to pay the refunded debt of $2,810,000. The outstanding principal balance on the refunding debt totaled $2,415,000 at December 31, 2016, with an average interest rate of 4.30%

NOTE E - Commitments and Contingencies

1. Litigation

There are several pending and/or threatened claims against the City for personal injury and/or property damages. In the opinion of counsel most of these claims are either fully insured or their settlement will not have a material effect on the financial statements. Also, several property owners within the City have filed certiorari claims for reductions in assessed valuations. In the opinion of counsel, the results of these proceedings cannot be determined at this time.

2. Grant Programs

The City participates in a number of grant programs. These programs are subject to financial and compliance audits by the grantors or their representatives. The audits of these programs may be conducted, in accordance with grantor requirements, on a periodic basis. Accordingly, the City's compliance with applicable grant requirements will be established at some future date. The amounts, if any, of expenditures which may be disallowed by the granting agencies cannot be determined at this time although the City believes, based upon its review of current activity and prior experience, the amount of such disallowances, if any, will be minimal.

3. Authorized Debt

In addition to the debt shown above, $31,084,460 had been authorized but was unissued at December 31, 2016.

4. Capital Projects

The Water Department and City of Kingston other than the Water Department have prepared four and five-year capital plans. The current estimated total cost of projects contemplated for the next five years is $28,164,964 for the Water Department and $17,700,000for the City other than the Water Dept. These projects are prioritized based on criteria, the highest of which is that the project is legally mandated. Unfortunately only a portion of these projects will be undertaken due to limitations on funding sources which include a combination of budget appropriations, grants and borrowing.

5. Deferred Compensation Plan

City employees may elect to participate in the deferred compensation plan created in accordance with IRS Section 457. The plan, available to all employees, permits them to defer a portion of their salary until future years, usually after retirement.

On October 1, 1997 the NY State Deferred Compensation Board (Board) created an agreement that made Chase Manhattan Bank the Trustee and Custodian of the plan. Consequently, Statement No. 32 became effective for the New York State Deferred Compensation Plan as of October 1, 1997. Since the Board is no longer the trustee of the plan, the plan no longer meets the criteria for inclusion in New York State's financial statements. Therefore, municipalities which participate in New York State's Deferred Compensation Plan are no longer required to record the value of the plan assets.

NOTE F – Jointly Governed Organization

The City of Kingston and Kingston City School District have undertaken the joint operation and maintenance of Dietz Stadium, a recreational facility, through the Dietz Stadium Commission. The Mayor appoints three members of the seven-member board. This activity is excluded from the financial statements of all participating municipalities. The City has no equity interest in the Commission. All expenses are shared equally by the parties.

NOTE G – Lease Agreements

In August 2005, the City entered into an agreement with Aslan Environmental Services to implement and manage a process for converting sludge into bio-solid pellets with equipment provided by Aslan. The initial term of the agreement was for 10 years; however, it was later increased to 15 years. The initial monthly payment is $19,600 with an increase of 3% for each of the subsequent years. The City has the option to purchase the equipment based on the following schedule with an exercise payment of 5% of the purchase price. The first payment under the lease was not due until the equipment commenced operation to dry the sludge. A total of $220,104 was paid in 2016.

Schedule of terms for options to purchase:

	Price	Credit
< 2 years	$ 1,900,000	16,000
2-4 years	1,650,000	32,000
4-6 years	1,400,400	48,000
6-8 years	1,150,000	64,000
> 8 years	900,000	80,000

NOTE H – Prior Period Adjustment

In 2016, the following prior period adjustments were recorded to properly state fund balance and net position as of December 31, 2015:

Location	Amount	Reason	Effect
Government Wide	$ 3,156,025	To decrease OPEB liability overstated in prior year	Increase net position
Special Grant	54,639	To reflect write off of uncollectable receivables	Decrease of net position
Water Fund	18,884	To agree opening fund balance to prior year audit report received after 2015 audit date	Increase of net position

NOTE I – New Reporting Standards

GASB issued Statement 72, Fair Value Measurement and Application, which addresses accounting and financial reporting issues related to fair value measurements. The definition of fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants and the measurement date. This Statement provides guidance for determining a fair value measurement for financial reporting purposes and for applying fair value to certain investments and disclosures related to all fair value measurements. GASB Statement 72 is effective for fiscal year 2016. The City determined that the new standard did not have a significant impact on its financial reporting.

GASB has issued Statement 73, Accounting and Financial Reporting for Pension and Related Assets that are not within the Scope of GASB Statement 68, and Amendments to Certain Provisions of GASB Statements 67 and 68, which improves the usefulness of information about pensions included in the general purpose external financial reports of state and local government for making decisions and assessing accountability. This Statement also amends Statement 67, Financial Reporting for Pension Plans. GASB Statement 73 is effective for fiscal year 2016. The City determined that the new standard did not have a significant impact on its financial reporting.

GASB has issued Statement 74, Financial Reporting for Postemployment Benefit Plans Other Than Pension Plans, which improves the usefulness of information about postemployment benefits other than pensions included in the general purpose external financial reports of state and local government OPEB plans for making decisions and assessing accountability. The City determined that the new standard did not have a significant impact on its financial reporting.

GASB has issued Statement 75, Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions, which improves accounting and financial reporting by state and local governments for postemployment benefits other than pensions. It also improves the information provided by state and local governmental employers about support for OPEB that is provided by other entities. The City is currently studying the Statement and plans on adopting if and when required, which will be for the December 31, 2018 financial statements.

GASB has issued Statement 77, Tax Abatement Disclosures. This Statement requires governments to disclose in the notes certain information related to tax abatement agreements. GASB Statement 77 is effective for fiscal year 2016. The City has implemented Statement 77 as required.

In November 2016, GASB issued Statement No. 83, *Certain Asset Retirement Obligations* (GASB 83). GASB 83 addresses accounting and financial reporting for certain asset retirement obligations (AROs). An ARO is a legally enforceable liability associated with the retirement of a tangible capital asset. A government that has legal obligations to perform future asset retirement activities related to its tangible capital assets should recognize a liability based on the guidance in this Statement. The requirements of GASB 83 are effective for reporting periods beginning after June 15, 2018. The City has not evaluated the effect of GASB 83 on its financial statements.

In January of 2017, GASB issued Statement No. 84, *Fiduciary Activities*. The objective of Statement No.84 is to improve guidance regarding the identification of fiduciary activities for accounting and financial reporting purposes and how those activities should be reported. Statement No. 84 establishes criteria for identifying fiduciary activities of all state and local governments. The focus of the criteria generally is on (1) whether a government is controlling the assets of the fiduciary activity and (2) the beneficiaries with whom a fiduciary relationship exists. Separate criteria are included to identify fiduciary component units and postemployment benefit arrangements that are fiduciary activities. The City has not evaluated the effect of GASB 83 on its financial statements.

In March 2017, GASB issued Statement 85, *Omnibus 2017.* This Statement addresses a variety of topics including issues related to blending component units, goodwill, fair value measurement and application, and postemployment benefits (pensions and other postemployment benefits [OPEB]). The City is required to implement this standard for the year ending December 31, 2018.

NOTE I – New Reporting Standards - continued

The City has also issued Statements 76, 78-82, and 86, none of which are expected to have any substantive effects on the City's net position.

NOTE J – Subsequent Events

On March 23, 2017, the City renewed a bond anticipation note for $3,122,439 at 2.00%. The note was for the purposes of various capital improvements and equipment purchases for various City departments.

NOTE K – Restated Financial Statements

The City has restated its 2016 financial statements because of a change in the classification of fund balance in the General Fund. The overall fund balance for the General Fund did not change.

REQUIRED SUPPLEMENTARY INFORMATION

EXHIBIT I

CITY OF KINGSTON, NEW YORK
BUDGETARY COMPARISON SCHEDULE
GENERAL FUND
FOR THE YEAR ENDED DECEMBER 31, 2016

	GENERAL FUND			
	ORIGINAL BUDGET	FINAL BUDGET	ACTUAL	VARIANCE FAVORABLE (UNFAVORABLE)
REVENUES				
Real property taxes	$ 17,669,687	$ 17,669,687	$ 17,167,464	$ (502,223)
Other property tax items	1,088,000	1,088,000	1,036,276	(51,724)
Non property tax items	13,370,000	13,386,281	13,609,148	222,867
Departmental income	2,378,144	2,391,244	2,347,725	(43,519)
Intergovernmental charges	74,500	74,500	65,000	(9,500)
Use of money and property	32,500	32,500	28,477	(4,023)
Licenses and permits	387,800	387,800	565,322	177,522
Fines and forfeitures	235,000	235,000	206,344	(28,656)
Sale of property and compensation for loss	54,300	54,300	145,580	91,280
Miscellaneous local sources	454,700	454,700	459,570	4,870
State aid	3,779,151	3,779,151	3,829,008	49,857
Federal aid	509,500	509,500	622,688	113,188
Total revenues	40,033,282	40,062,663	40,082,602	19,939
Appropriation of prior year's fund balance	775,000	882,095	-	(882,095)
Total revenues and appropriation of prior year's fund balance	40,808,282	40,944,758	40,082,602	(862,156)
EXPENDITURES				
General Government Support	6,257,827	6,013,233	5,622,147	391,086
Public safety	20,045,603	20,287,999	19,450,322	837,677
Health	57,379	57,379	49,591	7,788
Transportation	3,311,192	3,347,567	3,142,448	205,119
Economic assistance & opportunity	142,003	208,561	171,637	36,924
Culture and recreation	2,063,751	2,099,996	1,918,316	181,680
Home and community service	3,428,344	3,449,120	3,240,465	208,655
Employee benefits, retirees	2,889,000	2,889,000	2,962,134	(73,134)
Debt Service:				
Principal	451,048	451,048	459,563	(8,515)
Interest	94,256	94,256	67,309	26,947
Total expenditures	38,740,403	38,898,159	37,083,932	1,814,227
Excess (deficiency) of revenues over expenditures	2,067,879	2,046,599	2,998,670	952,071
Other financing sources (uses):				
Operating transfers in	-	-	34	34
Operating transfers out	(2,067,879)	(2,046,599)	(2,133,277)	(86,678)
Total other financing sources	(2,067,879)	(2,046,599)	(2,133,243)	(86,644)
Excess (deficiency) of revenues and appropriation of prior year's fund balance and other sources over (under) expenditures, encumbrances	$ -	$ -	$ 865,427	$ 865,427

CITY OF KINGSTON, NEW YORK
OTHER POST-EMPLOYMENT BENEFITS
PLAN SCHEDULE OF FUNDING PROGRESS
DECEMBER 31, 2016

EXHIBIT II

	Year	Annual OPEB Cost	Annual OPEB Cost Contributed	Net OPEB Obligation	Unfunded Actuarial Liability	Funded Ratio	Covered Payroll	UAAL as % of Payroll
*	2016	$ 11,380,000	27%	$ 55,480,000	$ 138,530,000	0%	$ 19,710,000	703%
*	2015	9,150,000	32%	47,200,000	121,140,000	0%	18,490,000	673%
*	2014	11,380,000	32%	47,200,000	115,100,000	0%	19,800,000	591%

* Includes Water Fund portion of OPEB liability

CITY OF KINGSTON, NEW YORK
SCHEDULE OF THE PROPORTIONATE SHARE
OF THE NET PENSION LIABILITY
DECEMBER 31, 2016

EXHIBIT III

NYSLRS Pension Plan
Last 10 Fiscal Years*

	Employees' Retirement System March 31, 2016	Police and Fire Retirement System March 31, 2016	Employees' Retirement System March 31, 2015	Police and Fire Retirement System March 31, 2015
City's proportion of the net pension liability (asset)	0.0308454%	0.2691796%	0.0310462%	0.2604413%
City's proportionate share of the net pension liability (asset)	$ 4,950,767	$ 7,969,833	$ 1,045,219	$ 716,890
City's covered-employee payroll	$ 9,085,750	$ 10,826,434	$ 8,805,830	$ 10,492,852
City's proportionate share of the net pension liability (asset) as a percentage of its covered-employee payroll	54.49%	73.61%	11.87%	6.83%
Plan fiduciary net position as a percentage of the total pension liability	90.68%	90.24%	97.95%	99.03%

*The amounts presented for each fiscal year were determined as of the System's measurement date

This schedule is presented to illustrate the requirement to show information for 10 years. However, until a full 10-year trend is compiled, the City will present information for those years for which information is available.

CITY OF KINGSTON, NEW YORK **EXHIBIT IV**

SCHEDULE OF EMPLOYER CONTRIBUTIONS

DECEMBER 31, 2016

NYSLRS Pension Plan
Last 10 Fiscal Years*

	Employees' Retirement System March 31, 2016	Police and Fire Retirement System March 31, 2016	Employees' Retirement System March 31, 2015	Police and Fire Retirement System March 31, 2015
Contractually required contribution	$ 1,831,262	$ 2,934,394	$ 979,145	$ 1,650,841
Contributions in relation to the contractually required contribution	1,509,733	2,205,484	1,668,827	2,287,767
Contribution (deficiency)/excess	$ (321,529)	$ (728,910)	$ 689,682	$ 636,926
City's covered-employee payroll	$ 9,085,750	$ 10,826,434	$ 8,805,830	$ 10,492,852
Contributions as a percentage of covered-employee payroll	16.62%	20.37%	18.95%	21.80%

*The amounts presented for each fiscal year were determined as of the System's measurement date

This schedule is presented to illustrate the requirement to show information for 10 years.
However, until a full 10-year trend is compiled, the City will present
information for those years for which information is available.

OTHER SUPPLEMENTARY INFORMATION

LIMITED LIABILITY PARTNERSHIP
CERTIFIED PUBLIC ACCOUNTANTS BUSINESS DEVELOPMENT CONSULTANTS

INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Mayor and
Members of the Common Council
City of Kingston
Kingston, New York

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the City of Kingston, as of and for the year ended December 31, 2016, and the related notes to the financial statements, which collectively comprise the City of Kingston's basic financial statements, and have issued our report thereon dated October 2, 2017. We did not audit the financial statements of the City's Water Fund, as shown on the Statement of Net Position and Statement of Revenues, Expenses, and Changes in Net Position – Proprietary Funds. Those statements were audited by other auditors, whose report has been furnished to us.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the City of Kingston, New York's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the City of Kingston, New York's internal control. Accordingly, we do not express an opinion on the effectiveness of the City of Kingston, New York's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness in internal control, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit, we did not identify any deficiency in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

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Compliance and Other Matters

As part of obtaining reasonable assurance about whether the City of Kingston, New York's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards.*

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the City of Kingston, New York's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City of Kingston, New York's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

RBT CPAs, LLP

Poughkeepsie, New York
October 2, 2017

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY UNIFORM GUIDANCE

To the Mayor and
Members of the Common Council
City of Kingston
Kingston, New York

Report on Compliance for Each Major Federal Program

We have audited the City of Kingston, New York's compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the City of Kingston's major federal programs for the year ended December 31, 2016. The City of Kingston's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of City of Kingston, New York's major federal programs based on our audit of the types of compliance requirements referred to above. We did not audit the compliance over major programs of the City's Water Fund. This audit was performed by other auditors, whose reports has been furnished to us, and our opinion, as it relates to the compliance over the Water Fund's federal programs, is based solely on the report of the other auditors. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States; and the audit requirements of Title 2, U.S. *Code of Federal Regulations,* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the City of Kingston, New York's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination on the City of Kingston, New York's compliance.

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Opinion on Each Major Federal Program

In our opinion, City of Kingston, New York complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs for the year ended December 31, 2016.

Report on Internal Control Over Compliance

Management of the City of Kingston, New York is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the City of Kingston, New York's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the City of Kingston, New York's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in Internal Control over Compliance that we consider to be a material weakness. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

RBT CPAs, LLP

Poughkeepsie, New York
October 2, 2017

LIMITED LIABILITY PARTNERSHIP
CERTIFIED PUBLIC ACCOUNTANTS BUSINESS DEVELOPMENT CONSULTANTS

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE AND CONTROLS OVER STATE TRANSPORTATION ASSISTANCE EXPENDED BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Mayor
and Members of the Common Council
City of Kingston
Kingston, New York

Report on Compliance for Each State Transportation Assistance Program

We have audited the City of Kingston, New York's compliance with the types of compliance requirements described in the preliminary Draft Part 43 of the New York State Codification of Rules and Regulations (NYCRR) that could have a direct and material effect on each of City of Kingston, New York's state transportation assistance programs that were tested for the year ended December 31, 2016. The programs tested are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its state transportation assistance programs.

Auditors' Responsibility

Our responsibility is to express an opinion on the City of Kingston, New York's compliance based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States; and Draft Part 43 of NYCRR. Those standards and Draft Part 43 of NYCRR require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a tested state transportation assistance program occurred. An audit includes examining, on a test basis, evidence about the City of Kingston, New York's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each transportation assistance program that was tested. However, our audit does not provide a legal determination on the City of Kingston, New York's compliance.

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Opinion on State Transportation Assistance Program

In our opinion, the City of Kingston, New York, complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its state transportation assistance programs tested for the year ended December 31, 2016.

Report on Internal Control Over Compliance

The management of the City of Kingston, New York is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the City of Kingston, New York's internal control over compliance with the types of requirements that could have a direct and material effect on the state transportation assistance programs that were tested in order to determine the auditing procedures are appropriate in the circumstances for the purpose of expressing our opinion for each tested program and to test and report on internal control over compliance in accordance with Draft Part 43 of NYCRR, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the City of Kingston, New York's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a state transportation assistance program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a state transportation assistance program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency or combination of deficiencies, in internal control over compliance with a type of compliance requirement of a program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the preliminary Draft Part 43 of NYCRR. Accordingly, this report is not suitable for any other purpose.

RBT CPAs, LLP

Poughkeepsie, New York
October 2, 2017

CITY OF KINGSTON
SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
DECEMBER 31, 2016

Financial Statement Findings:

No matters were reported.

Findings and Questioned Costs for Federal Awards:

No matters were reported.

CITY OF KINGSTON, NEW YORK
OTHER SUPPLEMENTARY INFORMATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
AND SELECTED STATE AWARDS
DECEMBER 31 ,2016

Grantor/ Program Title	NYSDOT Contract/ Reference Number	CFDA Number	Passed Through to Subrecipients	Federal/State Expenditures
US DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				
DIRECT PROGRAMS:				
* CDBG-Entitlement		14.218	$ 141,632	$ 1,278,086
PASSED THROUGH NYS HOUSING TRUST FUND CORP.:				
Home Investment Partnerships Program	SHARS ID 20113154	14.239	-	40,310
US DEPARTMENT OF TRANSPORTATION				
DIRECT PROGRAMS:				
Federal Transit Formula Grant		20.507	-	634,000
			-	634,000
PASSED THROUGH STATE:				
* Highway Planning & Construction		20.205	-	1,035,444
			-	1,035,444
US DEPARTMENT OF HOMELAND SECURITY				
PASSED THROUGH STATE DHSES:				
Tactical Team Targeted Grant	C158839	97.067	-	94,576
ENVIRONMENTAL PROTECTION AGENCY				
PASSED THROUGH STATE				
* Capitalization Grants for Drinking Water State Revolving Funds	18147-75	66.468	-	1,526,254
TOTAL FEDERAL AWARDS EXPENDED			$ 141,632	$ 4,608,670

STATE TRANSPORTATION ASSISTANCE		
New York State Department of Transportation:		
CHIPS - Capital Reimbursement Component	n/a	$ 532,877
State Transit Op Assistance - Sponsor Operated	n/a	234,123
State Formula Grant -5307	NY-90-X769	38,250
Marchiselli Match - Federal Highway Projects	8756.18.121	165,228
Marchiselli Match - Federal Highway Projects	8757.10.221	294
Marchiselli Match - Federal Highway Projects	8760.29.121	4,055
TOTAL STATE TRANSPORTATION ASSISTANCE EXPENDITURES		$ 974,827

* A major program

CITY OF KINGSTON, NEW YORK
NOTES TO SCHEDULE OF EXPENDITURES OF
FEDERAL AND SELECTED STATE AWARDS
DECEMBER 31, 2016

NOTE 1 – Basis of Presentation

The accompanying schedule of expenditures of federal and selected state awards includes all grants awarded from the Federal government and financial assistance programs provided by the NYS Department of Transportation to the City of Kingston (primary government only) and is presented on the modified accrual basis of accounting. See individual financial statements for reporting on component units. The information in this schedule is presented in accordance with the requirements of Title 2, U.S. *Code of Federal Regulations,* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance), *Organizations.* Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of the financial statements.

NOTE 2 – Subrecipients

The following is a summary of subrecipient activity for 2016:

Program Title	CDFA Number	Amount Provided to Subrecipients
Entitlement- Direct Programs	14.218	$ 141,632

In addition, the City of Kingston has designated the Kingston Local Development Corporation (KLDC) as its subrecipient for purposes of the RUD 108 Loan program. Since this is a loan program, no activity is reflected in the City's financial statements. See KLDC financial statement for details on outstanding 108 loans.

CITY OF KINGSTON, NEW YORK
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
DECEMBER 31, 2016

SECTION I - SUMMARY OF AUDITORS' RESULTS

	Response
Financial Statements	
Type of auditors' report issued	Unmodified
Internal control over financial reporting:	
Material weaknesses identified?	No
Significant deficiencies identified that are not material weaknesses?	No
Noncompliance material to the financial statements noted?	No
Federal Awards	
Internal control over financial reporting:	
Material weaknesses identified?	No
Significant deficiencies identified that are not material weaknesses?	No
Type of auditors' report issued on compliance for major programs:	Unmodified
Any audit findings disclosed that are required to be reported in accordance with Uniform Guidance?	No
Identification of major programs:	
Name of Federal Program	CFDA Numbers
Highway Planning and Construction	20.205
CDBG - Entitlement	14.218
Capitalization Grants for Drinking Water State Revolving Funds	66.468
Dollar threshold to distinguish between type A and type B programs	$750,000
Auditee qualified as low-risk auditee	No
State Transportation Assistance	
Internal control over financial reporting:	
Material weaknesses identified?	No
Significant deficiencies identified that are not material weaknesses?	No
Type of auditors' report issued on compliance for programs tested	Unmodified
Summary of audit findings	No State
Identification of state transportation programs tested	CHIPS

SECTION II - FINANCIAL STATEMENT FINDINGS

No matters were reported

SECTION III - FINDINGS AND QUESTIONED COSTS FOR FEDERAL AND SELECTED STATE AWARDS

No matters were reported

KINGSTON WATER DEPARTMENT

PO BOX 1537, CITY OF KINGSTON, NY 12402

November 21, 2017

City of Kingston Common Council
420 Broadway
Kingston, NY 12401

Honorable Members of the Common Council:

Pursuant to the Charter of the City of Kingston, New York, Chapter 16-5 and Section 395-29 of the Administrative Code of the City of Kingston, NY:

C16-5:
Water charges shall be a lien upon real estate until paid. Annually, before December 1, the Board of Water Commissioners shall transmit a statement to the Common Council of water charges in arrears for more than three months, with a description of the affected property. The Common Council, upon receipt of the statement, shall cause such unpaid charges, with 6% thereon, to be levied in addition to the general city tax at the time of the next levy, and such charges may be enforced in the same manner as provided in the City Charter for the collection of property taxes. Unpaid water charges remaining unpaid for a prescribed period of time subject the affected property to tax foreclosure. The Comptroller will pay the Water Commissioners for unpaid water charges on or before June 10 in each year.

S395-29:
In the event that water rents are in arrears for more than three months, the water rents and interest and charges thereon shall become a lien upon the real estate against which the water rents shall have been laid, levied or charged.

A copy of the unpaid water and sewer charges that are to be re-levied on the 2018 general tax bills is attached.

Respectfully submitted,

Judith A. Hansen,
Superintendent

Cc: John Tuey, Comptroller

OFFICE: 111 JANSEN AVE. • (845)331-0175 FAX (845)340-9209
E-MAIL: water@kingston-ny.gov

KINGSTON WATER DEPARTMENT

PO BOX 1537, CITY OF KINGSTON, NY 12402

THE FOLLOWING IS A LIST OF ALL ***UN-PAID*** *WATER CHARGES DUE THE*

KINGSTON WATER DEPARTMENT AS OF: *November 21, 2017*

WHICH WILL BE RELEVIED ONTO THE ***2018 GENERAL TAX BILLS:***

WATER		AMOUNT		PENALTY		TOTAL
		$336,313.64		$34,537.48		$ 370,851.12
TOTALS		$ 336,313.64		$34,537.48		$ 370,851.12

PLEASE NOTE;

50% SEWER USAGE FEE PENALTY
DUE FROM COMPTROLLER: **$21,031.64**

TOTAL AMOUNT DUE KINGSTON WATER DEPARTMENT FROM COMPTROLLER'S OFFICE:	**$ 391,882.76**

SEWER TOTALS RELEVIED ONTO THE **2018 GENERAL TAX BILLS:**

SEWER		AMOUNT		50% SEWER FEE	6% ADMIN FEE	TOTAL
		$413,470.18		$21,031.64	$49,586.78	$484,088.60
TOTALS		$ 413,470.18		$21,031.64	$49,586.78	$ 484,088.60

TOTAL SEWER: **$ 484,088.60**

GRAND TOTAL SEWER & WATER **$ 875,971.36**

OFFICE: 111 JANSEN AVE. • (845)331-0175 FAX (845)340-9209
E-MAIL: water@kingston-ny.gov

CITY OF KINGSTON
Office of Corporation Counsel
kbryant@kingston-ny.gov

Steven T. Noble, Mayor
Kevin R. Bryant, Corporation Counsel

Daniel Gartenstein, Asst. Corporation Counsel
Mary Lou P. Christiana, Asst. Corporation Counsel

Date: November 21, 2017

To: James L. Noble
President, Common Council

From: Kevin R. Bryant
Corporation Counsel KB

Re: Washington Avenue Tunnel

It has come to our attention that a full case assessment is needed regarding the grouting and repair of the Washington Avenue Tunnel to determine what entities might be responsible for the needed repair work presently occurring. A full case assessment regarding same is requested for approval.

As a result of said assessment, a request is also made that a separate line item be established in the amount of $15,000.00 to pay for the study.

Please refer the above requests to the appropriate Committee.

City Hall · 420 Broadway · Kingston, New York 12401 · (845) 334-3947· Fax (845) 334-3959 · www.kingston-ny.gov

CITY OF KINGSTON
Office of the City Clerk
Registrar of Vital Statistics
cityclerk@kingston-ny.gov

Steven T. Noble, Mayor
Carly J. Winnie, City Clerk/Registrar

Deidre Sills, Deputy Clerk
Susan Mesches, Deputy Registrar

December 4, 2017

Mr. James L. Noble
President, Common Council
420 Broadway
Kingston, NY 12401

Dear President Noble,

I respectfully request a budget transfer in the amount of $5,000 to reflect funds received from Basch & Keegan for the New Year's Eve Fireworks. These funds must be moved from the revenue account to the expenditure account in order to pay the vendor.

Thank you for your attention to this matter.

