City of Kingston Kingston Common Council
Meeting Agenda
Tuesday, January 9, 2018

A PLEDGE OF ALLEGIANCE TO THE FLAG

B MOMENT OF SILENCE

C ROLL CALL

D OATH OF OFFICE ADMINISTERED BY KINGSTON CITY COURT JUDGE LAWRENCE BALL TO CITY OF KINGSTON COMMON COUNCIL MEMBERS - *Honorable Jeffrey Ventura Morell, Ward 1*
Honorable Douglas Koop, Ward 2
Honorable Reynolds Scott-Childress, Ward 3
Honorable Rita Worthington, Ward 4
Honorable William Carey, Ward 5
Honorable Anthony Davis, Ward 6
Honorable Patrick O'Reilly, Ward 7
Honorable Steven Schabot, Ward 8
Honorable Andrea Shaut, Ward 9

E MAYOR STEVEN T. NOBLE - STATE OF THE CITY ADDRESS

F ORGANIZATIONAL LEGISLATION

F.1. 2018 Organizational Legislation
20180105135456.pdf

G COMMON COUNCIL COMMITTEE AND LIAISON APPOINTMENTS

G.1. Committee Appointments
Committee Appointments 2018.pdf

G.2. Common Council Liaison Appointments
Liaisons 2018.pdf

BRIEF RECESS

H DISPENSE WITH THE READING OF THE MINUTES OF THE PREVIOUS MEETING AND APPROVE SAME AS PREPARED AND FILED BY THE CITY CLERK.

I DISPENSE WITH THE READING OF THE GENERAL BILLS

J PUBLIC SPEAKING - *A Maximum of 30 Minutes is Allotted for this Purpose*

K CLAIMS - *#17-42 - Personal Injury*
#17-43 - Auto Damage

L COMMUNICATIONS - *Amendment to the Chapter 300 - Noise Ordinance*

L.1. Amendment to Chapter 300 - Noise Ordinance
Suggested Action: Approve changing time frame for start of work on construction activities from 8 AM to 7AM
Noise Ordinance Communication to CC.pdf

L.2. Authorizing funding in the first instance and appropriating funds for the Broadway Streetscape Project
Suggested Action: Pass a resolution to fund project costs in the first instance for Right-of-Way Acquisition
2017-12-30 Letter to Common Council for NYSDOT Resolutions for Broadway.pdf
Committee Report-FIN ROW Broadway DOT Authorization.docx
Draft Resolution for Broadway ROW Acqusition Phase Appropriation.doc

L.3. Twaalfskill Creek Drainage Analysis
Suggested Action: Authorize funding in the amount of $48,900.00.
Authorized the Mayor to enter into contract and administer the work.
Noble_Twaalfskill Creek Drainage Funding Authorization_122117.pdf
Final Interview Document.pdf
Price Proposal.pdf
Twaalfskill Creek Stormwater Analysis Proposal.pdf

L.4. Ralph Swenson Re: NYS EFC WIIA Grant Application Authorization
Suggested Action: Authorize the submission of a WIIA Grant Application and commensurate funding for the WWTP Process Upgrades required by the October 25, 2016 SPDES Modification.
WIIA Grant Application Authorization_112917.pdf
General Location Map.jpg
EFC_IMG Application for 2018.pdf
WIIA-IMG Clean Water Application Instructions (2).pdf
WIIA Short Environmental Assessment Form_062217.pdf
WIIA Grant Application_062217.pdf
Smart Growth Assessment Form Revised-2017.pdf
Res 118 of 2017 MARKUP.pdf

L.5. Alderman Schabot Re: Zoning Change Request
zoning change request January 2018.pdf

L.6. Alderman Schabot Re: City Hall Energy
City Hall Energy.pdf

L.7. J, Hansen, Superintendent KWD Re: Bond Request for Water Line Replacement at 587 Roundabout
Bond Request for water line replacement 587 roundabout.pdf

L.8. J. Hansen, Superintendent KWD Re: Bond Authorization for Cooper Lake Engineering
Bond request for Cooper Lake Engineering.pdf

L.9. J. DeCicco, Exec Secretary, Civil Service Re: Budget Modification Request
20180104160658.pdf

L.10. A. Winchell, Sr Operator, WWTF Re: Budget Modification Request
20180104160725.pdf

L.11. Alderman Carey Re: Traffi c on Pine Grove
Traffi c on Pine Grove.pdf

L.12. Alderman Schabot Re: Removing Handicapped Signage on Second Avenue

56 Second Ave.pdf

M DISPENSE WITH THE SECOND READING OF THE GENERAL BILLS AND PAY SAME

N REPORT OF THE COMMITTEES

N.1. January 2018 Draft Legislation
20180109115925.pdf

O SECOND READING AND VOTE ON PROPOSED LOCAL LAW

P STATEMENT REGARDING RESOLUTION #149 OF 2017 - *THE ORDINANCE TO REZONE 300 FLATBUSH AVENUE FROM RR TO R-6 AND RECOMMENDING A NEGATIVE DECLARATION OF ENVIRONMENTAL SIGNIFICANCE*

Q ADJOURNMENT

RESOLUTION #1 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR ADOPTING THE TENTATIVE ORDER OF BUSINESS

Sponsored by:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the tentative order of business for the year 2018 is hereby adopted.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______	Approved by the Mayor this ______
day of January, 2018.	day of January, 2018.
______________________	______________________
CARLY WINNIE, CITY CLERK	STEVEN T. NOBLE, MAYOR

Adopted by Council on January 9, 2018

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RESOLUTION #2 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR ADOPTING THE RULES FOR COUNCIL FOR THE YEAR 2018

Sponsored by:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the Rules for Council for the year 2018 are hereby adopted.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______	Approved by the Mayor this ______
day of January, 2018.	day of January, 2018.
______________________	______________________
CARLY WINNIE, CITY CLERK	STEVEN T. NOBLE, MAYOR

Adopted by Council on January 9, 2018

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COUNCIL RULES FOR GOVERNMENT OF
THE COMMON COUNCIL OF THE CITY OF KINGSTON

Adopted January 1, 1908,
Amended, Revised and Continued
January 10, 2017

RULE I
MEETINGS

1. Regular meeting of the Common Council shall be held on the first Tuesday of each month at 7:30 PM unless otherwise ordered by the Council President with the assent of the Majority and Minority Leaders. There shall also be a second regular meeting of the Common Council to be held on the third Tuesday of December. Other meetings may be designated by resolution of the Common Council, or called pursuant to C13-2(A) ARTICLE XIII of the City Charter.

2. The latest time for filing all matters to be presented at a regular meeting of the Common Council shall be four o'clock in the afternoon on the **Friday immediately prior to the meeting date.**

3. The latest time for filing all matters to be presented at a recessed regular or special meeting of the Common Council shall be 12 o'clock Noon of the last working day prior to the recessed or special meeting.

4. The Clerk of the Common Council, with the consent of the Council President, shall waive the deadlines as set forth in paragraphs 2 and 3 of RULE 1.

RULE II
PRESIDING OFFICER

1. The presiding officer shall be addressed as Mr. President.

2. The Common Council may elect a temporary chairman, who shall take the chair in the absence of the president.

3. The President may call any member to the chair for a part of the session and may from his seat in the Common Council, under any order of business present any motion, resolution or report and speak to the merits of any pending question, but neither the President nor any member while presiding, shall speak to the merits of any pending question, nor present any motion, resolution or report.

RULE III
ORDER OF BUSINESS

1. Call to order.

2 Reading of the minutes of the last meeting unless on motion the reading thereof be dispensed with, after which if no objections are offered or corrections ordered, the President shall declare the same approved.

3. A public comment period, not to exceed 30 minutes in length, at which any member of the public may speak to the Council about any issue of his/her choosing. Prior permission to speak from the Council will not be necessary and Council members will not be allowed to engage in debate during this period.

4. Presentation of petitions and action thereon or reference thereof.

5. The presentation of bills, claims and communications shall be filed by the President with the Clerk of the Council. Any member may call for a clarification or a reading of a communication if so desired.

6. Committee reports in order named in Rule IV.

7. Motions and resolutions, the latter of which shall be in writing.

8. Unfinished business.

9. Special orders. Communications from the Mayor and reports from the Committee on Laws & Rules shall be privileged, and may be presented under any order of business.

10. This order of business shall not be set aside or suspended, except by a majority vote of all the members of the Common Council in office.

RULE IV
COMMITTEES OF THE COMMON COUNCIL

1. Standing Committees and Number of Members
   a. Finance/Audit - 5
   b. Laws & Rules - 5
   c. General Government/Public Safety – 5
   d. Community Development - 5

2. Special Committees - such committees as are appointed from time to time by the Council President.

3. Members of the Standing and Special Committees shall serve at the pleasure of the Council President.

4. Members of the majority party in the Common Council shall be granted the majority of the committee assignments and shall control all committees.

5. Minority Leader shall be defined as the head of the largest non-majority party of the Common Council.

6. Committee Reports
   a. Each committee of the Common Council will be required to submit a detailed report to the President of the Council. The report will include: recommendations of the majority of the committee on proposed legislation; all matters discussed in committee

including courses of action to be taken by the committee at a later date in time; the time, date and place where the meeting was held; and should specify what committee members were present for the meeting.

b. All committees shall render proposed legislation to be considered by the entire Common Council, in accordance with RULE I - Section 2 and 3, and detailed committee reports, must be submitted to the President of the Council within 30 days of the date the committee meeting was held.

c. Emergency legislation brought forward by committees is permitted with the consent of the Council President, the Majority Leader and the Minority Leader. Legislation from the Mayor is permitted at any time.

d. Committee reports shall not be read except upon request of a majority of the committee members so stated in the report, in which case they shall be read after the Communications as provided in the Order of Business (RULE III). Committee Reports shall be public records and maintained in the Office of the City Clerk.

RULE V
MOTIONS AND RESOLUTIONS
THEIR PRECEDENCE

1. When a question shall be under consideration no motion shall be received except as herein specified, which motions shall have precedence in the order stated:
   - 1st - For and adjournment of the Common Council
   - 2nd - For the previous question
   - 3rd - To lay on the table
   - 4th - To postpone indefinitely
   - 5th - To postpone to a certain time
   - 6th - To commit to a standing committee
   - 7th - To commit to a select committee
   - 8th - To amend

2. All questions shall be put in the order they are moved except in filling up blanks the longest time and the largest sum shall be put first.

3. All questions relating to the priority of business that is, the priority of one question or subject matter over another, under the same order of business shall be decided without debate.

4. The motion to adjourn and to lay on the table shall be decided without amendment or debate. The several motions to postpone or commit shall preclude all debate of the main question.

5. A motion to adjourn or to take a recess, shall always be in order except when the previous question shall have been ordered; but when once put and lost shall not be renewed until some intermediate question has been proposed, or other business shall have intervened.

6. Every motion or resolution shall be stated by the presiding officer, or read by the clerk before a vote is taken thereon; and every motion except the motions to adjourn, to lay on the table, to postpone the previous question, or commit, shall be reduced to writing if the presiding

officer or any member desire it by the member offering same.

7. After a motion shall be stated by the presiding officer it shall be deemed in the possession of the Common Council, but may be withdrawn by the mover at any time before it shall be decided or amended.

8. Resolutions or motions affecting the duties or powers delegated to any Department of City Government by statute shall not be in order.

9. Unless otherwise provided by law, the number of votes required to pass a resolution of the Common Council shall be a majority of those members present at a meeting at which a quorum is present.

RULE VI
RECONSIDERATION OF MOTIONS OR RESOLUTIONS

1. No motion for the reconsideration of any vote shall be in order, unless at the same or next regular meeting of the Common Council to that on which the decision proposed to be reconsidered took place, and unless a member who voted in the majority thereon shall move such reconsideration; but a motion to reconsider, made for the purpose of correction of error in any ordinance or resolution, shall be considered a privileged motion to any member, and shall always be in order.

2. A motion for reconsideration being put and lost shall not be renewed, nor shall any subject or motion be a second time reconsidered without unanimous consent.

RULE VII
RELATING TO THE PREVIOUS QUESTIONS

1. The previous question shall be as follows: "Shall the main question be now put?" and until it is decided shall preclude all amendments or debate.

2. When, on taking the previous question, the Council shall decide that the main question shall be considered and still remaining under debate; the main question shall be on passage of the motion, resolution, or other matter under consideration; but when amendments are pending, the question shall first be taken upon such amendments in the order; and if the previous question has been ordered.

RULE VIII
DIVISION OF QUESTIONS

If any question contains several distinct propositions they may be divided by the presiding officer at the request of any member, but a motion to strike out and insert shall be inadvisable.

RULE IX
DECIDING AND RECORDING THE VOTE

1. The ayes and nays shall be taken on any question whenever requested by either the Majority or Minority Leader for purposes of explaining the vote upon the final passage of a bill, and when so taken shall be entered on the minutes. Each council member shall have two minutes to explain and cast their vote.

   a. That each member of the Common Council of the City of Kingston, New York shall vote on each item before said body, calling for a vote unless he or she shall be excused from voting because of a conflict of interest. The question of whether a conflict of interest exists for a member shall be solely decided by the President of the Common Council. An abstention by a member shall constitute a "no" vote upon the issue for which the abstention is requested.

2. The presiding officer may also call for a rising vote of the members of the Common Council whenever he is unable to decide the result of a vote taken via voice. In all cases where a motion, resolution or other matter shall be entered on the minutes the name of the member shall also be entered on the minutes.

RULE X
EXPENDITURES

All legislation requiring the expenditure of money shall be referred to the Committee on Finance/Economic Development to report, and if the same be reported favorably such report shall contain an estimate of the total expenditure and basis of such estimate, which report shall be referred to the Standing Committee having subject of such expenditure in charge. The total sum appropriated by such legislation shall be limited to the total sum of the estimate of the Finance Committee.

RULE XI
PRIVILEGE TO THE COMMON COUNCIL ROOMS

1. No person shall be permitted on the floor of the Chamber of the Common Council inside the railing, other than members and ex-members of the Common Council, the heads of the several departments of the City government, and the reporters of the press, unless by written permission obtained from a member of the Common Council, and countersigned by the Mayor or presiding officer, nor shall any such permission extend beyond the day for which it is given.

2. It is the duty of the Sergeant-at-Arms to enforce this rule.

RULE XII
QUORUM - HOW OBTAINED

In all cases of the absence of a quorum during the session of the Common Council the members present may take such measures as they deem necessary to secure the presence of a quorum, and may inflict such censure of penalty, as they may deem just, on those who, on being called on for that purpose, shall render no sufficient excuse for their absence.

RULE XIII
ENFORCEMENT OF RULES

1. If any member in speaking, shall transgress the rules of the Common Council, the presiding officer, or any members, may call to order, in which case the member so called to order shall immediately sit down, and shall not rise unless to explain or to proceed in order.

2. The Superintendent of the City Hall shall be in attendance at all meetings of the Common Council and shall act as Sergeant-at-Arms.

3. Whenever any person shall be brought before the bar of the Common Council for adjudged breach of its provisions, no debate shall be in order, but the presiding officer shall proceed to execute the judgement of the Common Council without delay or debate.

RULE XIV
CHANGES AND SUSPENSIONS OF RULES

No standing rule or order of the Common Council shall be changed, suspended or rescinded except by the affirmative vote of at least two-thirds (2/3) of the members elected to the Common Council.

RULE XV
MANUAL TO DECIDE

When any of the provisions of the foregoing rules are in question, it shall be referred to the Clerk's Manual governing the Assembly of the State of New York for final decision. And all questions arising, not herein provided for, shall be so referred and decided.

RULE XVI
DECORUM

While the Council is in session, the members must preserve order and decorum, and a member shall neither, by conversation or otherwise, delay or interrupt the proceedings or the peace of the Council nor disturb any member while speaking or refuse to obey the orders of the Council or its presiding officer. The presiding officer may enforce this rule, if necessary, by causing the ejection of the offending member from the meeting.

RULE XVII
DEBATE

No member of the Common Council shall speak more than twice on any question nor shall any member speak for more than fifteen minutes at a time unless permission to do so shall have been granted by the presiding officer.

RULE XVIII
STATE OF THE CITY ADDRESS

1. With unanimous consent of the majority party, the Majority Leader may deliver a State of the City Address following the Mayor's State of the City address at a regularly scheduled Common Council meeting.

2. With unanimous consent of the minority party, the Minority Leader may deliver a State of the City address following the Mayor's State of the City address at a regularly scheduled Common Council meeting.

State of New York
County of Ulster
City of Kingston

I, Carly Winnie, City Clerk of the City of Kingston, New York, do hereby certify that the foregoing Council Rules for Government of the Common Council of the City of Kingston, New York, were duly adopted the 10th day of January, 2017.

Carly Winnie
City Clerk

RESOLUTION #3 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR DESIGNATING THE DAILY FREEMAN AS THE OFFICIAL NEWSPAPER OF THE CITY FOR 2018

Sponsored by:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. **That pursuant to Local Law #1 of 1980, the Daily Freeman be and is hereby designated as the official newspaper of the City of Kingston, New York, for the publication of all ordinances, official notices, rules, regulations, business and proceedings of the Common Council, City of Kingston, New York, for the year 2018.**

SECTION 2. **That this resolution shall take effect immediately.**

Submitted to the Mayor this _____ day of January 2018.

____
CARLY WINNIE, CITY CLERK

Approved by the Mayor this ______ day of January 2018.

____
STEVEN T. NOBLE, MAYOR

Adopted by Council on January 9, 2018

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RESOLUTION #4 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR RENEWING THE CITY OF KINGSTON'S INVESTMENT POLICY FOR THE YEAR 2018

Sponsored by:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the City of Kingston's Investment Policy be renewed for the year 2018.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______	Approved by the Mayor this ______
day of January 2018.	day of January 2018.
______________________________	______________________________
CARLY WINNIE, CITY CLERK	STEVEN T. NOBLE, MAYOR

Adopted by Council on January 9, 2018

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City of Kingston

Investment Policy

I. Scope

This investment policy applies to all moneys and other financial resources available for investment by the ***City of Kingston*** on its own behalf or on behalf of any other entity or individual.

II. Objectives

The primary objectives of the ***City of Kingston's*** investment activities are, in priority order:

- **Legal**: to conform with all applicable federal, state and other legal Requirements;
- **Safety**: to adequately safeguard principal;
- **Liquidity**: to provide sufficient liquidity to meet all operating requirements;
- **Yield**: to obtain a reasonable rate of return.

III. Delegation of Authority

The Common Council's responsibility for administration of the investment program is delegated to the City Comptroller who shall establish written procedures for the operation of the investment program consistent with these investment policies. Such procedures shall include internal controls to provide a satisfactory level of accountability based upon records incorporating the description and amounts of investments, the fund(s) for which they are held, the place(s) where kept, and other relevant information, including dates of sale or other dispositions and amounts realized. In addition, the internal control procedures shall describe the responsibilities and levels of authority for key individuals involved in the investment program.

IV. Prudence

All participants in the investment process shall seek to act responsibly as custodians of the public trust and shall avoid any transaction that might impair public confidence in the ***City of Kingston*** to govern effectively.

Investments shall be made with prudence, diligence, skill, judgment, and care, under circumstances then prevailing, which knowledgeable and prudent persons acting in like capacity would use, not for speculation, but for investment, considering the safety of the principal as well as the probable income to be derived.

All participants involved in the investment process shall refrain from personal business activity that could conflict with proper execution of the investment program, or which could impair their ability to make impartial investment decisions.

V. Diversification

It is the policy of the ***City of Kingston*** to diversify its deposits and investments by financial institution, by investment instrument, and by maturity scheduling.

The Common Council shall establish appropriate limits for the amount of investments which can be made with each financial institution or dealer, and shall evaluate this listing at least annually.

VI. Internal Controls

It is the policy of the ***City of Kingston*** for all moneys collected by any officer or employee of the government to transfer those funds to the City Comptroller within two days of deposit, or within the time period specified in the law, whichever is shorter.

The City Comptroller is responsible for establishing and maintaining an internal control structure to provide reasonable, but not absolute, assurance that deposits and investments are safeguarded against loss from unauthorized use or disposition, that transactions are executed in accordance with management's authorization, properly recorded, and are managed in compliance with applicable laws and regulations.

VII. Designation of Depositories

The banks and trust companies authorized for the deposit of moneys, and the maximum amount which may be kept on deposit at any time, are:

Depositary Name	Maximum Amount
M&T Bank	$12,500,000
TD Bank	$7,500,000
JP Morgan Chase Bank	$7,500,000
Key Bank	$7,500,000
Bank of America	$7,500,000
First Niagara Bank	$7,500,000
New York Liquid Asset Fund	$7,500,000
Bank of New York Mellon	$7,500,000
Catskill Hudson Bank	$2,500,000

VIII. Securing Deposits and Investments

All deposits and investments at a bank or trust company, including all demand deposits, certificates of deposit and special time deposits (hereinafter, collectively, "deposits") made by officers of the ***City of Kingston*** that are in excess of the amount insured under the provisions of the Federal Deposit Insurance Act shall be secured by any one or combination of the following:

1. A pledge of "eligible securities" with an aggregate "market value" (as provided

by General Municipal Law, §10) that is at least equal to the aggregate amount of deposits by the officers. See Appendix A of this policy for a listing of "eligible securities."

2. A pledge of a pro rata portion of a pool of eligible securities, having in the aggregate a market value at least equal to the aggregate amount of deposits from all such officers within the State at the bank or trust company.

3. An "eligible surety bond" payable to the government for an amount at least equal to 100% of the aggregate amount of deposits and the agreed-upon interest, if any, executed by an insurance company authorized to do business in New York State, whose claims- paying ability is rated in the highest rating category by at least two nationally recognized statistical rating organizations.

4. An "eligible letter of credit", payable to the ***City of Kingston*** as security for the payment of 140 percent of the aggregate amount of deposits and the agreed-upon interest, if any. An "eligible letter of credit" shall be an irrevocable letter of credit issued in favor of the ***City of Kingston***, for a term not to exceed 90 days, by a qualified bank (other than the bank where the secured money is deposited). A qualified bank is either one whose commercial paper and other unsecured short-term debt obligations (or, in the case of a bank which is the principal subsidiary of a holding company, whose holding company's commercial paper and other unsecured short-term debt obligations) are rated in one of the three highest rating categories by at least one nationally recognized statistical rating organization, or one that is in compliance with applicable federal minimum risk-based capital requirements.

5. An "irrevocable letter of credit" issued in favor of the government by a federal home loan bank whose commercial paper and other unsecured short-term debt obligations are rated in the highest rating category by at least one nationally recognized statistical rating organization, as security for the payment of 100 percent of the aggregate amount of deposits and the agreed-upon interest, if any.

IX. Collateralization and Safekeeping

Eligible securities used for collateralizing deposits shall be held by a third party or trust company subject to security and custodial agreements.

The security agreement shall provide that eligible securities (or the pro rata portion of a pool of eligible securities) are being pledged to secure deposits together with agreed-upon interest, if any, and any costs or expenses arising out of the collection of such deposits upon a default. It shall also provide the conditions under which the securities (or pro rata portion of a pool of eligible securities) may be sold, presented for payment, substituted or released and the events which will enable the local government to exercise its rights against the pledged securities.

In the event that the securities are not registered or inscribed in the name of the ***City of Kingston***, such securities shall be delivered in a form suitable for transfer or with an assignment in blank to the ***City of Kingston*** or the custodial bank or trust company. Whenever eligible securities delivered to the custodial bank or trust company are transferred by entries on the books of a federal reserve bank or other book-entry system operated by a federally regulated entity without physical delivery of the evidence of the obligations, then the records of the custodial bank or trust company shall be required to show, at all times, the interest of the government in the securities (or the pro rata portion of a pool of eligible securities) as set forth in the security agreement.

The custodial agreement shall provide that pledged securities (or the pro rata portion of a pool of eligible securities) will be held by the bank or trust company as agent of, and custodian for, the ***City of Kingston***, will be kept separate and apart from the general assets of the custodial bank or trust company and will not be commingled with or become part of the backing of any other deposit or other bank liability. The agreement shall also describe how the custodian shall confirm the receipt, substitution or release of the collateral and it shall provide for the frequency of revaluation of collateral by the custodial bank or trust company and for the substitution of collateral when a change in the rating of a security causes ineligibility. The security and custodial agreements shall also include all other provisions necessary to provide the ***City of Kingston*** with a perfected security interest in the eligible securities and to otherwise secure the local government's interest in the collateral, and may contain other provisions that the Common Council deems necessary.

X. Permitted Investments

As authorized by General Municipal Law, §11, the ***City of Kingston*** authorizes the City Comptroller to invest moneys not required for immediate expenditure for terms not to exceed its projected cash flow needs in the following types of investments:

- Special time deposit accounts in, or certificates of deposit issued by, a bank or trust company located and authorized to do business in the State of New York.
- Obligations of the United States of America;
- Obligations guaranteed by agencies of the United States of America, where the payment of principal and interest are guaranteed by the United States of America.
- Obligations of the State of New York;
- With the approval of the State Comptroller, obligations issued pursuant to Local Finance Law §24.00 or 25.00 (i.e, Tax Anticipation Notes and Revenue Anticipation Notes) by any municipality, school district or district corporation in the State of New York other than the ***City of Kingston***.
- Obligations of the ***City of Kingston***, but only with moneys in a reserve fund established pursuant to General Municipal Law, §6-c, 6-d, 6-e, 6-f, 6-g, 6-h, 6-j, 6-k, 6-1, 6-m, or 6-n.

All investment obligations shall be payable or redeemable at the option of the ***City of Kingston*** within such times as the proceeds will be needed to meet expenditures for purposes for which the moneys were provided and, in the case of obligations purchased with the proceeds of bonds or notes, shall be payable or redeemable at the option of the ***City of Kingston*** within two years of the date of purchase. Time deposit accounts and certificates of deposit shall be payable within such times as the proceeds will be needed to meet expenditures for which the moneys were obtained, and shall be secured as provided in Sections VIII and IX herein.

Except as may otherwise be provided in a contract with bondholders or noteholders, any moneys of the ***City of Kingston*** authorized to be invested may be commingled for investment purposes, provided that any investment of commingled moneys shall be payable or redeemable at the option of the ***City of Kingston*** within such time as the proceeds shall be needed to meet expenditures for which such moneys were obtained, or as otherwise specifically provided in General Municipal Law §11. The separate identity of the sources of these funds shall be maintained at all times and income received shall be credited on a pro rata basis to the fund or account from which the moneys were invested.

Any obligation that provides for the adjustment of its interest rate on set dates is deemed to be payable or redeemable on the date on which the principal amount can be recovered through demand by the holder.

XI. Authorized Financial Institutions and Dealers

All financial institutions and dealers with which the ***City of Kingston*** conducts business shall be credit worthy, and have an appropriate level of experience, capitalization, size, and other factors that make the financial institution or the dealer capable and qualified to transact business with the ***City of Kingston***. The City Comptroller shall evaluate the financial position and maintain a listing of proposed depositaries, trading partners, and custodians. Recent Reports of Condition and Income (call reports) shall be obtained for proposed banks, and security dealers that are not affiliated with a bank shall be required to be classified as reporting dealers affiliated with the New York Federal Reserve Bank, as primary dealers.

The ***City of Kingston*** shall maintain a list of financial institutions and dealers approved for investment purposes and establish appropriate limits to the amount of investments, which can be made with each financial institution or dealer.

XII. Purchase of Investments

The City Comptroller is authorized to contract for the purchase of investments:

1. Directly from an authorized trading partner.

2. By participation in a cooperative investment program with another authorized governmental entity pursuant to Article 5-G of the General Municipal Law and accordance with Article 3-A of the General Municipal Law.

All purchased obligations, unless registered or inscribed in the name of the local government, shall be purchased through, delivered to and held in the custody of a bank or trust company. Such obligations shall be purchased, sold or presented for redemption or payment by such bank or trust company only in accordance with prior written authorization from the officer authorized to make the investment. All such transactions shall be confirmed in writing to the ***City of Kingston*** by the bank or trust company.

Any obligation held in the custody of a bank or trust company shall be held pursuant to a written custodial agreement as described in General Municipal Law, §10(3)(a). The agreement shall provide that securities held by the bank or trust company, as agent of, and custodian for, the ***City of Kingston***, will be kept separate and apart from the general assets of the custodial bank or trust company and will not be commingled with or become part of the backing of any other deposit or other bank liability. The agreement shall also describe how the custodian shall confirm the receipt and release of the securities. Such agreement shall include all provisions necessary to secure the local government's interest in the securities, and the agreement may also contain other provisions that the Common Council deems necessary. The security and custodial agreements shall also include all other provisions necessary to provide the ***City of Kingston*** with a perfected interest in the securities.

The City Comptroller can direct the bank or trust company to register and hold the evidences of investments in the name of its nominee, or may deposit or authorize the bank or trust company to deposit, or arrange for their deposit with a federal reserve bank or other book-entry system operated by a federally regulated entity. The records of the bank or trust company shall show, at all times, the ownership of such evidences of investments, and they shall be, when held in the possession of the bank or trust company, at all times, kept separate from the assets of the bank or trust company. All evidences of investments delivered to a bank or trust company shall be held by the bank or trust company pursuant to a written custodial agreement as set forth in General Municipal Law §10(3)(a), and as described earlier in this section. When any such evidences of investments are so registered in the name of a nominee, the bank or trust company shall be absolutely liable for any loss occasioned by the acts of such nominee with respect to such evidences of investments.

XIII. Courier Service

The City Comptroller may, subject to the approval of the Common Council by resolution, enter into a contract with a courier service for the purpose of causing the deposit of public funds with a bank or trust company. The courier service shall be required to obtain a surety bond for the full amount entrusted to the courier, payable to the ***City of Kingston*** and executed by an insurance company authorized to do business in the State of New York, with a claims-paying ability that is rated in the highest rating category by at least two nationally recognized statistical rating organizations, to insure against any loss of public deposits entrusted to the courier service for deposit or failure to deposit the full amount entrusted to the courier service.

The ***City of Kingston*** may agree with the depositary bank or trust company that the bank or trust company will reimburse all or part of, but not more than, the actual cost incurred by the ***City of Kingston*** in transporting items for deposit through a courier service. Any such reimbursement agreement shall apply only to a specified deposit transaction, and may be subject to such terms, conditions, and limitations as the bank or trust company deems necessary to ensure sound banking practices, including, but not limited to, any terms, conditions, or limitations that may be required by the banking department or other federal or state authority.

XIV. Annual Review and Amendments

The ***City of Kingston*** shall review this investment policy annually, and it shall have the power to amend this policy at any time.

XV. Definitions

The terms “public funds”, “public deposits”, “bank”, “trust company”, “eligible securities”, “eligible surety bond”, and “eligible letter of credit” shall have the same meanings as set forth in General Municipal Law §10.

Appendix A

Schedule of Eligible Securities for Collateralizing Deposits and Investments in Excess of FDIC Coverage

"Eligible Securities" for Collateral	For purposes of determining aggregate "market value," eligible securities shall be valued at these percentages of "market value":
(i) Obligations issued, or fully insured or guaranteed as to the payment of principal and interest, by the United States of America, an agency thereof or a United States government-sponsored corporation.	100%
(ii) Obligations issued or fully guaranteed by the International Bank for Reconstruction and Development, the Inter-American Development Bank, the Asian Development Bank, and the African Development Bank.	100%
(iii) Obligations partially insured or guaranteed by any agency of the United States of America, at a proportion of the market value of the obligation that represents the amount of the insurance or guaranty.	100%
(iv) Obligations issued or fully insured or guaranteed by the State of New York, obligations issued by a municipal corporation, school district or district corporation of this State or obligations of any public benefit corporation which under a specific State statute may be accepted as security for deposit of public moneys.	100%
(v) Obligations issued by states (other than the State of New York) of the United States rated in one of the three highest rating categories by at least one nationally recognized statistical rating organization.	100% if rated in the highest category; 90% for 2nd highest; 80% for 3rd highest.
(vi) Obligations of the Commonwealth of Puerto Rico rated in one of the three highest rating categories by at least one nationally recognized statistical rating organization.	100% if rated in the highest category; 90% for 2nd highest; 80% for 3rd highest.
(vii) Obligations of counties, cities and other governmental entities of another state having the power to levy taxes that are backed by the full faith and credit of such governmental entity and rated in one of the three highest rating categories by at least one nationally recognized statistical rating organization.	100% if rated in the highest category; 90% for 2nd highest; 80% for 3rd highest.
(viii) Obligations of domestic corporations rated in one of the two highest rating categories by at least one nationally recognized statistical rating organization.	80%
(ix) Any mortgage-related securities, as defined in the Securities Exchange Act of 1934, as amended, which may be purchased by banks under the limitations established by federal bank regulatory agencies.	70%
(x) Commercial paper and bankers' acceptances issued by a bank (other than the bank with which the money is being	80%

deposited or invested) rated in the highest short-term category by at least one nationally recognized statistical rating organization and having maturities of not longer than 60 days from the date they are pledged.	
(xi) Zero-coupon obligations of the United States government marketed as "Treasury STRIPS."	80%

RESOLUTION #5 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR RECEIVING MAYOR'S MESSAGE

Sponsored by:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the Mayor's Annual Message be received, printed and filed in the Office of the City Clerk.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this______

day of January 2018.

____

CARLY WINNIE, CITY CLERK

Approved by the Mayor this______

day of January 2018.