Sincerely,

Carly Winnie
City Clerk

Cc: John Tuey, Comptroller
Doug Koop, Finance Chair

City Hall· 420 Broadway · Kingston, New York 12401 · (845)334-3915 · Fax (845) 334-3918 · www.kingston-ny.gov

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____	**CONTINGENCY TRANSFER** _____	**TRANSFER** X
AUTHORIZATION _____	**BUDGET MODIFICATION** ____	**BONDING REQUEST** _____
CLAIMS _____	**ZONING** _____	**OTHER** _____

DEPARTMENT City Clerk DATE 12/4/17

Description: Recommend budget transfer in the amount of $5,000 within the City Clerk's Budget to move funds received for the New Year's Eve fireworks to an expenditure account.

FROM:	A17550.42070	Celebrations Private Contributions (Revenue)	$5,000.00
TO:	A17550.5404	Celebrations Misc (Expense)	$5,000.00

Estimated Financial Impact 0 Signature ____________________

Motion by ____________________

Seconded by ____________________

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	**YES**	**NO**
Douglas Koop, Chairman		
Reynolds Scott-Childress Ward 3		
Anthony Davis, Ward 6		
Steven Schabot, Ward 8		
Deborah Brown, Ward 9		

RESOLUTION #223 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, APPROVING SALE OF THE ATTACHED PROPERTIES TO RESPECTIVE BIDDERS OF THE CITY OF KINGSTON PROPERTY AUCTION OF NOVEMBER 14, 2017

Sponsored By: Finance and Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the Common Council of the City of Kingston previously authorized a surplus property auction to be conducted by George Cole Auctions; and

WHEREAS, the property auction was held at City Hall on November 14, 2017; and

WHEREAS, the attached list identifies the high bidders of each property; and

WHEREAS, the Finance/Audit committee has reviewed and approved the attached bids; and

WHEREAS, the sale of these properties is determined to be in the best interest of the City of Kingston.

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK AS FOLLOWS:

SECTION 1. That the Mayor is authorized to execute quitclaim deeds conveying all of the City of Kingston's interest in the attached list of properties to the identified high bidders.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this _______ day of

of ____________________________, 2017

____
Carly Winnie, City Clerk

Approved by the Mayor this _______ day

of ____________________________, 2017

____
Steven T. Noble, Mayor

Adopted by Council December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____ **CONTINGENCY TRANSFER** _____ **TRANSFER** _____
AUTHORIZATION _____ **BUDGET MODIFICATION** _____ **BONDING REQUEST** _____
CLAIMS _____ **ZONING** _____ **OTHER** _____

DEPARTMENT Corporation Counsel DATE November 29, 2017
Description

Approve Sale of Properties to Bidders as per the attached list

Estimated Financial Impact____________________ Signature________________________________

Motion by SS

Seconded by AD

Action Required:

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	✓	

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

City of Kingston Auction November 14, 2017

Bidder	Property Address	Bid Price
1. Steven James Cline	20 Boulder Ave.	$97,000.00
2. Edwin Arias	35-37 Hanratty St.	$1,000.00
3. Tanya Garment	Mason Hill	$2,200.00
4. Tanya Garment	255 Abeel St.	$5,600.00
5. Michael Simon	36 Klingberg Ave.	$10,250.00
6. Daniel Buboltz	61 Klingberg Ave.	$2,500.00
7. Tanya Garment	64 Klingberg Ave.	$2,000.00
8. Mohammed Miah	29 Grove St.	$71,000.00
9. Janet Kennedy	99 Gage St.	$8,000.00
10. Steven L. Burnham	323-325 First Ave.	$4,500.00
11. Lillian Nazgihw	458 Broadway	$167,000.00
12. Donald Mackenzie	62-66 Wilbur Ave.	$3,000.00
13. Kristin Thorne	693 Broadway	$190,000.00
14. Edwin Arias	291-303 W. O'Reilly St.	$5,000.00
15. David Linnard	54 Gill Street	$53,500.00
16. Robert Ryan	144 Pine St.	$32,664.76
17. Mohammed Miah	13 Prince St.	$92,000.00
18. Edwin Arias	34 Smith Ave.	$71,000.00
19. George Rogers	30 Tompkins St.	$3,000.00

PROPERTIES WITH BUILDINGS

20 Boulder Avenue Amount Bid: $97,000.00 by Steven Cline	SBL 56.88-2-16	2 Family	Tax Lien: $22,723.21
458 Broadway Amount Bid: $167,000.00 by Lillian Nazgihw	SBL 56.26-9-25	Row Building	Tax Lien: $70,455.38
693 Broadway Amount Bid: $190,000.00 by Kristin Thorne	SBL 56.92-3-20	Row Building & 1 Apt.	Tax Lien: $84,008.27
54 Gill Street Amount Bid: $53,500.00 by David Linnard	SBL 56.36-4-17	2 Family	Tax Lien: $21,132.62
29 Grove Street Amount Bid: $71,000.00 by Mohammed Miah	SBL 56.36-10-4	2 Family	Tax Lien: $59,684.45
144 Pine, Unit 144D Amount Bid: $32,664.76 by Robert Ryan	SBL 56.92-5-20.260	Professional Office	Tax Lien: $32,664.76
13 Prince Street Amount Bid: $92,000.00 by Mohammed Miah	SBL 56.26-6-10	2 Family	Tax Lien: $86,452.91
34 Smith Avenue Amount Bid: $71,000.00 by Edwin Arias	SBL 56.26-8-24	2 Family	Tax Lien: $81,566.91

VACANT LAND

255 Abeel Street	SBL 56.50-3-6	53 x 174	Tax Lien: $19,766.74
Amount Bid: $5,600.00 by Tanya Garment			
323-325 First Avenue	SBL 48.75-6-9.120	110 x 105	Tax Lien: 4,968.75
Amount Bid: $4,500.00 by Steven Burnham			
99 Gage Street	SBL 48.82-2-19	35 x 148	Tax Lien: $4,003.37
Amount Bid: $8,000.00 by Janet Kennedy			
35-37 Hanratty Street	SBL 56.35-6-10	50 x 120	Tax Lien: $6,935.85
Amount Bid: $1,000.00 by Edwin Arias			
36 Klingberg	SBL 56.40-2-14	33 x 100	
36-42 R Klingberg	SBL 56.40-2-16	100 x 34 LL	Tax Lien for Both: $4,162.96
Amount Bid: $10,250.00 by Michael Simon			
61 Klingberg	SBL 56.40-2-24.100	31 x 85	Tax Lien: $3,171.72
63 Klingberg	SBL 56.40-2-24.2	.40 Acres	Tax Lien: $6,247.00
Amount Bid: $2,500.00 by Daniel Buboltz			
64 Klingberg	SBL 56.40-2-25	52 x 100	Tax Lien: $3,766.83
Amount Bid: $2,000.00 by Tanya Garment			
Mason Hill	SBL 56.57-1-21	100 x 100	Tax Lien: $5,397.65
Amount Bid: $2,200.00 by Tanya Garment			
30 Tompkins	SBL 56.36-2-28	25 x 60	Tax Lien: $24,243.86
Amount Bid: $3,000.00 by George Rogers			
291-303 W. O'Reilly	SBL 56.41-2-23	202 x 150	Tax Lien: $11,082.53
Amount Bid: $5,000.00 by Edwin Arias			
62-66 Wilbur Avenue	SBL 56.49-2-21	60 x 200	Tax Lien: $6,234.10
Amount Bid: $3,000.00 by Donald McKenzie			

RESOLUTION #224 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR MODIFICATIONS TO THE 2017 BUDGET

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the Mayor has submitted a request for a transfer of $227,000 from contingency for the purchase of vehicles; and

WHEREAS, the Finance/Audit Committee has received, reviewed and approved this request:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the sum of $227,000.00 be transferred, as follows:

FROM:	A1199014.5404	Contingency	$227,000.00
TO:	A13120.5203	Police Motor Vehicles	$120,000.00
	A13320.5203	OnStreet Parking Vehicles	$32,000.00
	A13620.5203	Bldg Dept Motor Vehicles	$75,000.00
			$227,000.00

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ____

day of__________________2017.

Approved by the Mayor this ____

day of __________________2017.

Carly Winnie, City Clerk

Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

Financial Impact – $227,000

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	CONTINGENCY TRANSFER ✓	TRANSFER ____
AUTHORIZATION ____	BUDGET MODIFICATION ✓	BONDING REQUEST ____
CLAIMS ____	ZONING ____	OTHER ____

DEPARTMENT Mayor DATE 11/15/17

Description As per attached request the following 2018 budgetary transfer for the purchase of vehicles.

Increase: A1312012.5203	Police Motor Vehicles	120,000
Increase: A1332012.5203	OnStreet Parking Motor Vehicles	32,000
Increase: A1362012.5203	Bldg Dept Motor Vehicles	75,000
		227,000
Decrease: A1199014.5404	Contingency	227,000

Estimated Financial Impact $227,000 Signature [signature]

Motion by R S-C

Seconded by AD

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	✓	

CITY OF KINGSTON

Office of the Mayor

mayor@kingston-ny.gov

Steven T. Noble
Mayor

November 13, 2017

Dear President Noble,

As part of the development of the 2018 budget process, my senior staff and I have identified vehicle needs in three different departments. Instead of bonding for these purchases in 2018, I recommend that we purchase these vehicles in 2017 through use of the Contingency Fund, which currently holds $417,000. The vehicle purchases would be for the following:

1) Kingston Police Department - 4 Four Ford Escape Police Vehicles - $30,000 x 4 = $120,000
2) Kingston Comptroller's Office – 1 Ford Pick Up Truck with lift-gate - $32,000 x 1 = $32,000
3) Building and Safety Division - 3 Electric Vehicles (Make TBD, based on State Mini-Bid) $25,000 x 3 = $75,000

The total amount requested from the Contingency Fund would be $227,000. This would allow us to make much needed improvements to our vehicle fleet in various city departments.

Please see the enclosed vehicle quotes and aggregate purchase information. I will be in attendance at the Finance Committee meeting scheduled for Wednesday, November 15th and would be happy to discuss this matter further.

Thank you for your consideration.

Respectfully Submitted,

Steven T. Noble
Mayor

City Hall · 420 Broadway · Kingston, New York 12401 · (845)334-3902 · Fax (845) 334-3904 · www.kingston-ny.gov

Pricing - Single Vehicle

	MSRP
Vehicle Pricing	
Base Vehicle Price	$23,850.00
Options & Colors	$0.00
Upfitting	$0.00
Destination Charge	$995.00
Subtotal	$24,845.00
Pre-Tax Adjustments	
Description	
ed escape	-$4,785.00
Total	$20,060.00

Customer Signature

Acceptance Date

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Ed Sweeney, Purchasing Agent, City of Kingston
By: MARK VANGORDER Date: 09/15/2017

All American Ford of Kingston, LLC
128 Route 28, Kingston, New York, 124017444
Office: 845-338-7800

2018 Escape, Sport Utility
4dr FWD S(U0F)
Price Level: 815

Major Equipment

(Based on selected options, shown at right)
Duratec 2.5L I-4 DOHC w/SMPI 168hp
6 speed automatic w/OD

* 4-wheel ABS
* Electric parking brake
* P 235/55R17 BSW AS H-rated tires
* Advance Trac w/Roll Stability Control
* Tinted glass
* Wireless streaming
* LED brakelights
* Dual power remote mirrors
* 17 x 7.5 steel wheels
* Driver and front passenger seat mounted side airbags
* SecuriLock immobilizer
* Tachometer
* Underseat ducts
* 60-40 folding rear split-bench
* Brake assistance
* Traction control
* Battery with run down protection
* Air conditioning
* AM/FM stereo with seek-scan, single in-dash CD player, MP3 decoder, external memory control, internet radio
* Daytime running
* Rear child safety locks
* Variable intermittent wipers
* Dual front airbags
* Airbag occupancy sensor
* Rear window defroster
* Message Center
* Reclining front bucket seats
* Audio control on steering wheel

Fuel Economy

City	Hwy
21 mpg	29 mpg

Selected Options

Selected Options	MSRP
STANDARD VEHICLE PRICE	$23,850.00
Equipment Group 100A	N/C
Engine: 2.5L i-VCT	Included
Transmission: 6-Speed Automatic w/SelectShift	Included
3.51 Axle Ratio	Included
GVWR: 4,620 lbs	Included
Tires: P235/55R17 A/S BSW	Included
Wheels: 17" Steel w/Sparkle Silver-Painted Covers	Included
Cloth Front Bucket Seats	Included
Monotone Paint Application	STD
106" Wheelbase	STD
Radio: AM/FM Stereo w/Single-CD/MP3 Player	Included
SYNC Communications & Entertainment System	Included
SUBTOTAL	$23,850.00
Destination Charge	$995.00
TOTAL	**$24,845.00**

Prepared for: **Ed Sweeney, Purchasing Agent, City of Kingston**
By: **MARK VANGORDER** Date: **09/15/2017**

All American Ford of Kingston, LLC
128 Route 28, Kingston, New York, 124017444
Office: 845-338-7800

2018 F-150, Regular Cab Styleside
4x4 Regular Cab Styleside 6.5' box 122" WB
XL(F1E)
Price Level: 815

Pricing - Single Vehicle

	MSRP
Vehicle Pricing	
Base Vehicle Price	$32,025.00
Options & Colors	$895.00
Upfitting	$0.00
Destination Charge	$1,295.00
Subtotal	$34,215.00
Pre-Tax Adjustments	
Description	
discount	-$7,690.00
Total	$26,525.00

Customer Signature

Acceptance Date

Prices and content availability as shown are subject to change and should be treated as estimates only. Actual base vehicle, package and option pricing may vary from this estimate because of special local pricing, availability or pricing adjustments not reflected in the dealer's computer system. See salesperson for the most current information.

Prepared for: Ed Sweeney, Purchasing Agent, City of Kingston
By: MARK VANGORDER Date: 09/15/2017

All American Ford of Kingston, LLC
128 Route 28, Kingston, New York, 124017444
Office: 845-338-7800

2018 F-150, Regular Cab Styleside
4x4 Regular Cab Styleside 6.5' box 122" WB XL(F1E)
Price Level: 815

Major Equipment

(Based on selected options, shown at right)
3.3L V-6 DOHC w/port/direct injection 290hp
6 speed automatic w/OD

Exterior:Blue Jeans Metallic

* Auto stop-start feature
* Brake assistance
* Traction control
* Battery with run down protection
* Air conditioning
* AM/FM stereo with seek-scan, external memory control
* Daytime running
* Variable intermittent wipers
* Dual front airbags
* Airbag occupancy sensor
* Tachometer
* Running boards
* Front axle capacity: 3750 lbs.
* Front spring rating: 3000 lbs.
* Frame section modulus: 5 cu.in.
* 4-wheel ABS
* Electric parking brake
* P 265/70R17 OWL AT S-rated tires
* Advance Trac w/Roll Stability Control
* Tinted glass
* Bluetooth wireless streaming
* Dual manual mirrors
* 17 x 7.5 steel wheels
* Driver and front passenger seat mounted side airbags
* SecuriLock immobilizer
* Reclining front split-bench seats
* Audio control on steering wheel
* Rear axle capacity: 4800 lbs.
* Rear spring rating: 3300 lbs.
* Frame Yield Strength 49300 psi

Fuel Economy

City
18 mpg

Hwy
23 mpg

Selected Options

Selected Options	MSRP
STANDARD VEHICLE PRICE	$32,025.00
Equipment Group 100A Base	N/C
Engine: 3.3L V6 PDFI	Included
Transmission: Electronic 6-Speed Automatic	Included
3.55 Axle Ratio	Included
GVWR: 6,120 lbs Payload Package	Included
Tires: P265/70R17 OWL A/T	Included
Wheels: 17" Silver Steel	Included
Cloth 40/20/40 Front Seat	Included
122" Wheelbase	STD
Monotone Paint Application	STD
Radio: AM/FM Stereo w/4 Speakers	Included
Black Platform Running Boards	$250.00
SYNC	$420.00
Cruise Control	$225.00
Blue Jeans Metallic	N/C
SUBTOTAL	$32,920.00
Destination Charge	$1,295.00
TOTAL	**$34,215.00**

Prepared for: **Ed Sweeney, Purchasing Agent, City of Kingston**
By: **MARK VANGORDER** Date: **09/15/2017**

Group 40451, Award 22898, Light Duty Vehicles

Form A: Battery Electric Vehicle Mini-Bid Request

This form is to be used by Authorized Users to request a Battery Electric Vehicle through our aggregate purchase initiative. Once you secure authorized approvals, complete the form to submit your vehicle request. Upon receipt, OGS/DEC will review your request for completeness and add your order to the aggregated mini-bid. OGS/DEC will contact you if we need additional information. For assistance in completing this form, please contact OGS Fleet Management at fleet.admin@ogs.ny.gov.

Save this blank Excel worksheet to your computer, and then enter the required information in Parts A through D. **Complete all fields highlighted in yellow**. The Authorized User is responsible for reviewing the completed Battery Electric Vehicle MIni-Bid Request prior to submittal and ensuring that all necessary fields have been populated correctly. The completed Battery Electric Vehicle Mini-Bid Request should use the following naming convention for the file name: 22898-BEV-Albany County (i.e., Award#-BEV-Authorized User Entity). Do not submit completed worksheets in PDF, JPG or any type of file format other than Excel.

Email the completed worksheet to OGS Fleet Mangement at fleet.admin@ogs.ny.gov, using the following naming convention for the email subject name: 22898-BEV-Albany County (i.e., Award#-FormA-Authorized User Entity).

PART A: AUTHORIZED USER CONTACT INFORMATION		
1.0	Authorized User Entity Name *[Enter the name of your state agency, municipal government office, or other NYS authorized contract user entity name]*	City of Kingston
2.0	Agency Code *[for State Agencies only]*	
3.0	Authorized User Number *[Contact OGS Customer Services at customer.services@ogs.ny.gov for assistance with Authorized User numbers]*	2438
4.0	Primary Contact Name *[Designated Contact for Procurement Lobbying, if applicable. See http://ogs.ny.gov/acpl/advisoryCouncil/Entities.htm]*	Brian J. Woltman
4.1	Primary Contact Title	Purchasing Agent
4.2	Primary Contact Email	bwoltman@kingston-ny.gov
4.3	Primary Contact Phone	845-334-3943
5.0	Secondary Contact Name *[Designated Contact for Procurement Lobbying, if applicable. See http://ogs.ny.gov/acpl/advisoryCouncil/Entities.htm]*	Julie L. Noble
5.1	Secondary Contact Title	Env. Education and Sustainability Coordinator
5.2	Secondary Contact Email	JulieLNoble@kingston-ny.gov
5.3	Secondary Contact Phone	845-481-7339
PART B: GENERAL QUESTIONS		
1.0	Has the Authorized User received the necessary internal approvals for this request? *[Authorized Users are advised that it is their responsibility to secure the necessary internal approvals. A Mini-Bid request for NYS Executive Agencies that has not received approval of the applicable business case and received a B-1184 number may be rejected by OGS Fleet Management]*	Yes
2.0	Will federal funding be used to pay for part or all of this request?	No
2.1	If "Yes" to question 2.0, enter project name	
2.2	If "Yes" to question 2.0, enter project number	
3.0	B-1184 Number *[for NYS Executive Agencies only]*	
4.0	Approved Business Case Number *[for NYS Executive Agencies only]*	
PART C: VEHICLE REQUEST INFORMATION		

RESOLUTION #225 OF 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR REVERSAL OF BUILDING/SAFETY DIVISION CHARGES TO THE 2017 TAX BILLS

Sponsored by: Finance/Economic Development Committee
Aldermen Koop, Scott-Childress, Schabot, Brown

WHEREAS, the Common Council has authorized that Building/Safety Division charges for various properties within the City of Kingston be added to the 2017 General Taxes, if not paid per the Code of the City of Kingston; and

WHEREAS, the City of Kingston wishes to reverse the charges attached hereto:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the attached charges totaling $52,700 be reversed from the City of Kingston 2017 tax roll.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this______	Approved by the Mayor this______
day of__________________2017.	day of__________________2017.
______________________________	______________________________
CARLY WINNIE, CITY CLERK	STEVEN T. NOBLE, MAYOR

Adopted by Council on December 5, 2017

Financial Impact - $52,700.00

Building Dept Levy

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	**CONTINGENCY TRANSFER** ____	**TRANSFER** ____
AUTHORIZATION ____	**BUDGET MODIFICATION** ____	**BONDING REQUEST** ____
CLAIMS ____	**ZONING** ____	**OTHER** ____

DEPARTMENT Corporation Counsel DATE November 15, 2017

Description

Approve reversal of re-levies made by the Building Department for fines charged to properties up to the amounts set forth on the annexed list (~~amount of reversal is $62,200.00)~~

Estimated Financial Impact $52,700 Signature Daniel Gartenstein

Motion by AD

Seconded by SS

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	✓	

86 West Pierrepont Street	$2,000.00	Unknown
34 Browning Terrrace	$8,000.00	Lereta

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84 Cedar Street	$2,000.00	UNPAID
149 Greenkill Avenue	$1,000.00	UNPAID
112 Hone Street	$1,000.00	UNPAID
17-19 Maple Street	$ 500.00	UNPAID
89 Newkirk Avenue	$3,000.00	UNPAID
25 Snyder Avenue	$1,000.00	UNPAID
64 Van Buren Street	$ 500.00	UNPAID
80 Abeel Street	$1,000.00	UNPAID
104 Smith Ave	$1,000.00	UNPAID

SBL	ADDRESS	OLD AMOUNT	NEW AMOUNT
56.43-5-7	80 ABEEL STREET	$ 1,000.00	$ 250.00
56.57-2-10	599 ABEEL STREET	$ 1,000.00	$ 250.00
48.312-2-11	10 APPLE STREET	$ 2,000.00	$ 500.00
56.39-4-5	31 ARLMONT STREET	$ 3,000.00	$ 750.00
56.34-6-5	56 BREWSTER STREET	$ 1,000.00	$ 250.00
56.89-1-40	34 BROWNING TERRACE	$ 8,000.00	$ 2,000.00
56.109-4-17	84 CEDAR STREET	$ 2,000.00	$ 500.00
56.108-1-32	113 CLINTON AVENUE	$ 1,000.00	$ 250.00
48.333-9-6	88 DOWN STREET	$ 1,000.00	$ 250.00
48.333-1-3	118 DOWNS STREET	$ 1,000.00	$ 250.00
48.333-2-24	55 ELMENDORF STREET	$ 1,000.00	$ 250.00
56.107-3-14	93 FAIR STREET	$ 6,400.00	$ 2,250.00
48.312-5-9	170 FAIRVIEW AVENUE	$ 3,000.00	$ 750.00
56.93-2-1	54 FRANKLIN STREET	$ 1,000.00	$ 250.00
56.108-1-25	121 FRANKLIN STREET	$ 6,000.00	$ 1,500.00
56.108-5-27	149 GREENKILL AVENUE	$ 1,000.00	$ 250.00
56.26-10-15	39 HOFFMAN STREET	$ 4,000.00	$ 1,000.00
56.42-6-24	112 HONE STREET	$ 1,000.00	$ 250.00
56.40-2-15	38 KLINGBURG AVENUE	$ 1,000.00	$ 250.00
56.92-3-14	28 LIBERTY STREET	$ 3,000.00	$ 750.00
48.331-4-12.100	11 MAIN STREET	$ 500.00	$ 250.00
48.302-1-12	37 MANOR PLACE	$ 1,000.00	$ 250.00
56.35-5-15	17-19 MAPLE STREET	$ 500.00	$ 250.00
56.35-5-10	89 NEWKIRK AVENUE	$ 3,000.00	$ 750.00
56.124-3-20	10 PINE STREET	$ 6,000.00	$ 1,500.00
56.108-3-45	66 PINE STREET	$ 3,000.00	$ 750.00
56.109-2-29	17 PROSPECT STREET	$ 2,500.00	$ 750.00
56.108-5-11	41 PROSPECT STREET	$ 2,000.00	$ 500.00
48.82-1-7	104 SMITH AVENUE	$ 1,000.00	$ 250.00
48.312-2-13	25 SNYDER AVENUE	$ 1,000.00	$ 250.00
48.334-7-19	128 TREMPER AVENUE	$ 300.00	$ 250.00
56.109-1-6	60 VANBUREN STREET	$ 500.00	$ 250.00
56.109-1-4	64 VANBUREN STREET	$ 500.00	$ 250.00
56.107-5-11	93 WASHINGTON AVENUE	$ 1,000.00	$ 250.00
56.42-11-5	86 W PIERPONT STREET	$ 2,000.00	$ 500.00
		$ 72,200.00	$ 19,500.00

RESOLUTION #226 OF 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR ADDING BUILDING/SAFETY DIVISION CHARGES TO THE 2018 TAX BILLS

Sponsored by: Finance/Economic Development Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the Building/Safety Division has paid certain charges for various properties within the City of Kingston during the year 2017; and

WHEREAS, the Building/Safety Division has billed these property owners and notified them that the charges would be added to the 2018 General Taxes if not paid, per the Code of the City of Kingston:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the attached charges totaling $91,780.00 be added to the 2018 Tax Roll.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this______ day of__________________2017.

Approved by the Mayor this______ day of__________________2017.

____
CARLY WINNIE, CITY CLERK

____
STEVEN T. NOBLE, MAYOR

Adopted by Council on December 5, 2017

Building Dept Levy

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ✓
AUTHORIZATION ✓
CLAIMS ____

CONTINGENCY TRANSFER ____
BUDGET MODIFICATION ____
ZONING ____

TRANSFER ____
BONDING REQUEST ____
OTHER ____

DEPARTMENT Building & Safety DATE 11/15/17

Description

Authorize adding unpaid Bldg & Safety charges totaling $91,780 onto 2018 taxroll.

Estimated Financial Impact ____ Signature ____

Motion by AD

Seconded by SS

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	✓	

F. E. D.
16.

CITY OF KINGSTON
Kingston Fire Department
Building Safety Division
Buildings@kingston-ny.gov

Steven T. Noble, Mayor
Mark Brown, Fire Chief

Tom Tiano, Deputy Chief
Joe Safford, Zoning Officer

Memorandum

To: Alderman-at-Large, James L. Noble, Jr.
City of Kingston Common Council

From: Julie Smith, Clerk
Building Safety Division
Kingston Fire Department

Date: October 12, 2017

Cc: Cindy Belsito, City of Kingston Comptroller's Office

Attached is a list of tax charges to be levied against City of Kingston Property Owners on their 2018 tax bill.

If you should have any questions, please do not hesitate to contact this office.