____

STEVEN T. NOBLE, MAYOR

Adopted by Council on January 9, 2018

Common Council Committee Appointments 2018

Finance/Audit Committee

- Chair: Douglas Koop
- Reynolds Scott-Childress
- Tony Davis
- Patrick O'Reilly
- Steven Schabot

Laws & Rules/Cable Committee

- Chair: Bill Carey
- Jeffrey Morell
- Douglas Koop
- Reynolds Scott-Childress
- Patrick O'Reilly

General Government/Public Safety Committee

- Chair: Steve Schabot
- Jeffrey Morell
- Reynolds Scott-Childress
- Rita Worthington
- Andrea Shaut

Community Development Committee

(Also members of Community Development Advisory Council)

- Chair: Tony Davis
- Jeffrey Morell
- Rita Worthington
- Bill Carey
- Andrea Shaut

2018 COMMON COUNCIL LIAISON APPOINTMENTS

• Fire Board	Tony Davis
• Water Board	Jeffrey Ventura Morell
• Recreation	Steve Schabot
• Planning Board	Reynolds Scott-Childress
• Zoning Board	Bill Carey
• Veterans Organizations	Bill Carey
• Library	Rita Worthington
• Uptown Business Association	Douglas Koop
• Midtown Business Association	Rita Worthington
• Rondout Business Association	Steve Schabot
• Public Works	Patrick O'Reilly
• Kingston Local Development Corp.	Andrea Shaut
• Dietz Stadium Commission	Steve Schabot
• Heritage Area Commission	Reynolds Scott-Childress
• Police Board	Rita Worthington
• Economic Development	Douglas Koop
• Tree Commission	Jeffrey Ventura Morell
• Parking Work Group	Reynolds Scott-Childress Douglas Koop
• Climate Smart Kingston	Bill Carey
• Conservation Advisory Council	Andrea Shaut
• Housing	Tony Davis
• Arts Commission	Andrea Shaut
• Central Fire Station Work Group	Steve Schabot Doug Koop Tony Davis

CITY OF KINGSTON
Office of Planning
planning@kingston-ny.gov

Suzanne Cahill, Planning Director

Steven T. Noble, Mayor

December 13, 2017

Hon. James Noble, President
City of Kingston Common Council
City Hall – 420 Broadway
Kingston, NY 12401

Re: Amendment to Chapter 300 – Noise Ordinance

Dear Pres. Noble:

After giving this serious thought, and having communication with both our City Engineer and our Police Chief, I am asking for consideration to update §300-5 –Prohibitive Acts of the City Code addressing noise disturbances. Under sub section (2) Construction – "Operating or permitting the operation of any tools or equipment used in construction, drilling or demolition work is prohibited before 8 AM on weekdays or at any time on Sundays/weekends or holidays...." It is my opinion that the current start time of 8 am for construction delays normal construction work schedules and extends work hours during the summer months when overheating is a health and safety issue. I am therefore asking that consideration be given to amending this section to allow work to begin at 7 AM. It should be noted that emergency work or public service utilities are already excluded from this stipulation.

A copy of the code section is attached for your reference. If you have any questions regarding this change please contact our office.

Thank you.

Sincerely,

Suzanne Cahill

Suzanne Cahill
Planning Director

Cc: Mayor Noble
R. Swenson, City Engineer
E. Tinti, Police Chief
K. Bryant, Corp. Counsel

City Hall · 420 Broadway · Kingston, New York 12401 · (845) 334-3955· Fax (845) 334-3958 · www.kingston-ny.gov

Chapter 300. Noise

Article I. Noise Control

§ 300-5. Prohibitive acts.

A. Specific prohibitions. No person shall cause, allow or permit the following acts which shall be considered to be a noise disturbance:
[Amended 12-16-1999 by L.L. No. 2-2000, approved 1-3-2000]

(1) Animals.
[Amended 2-3-2004 by L.L. No. 1-2004, approved 2-24-2004]

(a) Owning, possessing or harboring any animal which creates a noise disturbance across a residential real property boundary which would be deemed unreasonable by any person of normal sensitivities.

(b) Said noise disturbance shall include, hut not be limited to, barking, howling, or the making of other loud noises by said animal between the hours of 10:00 p.m. and 8:00 a.m. for more than one fifteen-minute period and from the hours of 8:00 a.m. to 10:00 p.m. for more than two fifteen-minute periods.

(2) Construction. Operating or permitting the operation of any tools or equipment used in construction, drilling or demolition work:

(a) Between the hours of 6:00 p.m. and 8:00 a.m. on weekdays or at any time on Sundays/weekends or holidays, such that the sound therefrom creates a disturbance across a residential real property boundary or is in violation of **§ 300-6** (sound level measurements), except for emergency work or public service utilities or by special permit issued pursuant to **§ 300-7**.

(b) This section shall not apply to the use of domestic power tools, lawn mowers and garden equipment between the hours of 8:00 a.m. and 8:00 p.m. on weekdays and 8:00 a.m. and 8:00 p.m. on weekends and legal holidays, and snowblowers between the hours of 6:00 a.m. and 10:00 p.m., provided that they produce less than 90 dBA at or within any real property line of a residential property.

(c) In violation of any state or federal law.

(3) Domestic power tools. Operating or permitting the operation of any mechanically powered saw, drill, sander, grinder, lawn or garden tool or similar device used outdoors in residential areas between the hours of 8:00 p.m. and 8:00 a.m., and the operation of any mechanically powered domestic snow-removal device between the hours of 10:00 p.m. and 6:00 a.m., so as to cause a noise disturbance across a residential real property.

(4) Emergency signaling devices as follows:

(a) The intentional sounding or permitting the sounding outdoors of any fire, burglar or civil defense alarm, siren, whistle or similar stationary emergency signaling device, except for emergency purposes or for testing, as provided in Subsection B(4)(b). 28

(b) Testing.

[1] Testing of a stationery emergency signaling device shall occur at the same time of day each time such a test is performed. Any such testing shall use the minimal cycle test time.

[2] Testing of the complete emergency signaling system, including the function of the signaling device and the personnel response to the signaling device, shall not occur more than once in each calendar month.

(c) Sounding or permitting the sounding of any exterior burglar alarm or any motor vehicle burglar alarm unless such alarm is automatically terminated within 15 minutes of activation and shall not be operated more than 15 minutes in any one-hour period.

(5) Explosives, firearms and similar devices. The use of firing of explosives, firearms or any other impulsive source so as to cause a noise disturbance across the real property boundary or on a public space or right-of-way, without first obtaining a special variance issued pursuant to § **300-9**. Such permit need not be obtained for such licensed game-hunting activities on property where such activities are authorized.

(6) Loading and unloading.

(a) Loading, unloading, opening, closing or handling of boxes, crates, containers, building materials, liquids, garbage containers, refuse or similar objects; the pneumatic or pumped loading or unloading of bulk materials in liquid, gaseous, powder or pellet form; and compacting refuse by persons engaged in the business of scavenging or garbage collection whether private or municipal, between the hours of 10:00 p.m. and 6:00 a.m. on weekdays, and between the hours of 10:00 p.m. and 7:00 a.m. on weekends or legal holidays, or in such a manner as to cause a noise disturbance across a residential or real property boundary or within a noise-sensitive zone.

(b) Any loading or unloading not addressed in the preceding subsection, or by other provision herein, will be prohibited between the hours of 8:00 p.m. and 7:00 a.m and will be subject to the provisions of § **300-6** herein.

(7) Loudspeakers/public address systems.

(a) Using or operating for any noncommercial purpose any loud speaker, public address system or similar device between the hours of 10:00 p.m. and 10:00 a.m. the following day, such that the sound therefrom creates a noise disturbance or is plainly audible across a residential real property boundary or within a noise-sensitive zone.

(b) Using or operating for any commercial purpose any loud speaker, public address system or similar device:

[1] Such that the sound therefrom creates a noise disturbance or is plainly audible across the real property boundary or within a noise-sensitive zone; or

[2] Between the hours of 10:00 p.m. and 10:00 a.m. on a public right-of-way or public space.

(8) Modification of noise control devices. No person shall operate or permit to be operated any device that has been modified so as to cause the sound emitted from such device to be greater than that emitted from such device as originally manufactured.

(9) Motor boats. Operating or permitting the operation of any motor boat in any lake, river, stream or other waterway and upon the waters of the Rondout Creek in such a manner as to exceed a sound level of 85 dBA at 50 feet (15 meters) or the nearest shoreline whichever distance is less. [See Subsection B(14) of this section also.]

(10) Sound reproduction systems.

(a) Operating, playing or permitting the operation of any radio, television, phonograph or similar device that reproduces or amplifies sound in such a manner as to create a noise disturbance to any other person other than the operator of the device. 29

(b) This section shall not apply to noncommercial, spoken language covered under Subsection B(7) of this section.

(11) Nonemergency signaling devices.

(a) Alternative 1.

[1] Sounding or permitting the sounding of any electronically amplified signal from any bell, chime, siren, whistle or similar device, intended primarily for nonemergency purposes, from any place for more than five minutes in any hour. These sounds are not permitted between the hours of 10:00 p.m. and 7:00 a.m.

[2] Devices used in conjunction with places of religious worship shall be exempt from the operation of this provision.

(12) Street sales. Offering for sale or selling anything by shouting or outcry within any residential or commercial area of the city except by permit issued by the appropriate department within the city between the hours of 8:00 p.m. and 10:00 a.m., unless otherwise permitted by the proper authority.

(13) Vehicle or motor boat repairs and testing. Repairing, rebuilding, modifying or testing any motor vehicle, motorcycle or motor boat in such a manner as to cause a noise disturbance across a residential real property boundary or between the hours of 8:00 p.m. and 8:00 a.m. At no time shall the decibel level exceed 85 dBA at the receiving boundary.

(14) Prohibited noise. If any said noise is deemed unreasonable by an officer of the Kingston Police Department, said opinion by said officer of the Kingston Police Department shall be deemed presumptive evidence of unreasonable noise. This presumption may be rebutted by competent evidence.

THE CITY OF KINGSTON COMMON COUNCIL

LAWS & RULES
COMMITTEE REPORT

DEPARTMENT Planning DATE 12/18/17

Description NOISE ORDINACE AMENDMENT

Update §300-5 Prohibitive Acts.... See communication for complete language - change to allow work to begin at 7am - Note emergency work or public service utilities excluded.

Signature Lynne Cahill

Motion by ____________

Seconded by ____________

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action X

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Lynn Eckert, Chairman		
Reynolds Scott-Childress, Ward 3		
Bill Carey, Ward 5		
Maryann Mills, Ward 7		
Deborah Brown, Ward 9		

CITY OF KINGSTON
OFFICE OF ECONOMIC AND COMMUNITY DEVELOPMENT
Steven T. Noble, Mayor *Brenna L. Robinson, Director*

December 21, 2017

Honorable James L. Noble, Jr.
President/Alderman-at-Large
Kingston Common Council
420 Broadway
Kingston, NY 12401

Re: Authorizing funding in the first instance and appropriating funds for the Broadway Streetscape Project

Dear President Noble,

The City of Kingston is entering a new phase for the Broadway Streetscape Project.
I am requesting placement on the Finance Committee agenda in January to discuss an action needed by the Council for this funding.

To access funds for the Right-of-Way acquisition from the NYSDOT TEP grant, the City must pass a resolution to fund the project costs in the first instance. The attached resolution, originally drafted by NYSDOT, will allow the City to request reimbursements from the NYSDOT for the first $62,700.00 for costs of the Right-Of-Way acquisition phase. As we advance the project construction costs are more defined, NYSDOT can make more funds from this source available for reimbursement.

The City has already bonded for the total anticipated cost of the Broadway Streetscape Project.

Please feel free to contact me with any questions regarding the project. Thank you for your consideration.

Sincerely,

Brenna L. Robinson

City Hall· 420 Broadway · Kingston, New York 12401 · (845)334-3924 · Fax (845) 334-3932

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____	**CONTINGENCY TRANSFER _____**	**TRANSFER _____**
AUTHORIZATION _X____	**BUDGET MODIFICATION _____**	**BONDING REQUEST _____**
CLAIMS _____	**ZONING _____**	**OTHER _____**

DEPARTMENT____Office of Economic and Community Development__DATE_____________

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK AUTHORIZING THE IMPLEMENTATION AND FUNDING IN THE FIRST INSTANCE 100% OF THE FEDERAL-AID (AND STATE “MARCHISELLI” PROGRAM-AID) ELIGIBLE COSTS FOR THE BROADWAY STREETSCAPE TRANSPORTATION FEDERAL-AID PROJECT, AND APPROPRIATING FUNDS THEREFORE.

Estimated Financial Impact__________ Signature_________________________________

Motion by______________________

Seconded by____________________

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	**YES**	**NO**
Douglas Koop, Chairman		
Reynolds Scott-Childress Ward 3		
Anthony Davis, Ward 6		
Steven Schabot, Ward 8		
Deborah Brown, Ward 9		

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK AUTHORIZING THE IMPLEMENTATION AND FUNDING IN THE FIRST INSTANCE 100% OF THE FEDERAL-AID (AND STATE “MARCHISELLI” PROGRAM-AID) ELIGIBLE COSTS FOR THE BROADWAY STREETSCAPE TRANSPORTATION FEDERAL-AID PROJECT, AND APPROPRIATING FUNDS THEREFORE.

Sponsored by: Finance Committee
Aldermen Koop, Scott-Childress, Schabot, Brown, Davis

Authorizing the implementation, and funding in the first instance 100% of the federal-aid and State "Marchiselli" Program-aid eligible costs, of a federal-aid transportation project, and appropriating funds therefore.

WHEREAS, a Project for the **Kingston Connectivity – Broadway: Foxhall Avenue to St. James Street in the City of Kingston, Ulster County, PIN 8780.49** (the “Project") is eligible for funding under Title 23 U.S. Code, as amended, that calls for the apportionment of the costs such program to be borne at the ratio of **80%** Federal funds and **20%** non-federal funds; and

WHEREAS, the City of Kingston desires to advance the Project by making a commitment of 100% of the non-federal share of the costs of right-of-way incidental work.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

RESOLVE, that the Common Council of the City of Kingston hereby approves the above-subject project; and it is hereby further

RESOLVED, that the Common Council of the City of Kingston hereby authorizes the City of Kingston to pay in the first instance 100% of the federal and non-federal share of the cost of right-of-way acquisition work for the Project or portions thereof; and it is further

RESOLVED, that the sum of **$62,700** is hereby appropriated from bonding and made available to cover the cost of participation in the above phase of the Project; and it is further

RESOLVED, that in the event the full federal and non-federal share costs of the project exceeds the amount appropriated above, the Common Council of the City of Kingston shall convene as soon as possible to appropriate said excess amount immediately upon the notification by the Mayor thereof, and it is further

RESOLVED, that the Mayor of the City of Kingston be and is hereby authorized to execute all necessary Agreements, certifications or reimbursement requests for Federal Aid and/or applicable Marchiselli Aid on behalf of the City of Kingston with the New York

State Department of Transportation in connection with the advancement or approval of the Project and providing for the administration of the Project and the municipality's first instance funding of project costs and permanent funding of the local share of federal-aid and state-aid eligible Project costs and all Project costs within appropriations therefore that are not so eligible, and it is further

RESOLVED, that in addition to the Mayor, the following municipal titles: Superintendent of Public Works, City Engineer, City Comptroller, and Director of the Office of Economic & Community Development are also hereby authorized to execute any necessary Agreements or certifications on behalf of the Municipality/Sponsor, with NYSDOT in connection with the advancement or approval of the project identified in the State/Local Agreement;

RESOLVED, that a certified copy of this resolution be filed with the New York State Commissioner of Transportation by attaching it to any necessary Agreement in connection with the Project, and it is further

RESOLVED, this Resolution shall take effect immediately.

Submitted to the Mayor this ____day of	Approved by the Mayor this ___ day of
______________________, 2017	____________________, 2017
________________________________	________________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on ___________

PIN 8780.49
03/09/2017dh

CITY OF KINGSTON
Office of the City Engineer

rswenson@kingston-ny.gov

Ralph E. Swenson, P.E., City Engineer | Steven T. Noble, Mayor

December 21, 2017

Mr. James L. Noble, Jr.
Alderman-At-Large
President of the Common Council
Kingston City Hall
420 Broadway
Kingston, New York 12401

RE: Twaalfskill Creek Drainage Analysis Proposals

Dear President Noble:

The Twaalfskill Creek Drainage Analysis Selection Committee has reviewed six (6) Expressions of Interest and interviewed three (3) eligible firms for the proposed work. The work will include:

- Delineation of contributing watersheds;
- Documentation of Existing Conditions;
- Calculation of stormwater runoff and resulting flood routing, and the capacity analysis of the stream channel and control structures;
- Recommendation of Improvements; and
- Final Report summarizing individual tasks.

The Committee recommends the firm Tighe & Bond in the amount of $48,900.00.

As previously stated, this work will identify the flow capacity boundary conditions for future repairs/replacements of the Chapel Street Culvert, the Brook Street Culvert, the South Wall Street Culvert, and the uncontrolled channel meandering along Wilbur Avenue identified on our Capital Projects List.

Please forward to the appropriate Common Council Committee for further discussion and recommendation.

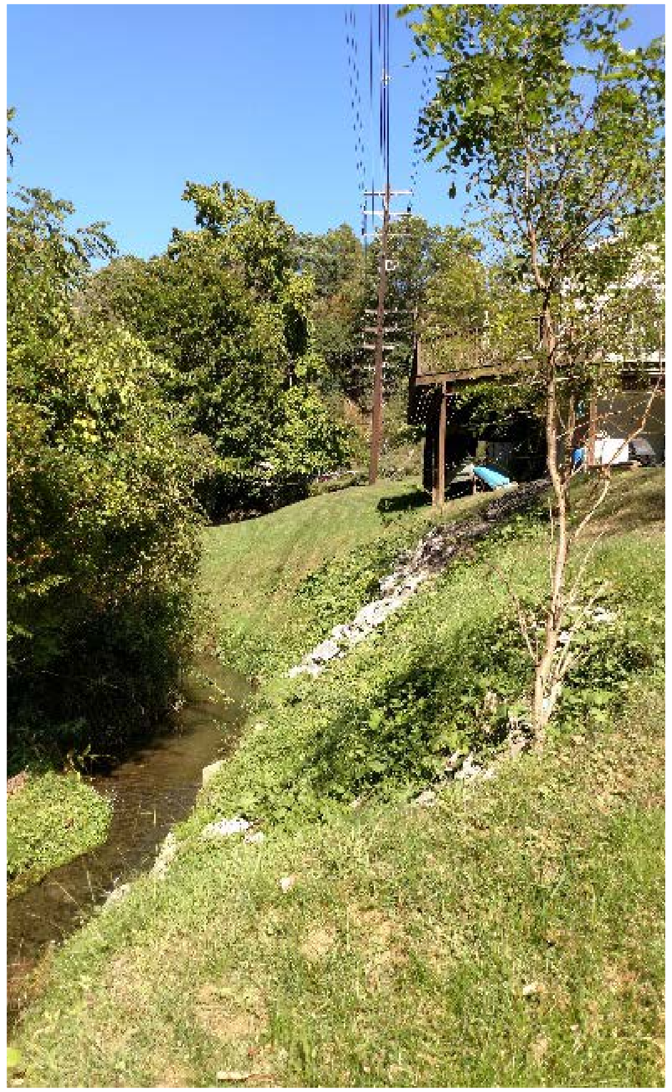
Respectfully Submitted,

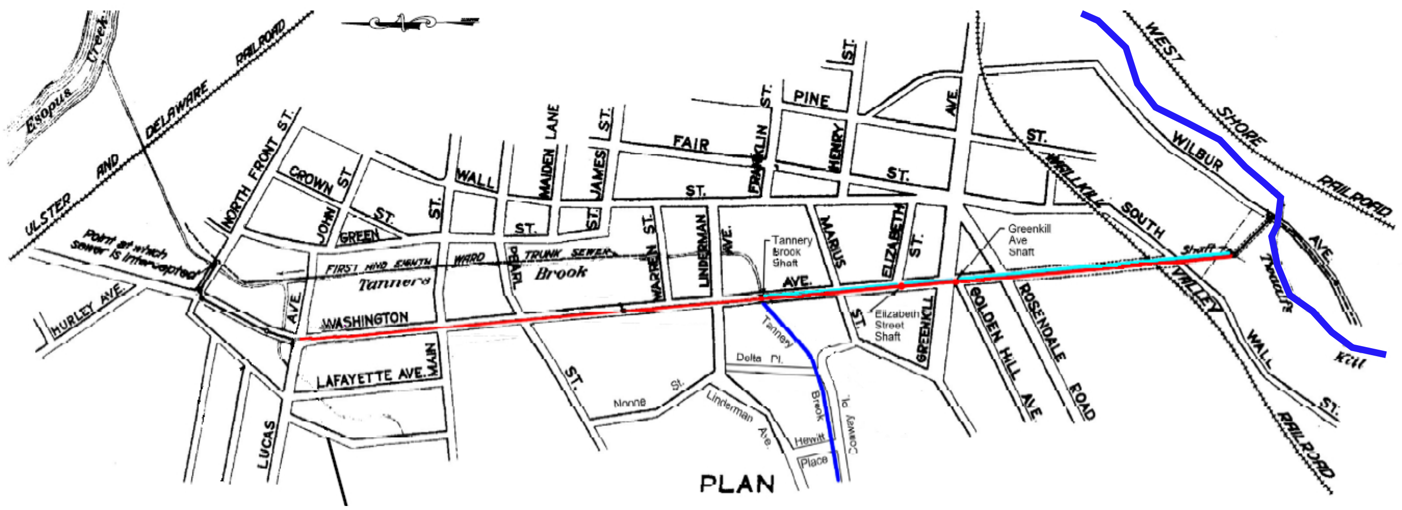
Ralph Swenson
City Engineer

Cc: Steven T. Noble, Mayor
Douglas Koop, Chair, Finance Committee
John Tuey, Comptroller

Interview Documents

Twaalfskill Creek Stormwater Analysis





December 19, 2017

Tighe&Bond
Engineers | Environmental Specialists
Services provided in New York by T&B Engineering, P.C.

Engineering History

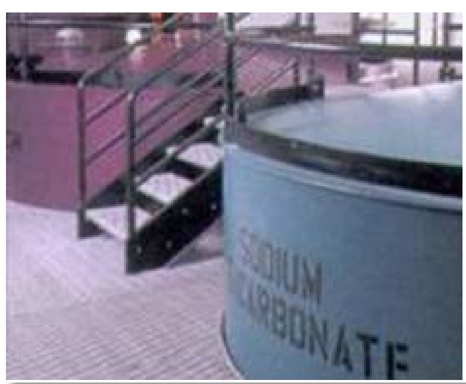
Our company was founded in 1911 by James Tighe, well-respected for his role in developing the water supply, distribution and treatment system for the rapidly growing city of Holyoke, Massachusetts, called the finest system in New England. In 1926, he formed a partnership with Philip Bond, who added expertise in municipal engineering.

Over the years, Tighe & Bond has evolved to specialize in environmental engineering and consulting, focusing on water, wastewater, solid waste and hazardous waste, while continuing to provide expert civil engineering services. We have further enhanced our service offerings to include Geographic Information Systems (GIS), geotechnical, structural and electrical engineering. Known for designing innovative strategies for water systems, residuals management and remediation, the firm has provided consulting services to a wide variety of government, institutional and industrial clients across the region.

One of the oldest continuously operating engineering firms in the Northeast, Tighe & Bond has built a reputation upon the technical knowledge, experience and capabilities we bring to each project we undertake, as well as the service we provide. Seasoned project managers, supported by project directors (principals of the firm) and experienced engineers and scientists on each and every project assure continuity, accountability, and high quality service.

Water Resources

Tighe & Bond provides many communities with comprehensive engineering services to ensure residents an adequate supply of quality drinking water, in compliance with federal and state regulations. We assess existing supplies with hydrogeologic or hydrologic studies and water rate and cost of service studies. We plan, permit and design treatment, storage and distribution systems. Conducting pilot plant treatability studies, we determine system effectiveness for new supplies and existing supplies that can be improved through the use of new water treatment technologies. Tighe & Bond also assists cities and towns by negotiating water treatment or purchase agreements with other communities, assisting with permitting, researching and accessing all available funding opportunities.



For the city of Holyoke, MA, Tighe & Bond planned and designed comprehensive water system improvements, including a 6-mile, 42-inch diameter raw water transmission main, 15 million gallon per day treatment plant, 10 million gallon storage facility and several pumping stations.

MISSION

To provide sound, creative, and sustainable solutions to diverse civil engineering and environmental problems, emphasizing personal service to our clients, while bringing financial and professional rewards to our employees.

Wastewater

Tighe & Bond designs efficient, cost-effective wastewater treatment systems to achieve compliance with federal, state and local regulations. Our projects range from small industrial pretreatment systems to large municipal treatment facilities. For many cities and towns, we have prepared detailed facilities plans and permit applications, assisted in obtaining funding, completed detailed design of collection, pumping and treatment facilities, and provided construction oversight and startup services. The firm designs and builds turnkey systems, and provides consulting services which include plans and specifications, bidding and construction supervision, startup oversight, operator training and permitting.

Tighe and Bond designed and supervised construction of the two largest wastewater residuals drying facilities in the world, the 240 dry ton per day facility for the Massachusetts Water Resources Authority in Quincy, MA, and the 300 dry ton per day facility for the New York Organic Fertilizer Company, Bronx, NY.

Solid Waste

Well-engineered solid waste management plans are necessary for communities to deal with rising disposal costs and increasing environmental regulation. Tighe & Bond develops comprehensive, innovative strategies to help communities plan for current and future solid waste disposal needs, including transfer stations and procurement of transportation and disposal contracts. Volume reduction tactics, such as post-consumer recycling and composting, are an integral part of our plans. We engineer new landfills and expansions of existing landfills from initial surveys and hydrogeologic studies through construction, and provide operation assistance. Closure plans include cap design and landfill gas venting and treatment systems, as well as environmental monitoring systems. Our team of experts handles permitting, oversees construction and any ongoing monitoring required.

Tighe & Bond designed a comprehensive site assessment and closure of a 44-acre landfill in Westfield, MA, incorporating an innovative active gas collection system for power generation and reclamation of costs.

Environmental, Health and Safety Services

Tighe & Bond's environmental expertise spans many disciplines, and allows us to deliver invaluable services to our clients. With environmental engineers and scientists, we provide expert advice to help organizations and communities comply with complex, changing federal and state regulations and avoid costly penalties and fines. We conduct audits to evaluate current compliance status and work with clients to develop comprehensive environmental management systems tailored to minimize regulatory risk. We also address our clients' remediation needs, assessing sites for potential contamination and designing innovative, cost-effective solutions for remediation of groundwater and soil. The firm provides emergency response and due diligence, and consults on projects from Brownfields to underground storage tanks. We also design and build turnkey remediation systems, and are often called upon to provide expert testimony and litigation support.

At Malden Mills, the worst fire-related disaster in Massachusetts' history, Tighe & Bond supervised a fast-tracked remediation strategy that incorporated recycling for cost minimization. We continue to advise Malden Mills on environmental, health and safety compliance issues, and provide additional services that include toxics use reduction planning and training.

Parking

Tighe & Bond has consulted on parking needs for a long list of public and private clients, including major airports, hospitals, universities and shopping centers. Our designs for multilevel parking structures and surface lots ensure good access and circulation for cars and pedestrians. We also conduct parking demand analyses and financial feasibility studies, evaluate and design revenue control systems, and develop management, operation and regulation procedures.

For the Massachusetts Bay Transportation Authority's Alewife Station Tighe & Bond provided functional design services for a 2,000-space park and ride garage which included spiral access, bus terminal, subway station interface and highway connection. The project was the recipient of a Presidential Design Award from the National Endowment for the Arts and an Award for Excellence from the International Parking Institute.

Traffic

The firm provides experienced traffic engineering services to corporate, institutional and municipal clients as well as commercial developers. Our traffic impact studies include estimates of traffic generation, approach distribution and street assignment, selection of entrance and exit locations and traffic operations requirements. We deliver traffic signal designs with plans and specifications. We serve as public agency liaisons, and are frequently called upon to provide expert testimony.

GENERAL FIRM OVERVIEW

For Yale University and the city of New Haven, CT, the firm provided functional design for Broadway, including lane arrangements and signal system phasing and timing. This traffic, pedestrian, parking and street beautification project won an AIA award for Architecture in the Community.

Site Development and Civil Engineering

For close to a hundred years, Tighe & Bond has engineered the infrastructure of countless cities and towns throughout New England. We've designed roads, bridges, and drainage management systems, dams, industrial parks and academic facilities and all the utilities they require. We provide site planning services, cost-effective design, bid supervision and construction oversight. Regulatory experts on staff help ensure that permitting is achieved as smoothly and swiftly as possible with federal, state and local authorities.

Tighe & Bond provided engineering services for street beautification, roadway reconstruction and infrastructure improvements including water, sewer and drainage for the town of Erving, MA.

Geographic Information Systems (GIS)

Tighe & Bond provides GIS services to a wide range of clients including municipalities, water and sewer utilities, state and federal government agencies, and private businesses. Our staff has experience in a wide variety of vendor applications such as ESRI, AutoDesk, Intergraph, and Bentley. We have expertise in performing Needs Assessment studies, customized application development including desktop, server, and web applications, data conversion, and analysis. Tighe & Bond is an ESRI Business Partner that provides a close working relationship with one of the most popular GIS software vendors.

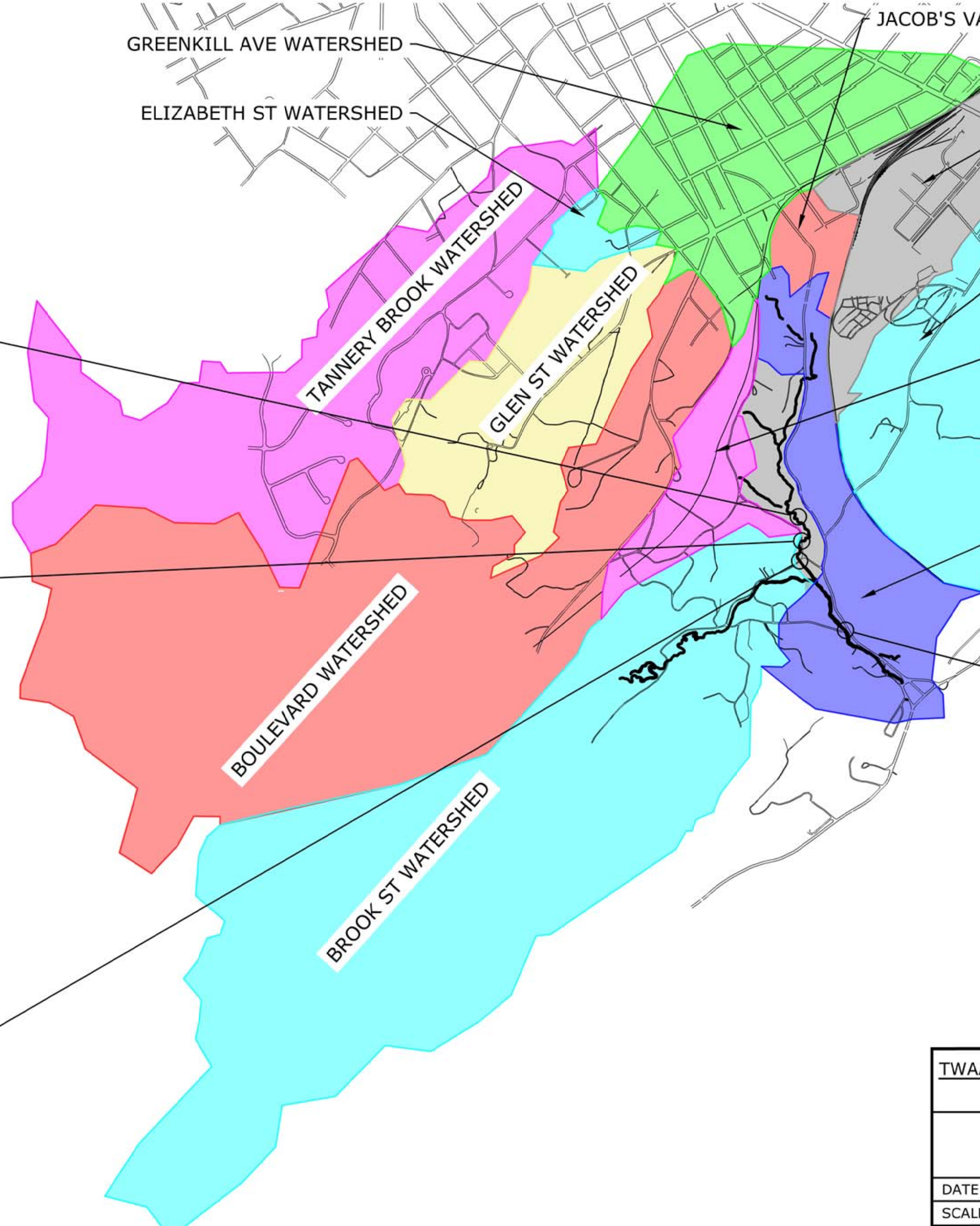
For the Town of New Canaan, Tighe & Bond converted the Town's sewer system map from an overall schematic plan of the system. The overall plan showed flow direction and pipe size as well as pump stations and notes on the pressurized parts of the system. The overall schematic was scanned and georeferenced to orthophotography and sewer main features were traced down the center of roadways. All data was captured in an ArcGIS geodatabase. Sewer customers were identified from Assessor records and placed on the map. Identification of the customers yielded the newly defined sewer district.