5 Garraghan Drive · Kingston, New York 12401 · (845)331-1217 · Fax (845) 331-1224 · www.kingston-ny.gov

SBL	Address	Amt.
56.43-3-20	13 ABEEL STREET	$ 400.00
56.43-2-20	85 ABEEL STREET	$ 240.00
56.43-2-29.120	115 ABEEL STREET	$ 175.00
56.50-5-22	167 ABEEL STREET	$ 1,200.00
56.50-3-9	299 ABEEL STREET	$ 175.00
56.35-2-16.100	24 ADAMS STREET	$ 350.00
56.35-1-16	25 ADAMS STREET	$ 350.00
48.80-2-5	131 ALBANY AVENUE	$ 600.00
48.333-2-6	180 ALBANY AVENUE	$ 325.00
48.333-3-2	208 ALBANY AVENUE	$ 700.00
48.329-1-2	44 ALCAZAR AVENUE	$ 125.00
48.65-1-27	72 AMSTERDAM AVENUE	$ 1,200.00
48.312-2-8	16 APPLE STREET	$ 125.00
48.334-7-15	15 ARDSLEY STREET	$ 150.00
56.26-8-3	2 ARLINGTON PLACE	$ 175.00
56.26-8-5	17 ARLINGTON PLACE	$ 500.00
56.42-3-4	19 AUGUSTA STREET	$ 175.00
56.32-6-6	64 BOULEVARD	$ 125.00
56.34-4-45	20 BREWSTER STREET	$ 125.00
56.43-5-25	23 BROADWAY	$ 225.00
56.43-4-37	75 BROADWAY	$ 175.00
56.26-11-6	354 BROADWAY	$ 125.00
56.26-11-5	360 BROADWAY	$ 225.00
56.26-9-28.100	470 BROADWAY	$ 175.00
56.25-1-15	680 BROADWAY	$ 175.00
48.318-4-14	12 BROWN AVENUE	$ 50.00
48.318-4-15	16 BROWN AVENUE	$ 125.00
56.89-1-45	18 BROWNING TERRACE	$ 125.00
56.89-1-31	49 BROWNING TERRACE	$ 1,200.00
48.334-2-25	136 BRUYN AVENUE	$ 125.00
48.333-4-6	163 BRUYN AVENUE	$ 350.00
56.36-2-27	213 CATHERINE STREET	$ 225.00
56.109-4-6	48 CEDAR STREET	$ 125.00
56.109-3-27	57 CEDAR STREET	$ 1,200.00
56.109-3-28	61 CEDAR STREET	$ 175.00
56.109-4-13	72 CEDAR STREET	$ 175.00
56.109-4-14	74 CEDAR STREET	$ 350.00
56.109-2-18	96 CEDAR STREET	$ 175.00
56.26-4-23	12 & 14 CLIFTON AVENUE	$ 175.00
56.26-1-27	61 CLIFTON AVENUE	$ 1,000.00
56.26-1-22	75 CLIFTON AVENUE	$ 125.00
56.124-3-7	11-13 CLINTON AVENUE	$ 225.00
56.108-4-37	72 CLINTON AVENUE	$ 425.00
56.108-3-17	81 CLINTON AVENUE	$ 175.00
56.108-1-33	117 CLINTON AVENUE	$ 175.00
48.312-3-8	11 COFFEY PLACE	$ 125.00
56.32-3-1	10 CONWAY PLACE	$ 450.00
56.28-1-15	12 CORDTS STREET	$ 1,200.00
48.82-1-26.100	165 CORNELL STREET	$ 2,600.00
56.35-7-5	491 DELAWARE AVENUE	$ 125.00
56.27-8-9	514 DELAWARE AVENUE	$ 175.00
56.27-2-22	530 DELAWARE AVENUE	$ 175.00
48.333-5-18	97 DOWNS STREET	$ 225.00
48.334-1-2	114 DOWNS STREET	$ 350.00
48.334-1-4	120 DOWNS STREET	$ 50.00
48.318-6-3	170 DOWNS STREET	$ 175.00
48.318-7-5	214 DOWNS STREET	$ 225.00
56.26-4-13	63 E CHESTER STREET	$ 125.00
56.26-3-7	145 E CHESTER STREET	$ 125.00
56.26-6-38.200	12 E OREILLY STREET	$ 125.00
56.36-1-6	181-207 E STRAND STREET	$ 125.00
56.36-3-12	237 E UNION STREET	$ 1,200.00
48.333-2-19	69 ELMENDORF STREET	$ 175.00
48.333-3-17	89 ELMENDORF STREET	$ 175.00
48.333-5-3	92 ELMENDORF STREET	$ 50.00
48.318-1-19	185 ELMENDORF STREET	$ 350.00
		$ 23,340.00

48.318-1-18	187-193 ELMENDORF STREET	$	500.00
48.302-5-2	228 ELMENDORF STREET	$	175.00
56.124-2-45	42 FAIR STREET	$	525.00
56.108-2-28	81 FAIR STREET	$	375.00
56.107-3-8	117 FAIR STREET	$	225.00
56.91-6-26	160 FAIR STREET	$	125.00
56.91-6-28	164 FAIR STREET	$	175.00
48.312-1-6	185 FAIRVIEW AVENUE	$	1,200.00
56.27-8-5	18 FIRST AVENUE	$	175.00
48.83-4-27	254 FIRST AVENUE	$	125.00
56.26-5-38	30 FOXHALL AVENUE	$	175.00
48.82-1-21	203 FOXHALL AVENUE	$	500.00
56.92-3-22	13 FRANKLIN STREET	$	1,200.00
56.93-2-6	34 FRANKLIN STREET	$	125.00
56.92-3-29	45 FRANKLIN STREET	$	350.00
56.92-3-30	47 FRANKLIN STREET	$	175.00
56.108-4-5	76 FRANKLIN STREET	$	175.00
56.108-1-28	105-107 FRANKLIN STREET	$	400.00
56.108-1-24	121-125 FRANKLIN STREET	$	175.00
56.108-3-3	128 FRANKLIN STREET	$	175.00
56.108-4-12	15-17 FURNACE STREET	$	350.00
56.108-4-9	27 FURNACE STREET	$	175.00
48.82-2-14	79 GAGE STREET	$	175.00
56.26-7-30	91 GARDEN STREET	$	175.00
56.42-15-10	50 GERMAN STREET	$	125.00
56.42-14-15	55 GERMAN STREET	$	150.00
56.41-1-6	47 GILEAD STREET	$	1,200.00
56.36-4-16	48 GILL STREET	$	1,200.00
56.36-3-6	59 GILL STREET	$	175.00
56.39-5-11	196 GLEN STREET	$	175.00
56.26-8-50	56 GRAND STREET	$	125.00
56.26-7-10	118 GRAND STREET	$	1,200.00
56.90-4-16	71 GREEN STREET	$	175.00
48.330-4-12	121 GREEN STREET	$	790.00
56.109-2-41	101 GREENKILL AVENUE	$	500.00
56.25-5-32	106 GREENKILL AVENUE	$	125.00
56.109-2-24	117 GREENKILL AVENUE	$	225.00
56.124-3-12	177 GREENKILL AVENUE	$	100.00
56.124-4-11	196 GREENKILL AVENUE	$	175.00
56.124-3-18	203 GREENKILL AVENUE	$	175.00
56.35-6-17	1-3 HANRATTY STREET	$	175.00
56.35-6-9	41 HANRATTY STREET	$	175.00
56.26-12-40	9 HASBROUCK AVENUE	$	175.00
56.26-11-31	277 HASBROUCK AVENUE	$	1,200.00
56.26-11-37	303 HASBROUCK AVENUE	$	125.00
56.26-11-38	311 HASBROUCK AVENUE	$	175.00
56.26-12-38	316 HASBROUCK AVENUE	$	125.00
56.26-6-3	442 HASBROUCK AVENUE	$	250.00
56.26-12-45	18 HASBROUCK PLACE	$	125.00
56.109-3-10	28 HENRY STREET	$	175.00
56.109-3-9	30 HENRY STREET	$	125.00
56.109-3-6	42 HENRY STREET	$	175.00
56.109-3-3	54 HENRY STREET	$	125.00
56.109-3-2	56 HENRY STREET	$	175.00
56.109-2-7	70 HENRY STREET	$	300.00
56.109-2-4	78 HENRY STREET	$	125.00
56.108-5-8	98 HENRY STREET	$	175.00
56.108-5-6	104 HENRY STREET	$	175.00
56.108-4-27	107 HENRY STREET	$	1,200.00
56.108-6-4	164 HENRY STREET	$	125.00
56.108-2-16	187 HENRY STREET	$	1,200.00
56.124-1-2	206 HENRY STREET	$	125.00
56.26-4-9	121 HIGHLAND AVENUE	$	125.00
56.26-10-17	35 HOFFMAN STREET	$	100.00
56.26-10-11	53 HOFFMAN STREET	$	175.00
56.26-10-33	54 HOFFMAN STREET	$	175.00
		$	21,840.00

56.34-1-15	76 HOFFMAN STREET	$	400.00
56.50-5-11	15 HONE STREET	$	175.00
56.42-6-20	102 HONE STREET	$	225.00
48.75-6-10	140 HOOKER STREET	$	1,200.00
48.312-5-5	48 HOWLAND AVENUE	$	175.00
56.42-4-33	41 HUDSON STREET	$	125.00
56.42-4-34	47 HUDSON STREET	$	125.00
56.42-4-36	55 HUDSON STREET	$	175.00
56.50-2-12	107 HUDSON STREET	$	25.00
56.43-1-14	46 HUNTER STREET	$	175.00
56.42-8-28	57 HUNTER STREET	$	125.00
48.313-1-5	128 HURLEY AVENUE	$	125.00
48.313-1-4	132 HURLEY AVENUE	$	125.00
48.70-1-19	179 HURLEY AVENUE	$	125.00
56.26-9-12	132 JANSEN AVENUE	$	125.00
56.35-7-6	45 JARROLD STREET	$	1,200.00
56.106-2-10	25 JOHNSTON AVENUE	$	125.00
48.269-1-21	84 KIERSTED AVENUE	$	125.00
48.330-5-15	44 LAFAYETTE STREET	$	50.00
56.92-3-17	16 LIBERTY STREET	$	175.00
56.92-3-10	40 LIBERTY STREET	$	250.00
56.32-2-20	114 LINDERMAN AVENUE	$	175.00
56.39-1-17.210	244 LINDERMAN AVENUE	$	125.00
56.26-7-15	9 MADDEN STREET	$	175.00
56.26-7-20	22 MADDEN STREET	$	1,200.00
56.92-1-8.200	12-16 MAIDEN LANE	$	1,200.00
56.92-1-4	28 MAIDEN LANE	$	225.00
56.91-5-2	78 MAIDEN LANE	$	125.00
56.90-4-4	115 MAIN STREET	$	125.00
56.90-4-31	116 MAIN STREET	$	175.00
56.105-3-14	280 MAIN STREET	$	350.00
56.35-5-16	15 MAPLE STREET	$	500.00
56.35-5-15	17-19 MAPLE STREET	$	1,200.00
56.88-5-17	25 MERRITT AVENUE	$	500.00
56.89-2-51	67 MILLERS LANE	$	1,200.00
56.35-7-14.100	127 MURRAY STREET	$	450.00
56.35-5-11	91 NEWKIRK AVENUE	$	1,200.00
56.108-6-24	20 N WILBUR AVENUE	$	125.00
56.25-2-13	17 ONEIL STREET	$	175.00
56.25-2-14	19 ONEIL STREET	$	125.00
48.334-6-1	102 ONEIL STREET	$	225.00
48.334-6-2	106 ONEIL STREET	$	125.00
48.334-6-3	110 ONEIL STREET	$	125.00
48.334-5-3	132 ONEIL STREET	$	50.00
48.318-9-4	206 ONEIL STREET	$	125.00
48.333-7-19	16 ORA PLACE	$	1,200.00
56.26-5-20	41 PARK STREET	$	1,200.00
48.331-5-12	8 PEARL STREET	$	175.00
56.91-1-8	106 PEARL STREET	$	475.00
56.124-2-20	39 PINE STREET	$	125.00
56.108-6-1	48 PINE STREET	$	1,200.00
56.108-1-21	108 PINE STREET	$	175.00
56.108-1-16	127 PINE STREET	$	1,200.00
56.108-1-17	129 PINE STREET	$	175.00
56.91-6-11	157 PINE STREET	$	125.00
56.91-5-29	184 PINE STREET	$	175.00
56.36-5-16	38 PONCKHOCKIE STREET	$	1,200.00
56.43-4-2	50 POST STREET	$	225.00
56.26-6-9	17 PRINCE STREET	$	350.00
56.26-6-7	25 PRINCE STREET	$	175.00
56.109-2-29	17 PROSPECT STREET	$	125.00
56.108-4-23	61 PROSPECT STREET	$	50.00
56.93-1-5	93 PROSPECT STREET	$	175.00
56.92-2-29	120 PROSPECT STREET	$	225.00
		$	24,100.00

56.57-1-23.100	49 RODNEY STREET	$	1,200.00
56.92-4-13	38 ST JAMES STREET	$	175.00
56.92-4-10	46 ST JAMES STREET	$	175.00
56.92-4-8	58 ST JAMES STREET	$	880.00
56.27-5-22	102 SECOND AVENUE	$	1,200.00
48.82-6-61	61 SHUFELDT STREET	$	175.00
56.26-7-45	8 SMITH AVENUE	$	175.00
56.26-8-38	19 SMITH AVENUE	$	225.00
56.26-8-28	39 SMITH AVENUE	$	125.00
48.333-3-5	271 SMITH AVENUE	$	125.00
48.318-1-14	111 S MANOR AVENUE	$	175.00
56.42-12-10	139 SPRING STREET	$	500.00
56.34-4-13	20 STAPLES STREET	$	225.00
56.34-4-9	21 STAPLES STREET	$	175.00
56.34-6-18.200	67 STAPLES STREET	$	175.00
56.25-5-17	29 SUMMER STREET	$	175.00
48.302-4-14	42 TELLER STREET	$	175.00
48.82-1-18	54 TENBROECK AVENUE	$	450.00
48.318-1-25	212 TENBROECK AVENUE	$	175.00
56.27-5-18	63 THIRD AVENUE	$	175.00
56.27-6-9	92 THIRD AVENUE	$	175.00
56.27-5-9	97 THIRD AVENUE	$	125.00
48.83-7-11	142 THIRD AVENUE	$	175.00
48.83-5-21	285 THIRD AVENUE	$	25.00
48.302-4-34	35 TIETJEN AVENUE	$	125.00
56.36-1-3	49 TOMPKINS STREET	$	125.00
56.36-2-1	56 TOMPKINS STREET	$	125.00
48.333-8-8	167 TREMPER AVENUE	$	225.00
56.26-2-29	55 TUBBY STREET	$	1,200.00
56.93-3-9	18 VANBUREN STREET	$	175.00
56.93-2-21	43 VANBUREN STREET	$	50.00
56.109-1-5	62 VANBUREN STREET	$	5,625.00
56.109-1-44	66 VANDEUSEN STREET	$	1,200.00
56.26-3-36	26 VANGAASBECK STREET	$	125.00
56.124-1-6	36 WALL STREET	$	125.00
56.108-2-7	72 WALL STREET	$	175.00
56.107-3-25	142 WALL STREET	$	175.00
56.91-2-34	187 WALL STREET	$	300.00
56.91-3-17	226 WALL STREET	$	175.00
56.91-3-3	232 WALL STREET	$	400.00
56.36-4-7	9 WALNUT STREET	$	175.00
56.107-2-3	164 WASHINGTON AVE	$	175.00
48.330-1-7	365 WASHINGTON AVE	$	400.00
56.34-4-21	21 W CHESTER STREET	$	120.00
56.34-6-33	59 W CHESTER STREET	$	125.00
56.34-6-30	75 W CHESTER STREET	$	175.00
56.34-11-12	80 W CHESTNUT STREET	$	125.00
56.42-4-8.106	214 W CHESTNUT STREET	$	125.00
56.42-4-8.305	214 W CHESTNUT STREET	$	125.00
56.26-10-43	43 W OREILLY STREET	$	175.00
56.26-10-60	58 W OREILLY STREET	$	350.00
56.41-2-16	287 W OREILLY STREET	$	125.00
56.42-11-8	74 W PIERPONT STREET	$	175.00
56.42-11-2	108 W PIERPONT STREET	$	175.00
56.43-1-9	73 W UNION STREET	$	50.00
56.33-1-1	503 WILBUR AVENUE	$	1,200.00
56.43-2-15	5 WURTS STREET	$	175.00
56.43-1-16	11 WURTS STREET	$	400.00
56.42-6-9	105 WURTS STREET	$	225.00
56.26-1-24	51 WYNKOOP PLACE	$	225.00
	Total this page:	$	22,500.00

	Grand Total:	$	91,780.00

RESOLUTION #227 OF 2016

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR ADDING PIKE PLAN CHARGES TO THE 2018 TAX BILLS

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, certain property owners are required to pay charges related to the Pike Plan for the year 2017 which as yet have not been paid; and

WHEREAS, the City of Kingston has billed these property owners and notified them that the charges would be added to the 2018 General Taxes if not paid.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the attached charges in the amount of $3,632.06 be added to the 2018 Tax Roll in the amounts and for the individual properties listed in Schedule A.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this______ day of__________________2017.

Approved by the Mayor this______ day of__________________2017.

Carly Winnie, City Clerk

Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

Pike Plan Tax Levy

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____
AUTHORIZATION ✓
CLAIMS ____

CONTINGENCY TRANSFER ____
BUDGET MODIFICATION ____
ZONING ____

TRANSFER ____
BONDING REQUEST ____
OTHER ____

DEPARTMENT Comptroller DATE ~~10/15/17~~ 11/15/17

Description Authorize relevy of unpaid 2016 Pile Plan Charges totaling $3,632.06 onto 2018 tax roll.

Estimated Financial Impact —— Signature [signature]

Motion by SS

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	X	

FED
14

CITY OF KINGSTON

Office of the Comptroller

comptroller@kingston-ny.gov

John Tuey, Comptroller

Steven T. Noble, Mayor

Memorandum

TO: Alderman-At-Large, James L. Noble, Jr.

FROM: Cindy Belsito, Sr. Account Clerk
Comptroller's Office

DATE: October 31, 2017

Attached is a list of Pike Plan charges to be levied against City of Kingston property owners on their 2018 Tax Bill.

If you have any questions, please contact our office.

City Hall · 420 Broadway · Kingston, New York 12401 · (845) 334-3935· Fax (845) 334-3944 · www.kingston-ny.gov

Unpaid Pike Plan - 2016 Charges
Re-levy on 2018 Tax Roll

	Initials	Date
Prepared By		
Approved By		

© WILSON JONES   G7204 GREEN

Bill #	S/B/L	Property Address	Base	2% Interest Plus 5% Penalty	Total
11	48.331-2-12	308-310 Wall St	255.32	5.11	
				13.02	273.45
17	48.331-2-9	316 Wall St	163.70	3.27	
				8.35	175.32
29	48.331-2-3	330 Wall St	163.70	3.27	
				8.35	175.32
37	48.331-1-18	303-307 Wall St	392.82	7.86	
				20.03	420.71
41	48.331-1-16	311-315 Wall St	432.08	8.64	
				22.04	462.76
43	48.331-1-15	317 Wall St	196.42	3.93	
				10.02	210.37
47	48.331-1-13	323 Wall St	379.68	7.59	
				19.36	406.63
49	48.331-1-12	325 Wall St	98.16	1.96	
				5.01	105.13
57	48.331-1-8	335 Wall St	189.88	3.80	
				9.68	203.36
67	48.331-1-6	42 N. Front St	163.70	3.27	
				8.35	175.32
71	48.331-1-4-100	44-48 N. Front St	425.54	8.51	
				21.70	455.75
79	48.314-2-3-200	31 N. Front St	119.52	2.39	
				6.10	128.01
83	48.314-2-5	35 N. Front St	152.72	3.05	
				7.79	163.[illegible]
99	48.314-2-13	57 N. Front St	114.02	2.28	
				5.82	122.12

Unpaid Pike Plan - 2016 Charges
Re-levy on 2018 Tax Roll

	Initials	Date
Prepared By		
Approved By		

Bill #	SBL	Property Address	Base	2% Interest Plus 5% Penalty	Total
101	48.314-2-14	59 N. Front St	14402	288	
				735	15425
		Totals	339128	24078	363206

RESOLUTION #228 OF 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR ADDING DELINQUENT PUBLIC WORKS CHARGES TO THE 2018 TAX BILLS

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the Department of Public Works has performed certain services for various properties within the City of Kingston during the year 2017 which as yet have not been paid for; and

WHEREAS, the Department of Public Works has billed these property owners and notified them that the charges would be added to the 2018 General Taxes if not paid, per Article XIV, Section C14-1 Paragraph T of the Code of the City of Kingston:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the attached charges in the amount of $11,361.00 be added to the 2018 Tax Roll.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this______	Approved by the Mayor this______
day of__________________2017.	day of__________________2017.
______________________________	______________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

DPW Tax Levy

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____
AUTHORIZATION ✓
CLAIMS ____

CONTINGENCY TRANSFER ____
BUDGET MODIFICATION ____
ZONING ____

TRANSFER ____
BONDING REQUEST ____
OTHER ____

DEPARTMENT DPW

DATE 11/15/17

Description Authorize unpaid DPW bills totaling $11,361 to be relevied onto 2018 tax roll.

Estimated Financial Impact ——

Signature

Motion by AD

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	+	

CITY OF KINGSTON
Department of Public Works
publicworks@kingston-ny.gov

Joseph A. Chenier, Superintendent

Steven T. Noble, Mayor

September 28, 2017

Common Council
President James Noble
420 Broadway
Kingston, NY 12401

Re: Unpaid Invoice for Tax Roll – 2018

President Noble;

Enclosed please find a list of unpaid invoices from the Department of Public Works to be applied to the 2018 property tax bills. Your assistance in this matter is greatly appreciated.

Sincerely,

Mary Jo Wiltshire
Finance and Operations Administrator

Enc.

Cc: Joseph A. Chenier, Superintendent
John Tuey, Comptroller

25 East O'Reilly Street * Kingston, New York 12401 * (845) 331-0682 * Fax (845) 331-0295 * www.kingston-ny.gov

Re-Levy 2018

S/B/L	ADDRESS		AMT DUE	
56.43-2-17.100	71	Abeel St	$	50.00
56.42-15-28	197	Abeel St	$	22.00
56.57-2-10	599	Abeel St	$	20.00
56.36-6-18	94	Abruyn St	$	20.00
48.80-2-7	117	Albany Ave	$	425.00
48.333-2-4	170	Albany Ave	$	20.00
48.333-3-2	208	Albany Ave	$	97.00
56.88-3-23	36	Alcazar Ave	$	30.00
48.65-1-64	99	Amsterdam Ave	$	34.00
48.333-6-17	18	Belevdere St	$	25.00
56.124-5-19	30	Boulevard	$	40.00
56.32-6-7	62	Boulevard	$	20.00
56.34-3-9	9	Brewster St	$	245.00
56.34-3-10	11	Brewster St	$	22.00
56.25-3-8	618	Broadway	$	40.00
56.25-3-6	620	Broadway	$	505.00
56.109-1-20	635	Broadway	$	250.00
56.25-2-1	660	Broadway	$	78.00
48.318-4-15	16	Brown Ave	$	25.00
48.334-1-7	139	Bruyn Ave	$	30.00
56.109-3-27	57	Cedar St	$	40.00
56.109-3-28	61	Cedar St	$	146.00
56.109-2-20	86	Cedar St	$	25.00
56.108-5-17	129	Cedar St	$	150.00
56.26-4-26	11	Clifton Ave	$	40.00
56.26-1-30	45	Clifton Ave	$	25.00
56.108-5-32	18	Clinton Ave	$	20.00
56.108-3-24	53	Clinton Ave	$	86.00
56.92-3-39	100	Clinton Ave	$	75.00
56.92-5-14	131	Clinton Ave	$	25.00
56.92-5-12	143	Clinton Ave	$	25.00
56.92-4-2	150	Clinton Ave	$	60.00
48.80-1-23	302	Clinton Ave	$	47.00

2762

48.312-4-7	4	Coffey Pl	$	40.00
56.27-8-16	7	Cross St	$	26.00
56.28-3-18	138	Delaware Ave	$	30.00
56.28-3-11	154	Delaware Ave	$	250.00
56.35-9-13	385	Delaware Ave	$	20.00
56.35-4-1	549	Delaware Ave	$	51.00
56.34-10-12	595	Delaware Ave	$	20.00
56.34-10-3	623-629	Delaware Ave	$	250.00
48.82-3-62	39	Derrenbacher St	$	25.00
56.50-1-25	11	DeWitt St	$	25.00
48.333-7-14	45	Downs St	$	26.00
56.25-2-20	46	Downs St	$	115.00
48.333-5-17	103	Downs St	$	25.00
48.334-1-5	124	Downs St	$	40.00
48.318-2-15	145	Downs St	$	40.00
48.318-3-13	169	Downs St	$	62.00
56.25-1-24	17-19	Downs St	$	25.00
56.26-12-35	50	E Chester St	$	100.00
56.26-12-34	56	E Chester St	$	100.00
56.26-12-31	62	E Chester St	$	85.00
56.26-12-30	64	E Chester St	$	175.00
48.83-3-30	216	E Chester St	$	25.00
56.36-1-6	181-207	East Strand	$	86.00
56.124-5-8	12	Elizabeth St	$	25.00
56.25-1-26	14	Elmendorf St	$	25.00
56.25-1-27	18	Elmendorf St	$	85.00
48.333-2-25	53	Elmendorf St	$	40.00
48.333-2-24	55	Elmendorf St	$	25.00
48.333-2-20	65	Elmendorf St	$	85.00
48.333-5-2	88	Elmendorf St	$	42.00
48.333-3-11	105	Elmendorf St	$	25.00
48.317-2-15	139	Elmendorf St	$	22.00
48.318-1-18	187-193	Elmendorf St	$	60.00
56.108-2-27	82	Fair St	$	25.00
56.107-3-14	93	Fair St	$	25.00

4987.00

56.107-3-8	117	Fair St	$ 25.00
56.91-5-16	194	Fair St	$ 48.00
48.302-4-28	72	Flatbush Ave	$ 20.00
48.82-8-41	98	Florence St	$ 22.00
48.75-4-16	293	Fourth Ave	$ 22.00
48.75-4-11.200	320	Fourth Ave	$ 46.00
56.26-1-46	72	Foxhall Ave	$ 220.00
48.82-3-30.200	244	Foxhall Ave	$ 70.00
48.302-4-4	414	Foxhall Ave	$ 25.00
56.93-2-7	28	Franklin St	$ 25.00
56.92-3-25	29	Franklin St	$ 25.00
56.92-3-29	45	Franklin St	$ 20.00
56.108-3-11	106	Franklin St	$ 126.00
56.92-4-43	71	Furnace St	$ 75.00
48.82-2-25	34	Gage St	$ 40.00
48.82-2-27	48	Gage St	$ 25.00
48.82-2-36.100	106-118	Gage St	$ 20.00
56.26-7-53	74	Garden St	$ 40.00
56.42-14-21	79	German St	$ 25.00
56.36-3-10	43	Gill St	$ 40.00
56.26-9-40	18	Grand St	$ 20.00
48.331-7-11	92	Green St	$ 50.00
56.90-4-18	63-65	Green St	$ 25.00
56.109-2-32	127	Greenkill Ave	$ 70.00
56.124-3-19	207-211	Greenkill Ave	$ 128.00
48.270-4-9	63	Harding Ave	$ 20.00
56.35-5-3	182	Hasbrouck Ave	$ 20.00
56.26-12-55	254	Hasbrouck Ave	$ 268.00
56.26-12-36	322	Hasbrouck Ave	$ 200.00
56.26-5-27	337	Hasbrouck Ave	$ 175.00
56.26-5-26	339	Hasbrouck Ave	$ 100.00
56.26-6-17	416	Hasbrouck Ave	$ 20.00
56.26-6-3	442	Hasbrouck Ave	$ 75.00
48.82-6-9	21	Hayes St	$ 20.00
56.109-3-10	28	Henry St	$ 18.00