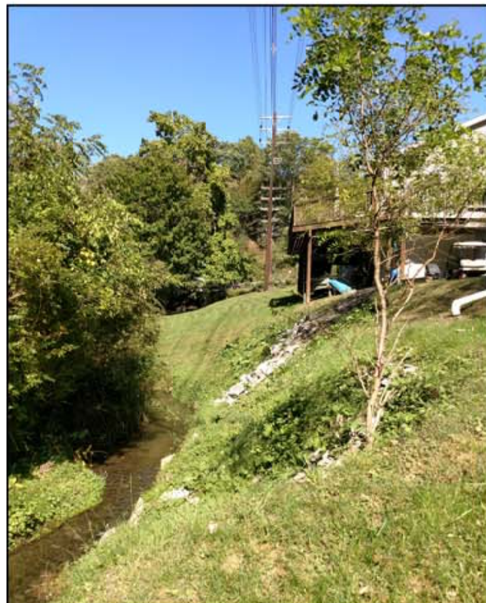
GENERAL FIRM OVERVIEW

Current Expertise

Tighe & Bond is staffed with over 320+ seasoned professionals, including professional engineers, environmental scientists and hydrogeologists. Working in teams, they share diverse expertise to benefit our clients. Professional registrations cover a wide range of disciplines; personnel specialize in the following areas:

- **Civil Engineering**
- **Coastal Engineering**
- **Demolition Specifications**
- **Electrical Engineering**
- **Environmental Engineering**
- **Geographic Information Systems (GIS)**
- **Geotechnical Engineering**
- **Health & Safety**
- **Instrumentation & Control**
- **Mechanical/HVAC**
- **Parking Consultancy**
- **Regulatory Compliance & Permitting**
- **Remediation**
- **Site Assessment**
- **Site Development**
- **Solid Waste Management**
- **Structural Engineering**
- **Traffic Engineering**
- **Transportation Planning**
- **Wastewater Management**
- **Water Resources Engineering**




WILBUR AVENUE


SOUTH WALL STREET

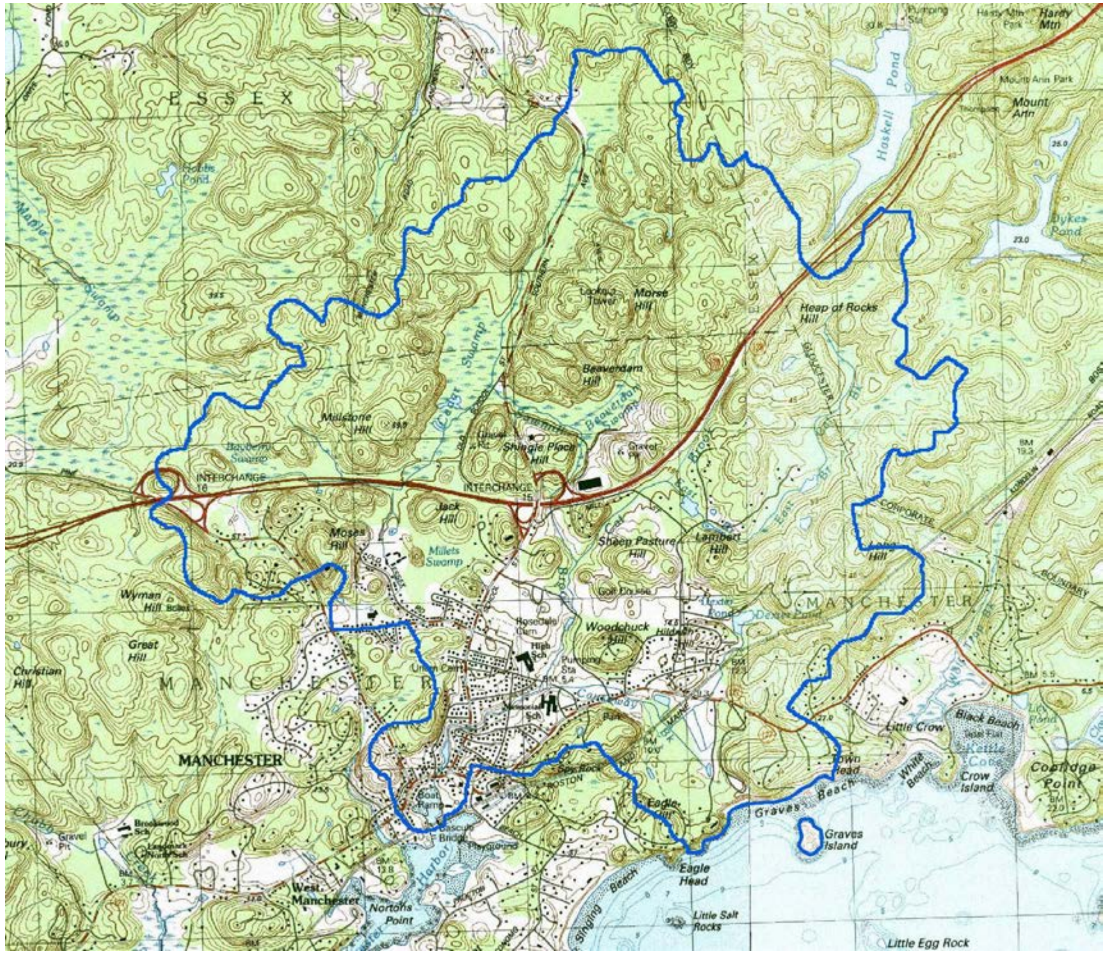

BROOK STREET


CHAPEL STREET

TWAALFSKILL CREEK STORMWATER ANALYSIS KINGSTON, NEW YORK	
TWAALFSKILL CREEK TRIBUTARY WATERSHEDS	
DATE: 12/15/2017 SCALE: NO SCALE	Tighe&Bond Engineers | Environmental Specialists SERVICES PROVIDED IN NEW YORK BY T&B ENGINEERING, P.C.

Dec 15, 2017-2:59pm Plotted By: Dtseche
Tighe & Bond, Inc. C:\Users\DTSeche\Desktop\Loose files\Kingston Twaalfskill Tributary Watersheds.dwg

Saw Mill Brook – Manchester-by-the-Sea, MA



44

Tighe&Bond
Engineers | Environmental Specialists

Tighe&Bond
Consulting Engineers
Environmental Specialists

Project Name:	**Sawmill Brook Watershed Analysis**
Project Number:	**M-1476-3-4**
Project Location:	**Manchester-by-the-Sea, MA**
Description:	**Existing Conditions CN & Tc Calculations**
Prepared By:	**CRD** Date: **September 8, 2015**

Designation: **Area 1**
Location:

Cover Type	Area, ac	CN	A x CN
Commercial - Soil Type A	0.0000000	89	0.0000
Commercial - Soil Type B	0.4813295	92	44.2823
Commercial - Soil Type C	0.2013695	94	18.9287
Commercial - Soil Type D	0.7687470	95	73.0310
Forest - Soil Type A	0.0000000	25	0.0000
Forest - Soil Type B	59.7666670	55	3287.1667
Forest - Soil Type C	4.5745470	70	320.2183
Forest - Soil Type D	22.3574500	77	1721.5237
Industrial - Soil Type A	0.0000000	81	0.0000
Industrial - Soil Type B	3.3827060	88	297.6781
Industrial - Soil Type C	1.5265560	91	138.9166
Industrial - Soil Type D	0.1003350	93	9.3312
Open Space - Soil Type A	0.0000000	39	0.0000
Open Space - Soil Type B	0.1823675	61	11.1244
Open Space - Soil Type C	0.1823675	74	13.4952
Open Space - Soil Type D	0.0000000	80	0.0000
Open Water	0.7380440	98	72.3283
Residential - Soil Type A	0.0000000	51	0.0000
Residential - Soil Type B	21.2265220	68	1443.4035
Residential - Soil Type C	3.0271120	79	239.1418
Residential - Soil Type D	5.8960520	84	495.2684
	124.4121720		8185.8382

Weighted CN: 66

Time of Concentration
(computed in accordance with ConnDOT Drainage Manual, Sec. 6C)

Overland				
Segment	**Surface "n"**	**Flow Length (ft.)**	**Slope (ft/ft)**	**Time (min.)**
Segment A - B	0.4	300	0.16	22.2

Shallow Concentrated Flow					
Segment		**Slope (ft/ft)**	**V (ft/s)**	**Length (ft)**	**Time (min.)**
Segment B - C	unpaved	0.11	5.35	610	1.9
Segment C - D	unpaved	0.02	2.28	230	1.7
Segment D - E	unpaved	0.15	6.25	210	0.6
Segment E - F	unpaved	0.01	1.61	620	6.4

Total Tc = 32.7 Min.

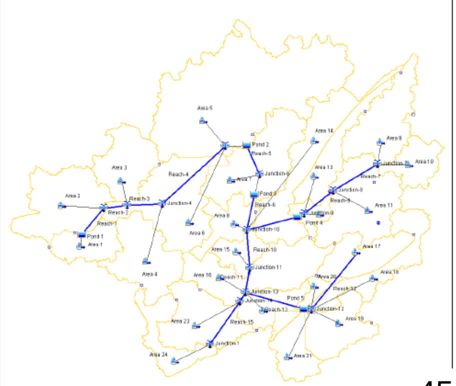
Note: Overland time of concentration computed using "Kinematic Wave" equation
Gutter and pipe time of concentration computed using Manning's equation

J:\M\M1476 Manchester MA Hydro Study\Task 4-Hydaulic Modeling\Calculations\CN & Tc.xlsm

Appendix A-3
Saw Mill Brook Culvert Summary

Culvert #	Stream	Street	Inlet Dimensions (ft)		Inlet Elevation	Doucet Inlet Elevation	Doucet Road Centerline	Top of Road	Outlet Dimensions (ft)		Outlet Elevation	Doucet Outlet Elevation	Top of Road	Length (ft)	# of Crossings	Culvert Type	Culvert	
			Width	Height					Width	Height							Material	Condition
2	Cedar Swamp	School Street	2.67	2.67	40.20	39.20	44.90	45.80	3.33	2.83	39.10	39.30	45.80	45.00	3	box culvert	Dry Stone	old
2a	Cedar Swamp	School Street	1.50	1.50	41.40	40.00	44.70	45.40	1.50	1.50	41.10	40..7	45.40			round culvert	clay pipie	
2b	Cedar Swamp	School Street	3.00	2.58	40.80	39.50	39.10	44.90	3.00	3.33	40.40	39.10	45.00			dry stone culvert box		
3	Sawmill Brook	School Street	15.35	6.58	40.10	38.40	48.10	50.10	15.35	6.58	40.20	38.40	48.90	58.00	1	open bottom arch	Metal	new
4	Sawmill Brook	Atwater Avenue	14.70	8.30		37.70	48.10		14.70	8.30		37.70		42.00	1	open bottom arch	Metal	old
5	Sawmill Brook	Conservation Winchester Drive	9.00	5.58	40.10			47.10	9.00	5.67	39.80		47.10	38.00	1	open bottom arch	Metal	rusted
6	Sawmill Brook	School Street	1.10	1.10	N/A			N/A	1.10	1.10	N/A		N/A	28.00	1	round culvert	Concrete	new
7	Cat Brook	Forrest Road	11.60	2.90	43.60			48.20	11.60	2.90	43.90		48.50	20.20	1	open bottom arch	Stone	old- collapsing
8	Cat Brook	Load Place	2.00	2.00	44.30			47.90	2.00	2.00	44.30		47.30	30.70				
9	Sawmill Brook	Pine Street	2.92	2.92	N/A			N/A	2.92	2.92	N/A		N/A	42.00				
10	Sawmill Brook	Rockwood Heights	1.83	1.58	N/A				1.83	1.25	N/A		N/A	25.00				
11	Cat Brook	Mill Street	12.50	3.70	33.50			40.40	12.00	5.58	31.70		40.50	20.10				
12	Sawmill Brook	Millet Lane	5.00	5.00	46.50			49.30	2.50	2.50	46.30		52.20	35.00				
13	Sawmill Brook	The Plains	5.00	2.00	45.80			51.20	5.00	2.75	45.00		51.80	40.00				
15	Sawmill Brook	Blue Heron Lane	2.50	2.50	N/A			N/A	2.50	2.50	N/A		N/A	28.00				
16	Sawmill Brook	Golf Course	12.00	9.42	11.50			21.60	11.50	9.58	11.40		21.60	20.00				
17	Sawmill Brook	Lincoln Street	12.00	6.00		8.70	17.30		12.00	6.00		8.60		50.00				

HEC-HMS
Project: MBTS
Basin Model : MBTS Watershed – Normal
Oct 09 13:58:03 EDT 2015



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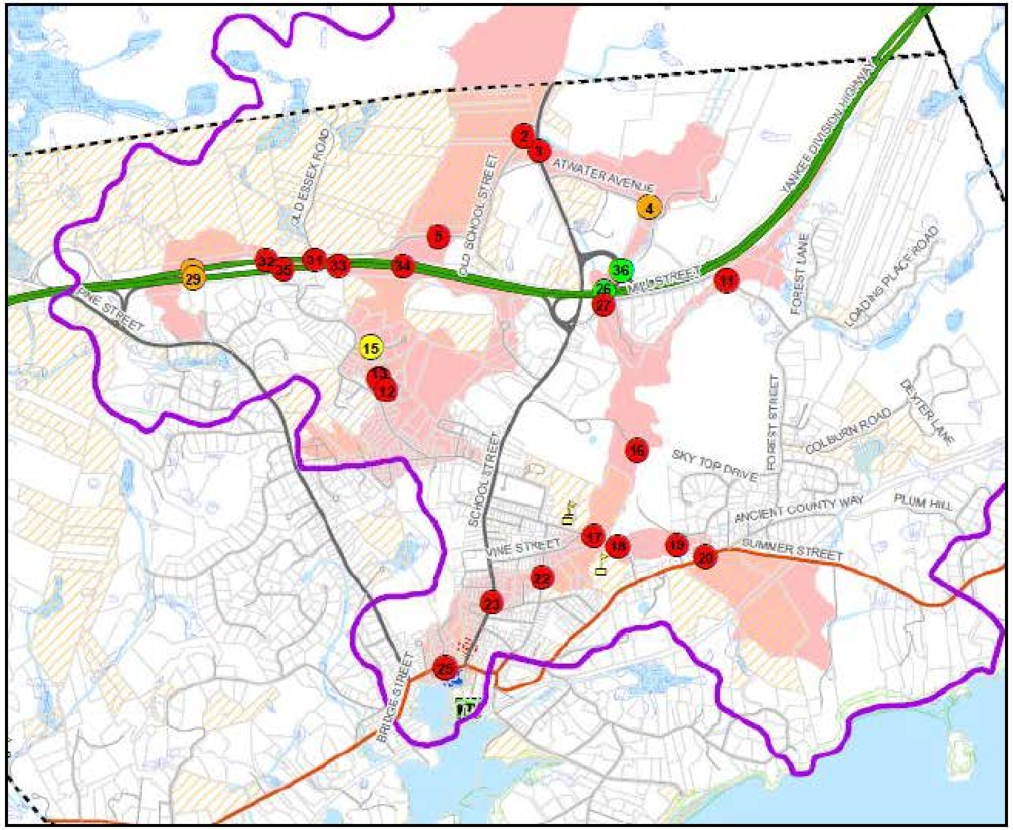

Stream	Culvert Crossing		Balanced Energy Use			Fossil Intense Energy Use		
	Location	Number	2025	2050	2100	2025	2050	2100
Sawmill Brook	Central Street	25						
	School Street	23						
	Norwood Avenue	22						
	Lincoln Street	17						
	Golf Course Driveway	16						
	Mill Street	27						
	Route 128	26						
	Route 128 Ramp	36						
	Atwater Avenue	4						
	School Street	3						
	Old School Street	2						
	Old Essex Road	5						
	Route 128	34						
	Route 128	31, 33						
	Route 128	32, 35						
	Route 128	28, 29						
Causeway Brook	Lincoln Street	18						
	Golf Course Driveway	19						
	Summer Street	20						
Cat Brook	Mill Street	11						
Millet Brook	Millet Lane	12						
	The Plains	13						
	Blue Heron Lane	15						

Precipitation Storm Event Frequency

25-year
50-year
100-year
>100-year

Shown above are culverts that will overtop during specific flood events in the year 2100 with a fossil intensive precipitation scenario and storm surge. Culverts shown in red will overtop during a 25 year storm, orange will overtop during a 50 year storm, yellow will overtop during a 100 year event, and culverts in green will not overtop even with a 100 year storm event. Areas of surficial flooding are shown in pink.

CHART 3-3 FLOOD PROFILE FOR EXISTING CONDITIONS SAWMILL BROOK MAIN STEM 2015 100-YEAR FLOOD

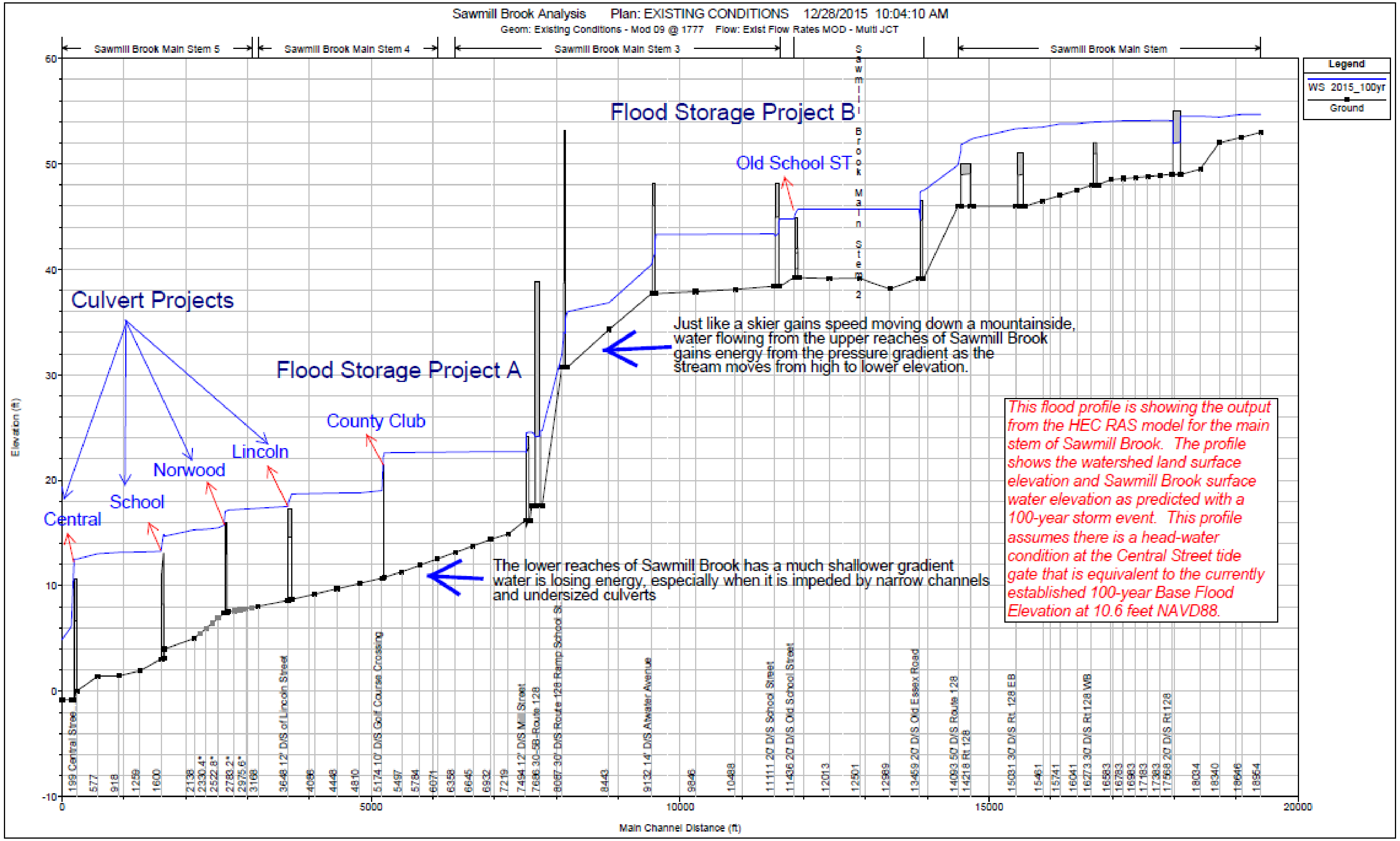

Table 4-5
Summary Table of Combined Flood Mitigation Projects

Project Elements	Modeling Iterations												
	1	2	3	4	5	6	7	8	9	10	11	12	13
Culvert Improvements*													
Central Street	X		X			X	X	X	X	X	X	X	X
School Street	X		X			X	X	X	X	X	X	X	X
Norwood	X		X			X	X	X	X	X	X	X	X
Lincoln						X	X	X	X				
Channel Improvements													
School –Norwood Widen	X		X			X					X	X	X
School-Norwood Widen and Deepen												X	X
Flood Storage													
Essex County Golf Course				X	X								
Old School Street		X	X		X	X		X					

4.4 Green Infrastructure

Tighe & Bond conducted an assessment of the potential benefit of installation of green infrastructure practices also known as Low Impact Development Best Management Practices (LID BMP's). For a complete description of the Green Infrastructure BMP Analysis, please refer to the Tighe & Bond Report, "Opportunities for Flood Mitigation within Sawmill Brook", July 30, 2015. As described in this report, opportunities to install green infrastructure throughout the watershed included the following locations:

- Parking lot abutting the Town Fire Station at 12 School Street;
- Parking lot for the Coach Field Playground;
- High School; and
- Elementary School.

Green infrastructure practices manage small areas of runoff compared to the overall Sawmill Brook watershed. Tighe & Bond evaluated these locations as part of the HEC RAS modeling. For example, for the Elementary School, we assumed that all of the existing pavement would be converted to permeable pavement, approximately 39,000 square feet. The curve number calculation for this location was adjusted for the land use coverage assuming that the area would be converted to permeable pavement. The runoff curve number dropped from 74 to 73, which is not significant. Additional model runs were performed to account for the reduced runoff curve number. We found that, under all modeling conditions, there was only a slight reduction (generally 2 cfs) in the flow rate downstream of the elementary school and that culvert overtopping was not reduced (i.e. the project would not have a significant impact on the water surface elevations).

Of the areas identified as potentially feasible for green infrastructure installation, the Coach Field Parking Area was selected by the Town to further explore the flood benefits from installation of porous pavement or LID BMPs.

4.4.1 Recommended Project - Porous Asphalt for Coach Field Parking

HEC-RAS modeling was evaluated for the potential benefit of installing porous asphalt in the Coach Field parking lot. Because the parking area is small (approximately 0.4 acres) in comparison to the overall watershed (approximately 3,400 acres), this improvement will have limited benefit to reducing flows during larger precipitation events (e.g. the 25, 50, and 100 year storms in 2025, 2050, and 2100 that range from 6.3 inches to almost 11 inches in a 24-hour storm). However, it will have some benefit during small storm events. In addition, installing porous aspahlt on the parking area will improve water quality and reduce thermal loading to Sawmill Brook. This project would consist of the following elements:

View of parking area from Norwood Avenue

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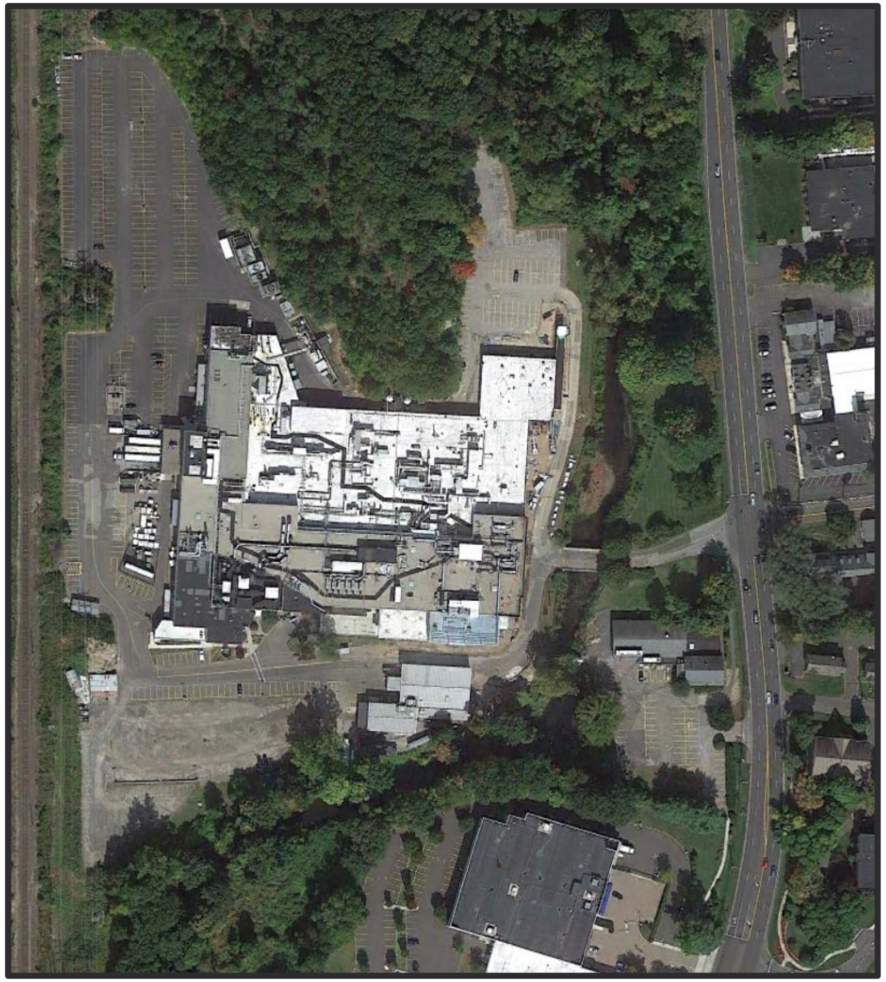
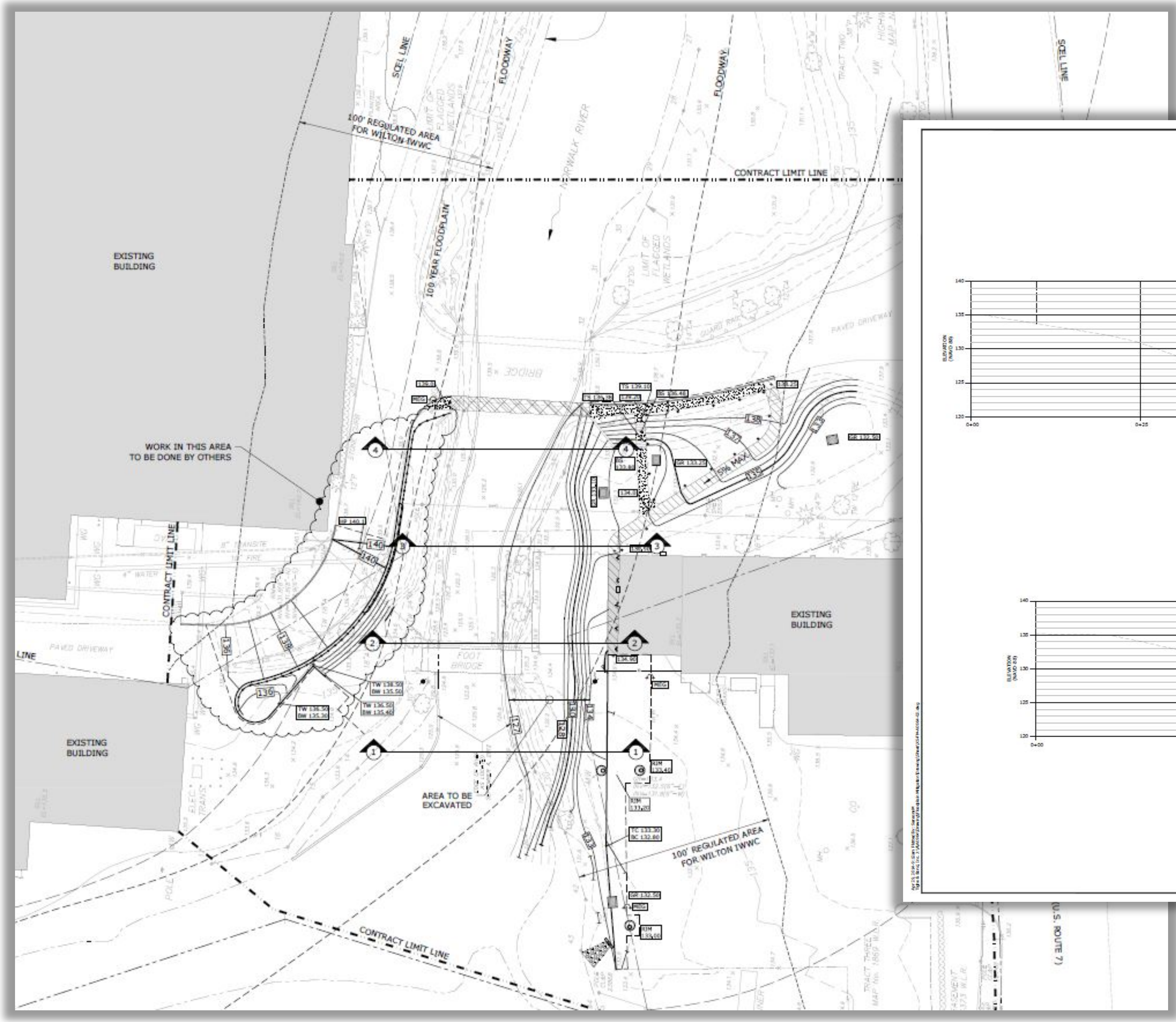
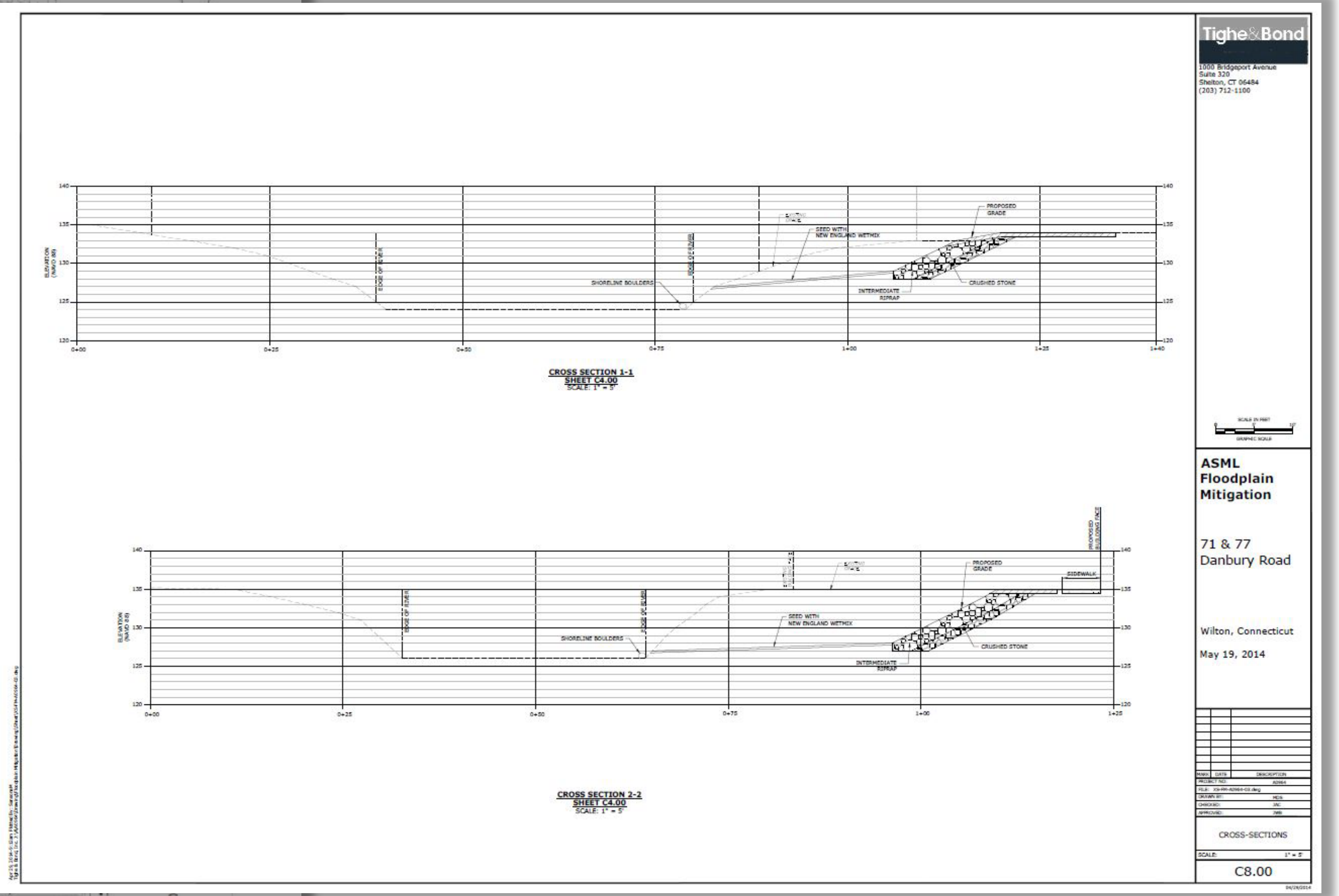
Services provided in New York by T&B Engineering, PC

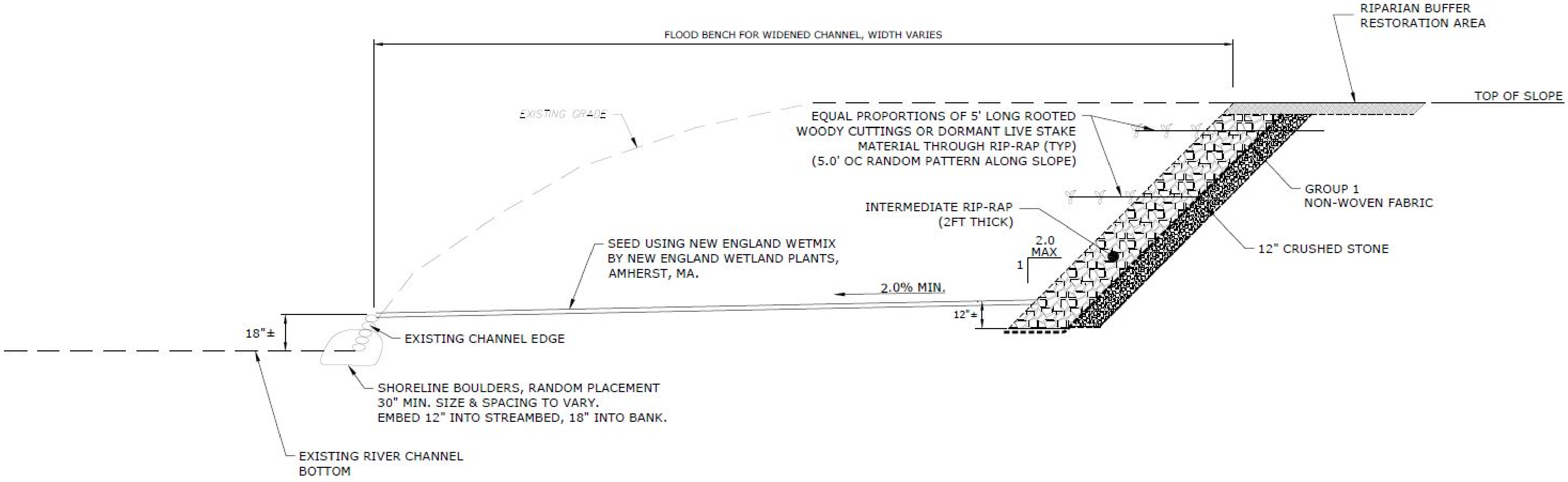
ASML – Wilton, CT




EXISTING BUILDING
WORK IN THIS AREA TO BE DONE BY OTHERS
100' REGULATED AREA FOR WILTON IWWC
CONTRACT LIMIT LINE
SCEL LINE
FLOODWAY
100 YEAR FLOODPLAIN
LIMIT OF FLAGGED WETLANDS
PAVED DRIVEWAY
BRIDGE
5% MAX
FOOT BRIDGE
AREA TO BE EXCAVATED
(U.S. ROUTE 7)


Tighe&Bond
1000 Bridgeport Avenue
Suite 320
Shelton, CT 06484
(203) 712-1100
PROPOSED GRADE
SEED WITH NEW ENGLAND WETMIX
SHORELINE BOULDERS
CRUSHED STONE
INTERMEDIATE RIPRAP
CROSS SECTION 1-1
SHEET C4.00
SCALE: 1" = 5'
SIDEWALK
CROSS SECTION 2-2
SHEET C4.00
SCALE: 1" = 5'
ASML Floodplain Mitigation
71 & 77 Danbury Road
Wilton, Connecticut
May 19, 2014
CROSS-SECTIONS
SCALE: 1" = 5'
C8.00

50



NOTES:

1. DORMANT LIVE STAKE MATERIAL SHALL BE PLANTED BETWEEN NOVEMBER 15 AND MARCH 15.
2. STAKES SHALL BE PLACED A MINIMUM OF 24" IN TO SOIL SUBSTRATE.
3. SHORELINE PROTECTION BOULDERS SHALL NOT PROTRUDE ABOVE THE BASE OF THE NEW CHANNEL. EMBED BOULDERS INTO CHANNEL BOTTOM.
4. REUSE EXISTING ON-SITE SHORELINE BOULDERS WHERE FEASIBLE.

TYPICAL RIVER CHANNEL WIDENING SECTION

¼"=1'–0"

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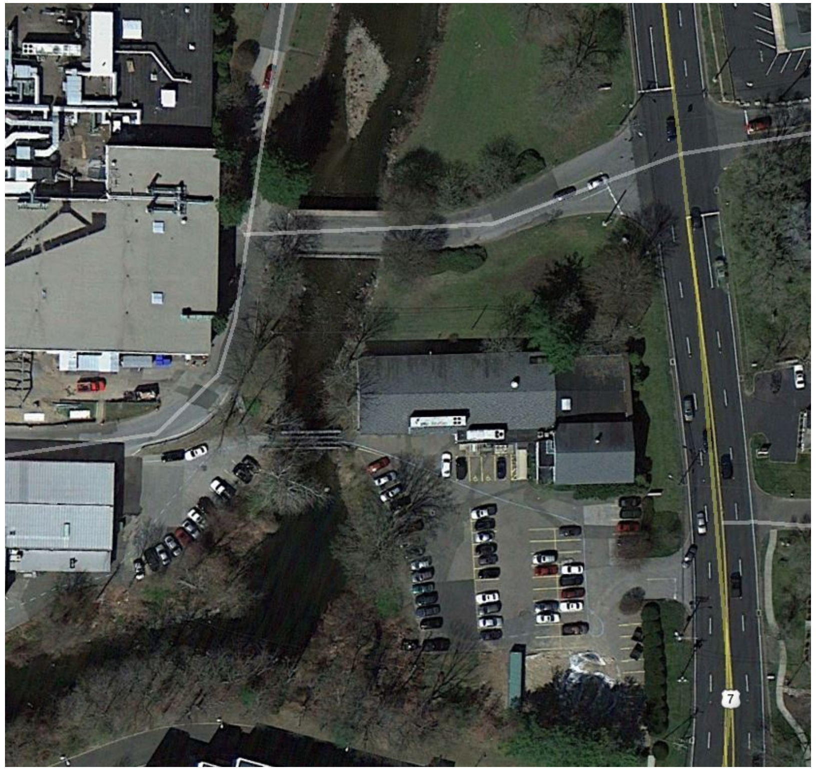
52

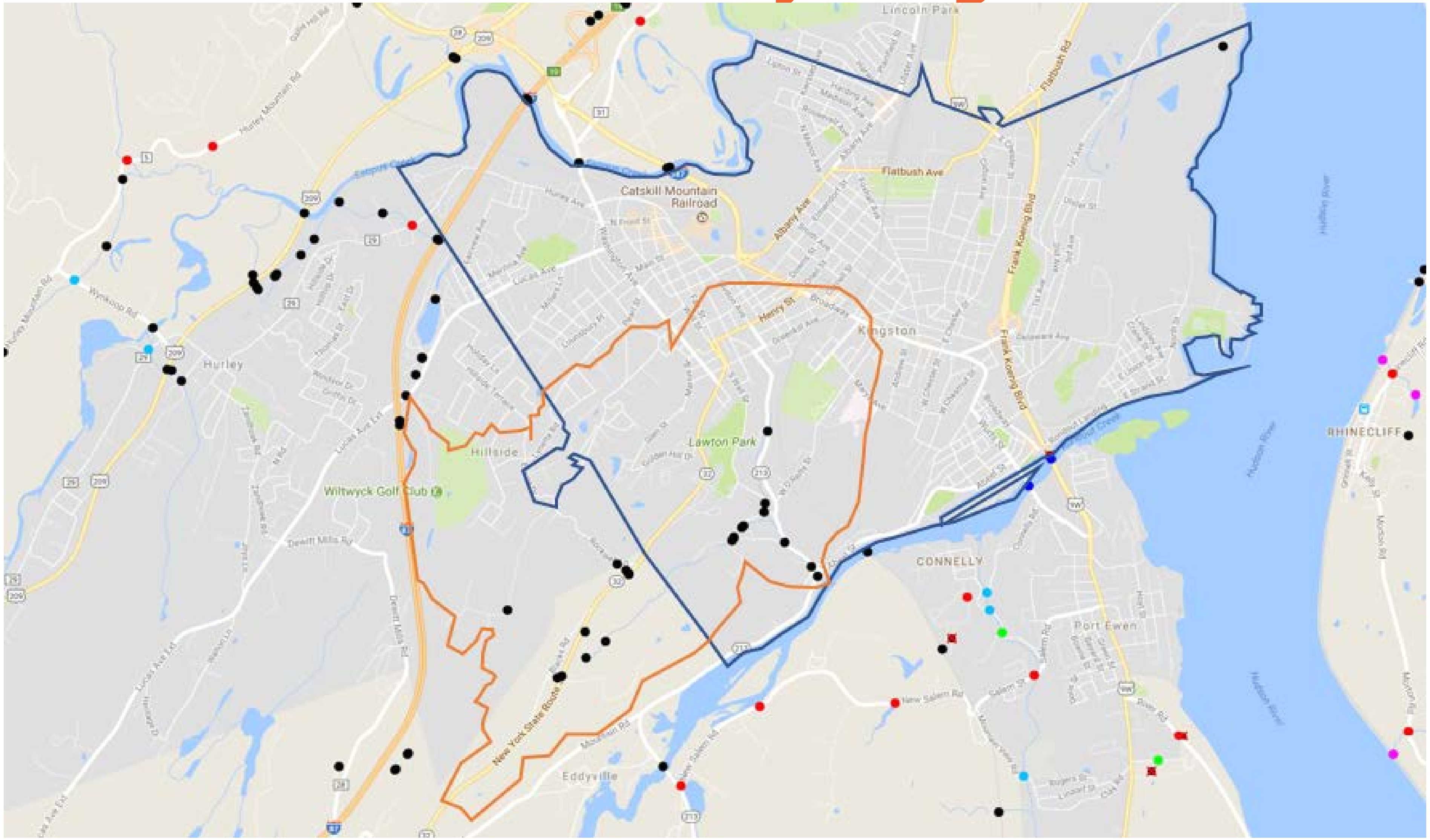


2012

2014

2016

Hudson River Estuary Program Grants



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Engineers | Environmental Specialists

NEIWPCC
New England Interstate Water Pollution Control Commission

NEW YORK STATE OF OPPORTUNITY | Department of Environmental Conservation

Hudson River Estuary Program (NYSDEC)

Request for Proposals
Restoration of Watershed Connectivity
February 2017

The New England Interstate Water Pollution Control Commission (NEIWPCC), in cooperation with the New York State Department of Environmental Conservation's (NYS DEC) Hudson River Estuary Program, is inviting proposals for projects that will help restore aquatic habitat connectivity for herring and eel, and reduce localized flood risks and conditions on Hudson River Estuary tributaries. NEIWPCC is accepting proposals to for the following three types of projects:

Project Type 1) Produce an inventory of road-stream crossings compiling field assessments of all culverts and bridges where a public road crosses a stream within an area specified by the applicant and using the protocols of the North Atlantic Aquatic Connectivity Collaborative (NAACC).

Project Type 2) Develop a municipal management plan for prioritizing & mitigating documented aquatic barriers (dams, bridges and culverts) to improve fish passage and reduce localized flooding caused by such barriers. The management plan should include conceptual-level barrier mitigation designs and at least one 100% shovel-ready design for mitigation. The management plan should be prepared for municipal adoption.

Project Type 3.) Produce 100% shovel-ready engineering designs for mitigating culverts that are barriers to fish migration at priority road-stream crossings assessed using NAACC protocols.

Each proposal is limited to one of three project types, however applicants may submit more than one proposal.

A total of $200,000 will be made available for this RFP and we expect to fund multiple projects from each of the three project lists. NEIWPCC reserve the right to shift amounts allocated to project types in order to fund qualified proposals and may partially fund proposals as funding allows. The estimated allocation of funds per category is: $50,000 for Project Type 1, $75,000 for Project Type 2 and $75,000 for Project Type 3.

Crossing Data:

Database Entry By: Ryan Morrison

Coordinator: Megan Lung

GPS to Crossing Distance (meters): 2

Crossing Code: xy4190651473987440

Date Observed: 07-18-2017

Town/County: Esopus, NY

Road: Millbrook road

GPS: Lat: 41.90661, Long: -73.98776

Location Description: Metal guard rails

Crossing Type: Culvert

Flow Condition: Typical low-flow

Tidal Site: No

Road Fill Height (feet) : 1.8

Bankfull Width Confidence: No data

Tailwater Scour Pool: None

Crossing Comments: Fish spotted at crossing

Entry Date: 07-19-2017

Last Updated: 09-05-2017

NHD-HUC8 Watershed: Rondout

Local ID: 49

Lead Observer: Ryan Morrison

Stream/River: Unknown

Type: Paved

Number of Culverts/Bridge Cells: 1

Crossing Condition: OK

Alignment: Skewed (>45°)

Bankfull Width (feet): No data

Constriction: Moderate

Evaluation of this stream crossing is estimated as: INSIGNIFICANT BARRIER

Structure Data:

Total Number of Culverts: 1 **This is culvert n**

Outlet Openness Ratio: 0.865

Outlet Shape: Box/Bridge with Abutments

Outlet Grade : At Stream Grade

Outlet drop to water surface (feet): 0.0

Structure Length: L = 34.3 Feet

Inlet Openness Ratio: 0.949

Inlet Type: Headwall and Wingwalls

Inlet dimensions (feet): A = 10.5; B = 3.1; C = 10.5; D = 0.50

Slope Percent: 0.4%

Internal Structures: None

Structure Substrate Matches Stream: Comparable

Structure Substrate Coverage: 100%

Severity: Minor

Water velocity matches that of the stream? No-Slower

Height above dry passage: No data

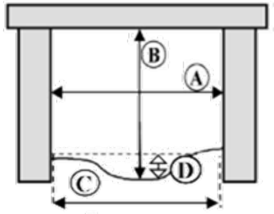
Structure Material: Concrete

Outlet Armoring: None

Outlet dimensions (feet): A = 10.6; B = 2.8; C= 10.6; D = 0.30 ; E= No data

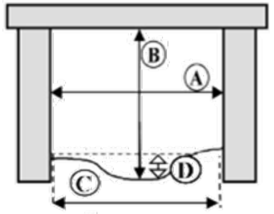
Outlet drop to stream bottom (feet): 0.0

Inlet Shape:



Box/Bridge with Abutments

Outlet Shape:



Box/Bridge with Abutments

https://www.streamcontinuity.org/cdb2/naacc_search_crossing.cfm

Organizational Chart

PROJECT MANAGEMENT

Dana C. Huff, P.E.
Principal-in-Charge

Erin K. Moore, P.E.
Project Manager

TECHNICAL LEADER

Joseph A. Canas, P.E., LEED AP, CFM

FIELD INVESTIGATIONS

Ryan Morrison, E.I.T
David Seche, E.I.T.

YEARS OF EXPERIENCE

39

SPECIALTIES

Site/Civil Design

Roadway & Traffic Improvements

Roadway Reconstruction

Community Revitalization

Brownfields Redevelopment

Solid Waste

Renewable Energy

LICENSES/REGISTRATIONS

Professional Engineer - CT (#28159)

Professional Engineer – MA (#34729)

Professional Engineer – NH (#13245)

Professional Engineer – NY (#96898

Professional Engineer – VT (#18-0006285)

EDUCATION

Bachelor of Science
Civil Engineering
Rensselaer Polytechnic Institute

PROFESSIONAL AFFILIATIONS

American Society of Civil Engineers

Solid Waste Association of North America, Southern New England Chapter

American Public Works Association

Institute of Transportation Engineers

Dana Huff is vice president and principal on assignments covering a variety of disciplines, with a primary focus on civil engineering projects. Utilizing technical, analytical, and engineering expertise, he has developed innovative and resourceful solutions to complex engineering challenges for municipal, state, commercial, private and international clients. Dana has assisted a number of clients negotiate contracts with more beneficial terms and conditions**.**

Professional Experience

Municipal/Public Work

- **Town of Trumbull On-Call:** Served as principal-in-charge for several environmental projects under an on-call engineering contract in Trumbull, CT; some of which include updating Trumbull's new MS4 Stormwater Management Plan, assisting Economic Development on several community revitalization projects, and assisting the Town with grant applications. Prepared two major grant applications for roadway and intersection improvements (including pedestrian access/sidewalks).

- **UConn Farmington/Hartford Campus Traffic Study:** Principal on a traffic circulation/connectivity project for both the Farmington Medical Campus and the new Hartford Campus. The project was awarded to Tighe & Bond through the Capital Region Council of Government (CRCOG)

- **Route 110 Traffic Study:** Served as principal-in-charge for Tighe & Bond's work with the CT METRO Council of Government (METRO COG) and the Town of Stratford, CT to develop a transportation engineering planning study that solves the recurring congestions, safety and mobility issues along Main Street (Route 110). The study included a public outreach initiative to advise affected agencies, local stakeholders, and the public.

- **CTDOT Traffic and Safety On-Call:** Serving as principal-in-charge for a contract with the CTDOT for task-based traffic and safety engineering services throughout CT. Tighe & Bond is calculating yellow and all-red clearance timings for 794 state-owned and maintained traffic control signals in District 1. Additional assignments include evaluation and design of warning and safety signage and pavement markings for approximately 1,500 dangerous non-state owned roadway curves in Districts 2 and 4.

- **Norwalk Street and Streetscape Improvements:** Served as principal-in-charge and worked with the Norwalk Redevelopment Agency to design roadway and streetscape improvements to enhance the "walkability" of the area of North Water Street adjacent to the Aquarium and improve patron access to businesses on North Main Street, Dana is also principal on the Smith Street improvements project in support of the Head of the Harbor development in Norwalk, CT.

- **Thomaston Roadway Improvements:** Served as principal-in-charge to investigate the parking needs for the Reeves Field athletic complex and the drainage and pavement improvements to 12,000 linear feet of roadway in Thomaston, CT.

- **Farmington MS4 Program:** Served as principal for Tighe & Bond's development of an updated MS4 stormwater management plan to comply with Connecticut's newly implemented MS4 General Permit.

- **Fairfield Kings Highway Pedestrian Corridor Improvements:** Serving as principal-in-charge on the Kings Highway roadway project that includes sidewalk replacement, pedestrian safety and ADA compliant accommodations. Also includes bicycle accommodations, as well as streetlight and streetscape improvements to enhance the walkability and safety of residents using the corridor to access the Metro North Rail Station and area businesses.

- **Windsor Locks Roadway:** Authored a successful $3.5 million grant application for Windsor Locks, CT to reconstruct a heavily traveled street serving residential, commuter, and industrial traffic. Included pedestrian access along both sides of the roadway. Also managed the design and construction through the Connecticut Department of Transportation review/approval process.

- **Gardner Kilby Hammond Bike Path:** Served as principal-in-charge for this project for the City of Worcester, MA. The bike path adds neighborhood benefits to the Gardner, Kilby, Hammond Street area of the City, as well as creating space for Clark University to develop a sports complex immediately adjacent to the new Boys and Girls Club, which will have access to the facility when completed.

- **Putnam Place Renovations:** Served as principal-in-charge for the City of Fitchburg's Putnam Place renovations, which included developing truck accessibility to this industrial/commercial space which is critical to the viability of downtown Fitchburg, MA.

- **Fitchburg Revitalization:** Served as principal-in-charge for this pedestrian/traffic enhancement project in downtown Fitchburg, MA. The goal is to improve traffic circulation on Main Street, increase parking, improve pedestrian safety, and improve the curb appeal with pedestrian friendly streetscaping to make Main Street more of an attraction.

- **North Adams Revitalization:** Served as principal-in-charge for the revitalization of North Adams, MA downtown area and the pedestrian approach to the Massachusetts Museum of Contemporary Art (Mass MoCA), including Main Street, sections of Route 2, and adjoining streets. The project challenges included meeting tight timelines while coordinating the project minimizing disruption to downtown businesses, and managing the review/approval through Massachusetts Department of Transportation.

- **Northampton Redevelopment:** Served as principal-in-charge on the redevelopment of severely blighted commercial property in Northampton, MA adjacent to the Connecticut River flood plain. Included significant storm water management versus parking need challenges combined with unsightly landscape at a major entrance to the City.

- **North Adams Brownfields Redevelopment:** Served as principal-in-charge for a brownfields redevelopment project in North Adams, MA. Assisted the city with converting a contaminated property into a developable property of mixed residential/commercial/retail space.

- **Dalton Roadway Reconstruction:** Served as principal-in-charge on a 2-mile, full-depth roadway reconstruction project in Dalton, MA that has difficult pedestrian/cross walk, street width, alignment, right of way, and stream crossing issues. One focus was to provide pedestrian access along the entire two-mile corridor to enhance and promote walkability. Managed the project through the Massachusetts Department of Transportation review/approval process.

- **North Adams Transfer Station:** Principal-in-Charge on design of a new solid waste transfer facility to increase the volume of solid waste transferred out of the Northern Berkshires. Provide ongoing evaluation of MSW and recyclables transfer station options for the City of North Adams, MA and the Northern Berkshire Solid Waste District. Review and evaluation of existing facilities and haul/disposal options.

- **West Springfield Transfer Station:** Project manager on developing a Request for Proposals for the City of West Springfield, MA to privatize their MSW and recyclable Transfer Station off Agawam Avenue and assisted the City with evaluations of the proposals received.

- **Worcester Streetscape Improvements**: Serving as principal-in-charge for streetscape improvements to Franklin and Plantation Streets. This $8 million project in Worcester, MA continues the City's downtown streetscape theme into adjacent neighborhoods. It includes pavement rehabilitation, sidewalk replacement with accent strips, pedestrian safety and ADA compliant accommodations, streetlight improvements, and streetscape improvements to the neighborhoods surrounding the expanded CSX freight terminal.

YEARS OF EXPERIENCE

17

SPECIALTIES

Wastewater Facility Evaluation & Design

Wastewater Collection Systems

Hydraulic Modeling

Water Treatment Facility Design

Stormwater Design

Permitting

LICENSES/REGISTRATIONS

Professional Engineer – NY (#84665)

Professional Engineer - MA (#46640)

Professional Engineer – CT (#28198)

Professional Soil Evaluator – (#13709)

EDUCATION

Bachelor of Science
Environmental Engineering
Wentworth Institute of Technology

Master of Science
Environmental Engineering
Worcester Polytechnic Institute

Professional Certificate
Technical Communications
Wentworth Institute of Technology

PROFESSIONAL AFFILIATIONS

New York Water Environment Association

New England Water Environment Association

NYSDEC Water Management Advisory Committee

Erin Moore is a project manager with experience in municipal water, wastewater and stormwater projects. Her project experience covers a broad range of analysis and design including evaluation phase studies, design development, permit procurement, construction document preparation, and construction phase services. She also has expertise in hydraulic modeling.

Professional Experience

Hydraulic Modeling

- **Darrow School Drainage Improvements:** Completed stormwater drainage area modeling in support of drainage improvements project which provided flood protection for historic Shaker buildings. Aided in obtaining FEMA funding for project construction. Coordinated with wetlands and archeological permitting agencies. Designed stormwater improvements including up to 42” drainage piping, and custom stormwater diversion structures, as well as traditional stormwater infrastructure.

- **Hartford Metropolitan District Commission Granby Street Sewer Separation:** Designed Storm Water Management Model (SWMM) to evaluate existing and proposed conditions for several miles of major sewer interceptor and associated overflow structures for combined system. Utilized data from preliminary design report, as-built drawings, survey, and city-wide model to identify specific concerns and constraints of the Granby Street system. Utilized modeling data to aid in development of sewer separation design and to review system impacts during construction.

- **Chicopee Long Term CSO Control Plan:** Provided modeling and analysis of 15,000 acre sewer shed including CSO regulator structures, interceptors, pump stations and other features of the combined sewer system in Chicopee, MA. Reviewed and prepared CSO control project alternatives. Assisted in completion and implementation of Long Term CSO Control Plan (LTCP). Conducted field investigation of the sewer and storm drain system. Collected extensive structure data by inspection and plan review. Prepared Draft LTCP report, including recommended project determination, and coordination with client, MADEP, and public. Prepared successful SRF loan application for first phase of LTCP.

- **Holyoke Long Term CSO Control Plan:** Provided modeling and analysis of 18,000 acre sewer shed including CSO regulator structures, interceptors, pump stations and other features of the combined sewer system in Holyoke, MA. Reviewed and prepared CSO control project alternatives. Utilized model data in development of LTCP first phase projects.

- **Peabody Lynn Street Sewer Replacement:** Investigated sewer capacity problems in Peabody, MA using as-built data, water use records and previous reports/studies. Developed estimates of sewer flows and inflow/infiltration. Created stormwater management model (SWMM) to determine problem sewer pipe sections and evaluate alternative solutions. Prepared study report with system improvement recommendations and presented study findings at public meeting. Prepared bid documents for replacement sewers in accordance with recommended improvements. Completed construction administration including coordination with client, contractor, and inspectors, review of submittals and information requests, and review of pay requisitions.

- **South Central Connecticut Regional Water Authority:** Evaluated alternative disinfection technologies for use at four wellfields and two surface water treatment plants for the South Central Connecticut Regional Water Authority. Prepared study comparing alternatives and developed layouts and cost estimates for recommended technology. Completed design of five disinfection systems based on study recommendations and prepared bid documents. Completed construction administration including coordination with client, contractor and product vendors, review of submittals and information requests, and review of pay requisitions.

- **New York City DEP Wastewater Cost Analysis:** Provided reproduction cost less new "RCNLD" cost analysis report for three wastewater treatment facilities and collection systems in NYCDEP watershed. Analysis required extensive wastewater system inventory, analysis of current process and equipment usage, and coordination with plant operators and NYCDEP legal department.

- **Chicopee WPCF Secondary Treatment Bypass Upgrade:** Assisted in design and bid document preparation of 15 MGD Chicopee WPCF secondary treatment bypass upgrade in Chicopee, MA Included pump station, chlorine contact chamber, and chemical feed systems.

- **Windsor Locks Infiltration & Inflow Analysis:** Completed infiltration and inflow analysis for Windsor Locks, CT including house-to-house inspections, smoke testing, dye testing, TV inspection, and manhole inspection. Coordinated sub-consultant work. Completed data analysis, improvement recommendations, and report preparation.

- **Amenia Wastewater Feasibility Study:** Prepared wastewater disposal evaluation to determine most appropriate and cost effective solution for the Town of Amenia. Project focused on alternative treatment technologies and was successfully used to obtain $3 million dollars in interest free project financing.

JOSEPH A. CANAS, P.E., LEED AP, CFM | *Project Manager*

YEARS OF EXPERIENCE

23

SPECIALTIES

Floodplain Management

Stormwater Management

Civil/Site Engineering

Site Development

LICENSES/REGISTRATIONS

Professional Engineer - CT (#20873)

Professional Engineer - NY (#086159-1)

Leadership in Energy and Environmental Design Accredited Professional (LEED AP)

Certified Floodplain Manager (US-13-0791)

EDUCATION

Bachelor of Science
Civil Engineering
University of Connecticut

PROFESSIONAL AFFILIATIONS

Association of State Floodplain Managers

Connecticut Association of Flood Managers, Vice-Chair

Rhode Island Floodplain Manager's Association

Joseph Canas is a project manager who has expertise in various civil engineering disciplines, including: stormwater collection systems, roadway design, floodplain modeling, construction administration, and subsurface sewage disposal systems. He has been involved in all phases of project execution from planning through design and construction. He is a LEED AP and Certified Floodplain Manager.

Professional Experience

Civil/Site

- **ASML Floodplain Mitigation Evaluation:** Serving as project manager for the ASML Floodplain Mitigation project on the Norwalk River in Wilton, CT. Evaluated a series of measures to reduce floodplain exposure for the ASML facility. The selected alternative involves removing an existing pedestrian bridge, a portion of an existing building, and widening the channel. Preparing USACOE and CTDEEP permit applications. Proposed improvements will lower floodplain elevations through the facility by 2 feet, and improve upstream flooding conditions at Arrowhead Way by one foot. Secured federal, state, and local approvals for work within the Norwalk River, and prepared construction documents for bidding.

- **General Permit for the Discharge of Stormwater from Municipal Separate Storm Sewer Systems (MS4):** Gave three presentations to the Environmental Business Council, as well as a presentation to the Connecticut Conference of Municipalities and the Connecticut Association of Zoning Enforcement Officers on the requirements of the new MS4 permit. Prepared stormwater management plan updates for the reissued permit, effective July 1, 2017 for the communities of Trumbull, Darien, Farmington, Monroe, New Canaan, and Newington. Prepared annual reports for the Town of Trumbull.

- **Letters of Map Revision, Trumbull:** Provided floodplain engineering services to update hydarulic analyses to successfully revise the locations of the floodplain boundary for portions of Tributary A to Horse Tavern Brook and Tributary F to the Pequonoc River. In both cases, the floodboundaries shown in the Flood Insurance Study were inconsistent with local flooding observations, so we modiied the existing studies as appropriate to reflect existing confditions. In both cases, an application was made to, and ultimately accepted by, FEMA.

- **CTDEEP General Permit for the Discharge of Stormwater Associated with Industrial Activities:** Provided services for registration under the General Permit for the Southington Water Pollution Control Facility and the Trumbull Department of Public Works Garage. Prepared Stormwater Pollution Control Plans, provided sampling, monthly reporting, training, semi-annual comprehensive site visits, and quarterly visual monitoring as required by the permit.

- **Washington Village, Norwalk:** Serving as project manager for the CTDEEP Floodplain Management Certification for the redevelopment of Washington Village in Norwalk, CT. Prepared a Floodplain Management Certification application for the project documenting that the project would not adversely impact flooding, and also prepared a request for exemption based upon intensity of floodplain development. CTDEEP approved the exemption request, the first of its kind for public housing in the State of Connecticut.

- **Manchester-by-the-Sea Coastal Resiliency Study.** Provided technical support for the modeling of flood risk associated with riverine and coastal flooding in downtown Manchester-by-the-Sea and along Saw Mill Brook. The analysis accounted for the combination of sea level rise along with increased precipitation in the Saw Mill Brook watershed to identify the flood exposure for critical municipal infrastructure, including emergency response facilities, as well as identification of culverts in the watershed at greater risk of overtopping based upon an analysis of mid-range precipitation projections.

- **Metro Green Apartments & Residences:** Serving as project manager for the Metro Green project for Empire State Realty Trust and Jonathan Rose Companies in Stamford, CT. The site development will be one of Connecticut's foremost transit-oriented and premier mixed-income communities. Metro Green involves mixed use of 350,000 square feet of Class A office and 238 units of residential housing, to be located adjacent to existing Intermodal Transportation Center and a 650-space parking garage. 100 residential units in two buildings have already been completed, and have achieved LEED Gold certification

- **Elton Rogers Park Dam Rehabilitation:** Prepared the hydraulic and hydrology analysis of the reconstruction of the existing dam at Elton Rogers Park in Bridgeport as part of the first phase of the six phase Ox Brook Flood control project. In addition to improvements at the dam, the project included an evaluation of downstream flooding conditions along ox Brook to Lourmel Street. This section of Ox Brook includes segments of open channel flow, and closed-conduit flow through a heavily urbanized area.

- **Stormwater Management Peer Reviews:** Served as project manager for peer reviews of land use applications involving stormwater management in Darien and Canton, CT. The reviews have included small single-family developments to large commercial and institutional developments. Prepared reviews in a timely manner and offered testimony and

- **Trumbull Sanitary Sewers, Contract 3:** Served as the project manager for third party evaluation of construction, including review of payment requisitions, as-built drawings, and video records. Made recommendations for follow-up video inspections and field investigations. Compiled report to present before the Trumbull WPCA.

- **City of Norwalk Fire Department Headquarters:** Served as project manager for the demolition and construction of a new headquarters building and fire station for the City of Norwalk. Project included completely new utility connections and a new stormwater management system. The project was located between two CTDOT projects for the widening of adjacent roadways, and required close coordination between the two projects to ensure that the projects would interface appropriately. Due to the unique shape of the site (300 feet long by 60 feet wide), several variances were required and obtained from the City. Designed an enabling project involving a 1,100 square foot addition to Station #4 on Westport Avenue to house one of the crews displaced by the construction of the new headquarters facility.

- **Ridgefield Library:** Managed the civil engineering design of a project involving the demolition of existing additions to the Ridgefield Library, and a new subsequent addition that would add 44,000 square feet to the historic 1903 facility. Designed new utility connections to serve the building and updated the on-site stormwater management system to provide significant water quality improvements, eliminating flow of untreated stormwater to Ridgefield Brook. Due to neighborhood flooding concerns, analyzed Ridgefield Brook to Grove Street to demonstrate the project would have no adverse impact.

- **Darien Stormwater Management Regulations:** Developed new stormwater management regulations for the Town of Darien, CT to address stormwater quality for new development. Recommended documentation guidelines aimed at promoting the Town's stormwater management goals without overwhelming the Town review agencies with additional workload. The Planning and Zoning Commission adopted the regulations, which are also referenced by the Environmental Protection Commission. Reviewed multiple projects on behalf of Town land use boards for compliance with the Town's stormwater management regulations.

- **The Reserve:** Served as a project manager for this planned neighborhood development project in Danbury, CT. Designed stormwater management plans for various commercial and residential developments on the 545-acre site. Coordinated permitting for the developments, including City Site Plan, City Environmental Impact Commission, Dam Construction Permit, Water Diversion Permit for Nonconsumptive Uses and 401 Water Quality Certification with CT DEP, and a Category 2 PGP Permit with the Army Corps of Engineers.