7063

56.109-3-8	36	Henry St	$	50.00
56.109-3-4	46	Henry St	$	60.00
56.109-3-2	56	Henry St	$	20.00
56.32-1-15	20	Hewitt Pl	$	40.00
56.26-4-1	165	Highland Ave	$	75.00
56.26-10-31	48	Hoffman St	$	25.00
56.26-10-11	53	Hoffman St	$	24.00
56.34-1-2	65	Hoffman St	$	50.00
56.34-1-14	78	Hoffman St	$	20.00
56.34-1-5	79	Hoffman St	$	85.00
56.42-4-33	41	Hudson St	$	85.00
48.313-1-13	94	Hurley Ave	$	25.00
48.313-1-4	132	Hurley Ave	$	25.00
48.70-1-33	250	Hurley Ave	$	25.00
56.90-6-27	33	Janet Street	$	25.00
56.106-3-25	50	Johnston Ave	$	25.00
56.106-3-26	54	Johnston Ave	$	100.00
56.92-3-14	28	Liberty St	$	50.00
56.92-3-10	40	Liberty St	$	82.00
56.107-1-26	47	Linderman Ave	$	20.00
56.32-2-13	146	Linderman Ave	$	25.00
56.36-7-8	45	Lindsley Ave	$	20.00
46.65-1-32	85	Lipton St	$	18.00
56.32-7-1	314	Loughran Ct	$	34.00
48.330-4-2	34	Lucas Ave	$	20.00
56.26-7-20	22	Madden St	$	25.00
56.92-1-8.200	12-16	Maiden Ln	$	25.00
56.90-6-17	140	Main St	$	50.00
48.331-4-10	1-5	Main St	$	25.00
56.34-7-36	16	Marys Ave	$	40.00
56.34-7-40	38	Marys Ave	$	25.00
48.80-1-24.200	1	N Front St	$	25.00
56.108-6-27	38	N Wilbur Ave	$	22.00
56.35-6-21	114	Newkirk Ave	$	100.00
56.108-3-28	18	Oak St	$	25.00

8445.00

56.25-3-4	22	O'Neil St	$	35.00
48.318-6-29	165	O'Neil St	$	20.00
48.318-6-25	177	O'Neil St	$	25.00
48.334-5-1	126-128	O'Neil St	$	40.00
48.333-7-18	12	Ora Pl	$	40.00
56.34-9-23.200	100	Orchard St	$	25.00
56.91-1-8	106	Pearl St	$	20.00
56.33-4-9.100	64	Pine Grove Ave	$	40.00
56.124-2-19	43	Pine Street	$	40.00
56.36-11-16	4	Ponckhockie St	$	25.00
56.26-8-41	40	Prince St	$	46.00
56.26-8-37	44	Prince St	$	25.00
48.334-3-11	27	Progress St	$	25.00
56.109-2-28	23	Prospect St	$	75.00
56.108-4-22.100	65	Prospect St	$	40.00
56.50-5-23	14	Ravine St	$	20.00
56.42-11-18	2	Russell St	$	25.00
48.302-6-27	124	S Manor Ave	$	34.00
56.27-7-6	42	Second Ave	$	20.00
56.25-4-2	147	Smith Ave	$	172.00
56.42-7-13	61	Spring St	$	25.00
56.42-11-17	89	Spring St	$	50.00
56.91-5-27	91	St James St	$	40.00
56.91-4-10	137	St James St	$	25.00
56.34-4-12	22	Staples St	$	20.00
56.34-6-38	50	Staples St	$	50.00
48.82-3-45	70	Stephan St	$	47.00
48.82-3-18	81	Stephan St	$	20.00
48.82-6-73	120	Stephan St	$	25.00
48.82-6-28	132	Stephan St	$	25.00
48.82-6-25	135	Stephan St	$	22.00
56.109-3-36.100	40	Sterling St	$	46.00
56.36-2-8	43	Sycamore St	$	65.00
56.36-3-18	56	Sycamore St	$	22.00
56.26-7-23	3	TenBroeck Ave	$	100.00

9819

48.82-1-18	54	TenBroeck Ave	$ 25.00
48.334-4-7	83	TenBroeck Ave	$ 100.00
48.318-2-9	187	TenBroeck Ave	$ 50.00
48.318-3-18	192	TenBroeck Ave	$ 18.00
48.318-2-8	195	TenBroeck Ave	$ 35.00
48.318-3-1	200	TenBroeck Ave	$ 25.00
56.27-7-19	17	Third Ave	$ 22.00
56.27-7-22	31	Third Ave	$ 45.00
56.27-6-10	88	Third Ave	$ 50.00
48.83-6-9	270	Third Ave	$ 20.00
48.333-8-9	163	Tremper Ave	$ 40.00
56.34-5-20	52	Trenton Ave	$ 62.00
56.26-2-15	23	VanGaasbeck St	$ 20.00
56.34-7-21	121	W Chester St	$ 20.00
56.34-11-18	100	W Chestnut St	$ 75.00
56.34-1-18	69	W O'Reilly St	$ 22.00
56.42-7-5	50	W Pierpont St	$ 25.00
56.42-11-3	104	W Pierpont St	$ 18.00
56.43-1-18	65	W Union St	$ 50.00
56.42-12-5	144	W. Pierpont St	$ 18.00
56.124-1-9	33	Wall St	$ 18.00
56.107-3-22	130	Wall St	$ 66.00
56.107-2-25	135	Wall St	$ 50.00
56.91-1-33	161	Wall St	$ 50.00
56.91-2-34	187	Wall St	$ 25.00
56.107-2-11	29	Warren St	$ 100.00
56.124-1-13	22	Washington Ave	$ 25.00
48.330-1-5	373	Washington Ave	$ 100.00
48.330-1-1	389	Washington Ave	$ 111.00
56.34-11-22	106	West Chestnut St	$ 110.00
56.33-1-11	429	Wilbur Ave	$ 60.00
48.270-6-20	82	Wilson Ave	$ 22.00
48.318-7-9	7	Wiltwyck Ave	$ 65.00

TOTAL $ 11,361.00 √√

11361

RESOLUTION #229 OF 2016

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR ADDING ENERGIZE NY FINANCE CHARGES TO THE 2018 TAX BILLS

Sponsored by: Finance/Economic Development Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the City of Kingston has chosen to participate in the Energize NY program and approved an agreement in February of 2016; and

WHEREAS, the approved agreement with Energize NY allows that the finance charge be added to the property owner's annual tax bill:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the attached charges totaling $1,005.81 be added to the 2018 Tax Roll.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this______	Approved by the Mayor this______
day of__________________2017.	day of__________________2017.
______________________________	______________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

Building Dept Levy

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ✓	CONTINGENCY TRANSFER ____	TRANSFER ____
AUTHORIZATION ✓	BUDGET MODIFICATION ____	BONDING REQUEST ____
CLAIMS ____	ZONING ____	OTHER ____

DEPARTMENT Comptroller DATE 11/15/17

Description Authorize adding $1,005.81 in charges for the Energy Improvement Corp Program onto the 2018 tax roll

Estimated Financial Impact ________ Signature ________

Motion by SS

Seconded by DAK

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	✓	

ENERGY IMPROVEMENT CORPORATION
PROGRAM: ENERGIZE NY
BENEFITED PROPERTIES IN: City of Kingston, NEW YORK
FUNDED PROJECTS(S) REPAYMENT SUBMISSION FOR 2018

City of Kingston

Municipality	Section, Block and Lot	Property Owner	Address	Amount of EIC Levy added to 2018 Tax Bill	Date of Reimbursement Payment Due to EIC from Kingston for 2018 Levy	Current Balance of EIC Funding prior to 2018 payment	Final Year of EIC Levy onto Tax Bill(s)	Date of Finance Agreement	New or Existing Financing	Original Amount of Financing
City of Kingston	56.26-6-38.100	446 Broadway, LLC	446 Broadway, Kingston, NY 12401	$1,005.81	03/14/17	$11,487.20	2034	7/25/2016	Existing	$11,910.95
Total Amount due to EIC				**$1,005.81**	**03/14/17**					

RESOLUTION #230 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE MAYOR TO EXPEND FUNDS IN THE FIRST INSTANCE FOR THE CLIMATE SMART COMMUNITIES GRANT C00352GG FROM THE DEPARTMENT OF ENVIRONMENTAL CONSERVATION FOR THE BROADWAY STREETSCAPE PROJECT

Sponsored by: Finance and Audit Committee
Aldermen: Koop Chairman, Scott-Childress, Schabot, Brown, Davis

WHEREAS, the City of Kingston has been awarded a Climate Smart Communities Grant #C00352GG in the amount of $850,000 from the Department of Environmental Conservation for the Broadway Streetscape Project; and

WHEREAS, the matching funding requirements of $850,000 are expected through in-kind donation, force account, bond, another grant, and private contributions; and

WHEREAS, there are specific requirements and regulations governing the expenditure of these funds; and

WHEREAS, the action is categorized under 6 NYCRR, Part 617.5 as Type II.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. Administration of all funds under these grants will be in accordance with all terms and conditions contained in guidelines provided by the Department of Environmental Conservation.

SECTION 2. The Mayor of the City of Kingston is hereby authorized to expend funds in the first instance under the terms of the Broadway Streetscape Project and Contract # C00352GG.

SECTION 3. This resolution shall take effect immediately.

Submitted to the Mayor this _____ day

of ___________________________ 2017

Approved by the Mayor this ______ day

of ______________________________ 2017

____
Carly Wnnie, City Clerk

____
Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	**CONTINGENCY TRANSFER** ____	**TRANSFER** ____
AUTHORIZATION X	**BUDGET MODIFICATION** ____	**BONDING REQUEST** ____
CLAIMS ____	**ZONING** ____	**OTHER** ____

DEPARTMENT Office of Economic & Community Dev DATE 11/15/2017

Description A resolution authorizing the Mayor to expend funds in the 1st instance for the Climate Smart communities grant C003526G from the NYSDEC for the Broadway Streetscape Project

Estimated Financial Impact $850,000 Signature ____________

Motion by SS

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	X	

RESOLUTION #231 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE MAYOR TO EXPEND FUNDS IN THE FIRST INSTANCE FOR THE GREEN INNOVATION GRANT PROGRAM 1378 FROM THE ENVRIONMENTAL FACILITIES CORPORATION FOR THE BROADWAY STREETSCAPE PROJECT

Sponsored by: Finance and Audit Committee
Aldermen: Koop Chairman, Scott-Childress, Schabot, Brown, Davis

WHEREAS, the City of Kingston has been awarded a Green Innovation Grant #1378 in the amount of $1.4 million from the Environmental Facilities Corporation for the Broadway Streetscape Project; and

WHEREAS, the matching funding requirements of $155,555.55 are expected through the NYSDEC Climate Smart Communities Program grant; and

WHEREAS, there are specific requirements and regulations governing the expenditure of these funds; and

WHEREAS, the action is categorized under 6 NYCRR, Part 617.5 as Type II.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. Administration of all funds under these grants will be in accordance with all terms and conditions contained in guidelines provided by the Environmental Facilities Corporation.

SECTION 2. The Mayor of the City of Kingston is hereby authorized to expend funds in the first instance under the terms of the Broadway Streetscape Project and Contract # C3-5374-07-00 & GIGP Application # 1378.

SECTION 3. This resolution shall take effect immediately.

Submitted to the Mayor this _____ day

of ________________________ 2017

____

Carly Winnie, City Clerk

Approved by the Mayor this ______ day

of __________________________ 2017

____

Steven T. Noble, Mayor

Adopted by Council December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____ CONTINGENCY TRANSFER ____ TRANSFER ____
AUTHORIZATION X BUDGET MODIFICATION ____ BONDING REQUEST ____
CLAIMS ____ ZONING ____ OTHER ____

DEPARTMENT Office of Economic & Community Dev DATE 11/15/2017
Description a resolution authorizing the Mayor to expend funds in the 1st instance for the Green Innovation Grant Program 1378 from the NYSEFC for the Broadway Streetscape Project

Estimated Financial Impact $0 Signature ____

Motion by SS

Seconded by AD

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
D A Koop Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Reynolds Scott-Childress Ward 3	✓	
Antony Davis Anthony Davis, Ward 6	✓	
Steven Schabot Steven Schabot, Ward 8	✓	
Deborah Brown Deborah Brown, Ward 9	X	

RESOLUTION #232 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE MAYOR TO EXPEND FUNDS IN THE FIRST INSTANCE FOR PARKS GRANT #PRK01-C65734GG-1290000 FROM THE OFFICE OF PARKS, RECREATION, AND HISTORIC PRESERVATION FOR KINGSTON POINT PARK INFRASTRUCTURE IMPROVEMENTS

Sponsored by: Finance and Audit Committee
Aldermen: Koop Chairman, Scott-Childress, Schabot, Brown, Davis

WHEREAS, the City of Kingston has been awarded a Parks Grant # PRK01-C65734GG-1290000 in the amount of $300,000 from the Office of Parks, Recreation, and Historic Preservation for the Kingston Point Park Infrastructure Improvements Project; and

WHEREAS, the matching funding requirements of $100,000 are expected through in-kind donations, force account, bond, another grant, and private contributions; and

WHEREAS, there are specific requirements and regulations governing the expenditure of these funds; and

WHEREAS, the action is categorized under 6 NYCRR, Part 617.5 as Type II.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. Administration of all funds under these grants will be in accordance with all terms and conditions contained in guidelines provided by the Office of Parks, Recreation, and Historic Preservation.

SECTION 2. The Mayor of the City of Kingston is hereby authorized to expend funds in the first instance under the terms of the Kingston Point Park Infrastructure Improvements Project Contract ##PRK01-C65734GG-1290000.

SECTION 3. This resolution shall take effect immediately.

Submitted to the Mayor this _____ day of ________________________ 2017

Approved by the Mayor this ______ day of __________________________ 2017

____
Carly Winnie, City Clerk

____
Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	CONTINGENCY TRANSFER ____	TRANSFER ____
AUTHORIZATION X	BUDGET MODIFICATION ____	BONDING REQUEST ____
CLAIMS ____	ZONING ____	OTHER ____

DEPARTMENT Office of Economic & Community Dev. DATE 11/15/2017

Description A resolution authorizing the Mayor to expend funds in the 1st instance for the Heritage Area System Grant #PRK01-C657376G-1290000 from the Office of Parks Recreation & Historic Preservation for Kingston Point Rail Trail Enhancements

Estimated Financial Impact $193,242 Signature ____________

Motion by SS

Seconded by AD

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8		
Deborah Brown, Ward 9	✓	

RESOLUTION #233 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE MAYOR TO EXPEND FUNDS IN THE FIRST INSTANCE FOR THE HERITAGE AREA SYSTEMS GRANT #PRK01-C65737GG-1290000 FROM THE OFFICE OF PARKS, RECREATION, AND HISTORIC PRESERVATION FOR KINGSTON POINT RAIL TRAIL ENHANCEMENTS

Sponsored by: Finance and Audit Committee
Aldermen: Koop Chairman, Scott-Childress, Schabot, Brown, Davis

WHEREAS, the City of Kingston has been awarded a Parks Grant #PRK01-C65737GG-1290000 in the amount of $500,000 from the Office of Parks, Recreation, and Historic Preservation for the Kingston Point Park Infrastructure Improvements Project; and

WHEREAS, the matching funding requirements of $193,242 are expected through in-kind donations, force account, bond, another grant, and private contributions; and

WHEREAS, there are specific requirements and regulations governing the expenditure of these funds; and

WHEREAS, the action is categorized under 6 NYCRR, Part 617.5 as Type II.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. Administration of all funds under these grants will be in accordance with all terms and conditions contained in guidelines provided by the Office of Parks, Recreation, and Historic Preservation.

SECTION 2. The Mayor of the City of Kingston is hereby authorized to expend funds in the first instance under the terms of the Kingston Point Rail Trail Enhancements Project Contract #PRK01-C65737GG-1290000.

SECTION 3. This resolution shall take effect immediately.

Submitted to the Mayor this _____ day	Approved by the Mayor this ______ day
of _________________________ 2017	of _________________________ 2017
______________________________	______________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____
AUTHORIZATION X
CLAIMS _____

CONTINGENCY TRANSFER _____
BUDGET MODIFICATION _____
ZONING _____

TRANSFER _____
BONDING REQUEST _____
OTHER _____

DEPARTMENT Office of Economic Dev DATE 11/15/2017

Description a resolution authorizing the Mayor to expend funds in the 1st instance for Parks' grant #PRK07-C1657.3461-12T0000 from the Office of Parks Recreation and Historic Preservation for Kingston Point Park Infrastructure Improvements

Estimated Financial Impact $100,000 Signature

Motion by SS

Seconded by AD

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	Y	

RESOLUTION #234 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, ADOPTING THE 2018 OFF-STREET PARKING PROPOSAL

Sponsored By: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, a new off-street parking proposal has been submitted made by Mayor Noble; and

WHEREAS, the proposal has set forth several payment options for off-street parking; and

WHEREAS, the Finance/Audit Committee has reviewed and approved this request;

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

Section 1: That the Common Council hereby adopts the 2018 Off-Street Parking Proposal

Section 2: That the following parking lots have been designated as "Paid Parking Lots":
Cornell Street Municipal Parking Lot
Prince Street Municipal Parking Lot
Dock Street Municipal Parking Lot
Three municipal lots located on North Front Street

Section 3: That the hourly off-street parking rate has been set as $.75 per hour

Section 4: That a monthly parking permit may be purchased through the on-site pay stations at a cost of $20.00 per month

Section 5: That an annual parking permit may be purchased online or by mail at a cost of $100

Section 6: That this resolution shall take effect immediately

Submitted to the Mayor this _____ day of __________________, 2017

Approved by the Mayor this ____ day of _________________2017

CARLY WINNIE, CITY CLERK

STEVEN NOBLE, MAYOR

Adopted by Council on December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	CONTINGENCY TRANSFER ____	TRANSFER ____
AUTHORIZATION ____	BUDGET MODIFICATION ____	BONDING REQUEST ____
CLAIMS ____	ZONING ____	OTHER ____

DEPARTMENT Mayor DATE 11/15/17

Description

Respectfully request Council Approval of "2018 Off-St Parking Proposal," in accordance with Mayor Noble's letter of 11/14/17 to President Noble.

Estimated Financial Impact ____________ Signature ____________

Motion by AD

Seconded by SS

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
D Koop Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Reynolds Scott-Childress, Ward 3	✓	
Anthony Davis Anthony Davis, Ward 6	✓	
[signature] Steven Schabot, Ward 8	✓	
Deborah Brown Deborah Brown, Ward 9	X	

CITY OF KINGSTON

Office of the Mayor

mayor@kingston-ny.gov

Steven T. Noble
Mayor

2018 Off-Street Parking Proposal

In an effort to accommodate the needs of various users of off-street metered parking lots*, the City of Kingston will offer three payment options:

1) **Hourly fee- 75 cents per hour through parking pay station**
    a. Pay by coin
    b. Pay by credit card
    c. Pay by Whoosh! mobile app

About this option:
Pay by hour is the ideal option for infrequent users of the metered parking lots.

2) **Monthly Permit- $20.00/month**
    a. Purchase a permit by credit card on-site through the parking pay station

About this option:
Purchasing a monthly permit is the ideal option for frequent users of the metered parking lots who wish to access a permit on-site, who are parking for a significant enough period of time that the hourly fee would become burdensome, and/or who want a discounted rate but who do not anticipate needing extended parking for more than a few weeks or months. Finally, the monthly permit offers a lower upfront cost than the annual permit.

3) **Annual Permit- $100.00/year**
    a. Purchase a permit online by credit card at www.kingston-ny.gov/parking or by check or money order by postal mail.

About this option:
Purchasing an annual permit is the ideal option for very frequent users of the parking lots. The annual permit is by far the most cost-effective option, averaging out to less than $10 per month.

*Paid parking lots include: all 3 North Front St. lots; the Cornell St. lot; the Prince St. lot; and the Dock St. lot.

Participatory Budgeting
Based on this proposed fee schedule, the City estimates a total revenue collection of $60,000. Of that, half ($30,000) would be distributed equally to the three business districts ($10,000 per district) to be used for projects developed by the public through a participatory budgeting process. If revenue is raised in addition to the $60,000, that revenue would be split between the three districts for additional projects.

Snow Emergencies
During snow emergencies, all metered lots will be free for the duration of the snow emergency. An alert will be posted on the screen of the parking pay station to inform users of the suspension of parking fees. All vehicle owners will be required to adhere to instruction by the Department of Public Works when lots are posted so that the snow can be cleared.

RESOLUTION #235 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE ADOPTION OF A BOND ORDINANCE IN THE SUM OF $490,000 FOR THE REQUIRED MATCH FUNDING OF THE BROADWAY STREETSCAPE PROJECT

Sponsored By: Finance and Audit Committee:
Aldermen: Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the City of Kingston has received grant funding for the Broadway Streetscape Project from the NYSDEC Climate Smart Communities Grant Program; and

WHEREAS, a request has been made for additional bonding in the amount of $490,000 to fund the required Local Match, which together with bonding authorized on May 21, 2017, now totals $922,000.

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the Common Council authorizes the amount of $490,000.00 to be provided through General Municipal bonding to fund the additional local share of the Broadway Streetscape Project

SECTION-2. That this resolution shall take effect immediately.

Submitted to the Mayor this _____ day of	Approved by the Mayor this _____ day of
________________________, 2017	________________________, 2017
______________________________	______________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

BWY streetscape

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____	CONTINGENCY TRANSFER _____	TRANSFER _____
AUTHORIZATION _____	BUDGET MODIFICATION _____	BONDING REQUEST __X__
CLAIMS _____	ZONING _____	OTHER _____

DEPARTMENT ____Office of Economic and Community Development DATE____________

Description

A request to approve bonding in the amount of $490,000 for required match funding to the NYSDEC Climate Smart Communities grant for construction of the Broadway Streetscape Project. Total approved bonding for this project, including $432,000 previously authorized on 5/2/17, now totals $922,000.

Estimated Financial Impact__$490,000 Signature______________________________

Motion by SS

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	X	

Resolution #236 of 2017

BOND ORDINANCE DATED DECEMBER 5, 2017.

AN ORDINANCE AUTHORIZING THE ISSUANCE OF AN ADDITIONAL $490,000 BONDS OF THE CITY OF KINGSTON, ULSTER COUNTY, NEW YORK, TO PAY PART OF THE COST OF PHASE I OF THE BROADWAY STREETSCAPE PROJECT, IN AND FOR SAID CITY.

BE IT ORDAINED, by the affirmative vote of not less than two-thirds of the total voting strength of the Common Council of the City of Kingston, Ulster County, New York, as follows:

Section 1. For the object or purpose of paying part of the cost of Phase I of the Broadway Streetscape Project, including street improvements, rights-of-way and incidental expenses in connection therewith, in and for the City of Kingston, Ulster County, New York, there are hereby authorized to be issued an additional $490,000 bonds pursuant to the provisions of the Local Finance Law. Said specific object or purpose is hereby authorized at the new maximum estimated cost of $922,000.

Section 2. The plan for the financing of such $922,000 maximum estimated cost is as follows:

a) By the issuance of the $432,000 bonds of said City heretofore authorized to be issued therefor pursuant to a bond ordinance dated May 2, 2017; and

b) By the issuance of the additional $490,000 bonds of said City herein authorized.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid specific object or purpose is fifteen years, pursuant to subdivision 20(c) of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. The faith and credit of said City of Kingston, Ulster County, New York, are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. There shall annually be levied on all the taxable real property of said City, a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 5. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the City Comptroller, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said City Comptroller, consistent with the provisions of the Local Finance Law.

Section 6. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining debt service and all matters related thereto, the date, denominations, maturities and interest payment dates, and also including the consolidation with other issues, shall be determined by the City Comptroller. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the City Comptroller shall determine.

Section 7. The validity of such bonds and bond anticipation notes may be contested only if:

1) Such obligations are authorized for an object or purpose for which said City is not authorized to expend money, or

2) The provisions of law which should be complied with at the date of publication of this ordinance are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This ordinance shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150-2. Other than as specified in this ordinance, no monies are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 9. The proceeds of the bonds herein authorized and any bond anticipation notes issued in anticipation of said bonds may be applied to reimburse the City for expenditures made after the effective date of this ordinance for the purpose for which said bonds are authorized. The foregoing statement of intent with respect to reimbursement is made in conformity with Treasury Regulation Section 1.150-2 of the United States Treasury Department.

Section 10. This ordinance, which takes effect immediately, shall be published in summary form in The Daily Freeman, which is hereby designated as the official newspaper of said City for such purpose, together with a notice of the City Clerk in substantially the form provided in Section 81.00 of the Local Finance Law.

The question of the adoption of the foregoing ordinance was duly put to a vote on roll call, which resulted as follows:

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

The ordinance was thereupon declared duly adopted.

* * * * * *

CERTIFICATION

STATE OF NEW YORK)
) ss.:
COUNTY OF ULSTER)

I, the undersigned Clerk of the City of Kingston, in the County of Ulster, New York (the "Issuer"), DO HEREBY CERTIFY:

1. That a meeting of the Issuer was duly called, held and conducted on the 5th day of December, 2017.
2. That such meeting was a **special** **regular** (circle one) meeting.
3. That attached hereto is a proceeding of the Issuer which was duly adopted at such meeting by the Common Council of the Issuer.
4. That such attachment constitutes a true and correct copy of the entirety of such proceeding as so adopted by said Common Council.
5. That all members of the Common Council of the Issuer had due notice of said meeting.
6. That said meeting was open to the general public in accordance with Section 103 of the Public Officers Law, commonly referred to as the "Open Meetings Law".
7. That notice of said meeting ***(the meeting at which the proceeding was adopted)*** was caused to be given **PRIOR THERETO** in the following manner:

   PUBLICATION (here insert newspaper(s) and date(s) of publication - should be a date or dates falling prior to the date set forth above in item 1)

   POSTING (here insert place(s) and date(s) of posting- should be a date or dates falling prior to the date set forth above in item 1)

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Issuer this _____ day of December, 2017.

____
City Clerk

(CORPORATE SEAL)

LEGAL NOTICE OF ESTOPPEL

The bond ordinance, summary of which is published herewith, has been adopted on December 5, 2017, and the validity of the obligations authorized by such ordinance may be hereafter contested only if such obligations were authorized for an object or purpose for which the City of Kingston, Ulster County, New York, is not authorized to expend money, or if the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of publication of this notice, or such obligations were authorized in violation of the provisions of the Constitution.

A complete copy of the ordinance summarized herewith is available for public inspection during regular business hours at the Office of the City Clerk for a period of twenty days from the date of publication of this Notice.

Dated: Kingston, New York,

__________________, 2017.

____

City Clerk

BOND ORDINANCE DATED DECEMBER 5, 2017.

AN ORDINANCE AUTHORIZING THE ISSUANCE OF AN ADDITIONAL $490,000 BONDS OF THE CITY OF KINGSTON, ULSTER COUNTY, NEW YORK, TO PAY PART OF THE COST OF PHASE I OF THE BROADWAY STREETSCAPE PROJECT, IN AND FOR SAID CITY.

Specific object or purpose:	Phase I of the Broadway Streetscape Project, including street improvements and rights-of-way
Period of probable usefulness:	15 years
Revised maximum estimated cost:	$922,000
Amount of obligations to be issued pursuant to this ordinance:	$490,000 bonds/$432,000 bonds previously authorized

ADDITIONAL MONEY BOND ORDINANCE

At a regular meeting of the Common Council of the City of Kingston, Ulster County, New York, held at the Common Council Chambers, City Hall, 420 Broadway, in said City, on the 5th day of December, 2017, at ________ o'clock P.M., Prevailing Time.

The meeting was called to order by ____, and upon roll being called, the following were

PRESENT:

ABSENT:

The following ordinance was offered by Alderman _________________________, who moved its adoption, seconded by Alderman ____________________________, to-wit:

Orrick, Herrington & Sutcliffe LLP
51 West 52nd Street
New York, NY 10019-6142

+1 212 506 5000

orrick.com

Thomas E. Myers

E tmyers@orrick.com
D +1 212 506 5212
F +1 212 506 5151

November 28, 2017

VIA E-MAIL (jtuey@kingston-ny.gov)

Mr. John Tuey
City Comptroller
City of Kingston
City Hall, 420 Broadway
Kingston, New York 12401

Re: City of Kingston, Ulster County, New York
Phase I - Broadway Streetscape Project – Additional $490,000 Bonds
Orrick File: 42394-2-75

Dear John:

In accordance with your recent request, we have prepared and enclose herewith a draft form of bond ordinance relating to the above matter for adoption by the Common Council.

Please see that this ordinance is adopted by the affirmative vote of at least two-thirds of the entire voting strength of the Common Council. After adoption, the summary Legal Notice of Estoppel of the ordinance, a form of which is enclosed herewith for your convenience, should be published once in the official newspaper of the City.

When available kindly furnish us with the following:

(a) A certified copy of the enclosed ordinance;

(b) An original printer's affidavit of publication of the Legal Notice of Estoppel thereof.

(c) Items a and b pertaining to the bond ordinance adopted on **May 2, 2017**.

Please do not hesitate to call if you have any questions.

With best wishes,

Very truly yours,

Tom

Thomas E. Myers
TEM/es
Enclosures

RESOLUTION #237 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE ADOPTION OF A BOND ORDINANCE IN THE SUM OF $1,400,000 FOR CONTINUING GROUT REMOVAL OPERATIONS AT THE WASHINGTON AVENUE TUNNEL

Sponsored By: Finance and Audit Committee:
Aldermen: Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the grout removal operations at the Washington Avenue Tunnel are progressing at a rate that will require a significantly greater effort than the original bid may have indicated; and

WHEREAS, the initial cost estimate produced by Clark Patterson Lee suggested a project cost of $1,100,000 to $2,500,000; and

WHEREAS, the initial bond authorization totaled $1,100,000 and therefore additional bonding is requested to increase funding to the previously indicated $2,500,000

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the Common Council authorizes the additional amount of $1,400,000.00 to be provided through General Municipal bonding to fund continuing grout removal at the Washington Avenue Tunnel

SECTION-2. That this resolution shall take effect immediately.

Submitted to the Mayor this _____ day of ___________________________, 2017

Approved by the Mayor this _____ day of ___________________________, 2017

____
Carly Winnie, City Clerk

____
Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

(2)

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	**CONTINGENCY TRANSFER** ____	**TRANSFER** ____
AUTHORIZATION X	**BUDGET MODIFICATION** ____	**BONDING REQUEST** X
CLAIMS ____	**ZONING** ____	**OTHER** ____

DEPARTMENT ENGINEERING DATE 11-15-17

Description:
WHEREAS CONTINUING GROUT REMOVAL OPERATIONS AT THE WASHINGTON AVE. TUNNEL ARE PROGRESSING AT A RATE THAT WILL REQUIRE ~~S~~ A SIGNIFICANTLY GREATER EFFORT THAN THE INITIAL BID RECEIVED / AWARDED MAY HAVE INDICATED, AND
WHEREAS THE INITIAL COST ESTIMATES PRODUCED BY CLARK PATTERSON LEE SUGGESTED A PROJECT COST OF \$1.1M TO \$2.5M, AND
WHEREAS INITIAL BOND AUTHORIZATION TOTALED \$1.1M;
THEREFORE ADDITIONAL BONDING IS REQUEST TO INCREASE

Estimated Financial Impact \$1.4M Signature [signature]

Motion by SS

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
[signature] Douglas Koop, Chairman	✓	
[signature] Reynolds Scott-Childress Ward 3	✓	
[signature] Anthony Davis, Ward 6	✓	
[signature] Steven Schabot, Ward 8	✓	
[signature] Deborah Brown, Ward 9	X	

2

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	CONTINGENCY TRANSFER ____	TRANSFER ____
AUTHORIZATION X	BUDGET MODIFICATION ____	BONDING REQUEST X
CLAIMS ____	ZONING ____	OTHER ____

DEPARTMENT ____________ DATE ____

Description:

THAT ALREADY AUTHORIZED TO $2.5M.

Estimated Financial Impact $1.4M Signature [signature]

Motion by ____________

Seconded by ____________

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman		
Reynolds Scott-Childress Ward 3		
Anthony Davis, Ward 6		
Steven Schabot, Ward 8		
Deborah Brown, Ward 9		

Resolution #238 of 2017

BOND ORDINANCE DATED DECEMBER 5, 2017.

AN ORDINANCE AUTHORIZING THE ISSUANCE OF AN ADDITIONAL $1,400,000 BONDS OF THE CITY OF KINGSTON, ULSTER COUNTY, NEW YORK, TO PAY PART OF THE COST OF THE WASHINGTON AVENUE TUNNEL GROUT REMOVAL AND SANITARY SEWER RELINING PROJECT, IN AND FOR SAID CITY.

BE IT ORDAINED, by the affirmative vote of not less than two-thirds of the total voting strength of the Common Council of the City of Kingston, Ulster County, New York, as follows:

Section 1. For the object or purpose of paying part of the cost of the Washington Avenue Tunnel grout removal and sanitary sewer relining project, including design expenses and bypass storm water pumping required as a result of blockage (previously authorized at $150,000), in and for the City of Kingston, Ulster County, New York, there are hereby authorized to be issued an additional $1,400,000 bonds pursuant to the provisions of the Local Finance Law. Said specific object or purpose is hereby authorized at the new maximum estimated cost of $2,500,000.

Section 2. The plan for the financing of such $2,500,000 maximum estimated cost is as follows:

a) By the issuance of the $1,100,000 bonds of said City heretofore authorized to be issued therefor pursuant to a bond ordinance dated July 11, 2017; and

b) By the issuance of the additional $1,400,000 bonds of said City herein authorized.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid specific object or purpose is forty years, pursuant to subdivision 4 of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. The faith and credit of said City of Kingston, Ulster County, New York, are hereby irrevocably pledged for the payment of the principal of and interest on such obligations as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such obligations becoming due and payable in such year. There shall annually be levied on all the taxable real property of said City, a tax sufficient to pay the principal of and interest on such obligations as the same become due and payable.

Section 5. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the City Comptroller, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said City Comptroller, consistent with the provisions of the Local Finance Law.

Section 6. All other matters, except as provided herein relating to such bonds, including determining whether to issue such bonds having substantially level or declining debt service and all matters related thereto, the date, denominations, maturities and interest payment dates, and also including the consolidation with other issues, shall be determined by the City Comptroller. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law and shall otherwise be in such form and contain such recitals in addition to those required by Section 52.00 of the Local Finance Law, as the City Comptroller shall determine.

Section 7. The validity of such bonds and bond anticipation notes may be contested only if:

1) Such obligations are authorized for an object or purpose for which said City is not authorized to expend money, or

2) The provisions of law which should be complied with at the date of publication of this ordinance are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 8. This ordinance shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150-2. Other than as specified in this ordinance, no monies are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 8. The proceeds of the bonds herein authorized and any bond anticipation notes issued in anticipation of said bonds may be applied to reimburse the City for expenditures made after the effective date of this ordinance for the purpose for which said bonds are authorized. The foregoing statement of intent with respect to reimbursement is made in conformity with Treasury Regulation Section 1.150-2 of the United States Treasury Department.

Section 10. This ordinance, which takes effect immediately, shall be published in summary form in The Daily Freeman, which is hereby designated as the official newspaper of said City for such purpose, together with a notice of the City Clerk in substantially the form provided in Section 81.00 of the Local Finance Law.

The question of the adoption of the foregoing ordinance was duly put to a vote on roll call, which resulted as follows:

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

_______________________________ VOTING __________

The ordinance was thereupon declared duly adopted.

* * * * * *

CERTIFICATION

STATE OF NEW YORK)
) ss.:
COUNTY OF ULSTER)

I, the undersigned Clerk of the City of Kingston, in the County of Ulster, New York (the "Issuer"), DO HEREBY CERTIFY:

1. That a meeting of the Issuer was duly called, held and conducted on the 5th day of December, 2017.
2. That such meeting was a **special regular** (circle one) meeting.
3. That attached hereto is a proceeding of the Issuer which was duly adopted at such meeting by the Common Council of the Issuer.
4. That such attachment constitutes a true and correct copy of the entirety of such proceeding as so adopted by said Common Council.
5. That all members of the Common Council of the Issuer had due notice of said meeting.
6. That said meeting was open to the general public in accordance with Section 103 of the Public Officers Law, commonly referred to as the "Open Meetings Law".
7. That notice of said meeting ***(the meeting at which the proceeding was adopted)*** was caused to be given **PRIOR THERETO** in the following manner:

   PUBLICATION (here insert newspaper(s) and date(s) of publication - should be a date or dates falling prior to the date set forth above in item 1)

   POSTING (here insert place(s) and date(s) of posting- should be a date or dates falling prior to the date set forth above in item 1)

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Issuer this _____ day of December, 2017.

____
City Clerk

(CORPORATE SEAL)

LEGAL NOTICE OF ESTOPPEL

The bond ordinance, summary of which is published herewith, has been adopted on December 5, 2017, and the validity of the obligations authorized by such ordinance may be hereafter contested only if such obligations were authorized for an object or purpose for which the City of Kingston, Ulster County, New York, is not authorized to expend money, or if the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of publication of this notice, or such obligations were authorized in violation of the provisions of the Constitution.

A complete copy of the ordinance summarized herewith is available for public inspection during regular business hours at the Office of the City Clerk for a period of twenty days from the date of publication of this Notice.

Dated: Kingston, New York,

_________________, 2017.

____

City Clerk

BOND ORDINANCE DATED DECEMBER 5, 2017.

AN ORDINANCE AUTHORIZING THE ISSUANCE OF AN ADDITIONAL $1,400,000 BONDS OF THE CITY OF KINGSTON, ULSTER COUNTY, NEW YORK, TO PAY PART OF THE COST OF WASHINGTON AVENUE TUNNEL GROUT REMOVAL AND SANITARY SEWER RELINING PROJECT, IN AND FOR SAID CITY.

Specific object or purpose:	Washington Avenue Tunnel grout removal and sanitary sewer relining project (including design expenses and bypass storm water pumping)
Period of probable usefulness:	40 years
Revised maximum estimated cost:	$2,500,000
Amount of obligations to be issued pursuant to this ordinance:	$1,400,000 bonds/$1,100,000 bonds previously authorized

orrick

Orrick, Herrington & Sutcliffe LLP
51 West 52nd Street
New York, NY 10019-6142

+1 212 506 5000

orrick.com

Thomas E. Myers

E *tmyers@orrick.com*
D *+1 212 506 5212*
F *+1 212 506 5151*

November 28, 2017

VIA E-MAIL (jtuey@kingston-ny.gov)

Mr. John Tuey
City Comptroller
City of Kingston
City Hall, 420 Broadway
Kingston, New York 12401

Re: City of Kingston, Ulster County, New York
Washington Avenue Tunnel Grout Removal/Sanitary Sewer Relining Project
Additional $1,400,000 Bonds
Orrick File: 42394-2-75

Dear John:

In accordance with your recent request, we have prepared and enclose herewith a draft form of bond ordinance relating to the above matter for adoption by the Common Council.

Please see that this ordinance is adopted by the affirmative vote of at least two-thirds of the entire voting strength of the Common Council. After adoption, the summary Legal Notice of Estoppel of the ordinance, a form of which is enclosed herewith for your convenience, should be published once in the official newspaper of the City.

When available kindly furnish us with the following:

(a) A certified copy of the enclosed ordinance;

(b) An original printer's affidavit of publication of the Legal Notice of Estoppel thereof.

(c) Items a and b pertaining to the bond ordinance adopted on **July 11, 2017**.

Please do not hesitate to call if you have any questions.

With best wishes,

Very truly yours,

Tom

Thomas E. Myers
TEM/es
Enclosures

OHSUSA:767739836.1

ADDITIONAL MONEY BOND ORDINANCE

At a regular meeting of the Common Council of the City of Kingston, Ulster County, New York, held at the Common Council Chambers, City Hall, 420 Broadway, in said City, on the 5th day of December, 2017, at ________ o'clock P.M., Prevailing Time.

The meeting was called to order by ____, and upon roll being called, the following were

PRESENT:

ABSENT:

The following ordinance was offered by Alderman _________________________, who moved its adoption, seconded by Alderman _____________________________, to-wit:

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____	**CONTINGENCY TRANSFER** _____	**TRANSFER** _____
AUTHORIZATION _____	**BUDGET MODIFICATION** _____	**BONDING REQUEST** ✓
CLAIMS _____	**ZONING** _____	**OTHER** _____

DEPARTMENT Comptroller on behalf of Engineering DATE 11/15/17

Description Request additional bonding in the amount of $1,400,000 for the Washington Avenue Tunnel, Grout Removal and Sanitary Sewer Rehab project. Total authorized bonding for this project, including $1.1 million authorized on 7/11/17, now stands at $2,500,000.

Estimated Financial Impact $1,400,000 Signature [signature]

Motion by R S-C

Seconded by DAK

Action Required:

SEQRA Decision:
- Type I Action _____
- Type II Action _____
- Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
[signature] Douglas Koop, Chairman	✓	
[signature] Reynolds Scott-Childress Ward 3	✓	
[signature] Anthony Davis, Ward 6	✓	
[signature] Steven Schabot, Ward 8	✓	
[signature] Deborah Brown, Ward 9	X	

RESOLUTION #239 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE ADOPTION OF A BOND ORDINANCE IN THE SUM OF $800,000 FOR UPGRADE OF UTILITIES IN THE AREA OF THE NYS DEPARTMENT OF TRANSPORTATION ROUNDABOUT PROJECT AT I-587 AND ALBANY AVENUE AND AUTHORIZING THE MAYOR TO EXECUTE RELATED DOCUMENTS

Sponsored By: Finance and Audit Committee:
Aldermen: Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the NYSDOT reconstructing of the intersection at I-587 and Albany Avenue is expected to begin in Spring 2019; and

WHEREAS, the existing infrastructure is already 75-100 years old and in need of revitalization; and

WHEREAS, the NYSDOT can include bid items in the overall project to cover infrastructure improvements estimated at $685,120.80; and

WHEREAS, bonding is requested in the amount of $800,000 to cover estimated costs plus contingencies

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the Common Council authorizes the amount of $800,000.00 to be provided through General Municipal bonding to fund infrastructure improvements in the area of the I-587 and Albany Avenue intersection

SECTION 2. That the Mayor is authorized to execute related documents, including a utility work agreement

SECTION 3. That this resolution shall take effect immediately.

Submitted to the Mayor this _____ day of	Approved by the Mayor this _____ day of
_________________________, 2017	_________________________, 2017
______________________________	______________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	CONTINGENCY TRANSFER ____	TRANSFER ____
AUTHORIZATION ✓	BUDGET MODIFICATION ____	BONDING REQUEST X
CLAIMS ____	ZONING ____	OTHER ____

DEPARTMENT ENGINEERING DATE 11-15-17

Description: I587 / ALBANY AVE INTERSECTION
WHERE AS THE NYS DOT IS RECONSTRUCTING THE SUBJECT INTERSECTION IN SPRING 2019: AND WHERE AS EXISTING INFRASTRUCTURE IS ALREADY 75-100 YEARS OLD AND NEED OF REVITALIZATION; AND WHERE AS THE NYS DOT CAN INCLUDE BID ITEMS IN THE OVERALL PROJECT TO COVER INFRASTRUCTURE IMPROVEMENTS ESTIMATED AT $685,120.80; THERE FORE BONDING IS AUTHORIZED IN THE AMOUNT OF $800,000.- TO COVER ESTIMATED COSTS PLUS CONTINGENCIES, AND THE MAYOR IS AUTHORIZED TO SIGN A UTILITY WORK AGREEMENT TO AUTHORIZE THE

Estimated Financial Impact ____________ Signature ____________

Motion by SS

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	**YES**	**NO**
Douglas Koop, Chairman (signed)	✓	
Reynolds Scott-Childress Ward 3 (signed)	✓	
Anthony Davis, Ward 6 (signed)	✓	
Steven Schabot, Ward 8 (signed)	✓	
Deborah Brown, Ward 9 (signed)	X	

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____ CONTINGENCY TRANSFER ____ TRANSFER ____
AUTHORIZATION X BUDGET MODIFICATION ____ BONDING REQUEST X
CLAIMS ____ ZONING ____ OTHER ____

DEPARTMENT ENG'G. DATE 11-15-12

Description: I-587/ALBANY AVE WORK UTILIZING THE ATTACHED RECOMMENDED RESOLUTION

Estimated Financial Impact $ 500,000.– Signature [signature]

Motion by ____

Seconded by ____

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman		
Reynolds Scott-Childress Ward 3		
Anthony Davis, Ward 6		
Steven Schabot, Ward 8		
Deborah Brown, Ward 9		

CITY OF KINGSTON
Office of the City Engineer
rswenson@kingston-ny.gov

Ralph E. Swenson, P.E., City Engineer

Steven T. Noble, Mayor

October 5, 2017

Mr. James L. Noble, Jr.
Alderman-At-Large
President of the Common Council
420 Broadway
Kingston, NY 12401

Re: PIN 8811.26
Kingston Roundabout at I-587 and Albany Avenue
Utility Work Agreement

Dear President Noble,

As you are aware, the NYSDOT is finalizing plans and specifications for the construction of the Kingston Roundabout to replace the existing signalized intersection at I-587 and Albany Avenue.

The proposed work will impact our existing sanitary and potable water infrastructure in that the new work will likely disturb or in some way affect the integrity of our utilities that cannot always be detected during the course of the work. In addition, upon completion, our utilities will still be 75 – 100 years old, and in some cases, difficult to service, with new transportation facilities over. The stormwater improvements will be replaced as part of the new work, so we need not concern ourselves with impacts to that system. Our options include, do nothing, which really isn't an option, or upgrade our affected facilities within the DOT's overall project as "betterment" to the DOT Project.

I recommend authorizing the Mayor to sign a Utility Work Agreement, attached, by recommended resolution, also attached, and providing for the replacement of affected sanitary sewers.

Please forward this communication to the next regularly scheduled Finance Committee for further discussion.

Should you have any questions, do not hesitate to contact me.

Respectfully,

Ralph Swenson
City Engineer

Cc: Steven T. Noble, Mayor
Kevin Bryant, Corporation Counsel
Douglas Koop, Chair, Kingston Common Council Finance Committee
John Tuey, Comptroller

City Hall · 420 Broadway · Kingston, New York 12401 · (845) 334-3967 · www.kingston-ny.gov

ANDREW M. CUOMO
Governor

MATTHEW J. DRISCOLL
Commissioner

TODD WESTHUIS, P.E.
Regional Director

September 12, 2017

Mr. Ralph Swenson
City Engineer
City of Kingston
420 Broadway
Kingston, NY 12401

RE: PIN 8811.26
KINGSTON ROUNDABOUT
I-587 AT ALBANY AVE
CITY OF KINGSTON
ULSTER COUNTY

Dear Mr. Swenson,

As a follow up to our July 14, 2017 letter regarding the Department's above referenced project and your intentions to replace your sewer facilities within our project limits, we hereby submit a preliminary estimate for the proposed work.

Please review the attached estimate and provide any comments or concerns you may have by September 28, 2017. Please be advised the attached preliminary estimate includes a 15% engineering cost for our Department to conduct the necessary engineering and prepare the contract documents. If your Department wishes to conduct the engineering yourselves this 15% can be deducted from the preliminary estimate. If your Department elects to conduct the engineering, please be advised all contract plans, details and specifications must meet NYSDOT standards.

If your Department is agreeable with the attached estimate, we can proceed with the Betterment Utility Work Agreement. In addition, a City Resolution will be necessary. Form HC-140 is attached for your reference and a draft resolution is attached as well. We can assist in drafting the resolution, if necessary.

Contract documents for the above referenced project must be complete by June 7, 2018. The Betterment payment will be due at that time. Bid opening is currently scheduled for September 6, 2018. At that time, we will review the item prices and adjust the Betterment cost accordingly. If the bid prices are higher than our estimate your Department will be responsible to remit payment before the contract can be awarded (typically within 45 days of the bid opening) for the difference. If the bid prices are lower, your Department will be credited.

We look forward to working with you as we progress our project. If you have any questions or concerns, please feel free to contact Mark Kruk at 845-431-5749 or email at mark.kruk@dot.ny.gov.

Thank you,

Carolyn L. Ryan

Carolyn Ryan, P.E.
Project Manager

Cc: Mayor Steven T. Noble, City of Kingston
Ms. Kristen Wilson, Grants Manager, City of Kingston
Mr. Mark Kruk, Project Engineer, NYSDOT
Mr. Gerhard Wetzel, Regional Utility Engineer, NYSDOT

NEW YORK
STATE OF
OPPORTUNITY.

Department of
Transportation

ANDREW M. CUOMO
Governor

MATTHEW J. DRISCOLL
Commissioner

TODD WESTHUIS, P.E.
Regional Director

July 14, 2017

Mr. Ralph Swenson
City Engineer
City of Kingston
420 Broadway
Kingston, NY 12401

RE: PIN 8811.26
KINGSTON ROUNDABOUT
I-587 AT ALBANY AVE
CITY OF KINGSTON
ULSTER COUNTY

Dear Mr. Swenson,

As a follow up to your June 27, 2017 meeting with Mark Kruk, Project Engineer, NYSDOT regarding the Department's above referenced project and your intentions to replace your sewer facilities within our project limits, we hereby request the following information so that we can assist in developing a preliminary estimate for the proposed replacement. They are as follows:

- Owner Requirements for Sewer Mains and Appurtenances (See attached Special Note. This Note is included in all State contracts which include sewer main relocations)
- Limits and Summary of Work, including service lines
- Confirmation of all existing sewer lines, including service line locations as shown on the Department's preliminary plans (attached)
- Depth of cover for all existing sewer lines and service connections.
- Constructions sequence and proposed temporary sewer bypass plan and details
- NYSDEC Approvals, if necessary

With that, we will progress a preliminary estimate so that you can determine if your Department would like to pursue the opportunity to replace your facilities during the construction of the project as a Betterment to be paid by the City of Kingston.

Please reply at your earliest convenience before August 11, 2017 so that we can continue to progress our project plans in a timely manner and initiate the necessary Agreements and Resolutions for this Betterment work.

We look forward to working with you as we progress our project. If you have any questions or concerns please feel free to contact Mark Kruk at 845-431-5749 or email at mark.kruk@dot.ny.gov.

Thank you,

Carolyn M. Ryan

Carolyn Ryan, P.E.
Project Manager

Cc: Mayor Steven T. Noble, City of Kingston
Ms. Kristen Wilson, Grants Manager, City of Kingston
Mr. Mark Kruk, Project Engineer, NYSDOT
Mr. Gerhard Wetzel, Regional Utility Engineer, NYSDOT

P.I.N. 8811.26 - I587 AT ALBANY AVE. AND BROADWAY_CITY OF KINGSTON
ENGINEER'S ESTIMATE FOR SEWERLINE REPLACEMENT

ITEM	DESCRIPTION	UNIT	QUAN.	UNIT PRICE	AMOUNT
203.07	SELECT GRANULAR FILL	CY	1,130	$ 55.00	$ 62,150.00
206.0201	TRENCH AND CULVERT EXCAVATION	CY	1,550	$ 35.00	$ 54,250.00
304.11000008	SUBBASE COURSE (MODIFIED)	CY	20	$ 145.00	$ 2,900.00
552.17	SHIELDS AND SHORING	SF	18,440	$ 0.75	$ 13,830.00
603.9910011	TEMPORARY SANITARY SEWER	LS	1	$ 100,000.00	$ 100,000.00
603.99230015	POLYVINYL CHLORIDE GRAVITY SEWER PIPE, 8 INCH DIAMETER	LF	1,470	$ 45.00	$ 66,150.00
604.4048	ROUND PRECAST CONCRETE MANHOLE TYPE 48	LF	90	$ 275.00	$ 24,750.00
608.10040005	REMOVE, RESET OR REPLACE EXISTING STONE BLOCK PAVING	SF	1,940	$ 25.00	$ 48,500.00
655.1202	MANHOLE FRAME & COVER	EA	12	$ 780.00	$ 9,360.00
	ENGINEER'S ESTIMATE SUBTOTAL (EES)				**$ 381,890.00**
619.01	BASIC WORK ZONE TRAFFIC CONTROL	LS	1	$38,190.00	38190.00
625.01	SURVEY OPERATIONS	LS	1	$19,100.00	19100.00
	ADJUSTED ENGINEER'S ESTIMATE				**$ 439,180.00**
	CONTINGENCIES (20%)				**$ 87,836.00**
	SUBTOTAL (ADJUSTED ENGINEER'S ESTIMATE PLUS CONTINGENCIES)				$ 527,016.00
	15% ADDITIVE FOR ENGINEERING (CONSTRUCTION INSPECTION AND ADMINSTRATIVE)				$ 79,052.40
	15% ADDITIVE FOR DESIGN SUPPORT AND ADMINSTRATIVE				$ 79,052.40
	GRAND TOTAL ENGINEER'S ESTIMATE FOR SEWERLINE RECONSTRUCTION				**$ 685,120.80**

NEW YORK STATE DEPARTMENT OF TRANSPORTATION
UTILITY WORK AGREEMENT

Since the construction, reconstruction, or maintenance of the transportation project described below, identified as:

Project Identification No.:	F.A. Project No.:
ROW Declaration No.:	Map Nos.:
Parcel Nos.:	County of:
Contract No.:	

Project Description:

necessitates the adjustment of utility facilities as hereinafter described, the owner, ,of said facilities herewith agrees with the State of New York acting through the Commissioner of Transportation that this agreement shall apply to the accommodation of these utility facilities. Any adjustment of said facilities will be accomplished under the terms of this agreement, in accordance with the Rules and Regulations Governing the Accommodation of Utilities within the State Highway Right-of-Way, in compliance with the attached Special Note "Coordination with the Utility Schedule, and in accordance with the contract plans, specifications, proposal, amendment(s) or change order(s).

I. **Existing Facilities** (describe type, size, capacity, location, etc.)

presently located on ______________________________ Right-of-Way
(indicate State, County, City, Town, Village, Private, etc.)
as shown on the plans for the proposed transportation project are to be adjusted as follows: (describe type, size, capacity, location, etc.)

for an estimated $

UTILITY WORK AGREEMENT

II. **Financial Responsibility** (check appropriate boxes):

☐ The facilities to be adjusted under the terms of this agreement are subject to Section 52 of the State Highway Law, and the cost of this adjustment is the sole responsibility of the owner.

☐ Subdivision 24 of Section 10 of the State Highway Law enables the Commissioner of Transportation to provide at the expense of the State, for adjustment to a municipally owned utility when such work is necessary as a result of State highway work. (Municipal Agreement required.)