RYAN MORRISON | *Staff Engineer*

YEARS OF EXPERIENCE

5

SPECIALTIES

Stormwater Management

Water Resources

Civil/Site Design/Land Development

Municipal Engineering

Soil Erosion & Sediment Control

Transportation

Athletic Field Design

LICENSES/REGISTRATIONS

NAACC Coordinator and Observer

Massachusetts Title 5 Soil Evaluator

New York Stated Erosion and Sediment Control

New York State Building Safety Inspector

EDUCATION

Bachelor of Science
Civil Engineering
Wentworth Institute of Technology

PROFESSIONAL AFFILIATIONS

American Society of Civil Engineers

Ryan Morrison is a staff engineer with 5 years of experience in the design, permitting, bidding and construction administration of land development projects. His experience includes work on various private and municipal projects. Projects have included parking lot and roadway design, water and sewer main replacement/ rehabilitation projects, commercial development and athletic facility design projects. Ryan is proficient in Auto CAD Civil 3D, HydroCAD modeling.

Professional Experience

Stormwater Managment

- **Culver Assessment and Prioritization:** Serving as the lead coordinator and observer for four (4) Town-wide Road and Stream Crossing inventory projects located in Albany, Green, Ulster and Dutchess County. Using the Certification obtained from training provided by NAACC in conjunction with field training provided by New York State Department of Environmental Conservation (NYSDEC) for collecting information regarding road and stream crossings. Worked with the NYSDEC to provide a town-wide road and stream crossing prioritization study for a municipality. The prioritization study included comprehensive collaboration with municipal steak holders including DPW department and watershed stake holders.

- **Stormwater Modeling:** Authored numerous stormwater reports in New York and Massachusetts. Has modeled stormwater rain events for project development sites and municipal resiliency analysis including pre- and post run-off conditions using HydroCAD and Storm and Sanitary Analysis.

- **Stormwater Pollution Control:** Performed various stormwater pollution control inspections and reports to verify the contractors' compliance with stormwater pollution control plans.

- **Erosion and Sediment Control Plans:** Provided numerous erosion and sediment control plans for various types of sites. Erosion and Sediment Control Plans were for sites including small commercial development, solar panel arrays, municipal applications and athletic facility sites.

Water Resources

- **Dam Safety Inspection and Engineering Assessment:** Authored hazard and hydraulic assessments for Class B dams for review by the New York State Department of Environmental Conservation. Assessments included hydraulic and Civil 3D modeling of the site necessary to quantify the runoff and determine downstream impacts.

- **New York State Bathing Beach Permit:** Authored and engineering report for the purposes of permitting including watershed modeling, hazard assessment and providing of plans necessary for permitting with the New York State Department of Health.

- **50 year Drought Study:** Provided a 50 year drought study of a supply pond for a community fire department. The report provided analysis of the ponds ability to with stand drought consistent NFPA 1142

Civil/Site

Town Hall Parking Lot Improvements Project: Serving as the design engineer and construction administrator for a Town Hall Parking Lot Improvements Project. Site improvements included lighting, ADA improvements, expansion of the parking lot and stormwater design. During the construction phase of the project he supervised weekly inspections of the site, provided reporting of project progress to the client to document project timeline and phasing and negotiated change orders with the contractor. The project also included management of a grant which required additional coordination between county agencies and the municipality.

- **Spa Facility Rehabilitation Project:** Served as the design engineer for a project to rehabilitate a 90 year old spa facility. Site design considerations included new New York State Department of Transportation compliant entrances, ADA accessibility, underground utilities including special provisions for spa equipment, water (fire and potable), electric and a new sewer connection. Other site considerations included site lighting and emergency access. The project required extensive coordination between the municipality, state and the client.

- **Commercial Site Plan Applications:** Succeeded on various site planning projects for commercial development. Including site plan applications, State Environmental Quality Review Act (SEQRA) permitting and the necessary state permitting to shepherd the various commercial applications and clients through the site plan process. Tasks included presenting the projects to the planning and zoning boards and coordination with municipal engineers and attorneys.

- **Site Cut/Fill Analysis:** Provided cut fill analysis for large commercial trucking facility with extensive site work including a site access road, parking lot and a 300,000sf distribution facility.

Municipal Engineering

- **Pump House Rehabilitation Project:** Served as the design engineer and construction inspector for a pump house rehabilitation project which included the replacement of valves, metering devices and ductile iron pipe within the pump house as well ductile iron pipe outside of the pump house prior to tying into the community water system. The project included coordination of the shutdown of the community's water system while the work was being performed and testing required by Ten States standards to bring the new equipment back online.

- **Municipal Stormwater Rehabilitation Project:** Assisted in the design and performed daily construction inspections for a Town's municipal stormwater system. Project included 1000s of lf of stormwater line replacement, manhole rehabilitation, and structural and non-structural lining of stormwater lines.

- **Roadway Redesign:** Performed an engineering survey and provided a design for two (2) Town Roads for a total length of 2,500 ft. Designs included reconfiguring horizontal and vertical alignments, tying into existing property on either side of the roadways, providing under draining and necessary stormwater management, replacement of necessary utilities and design of pedestrian access and parking.

Transportation

- **Pedestrian Improvement Projects:** Provided initial engineering reports and coordinated with the New York State Department of Transportation for projects which included improvements to pedestrian access and bike lanes within a city.

- **Commercial Parking Lot Assessment:** Authored an engineering report for a commercial complex to include and evaluation of quantity of parking, condition of parking lot and traffic circulation.

- **Bus Stop and Pedestrian Access Project:** Served as a design engineer for a project to formalize and existing bus stop. Tasks included helping put together the application for grant funding and design of the site features include the bus pull off, drainage, sidewalks and site amenities.

DAVID T. SECHE | *Staff Engineer*

YEARS OF EXPERIENCE

2

SPECIALTIES

Wastewater

Odor Control

USDA Rural Development Program

LICENSES/REGISTRATION

Intern Engineer

EDUCATION

Bachelor of Science
Civil Engineering
Cornell University

10-Hour OSHA General Industry Safety Training

David Seche is a staff engineer with a focus on wastewater and municipal engineering. He is a recent graduate from Cornell University where he earned his Bachelor of Science in Civil Engineering. He has experience in wastewater treatment data analysis and design; inflow and infiltration evaluation and improvement recommendations; hydraulic modeling; odor control technologies; construction document preparation; and USDA Rural Development applications and documents.

Professional Experience

Wastewater

- **Greenfields Wastewater Treatment Facility Rehabilitation:** Served as staff engineer in the evaluation and design of improvements to the wastewater treatment plant. Prepared specifications for the treatment plant rehabilitation.
- **Greenfields Collection System Inflow and Infiltration Evaluation:** Served as staff engineer in the evaluation of I/I within the collection system and development of recommendations to reduce overall flows to the wastewater treatment plant.
- **Kingston, NY Combined Sewer Separation Plan:** Served as staff engineer in the evaluation and design of a combined sewer separation plan to reduce total flow to the wastewater treatment plant and reduce combined sewer overflow activations.
- **Salisbury, CT Collection System Inflow and Infiltration Evaluation:** Served as staff engineer in the evaluation of I/I within the collection system and development of recommendations for reducing I/I, including cured-in-place pipe lining and manhole sealing.
- **South End Road Pump Station Design:** Assisted in the development of design alternatives for the upgrade of the pump station, including determining current and future flows.
- **Chicopee, MA Combined Sewer Overflow Annual Report:** Performed modeling of CSOs and sewer interceptors using the EPA Storm Water Management Model.

Odor Control

- **School Street Pump Station:** Evaluated alternatives for treatment of elevated hydrogen sulfide levels at the pump station. The evaluation included liquid and vapor phase alternatives including potassium permanganate dosing, carbon scrubbing, chemical scrubbing, and biofiltering systems.

USDA Rural Development Program

- **Salisbury, CT Various Wastewater Improvements Project:** Prepared the grant application and developed the preliminary engineering and environmental reports characterizing the engineering effort and environmental impacts related to various improvements for a wastewater treatment plant and pumping station.
- **Lanesborough, MA Water Main Extension:** Prepared the grant application and developed the preliminary engineering and environmental reports characterizing the engineering effort and environmental impacts related to an extension of the municipal water system.

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K-0094-0-P002
October 25, 2017

Ralph Swenson, P.E., City Engineer
City of Kingston
420 Broadway
Kingston, NY 12401

Re: **Twaalfskill Creek Stormwater Analysis – Engineering Services**
Price Proposal

Dear Mr. Swenson:

In this price proposal, we have included the engineering hours and fees for the Twaalfskill Creek Stormwater Analysis Engineering Evaluation project.

As described in our proposal we have presented the work in five tasks. While we have presented the hourly rates and estimated hours on the attached table, we recommend that the contract be awarded in a lump sum format billed by percentage complete, under five project tasks identified as:

1. Watershed Delineation
2. Existing Conditions Documentation
3. Hydraulic & Hydrologic Analysis
4. Recommended Improvements
5. Reporting

We will still provide our hours spent per task, but this format will be much simpler for staff to review and understand project status.

We would like to note that as part of this proposal we have not included use of sub-consultants for survey. For the purposes of modeling and conceptual improvements we feel that the existing topographic data and field measurements are sufficient. Should the City wish to extend the scope of preliminary engineering, survey of areas with recommended improvements could be obtained.

Additionally, we have reviewed the City's required insurance requirements and affirm that we have adequate coverage. We have provided sample insurance certificates for the City's review.

We would be pleased to discuss this price proposal with you or any other component of our proposal.

Very truly yours,

T&B Engineering, P.C.

Erin K. Moore, P.E.
Project Manager
(845) 835-8080
ekmoore@tighebond.com

Dana C. Huff, P.E.
Vice President / Director
(203) 712-1122
dchuff@tighebond.com

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Twaalfskill Creek Stormwater Analysis
Engineering Services Fee Schedule

Task	Principal	Senior Project Manager	Technical Leader H&H Analysis	Project Engineer	Staff Engineers	GIS Technician	Admin.	Total Hours	Reimbursable	Labor Effort	Total Task Cost
	$235	$180	$175	$120	$90	$80	$78				
1.0 Watershed Delineation	0	5	10	0	18	6	0	39	$ 130	$ 4,270	$ 4,400
2.0 Existing Conditions Documentation	0	3	4	0	24	0	0	31	$ -	$ 3,500	$ 3,500
3.0 Hydraulic & Hydrologic Analysis	0	2	18	80	26	8	0	134	$ -	$ 16,600	$ 16,600
4.0 Recommended Improvements	0	2	20	72	18	0	0	112	$ -	$ 14,500	$ 14,500
5.0 Reporting	8	10	12	18	8	4	3	63	$ 410	$ 9,490	$ 9,900
Sub- Total	8	22	64	170	94	18	3	379	$ 540	$ 48,360	**$ 48,900**

OP ID: SS

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY): 10/02/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER
Poole Professional Ltd.-TM
107 Audubon Rd. #2, Ste. 305
Wakefield, MA 01880
Thomas M. Mullard

CONTACT NAME:
PHONE (A/C, No, Ext): | FAX (A/C, No):
E-MAIL ADDRESS:
PRODUCER CUSTOMER ID #: TIGHE-1

INSURER(S) AFFORDING COVERAGE	NAIC #
INSURER A : Continental Casualty Company	20443
INSURER B :	
INSURER C :	
INSURER D :	
INSURER E :	
INSURER F :	

INSURED
Tighe & Bond, Inc.
Engineering Services in NY
Provided by:
T&B Engineering, P.C.
53 Southampton Road
Westfield, MA 01085

COVERAGES | CERTIFICATE NUMBER: | REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
A	GENERAL LIABILITY X COMMERCIAL GENERAL LIABILITY CLAIMS-MADE X OCCUR X Business Owners GEN'L AGGREGATE LIMIT APPLIES PER: POLICY X PRO-JECT LOC			6045349069 INSURANCE IS PRIMARY	10/01/2017	10/01/2018	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence) MED EXP (Any one person) PERSONAL & ADV INJURY GENERAL AGGREGATE PRODUCTS - COMP/OP AGG	\$ 1,000,000 \$ 1,000,000 \$ 10,000 \$ 1,000,000 \$ 2,000,000 \$ 2,000,000 \$
A	AUTOMOBILE LIABILITY ANY AUTO ALL OWNED AUTOS X SCHEDULED AUTOS X HIRED AUTOS X NON-OWNED AUTOS			6045349024 MA, CT	10/01/2017	10/01/2018	COMBINED SINGLE LIMIT (Ea accident) BODILY INJURY (Per person) BODILY INJURY (Per accident) PROPERTY DAMAGE (PER ACCIDENT)	\$ 1,000,000 \$ \$ \$ \$ \$
A	X UMBRELLA LIAB X OCCUR EXCESS LIAB CLAIMS-MADE DEDUCTIBLE X RETENTION \$ 10,000			6045339223	10/01/2017	10/01/2018	EACH OCCURRENCE AGGREGATE Follow Form	\$ 5,000,000 \$ 5,000,000 \$ \$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y/N ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? N (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	N / A		6049563571 MA, CT, NH	05/01/2017	05/01/2018	X WC STATU-TORY LIMITS OTH-ER E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE E.L. DISEASE - POLICY LIMIT	 \$ 1,000,000 \$ 1,000,000 \$ 1,000,000
A	Business Owners			6045349069	10/01/2017	10/01/2018	Valuable Papers	1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

CERTIFICATE HOLDER

PROPO-1

For Proposal Use Only

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

Th Mullard

OP ID: LL

ACORD

CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 04/10/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME:	
Poole Professional Ltd.-TM 107 Audubon Rd. #2, Ste. 305 Wakefield, MA 01880 Thomas M. Mullard	PHONE (A/C, No, Ext):	FAX (A/C, No):
	E-MAIL ADDRESS:	
	PRODUCER CUSTOMER ID #: TIGHE-1	
	INSURER(S) AFFORDING COVERAGE	NAIC #
INSURED Tighe & Bond, Inc. Engineering Services in NY provided by T&B Engineering, P.C. 53 Southampton Road Westfield, MA 01085	INSURER A : XL Specialty Insurance Co.	37885
	INSURER B :	
	INSURER C :	
	INSURER D :	
	INSURER E :	
	INSURER F :	

COVERAGES **CERTIFICATE NUMBER:** **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS	
	GENERAL LIABILITY COMMERCIAL GENERAL LIABILITY CLAIMS-MADE / OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: POLICY / PRO-JECT / LOC						EACH OCCURRENCE	$
							DAMAGE TO RENTED PREMISES (Ea occurrence)	$
							MED EXP (Any one person)	$
							PERSONAL & ADV INJURY	$
							GENERAL AGGREGATE	$
							PRODUCTS - COMP/OP AGG	$
								$
	AUTOMOBILE LIABILITY ANY AUTO ALL OWNED AUTOS SCHEDULED AUTOS HIRED AUTOS NON-OWNED AUTOS						COMBINED SINGLE LIMIT (Ea accident)	$
							BODILY INJURY (Per person)	$
							BODILY INJURY (Per accident)	$
							PROPERTY DAMAGE (PER ACCIDENT)	$
								$
								$
	UMBRELLA LIAB / OCCUR **EXCESS LIAB** / CLAIMS-MADE DEDUCTIBLE RETENTION $						EACH OCCURRENCE	$
							AGGREGATE	$
								$
								$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY Y / N ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? **(Mandatory in NH)** If yes, describe under DESCRIPTION OF OPERATIONS below	N / A					WC STATU-TORY LIMITS / OTH-ER	
							E.L. EACH ACCIDENT	$
							E.L. DISEASE - EA EMPLOYEE	$
							E.L. DISEASE - POLICY LIMIT	$
A	Prof., Environ.			DPR9912946	04/14/2017	04/14/2018	Per Claim	3,000,000
A	& Pollution Liab.						Aggregate	5,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

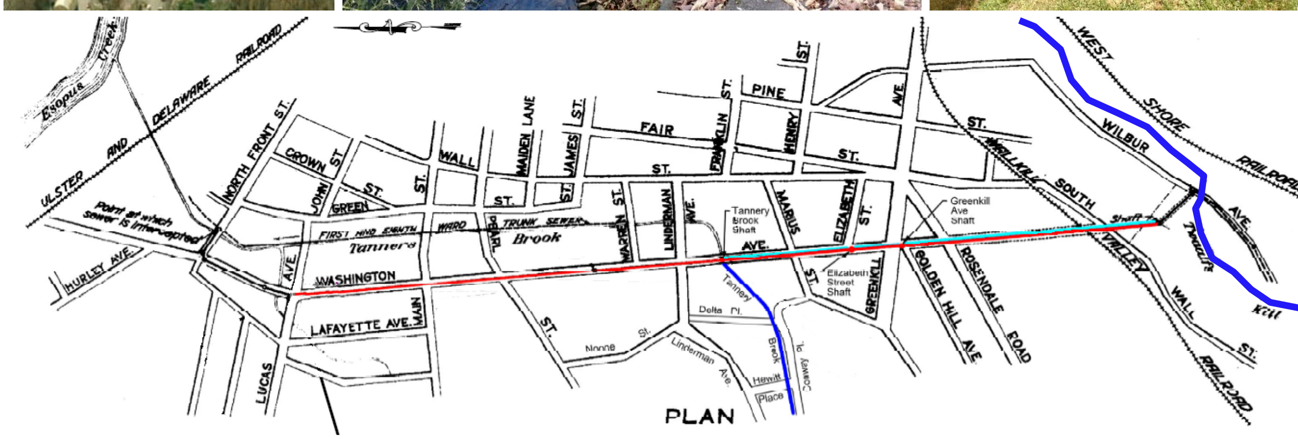
CERTIFICATE HOLDER	CANCELLATION
PROPO-1 For Proposal Use Only	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE Thomas Mullard

Request for Qualifications

Twaalfskill Creek Stormwater Analysis

Submitted to

City of Kingston, New York



October 25, 2017

Tighe&Bond

Engineers | Environmental Specialists

Services provided in New York by T&B Engineering, P.C.

Project Understanding and Approach

Introduction

Tighe & Bond will provide the City of Kingston with a detailed evaluation of the Twaalfskill watershed that will accomplish the City's Goals:

- **Understand which culverts are undersized, and what size is appropriate.**
- **Identify improvements to eliminate stream bank erosion**
- **Document construction costs for these improvements**

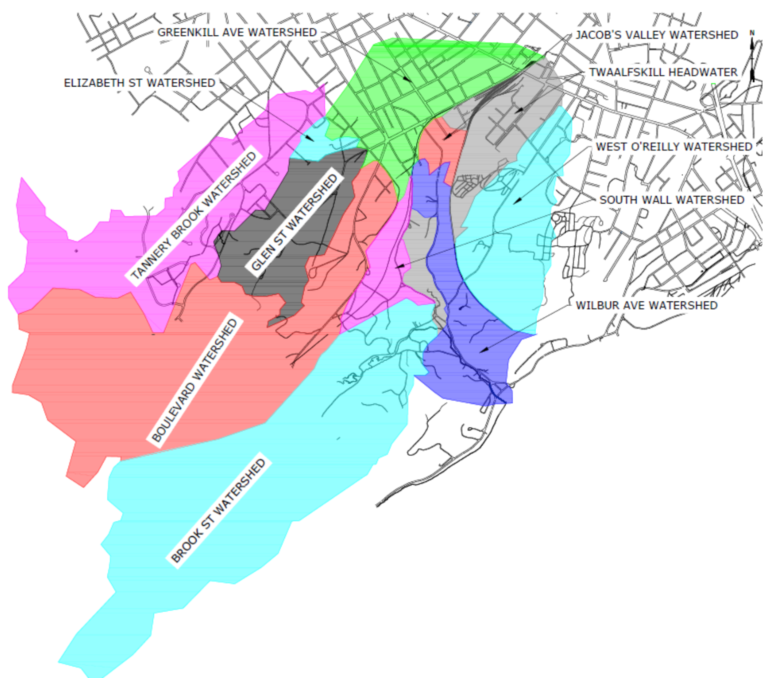
We will do this by:

- Leveraging our status as NYSDEC certified North Atlantic Aquatic Connectivity Collaborative (NAACC) protocol Lead Coordinator, and inventorying and performing field assessments of all culverts in the study area that will qualify these crossings for future funding through the Hudson River Estuary Program's Restoration of Watershed Connectivity Grants.
- Utilizing our extensive hydrologic and hydraulic modeling experience with very similar project scopes to provide accurate and efficient results.

Project Understanding

The Twaalfskill watershed carries stormwater from over 1,800 acres that are divided into approximately ten sub-watersheds. At minimum four areas of concern have been identified by City staff, including:

1. Restriction at the Chapel Street culvert for storms greater than a 10-year frequency (as observed)
2. Surcharging at the twin culverts located at Brook Street
3. Deterioration of a stone box culvert at South Wall Street
4. Stream bank erosion at 225 Wilber Street



Project Requirements & Approach

Hydrologic and hydraulic analysis of the Twaalfskill between the City of Kingston Public Works Yard (at the CSX railroad headwall) and the Chapel Street culvert is necessary to identify where improvements are needed, properly size creek crossings, and prepare accurate construction costs. Tasks include:

Task 1: Delineation of Watershed - Utilizing mapping and documentation available through the City, GIS mapping, and field investigation, delineation of the watershed tributary to the Twaalfskill will be completed.

Task 2: Existing Condition Documentation – Field Investigation will be completed at all crossings in the study area, including completion of NAACC inventory and evaluation. Areas of significant bank erosion, or structural deterioration (in walled sections) will also be identified and documented.

The Hudson River Estuary Watershed Connectivity Grant program has three grant levels. For a project to be qualified for planning, design or construction funding, the crossings must have been previously inventoried and evaluated. As certified lead coordinators, we can complete this initial part of the process for the project area crossings. When future funding phases for crossing improvements become available, the City will be qualified to pursue these opportunities and not have to start at the beginning.

Task 3: Hydraulic & Hydrologic Analysis – Modeling and analysis will be completed for the study area including:

- Calculation of the estimated stormwater runoff from each contributing watershed for storm return periods of 2, 5, 10, 25, 50, and 100 years
- Flood routing along the flow length of the Twaalfskill Creek for the return periods identified
- A capacity analysis for the Twaalfskill Creek stream channel between Chapel Street and its headwaters
- A capacity analysis for each culvert along the length of the Twaalfskill Creek between Chapel Street and its headwaters

We will utilize flow modeling previously completed for the Washington Avenue Tunnel Analysis of the Tannery Brook and Greenkill Avenue subwatersheds.

Task 4: Recommended Improvements – The modeling and analysis will be used to identify structures or channel sections with inadequate capacity for the identified return periods. Each of these items will be identified and recommendations made for improvements including culvert resizing and bank restoration. Opinion of probable construction costs for each of the recommended improvements will be prepared.

Task 5: Reporting – We will prepare a draft report that will include summation of each of the four above tasks. We will incorporate comments from the City into a final report. We anticipate three meetings with the City as part of the reporting process including a kick-off meeting to verify concerns and scope, a draft report review meeting, and a final report review meeting.

Project Area Familiarity & Logistics

Tighe & Bond will be serving the City of Kingston from its Rhinebeck office, just 20 minutes from the Twaalfskill watershed. Tighe & Bond has worked very successfully with the City of Kingston staff previously 73 on our recent study of the Hasbrouck CSO sewershed and looks forward to working with the City again with another successful result.

Project Team & Experience

Contract Organization

We have assembled the following team of experts based on their knowledge of stormwater projects.

Resumes of Key Personnel

Principal-in-Charge - Dana C. Huff, P.E. (NY PE#96898) Dana is a vice president with over 38 years of experience on assignments covering a variety of disciplines. Utilizing technical, analytical, and engineering expertise, he has developed innovative and resourceful solutions to complex engineering challenges for municipal clients. His experience includes serving as principal-in-charge on multiple stormwater improvement projects including hydraulic and structural assessments of culverts and small span bridges, evaluation of repair/replacement alternatives, and rendering cost effective solutions sfor numerous communities.A recent example includes evaluating the stormwater collection and conveyance system in a low lying coastal area of Fairfield, Connecticut and developing and recommending a solution that will evacuate both routine storm flow and periodic storm surge to relieve prolonged flooding from within the low lying area.. As Principal-in-Charge, Dana will ensure that the appropriate resources are allocated for successful completion of this project and ensuring the project is on time and within budget.

Project Manager - Erin K. Moore, P.E. (NY PE#84665) will manage the project, oversee the day to day execution, manage the project budget, and interface with the City. Erin has 17 years of experience focusing on rehabilitation of aging municipal infrastructure, ongoing operation and maintenance needs and in meeting existing and new regulatory requirements. Erin has helped communities with evaluating and prioritizing needed improvements, cost effective design and obtaining project financing. Erin presented her analysis, design and construction of complex stormwater improvements at the Mt. Lebanon Shaker Village involving a 100-year old stone aqueduct at the Capital District Engineer's Week in Albany NY. As the director of the Tighe & Bond Rhinebeck office, she is familiar with managing all disciplines of municipal projects.

Technical Leader - Joseph A. Canas, P.E., LEED AP, CFM (NY PE #86159), will serve as the technical lead for the hydrologic and hydraulic modeling of the Twaalfskill Creek Stormwater Analysis project. Joe has 23 years of experience in hydraulics and hydrology and has participated in numerous flood studies including the Manchester by the Sea flood resiliency project and Marshfield South River Study. Joe has expertise in many civil engineering disciplines, including stormwater collection systems, floodplain modeling, and construction administration. He has been involved in all phases of project execution from planning through design and construction. Joe is a Certified Floodplain Manager by the Association of State Floodplain Managers, and has actively participated in many of the firm's Connecticut floodplain management projects, both as project manager and primary hydrologic and hydraulic engineer, including the ASML Floodplain Mitigation project, the Norwalk Washington Village Floodplain Management Certification, and numerous Letter of Map Revision applications to FEMA for multiple clients. Joe is a founding member and officer of the newly created Connecticut Association of Flood Managers. Joe's floodplain management and modeling experience will be invaluable to the project.

Field Investigation– Ryan Morrison, E.I.T, and David Seche, E.I.T. will serve as the field observation team for the Twaalfskill project. Within the past year, Ryan Morrison has completed inspection of 290 culverts throughout Ulster, Green, and Dutchess County and is a NAACC certified Lead Coordinator, which allows him to inventory and assess stream crossings for inclusion in the Hudson River Estuary Database. David Seche, a City of Kingston resident, was the lead field investigator for the recent Hasbrouck CSO sewershed evaluation which included field documentation of sewer and stormwater features.

Availability & Verification of Anticipated Schedule

Each of our team members are full-time employees and are fully committed to expedite this project. We have the resources to perform this project on a fast track basis. We acknowledge a project initiation date of December 2017 and a draft report delivery date of June 2018.

Similar Project Experience

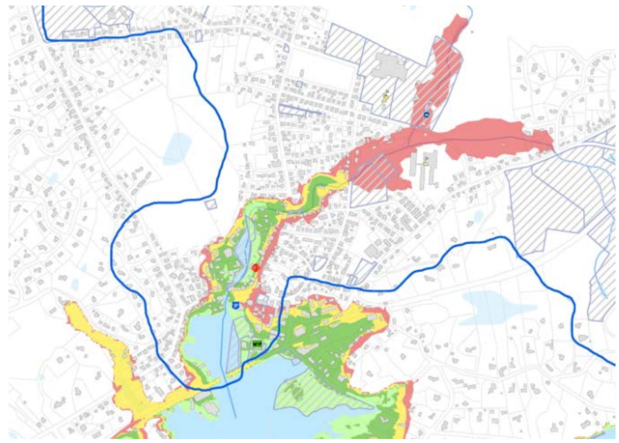
Tighe & Bond staff has extensive experience working on all types and sizes of watershed analysis and stormwater management projects including hydrological macro-analysis and hydraulic analysis of streams and rivers. We also are adept at assessing, planning, modeling, and designing both conventional and innovative improvements, including culvert replacements, stormwater drainage improvements, streambank and shoreline restoration, and outfall restorations. We utilize the latest stormwater software programs and have expertise in the planning, modeling, design and construction of all types of stormwater systems.

The understanding of the impact of stormwater and non-point source pollution on the natural environment has come into sharp focus over the last decade driving a shift in focus to a more proactive approach to stormwater management. Unlike the drainage designs of the past, which were based primarily on hydraulics and design standards, today's stormwater environment is complicated and nuanced. While the hydraulics have not gone away, today's stormwater engineering needs to consider a broad range of considerations including public perception, maintenance and funding.

Tighe & Bond staff has been providing wetlands consulting services to our clients for several decades. We take pride in having these capabilities in addition to our already renowned civil and environmental engineering services. Our staff includes nationally recognized Professional Wetland Scientists, Registered Professional Soil Scientists, and Certified Professionals in Sediment & Erosion Control. Services include wetland delineations, environmental permitting, invasive species surveys, rare species surveys, compliance monitoring, and designing mitigation measures such as erosion control, wetlands replication areas, compensatory flood storage areas and endangered species protection.

Tighe & Bond has a long history of providing floodplain management services throughout the northeast.

Sawmill Brook Culvert Analysis, Manchester-by-the-Sea, MA:
The Town received a CZM Resiliency Grant to analyze the performance of existing culverts within the Saw Mill Brook watershed under various sea level rise and projections for increased inland precipitation. Data for the culverts was collected by Tighe & Bond field reconnaissance, with conditions at each culvert documented. Additional data gaps were filled in by survey and GIS topography. A HEC-RAS model of the watershed was created to model existing culverts in the watershed for a variety of storm frequency and sea level rise scenarios, with 41 evaluated in total. Based upon the results of the analysis, Tighe & Bond identified nine culvert improvements that were required in order to pass the selected design storm.

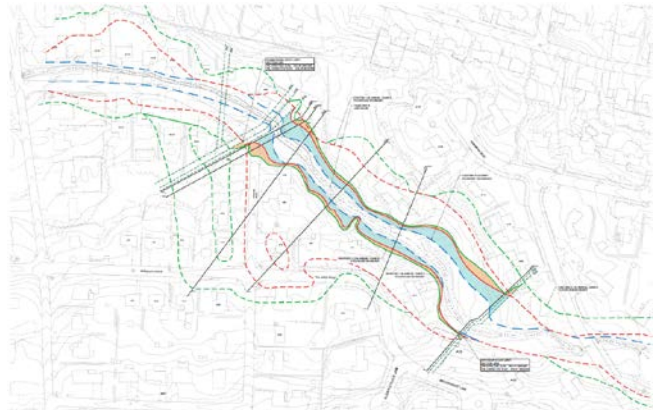


Unity and Twin Brooks Parks, Trumbull, CT:
Tighe & Bond was retained by the Town of Trumbull to evaluate a series of culverts through two Town parks. As part of this work, Tighe & Bond is identifying existing culverts that cannot pass the Town’s current design standards using modeling analysis and field measurement as supported by observed conditions, and will make recommendations for upgrading the culverts where necessary. Probable cost estimates were prepared for the recommended improvements. Also included as part of the overall analysis is the identification of appropriate channel linings to address portions of the watercourses through both parts that have been undercut and eroded.

South River Study, Marshfield, MA: The South River is a low-gradient river that travels 15 miles from its source at Round Pond in Duxbury downstream to its tidal estuary at Massachusetts Bay in Marshfield. The evaluation project focused upon the hydrology and hydraulics of the river reach between Main Street (Route 3A) upstream to Chandler’s Pond Dam. The river’s watershed area at Main Street is 11.4 square miles. MassDER identified releases and riverine structures that impact the hydrology and hydraulics of the South River, including dams, undersized culverts, and releases from cranberry bogs.

The goal of this project was to attain a more comprehensive understanding of the resultant hydrology and hydraulics on the South River if proposed restoration actions are completed. The project evaluated existing hydrology and hydraulics along the study reach under high and low flow conditions, completed an inventory of riverine structures, including dams and culverts, and evaluated future conditions assuming removal of restrictive structures. HEC-RAS and HEC-HMS were utilized for this project.

Horse Tavern Brook Flood Study, Trumbull, CT:
The Town of Trumbull commissioned Tighe & Bond to prepare a study of Tributary A to Horse Tavern Brook. The objective was to restudy an area of the watercourse that was incorrectly mapped by the Federal Emergency Management Agency (FEMA) as being inside a floodplain. As part of the study, Tighe & Bond worked with the Town to obtain new river cross sections along the watercourse, and at each of the eight culverts, and ran a new hydraulic model in HEC-RAS format. The goal of the study was to support a valid request to FEMA for a map revision to the existing flood insurance study. FEMA accepted the results and issued a Letter of Map Revision.



ASML Floodplain Improvements, Wilton, CT:
ASML, a world-wide manufacturer of microchip lithography equipment based in the Netherlands, hired Tighe & Bond to perform an assessment of floodplain risks and potential mitigation measures at its Wilton, CT facility. The facility is located adjacent to the floodplain of the Norwalk River. Tighe & Bond supplemented the CTDEEP's existing stream channel encroachment line model of the Norwalk River with additional survey cross sections through the site to establish a more accurate and detailed HEC-RAS model of the site. This model was used as the basis to evaluate 11 different floodplain mitigation strategies involving various changes to bridges and channel modifications. The alternative ultimately selected reduced the floodplain elevation of the river by 2.25 feet, and was successfully permitted with the Town, CTDEEP, and USACE.

Organizational & Financial Responsibility

Tighe & Bond provides professional engineering services in New York State as T&B Engineering, P.C (Tighe & Bond). The firm, headquartered in Westfield, MA, is staffed by 325+ professionals in 8 offices, including our local office in Rhinebeck. Our engineers, scientists and planners work together in teams, sharing diverse expertise to benefit our clients.

Continuously operating since 1911, Tighe & Bond is a stable, financially sound firm. As a firm generating over $54 million in annual revenues, we have the capacity and resources to successfully complete this project.

Tighe&Bond

Engineers | Environmental Specialists

Services provided in New York by T&B Engineering, P.C.

CITY OF KINGSTON
Office of the City Engineer
rswenson@kingston-ny.gov

Ralph E. Swenson, P.E., City Engineer

Steven T. Noble, Mayor

November 29, 2017

Mr. James L. Noble, Jr.
Alderman-At-Large
President of the Common Council
Kingston City Hall
420 Broadway
Kingston, New York 12401

RE: NYS EFC WIIA Grant Application Authorization
Unlisted SEQRA Determination

Dear President Noble:

On October 25, 2016 the City of Kingston received a modified SPDES Permit for the Kingston WWTP. The new SPDES Permit discharge parameters slated to go into effect in approximately three and a half years require more stringent nitrogen effluent limitations of 5.9 and 9.0 mg/L (seasonally – summer and winter); these new parameters require major upgrades to plant processes.

Under the NYS Water Infrastructure Improvement Act (WIIA) & the NYS Intermunicipal Water Infrastructure Grant Program (IMG) $150M is available over the next three years through IMG / WIIA Grants for water quality infrastructure improvements. Under the WIIA Grant Program project funding is limited to the lesser of $5M or 25% of net eligible costs, under the IMG Grant Program project funding is limited to the lesser of $10M or 40% of net eligible costs.

Previously the Council authorized the submission of a grant application under the IMG Grant Program, see Resolution 118 of 2017, for the anticipated upgrades to WWTP plant processes in the amount of $7,900,000, including engineering fees and construction costs identified in the Arcadis Preliminary Report dated May 31, 2017. We now also request authorization to apply for grant funding under the WIIA Grant Program with similar stipulations as those enumerated within Resolution 118 of 2017.

In addition, I am also attaching a completed Short Environmental Assessment Form, and a project area map. In accordance with 6 NYCRR, Part 617, the proposed project is Unlisted. Please identify the City of Kingston as Lead Agent, and affirm my Unlisted SEQR determination.

Please forward this communication to the next regularly scheduled Finance Committee for further discussion.

Should you have any questions, do not hesitate to contact me.

Respectfully,

Ralph Swenson
Ralph Swenson
City Engineer

Cc: Steven T. Noble, Mayor
John Tuey, Comptroller
Douglas Koop, Chair, Finance Committee

City Hall · 420 Broadway · Kingston, New York 12401 · (845) 334-3967· www.kingston-ny.gov


Kingston WWTP
© 2016 Google
Google Earth
1995
41°55'13.56" N 73°58'37.61" W elev 1 ft eye alt 7036 ft

October 27, 2017

The Honorable Steven Noble
Mayor
City of Kingston
420 Broadway
Kingston, NY 12401

Re: Project No. C3-5374-08-00
WWTP Denitrification
City of Kingston
Ulster County

Dear Mayor Noble:

Thank you for your application to the NYS Water Infrastructure Improvement Act (WIIA) and Intermunicipal Water Infrastructure Grants Program (IMG) grant programs. Your community's project could not be considered during this round because the applications were incomplete. Your applications may be held for consideration only if the following item, which is required for an acceptable application in both programs, is received by the next grant application due date.

- Board Resolution Outlining Plan of Finance

Please complete and return the enclosed acknowledgement form to the NYS Environmental Facilities Corporation (EFC) no later than **November 10, 2017**.

We appreciate your interest in the WIIA and IMG programs.

Sincerely,

Timothy P. Burns, P.E.
Director
Division of Engineering & Program Management

cc.: Arcadis – Mr. A.J. Brooks

ACKNOWLEDGMENT FORM

Please sign below and return to nyswatergrants@efc.ny.gov no later than **November 10, 2017.**

ACKNOWLEDGED:
City of Kingston
Project No. C3-5374-08-00

The City would like their application to be held and to be considered for the next round of WIIA grant.

- ☐ Yes
- ☐ No

The City would like their application to be held and to be considered for the next round of IMG grant.

- ☒ Yes
- ☐ No

[signature] (Signature of Authorized Representative)
RALPH SWANSON (Print Name)
CITY ENGINEER (Title) 11-15-17 (Date)

Short Environmental Assessment Form
Part 1 - Project Information

Instructions for Completing

Part 1 - Project Information. The applicant or project sponsor is responsible for the completion of Part 1. Responses become part of the application for approval or funding, are subject to public review, and may be subject to further verification. Complete Part 1 based on information currently available. If additional research or investigation would be needed to fully respond to any item, please answer as thoroughly as possible based on current information.

Complete all items in Part 1. You may also provide any additional information which you believe will be needed by or useful to the lead agency; attach additional pages as necessary to supplement any item.

Part 1 - Project and Sponsor Information		
Name of Action or Project: City of Kingston WWTP Upgrade		
Project Location (describe, and attach a location map): City of Kingston, Garraghan Drive/Murray Street, Kingston, NY 12401		
Brief Description of Proposed Action: Kingston WWTP Process Upgrades for the purpose of compliance with the October 25, 2016 Modified SPDES Permit; nitrogen limitations of 5.9 and 9.0 mg/L (seasonally – summer and winter).		
Name of Applicant or Sponsor: City of Kingston	Telephone: 845-334-3967 E-Mail: SNoble@kingston-ny.gov	
Address: 420 Broadway		
City/PO: Kingston	State: NY	Zip Code: 12401

	NO	**YES**
1. Does the proposed action only involve the legislative adoption of a plan, local law, ordinance, administrative rule, or regulation? If Yes, attach a narrative description of the intent of the proposed action and the environmental resources that may be affected in the municipality and proceed to Part 2. If no, continue to question 2.	☑	☐
2. Does the proposed action require a permit, approval or funding from any other governmental Agency? If Yes, list agency(s) name and permit or approval: New York State Department of Environmental Conservation New York State Environmental Facilities Corporation	☐	☑

3.a. Total acreage of the site of the proposed action? 2.0 acres
b. Total acreage to be physically disturbed? 0.5 acres
c. Total acreage (project site and any contiguous properties) owned or controlled by the applicant or project sponsor? 2.0 acres

4. Check all land uses that occur on, adjoining and near the proposed action.
☐ Urban ☐ Rural (non-agriculture) ☑ Industrial ☑ Commercial ☐ Residential (suburban)
☐ Forest ☐ Agriculture ☐ Aquatic ☑ Other (specify): Municipal
☐ Parkland

83

	NO	YES	N/A
5. Is the proposed action,			
a. A permitted use under the zoning regulations?	☐	☑	☐
b. Consistent with the adopted comprehensive plan?	☐	☑	☐

	NO	YES
6. Is the proposed action consistent with the predominant character of the existing built or natural landscape?	☐	☑
7. Is the site of the proposed action located in, or does it adjoin, a state listed Critical Environmental Area? If Yes, identify: ______	☑	☐
8. a. Will the proposed action result in a substantial increase in traffic above present levels?	☑	☐
b. Are public transportation service(s) available at or near the site of the proposed action?	☐	☑
c. Are any pedestrian accommodations or bicycle routes available on or near site of the proposed action?	☐	☑
9. Does the proposed action meet or exceed the state energy code requirements? If the proposed action will exceed requirements, describe design features and technologies: Not applicable	☑	☐
10. Will the proposed action connect to an existing public/private water supply? If No, describe method for providing potable water: ______ Not Applicable	☑	☐
11. Will the proposed action connect to existing wastewater utilities? If No, describe method for providing wastewater treatment: ______ WWTP Process Upgrades on the site of the existing treatment plant.	☐	☑
12. a. Does the site contain a structure that is listed on either the State or National Register of Historic Places?	☑	☐
b. Is the proposed action located in an archeological sensitive area?	☑	☐
13. a. Does any portion of the site of the proposed action, or lands adjoining the proposed action, contain wetlands or other waterbodies regulated by a federal, state or local agency?	☑	☐
b. Would the proposed action physically alter, or encroach into, any existing wetland or waterbody? If Yes, identify the wetland or waterbody and extent of alterations in square feet or acres: ______	☑	☐

14. Identify the typical habitat types that occur on, or are likely to be found on the project site. Check all that apply:
☐ Shoreline ☐ Forest ☐ Agricultural/grasslands ☐ Early mid-successional
☐ Wetland ☐ Urban ☐ Suburban

	NO	YES
15. Does the site of the proposed action contain any species of animal, or associated habitats, listed by the State or Federal government as threatened or endangered?	☑	☐
16. Is the project site located in the 100 year flood plain?	☐	☑
17. Will the proposed action create storm water discharge, either from point or non-point sources? If Yes,	☑	☐
a. Will storm water discharges flow to adjacent properties? ☐ NO ☐ YES		
b. Will storm water discharges be directed to established conveyance systems (runoff and storm drains)? If Yes, briefly describe: ☐ NO ☐ YES		

	NO	YES
18. Does the proposed action include construction or other activities that result in the impoundment of water or other liquids (e.g. retention pond, waste lagoon, dam)? If Yes, explain purpose and size:	☑	☐
19. Has the site of the proposed action or an adjoining property been the location of an active or closed solid waste management facility? If Yes, describe:	☑	☐
20. Has the site of the proposed action or an adjoining property been the subject of remediation (ongoing or completed) for hazardous waste? If Yes, describe:	☑	☐

I AFFIRM THAT THE INFORMATION PROVIDED ABOVE IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE

Applicant/sponsor name: City of Kingston / Steve Noble, Mayor Date: June 1, 2017

Signature:

PRINT FORM

625 Broadway
Albany, New York 12207-2997
(518) 402-6924 (p)
(518) 402-6954 (f)
nyswatergrants@efc.ny.gov
www.efc.ny.gov/nyswatergrants

NEW YORK STATE OF OPPORTUNITY. | Environmental Facilities Corporation

GRANT APPLICATION

NYS WATER INFRASTRUCTURE IMPROVEMENT ACT (WIIA)
&
NYS INTERMUNICIPAL WATER INFRASTRUCTURE GRANTS PROGRAM (IMG)
CLEAN WATER

A. APPLICANT

Name of Applicant: City of Kingston
County: Ulster
Federal I.D. Number: 14-6002267
DUNS Number: 020673166

Authorized Representative: Steve Noble
Phone: 845-334-3902
Title: Mayor
Email: mayor@kingston-ny.gov
Mailing Address: 420 Broadway
Kingston, NY 12401

Daily Contact Name: Ralph Swenson
Phone: 845-334-3967
Title: City Engineer
Email: rswenson@kingston-ny.gov
Company:

Engineering Consultant: A.J. Brooks
Phone: 1-518-250-7374
Title: Staff Water Engineer
Email: a.j.brooks@arcadis.com
Company: Arcadis of NY

B. GENERAL INFORMATION

Project Name: City of Kingston WWTP DENITRIFICATION
Project Location: City of Kingston Wastewater Treatment Plant
41.9201 Latitude -73.9773 Longitude
Service Area: City of Kingston
Population of Project Area: 24,000
SPDES Permit Number: NY0029351
Waterbody affected by project: Rondout

Legislative Districts
(you may include two districts)

US Congress	NY Senate	NY Assembly
NY-19	46	103

Estimated Total Project Cost: $ 7,900,000
Design Start Date: 9/1/17 (☑T / ☐A)
Construction Start Date: 5/1/18 (☑T / ☐A)
Construction End Date: 5/1/19 (☑T / ☐ A)

Check "T" for a target date and "A" for an actual date

C. GRANT CATEGORY

Identify the grant program(s) for which you are applying by checking the appropriate box or boxes below.

☑ New York Water Infrastructure Improvement Act (WIIA) grant

☑ Intermunicipal Water Infrastructure Grants Program (IMG) grant

D. PROJECT INFORMATION

1. Provide a brief description of your project.

In October 2016 the NYS DEC renewed the City of Kingston's SPDES Permit. The SPDES Permit was modified to include seasonal nitrogen discharge limits of 5.9 and 9.4 mg/L, as opposed to an ultimate oxygen demand (UOD) limit that was previously required. In order to meet the discharge limitations set forth in the City's SPDES Permit, the conventional activated sludge process is required to be upgraded for biological nutrient removal. The existing wastewater treatment plant does not have significant available land for additional tankage and the City has determined the most cost effective solution to meet seasonal nitrogen discharge limits is to convert the existing conventional activated sludge process to a ballasted floc activated sludge process to raise SRTs and maintain settleability of secondary sludge. Construction at the wastewater treatment plant includes replacement of the fine bubble aeration system with jet aeration/mixing, new turbo blowers and aeration controls, modification of in-tank baffles and gates, construction of a new +/-1,000 square foot structure to enclose new equipment for the ballasted floc activated sludge process, a 30 foot tall storage silo, and miscellaneous site improvements. The results of this Project will be significant reduced loadings for BOD and total Nitrogen for the Rondout Creek.

2. If applying for an IMG grant, describe how the project involves more than one municipality and is the best solution for an identified water quality problem.

The City of Kingston has two intermuncipal agreements in place with neighboring communities. Per each agreement, City is required to treat up to 646,000 gpd from the Town of Esopus and 75,000 gallons per day from the Town of Ulster. Jointly, wastewater from these three communities is treated at the City's wastewater treatment plant and the Town's of Esopus and Ulster comprise about 10 percent of the total flow. If they were required to construct and operate two smaller wastewater treatment plants, there would be a significant increase in costs from the two communities for BNR for nitrogen control. The use of the City's wastewater treatment plant offers significant savings.

3. Is the project required to be undertaken by an executed enforcement instrument or a SPDES permit (e.g., notice of violation, consent order, judicial order, compliance schedule, DEC-approved Long Term Control Plan)?

☐ No ☑ Yes If yes, indicate the type, date of execution and reason for the enforcement action as it relates to the project. Please submit a copy of the executed enforcement instrument to EFC with the grant application.

The NYS DEC renewed the City's SPDES Permit in October 2016 to include seasonal effluent nitrogen limits of 5.9 and 9.4 mg/L as ammonia. The wastewater treatment plant currently cannot meet this effluent nitrogen limit. In order to meet this limit the NYS DEC has provided a 30 month schedule of compliance. An approvable engineering report is required to be submitted to the NYS DEC within 18 months or before April 2018. The City completed the engineering report nearly one year prior to the this date. Approvable plans, specifications, and construction schedule are to be submitted to the NYS DEC within 12 months of securing approval of the engineering report.

While a ballasted floc activated sludge process is not required by the City's LTCP for CSOs, the process will improve effluent quality during wet weather the the magnetite addition with a specific gravity of 5.1. The high specific gravity greatly increases the settleability of the sludge.

4. Describe the project's community impacts, including but not limited to public support for the project, critical community resources served by this project, or economic development impacts.

The discharge of the City's wastewater treatment plant is to the Rondout Creek, a tributary of the Hudson River. The Rondout is a popular for recreation (boating, fishing, kayaking, etc.) for the City of Kingston and the Town of Esopus. This reach of the Rondout is a location that the City has been promoting redevelopment and many cultural activities. There are a significant number of restaurants in this vicinity. By reducing the nitrogen discharged from the City's wastewater treatment plant the nutrient loading in the Rondout will decrease and reduce the chance for algal blooms that seem to be more prevalent with climate change. This project will also create new jobs at the wastewater treatment plant for both temporary construction and skilled operations staff, thus having a positive impact on the economy.

5. Is the project identified in any regional planning initiatives (e.g. a Comprehensive Plan, Regional Planning document, Waterfront Revitalization Plan, Watershed Plan or Estuary Plan)?

☑ No ☐ Yes If yes, describe below and attach applicable documents:

Not applicable

6. Does the project require easements or land acquisition?

☐ No ☑ Yes If yes, describe the status of obtaining the easements and/or land:

The City Council has approved the purchase of the property adjacent to the WWTP contingent upon completion of a due diligence process.

7. Does the project require debt exclusion approval from the Office of the State Comptroller (OSC)?

☑ No ☐ Yes If yes, describe the status:

Not applicable

8. Check the applicable box(es) to indicate the financing plan for the balance of the project following a grant award.

☐ Municipal Contributions Amount: $ ____________________

☐ Interfund Borrowing Amount: $ ____________________

☐ CWSRF Financial Assistance

☐ The project is currently listed on the 2017 Intended Use Plan (IUP)
CWSRF Project Number: _____________

☐ A project listing for the 2018 IUP will be submitted

Note: If awarded an IMG grant, the project will not be eligible for subsidized financing through EFC and will only be eligible to apply for short-term market rate financing.

☑ Non-EFC Debt/Grants

Type (BAN*, Bonds, Grants, other)	**Amount**	**Status (provide dates)** Applied	Approved	Received
Municipal Bond	$4,740,000	9/1/2017		

*Please indicate if the BAN is callable, and if so, provide call date.

☐ Other Funding, please describe

9. Has the Applicant submitted timely annual financial reports to OSC for each of the last three years?

☐ No ☑ Yes If no, please explain:

Not applicable

E. TOTAL PROJECT BUDGET

Please add line items to the budget as needed. If you have additional questions, please call EFC or refer to the Intended Use Plan.

Category	Estimated Costs
1. Construction Costs	
Contract 1	$ 5,530,000
Contract 2	$ 380,000
Contract 3	$ 90,000
Contract 4	$
2. Engineering Costs	
a. Planning	$ 35,000
b. Design	$ 250,000
c. Construction	$ 365,000
d. Other	$
3. Other Expenses a. Local Counsel	$ 12,500
b. Bond Counsel	$ 12,500
c. Work Force	
- Technical	$ 12,500
- Administrative	$ 12,500
d. Fiscal Services	$
e. Net Interest	$
f. Miscellaneous (please describe)	$
	$
	$
	$
4. Equipment	$
5. Land Acquisition	$ 250,000
6. Contingencies	$ 1,025,000
7. Total Project Costs (sum lines 1-6)	$ 7,900,000
8. Less: Other Sources of Funding	$
9. Total Financial Assistance Requested (line 7 minus line 8)	$
10. SRF Issuance Costs[1]. Percentages should be applied to line 9.	
a. Direct Expenses (1.0%)	$
b. State Bond Issuance Charge (.84%)	$
11. TOTAL (sum of lines 9,10a, and 10b)	$

[1] Applicable to long-term non-hardship financings.

F. REQUIRED DOCUMENTS

1. For All Applications: The following documents are **required and must be submitted with the application** if not previously submitted to EFC. If any of these items are unavailable, do not continue with the application at this time.

Enclosed	Previously Submitted to EFC	
☑	☐	**Engineering Report**
☑	☐	**Smart Growth Assessment Form**
☑	☐	**Environmental Review Determination**
☑	☐	**SHPO Project Review Determination Letter**
☑	☐	**Bond Resolution (Certified) and/or Board Resolution (Certified)**

2. As Applicable: the following documents are **required and must be submitted with the application** if not previously submitted to EFC. If any of these items apply to your project and are unavailable, do not continue with the application at this time.

Enclosed	Previously Submitted to EFC	Not Applicable	
☑	☐	☐	**Intermunicipal Agreement (Executed and Current)** *(Required if applying for IMG)*
☐	☐	☑	**CWSRF Financing Application** *(Required if applying for WIIA or IMG grants with CWSRF Financing)*
☐	☐	☑	**Sewer District, District Extension Formation or Other OSC Approvals**

G. SUPPORTING DOCUMENTS

Submit any documents that demonstrate support for and/or readiness to proceed with the project.

H. ACKNOWLEDGEMENTS

1. Upon submission of this application, you acknowledge your responsibility to comply with New York State Executive Law, Article 15-A with respect to Minority and Women's Business Enterprise – Equal Employment Opportunity requirements, and to the State Smart Growth Public Infrastructure Policy Act. By checking this box, you acknowledge that you are aware of these obligations and that you are authorized to make this acknowledgement on behalf of the applicant.

   IMG MWBE goals with CWSRF financial assistance - 24%
   WIIA MWBE goals with CWSRF financial assistance - 23%
   MWBE goals for WIIA or IMG Grant-Only applicants - 30%
   EEO goals for all applicants - % varies by County (http://www.efc.ny.gov/mwbe)

   ☑ Acknowledged

2. In order to receive financing assistance through the CWSRF, you will need to meet various other New York State and federal requirements. These requirements include, but are not limited to, Davis-Bacon Federal Prevailing Wage and related acts, and American Iron and Steel requirement. Recipients of SRF financial assistance will be required to perform certain actions to verify compliance and ensure certain provisions are contained in all contracts and subcontracts. By checking this box, you acknowledge that you are aware of this requirement and that you are authorized to make this acknowledgement on behalf of the Applicant.

   ☑ Acknowledged

Please refer to the current IUP for your responsibilities under these programs.

I. SIGNATURE FOR GRANT APPLICATION

CERTIFICATION: On behalf of the Applicant, and in accordance with the board resolution by

Common Council of the City of Kingston
(governing body of municipal applicant)

authorizing me to do so, I apply for a WIIA grant or IMG grant for the project described in this application. By signing this application, I certify and agree on behalf of the Applicant and its governing body that all of the information contained in this application, in other statements and exhibits attached hereto or referenced herein, and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving a WIIA grant or IMG grant for the project(s) described herein, are true, correct and complete to the best of my knowledge and belief.

I further agree on behalf of the Applicant that, if CWSRF Assistance is provided for the project described in this application, the Applicant shall comply with all applicable provisions of the Federal Water Pollution Control Act, 33 U.S.C. §§ 1251 et. Seq. Chapter 565 of the Laws of New York of 1989, 6 NYCRR Part 649 and 21 NYCRR Part 2602, as amended, regarding CWSRF Assistance.

I further agree that the Applicant will comply with the provisions of the Minority and Women's Business Enterprise – Equal Employment Opportunity requirements of Article 15-A of the New York State Executive Law, and will maintain such records and take such actions necessary to demonstrate such compliance throughout the construction of the project.

Further, I acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

(Signature of Authorized Representative) 6/22/17 (Date)

Steve Noble, Mayor
(Name and Title)

City of Kingston
(Applicant)

NEW YORK STATE OF OPPORTUNITY. | Environmental Facilities Corporation

Smart Growth Assessment Form
New York State Revolving Funds (CWSRF & DWSRF)

This form should be completed by the applicant's project engineer or other design professional.[1] Please refer to EFC's "Smart Growth Guidance".[2]

Applicant Information

SRF Applicant: City of Kingston SRF No.:_______

Project Name: WWTP Denitrification Upgrade Project

Is project construction complete? ☐Yes, date:________ ☑No

Project Summary: (provide a short project summary in plain language including the location of the area the project serves)

Section 1- Screening Questions

1. Prior Approvals

1A. Has the project been previously approved for SRF financing? Yes ☐ No ☑

1B. If so, what was the SRF project number(s) for the prior approval(s)? SRF No.: _______

Is the scope of the project substantially the same as that which was approved? Yes ☐ No ☐

IF THE PROJECT WAS PREVIOUSLY APPROVED BY EFC'S BOARD AND THE SCOPE OF THE PROJECT HAS NOT MATERIALLY CHANGED, THE PROJECT IS **NOT** SUBJECT TO SMART GROWTH REVIEW. SKIP TO SIGNATURE BLOCK.

2. New or Expanded Infrastructure

2A. Does the project add new wastewater collection/new water mains or a new wastewater treatment system/water treatment plant? Yes ☐ No ☑

Note: A new infrastructure project adds wastewater collection/water mains or a wastewater treatment/water treatment plant where none existed previously

2B. Will the project result in either: Yes ☐ No ☑

An increase of the State Pollution Discharge Elimination System (SPDES) permitted flow capacity for an existing treatment system;

OR

An increase such that a NYSDEC water withdrawal permit will need to be obtained or modified, or result in the NYSDOH approving an increase in the capacity of the water treatment plant?

Note: An expanded infrastructure project results in an increase of the SPDES permitted flow capacity for the wastewater treatment system, or an increase of the permitted water withdrawal or the permitted flow capacity for the water treatment system.

[1] If project construction is complete and the project was not previously financed through the SRF, an authorized municipal representative may complete and sign this assessment.

[2] Available at the Smart Growth Website

IF THE ANSWER IS "NO" TO BOTH "2A" AND "2B" ON THE PREVIOUS PAGE, THE PROJECT IS NOT SUBJECT TO FURTHER SMART GROWTH REVIEW. SKIP TO SIGNATURE BLOCK.

3. Court or Administrative Consent Orders

3A. Is the project expressly required by a court or administrative consent order?	Yes ☐	No ☑
3B. If so, have you previously submitted the order to NYS EFC or DOH? If not, please attach.	Yes ☐	No ☑

Section 2- Additional Information Needed for Relevant Smart Growth Criteria

EFC has determined that the following smart growth criteria are relevant for SRF projects and that projects must meet each of these criteria to the extent practicable:

1. Uses or Improves Existing Infrastructure

1A. Does the project use or improve existing infrastructure?	Yes ☑	No ☐

Please Describe:

2. Serves a Municipal Center

Projects must serve an area in either 2A, 2B or 2C to the extent practicable.

2A. Does the project serve an area **limited** to one or more of the following municipal centers?

i.	A city or incorporated village	Yes ☑	No ☐
ii.	A central business district	Yes ☑	No ☐
iii.	A main street	Yes ☑	No ☐
iv.	A downtown area	Yes ☑	No ☐
v.	A Brownfield Opportunity Area (for more information, go to the Department of State Website & search "Brownfield")	Yes ☑	No ☐
vi.	A downtown area of a Local Waterfront Revitalization Program Area (for more information, go to the Department of State Website & search "Waterfront Revitalization")	Yes ☑	No ☐
vii.	An area of transit-oriented development	Yes ☐	No ☑
viii.	An Environmental Justice Area (for more information, go to DEC'S Page of County Environmental Justice Area Maps)	Yes ☑	No ☐
ix.	A Hardship/Poverty Area	Yes ☑	No ☐

Please Describe All Selections:

2B. If the project serves an area located outside of a municipal center, does it serve an area located adjacent to a municipal center which has clearly defined borders, designated for concentrated development in a municipal or regional comprehensive plan and exhibit strong land use, transportation, infrastructure and economic connections to an existing municipal center?

Yes ☐ No ☐

Please Describe:

2C. If the project is not located in a municipal center as defined above, is the area designated by a comprehensive plan and identified in zoning ordinance as a future municipal center?

Yes ☐ No ☐

Please describe and reference applicable plans:

3. Resiliency Criteria

3A. Was there consideration of future physical climate risk due to sea-level rise, storm surge, and/or flooding during the planning of this project?

Yes ☐ No ☐

Please Describe:

Signature Block: By entering your name in the box below, you agree that you are authorized to act on behalf of the applicant and that the information contained in this Smart Growth Assessment is true, correct and complete to the best of your knowledge and belief.

Applicant Name: City of Kingston

Phone Number: (845) 334-3967

Name & Title of Project Engineer or Design Professional or Authorized Municipal Representative:
Ralph Swenson, City Engineer

Signature: Ralph Swenson Digitally signed by Ralph Swenson
DN: cn=Ralph Swenson, o=City of Kingston, ou=Office of the City Engineer, email=rswenson@kingston-ny.gov, c=US
Date: 2017.06.21 13:38:09 -04'00'

Date: 06212017

97

NYS WATER INFRASTRUCTURE IMPROVEMENT ACT (WIIA) GRANT

$5,000,000

RESOLUTION 118 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE MAYOR TO SUBMIT AN APPLICATION TO THE NEW YORK STATE ENVIRONMENTAL FACILITIES CORPORATION (EFC) FOR AN ~~INTERMUNICIPAL GRANT (IMG) PROGRAM~~ GRANT IN THE AMOUNT OF ~~$7,900,000~~ FOR THE WWTP UPGRADES NECESSARY TO MAINTAIN COMPLIANCE WITH THE OCTOBER 25, 2016 MODIFIED SPDES DISCHARGE PERMIT, AND TO EXECUTE ANY AND ALL RELATED DOCUMENTS.

Sponsored by: Finance and Audit Committee

$5,000,000 NYS WIIA GRANT PROGRAM

WHEREAS, the City of Kingston is eligible to apply to the EFC under the ~~Intermunicipal Grant Program (IMG)~~ for funds in an amount of ~~$7,900,000~~ for the purpose of making upgrades to the WWTP to meet new SPDES Permit discharge parameters slated to go into effect in approximately four years which require more stringent nitrogen limitations of 5.9 and 9.0 mg/L (seasonally – summer and winter); and

$3,750,000 75%

WHEREAS, this application, should the grant be awarded, requires a ~~60%~~ match in the amount of ~~$4,740,000~~ to be provided by in-kind, force account funds, additional grants, donations and, if necessary, bonding to be requested; and

WHEREAS, there are specific requirements and regulations governing the expenditure of these funds; and

WHEREAS, the action is categorized under 6 NYCRR, Part 617.4 as Unlisted.

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. Administration of all funds under this grant will be in accordance with all terms and conditions contained in guidelines provided by NYS EFC under the Clean Water Infrastructure Act of 2017.

WIIA $5,000,000

SECTION 2. The Mayor of the City of Kingston is hereby authorized and directed to file an application for funds from NYS EFC for an ~~IMG~~ Grant in the amount of ~~$7,900,000~~, and upon approval of said request to enter into and execute an agreement, and any and all related documents.

SECTION 3. The Common Council of the City of Kingston hereby determines that the Proposed WWTP Upgrades is an Unlisted action in accordance with 6 NYCRR Section 617 .4, the City of Kingston Common Council is hereby designated Lead Agent for the purpose of environmental significance, and herein determines no significant environmental impact.

SECTION 4. This resolution shall take effect immediately.

Submitted to the Mayor this 7th day	Approved by the Mayor this 8th day
of June ~~2017~~ 2018	of June ~~2017~~ 2018
Deedra M. Stiller	[signature]
Carly Williams, City Clerk	Steven T. Noble, Mayor

Adopted by Council on June 6 ~~2017~~ 2018

Winnie, Carly

From: James Noble <jnoble39@aol.com>
Sent: Tuesday, December 12, 2017 12:43 PM
To: Winnie, Carly
Subject: Fwd: Zoning Change

Please add to the PS Committee communications. Thank you. Jim

Sent from my iPad

Begin forwarded message:

> **From:** "STEVEN SCHABOT" <SSCHABOT@hvc.rr.com>
> **Date:** December 11, 2017 at 10:19:04 AM EST
> **To:** "'James Noble'" <jnoble39@aol.com>
> **Cc:** "'Bill Carey'" <kingstoncarey5@yahoo.com>, <jsafford@kingston-ny.gov>
> **Subject: Zoning Change**
>
> Jim
> I was advised by a constituent of commercial trailers parked on an adjacent property. I spoke with Joe Safford about this and while the property owner was cited, Joe feels that a slight amendment to section 405-3. Specifically, this section addresses trailers, such as RV's that are driven, Joe suggests adding and including vehicles that are towed by another vehicle. Please refer to the appropriate committee.
> Thanks
> Steve

Winnie, Carly

From: James Noble <jnoble39@aol.com>
Sent: Tuesday, December 12, 2017 12:35 PM
To: Winnie, Carly
Subject: Fwd: City Hall Energy

Carly please add this to the PS Committee. Thank you. Jim

Sent from my iPad

Begin forwarded message:

> **From:** "STEVEN SCHABOT" <SSCHABOT@hvc.rr.com>
> **Date:** December 11, 2017 at 10:08:24 AM EST
> **To:** "'James Noble'" <jnoble39@aol.com>
> **Cc:** "'Bill Carey'" <kingstoncarey5@yahoo.com>
> **Subject: City Hall Energy**
>
> Jim
> I would like to address the energy usage at City Hall, mostly in regard to lighting. The initial audit, could be performed by members of the Common Council.
>
> This would include, but not limited to:
> - Lights that are on continuously, such as the restrooms and hallway lights
> - Energy efficient lighting
> - A survey of the number of lights and their use
> - Motion sensitive lighting to alleviate energy waste during the day and act as "security" at night
> - Daytime lighting use
> - Council Chambers lighting
>
> I believe we should continue to be a leader in energy efficiency and we have already made tremendous progress. I believe this would further advance our mission.
> I would be willing to lead this study, in whichever committee you decide to assign this.
> Thanks
> Steve

Commissioners

Dennis Croswell
President
Robert Niedsielski
Secretary
Joanne Seche
Margaret Gruner
Harold Bonavita-Goldman

Steve Noble
Mayor

Judith A. Hansen
Superintendent

Kingston Water Department

PO Box 1537
Kingston, N.Y. 12402

December 21, 2017

Hon. James Noble, Jr.
Common Council of the City of Kingston
420 Broadway
Kingston, NY 12401

Re: Request for Approval for Bond for Replacement of Water Infrastructure in NYSDOT;s 587 Roundabout Project

Dear President Noble:

At their meeting on December 20, 2017, the Board of Water Commissioners resolved to replace their infrastructure in the referenced project, contingent upon the approval of a bond for this work by the Common Council. It is estimated that this work, including design, construction, project management and administration will cost $1,500,000.

Therefore, on behalf of the Board of Water Commissioners, I respectfully request the Council's consideration of a bond in an amount not to exceed $1,500,000.

In advance, I thank you for your consideration of this matter. I look forward to meeting with you and the members of the Council's Finance Committee to answer questions and discuss the project.

Sincerely

Judith A. Hansen
Superintendent

Cc: Dennis Croswell, President
Steve Noble, Mayor
John Tuey, Comptroller

Office: 111 Jansen Avenue (845) 331-0175 FAX (845) 340- 9209
E-MAIL: water@kingston-ny.gov

Kingston Water Department

PO Box 1537
Kingston, N.Y. 12402

December 21, 2017

Hon. James Noble, Jr.
Common Council of the City of Kingston
420 Broadway
Kingston, NY 12401

Re: Request for Approval for Bond for Engineering Design for Cooper Lake Dam Rehabilitation Project

Dear President Noble:

In 2009, the New York State Department of Environmental Conservation promulgated dam safety regulations that requires dam owners to conduct a detailed engineering evaluation of their dam structures and bring them into compliance with current engineering factors of safety. In conformance with these requirements, the Board of Water Commissioners has completed the engineering evaluation and has determined that, while the dam is safe, it needs remediation to bring it into compliance with today's standards. Working with our consultants, the cost of the project has been estimated at about $6 million dollars and does not include any increase in the capacity of the Reservoir.