☐ Subdivision 24-b of Section 10 of the State Highway Law enables the Commissioner of Transportation to participate in the necessary expenses incurred for adjustment of privately, publicly or cooperatively owned facilities, municipal utility facilities, or facilities of a corporation organized pursuant to the State Transportation Corporations Law. (Privately Owned Property Agreement or Reimbursement Agreement required.)

☐ Subdivision 27 of Section 10 of the State Highway Law enables the Commissioner of Transportation, upon the request of a municipality, to perform for and at the expense of such municipality specified work to be included within a State-let contract. (Betterment Resolution required.)

☐ Subdivision 33 of Section 10 of the State Highway Law enables the Commissioner of Transportation, upon the request of a public utility corporation, to perform for and at the expense of such public utility corporation specified work to be included within a State-let contract.

☐ Subdivision 13 of Section 30 of the State Highway Law enables the Commissioner of Transportation to enter into an agreement to reimburse with public funds the owner for necessary expenses incurred as a result of this adjustment, or to replace the facilities in kind.

☐ The owner will develop and keep a record of costs in accordance with the New York State Department of Transportation (NYSDOT) Reimbursement Procedures, and when federal funds participate in the cost, the Federal Highway Administration (FHWA) Federal-Aid Policy Guide Part 645, or as indicated below:

____

____

____

III. **Physical Adjustment Method** (check appropriate boxes):

The actual adjustment or design engineering will be performed by the following method (s):

☐ Contract let by the Commissioner.

☐ Contract let by the Owner, (check applicable statement, i.e., a or b)

- ☐ a. Best Interests of State.
- ☐ b. Utility not sufficiently staffed or equipped.

☐ By the Owner's forces.

IV. **Betterment, Salvage, and Depreciation Credits Due the Project** (check appropriate boxes):

☐ There will be no extension of service life, improved capacity nor any other betterment of the facility (as defined by the NYSDOT Utility Reimbursement Procedures and by FHWA Federal-Aid Policy Guide Part 645) as a result of the adjustments made pursuant to this agreement.

☐ There is betterment described as follows:

☐ The owner will not claim reimbursement for that betterment portion of the work, but will duly account for it as required by applicable NYSDOT and FHWA procedures.

☐ The owner hereby agrees to deposit with the Comptroller of the State of New York the amount of $____________ to cover the cost of the betterment as described above.

☐ The owner agrees to comply with the requirements of the NYSDOT Utility Reimbursement Procedure and FHWA Federal-Aid Policy Guide Part 645 with the respect to salvage and depreciation credits when applicable.

V. **General Covenants**

The owner hereby agrees to accept full title and responsibility for the adjusted facility in writing upon satisfactory completion of the work. Such acceptance will acknowledge the owner's responsibility to maintain the facility in accordance with all applicable codes, standards and regulations, including his obligation, where applicable, to remove any or all of the facility from the highway at the order of the Commissioner of Transportation, all in accordance with the Rules and Regulations Governing the Accommodation of Utilities within the State Highway Right-of-Way. All compensable claims covered by this agreement will be included in one of the following:

A. Privately Owned Property Agreement executed prior to the performance of the work.
B. Municipal Agreement executed prior to performance of the work.
C. Reimbursement Agreement executed prior to performance of the work.
D. Such other agreement as approved by NYSDOT Office of Legal Affairs.

VI. **References**

The following documents are herewith incorporated in this agreement be reference (check appropriate boxes)

☐ Federal Highway Administration's Federal-Aid Policy Guide Part 645.

☐ Contract documents : Contract number ______________________
PIN ______________________
Plan sheets No. ______________________

☐ Owner's plan sheets ______________________

☐ Owner's estimate sheets form No. ______________________

☐ Resolution dated ______________ , by ______________________
- ☐ Granting the State of New York authority to perform the adjustment for the owner.
- ☐ Agreeing to maintain facilities adjusted via State-let contract.
- ☐ Authorizing deposit of funds by the owner.

☐ Certification by the owner or his agent that he has the legal authority to enter into this agreement.

____

(Print/Type Name)Owner or Agent (Signature) Title Date

____

For NYSDOT Commissioner of Transportation Title Date

RESOLUTION BY THE CITY COUNCIL
CITY OF KINGSTON
ULSTER COUNTY
AUTHORIZING PAYMENT TO THE STATE FOR REQUESTED WORK
Resolution #____________

WHEREAS in connection with Contract PIN 8811.26, for the construction of a roundabout at the intersection of I-587 and Albany Ave., S.H. 75-30, City of Kingston, Ulster County by Resolution No. ___________, adopted _________, 2017, requested and authorized the State Department of Transportation to proceed with the necessary arrangements to incorporate new sewer facilities in the contract for a roundabout, S.H. 75-30, with the cost of said sewer facility and necessary work in connection therewith to be borne by the City of Kingston, and

WHEREAS THE City Council desires that the said sewer facility, the City's share of which based on the "additional cost" method is estimated to cost $___________, be constructed at the sole expense of the City of Kingston, in connection with the above mentioned State contract, as set forth in the plans for said project.

NOW THEREFORE BE IT REOSOLVED, that pursuant to Section 10, Subdivision 27 of the New York Highway Law, the sum of $___________ is hereby appropriated to cover the cost of the new sewer facility, and the City Treasurer is hereby authorized and directed to deposit such sum with the State Comptroller ninety (90) days prior to the contract bid opening, and be it

FURTHER RESOLVED, that a copy of this resolution be filed with the State Comptroller and with the State Commissioner of Transportation; it being understood that upon completion of the said roundabout, in the City of Kingston, the Commissioner of Transportation of the State of New York shall transmit to this City Council a statement showing the actual costs and expenses of such work and shall notify the City Treasurer of the amount due from or to be returned to the City, as case may be, and that any sum due the State of New York shall be paid by the City if Kingston within ninety (90) days after the date of transmittal of said statement, and the funds thereof shall be raised according to the statutes in such cases made and provided; and be it

FURHTER RESOLVED, that the City of Kingston will maintain said new sewer facility after construction thereof as set forth and will make ample provision each year for such maintenance, and

BE IT FURTHER RESOLVED that the Clerk of this City Council is hereby directed to transmit five (5) certified copies of the forgoing resolution to the New York State Department of Transportation.

STATE OF NEW YORK
COUNTY OF ULSTER
CITY OF KINGSTON

This is to certify that I, the undersigned, Clerk of the City Council of the City of Kingston, have compared the foregoing copy of resolution #__________ with the original now on file in this office and which was passed by said Board on the ______ day of _______ 20_______, a majority of all the members elected to the Board voting in favor thereof, and that the same is a correct and true transcript of such original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and the official seal of the City of Kingston this ______ day of _______ 20_______.

____
Clerk

Resolution #240 of 2017

BOND ORDINANCE DATED DECEMBER 5, 2017.

AN ORDINANCE AUTHORIZING THE SANITARY SEWER REPLACEMENT PROJECT FOR THE I-587/ALBANY AVE/BROADWAY INTERSECTION IN AND FOR THE CITY OF KINGSTON, ULSTER COUNTY, NEW YORK, AT A MAXIMUM ESTIMATED COST OF $800,000, AND AUTHORIZING THE ISSUANCE OF $800,000 BONDS OF SAID CITY TO PAY THE COST THEREOF.

BE IT ORDAINED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, ULSTER COUNTY, NEW YORK, by the favorable vote of not less than two-thirds of all members of said Council, as follows:

Section 1. The Sanitary Sewer Replacement Project for the I-587/Albany Ave/Broadway Intersection, including engineering and design, construction, and construction inspection, in and for the City of Kingston, Ulster County, New York, is hereby authorized at a maximum estimated cost of $800,000.

Section 2. The plan for the financing thereof is by the issuance of $800,000 bonds of said City hereby authorized to be issued therefor pursuant to the provisions of the Local Finance Law.

Section 3. It is hereby determined that the period of probable usefulness of the aforesaid specific object or purpose is forty years, pursuant to subdivision 4 of paragraph a of Section 11.00 of the Local Finance Law.

Section 4. The faith and credit of said City of Kingston, Ulster County, New York, are hereby irrevocably pledged for the payment of the principal of and interest on such bonds as the same respectively become due and payable. An annual appropriation shall be made in each year sufficient to pay the principal of and interest on such bonds becoming due and payable in such year. To the extent not paid from other sources, there shall annually be levied on all the taxable real property in said City ad valorem taxes sufficient to pay the principal of and interest on said bonds as the same shall become due.

Section 5. Subject to the provisions of the Local Finance Law, the power to authorize the issuance of and to sell bond anticipation notes in anticipation of the issuance and sale of the bonds herein authorized, including renewals of such notes, is hereby delegated to the City Comptroller, the chief fiscal officer. Such notes shall be of such terms, form and contents, and shall be sold in such manner, as may be prescribed by said City Comptroller, consistent with the provisions of the Local Finance Law.

Section 6. All other matters except as provided herein relating to the bonds herein authorized including the date, denominations, maturities and interest payment dates, within the limitations prescribed herein and the manner of execution of the same, including the consolidation with other issues, and also the ability to issue bonds with substantially level or declining annual debt service, shall be determined by the City Comptroller, the chief fiscal officer of such City. Such bonds shall contain substantially the recital of validity clause provided for in Section 52.00 of the Local Finance Law, and shall otherwise be in such form and contain such recitals, in addition to those required by Section 51.00 of the Local Finance Law, as the City Comptroller shall determine consistent with the provisions of the Local Finance Law.

Section 7. The validity of such bonds and bond anticipation notes may be contested only if:

1) Such obligations are authorized for an object or purpose for which said City is not authorized to expend money, or

2) The provisions of law which should be complied with at the date of publication of this ordinance are not substantially complied with,

and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

3) Such obligations are authorized in violation of the provisions of the Constitution.

Section 8. The proceeds of the bonds herein authorized and any bond anticipation notes issued in anticipation of said bonds may be applied to reimburse the City for expenditures made after the effective date of this ordinance for the purpose for which said bonds are authorized. The foregoing statement of intent with respect to reimbursement is made in conformity with Treasury Regulation Section 1.150-2 of the United States Treasury Department.

Section 9. This ordinance shall constitute a statement of official intent for purposes of Treasury Regulations Section 1.150-2. Other than as specified in this ordinance, no monies are, or are reasonably expected to be, reserved, allocated on a long-term basis, or otherwise set aside with respect to the permanent funding of the object or purpose described herein.

Section 10. This ordinance, which takes effect immediately, shall be published in summary form in The Daily Freeman, which is hereby designated as the official newspaper of said City for such purpose, together with a notice of the City Clerk in substantially the form provided in Section 81.00 of the Local Finance Law.

The question of the adoption of the foregoing ordinance was duly put to a vote on roll call, which resulted as follows:

Alderman ________________________ VOTING ________

Alderman ________________________ VOTING ________

Alderman ________________________ VOTING ________

Alderman ________________________ VOTING ________

Alderman ________________________ VOTING ________

Alderman ________________________ VOTING ________

Alderman ________________________ VOTING ________

Alderman ________________________ VOTING ________

Alderman ________________________ VOTING ________

The ordinance was thereupon declared duly adopted.

CERTIFICATION FORM

STATE OF NEW YORK)
) ss.:
COUNTY OF ULSTER)

I, the undersigned Clerk of the City of Kingston, Ulster County, New York (the "Issuer"), DO HEREBY CERTIFY:

1. That a meeting of the Issuer was duly called, held and conducted on the 5th day of December, 2017.

2. That such meeting was a **special regular** (circle one) meeting.

3. That attached hereto is a proceeding of the Issuer which was duly adopted at such meeting by the Board of the Issuer.

4. That such attachment constitutes a true and correct copy of the entirety of such proceeding as so adopted by said Board.

5. That all members of the Board of the Issuer had due notice of said meeting.

6. That said meeting was open to the general public in accordance with Section 103 of the Public Officers Law, commonly referred to as the "Open Meetings Law".

7. That notice of said meeting *(the meeting at which the proceeding was adopted)* was caused to be given PRIOR THERETO in the following manner:

PUBLICATION (here insert newspaper(s) and date(s) of publication – should be a date or dates falling prior to the date set forth above in item 1)

POSTING (here insert place(s) and date(s) of posting- should be a date or dates falling prior to the date set forth above in item 1)

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Issuer this ____ day of December, 2017.

____
City Clerk

(CORPORATE SEAL)

OHSUSA:767740416.1

LEGAL NOTICE OF ESTOPPEL

The bond ordinance, summary of which is published herewith, has been adopted on December 5, 2017, and the validity of the obligations authorized by such resolution may be hereafter contested only if such obligations were authorized for an object or purpose for which the City of Kingston, Ulster County, New York, is not authorized to expend money, or if the provisions of law which should have been complied with as of the date of publication of this notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of publication of this notice, or such obligations were authorized in violation of the provisions of the Constitution.

A complete copy of the resolution summarized herewith is available for public inspection during regular business hours at the Office of the City Clerk of the City for a period of twenty days from the date of publication of this Notice.

Dated: Kingston, New York,
_______________, 2017.

____
City Clerk

BOND ORDINANCE DATED DECEMBER 5, 2017.

AN ORDINANCE AUTHORIZING THE SANITARY SEWER REPLACEMENT PROJECT FOR THE I-587/ALBANY AVE/BROADWAY INTERSECTION IN AND FOR THE CITY OF KINGSTON, ULSTER COUNTY, NEW YORK, AT A MAXIMUM ESTIMATED COST OF $800,000, AND AUTHORIZING THE ISSUANCE OF $800,000 BONDS OF SAID CITY TO PAY THE COST THEREOF.

Object or purpose:	The Sanitary Sewer Replacement Project for the I-587/Albany Ave/Broadway Intersection, including engineering and design, construction, and construction inspection
Maximum Estimated Cost:	$800,000
Period of probable usefulness:	Forty years
Amount of obligations to be issued:	$800,000 bonds

orrick

Orrick, Herrington & Sutcliffe LLP
51 West 52nd Street
New York, NY 10019-6142

+1 212 506 5000
orrick.com

Thomas E. Myers

E *tmyers@orrick.com*
D *+1 212 506 5212*
F *+1 212 506 5151*

November 28, 2017

VIA E-MAIL (jtuey@kingston-ny.gov)

Mr. John Tuey
City Comptroller
City of Kingston
City Hall/420 Broadway
Kingston, NY 12401

Re: City of Kingston, Ulster County, New York
Sanitary Sewer Replacement Project - $800,000 Bonds
Orrick File: 42394-2-75

Dear John:

In accordance with your recent request, we are enclosing the following relative to the above:

(1) Draft form for the bond ordinance. As you know, this ordinance must be adopted by a super majority vote; that is a vote of at least two-thirds of the total voting strength of the Council.

(2) A suggested form for the summary Legal Notice of Estoppel. This Legal Notice of Estoppel should be published once in The Daily Freeman, the official newspaper designated in Section 10 of the bond ordinance.

In due course, please furnish us with the following:

(a) An ORIGINALLY certified copy of the enclosed bond ordinance.

(b) An ORIGINAL printer's affidavit of publication of the summary Legal Notice of estoppel from The Daily Freeman.

With best wishes,

Very truly yours,

Tom

Thomas E. Myers
/es

OHSUSA:767740416.1

BOND ORDINANCE

At a regular meeting of the Common Council of the City of Kingston, Ulster County, New York, held at the Common Council Chambers, City Hall, 420 Broadway, in said City, on the 5th day of December, 2017, at ____ o'clock P.M., Prevailing Time.

The meeting was called to order by ____________________________, and upon roll being called, the following were

PRESENT:

ABSENT:

The following ordinance was offered by Alderman ________________, who moved its adoption, seconded by Alderman ________________________, to-wit:

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____ **CONTINGENCY TRANSFER** _____ **TRANSFER** _____
AUTHORIZATION _____ **BUDGET MODIFICATION** _____ **BONDING REQUEST** ✓
CLAIMS _____ **ZONING** _____ **OTHER** _____

DEPARTMENT Comptroller on Behalf of Engineering DATE 11/15/17

Description Respectfully request $~~685,000~~ $800,000 in bonding for Sanitary Sewer Replacement project located at the proposed roundabout at I587/Albany Ave/Broadway Intersection.

Estimated Financial Impact $~~685,000~~ $800,000 Signature [signature]

Motion by SS

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	✓	

Resolution 241 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE MAYOR TO APPLY FOR AND EXECUTE ANY AND ALL DOCUMENTS RELATED TO A FUNDING OPPORTUNITY THROUGH THE RESTORE NEW YORK COMMUNITIES INITIATIVE

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the City of Kingston is eligible to apply to Empire State Development for a grant through the Restore New York Communities Initiative; and

WHEREAS, the City of Kingston seeks to support the redevelopment by JM Development Group, LLC, of a vacant commercial property at 9-17 North Front Street, otherwise known as The Kingstonian project; and

WHEREAS, this application requires a commitment of a 10% match, should the grant be awarded, that will come from an investment from JM Development Group, LLC, and

WHEREAS, the proposed project is consistent with the Kingston 2025 Comprehensive Plan passed in March of 2016, the proposed financing is appropriate for the project, the project facilitates effective and efficient use of existing and future public resources so as to promote both economic development and preservation of community resources in Uptown Kingston, and the project develops and enhances infrastructure and buildings in a manner that will attract, create, and sustain employment opportunities; and

WHEREAS, there are specific requirements and regulations governing the expenditure of these funds; and

WHEREAS, the action is categorized under 6 NYCRR, Part 617.5 as Type II.

NOW, THEREFORE, BE IT RESOVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. Administration of all funds under this grant will be in accordance with all terms and conditions contained in guidelines provided by Empire State Development and the Restore New York Communities Initiative.

SECTION 2. The Mayor of the City of Kingston is hereby authorized and directed to file an application for funds from Empire State Development through the Restore New York Communities Initiative.

SECTION 3. This resolution shall take effect immediately.

Submitted to the Mayor this ____ day

of ________________________ 2017

____
Carly Winnie, City Clerk

Approved by the Mayor this ____ day

of ________________________ 2017

____
Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____
AUTHORIZATION X
CLAIMS _____

CONTINGENCY TRANSFER _____
BUDGET MODIFICATION _____
ZONING _____

TRANSFER _____
BONDING REQUEST _____
OTHER _____

DEPARTMENT Mayor/Econ. Dev. DATE 11/29/17

Description Resolution authorizing Mayor to apply for and execute any and all documents related to a funding opportunity through the Restore NY Communities Initiative

Estimated Financial Impact Ø Signature [signature]

Motion by JS

Seconded by AD

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	X	

Resolution ____ of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE MAYOR TO APPLY FOR AND EXECUTE ANY AND ALL DOCUMENTS RELATED TO A FUNDING OPPORTUNITY THROUGH THE RESTORE NEW YORK COMMUNITIES INITIATIVE

Sponsored by:

WHEREAS, the City of Kingston is eligible to apply to Empire State Development for a grant through the Restore New York Communities Initiative; and

WHEREAS, the City of Kingston seeks to support the redevelopment by JM Development Group, LLC, of a vacant commercial property at 9-17 North Front Street, otherwise known as The Kingstonian project; and

WHEREAS, this application requires a commitment of a 10% match, should the grant be awarded, that will come from an investment from JM Development Group, LLC, and

WHEREAS, the proposed project is consistent with the Kingston 2025 Comprehensive Plan passed in March of 2016, the proposed financing is appropriate for the project, the project facilitates effective and efficient use of existing and future public resources so as to promote both economic development and preservation of community resources in Uptown Kingston, and the project develops and enhances infrastructure and buildings in a manner that will attract, create, and sustain employment opportunities; and

WHEREAS, there are specific requirements and regulations governing the expenditure of these funds; and

WHEREAS, the action is categorized under 6 NYCRR, Part 617.5 as Type II.

NOW, THEREFORE, BE IT RESOVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. Administration of all funds under this grant will be in accordance with all terms and conditions contained in guidelines provided by Empire State Development and the Restore New York Communities Initiative.

SECTION 2. The Mayor of the City of Kingston is hereby authorized and directed to file an application for funds from Empire State Development through the Restore New York Communities Initiative.

SECTION 3. This resolution shall take effect immediately.

Submitted to the Mayor this ____ day	Approved by the Mayor this ____ day
of ________________________ 2017	of ________________________ 2017
______________________________	______________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on ____________________, 2017

RESOLUTION #242 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING BASE PROPORTION RATIO FOR HOMESTEAD AND NON-HOMESTEAD

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, Local Law #3 of 1988 established the homestead base proportions in accordance with the Rules of the State Board of Equalization and Assessment; and

WHEREAS, it is recommended that the base proportion ratio be moved to 54.15290% homestead and 45.84710% non-homestead; and

WHEREAS, it is in the best interests of the City of Kingston to move said base proportion ratio:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the Common Council of the City of Kingston hereby authorizes the move of the base proportion ratio to 54.15290% homestead and 45.84710% non-homestead.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______	Approved by the Mayor this______
day of__________________2017	day of__________________2017.
______________________________	______________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

Base Proportion

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____
AUTHORIZATION _____
CLAIMS _____

CONTINGENCY TRANSFER _____
BUDGET MODIFICATION _____
ZONING _____

TRANSFER _____
BONDING REQUEST _____
OTHER x

DEPARTMENT Comptroller on behalf of Assessor DATE 11/29/17

Description Hereby recommends the move of the base proportion ratio to 54.15290 % homestead and 45.84710 % non-homestead for the 2018 tax levy.

Estimated Financial Impact $0 Signature

Motion by AD

Seconded by RSC

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress, Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	+	

RESOLUTION #249 of 2016

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING BASE PROPORTION RATIO FOR HOMESTEAD AND NON-HOMESTEAD

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, Local Law #3 of 1988 established the homestead base proportions in accordance with the Rules of the State Board of Equalization and Assessment; and

WHEREAS, it is recommended ~~that~~ the base proportion ratio be moved to ~~54.10613%~~ homestead and ~~45.8987%~~ non-homestead; and

54.15290 45.84710

WHEREAS, it is in the best interests of the City of Kingston to move said base proportion ratio:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the Common Council of the City of Kingston hereby authorizes ~~the~~ move of the base proportion ratio to ~~54.10613%~~ homestead and ~~45.8987%~~ non-homestead. 54.15290

45.84710

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______

day of__________________2016

Approved by the Mayor this______

day of__________________2016.

____
CARLY WINNIE, CITY CLERK

____
STEVEN T. NOBLE, MAYOR

Adopted by Council on December 6, 2016

Base Proportion

RESOLUTION #243 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, TO APPROVE THE CITY'S GENERAL FUND BUDGET FOR THE FISCAL YEAR 2018, AS AMENDED

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the Mayor has submitted to the Common Council the estimate of monies for the proper conduct of government of the City of Kingston, NY for the fiscal year 2018, including the sums levied by the Legislature of the County of Ulster, pursuant to statute; and

WHEREAS, said estimates, as further adjusted by recommendations of the Finance/Audit Committee, have been accepted by the Mayor and made part of his recommendation, aggregate the sum of $42,519,568.00 in the General Fund, after allowing for balances in certain sums and anticipated revenues from sources other than direct taxation; and

WHEREAS, from the information obtained therefrom, the modified, amended and revised estimates therein appear fair, just and necessary for the proper conduct of city government for the fiscal year 2018:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the modified, amended and revised estimates needed for the conduct of the city government for the fiscal year 2018 in the total sum of $42,519,568.00 for the General Fund and the same is hereby approved, confirmed and adopted as the budget of the City of Kingston, New York.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ___ day of _______________ 2017.

____
Carly Winnie, City Clerk

Approved by the Mayor this ___ day of _______________ 2017.

____
Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____	CONTINGENCY TRANSFER _____	TRANSFER _____
AUTHORIZATION _____	BUDGET MODIFICATION _____	BONDING REQUEST _____
CLAIMS _____	ZONING _____	OTHER x

DEPARTMENT Comptroller DATE 11/29/17

Description Hereby recommend the approval of the 2018 General Fund budget submitted by the Mayor of the City of Kingston, and further adjusted for recommendations of the Finance and Audit Committee, with total expenditures of $ 42,519,568 as per below

Changes from Mayor's Budget

Increase: Contingency A1 1990.5404 10,000
Prorated Taxes N/A 1,835

Decrease: CDP Data Processing Equipment A1 1680.5205 10,000
Appropriation of Fund Balance N/A 1,835

Estimated Financial Impact $0 Signature

Motion by AD

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress, Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	✓	

RESOLUTION #~~250 of 2016~~

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, TO APPROVE THE CITY'S GENERAL FUND BUDGET FOR THE FISCAL YEAR ~~2017~~, AS AMENDED

2018

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

2018

WHEREAS, the Mayor has submitted to the Common Council the estimate of monies for the proper conduct of government of the City of Kingston, NY for the fiscal year 20~~17~~, including the sums levied by the Legislature of the County of Ulster, pursuant to statute; and

42,519,568

WHEREAS, said estimates, as further adjusted by recommendations of the Finance/Audit Committee, have been accepted by the Mayor and made part of his recommendation, aggregate the sum of $41,~~467,784~~.00 in the General Fund, after allowing for balances in certain sums and anticipated revenues from sources other than direct taxation; and

WHEREAS, from the information obtained therefrom, the modified, amended and revised estimates therein appear fair, just and necessary for the proper conduct of city government for the fiscal year 20~~17~~.

2018

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

2018

<u>SECTION 1.</u> That the modified, amended and revised estimates needed for the conduct of the city government for the fiscal year 20~~17~~ in the total sum of $41,~~467,784~~.00 for the General Fund and the same is hereby approved, confirmed and adopted as the budget of the City of Kingston, New York.

<u>SECTION 2.</u> That this resolution shall take effect immediately.

42,519,568

Submitted to the Mayor this ___

day of _________________ 2016.

Approved by the Mayor this ___

day of _________________ 2016.

____
CARLY WINNIE, CITY CLERK

____
STEVEN T. NOBLE, MAYOR

Adopted by Council on December 6, 2016

General Budget

RESOLUTION 244 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, CREATING THE TAX LEVY TO PROVIDE THE AMOUNT NEEDED FOR CITY PURPOSES FOR FISCAL YEAR 2018

Sponsored by: Finance/Audit Committee
Aldermen: Koop, Scott-Childress, Davis, Schabot, Brown

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That there be raised by the tax upon taxable inhabitants of the City of Kingston, New York, and the taxable real and property and special franchise in the said City of Kingston, New York, for the sum of $17,684,767.00. Amount includes tax levy of $17,650,940.00 and prorated taxes of $33,827.00; and is for the purpose stated and set forth in the estimates of the Mayor and modified, amended, and revised by this Honorable Body, said estimates having been approved, confirmed and adopted by the Common Council at this present meeting as the Budget of the City of Kingston, NY for all purposes for the year 2017, except the requirements for Public Education, a copy of said budget having been attached hereto and made a part of this resolution.

SECTION 2. That the City Clerk be and hereby is authorized and directed to extend and apportion the taxes of the City Tax Roll for the year 2018, in accordance with the foregoing resolution, to produce in taxes the total sum needed therein as provided in Section 125 of the City Charter.

SECTION 3. That as soon as is practicable, the City Tax Roll for the year 2018 shall be delivered to the City Comptroller of the City of Kingston, New York, to receive, levy and collect the several sums in the roll specified and assessed against the persons or property therein mentioned or described with such percentage of penalty of interest, as in the City Statute is provided.

SECTION 4. That this resolution shall take effect immediately.

Submitted to the Mayor this ______	Approved by the Mayor this ______
day of__________________ 2017.	day of__________________ 2017.
______________________________	______________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

Tax Levy

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	CONTINGENCY TRANSFER ____	TRANSFER ____
AUTHORIZATION ____	BUDGET MODIFICATION ____	BONDING REQUEST ____
CLAIMS ____	ZONING ____	OTHER X

DEPARTMENT Comptroller DATE 11/29/17

Description Hereby recommends the amount to be raised by taxes for the fiscal year 2018 in the total amount of $ 17,684,767, including the tax levy of $ 17,650,940 and pro-rated taxes of $33,827.

Estimated Financial Impact $0 Signature ________

Motion by SS

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress, Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	X	

RESOLUTION # 25~~1 of~~ 2016

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, CREATING THE TAX LEVY TO PROVIDE THE AMOUNT NEEDED FOR CITY PURPOSES FOR THE FISCAL YEAR ~~2017~~ 2018

Sponsored by: Finance/Audit Committee
Aldermen: Koop, Scott-Childress, Davis, Schabot, Brown

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

17,684,767

SECTION 1. That there be raised by the tax upon taxable inhabitants of the City of Kingston, New York, and the taxable real and property and special franchise in the said City of Kingston, New York, for the sum of $~~17,685,192.00~~. Amount includes tax levy of $~~17,650,940.00~~ 17,650,940 and prorated taxes of $~~34,252.00~~ 33,827; and is for the purpose stated and set forth in the estimates of the Mayor and modified, amended, and revised by this Honorable Body, said estimates having been approved, confirmed and adopted by the Common Council at this present meeting as the Budget of the City of Kingston, NY for all purposes for the year 2017, except the requirements for Public Education, a copy of said budget having been attached hereto and made a part of this resolution.

SECTION 2. That the City Clerk be and hereby is authorized and directed to extend and apportion the taxes of the City Tax Roll for the year ~~2017~~ 2018, in accordance with the foregoing resolution, to produce in taxes the total sum needed therein as provided in Section 125 of the City Charter.

SECTION 3. That as soon as is practicable, the City Tax Roll for the year ~~2017~~ 2018 shall be delivered to the City Comptroller of the City of Kingston, New York, to receive, levy and collect the several sums in the roll specified and assessed against the persons or property therein mentioned or described with such percentage of penalty of interest, as in the City Statute is provided.

SECTION 4. That this resolution shall take effect immediately.

Submitted to the Mayor this ______ day of __________________ 2016.

Approved by the Mayor this ______ day of __________________ 2016.

____
CARLY WINNIE, CITY CLERK

____
STEVEN T. NOBLE, MAYOR

Adopted by Council on December 6, 2016

Tax Levy

RESOLUTION #245 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, TO APPROVE THE CITY'S SEWER BUDGET FOR THE FISCAL YEAR 2018

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the Mayor has submitted to the Common Council the estimate of monies for the proper conduct of government of the City of Kingston, New York for the fiscal year 2018; and

WHEREAS, said estimates, after being modified, amended and revised by this Honorable Body, aggregate the sum of $5,084,585.00 in the Sewer Fund, after allowing for balances in certain sums and anticipated revenues from sources other than direct taxation; and

WHEREAS, from the information obtained therefrom, the modified, amended and revised estimates therein appear fair, just and necessary for the proper conduct of city government for the fiscal year 2018:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the modified, amended and revised estimates needed for the conduct of the city government for the fiscal year 2018, in the total sum of $5,084,585.00 for the Sewer Fund be and the same are hereby approved, confirmed and adopted as the budget of the City of Kingston, New York.

SECTION 2. That the 2018 Sewer Budget is as follows:

EXPENSES:	
Personnel	$1,296,857.00
Equipment	60,408.00
Contractual Expenses	1,374,015.00
Employee Benefits	1,006,854.00
Transfers	1,346,451.00
Total Operating Expenses	$5,084,585.00
INCOME:	
Local Sewer Charge	$5,084,585.00
Total Income	$5,084,585.00

SECTION 3. That this resolution shall take effect immediately.

Submitted to the Mayor this ______ day of __________________ 2017.

Approved by the Mayor this ______ day of __________________ 2017.

____
CARLY WINNIE, CITY CLERK

____
STEVEN T. NOBLE, MAYOR

Adopted by Council on December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____ AUTHORIZATION _____ CLAIMS _____

CONTINGENCY TRANSFER _____ BUDGET MODIFICATION _____ ZONING _____

TRANSFER _____ BONDING REQUEST _____ OTHER x

DEPARTMENT Comptroller DATE 11/29/17

Description Hereby recommend the approval of the 2018 Sewer Fund budget submitted by the Mayor of the City of Kingston, and further adjusted for recommendations of the Finance and Audit Committee, with total expenditures of $5,084,585 as follows:

Personnel	$ 1,296,857
Equipment	$ 60,408
Contractual Expense	$ 1,374,015
Employee Benefits	$ 1,006,854
Transfers	$ 1,346,451
Total Operating Expenses	$ 5,084,585
Total Income (including Local Sewer Charges)	$ 5,084,585

Estimated Financial Impact $0 Signature

Motion by R S-C

Seconded by SS

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress, Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	✓	

RESOLUTION #252 of 2016

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, TO APPROVE THE CITY'S SEWER BUDGET FOR THE FISCAL YEAR ~~2017~~ 2018

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the Mayor has submitted to the Common Council the estimate of monies for the proper conduct of government of the City of Kingston, New York for the fiscal year ~~2017~~ 2018; and

WHEREAS, said estimates, after being modified, amended and revised by this Honorable Body, aggregate the sum of ~~$5,149,828.00~~ 5,084,585 in the Sewer Fund, after allowing for balances in certain sums and anticipated revenues from sources other than direct taxation; and

WHEREAS, from the information obtained therefrom, the modified, amended and revised estimates therein appear fair, just and necessary for the proper conduct of city government for the fiscal year ~~2017~~ 2018:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the modified, amended and revised estimates needed for the conduct of the city government for the fiscal year ~~2017~~ 2018, in the total sum of ~~$5,149,828.00~~ 5,084,585 for the Sewer Fund be and the same are hereby approved, confirmed and adopted as the budget of the City of Kingston, New York.

SECTION 2. That the ~~2017~~ 2018 Sewer Budget is as follows:

EXPENSES:		
Personnel	~~$1,319,417.00~~	1,296,857
Equipment	~~26,650.00~~	60,408
Contractual Expenses	~~1,484,223.00~~	1,374,015
Employee Benefits	~~960,713.00~~	1,006,854
Transfers	~~1,358,825.00~~	1,346,451
Total Operating Expenses	~~$5,149,828.00~~	5,084,585
INCOME:		
Local Sewer Charge	~~$5,149,828.00~~	5,084,585
Total Income	~~$5,149,828.00~~	

SECTION 3. That this resolution shall take effect immediately.

Submitted to the Mayor this ______ day of __________________ 2016.

Approved by the Mayor this ______ day of __________________ 2016.

CARLY WINNIE, CITY CLERK

STEVEN T. NOBLE, MAYOR

Adopted by Council on December 6, 2016

RESOLUTION #246 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, ESTABLISHING A SEWER CHARGE RATE FOR THE YEAR 2018

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, Local Law No. 5 of 1984 provides for a system of sewer charges to be levied on owners of properties within the city who contribute sewer to the public sewers; and

WHEREAS, the aforementioned Local Law No. 5 further provides that the City Council review the user charge periodically and revise it to reflect the actual sewage works, operation and maintenance cost:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the sewer charge rate is hereby set in the amount of $5.92 per unit of water consumed beginning the first quarter of 2018.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______ day of__________________ 2017.

Approved by the Mayor this ______ day of__________________ 2017.

____
Carly Winnie, City Clerk

____
Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

Sewer Rate

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____
AUTHORIZATION _____
CLAIMS _____

CONTINGENCY TRANSFER _____
BUDGET MODIFICATION _____
ZONING _____

TRANSFER _____
BONDING REQUEST _____
OTHER x

DEPARTMENT Comptroller DATE 11/29/17

Description Hereby recommends the adoption of a Sewer Charge Rate of $5.92 per unit of water consumed beginning the first quarter of 2018.

Estimated Financial Impact $0 Signature

Motion by AD

Seconded by SS

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress, Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9		

RESOLUTION #253 of 2016

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, ESTABLISHING A SEWER CHARGE RATE FOR THE YEAR ~~2017~~ 2018

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, Local Law No. 5 of 1984 provides for a system of sewer charges to be levied on owners of properties within the city who contribute sewer to the public sewers; and

WHEREAS, the aforementioned Local Law No. 5 further provides that the City Council review the user charge periodically and revise it to reflect the actual sewage works, operation and maintenance cost:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the sewer charge rate is hereby set in the amount of ~~$5.89~~ 5.92 per unit of water consumed beginning the first quarter of ~~2017~~ 2018.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______

day of__________________ 2016.

Approved by the Mayor this ______

day of__________________ 2016.

____
CARLY WINNIE, CITY CLERK

____
STEVEN T. NOBLE, MAYOR

Adopted by Council on December 6, 2016

Sewer Rate

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING AND ADOPTING A BOND ORDINANCE FOR THE 2018 CAPITAL PLAN AND AUTHORIZING THE MAYOR TO EXECUTE DOCUMENTS

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, an authorization is sought for a bond ordinance in the amount of $1,870,000.00 for the 2018 Capital Plan; and

WHEREAS, the sum of the Capital Plan projects totals $1,870,000.00; and

WHEREAS, the Finance/Audit Committee has reviewed and approved this request:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the Common Council authorizes the adoption of a bond ordinance in the amount of $1,870,000.00 for the attached Capital Plan Projects and authorizes the Mayor to enter into contracts as required to complete such projects.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ____	Approved by the Mayor this ____
day of __________________ 2017.	day of __________________ 2017.
______________________________	______________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

Capital Plan

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____	CONTINGENCY TRANSFER _____	TRANSFER _____
AUTHORIZATION _____	BUDGET MODIFICATION _____	BONDING REQUEST _____
CLAIMS _____	ZONING _____	OTHER x

DEPARTMENT Comptroller DATE 11/29/17

Description Hereby recommends the approval of the 2018 capital plan projects totaling $1,870,000 as per attached and recommends the adoption of a bond ordinance to finance such projects. Also, recommend the Mayor to enter into contracts as required to complete such projects.

Estimated Financial Impact $1,870,000 Signature ________

Motion by R S-C

Seconded by AD

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress, Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	✓	

RESOLUTION ~~#254~~ of 2016

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING AND ADOPTING A BOND ORDINANCE FOR THE ~~2017~~ 2017 CAPITAL PLAN AND AUTHORIZING THE MAYOR TO EXECUTE DOCUMENTS

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

$1,870,000

WHEREAS, an authorization is sought for a bond ordinance in the amount of ~~$660,000.00~~ for the ~~2017~~ 2018 Capital Plan; and

$1,870,000

WHEREAS, the sum of the Capital Plan projects totals ~~$660,000.00~~; and

WHEREAS, the Finance/Audit Committee has reviewed and approved this request:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

$1,870,000

SECTION 1. That the Common Council authorizes the adoption of a bond ordinance in the amount of ~~$660,000.00~~ for the attached Capital Plan Projects and authorizes the Mayor to enter into contracts as required to complete such projects.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ___ day of ________________ 2016.

Approved by the Mayor this ___ day of ________________ 2016.

CARLY WINNIE, CITY CLERK

STEVEN T. NOBLE, MAYOR

Adopted by Council on December 6, 2016

Capital Plan

City of Kingston
Capital Plan - 2018 Budget

Department	Description		Amount
Police Department	Lexipol Policy and Procedure Software		$ 65,000
Fire Department	Flat Bed Scanner - Building and Safety	$ 10,000	
Fire Department	Fire Engine (Replaces Engine #1)	$ 450,000	
			$ 460,000
Department of Public Works	Refuse Totes	$ 60,000	
Department of Public Works	Recycling Totes	$ 30,000	
Department of Public Works	Automated Packer	$ 335,000	
Department of Public Works	Patch Truck	$ 195,000	
Department of Public Works	One Ton Dump	$ 60,000	
Department of Public Works	Vactor Truck with Submersible Pump - Sewer	$ 400,000	
Department of Public Works	One Ton Dump - Sewer	$ 60,000	
			$ 1,140,000
Wastewater Treatment Plant	Rolloff Truck-Sewer		$ 205,000
Totals			$ 1,870,000

RESOLUTION #248 OF 2017

RESOLUTION OF THE CITY OF KINGSTON COMMON COUNCIL, NEW YORK, AUTHORIZING THE MAYOR TO ACCEPT ON BEHALF OF THE CITY A GRANT FROM NEW YORK STATE UNDER THE URBAN AND COMMUNITY FORESTRY GRANTS PROGRAM

Sponsored By: Finance and Audit Committee - Aldermen: Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the City of Kingston has been awarded a New York State Grant through the Urban and Community Forestry Grants Program in the amount of $50,000 to conduct a Municipal Tree Inventory along public streets; and

WHEREAS, the City Tree Commission is committed to assisting in this project to be better informed on the community needs, develop an urban tree management plan, pursue additional funding for street tree plantings and education/training;

WHEREAS, the City of Kingston has lost several street trees over the last couple years to various storm events and other natural causes and desires to initiate a more proactive plan for maintaining and building on the urban forest , and

WHEREAS, the City of Kingston recognizes the value of our public trees throughout the community, adding to property values, energy savings, aesthetics, and conducive to encouraging a more pedestrian friendly environment, and

WHEREAS, this action is categorized as a Type II Action under NYCRR Part 617.5 (c) (21).

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1: That the City of Kingston accepts the grant from the NYS Urban forestry Program, in the amount of $50,000 for purposes of undertaking a street tree inventory.

SECTION 2: That the Mayor is authorized to execute any and all documents as may be necessary to carry out the objectives of the grant.

SECTION 3: That the Common Council authorizes the City Comptroller to establish an expenditure line within the FY 2018 Planning Budget in the amount of $50,000, along with a revenue line in the FY 2018 Planning Budget for $50,000.

SECTION 4: That all funds under this grant shall be in accordance with the terms and conditions as set forth in grant documents.

SECTION 5: That this resolution shall take effect immediately.

Submitted to the Mayor this ______ day of ____________________, 2017

Approved by the Mayor this ______ day of ____________________, 2017

____
Carly Winnie, City Clerk

____
Steven T. Noble, Mayor

Adopted by Council on ____________________, 2017

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	CONTINGENCY TRANSFER ____	TRANSFER ____
AUTHORIZATION X	BUDGET MODIFICATION ____	BONDING REQUEST ____
CLAIMS ____	ZONING ____	OTHER ____

DEPARTMENT Planning DATE 11-15-17

Description

Adopt recommendation for a resolution to authorize City to accept grant from NYS Urban Forestry for Tree Inventory - #50,000 - See attached communication & draft resolution

Estimated Financial Impact ∅ Signature Suzanne Cahill

Motion by AD

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action X
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	X	

RESOLUTION ______OF 2017

RESOLUTION OF THE CITY OF KINGSTON COMMON COUNCIL , NEW YORK, AUTHORIZING THE MAYOR TO ACCEPT ON BEHALF OF THE CITY A GRANT FROM NEW YORK STATE UNDER THE URBAN AND COMMUNITY FORESTRY GRANTS PROGRAM

Sponsored By: Finance and Audit Committee - Alderman: ______________________________

____

WHEREAS, the City of Kingston has been awarded a New York State Grant through the Urban and Community Forestry Grants Program in the amount of $50,000 to conduct a Municipal Tree Inventory along public streets; and

WHEREAS, the City Tree Commission is committed to assisting in this project to be better informed on the community needs, develop an urban tree management plan, pursue additional funding for street tree plantings and education/training;

WHEREAS, the City of Kingston has lost several street trees over the last couple years to various storm events and other natural causes and desires to initiate a more proactive plan for maintaining and building on the urban forest , and

WHEREAS, the City of Kingston recognizes the value of our public trees throughout the community, adding to property values, energy savings, aesthetics, and conducive to encouraging a more pedestrian friendly environment, and

WHEREAS, this action is categorized as a Type II Action under NYCRR Part 617.5 (c) (21).

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON , NEW YORK, AS FOLLOWS:

SECTION 1: That the City of Kingston accepts the grant from the NYS Urban forestry Program, in the amount of $50,000 for purposes of undertaking a street tree inventory.

SECTION 2: That the Mayor is authorized to execute any and all documents as may be necessary to carry out the objectives of the grant.

SECTION 3: That the Common Council authorizes the City Comptroller to establish an expenditure line within the FY 2018 Planning Budget in the amount of $50,000, along with a revenue line in the FY 2018 Planning Budget for $50,000.

SECTION 4: That all funds under this grant shall be in accordance with the terms and conditions as set forth in grant documents.

SECTION 5: That this resolution shall take effect immediately.

RESOLUTION #249 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR AN ADDRESS CHANGE ON SHUFELDT STREET

Sponsored by: Public Safety/General Government Committee
Aldermen Eckert, Davis, Brown

WHEREAS, Resolution #193 of 1998 adopted a procedure for the numbering and renumbering of street addresses for City of Kingston parcels; and

WHEREAS, the Engineering Department has submitted a request for address changes on North Street in the City of Kingston; and

WHEREAS, the Public Safety/General Government Committee has received, reviewed and approved this request:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the existing #36 Shufeldt Street, Tax Map No. 48.82-5-13.1, be renumbered to #34 Shufeldt Street

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this______

day of__________________2017

____

CARLY WINNIE, CITY CLERK

Approved by the Mayor this______

day of__________________2017

____

STEVEN T. NOBLE, MAYOR

Adopted by Council on December 5, 2017

StNum

THE CITY OF KINGSTON COMMON COUNCIL

PUBLIC SAFETY/GENERAL GOVERNMENT COMMITTEE REPORT

DEPARTMENT __________ DATE 11/30/17

Description Resolution to refer prior Resolution #220 of 2017 back to Common Council ~~[illegible]~~ with a positive recommendation

(As appropriate, always give cross streets: North, South, East, West directions; and Vehicle & Traffic Section from City of Kingston Code Book)

Signature [signature]

Motion by SD

Seconded by LE

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
[signature] Steve Schabot, Chairman	✓	
[signature] ~~Lynn Eckert~~, Ward 1	X	
Nina Dawson, Ward 4		
Anthony Davis [signature] Anthony Davis, Ward 6	✓	
Deborah Brown, Ward 9		

RESOLUTION #220 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR AN ADDRESS CHANGE ON SHUFELDT STREET

Sponsored by: Public Safety/General Government Committee
Aldermen Eckert, Davis, Brown

WHEREAS, Resolution #193 of 1998 adopted a procedure for the numbering and renumbering of street addresses for City of Kingston parcels; and

WHEREAS, the Engineering Department has submitted a request for address changes on North Street in the City of Kingston; and

WHEREAS, the Public Safety/General Government Committee has received, reviewed and approved this request:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the existing #36 Shufeldt Street, Tax Map No. 48.82-5-13.1, be renumbered to #34 Shufeldt Street

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this______	Approved by the Mayor this______
day of__________________2017	day of__________________2017
______________________________	______________________________
CARLY WINNIE, CITY CLERK	STEVEN T. NOBLE, MAYOR

Adopted by Council on November 14, 2017

StNum

THE CITY OF KINGSTON COMMON COUNCIL

PUBLIC SAFETY/GENERAL GOVERNMENT COMMITTEE REPORT

DEPARTMENT ______________________ **DATE** 10/25/17

Description

~~Resolution to change the address of #36 Shufeldt Street to #34 Shufeldt Street.~~

(As appropriate, always give cross streets: North, South, East, West directions; and Vehicle & Traffic Section from City of Kingston Code Book)

Signature ______________________

Motion by LE

Seconded by AD

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Steve Schabot, Chairman		
Lynn Eckert, Ward 1	X	
Nina Dawson, Ward 4		
Anthony Davis, Ward 6	✓	
Deborah Brown, Ward 9	X	

CITY OF KINGSTON
Office of the City Engineer
rswenson@kingston-ny.gov

Ralph E. Swenson, P.E., City Engineer

Steven T. Noble, Mayor

September 29, 2017

James Noble, President
City of Kingston Common Council
City Clerk's Office
City Hall
420 Broadway
Kingston, New York 12401

RE: Address Modifications When Property Owner is Not In Agreement

Dear President Noble,

I am writing to request a change of an address for a property located on Shufeldt Street in the City of Kingston as follows:

- **Existing #36 Shufeldt Street, Owners Karen and Kevin Murphy, Ulster County Tax Map #48.82-5-13.1 to new #34 Shufeldt Street.**

As set forth in the attached letter, the change is in the interest of Public Safety.

In general, the City generally attempts to secure the consent of the property owners prior to changing an existing address. In this particular instance the property owners have indicated that they are unwilling to consent to a change of their existing address however it is my understanding that pursuant to applicable New York State Law, the City of Kingston has the authority to change addresses without the consent of the property owner.

Given the safety issues at stake, I would respectfully request authorization to make the change without further delay

I thank you in advance for your anticipated timely attention to this matter and should you have any questions do not hesitate to contact me.

Respectfully,

Alan Adin
Engineering Technician

City of Kingston

NEW YORK

OFFICE OF THE CITY ENGINEER

May 19, 2017

Karen and Kevin Murphy
36 Shufeldt Street
Kingston, New York 12401

RE: Proposed Address Change

Dear Ms. and Mr. Murphy,

It has come to our attention that the vacant lot adjacent to your property has the same mailing/street address (#42) as another property located three houses away (towards Clifton Avenue). As you can imagine, having duplicate addresses on the same street has the potential to create confusion and serious problems in the event of an emergency response.

The Office of the City Engineer with input from the Ulster County Office of Emergency Management (911) is charged with the responsibility of assigning addresses to properties within the City when the need arises and as such we were contacted to try and find a reasonable resolution in this situation.

Our initial attempt involved first changing the address of the vacant lot next to your property (referenced above). To make this strategy work logically, the next five (5) properties in a row would also need to be changed. After several attempts to contact the owners, only two responded positively and one, the owner of the other number 42, categorically refused.

After additional review and consultation with 911 and in an effort to minimize the overall impact, another simpler option presented itself. It was thought that if your address was changed to #34 and then the vacant lot was given #36, all the other addresses including the people who didn't respond as well as the one who said no, could remain.

We realize that there is a certain amount of inconvenience associated with changing your address, but having personally gone through the process myself, I can honestly say it was not as difficult or unpleasant as I had imagined.

The mechanism to change an existing address of course first requires the agreement of the effected property owners. With that, I write a letter to the Common Council asking them to review the matter and if they are in agreement they vote to approve the matter by Resolution and then I send an Official Notification of Address Change to 911, the Post Office, Fire Department, Police, Board of Elections, Planning Office, Assessor, Department of Public Works, Building Department and Water Department of the change. After that it is up to the property owner to notify any other entity they need to.

CITY HALL, 420 BROADWAY, KINGSTON, NEW YORK 12401 (845) 331-0080 FAX (845) 334-3958

Adin, Alan

From: Steve Peterson <spet@co.ulster.ny.us>
Sent: Friday, July 14, 2017 4:48 PM
To: Adin, Alan; Mike Gaffney
Subject: Re: Shufeldt Street
Attachments: NYS Town Law Article 4, Section 64, Subsection 9.PNG

Alan,

Perhaps this may help in obtaining the understanding and cooperativeness of the subject homeowner. If it doesn't have the desired result at least it provides some legal direction for the City.

I'm assuming that the City follows NYS Town Law given the fact that the population is less then one million.

Please review the link below and also the attachment.

http://ulstercountyny.gov/emergency-services/communications/newaddr.html

Feel free to give a call to discuss.

Have a good weekend.

Steve

Steven J Peterson
Director
County of Ulster
OEM / 911 Communications
845 331.7000 E-mail: spet@co.ulster.ny.us

Ulster County
ulstercountyny.gov

Ulster County Emergency Services Department

Obtain a new street address

Pursuant to Article 4, Section 64, Subsection 9 of New York State Town Law, each municipality has the legal authority to name streets and issues addresses. This responsibility has been entrusted to different offices of town government in each town. Following is a list of the points of contact in each municipality.

Denning (http://www.denning.us/)	Assessor	626-4342
Esopus (http://www.esopus.com/)	Assessor	331-5546
Gardiner (http://www.townofgardiner.org/)	Assessor	255-9388
Hardenburgh (http://www.townofhardenburgh.org/)	Supervisor	586-4108
Hurley (http://townofhurley.org/)	Assessor	339-4489
Kingston (Town) (http://townkingstonny.us/)	Assessor	706-5909
Lloyd (http://www.townoflloyd.com/)	Assessor	691-2197
Marbletown (http://www.marbletown.net/)	Assessor	687-9523
Marlboro (http://www.rootsweb.com/~nycmarlb/)	Assessor	795-5049
New Paltz (Town) (http://www.townofnewpaltz.org/)	Assessor	255-0103
Olive (http://www.town.olive.ny.us/)	Assessor	657-8137
Plattekill (http://town.plattekill.ny.us/)	Assessor	883-7331
Rochester (http://www.townofrochester.net/Pages/RochesterNY_About/index)	Assessor	626-0920
Rosendale (http://www.townofrosendale.com/)	Assessor	658-9864
Saugerties (Town) (http://www.saugerties.ny.us/)	Assessor	246-2800
Shandaken (http://www.shandaken.us/)	Assessor	688-5003
Shawangunk (http://www.shawangunk.org/)	Assessor	895-2143
Ulster (http://www.townofulster.org/content/)	Assessor	331-1317
Wawarsing (http://www.townofwawarsing.net/)	Assessor	647-6965
Woodstock (http://www.woodstockny.org/)	Assessor	679-2113
Ellenville (Village) (http://villageofellenville.com/)	Town Assessor	647-6965
New Paltz (Village) (http://www.villageofnewpaltz.org/)	Town Assessor	255-0103
Saugerties (Village) (http://village.saugerties.ny.us/content)	Town Assessor	246-2800
Kingston (City) (http://www.ci.kingston.ny.us/)	Engineer	331-0080

* all numbers in 845 area code unless otherwise noted.