The Board has submitted a schedule for this project to the NYS DEC that calls for project design to be done in 2018 so that construction can start in 2019 and be completed by 2020. The cost of design is $800,000 and includes $150,000 for pre-design geotechnical explorations and $650,000 in design fees. At their meeting of December 20, 2017, the Board authorized me to request a bond in the amount of $800,000 to cover the cost of this work. At a latter date, as the construction costs become refined, we will be requesting a bond for the balance of the project.

In advance, I thank you for your consideration of this matter. I look forward to meeting with you and the members of the Council's Finance Committee to answer questions and discuss the project.

Sincerely
Judith A. Hansen

Judith A. Hansen
Superintendent

Cc: Dennis Croswell, President
Steve Noble, Mayor
John Tuey, Comptroller

THE CITY OF KINGSTON COMMON COUCIL

FINANCE AND ECONOMIC DEVELOPMENT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER	____	CONTINGENCY TRANSFER	____	TRANSFER	X
AUTHORIZATION	____	BUDGET MODIFICATION	____	BONDING REQUEST	____
CLAIMS	____	ZONING	____	OTHER	____

DEPARTMENT	**Civil Service**	DATE	12/11/2017
Alderman Noble,			
I respectfully request the Council to approve a transfer of the 2017 budget.			
Decrease the following accounts:	A11143014 5401 General Contract by $850.00		
	A11143014 5401 Contracted Services $500.00		
Increase the following accounts:	A11143011 5101 Regular Pay by $500.00		
	A11143014 5461 Travel Reimbursement by $850.00		
Estimated Financial Impact 0	Signature Jacqueline DeCicco		

Motion by________________________

Seconded by________________________

Action Required:

SEQRA Decision
Type I Action ________
Type II Action ________
Unlisted Action ________

Negative Declaration of Environmental Significance: ______

Conditioned Negative Declaration: ________

Seek Lead Agency Status: ________

Positive Declaration of Environmental Significance: ______

Committee Vote	**Yes**	**No**
Douglas Koop, Ward 2 Chairman		
Reynolds Scott-Childress, Ward 3		
Steven Schabot, Ward 8		
Deborah Brown, Ward 9		
Tony Davis, Ward 6		

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	CONTINGENCY TRANSFER ____	TRANSFER ____
AUTHORIZATION ____	BUDGET MODIFICATION X	BONDING REQUEST X
CLAIMS ____	ZONING ____	OTHER ____

DEPARTMENT WWTF DATE 12/15/17

Description

monies used to payout comp time

Estimated Financial Impact N/A Signature [signature]

Motion by________________

Seconded by________________

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman		
Reynolds Scott-Childress, Ward 3		
Anthony Davis, Ward 6		
Steven Schabot, Ward 8		
Deborah Brown, Ward 9		

FROM:		TO:	
G1-8130-11-5103	$2,405.77	G1-8130-11-5108	$2,405.77

Winnie, Carly

From: Carey, Bill
Sent: Monday, January 08, 2018 8:04 AM
To: jnoble39@aol.com; Winnie, Carly
Subject: Traffic on Pine Grove Ave

>
> Jim
>
> Please add to January communications a discussion about traffic and a possible stop sign on Pine Grove Avenue
>
> Thanks
>
> Bill Carey
>
> Sent from my iPhone

Winnie, Carly

From: Jnoble <jnoble39@aol.com>
Sent: Monday, January 08, 2018 11:20 PM
To: Winnie, Carly
Subject: Fwd: Handicapped Signs

Carly please add to the PS Committee. Thank you.

Sent from my iPhone

Begin forwarded message:

> **From:** "STEVEN SCHABOT" <SSCHABOT@hvc.rr.com>
> **Date:** January 8, 2018 at 9:54:35 PM EST
> **To:** "'James Noble'" <jnoble39@aol.com>
> **Cc:** <rscottchildress@gmail.com>
> **Subject: Handicapped Signs**
>
> Jim
> Property owner at 56 2nd Avenue would like the (2) Handicapped signs removed. The disabled tenant no longer resides at that address.
> Thanks
>
> Steve

RESOLUTION #6 OF 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, TO EXTEND UNPAID WATER AND SEWER RENTS ON THE GENERAL TAX ROLLS

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Mills, Schabot, Brown

WHEREAS, in a communication from the Kingston Water Department of the City of Kingston, New York, a schedule was attached showing certain unpaid water and sewer rents accruing against the properties in the City of Kingston, New York, as of November 21, 2017:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That pursuant to Chapter 395, Article X, Section 395-27 of the City Charter, the amount of unpaid water and sewer rents ($875,971.36) as submitted by the Water Department of the City of Kingston, New York, as being unpaid, with the exception of those amounts which may be paid prior to the next tax levy, be levied upon such property in addition to the amount of the annual tax levied by the City of Kingston, New York, for the year 2018 and the City Clerk is hereby directed to extend such amounts on the General Tax Rolls of the City of Kingston, New York, for the year 2018.

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ___

day of ________________, 2018.

Approved by the Mayor this ___

day of ________________, 2018.

CARLY WINNIE, CITY CLERK

STEVEN T. NOBLE, MAYOR

Adopted by Council on January 9, 2018

Water Sewer Tax Levy

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____
AUTHORIZATION _____
CLAIMS _____

CONTINGENCY TRANSFER _____
BUDGET MODIFICATION _____
ZONING _____

TRANSFER _____
BONDING REQUEST _____
OTHER _____

DEPARTMENT Water Dept DATE ________

Description Respectfully request approval to re-levy unpaid water and sewer charges per letter of Judith Hansen to the Common Council dated Nov. 21, 2017

Estimated Financial Impact ________ Signature ________

Motion by SS

Seconded by AD

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	X	

KINGSTON WATER DEPARTMENT
PO BOX 1537, CITY OF KINGSTON, NY 12402

November 21, 2017

City of Kingston Common Council
420 Broadway
Kingston, NY 12401

Honorable Members of the Common Council:

Pursuant to the Charter of the City of Kingston, New York, Chapter 16-5 and Section 395-29 of the Administrative Code of the City of Kingston, NY:

C16-5:
Water charges shall be a lien upon real estate until paid. Annually, before December 1, the Board of Water Commissioners shall transmit a statement to the Common Council of water charges in arrears for more than three months, with a description of the affected property. The Common Council, upon receipt of the statement, shall cause such unpaid charges, with 6% thereon, to be levied in addition to the general city tax at the time of the next levy, and such charges may be enforced in the same manner as provided in the City Charter for the collection of property taxes. Unpaid water charges remaining unpaid for a prescribed period of time subject the affected property to tax foreclosure. The Comptroller will pay the Water Commissioners for unpaid water charges on or before June 10 in each year.

S395-29:
In the event that water rents are in arrears for more than three months, the water rents and interest and charges thereon shall become a lien upon the real estate against which the water rents shall have been laid, levied or charged.

A copy of the unpaid water and sewer charges that are to be re-levied on the 2018 general tax bills is attached.

Respectfully submitted,

Judith A. Hansen,
Superintendent

Cc: John Tuey, Comptroller

OFFICE: 111 JANSEN AVE. • (845)331-0175 FAX (845)340-9209
E-MAIL: water@kingston-ny.gov

KINGSTON WATER DEPARTMENT
PO BOX 1537, CITY OF KINGSTON, NY 12402

THE FOLLOWING IS A LIST OF ALL ***UN-PAID*** *WATER CHARGES DUE THE*

KINGSTON WATER DEPARTMENT AS OF: *November 21, 2017*

WHICH WILL BE RELEVIED ONTO THE ***2018 GENERAL TAX BILLS:***

WATER		AMOUNT		PENALTY		TOTAL
		$336,313.64		$34,537.48		$ 370,851.12
TOTALS		$ 336,313.64		$34,537.48		$ 370,851.12

PLEASE NOTE:

50% SEWER USAGE FEE PENALTY
DUE FROM COMPTROLLER: **$21,031.64**

TOTAL AMOUNT DUE KINGSTON WATER DEPARTMENT FROM COMPTROLLER'S OFFICE:	**$ 391,882.76**

SEWER TOTALS RELEVIED ONTO THE **2018 GENERAL TAX BILLS:**

SEWER		AMOUNT		50% SEWER FEE	6% ADMIN FEE	TOTAL
		$413,470.18		$21,031.64	$49,586.78	$484,088.60
TOTALS		$ 413,470.18		$21,031.64	$49,586.78	$ 484,088.60

TOTAL SEWER: $ 484,088.60

GRAND TOTAL SEWER & WATER **$ 875,971.36**

OFFICE: 111 JANSEN AVE. • (845)331-0175 FAX (845)340-9209
E-MAIL: water@kingston-ny.gov

RESOLUTION #7 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE CORPORATION COUNSEL TO EXPEND FUNDS AND EXECUTE DOCUMENTS ASSOCIATED WITH ASSESSMENT OF THE WASHINGTON AVENUE TUNNEL GROUTING AND REPAIR WORK

Sponsored by: Finance and Audit Committee
Aldermen Chairman Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, Corporation Counsel has requested authorization to expend $15,000.00 for a full case assessment and evaluation regarding the grouting and repair of the Washington Avenue Tunnel to determine what entities might be responsible for the current repair work; and

WHEREAS, the expectation is that Corporation Counsel will obtain a budgetary transfer when more definite costs are known

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the Common Council of the City of Kingston, New York a full case assessment and evaluation regarding the grouting and repair of the Washington Avenue Tunnel

SECTION 2. The Corporation Counsel of the City of Kingston is hereby authorized to expend funds and execute documents associated with the assessment of the Washington Avenue grouting and repair work

SECTION 3. That this resolution shall take effect immediately.

Submitted to the Mayor this _____ day	Approved by the Mayor this ______ day
of ______________________ 2018	of ______________________ 2018
______________________	______________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on January 9, 2018

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER _____
AUTHORIZATION _____
CLAIMS _____

CONTINGENCY TRANSFER _____
BUDGET MODIFICATION _____
ZONING _____

TRANSFER _____
BONDING REQUEST _____
OTHER _____

DEPARTMENT Corporation Counsel DATE December 13, 2017
Description

Authorizing Corporation Counsel to expend $15,000.00 for an evaluation and assessment regarding the Grouting and repair of the Washington Avenue Tunnel to determine what entities might be responsible for The needed repair work presently occurring. A full case assessment is requested for approval.

~~A request is made that a separate line item be established in the amount of $15,000.00 to pay for the Study~~ ~~The amount will be~~

The expectation is that ~~Common~~ Corporation Counsel will obtain a budgetary transfer when more definite costs are known KB

Estimated Financial Impact_______________ Signature

Motion by SS

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	X?	

CITY OF KINGSTON

Office of Corporation Counsel

kbryant@kingston-ny.gov

Steven T. Noble, Mayor
Kevin R. Bryant, Corporation Counsel

Daniel Gartenstein, Asst. Corporation Counsel
Mary Lou P. Christiana, Asst. Corporation Counsel

Date: November 21, 2017

To: James L. Noble
President, Common Council

From: Kevin R. Bryant
Corporation Counsel KB

Re: Washington Avenue Tunnel

It has come to our attention that a full case assessment is needed regarding the grouting and repair of the Washington Avenue Tunnel to determine what entities might be responsible for the needed repair work presently occurring. A full case assessment regarding same is requested for approval.

As a result of said assessment, a request is also made that a separate line item be established in the amount of $15,000.00 to pay for the study.

Please refer the above requests to the appropriate Committee.

City Hall · 420 Broadway · Kingston, New York 12401 · (845) 334-3947· Fax (845) 334-3959 · www.kingston-ny.gov

RESOLUTION #8 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, ACCEPTING THE 2016 INDEPENDENT AUDIT REPORT AS PREPARED BY RBT CPA'S

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the City has received its independent audit report for the year 2016 from RBT CPA's

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the Common Council of the City of Kingston accepts the Independent Audit Report of RBT CPS's for the calendar year 2016

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ____	Approved by the Mayor this ____
day of ____________________ 2018.	day of ____________________ 2018.
______________________________	______________________________
Carly Winnie, City Clerk	Steven T. Noble, Mayor

Adopted by Council on January 9, 2018

Capital Plan

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____
AUTHORIZATION ✓
CLAIMS ____

CONTINGENCY TRANSFER ____
BUDGET MODIFICATION ____
ZONING ____

TRANSFER ____
BONDING REQUEST ____
OTHER ____

DEPARTMENT Comptroller DATE 12/13/17

Description

Recommend adoption of 2016 Audit Report for the City of Kingston as prepared by RBT CPA's.

Estimated Financial Impact $0 Signature

Motion by SS

Seconded by RS-C

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Douglas Koop, Chairman	✓	
Reynolds Scott-Childress Ward 3	✓	
Anthony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9	X	

CITY OF KINGSTON

Office of the Comptroller

comptroller@kingston-ny.gov

John Tuey, Comptroller

Steven T. Noble, Mayor

November 21, 2017

Alderman at Large James Noble
City of Kingston Common Council
420 Broadway
Kingston, NY 12401

RE: 2016 Independent Audit Report

Dear Alderman at Large Noble,

The City has received its independent audit report for calendar year 2016 from RBT CPAs LLP. Please refer to the City's Finance Committee for discussion. Ten copies of the audit report are attached.

Sincerely,

John R. Tuey
Comptroller, City of Kingston

cc: Mayor Steven T. Noble

City Hall · 420 Broadway · Kingston, New York 12401 · (845) 334-3935· Fax (845) 334-3944 · www.kingston-ny.gov

FINANCIAL REPORT

Audited

CITY OF KINGSTON, NEW YORK

December 31, 2016

Audited for:

Members of the Common Council

CITY OF KINGSTON, NEW YORK

Audited by:

RBT CPAs, LLP
2678 South Road, Suite 101
Poughkeepsie, NY 12601
(845) 485-5510

TABLE OF CONTENTS

LIMITED LIABILITY PARTNERSHIP
CERTIFIED PUBLIC ACCOUNTANTS BUSINESS DEVELOPMENT CONSULTANTS

INDEPENDENT AUDITOR'S REPORT

To the Mayor and
Members of the Common Council
City of Kingston, New York
420 Broadway
Kingston, New York, 12401

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities, business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the City of Kingston, New York, as of and for the year ended December 31, 2016, and the related notes to the financial statements, which collectively comprise the City of Kingston, New York's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements.

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We did not audit the financial statements of the City's Water Fund, as shown on the Statement of Net Position and Statement of Revenues, Expenses, and Changes in Net Position – Proprietary Funds. Those statements were audited by other auditors, whose report has been furnished to us, and our opinion, insofar as it relates to the amounts included for the Water Fund, is based solely on the report of the other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

rbtcpas.com

11 Racquet Road
Newburgh, NY 12550
T: (845) 567-9000
F: (845) 567-9228

2622 South Avenue
Wappingers Falls, NY 12590
T: (845) 905-9000
F: (845) 905-9904

2678 South Road, Suite 101
Poughkeepsie, NY 12601
T: (845) 485-5510
F: (845) 485-5547

P.O. Box 209
51 Sullivan Street
Wurtsboro, NY 12790
T: (845) 888-5656
F: (845) 888-2789

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, based on our audit and the report of the other auditors, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the City of Kingston, New York, as of December 31, 2016, and the respective changes in financial position and, where applicable, cash flows thereof for the year then ended in accordance with accounting principle generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis, budgetary comparison information, other post-employment benefits plan schedule of funding progress, schedule of proportionate share of the net pension liability, and schedule of employer contributions on pages 4-15 and 54-57 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the City of Kingston, New York's basic financial statements. The schedule of expenditures of federal awards and selected state awards is presented for purposes of additional analysis as required by Title 2, U.S. *Code of Federal Regulations,* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance) and is not a required part of the basic financial statements.

The schedule is the responsibility of management and were derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards, and selected state awards is fairly stated, in all material respects, in relation to the basic financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards,* we have also issued our report dated October 2, 2017 on our consideration of the City of Kingston, New York's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City of Kingston's internal control over financial reporting and compliance.

Restatement

Management has restated the accompanying financial statements as described in Note K.

RBT CPAs, LLP

Poughkeepsie, New York
October 2, 2017, except as to Note K, which is as of November 3, 2017

City of Kingston
New York 12401

Office of the Comptroller

CPO Box 1627
City Hall, 420 Broadway

Telephone: (845)331-0080
Fax: (845)334-3944

Management's Discussion and Analysis

The discussion and analysis of the City of Kingston's financial performance provides an overview of the City's financial activities for the fiscal year ended December 31, 2016. Please read it in conjunction with the City's financial statements, which begin on page 23. The management discussion and analysis for component units are presented in the component units' own separately issued financial reports.

Financial Highlights

The City's net position decreased as a result of this year's operations. The net assets of our business-type activities increased by $11,207 or 0.09% and net assets of our governmental activities decreased by $1,778,771or 19.26%.

During the year the City had expenses that were $5.0 million more than the $44.3 million generated in tax and other revenues for governmental programs. This is compared to last year when expenses exceeded revenue by $4.86 million.

The revenues for the City's business-type activities increased by $213,334 or 2.26%. Expenses decreased by $96,779 or 1.04%.

The cost of all the City's programs increased by $5,345,941.

Using This Annual Report

This annual report consists of a series of financial statements. The Statement of Net Position on pages 23 – 24 and the Statement of Activities and Changes in Net Position on page 25 provide information about the activities of the City as a whole and present a longer-term view of the City's finances. Fund financial statements start on page 25. For governmental activities, these statements tell how these services were financed in the short term as well as what remains for future spending.

Fund financial statements also report the City's operations in more detail than the government-wide statements by providing information about the City's most significant funds.

Reporting the City as a Whole

One of the most important questions asked about the City's finances is, "Is the City better off or worse off as a result of the year's activities?" The Statement of Net Assets and the Statement of Activities and Changes in Net Position report information about the City as a whole and about its activities in a way that helps answer this question. These statements include all assets and liabilities using the accrual basis of accounting, which is similar to the accounting used by most private-sector companies. All of the current year's revenues and expenses are taken into account regardless of when cash is received or paid.

These two statements report the City's net assets and changes in them. You can think of the City's net assets - the difference between assets and liabilities - as one way to measure the City's financial health, or financial position. Over time increases or decreases in the City's net assets are one indicator of whether its financial health is improving or deteriorating. You will need to consider other nonfinancial factors, however, such as changes in the City's property tax base and the condition of the City's capital assets (roads, buildings, water, and sewer lines) to assess the overall health of the City.

In the Statement of Net Position and the Statement of Activities and Changes in Net Position we divide the City into three kinds of activities.

Governmental activities - Most of the City's basic services are reported here, including the police, fire, public works, community development and parks department and general administration. Property taxes, sales tax, franchise fees, charges for services and state and federal grants finance most of these activities.

Business - type activities - The City charges a fee to customers to help it cover all or most of the cost of certain services it provides. The City's water and sewer system are reported here.

Component units - The City included two separate legal entities in its report - the Kingston Local Development Corp., and Kingston - Ulster Empire Zone for 2011. Although legally separate, these "component units" are important because the City is financially accountable for them, or they are significant to the City's activities. The EZ is not included as a component unit for 2012 as it is no longer considered significant.

Reporting the City's Most Significant Funds

Our analysis of the City's major funds begins on page 11. The fund financial statements begin on page 22 and provide detailed information about the most significant funds - not the City as a whole. Some funds are required to be established by State law and by bond covenants. However the City Council establishes many other funds to help it control and manage money for particular purposes (such as capital projects) or to show that it is meeting legal responsibilities for using certain grants and other money (like grants received from the U.S. Department of Housing and Urban Development). The City's two kinds of funds - governmental and proprietary - use different accounting approaches.

Governmental funds - Most of the City's basic services are reported in governmental funds, which focus on how money flows into and out of those funds and the balances left at year-end that are available for spending. These funds are reported using an accounting method called modified accrual accounting which measures cash and all other financial assets that can readily be converted to cash. The governmental fund statements provide a detailed short-term view of the City's general government operations and the basic services it provides. Governmental fund information helps you determine whether there are more or fewer financial resources that can be spent in the near future to finance the City's programs. We describe the relationship (or differences) between governmental activities (reported in the Statement of Net Assets and the Statement of Activities and Changes in Net Position) and governmental funds in a reconciliation following the fund financial statements.

Proprietary funds - When the City charges customers for the services it provides - whether to outside customers or to other units of the City - these services are generally reported in proprietary funds. Proprietary funds are reported in the same way that all activities are reported in the Statement of Net Position and the Statement of Activities. In fact, the City's enterprise funds (a component of proprietary funds) are the same as the business- type activities we report in the government -wide statements but provide more detail and additional information, such as cash flows, for proprietary funds.

The City as a Whole

The City's total net position changed from a year ago, decreasing from a positive $3,394,703 to $1,262,643 or 63%. In contrast, from 2014 to 2015, net assets decreased by 27.0%. Our analysis on page 13 focuses on the net position (Table 1) and changes in net assets page 15 (Table 2) of the City's governmental and business-type activities.

Condensed Financial Statement and Analysis
Table 1

	Governmental Activities		Business-Type Activities		Total Primary Government	
	2016	2015	2016	2015	2016	2015
Current & Other Assets	$ 23,130,506	$ 22,248,796	$ 8,102,083	$ 8,874,042	$ 31,232,589	$ 31,122,838
Capital Assets	44,198,463	41,751,179	36,634,874	32,237,865	80,833,337	73,989,044
Total Assets	67,328,969	63,999,975	44,736,957	41,111,907	112,065,926	105,111,882
Deferred Outflow of Resources	12,142,130	1,627,861	1,756,099	549,756	13,898,229	2,177,617
Total Assets and Deferred Outflow of Resources	79,471,099	65,627,836	46,493,056	41,661,663	125,964,155	107,289,499
Long Term Liabilities	73,121,371	61,485,517	24,888,797	22,795,109	98,010,168	84,280,626
Other Liabilities	15,417,104	13,184,733	8,682,934	6,103,995	24,100,038	19,288,728
Total Liabilities	88,538,475	74,670,250	33,571,731	28,899,104	122,110,206	103,569,354
Deferred Inflows of Resources	1,945,256	191,447	281,554	133,995	2,226,810	325,442
Total Liabilities and Deferred Inflows of Resources	90,483,731	74,861,697	33,853,285	29,033,099	124,337,016	103,894,796
Net Position						
Invested in Capital Assets, net of Debt	28,313,543	24,936,418	12,724,069	13,521,582	41,037,612	38,458,000
Restricted	120,065	186,673	-	-	120,065	186,673
Unrestricted(deficit)	(39,446,240)	(34,356,952)	(448,794)	(893,018)	(39,895,034)	(35,249,970)
Total Net Position	$ (11,012,632)	$ (9,233,861)	$ 12,275,275	$ 12,628,564	$ 1,262,643	$ 3,394,703

Net position of the City's governmental activities decreased by 19.26% (Negative $11.1 million compared to $9.2 million). Unrestricted net position represents the part of net position that can be used to finance day-to-day operations without constraints established by debt covenants, enabling legislation, or other legal requirements. The prior year deficit in unrestricted net position of $34,356,952 is now a deficit of $ $39,446,240

The deficit in unrestricted governmental net position is mainly due to the requirement to accrue postemployment benefits under GASB Statement No. 45. The total accrued liability for postemployment benefits was $48,697,452 as of December 31, 2016.

The net position of the business-type activities increased by 0.09% ($12.628 million to $12.639 million) in 2016 mainly due to increases in charges for services. This was partially offset by the requirement to accrue additional postemployment benefits of $1,475,181 under GASB Statement No. 45. The total accrued liability for postemployment benefits was $6,782,548 as of December 31, 2016. Even though the business-type activities have positive net position they cannot be used to offset governmental activities. The City generally can only use these net assets to finance the continuing operations of the water and sewer departments.

Changes in Net Assets
Table 2

	Governmental Activities		Business-Type Activities		Total Primary Government	
Revenues	2016	2015	2016	2015	2016	2015
Program Revenues						
Charges for Services	$ 3,091,358	$ 2,168,499	$ 9,562,809	$ 9,653,501	$ 12,654,167	$ 11,822,000
Operating Grants & Contrib.	490,231	663,563	-	-	490,231	663,563
Capital Grants & Contrib.	4,363,998	4,467,518	-	-	4,363,998	4,467,518
Total Program Revenues	7,945,587	7,299,580	9,562,809	9,653,501	17,508,396	16,953,081
General Revenues						
Property Taxes	18,497,686	16,679,155	-	-	18,497,686	16,679,155
Other Taxes	13,940,953	13,344,805	-	-	13,940,953	13,344,805
State Aid	3,725,033	3,092,087	-	-	3,725,033	3,092,087
Other General Revenues	265,600	1,633,154	54,655	5,971	320,255	1,639,125
Total General Revenues	36,429,272	34,749,201	54,655	5,971	36,483,927	34,755,172
Total Revenues	44,374,859	42,048,781	9,617,464	9,659,472	53,992,323	51,708,253
Program Expenses						
General Government	5,901,866	4,921,781	-	-	5,901,866	4,921,781
Public Safety	26,094,485	23,449,361	-	-	26,094,485	23,449,361
Health	68,623	35,864	-	-	68,623	35,864
Trasportation	6,175,840	3,825,433	-	-	6,175,840	3,825,433
Economic Assist. & Opp.	225,525	311,686	-	-	225,525	311,686
Culture & Recreation	2,851,488	2,975,635	-	-	2,851,488	2,975,635
Home & Community Serv.	4,638,797	4,990,175	-	-	4,638,797	4,990,175
Employee Benefits, retirees	2,962,134	3,428,303	-	-	2,962,134	3,428,303
Interest Expense	336,246	431,248	-	-	336,246	431,248
Water	-	-	4,264,391	4,319,537	4,264,391	4,319,537
Sewer	-	-	5,360,750	4,845,181	5,360,750	4,845,181
Total Expenses	49,255,004	44,369,486	9,625,141	9,164,718	58,880,145	53,534,204
Change in Net Assets before transfers	(4,880,145)	(2,320,705)	(7,677)	494,754	(4,887,822)	(1,825,951)
Transfers	-	(70,663)	-	70,663	-	-
Increase (decrease) in net assets	$ (4,880,145)	$ (2,391,368)	$ (7,677)	$ 565,417	$ (4,887,822)	$ (1,825,951)

The City's total revenues increased by $2,284,058 or 4.42%. The total cost of all programs and services increased by $5,345,941 or 9.99%. The following analysis separately considers the operations of governmental and business-type activities.

Governmental Activities

Revenues for the City's governmental activities increased by $2,326,066 or 5.53%, while total expenses increased by $4,885,518 or 11.01%, which results in a decrease in net assets of $2.6 million for 2016 compared to a $2.3 million decrease in 2015.

The cost of all governmental activities this year was $49.26 million compared to $44.37 million last year. However, as shown in Table 2, the amount that our taxpayers ultimately financed for these activities through City taxes was only $18,497,686 because some of the cost was paid by those who directly benefited from the programs, ($3,091,358) or by other governments and organizations that subsidized certain programs with grants and contributions ($4,854,229) and other general revenue ($17,931,574). Overall, the City's governmental program revenues increased $646,007 or 8.85%. The City paid for the remaining "public benefit" portion of governmental activities with $36.43 million in taxes and with the other revenues, such as general state aid.

Business-type Activities

Revenues of the City's business-type activities (see Table 2) decreased from $9.65 million to $9.61 million or 0.43% and expenses increased from $9.16 million to $9.63 million or 2.94%. The most significant factor driving the decrease in net assets was the cost of postemployment benefit expenses.

Details on changes relating to the Water Department may be found in the management discussion and analysis that is presented in the Department's own separately issued financial reports.

The City's Funds

As the City completed the year, its governmental funds as presented in the Balance Sheet on page 21 reported a combined fund balance of $1,648,918 which is $1,936,929 lower than last year's total. The capital expenditures create new assets for the City and are reported in the Statement of Net Position.

General Fund Budgetary Highlights

Over the course of the year, the Council revised the City budget several times. These budget amendments include the following:

1. Modifications required to reflect new grants which were obtained and to authorize expenditures for same.

2. Various modifications throughout the year for unanticipated expenditures.

Even with these adjustments, the actual charges to appropriations (expenditures) of $39,217,209 were $1,727,549 less than the final budget amount. This is most significantly due to Public Safety expenditures being under-budget by $837,677 and General Government Support expenditures being under-budget by $391,086

Capital Assets

At the end of 2016, the City had $80,833,337 invested in a broad range of capital assets, including buildings, roads, park facilities, water and sewer lines and equipment for police, fire, public works departments. (See Table 3 on page 19) This amount represents a net increase (including additions and deductions) of $6,844,203 or 9.25% over the last year.

This year's major additions included:

General Fund	
Washington Avenue storm sewer remediation paid with bonding.	$561,098
Various equipment purchases	$1,331,096
Road improvements using CHIPS funding.	$532,877
Greenkill Ave Bridge Improvements paid with grants and bonding	$1,197,280
Water Fund	
Water system improvements paid with bonding and local share.	$ 3,305,370
Sewer Fund	
Sewer system improvements paid with grants, bonding, and local share.	$2,387,668

The City's 2017 general fund capital budget anticipates a spending level of $660,000 which will be financed with grants and long term bonding. There was $0 of anticipated spending at the time the 2016 sewer fund capital budget was adopted. Most sewer infrastructure projects are approved outside of the annual capital budget process. The 2017 water fund capital budget is approximately $ 3,200,000 and will be financed by a combination of bonding and budget appropriations. More detailed information about the City's capital assets is presented in Note 3 to the financial statements.

Capital Assets at Year End
(Net Depreciation)
Table 3

	Governmental Activities		Business-Type Activities		Totals	
	2016	2015	2016	2015	2016	2015
Land	$ 192,500	$ 192,500	$ 118,729	$ 118,729	$ 311,229	$ 311,229
Construction in Progress	2,534,099	5,172,330	3,764,586	4,300,103	6,298,685	9,472,433
Buildings	6,977,720	7,265,571	4,929,335	3,615,794	11,907,055	10,881,365
Improvements	9,949,586	8,742,674	4,429,274	4,919,176	14,378,860	13,661,850
Infrastructure	19,319,190	15,615,128	21,785,283	18,012,755	41,104,473	33,627,883
Machinery & Equip.	5,225,368	4,763,066	1,607,667	1,271,308	6,833,035	6,034,374
Total	$44,198,463	$41,751,269	$36,634,874	$32,237,865	$80,833,337	$73,989,134

Debt

At year-end, the City had $38,261,386 in bonds and notes outstanding versus $37,246,724 last year – a decrease of 2.72%.

On April 2, 2014, S&P raised the rating on the City's long-term rating and underlying rating (SPUR) one notch from A+ to AA-, with a stable outlook. Some of the factors cited in the decision for the upgrade include strong budgetary flexibility, very strong liquidity, adequate overall budget performance, a strong debt and contingent liabilities profile, and strong management conditions with good financial management practices.

On March 6, 2016, S&P assigned its AA- long-term rating to the City's $8,673,407 public improvement bonds - 2016. At the same time, S&P affirmed the AA- long-term rating and underlying rating on the city's outstanding general obligation bonds. The outlook is stable. Some of the factors cited in the decision include strong budgetary flexibility, very strong liquidity, strong management conditions, strong debt and contingent liabilities profile, and strong institutional framework score.

On July 27, 2016, S&P assigned its AA- long-term rating to the City's $2,675,000 public improvement refunding bonds - 2016. At the same time, S&P affirmed the AA- long-term rating and underlying rating on the city's outstanding general obligation bonds. The outlook is stable. Some of the factors cited in the decision include strong budgetary flexibility, very strong liquidity, strong management, strong debt and contingent liability position, and strong institutional framework score.

The State limits the amount of general obligation debt that cities can issue to 7% of the average 5 year full assessed value of all taxable property within the City. The City's outstanding debt was approximately 25% of its limit at the end of the year.

Other obligations include liabilities for retirement, compensated absences, judgments and claims, and landfill closure. More detailed information about the City's long-term liabilities is presented in the notes to the financial statement. See Table 5 for details on debt.

Outstanding Debt at Year End

Table 4

	Governmental Activities		Business-Type Activities		Totals	
	2016	2015	2016	2015	2016	2015
General obligation bonds (Backed by the City)	$ 9,155,337	$ 10,956,385	$ 4,544,662	$ 4,947,021	$ 13,699,999	$ 15,903,406
Bond anticipation notes	5,999,495	5,007,077	6,459,593	3,125,040	12,459,088	8,132,117
NYS EFC Revolving Loans	-	-	9,903,000	10,644,221	9,903,000	10,644,221
Installment Debt	730,088	851,298	1,469,213	1,715,682	2,199,301	2,566,980
Total	$ 15,884,920	$ 16,814,760	$ 22,376,468	$ 20,431,964	$ 38,261,388	$ 37,246,724

Economic Factors and Next Year's Budgets and Rates

The City's elected and appointed officials considered many factors when setting the fiscal-year 2017 budget, tax rates, and fees that will be charged for the business-type activities. One of those factors is the economy. As of December 2016, unemployment in the Kingston, NY Metropolitan Statistical Area stands at 4.3 percent versus the state unemployment rate of 4.5 percent.

The residential housing market shows some signs of improvement, however the City has experienced declines in assessed value the last several budget cycles. Sales tax revenues have showed strong, consistent growth.

These indicators were taken into account when adopting the General Fund budget for 2017. Revenues were budgeted at $41,467,784 which was an increase from the 2016 actual amount of $40,082,624. The City appropriated $775,000 of fund balance for the 2017 budget.