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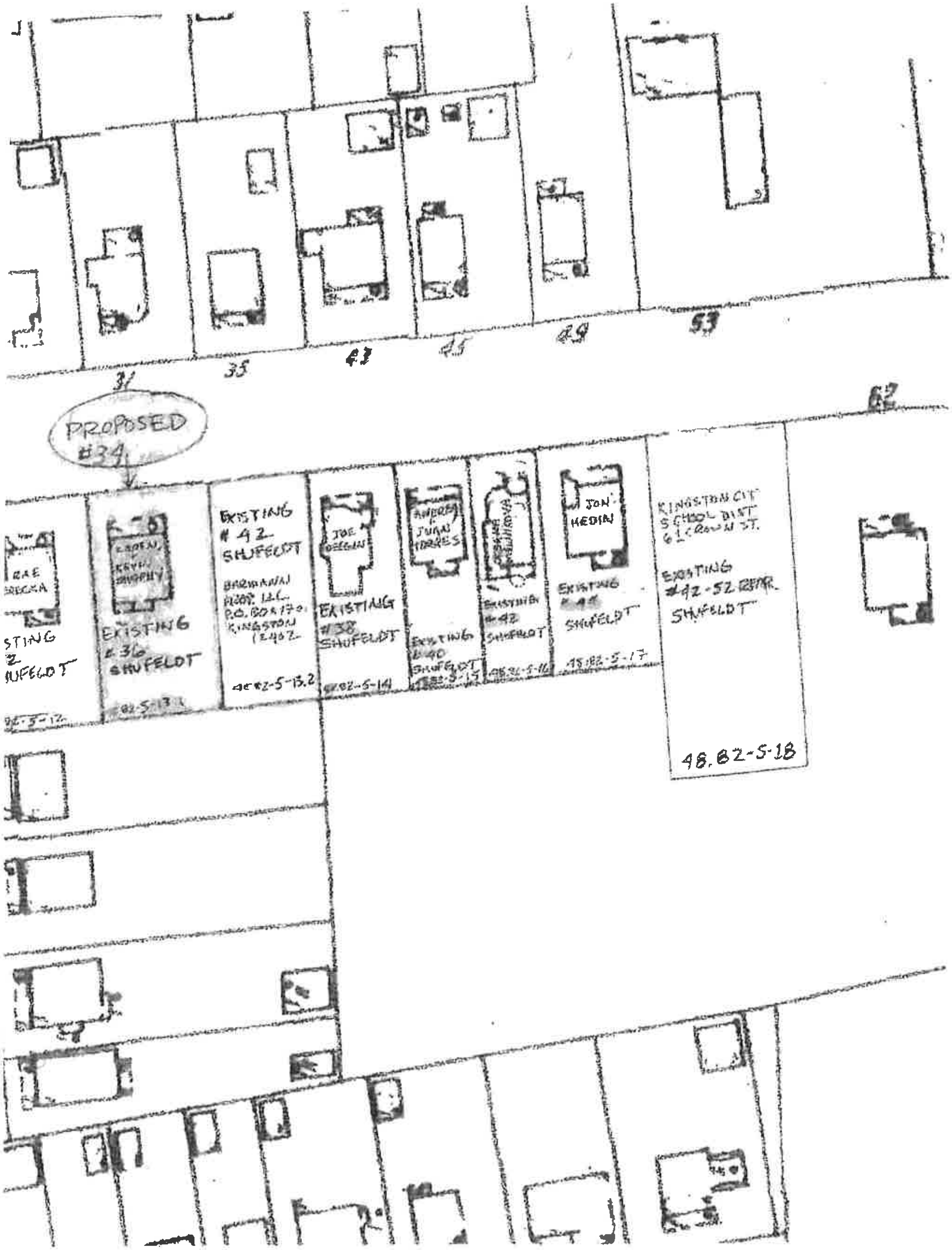
9. Naming and numbering streets, and providing street signs. May designate by name and number the streets, avenues, lots, public buildings and places, and may cause a map of the town to be prepared and filed in the office of the town clerk, and purchase, install and maintain street signs showing the names of streets and avenues therein. Such names may also be changed and altered as the town board may determine. Whenever the town board shall change the name or number of any street, avenue, public building or place, the town clerk shall within ten days thereafter notify the board of assessors and the planning board, if any, of said town, the county clerk and county engineer of the county in which said town is located, and the post-office department of the United States thereof.


31
35
43
49
53
62
PROPOSED #34
EXISTING #42 SHUFELDT
BARMANAJ PROP. LLC P.O. BOX 170 KINGSTON 12402
JOE DEEGAN
EXISTING #38 SHUFELDT
JUAN TORRES
EXISTING #40 SHUFELDT
JON HEDIN
EXISTING SHUFELDT
48.82-5-17
KINGSTON CITY SCHOOL DIST 61 CROWN ST.
EXISTING #42-52 REAR SHUFELDT
48.82-5-18
EXISTING #36 SHUFELDT
48.82-5-13.2
48.82-5-14

Resolution #250 of 2017 Ordinance: Snow Emergency

ORDINANCE OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR CHANGES TO THE SNOW EMERGENCY REGULATIONS OF THE CITY OF KINGSTON

Sponsored by: Public Safety/General Government Committee
Alderman Schabot, Eckert, Davis

WHEREAS, a recommendation has been received to amend the Snow Emergency Regulations for the City of Kingston; and

WHEREAS, it is in the best interests of the City of Kingston to amend the Snow Emergency Regulations.

NOW THEREFORE, BE IT ORDAINED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION-1. That Section 390.64 - Snow Emergency Parking Prohibitions shall be amended as attached:

SECTION-2. All ordinances and parts thereof, inconsistent herewith, are hereby repealed.

SECTION-3. This ordinance shall take effect immediately after passage, approval and publication as provided by law.

Submitted to the Mayor this _____ day of

of ________________________, 2017

____
Carly Winnie, City Clerk

Approved by the Mayor this ____ day

of ________________________, 2017

____
Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

PUBLIC SAFETY/GENERAL GOVERNMENT COMMITTEE REPORT

DEPARTMENT DPW **DATE** 11/30/17

Description Resolution to amend Local Law Section 390-64 as ~~follows:~~ per attached

~~Add new section 390(B)(3)(c) as per the attached~~

(As appropriate, always give cross streets: North, South, East, West directions; and Vehicle & Traffic Section from City of Kingston Code Book)

Signature [signature]

Motion by AD

Seconded by LE

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
[signature] Steve Schabot, Chairman	✓	
[signature] Lynn Eckert, Ward 1	[initials]	
Nina Dawson, Ward 4		
[signature] Anthony Davis, Ward 6	✓	
Deborah Brown, Ward 9		

Local Law to amend Section 390-64 as follows:

Add new section 390(64)(B)(3)(c) to provide as follows:

The Mayor, Superintendent of Public Works or his/her designee may restrict parking in designated areas for additional snow removal, Temporary signage will be posted in such additional designated areas, which will constitute notice of the temporary additional restrictions.

Amend Section 390-64(B(4) to delete from "No motor vehicle shall be parked" through "commencement of the snow emergency" and "The Mayor, Superintendent of Public Works" through "will constitute public notification".

Amend Section 390-64(B)(5) to delete "no car shall be parked on a public street" and replace it with "alternate side of the street parking as set forth in Section 390-64(B)(6) shall remain in effect until".

Amend Section 390-64(B)(6)(a) to replace "in designated zones within the City of Kingston as approved by the Common Council" and replace it with "throughout the City of Kingston", except on dead-end streets as set forth in section (c) below.

Amend Section 390-64(B)(c) to delete current language and replace with "During snow emergencies, there shall be no parking on any dead-end street".

Amend Section 390-64(B)(d) to delete "this will exclude streets already posted, or otherwise specified, in previous legislation".

Amend Section 390-64(B)(e) to delete current language and replace with "during snow emergencies, any pre-existing parking restrictions which designates or prohibits parking on a particular side of the street will be temporarily superseded by snow emergency alternate side of the street parking restrictions".

Amend Section 390-64(B)(f) to delete current language and replace with "All other parking restrictions set forth by the Common Council, such as but not limited to "no parking 50 feet from any street corner", "no parking at fire hydrants", and no parking in a way to block a lawful driveway" shall remain in full force and effect".

Amend Section 390-64(B)(g) to delete current language

Name of Street	Side	Location
Wurts Street [Added 4-14-1992]	East	2 spaces, commencing 65 feet from the intersection of Spring Street and continuing in a northerly direction for a distance of 40 feet (St. Mark's A.M.E. Church)
Wurts Street [Added 8-1-2000]	---	At 93 Wurts Street (St. Peter's Rectory), 2 spaces
Wurts Street [Added 12-6-2005]	---	At 102 Wurts Street
Wurts Street [Added 11-2-2005]	--	At 107 Wurts Street, starting 40 feet from the existing handicap space and extending standard 20 feet

C. Ambulette parking.
[Added 11-1-2011]

(1) Two ambulette-only parking spaces on the westbound side of Broadway, just west of the Foxhall Avenue intersection.

§ 390-58 No parking or standing at any time.

The parking or standing of vehicles is hereby prohibited at all times in the locations described in Schedule XIV.

§ 390-59 Standing prohibited.

The standing of vehicles at any time is hereby prohibited in the locations described in Schedule XV.

§ 390-59.1 Standing prohibited at certain times.

[Added 2-5-2013]

The standing of vehicles is hereby prohibited in the locations described in Schedule XXVIII during the times and days indicated.

§ 390-60 Parking prohibited certain hours.

The parking of vehicles is hereby prohibited in the locations described in Schedule XVI during the times indicated of any day except Sundays and holidays.

§ 390-61 Limited time parking.

The parking of vehicles is hereby prohibited in the locations described in Schedule XVII for a longer period of time than that designated, during the hours indicated of any day except Saturdays, Sundays and/or holidays as indicated.

§ 390-62 Alternate-side parking.

A system of alternate-side parking is hereby established and the parking of vehicles is prohibited on the streets or parts of streets described in Schedule XVIII, on the side indicated during the days and hours designated.

§ 390-63 Angle parking.

Vehicles shall be parked at an angle to the curb within the lines designating parking spaces on the streets and locations described in Schedule XIX.

§ 390-64 Snow emergency parking prohibitions. [1] [2]

[Amended 4-3-1990; 12-16-1999; 3-4-2003; 6-8-2004; 2-5-2013]

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A. The Common Council hereby finds and declares as a matter of legislative determination and by the authority of the New York State Vehicle and Traffic Law that it is in the best interests of the inhabitants of the City of Kingston to expedite the removal of snow on the public streets so as to prevent the congestion of traffic and to expedite the orderly movement thereof during snow and other natural emergencies.

B. Declaration of snow emergency; parking prohibited.

(1) Criteria for declaration of emergency.

(a) A snow emergency may be declared throughout the City of Kingston when snow is imminent; or when the National Weather Service, other national weather forecast or Ulster County Office of Emergency Management declares a storm watch; or whenever road conditions become hazardous such that the free movement of fire, health, police or other vehicular traffic may become impeded by reason of snow, freezing rain, sleet or other natural causes.

(b) Notwithstanding the provisions of Subsection B(1)(a), a snow emergency throughout the City of Kingston shall become automatic after the accumulation of snow within the City to the level of three inches. The level of three inches which shall automatically invoke the provision of this section shall be as officially measured by the Department of Public Works at the Public Works complex located at 464 Hasbrouck Avenue, Kingston, New York.

(2) A snow emergency in the City of Kingston may be declared in accordance with Subsection B(1) by the Mayor, Superintendent of Public Works or his/her designee. The City of Kingston shall issue public announcements concerning snow emergencies by any means reasonably calculated to inform vehicle owners and operators, but it shall not be a defense to a charge of violating snow emergency regulations that the vehicle operator or owner was unaware of any such announcement.

(3) Duration of declared emergency.

(a) The emergency so declared shall be deemed to continue for a period of 48 hours unless terminated by the Mayor, Superintendent of Public Works or his/her designee.

(b) Continuation of the emergency beyond the forty-eight-hour time period shall be conveyed to the public by the Mayor, Superintendent of Public Works or his/her designee through the media.

(4) During a snow emergency, as declared in accordance with Subsection B(1), commencing two hours after declaration of the snow emergency as set forth herein, no motor vehicle shall be parked on any City of Kingston streets as defined herein, nor so located as to interfere with the clearing of snow from City streets by the Department of Public Works for a period of 48 hours from the commencement of the snow emergency. After 48 hours have elapsed from the commencement of the snow emergency, the snow emergency will be officially declared ended, unless such emergency is continued as specified in § 390-64B(3)(b) of this article. The Mayor, Superintendent of Public Works or his/her designee may restrict parking in designated areas for additional snow removal. Temporary signage will be posted in such areas, which will constitute public notification.

(5) No car shall be parked on a public street within 24 hours of the termination of a snow emergency, unless said street has been fully plowed by the Department of Public Works. Any car interfering with the removal of snow during the 24 hours following the termination of a snow emergency shall be subject to the penalties provided hereinafter.

(6) Alternate side parking.
[Added 7-1-2014, approved 7-2-2014[3]]

(a) There shall be alternate side of the street parking during snow emergencies in designated zones within the City of Kingston as approved by the Common Council.

(b) The Department of Public Works shall post signs to identify odd/even parking in such designated zones.

(c) Upon commencement of a snow emergency, in designated snow emergency alternate side parking zones, vehicular parking will be permitted on the odd side of the street on odd-numbered days and on the even side of the street on even-numbered days.

(d) At 7:00 a.m. the subsequent day, vehicles will switch to the opposite side of the street. This will exclude stree 235 already posted, or otherwise specified, in previous legislation.

(e) In designated snow emergency alternate side parking zones, all current parking restrictions set forth by the Common Council, such as "No Parking" and "No Standing" zones, shall continue in full force and effect.

(f) In designated snow emergency alternate side parking zones, during snow emergencies, there shall be no parking within 50 feet of any street corner.

(g) In designated snow emergency alternate side parking zones, during snow emergencies, there shall be no parking on any dead-end street.

[3] *Editor's Note: This resolution also provided for the renumbering of Subsection B(6) through (10) as Subsection B(7) through (11), respectively.*

(7) A violation of this section shall be punishable by a fine not to exceed $100, and, in addition, the violator shall be responsible for all fees for towing as set forth below.

(8) This section shall be in effect commencing the 15th day of November each year and continuing until the 15th day of April the following year.

(9) The Department of Public Works shall post signs at the main highway entrances to the City of Kingston advising the general public that snow emergency rules shall be in effect commencing the 15th day of November of each year and continuing until the 15th day of April the following year.

(10) The City of Kingston Police Department, Code enforcers, Building and Safety personnel, Safety Officer and parking enforcers are hereby authorized and directed to take the necessary actions to enforce the provisions of this section, including the towing of vehicles in violation of this section, as set forth below, and they are authorized to issue appearance tickets for violation of this section.

(11) The declaration of an emergency as specified above, including the time when said emergency was declared, shall be conveyed to the public by radio and/or the City website, which shall constitute notice to the general public of such an emergency.

C. Removal, impounding and return of vehicles. Those individuals identified in Subsection B(9) above are authorized to initiate a vehicle removal from a city street by contacting the Kingston Police Department. The Police Department shall arrange for the removal of said vehicle from a street to the nearest garage or other place of safety, including another place on a street, or to a garage designated or maintained by the Police Department or otherwise maintained by this City, when the vehicle is parked on any street, highway or roadway in violation of the provisions of Subsection B(4) or B(5) above.

[1] *Editor's Note: Res. No. 176-2014, adopted 10-7-2014, provided that "the Superintendent of Public Works is hereby authorized to implement alternate side of the street parking during snow emergencies in additional target zones up to and including those zones set forth in the annexed attachments." Said attachments are on file in the City offices.*

[2] *Editor's Note: Res. No. 56-2015, adopted 3-10-2015, provided that "the Superintendent of Public Works is hereby authorized to implement alternate side of the street parking policies during snow emergencies for the entire City of Kingston, at his/her discretion."*

§ 390-64.1 **Zoned parking.**

[Added 8-5-2003; amended 10-7-2003]

A system of zoned parking is hereby established as follows:

A. Parking for vehicles is hereby permitted in the locations described in Schedule XXVI for a period of two hours once per day, Monday through Friday from 9:00 a.m. to 5:00 p.m.

B. Parking for vehicles is hereby permitted in the locations described in Schedule XXVII for a period of four hours once per day, Monday through Friday from 9:00 a.m. to 5:00 p.m.

RESOLUTION #251 of 2017

AN ORDINANCE AMENDING AN ORDINANCE IN RELATION TO TRAFFIC ON THE PUBLIC STREETS OF THE CITY OF KINGSTON, NEW YORK ADDING HANDICAP PARKING ON BROADWAY

Sponsored By: Public Safety/General Government Committee
Aldermen Schabot, Eckert, Davis

WHEREAS, in the interest of safety and the needs of the residents, parking on the streets must be regulated:

NOW, THEREFORE, BE IT RESOLVED THAT THE COMMON COUNCIL OF THE CITY OF KINGSTON DOES ORDAIN AND ENACT THE FOLLOWING:

Section 1: ARTICLE 7, SECTION 390-57, "HANDICAPPED PARKING" is hereby Amended by ADDING the following:

Handicap Parking in front of 70 Broadway

Section 2: All ordinances and parts thereof, inconsistent herewith are hereby repealed.

Section 3: This ordinance shall take effect immediately after passage, approval and publication as provided by law.

Submitted to the Mayor this ____

day of ________________, 2017

____
CARLY WINNIE, CITY CLERK

Approved by the Mayor this ____

day of ________________, 2017

____
STEVEN T. NOBLE, MAYOR

Adopted by Council on December 5, 2017

Handicap

THE CITY OF KINGSTON COMMON COUNCIL

PUBLIC SAFETY/GENERAL GOVERNMENT COMMITTEE REPORT

DEPARTMENT DPW **DATE** 11/30/17

Description Resolution to add Handicapped zone w/ Signage.
70 Broadway
Venkateswaran Buddigi
#4480021
exp. 11/18

(As appropriate, always give cross streets: North, South, East, West directions; and Vehicle & Traffic Section from City of Kingston Code Book)

Signature Steve Schabot

Motion by DD

Seconded by LE

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
S Steve Schabot, Chairman	✓	
Lynn Eckert Lynn Eckert, Ward 1	✓	
Nina Dawson, Ward 4		
Anthony Davis Anthony Davis, Ward 6	✓	
Deborah Brown, Ward 9		

RESOLUTION #252 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON COMMON COUNCIL, NEW YORK, RECOMMENDING CHANGES REGARDING BOARDS AND COMMISSIONS

Sponsored By: Laws and Rules Committee
Aldermen Eckert, Scott-Childress, Carey Mills, Brown

WHEREAS, the Laws and Rules Committee has had discussions with regard to revising policies concerning City Boards and Commissions; and

WHEREAS, the Common Council recognizes that it is within the Mayor's authority to alter, amend and/or add procedure with regards to City Boards and Commissions.

NOW THEREFORE, BE IT RESOLVED BY THE CITY OF KINGSTON COMMON COUNCIL AS FOLLOWS:

Section 1: That the Common Council requests that Mayor Noble consider the following recommendations:

1) Create a comprehensive, publicly accessible list of all Boards and Commissions (including lighthouse commission) including members, the terms of those members, and the expiration dates of terms.

2) Review and update membership lists and term expiration dates on a regular basis ensuring that each committee is able to maintain a quorum

3) Fill vacancies with City of Kingston residents. Allow current members who reside outside the City of Kingston to complete terms, while requiring the appointment of city residents at the end of any non-resident members' terms

4) Provide proper notification to all applicants about the process for filling vacancies and notifying all applicants of their selection or rejection

5) Provide the public with information about the mission of each board and commission

6) Provide the public with information about how to notify and participate at board and commission meetings

7) Resolve inconsistencies where City employees sit on committees/boards and are able to vote while others may not vote

Section 2: That this resolution shall take effect immediately.

Submitted to the Mayor this _____ day of ______________________, 2017

____
Carly Winnie, City Clerk

Approved by the Mayor this _____ day of ______________________, 2017

____
Steven T. Noble, Mayor

Adopted by Council on December 5, 2017

THE CITY OF KINGSTON COMMON COUNCIL

LAWS & RULES
COMMITTEE REPORT

DEPARTMENT Boards and Commissions DATE 11/28/2017

Description Laws & Rules recommendation to The Mayor regarding Boards & Commissions.

Signature Lynn M. Eckert

Motion by Deborah Brown

Seconded by Bill Carey

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Lynn Eckert, Chairman	X	
Reynolds Scott-Childress, Ward 3	✓	
Bill Carey, Ward 5	✓	
Maryann Mills, Ward 7	X	
Deborah Brown, Ward 9	X	

See Attached

From: Lynn Eckert <lmeckert80@gmail.com>
Sent: Wednesday, November 22, 2017 6:23 PM
To: Johnson, Lynn
Subject: Re: Laws and Rules Committee

Hi Lynn -

Yes, please. Great!

Lynn
Sent from my iPhone

On Nov 22, 2017, at 1:49 PM, Johnson, Lynn <ljohnson@kingston-ny.gov> wrote:
Lynn, should this go on the agenda for the 28th? Any supporting doc? Thanks!
Lynn

Lynn Johnson
Legislative Assistant
Phone: 845-334-3966

From: Lynn Eckert [mailto:lmeckert80@gmail.com]
Sent: Wednesday, November 1, 2017 1:44 PM
To: Noble, James <jnoble@kingston-ny.gov>; jim noble <jnoble39@aol.com>; Winnie,

Carly <cwinnie@kingston-ny.gov>; Johnson, Lynn <ljohnson@kingston-ny.gov>;
Weiss,
Megan <mweiss@kingston-ny.gov>
Subject: Laws and Rules Committee

Hi Jim -

I would like to invite you to the next Laws & Rules Committee meeting on November 28th at 6:30 pm to discuss revising policies concerning Boards and Commissions in the City of Kingston.

Specifically, the Laws & Rules committee would like to establish a special commission to provide for clearer notifications and more accessible information about Boards and Commissions in the City. Some issues that a special commission might address follow:

* Create comprehensive, publicly accessible list of all Boards and Commissions that exist in the City along with their members, the terms of those members, and the expiration date of terms
* Fill vacancies with City of Kingston residents
* Grandfather current members outside the City of Kingston while requiring the appointment of city residents at the end of the current non-Kingston resident members' term
* Provide proper notification to all applicants about the process for filling vacancies and notifying all applicants of their selection or rejection
* Review and update membership lists and term expiration dates on a regular basis ensuring that each committee has a quorum
* Provide the public information about the mission of each board and commission
* Provide the public information about how to notify and participate at board and commission meetings
* Resolve inconsistency where s/City employees sit on committees/Boards and are able to vote while others may not vote.

We look forward to seeing you on the 28th!

Best,
Lynn

* Add Lighthouse Commission to list of Boards/Commissions.

CITY OF KINGSTON
Office of the Mayor
mayor@kingston-ny.gov

Steven T. Noble
Mayor

November 13, 2017

James Noble, President
City of Kingston Common Council
420 Broadway
Kingston, N.Y. 12401

Dear President Noble,

As you requested, I asked Corporation Counsel to clarify "what authority the council has to alter, amend, and add procedure to the Mayors authority over boards and commissions." Enclosed are his remarks on the matter.

While the Common Council does not have the power to alter, amend, or add procedure to my authority over Boards and Commissions through legislative action, I believe I have demonstrated on numerous occasions my willingness to listen to Council members and incorporate their suggestions and recommendations when appropriate. In fact, as you may recall, it was my initial desire to enable the Council to have the ability to appoint some members of the Ethics Board directly, but found that our Charter prohibited such an appointing authority. Still, I requested and accepted the three recommendations from the Council for that Board. I think that you will find that on most occasions I have sought the input from the Council, even when not expressly required to by our Charter, because I value the experience and expertise of our Council members.

While not initially sent directly to me for consideration, I have reviewed the bulleted list of discussion items that the Laws and Rules Committee would like to be considered. While I believe some of these items are addressed in the enclosed remarks from Corporation Counsel, such as the limitation of passing sweeping legislation to require residency for all Boards and Commissions, other items indicate that some members of the Council would like to see more information about the Boards and Commissions made publicly available and, overall, a more robust public outreach campaign. Some of these items necessitate significant personnel resources and will require time to implement. At this time, my primary focus has been the implementation of the Granicus Peak Agenda System. Since many Boards and Commissions are coordinated by volunteers, I must assign staff to this effort so that all Boards and Commissions are in compliance with the December 31st deadline I have set for full implementation of the agenda system.

Please note the enclosed document, which includes the names of each member of our Boards and Commissions and their term expirations, will be uploaded on the website and updated on the last day of each month. Other updates and upgrades to the Boards and Commission portion of the website will be made when the Chairs of these groups provide my staff with the information required, such as a mission statement or other content.

I am more than happy to continue this dialogue and to partner with the Common Council to attract and recruit volunteers from the public to serve on these Boards and Commissions. If the Council has any additional suggestions or requests as they relate to this matter, please do not hesitate to contact me directly.

Respectfully Submitted,

Steven T. Noble, Mayor

City Hall· 420 Broadway · Kingston, New York 12401 · (845)334-3902 · Fax (845) 334-3904 · www.kingston-ny.gov

CITY OF KINGSTON
Office of Corporation Counsel
kbryant@kingston-ny.gov

Steven T. Noble, Mayor
Kevin R. Bryant, Corporation Counsel

Daniel Gartenstein, Asst. Corporation Counsel
Mary Lou P. Christiana, Asst. Corporation Counsel

November 6, 2017

To: James L. Noble, President

We are in receipt of your communication to Mayor Noble dated October 30, 2017, as well as the communication that you received from Alderman Lynn Eckert regarding the request that the Council establish a "special commission" to address issues related to appointments and operation of Boards and Commissions.

Initially, it must be noted that the appointment of such a "special commission" would seem to violate the provisions of C-13-3 (D) which provides in relevant part that "[t]here shall be no administrative committees of the Common Council".

With regard to the specific issues raised by Alderman Eckert, our office certainly appreciates the concerns that have been raised by the Laws and Rules Committee. Nevertheless, it is important to recognize that §C15-4 of the City Charter unambiguously provides that all members of Boards and Commissions shall be appointed by the Mayor. Similarly, §C13-3 (A) provides in relevant part that "[t]he powers and duties of the Common Council shall be as set forth in this Charter and shall not include those powers and duties specifically delegated to the Mayor". While State law supersedes the City Charter with regard to appointments to certain Boards, such as the Board of Assessment Review, absent State legislation to the contrary, appointment authority is reserved to the Executive.

As to the suggestion that vacancies on Boards should only be filled with City of Kingston residents, it is also important to recognize that the City currently has numerous Boards and Commissions which are authorized and/or organized pursuant to different processes. Some Boards are authorized pursuant to State Law, some are authorized pursuant to the City Charter and others are authorized by sections of the City Code. Still others are authorized pursuant to resolution of the Council or directive of the Mayor without enabling legislation. As such, there is no single process whereby city residency could be added as a requirement for all Boards and Commissions.

More importantly, as appointments to Boards and Commissions is a power reserved to the Executive, any legislation regarding the criteria that the Mayor should apply will likely be viewed as an interference in the powers of the Executive and a violation of the separation of powers. Our office would suggest that in order to avoid such over-reaching into an area that is reserved to the Executive, the Council should communicate directly with the Mayor and express their preference that all appointees reside within the City of Kingston.

With regard to the request that the City create a comprehensive, publicly accessible list of all Boards and Commissions, which includes information about the terms of the members, the task of creating such a list, while valuable, would clearly constitute an allocation of personnel resources which falls under the Mayor's authority to direct and supervise City employees.

Similarly, the suggestion that the Mayor's office provide "proper notification to all applicants about the process for filling all vacancies", the suggestion that all individuals be notified of their application status and the suggestion that

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membership lists and term expiration dates be reviewed on a regular basis "ensuring that each committee has a quorum", relate directly to operational decisions and the allocation of personnel resources, an area reserved to the Executive branch. The same is true for the suggestion that the City should "provide the public information about the mission of each board and commission" and provide the public information about how to "participate at board and commission meetings".

Again, if the Council has specific concerns regarding these Mayoral decisions, the allocation of resources and/or the manner in which information is provided to the public, such concerns would be properly addressed in communications directly with the Mayor rather than through legislative action.

Kevin R. Bryant
Corporation Counsel

cc: Steven T. Noble, Mayor