Budgeted expenditures of $41,467,784 exceeded the final 2016 expended amount of $39,212,209. The City has added no major new programs or initiatives to the 2017 budget. The City has continued to implement a program of conservative spending for required programs and seek out grants and other sources of funding to cover unexpected expenses related to infrastructure repair. Through aggressive actions to control spending, the City has been able to minimize negative impact on fund balance.

As for the City's business-type activities, we expect that the 2017 results will be consistent with 2016 as there were no rate increases for Sewer charges. Also, the Kingston Water Board did not make any changes in its 2017 rates.

Contacting the City's Financial Management

This financial report is designed to provide our citizens, taxpayers, customers, investors and creditors with a general overview of the City's finances and to show the City's accountability for the money it receives. If you have questions about this report or need additional information, contact the City Comptroller's Office at 420 Broadway, Kingston, New York, 12401.

CITY OF KINGSTON, NEW YORK
STATEMENT OF NET POSITION
DECEMBER 31, 2016

	GOVERNMENTAL ACTIVITIES	BUSINESS-TYPE ACTIVITIES	TOTAL PRIMARY GOVERNMENT	COMPONENT UNITS
ASSETS				
Current assets:				
Cash and cash equivalents	$ 7,980,514	$ 3,527,360	$ 11,507,874	$ 1,930,378
Taxes receivable	5,088,681	-	5,088,681	-
Due from other governments	5,169,819	178,664	5,348,483	-
Notes receivable	911,050	-	911,050	214,038
Other receivables	4,555,334	2,021,818	6,577,152	19,661
Inventory	9,704	154,240	163,944	-
Prepaid expenses	359,387	168,658	528,045	8,904
Internal balances	(943,983)	943,983	-	-
Total current assets	23,130,506	6,994,723	30,125,229	2,172,981
Non-current assets:				
Cash - restricted	-	1,107,360	1,107,360	280,749
Long term receivables	-	-	-	1,120,627
Total non-current assets	-	1,107,360	1,107,360	1,401,376
Capital assets:				
Land	192,500	118,729	311,229	2,784,953
Improvements and Infrastructure, net of depreciation	29,157,349	26,701,016	55,858,365	230,412
Buildings, machinery and equipment, net of depreciation	12,314,515	6,050,541	18,365,056	1,479,960
Construction in progress	2,534,099	3,764,588	6,298,687	-
Total capital assets	44,198,463	36,634,874 -	80,833,337	4,495,325
DEFERRED OUTFLOW OF RESOURCES				
Other	24,587	-	24,587	-
Pension	12,117,543	1,756,099	13,873,642	-
Total deferred outflows of resources	12,142,130	1,756,099	13,898,229	-
Total assets and deferred outflow of resources	$ 79,471,099	$ 46,493,056	$ 125,964,155	$ 8,069,682

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF NET POSITION (CONTINUED)
DECEMBER 31, 2016

	GOVERNMENTAL ACTIVITIES	BUSINESS-TYPE ACTIVITIES	TOTAL PRIMARY GOVERNMENT	COMPONENT UNITS
LIABILITIES				
Current Liabilities:				
Accounts payable	$ 2,720,017	$ 1,863,686	$ 4,583,703	$ 1,370
Accrued liabilities and retainage payable	621,384	307,006	928,390	6,458
Due to other governments	4,043,549	226,682	4,270,231	-
Bond anticipation notes payable	5,999,495	4,968,839	10,968,334	-
Notes payable	-	-	-	85,733
Bond & Installment Obligations Payable	2,032,659	1,311,338	3,343,997	-
Compensated absence liability	-	5,383	5,383	
Total current liabilities	15,417,104	8,682,934	24,100,038	93,561
Non-current liabilities:				
Bond & Installment Obligations Payable	7,852,766	14,605,537	22,458,303	-
Bond anticipation notes payable	-	1,490,754	1,490,754	
Notes payable	-	-	-	841,557
Compensated absence liability	2,636,116	506,819	3,142,935	-
Other long term liabilities	594,838	27,958	622,796	-
Other post-employment benefits	48,697,452	6,782,548	55,480,000	-
Due to retirement system	1,911,823	-	1,911,823	-
Share of net pension liability	11,428,376	1,475,181	12,903,557	-
Total non-current liabilities	73,121,371	24,888,797	98,010,168	841,557
Total liabilities	88,538,475	33,571,731	122,110,206	935,118
DEFERRED INFLOW OF RESOURCES				
Deferred revenue	281,291	-	281,291	-
Pension	1,663,965	281,554	1,945,519	-
Total deferred inflows of resources	1,945,256	281,554	2,226,810	-
NET POSITION				
Net Investment in Capital Assets	28,313,543	12,724,069	44,062,703	4,495,325
Restricted for economic and community development	120,065	-	120,065	-
Restricted for special projects	-	364,496	-	-
Unrestricted	(39,446,240)	(448,794)	(42,555,629)	2,639,239
Total net position	(11,012,632)	12,639,771	1,627,139	7,134,564
Total liabilities, deferred inflow of resources, and net position	$ 79,471,099	$ 46,493,056	$ 125,964,155	$ 8,069,682

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED DECEMBER 31, 2016

					CHANGES IN NET POSITION PRIMARY GOVERNMENT			
FUNCTIONS/PROGRAMS	EXPENSES	CHARGES FOR SERVICES	OPERATING GRANTS AND CONTRIBUTIONS	CAPITAL GRANTS AND CONTRIBUTIONS	GOVERNMENTAL ACTIVITIES	BUSINESS-TYPE ACTIVITIES	TOTAL	COMPONENT UNITS
Governmental Activities:								
General government support	$ 5,901,866	$ 2,005,630	$ 88,992	$ -	$ (3,807,244)	$ -	$ (3,807,244)	$ -
Public safety	26,094,485	282,656	-	94,576	(25,717,253)	-	(25,717,253)	-
Health	68,623	63,753	-	-	(4,870)	-	(4,870)	-
Transportation	6,175,840	143,353	264,326	3,082,765	(2,685,396)	-	(2,685,396)	-
Economic assistance & opportunity	225,525	-	62,179	1,186,657	1,023,311	-	1,023,311	-
Culture and recreation	2,851,488	380,016	74,734	-	(2,396,738)	-	(2,396,738)	-
Home and community services	4,638,797	215,950	-	-	(4,422,847)	-	(4,422,847)	-
Employee benefits	2,962,134	-	-	-	(2,962,134)	-	(2,962,134)	-
Interest on long-term debt	336,246	-	-	-	(336,246)	-	(336,246)	-
Total governmental activities	49,255,004	3,091,358	490,231	4,363,998	(41,309,417)	-	(41,309,417)	-
Business-type activites:								
Water Fund	4,264,391	4,503,886	-	-	-	239,495	239,495	-
Sewer Fund	5,360,750	5,058,923	-	-	-	(301,827)	(301,827)	-
Total business-type activities	9,625,141	9,562,809	-	-	-	(62,332)	(62,332)	-
Total primary government	$ 58,880,145	$ 12,654,167	$ 490,231	$ 4,363,998	(41,309,417)	(62,332)	(41,371,749)	-
Component Units:								
Kingston Local Development Corp.	$ 277,731	$ 157,624	$ -	$ -	-	-	-	(120,107)
General revenues								
Taxes:								
Real property taxes					17,461,410	-	17,461,410	-
Other real property tax items					1,036,276	-	1,036,276	-
Sales taxes					12,898,536	-	12,898,536	-
Mortgage taxes					331,805	-	331,805	-
Utility taxes					336,784	-	336,784	-
Franchise taxes					373,828	-	373,828	-
Grants and contributions					3,725,033	-	3,725,033	-
Unrestricted investment earnings					27,990	1,247	29,237	7,734
Special item-Gain on sale of property and compensation for loss					145,580	4,482	150,062	-
Miscellaneous					92,030	48,926	140,956	-
Total general revenues, special items and transfers					36,429,272	54,655	36,483,927	7,734
Change in net position					(4,880,145)	(7,677)	(4,887,822)	(112,373)
Net position - beginning, as previously stated					(9,233,873)	12,628,564	3,394,691	7,246,937
Prior period adjustment (Note H)					3,101,386	18,884	3,120,270	-
Net position - beginning, restated					(6,132,487)	12,647,448	6,514,961	7,246,937
Net position - ending					$ (11,012,632)	$ 12,639,771	$ 1,627,139	$ 7,134,564

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
BALANCE SHEET
GOVERNMENTAL FUNDS
DECEMBER 31, 2016

	Restated General	Special Grant	Capital Projects	Debt Service	Total Governmental Funds
ASSETS					
Cash and cash equivalents	$ 6,754,019	$ 120,148	$ 177,509	$ 928,838	$ 7,980,514
Receivables:					
Taxes	5,088,681	-	-	-	5,088,681
Other	1,211,454	-	-	-	1,211,454
Inventory	9,704	-	-	-	9,704
Mortgage loans receivable	-	911,050	-	-	911,050
Due from other funds	2,178,287	-	1,041,194	162,975	3,382,456
Due from other governments	2,556,089	-	81,073	599,000	3,236,162
State and federal receivable	1,035,247	-	2,308,633	-	3,343,880
Prepaid expenses	604,029	-	-	-	604,029
Total assets	$ 19,437,510	$ 1,031,198	$ 3,608,409	$ 1,690,813	$ 25,767,930
LIABILITIES AND FUND EQUITY					
Liabilities:					
Accounts payable	$ 940,397	$ -	$ 1,779,620	$ -	$ 2,720,017
Accrued liabilities	515,453	-	-	-	515,453
Retainage payable	-	-	30,890	-	30,890
Bond anticipation notes payable	-	-	5,999,495	-	5,999,495
Due to other funds	1,355,853	-	2,057,689	912,897	4,326,439
Due to other governments	4,319,864	83	18,050	-	4,337,997
Deferred revenues	4,917,587	911,050	357,391	-	6,186,028
Other liabilities	221	-	-	2,472	2,693
Total liabilities	12,049,375	911,133	10,243,135	915,369	24,119,012
Fund Balance:					
Nonspendable	613,733	-	-	-	613,733
Restricted	-	-	3,663,800	-	3,663,800
Assigned appropriated	775,000	-	-	-	775,000
Assigned unappropriated	511,445	120,065	-	775,444	1,406,954
Unassigned	5,487,957	-	(10,298,526)	-	(4,810,569)
Total fund balance	7,388,135	120,065	(6,634,726)	775,444	1,648,918
Total liabilities and fund equity	$ 19,437,510	$ 1,031,198	$ 3,608,409	$ 1,690,813	$ 25,767,930

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
RECONCILIATION OF THE TOTAL GOVERNMENTAL FUNDS BALANCE SHEET
TO THE STATEMENT OF NET POSITION
DECEMBER 31, 2016

	Total Governmental Funds	Long-Term Assets and Liabilities	Reclassifications and Eliminations	Statement of Net Position Totals
ASSETS				
Cash and Cash Equivalents	$ 7,980,514	$ -	$ -	$ 7,980,514
Receivables				
Taxes	5,088,681	-	-	5,088,681
Other	4,555,334	-	-	4,555,334
Inventory	9,704	-	-	9,704
Mortgage loans receivable	911,050	-	-	911,050
Due from Other Funds	3,382,456	-	(4,326,439)	(943,983)
Due from other governments	3,236,162	1,933,657	-	5,169,819
Prepaid Expenses	604,029	(244,642)	-	359,387
Capital Assets, Net	-	-	-	
Land	-	192,500	-	192,500
Improvements and Infrastructure, net	-	29,157,349	-	29,157,349
Buildings, machinery and equipment, net	-	12,314,515	-	12,314,515
Construction in progress	-	2,534,099	-	2,534,099
Deferred Outflows of Resources - Other		24,587	-	24,587
Deferred Outflows of Resources - Pension	-	12,117,543	-	12,117,543
Total Assets and Deferred Outflows	$ 25,767,930	$ 58,029,608	$ (4,326,439)	$ 79,471,099
LIABILITIES				
Accounts Payable	$ 2,720,017	$ -	$ -	$ 2,720,017
Other Liabilities	2,693	592,145	-	594,838
Accrued Expenditures	515,453	75,041	-	590,494
Retainage payable	30,890	-	-	30,890
Bond Anticipation Notes Payable	5,999,495	-	-	5,999,495
Bond & Installment Obligations Payable	-	9,885,425	-	9,885,425
Due to Other Funds	4,326,439	-	(4,326,439)	-
Due to other governments	4,337,997	(294,448)	-	4,043,549
Due to Employees' Retirement System	-	1,911,823	-	1,911,823
Compensated Absences	-	2,636,116	-	2,636,116
Net Pension Liability-Proportionate Share	-	11,428,376	-	11,428,376
Other Postemployment Benefits	-	48,697,452	-	48,697,452
Deferred Inflows of Resources - Deferred Revenue	6,186,028	(5,904,737)	-	281,291
Deferred Inflows of Resources - Pension	-	1,663,965	-	1,663,965
Total Liabilities and Deferred Inflows	24,119,012	70,691,158	(4,326,439)	90,483,731
Total Fund Balances	1,648,918	(12,661,550)	-	(11,012,632)
Total Liabilities, Deferred Inflows and Fund Balances	$ 25,767,930	$ 58,029,608	$ (4,326,439)	$ 79,471,099

46

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
GOVERNMENTAL FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2016

	General	Special Grant	Capital Projects	Debt Service	Total Governmental Funds
Revenues:					
Real Property Taxes	$ 17,167,464	$ -	$ -	$ -	$ 17,167,464
Real Property Tax Items	1,036,276	-	-	-	1,036,276
Non Property Tax Items	13,609,148	-	-	-	13,609,148
Departmental Income	2,347,725	-	77,341	-	2,425,066
Intergovernmental Charges	65,000	-	-	-	65,000
Use of Money and Property	28,477	-	30	19,959	48,466
Licenses and Permits	565,322	-	-	-	565,322
Fines and Forfeitures	206,344	-	-	-	206,344
Sale of Property and Compensation for Loss	145,580	-	-	-	145,580
Miscellaneous	359,570	40,859	56,633	-	457,062
Interfund Revenues	100,000	-	-	-	100,000
State and Federal Aid	4,451,696	1,248,836	2,554,653	-	8,255,185
Total Revenues	40,082,602	1,289,695	2,688,657	19,959	44,080,913
Expenditures:					
General Government	5,622,147	-	218,910	5,289	5,846,346
Public Safety	19,450,322	-	693,673	-	20,143,995
Public Health	49,591	-	-	-	49,591
Transportation	3,142,448	-	2,730,382	-	5,872,830
Economic Assistance and Opportunity	171,637	-	-	-	171,637
Culture and Recreation	1,918,316	-	995,253	-	2,913,569
Home and Community Services	3,240,465	1,301,664	1,204,601	-	5,746,730
Employee Benefits	2,962,134	-	-	-	2,962,134
Debt Service	526,872	-	14,743	2,053,109	2,594,724
Total Expenditures	37,083,932	1,301,664	5,857,562	2,058,398	46,301,556
Excess/(Deficiency) of Revenues Over Expenditures	2,998,670	(11,969)	(3,168,905)	(2,038,439)	(2,220,643)
Other Financing Sources/(Uses):					
BANS Redeemed from Appropriations	-	-	-	-	-
Proceeds from Obligations	-	-	338,353	-	338,353
Operating Transfers In	34	-	125,500	2,007,777	2,133,311
Operating Transfers Out	(2,133,277)	-	(34)	-	(2,133,311)
Total Other Financing Sources/(Uses)	(2,133,243)	-	463,819	2,007,777	338,353
Change in Fund Balances	865,427	(11,969)	(2,705,086)	(30,662)	(1,882,290)
Fund Balances - Beginning, Previously Stated	6,522,708	186,673	(3,929,640)	806,106	3,585,847
Prior Period Adjustment (Note H)	-	(54,639)	-	-	(54,639)
Fund Balances - Beginning, as Restated	6,522,708	132,034	(3,929,640)	806,106	3,531,208
Fund Balances - Ending	$ 7,388,135	$ 120,065	$ (6,634,726)	$ 775,444	$ 1,648,918

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
RECONCILIATION OF THE STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES
OF GOVERNMENTAL FUNDS TO THE STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED DECEMBER 31, 2016

	Total Governmental Funds	Long-Term Revenue, Expenses	Capital Related Items	Long-Term Debt Transactions	Reclassifications and Eliminations	Statement of Activities Totals
Revenues:						
Real Property Taxes	$ 17,167,464	$ 293,946	$ -	$ -	$ -	$ 17,461,410
Real Property Tax Items	1,036,276	-	-	-	-	1,036,276
Non Property Tax Items	13,609,148	-	-	-	-	13,609,148
Departmental Income	2,425,066	-	-	-	-	2,425,066
Intergovernmental/Grant Income	65,000	-	-	-	-	65,000
Use of Money and Property	48,466	-	-	-	-	48,466
Licenses and Permits	565,322	-	-	-	-	565,322
Fines and Forfeitures	206,344	-	-	-	-	206,344
Sale of Property and Compensation for Loss	145,580	-	-	-	-	145,580
Miscellaneous	457,062	-	-	-	-	457,062
Interfund Revenues	100,000	-	-	-	-	100,000
State and Federal Aid	8,255,185	-	-	-	-	8,255,185
Total Revenues	44,080,913	293,946	-	-	-	44,374,859
Expenditures:						
General Government	5,846,346	(427,653)	483,173	-	-	5,901,866
Public Safety	20,143,995	6,163,619	(213,129)	-	-	26,094,485
Public Health	49,591	19,032	-	-	-	68,623
Transportation	5,872,830	526,277	(223,267)	-	-	6,175,840
Economic Assistance and Opportunity	171,637	53,888	-	-	-	225,525
Culture and Recreation	2,913,569	569,825	(631,906)	-	-	2,851,488
Home and Community Services	5,746,730	754,222	(1,862,155)	-	-	4,638,797
Employee Benefits	2,962,134	-	-	-	-	2,962,134
Debt Service	2,594,724	-	-	(1,920,125)	(338,353)	336,246
Total Expenditures	46,301,556	7,659,210	(2,447,284)	(1,920,125)	(338,353)	49,255,004
Excess/(Deficiency) of Revenues Over Expenditures	(2,220,643)	(7,365,264)	2,447,284	1,920,125	338,353	(4,880,145)
Other Financing Sources/(Uses):						
Proceeds from Obligations	338,353	-	-	-	(338,353)	-
Operating Transfers In	2,133,311	-	-	-	(2,133,311)	-
Operating Transfers Out	(2,133,311)	-	-	-	2,133,311	-
Total Other Financing Sources/(Uses)	338,353	-	-	-	(338,353)	-
Change in Fund Balances	$ (1,882,290)	$ (7,365,264)	$ 2,447,284	$ 1,920,125	$ -	$ (4,880,145)

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF NET POSITION
PROPRIETARY FUNDS
DECEMBER 31, 2016

	WATER FUND	SEWER FUND	TOTAL
ASSETS AND DEFERRED OUTFLOWS OF RESOURCES			
ASSETS			
Current assets:			
Cash and cash equivalents	$ 1,141,424	$ 2,385,936	$ 3,527,360
Receivables:			
Charges for Services	964,806	1,026,355	1,991,161
Other	-	30,657	30,657
Internal balances	-	1,650,944	1,650,944
Prepaid expenses	165,522	3,136	168,658
Due from other governments	-	178,664	178,664
Inventories - net of allowance	154,240	-	154,240
Total current assets	2,425,992	5,275,692	7,701,684
Non current assets:			
Restricted cash and cash equivalents	1,107,360	-	1,107,360
Total non-current assets	1,107,360	-	1,107,360
Capital assets:			
Land	118,729	-	118,729
Improvements and Infrastructure, net of depreciation	5,593,246	21,107,770	26,701,016
Buildings, Machinery and Equipment, net of depreciation	5,128,776	921,765	6,050,541
Construction in progress	3,216,367	548,221	3,764,588
Total capital assets	14,057,118	22,577,756	36,634,874
DEFERRED OUTFLOW OF RESOURCES	897,255	858,844	1,756,099
Total assets and deferred outflow of resources	$ 18,487,725	$ 28,712,292	$ 47,200,017

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF NET POSITION (CONTINUED)
PROPRIETARY FUNDS
DECEMBER 31, 2016

	WATER FUND	SEWER FUND	TOTAL
LIABILITIES, DEFERRED INFLOW OF RESOURCES, AND NET POSITION			
LIABILITIES			
Current liabilities:			
Accounts payable	$ 1,096,861	$ 766,825	$ 1,863,686
Accrued liabilities	95,825	56,184	152,009
Retainage payable	-	82,628	82,628
Internal balances	139,097	567,864	706,961
Due to other governments	6,370	220,312	226,682
Bond anticipation notes payable	1,360,000	3,608,839	4,968,839
Current portion of bonds, notes, and loans payable	482,922	828,416	1,311,338
Compensated absence liability	5,383	-	5,383
Total current liabilities	3,186,458	6,131,068	9,317,526
Non-current liabilities:			
Bond anticipation notes payable	1,490,754	-	1,490,754
Compensated absence liability	192,501	314,318	506,819
Bonds, notes, and loans payable	5,363,435	9,314,471	14,677,906
Other long term liabilities	27,958	-	27,958
Other post-employment benefits	3,020,000	3,762,548	6,782,548
Proportionate share of net pension liability	761,919	713,262	1,475,181
Total non-current liabilities	10,856,567	14,104,599	24,961,166
Total liabilities	14,043,025	20,235,667	34,278,692
DEFERRED INFLOW OF RESOURCES	90,976	190,578	281,554
NET POSITION			
Net investment in capital assets	4,326,532	8,397,537	12,724,069
Restricted	-	364,496	364,496
Unrestricted (deficit)	27,192	(475,986)	(448,794)
Total net position	4,353,724	8,286,047	12,639,771
Total liabilities, deferred inflow of resources and net positio	$ 18,487,725	$ 28,712,292	$ 47,200,017

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
PROPRIETARY FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2016

	WATER FUND	SEWER FUND	TOTAL
OPERATING REVENUES			
Charges for services	$ 4,196,092	$ 4,929,705	$ 9,125,797
Program grants and subsidies	-	54,960	54,960
Other revenue	307,794	74,258	382,052
Total operating revenues	4,503,886	5,058,923	9,562,809
OPERATING EXPENSES			
Personal services	2,635,427	2,704,933	5,340,360
Real property taxes	274,565	-	274,565
Maintenance, operations and contractual services	624,215	1,344,955	1,969,170
Depreciation	581,955	1,018,063	1,600,018
Total operating expenses	4,116,162	5,067,951	9,184,113
Net Operating Income (Loss)	387,724	(9,028)	378,696
Non-operating income and (expense)			
Interest income	879	368	1,247
Sale of property	1,534	2,948	4,482
Interest on bonds and notes	(148,229)	(292,799)	(441,028)
Rental Income	48,926	-	48,926
Total non-operating revenues (expenses)	(96,890)	(289,483)	(386,373)
Change in Net Position	290,834	(298,511)	(7,677)
Net Position, December 31, 2015	4,044,006	8,584,558	12,628,564
Prior Period Adjustment - (Note H)	18,884	-	18,884
Net Position, December 31, 2016	$ 4,353,724	$ 8,286,047	$ 12,639,771

See notes to the financial statements

CITY OF KINGSTON, NEW YORK
STATEMENT OF CASH FLOWS
PROPRIETARY FUNDS
FOR THE YEAR ENDED DECEMBER 31, 2016

	WATER FUND	SEWER FUND	TOTAL
Cash Flows From Operating Activities:			
Cash received from users	$ 4,574,157	$ 5,854,849	$ 10,429,006
Cash paid to employees	(1,859,006)	(2,704,933)	(4,563,939)
Cash paid for operating expenses	(1,356,684)	(2,308,589)	(3,665,273)
Net Cash Provided by Operating Activities	1,358,467	841,327	2,199,794
Cash Flows From Capital and Related Financing Activities:			
Proceeds from capital debt	1,526,254	1,516,077	3,042,331
Proceed from sale of capital assets	1,534	2,947	4,481
Purchase of Fixed Assets, net	(2,289,426)	(2,716,134)	(5,005,560)
Interest payments on bonded indebtedness	(147,490)	(292,799)	(440,289)
Principal payments on bonded indebtedness	(541,534)	(781,284)	(1,322,818)
Loans provided/paid, net	-	1,878,798	1,878,798
Net Cash Provided (Used) by Capital and Related Financing Activities	(1,450,662)	(392,395)	(1,843,057)
Cash Flows From Investing Activities:			
Rental income	48,926	-	48,926
Interest received	879	368	1,247
Net Cash Provided by Investing Activities	49,805	368	50,173
Net Increase (Decrease) in Cash and Cash Equivalents	(42,390)	449,300	406,910
Cash and Cash Equivalents at January 1, 2016	2,291,174	1,936,636	4,227,810
Cash and Cash Equivalents at December 31, 2016	$ 2,248,784	$ 2,385,936	$ 4,634,720
Reconciliation of operating income to net cash provided by operating activities:			
Operating income	$ 387,724	$ (9,028)	$ 378,696
Adjustments to reconcile operating income to net cash provided by operating activities:			
Depreciation	581,955	1,018,063	1,600,018
Changes in assets and liabilities:			
Decrease (Increase) in accounts receivable	70,211	795,926	866,137
Decrease (Increase) in inventories	(35,577)	-	(35,577)
Decrease (Increase) in prepaid items	13,173	(3,136)	10,037
Decrease (Increase) in due from related party	25,778	(568,334)	(542,556)
Increase (Decrease) in accounts payable	30,260	(49,426)	(19,166)
Increase (Decrease) in deferred revenues	(602,025)	73,065	(528,960)
Increase(Decrease) in accrued expenses and other liabilities	886,968	(415,803)	471,165
Net Cash Provided by Operating Activities	$ 1,358,467	$ 841,327	$ 2,199,794

See notes to the financial statements

CITY OF KINGSTON, NEW YOK
STATEMENT OF NET POSITION
FIDUCIARY FUND
DECEMBER 31, 2016

	AGENCY FUNDS
ASSETS	
Cash and cash equivalents	$ 317,761
Total assets	$ 317,761
LIABILITIES	
Escrow funds returnable and other liabilities	$ 317,761
Total liabilities	317,761
NET POSITION	-
Total liabilities and net position	$ 317,761

See notes to the financial statements

NOTE A - Summary of Accounting Policies

The City of Kingston, New York, (the "City") which was incorporated in 1872, is governed by its Charter, the City of Kingston local law and other general laws of the State of New York. The City operates under a strong-mayor form of government. The Common Council is the legislative body responsible for overall operations, the Mayor serves as chief executive officer and the Comptroller serves as chief fiscal officer. The following basic services are provided: fire and police protection; public works activities including water and sewer services, wastewater treatment, trash collection and removal, street maintenance, snow and ice removal; public transportation; cultural and recreational activities and public parks and facilities; economic and community development; human rights advocacy; tax assessment, collection and enforcement; and code enforcement.

The financial statements of the City of Kingston have been prepared in accordance with generally accepted accounting principles (GAAP) as applied to government units. The Governmental Accounting Standards Board (GASB) is responsible for establishing GAAP for state and local governments through its pronouncements. The more significant accounting policies established in GAAP and used by the City are discussed below.

1. The Reporting Entity

The financial reporting entity consists of (a) the primary government which is the City of Kingston, (b) organizations for which the primary government is financially accountable, and (c) other organizations for which the nature and significance of their relationship with the primary government are such that exclusion would cause the reporting entity's financial statements to be misleading or incomplete as set forth in GASB Codification Section 2100.

The decision to include a potential component unit in the City's reporting entity is based on several criteria set forth in GASB Codification Section 2100, including legal standing, fiscal dependency, and financial accountability. Based on the application of these criteria, the following is a brief review of certain entities considered in determining the City of Kingston's reporting entity.

The City of Kingston Local Development Corporation (KLDC), a not-for-profit corporation which was incorporated on June 1, 1994, administers economic development loans for businesses within the City of Kingston. It also developed and operates a business park for the City. It is governed by a board which is appointed by the Mayor. The City of Kingston Local Development Corporation financial statements are available at their offices located at Kingston City Hall, 420 Broadway, Kingston, New York. KLDC is included in the City of Kingston financial statements as a discretely presented component unit.

The City of Kingston previously included the Kingston Housing Authority in its financial statements for the year ending December 31, 2011. The City implemented GASB Statement No. 61 and have concluded that the Kingston Housing Authority no longer meets the criteria necessary to be included in the City's financial statements as a component unit.

The Kingston-Ulster Empire Zone was created by New York State to foster the creation of jobs, enhance the property tax base and encourage investment. The Mayor appoints the majority of the board. Activity for the Kingston-Ulster Empire Zone was minimal for the past year as the program is no longer supported by New York State. Therefore, since financial statements have not been prepared, the limited Empire Zone information has not been included in these financial statements.

The Dietz Stadium is jointly administered with the Kingston City School District and is excluded from the financial statements. See Note F for further information.

There were no significant transactions with component units other than that the City processes the payroll for Kingston Local Development Corp and Kingston-Ulster Empire Zone. These entities then reimburse the City.

NOTE A - Summary of Accounting Policies - continued

2. Basic Financial Statements – Government-Wide Statements

The City's basic financial statements include both government-wide (reporting the City as a whole) and fund financial statements (reporting the City's major funds). The government-wide statements include the Statement of Net Position and the Statement of Activities. The other statements are fund financial statements. Both the government-wide and fund financial statements categorize primary activities as either governmental or business-type. All of the City's services are classified as governmental activities except for water and sanitary sewer. These services are classified as business-type activities.

In the government-wide Statement of Net Position, both the governmental and business-type activities columns are (a) presented on a consolidated basis by column, and (b) reported on a full accrual economic resource basis, which recognizes all long term assets and receivables as well as long term related debt; restricted net position; and unrestricted net position. Net assets are reported as restricted when there are external restrictions by creditors, grantors, laws or regulations of other governments. The City first utilizes restricted resources to finance qualifying activities.

The government-wide Statement of Activities reports both the gross and net cost of each of the City's functions and business-type activities (public safety, transportation, etc.). The functions are also supported by general government revenues (property, sales and use taxes, etc.). The Statement of Activities reduces gross expenses (including depreciation) by related charges for services, operating and capital grants. Charges for services must be directly associated with the function (public safety, transportation, home and community services, etc.) or a business-type activity. Operating grants include operating-specific and discretionary (either operating or capital) grants while the capital grants column reflects capital-specific grants.

The net costs (by function or business-type activity) are normally covered by general revenue (property, sales taxes, intergovernmental revenues, investment earnings, etc.). The City does not allocate indirect costs. This government-wide focus is more on the sustainability of the City as an entity and the change in the City's net position resulting from the current year's activities.

3. Basic Financial Statements – Fund Financial Statements

The financial transactions of the City are reported in individual funds in the fund financial statements using the current financial resources measurement focus. Each fund is accounted for by providing a separate set of self-balancing accounts that comprises its assets, liabilities, reserves, fund equity, revenues and expenditures/expenses. The various funds are reported by generic classification within the financial statements. The City records its transactions in the fund types below:

1) Governmental Funds - The focus of the governmental funds' measurement (in the fund statements) is upon determination of financial position and changes in financial position (sources, uses, and balances of financial resources) rather than upon net income. The following are the City's governmental fund types:

   a) General fund - the principal operating fund and includes all operations not accounted for and reported in another fund.

   b) Special revenue funds - used to account for the proceeds of specific revenue sources that are legally restricted or committed to expenditures for specific purposes other than debt service or capital projects. This fund is used to account for the activities of the Community Development Office which are funded by HUD. This fund utilizes a June 30 year-end.

NOTE A - Summary of Accounting Policies - continued

3. **Basic Financial Statements – Fund Financial Statements - continued**

c) Debt service funds - used to account for and report financial resources that are restricted, committed, or assigned to expenditure for principal and interest on general obligation long term debt. Debt service funds are used when legally mandated for financial resources accumulated in a reserve for payment of future principal and interest on long-term indebtedness.

d) Capital projects funds - used to account for and report financial resources that are restricted, committed, or assigned to expenditure for the acquisition or construction of major capital facilities and equipment (other than those financed by business-type/proprietary funds).

2) Proprietary Funds - The focus of proprietary fund measurement is upon determination of operating income, changes in net assets, financial position, and cash flows. The generally accepted accounting principles are similar to businesses in the private sector. The City has only one type of proprietary fund; enterprise funds. Enterprise funds are required to be used to account for operations for which a fee is charged to external users for goods or services and the activity (a) is financed with debt that is solely secured by a pledge of the net revenues, (b) has third party requirements that the cost of providing services, including capital costs, is to be recovered with fees and charges or (c) establishes fees and charges based on a pricing policy designed to recover similar costs. The City's water and sewer activities are accounted for as enterprise funds.

The emphasis in fund financial statements is on the major funds in either the governmental or business-type activities categories. Non-major funds by category are summarized into a single column. GASB Statement No. 34 sets forth minimum criteria (percentage of the assets, liabilities, revenues or expenditures/expenses of either fund category or the governmental and enterprise combined) for the determination of major funds.

3) Fund Balance - Fund balance is presented in five different classifications which focus on the constraints imposed on resources in governmental funds, rather than on availability for appropriation. The classifications include: non-spendable, restricted, committed, assigned, and unassigned.

a) Non-spendable consists of assets that are inherently non-spendable in the current period either because of their form or because they must be maintained intact, including prepaid items, inventories, long-term portions of loans receivable, financial assets held for resale, and principal of endowments.

b) Restricted consists of amounts that are subject to externally enforceable legal purpose restrictions imposed by creditors, grantors, contributors, or laws and regulations of other governments; or through constitutional provisions or enabling legislation.

NOTE A - Summary of Accounting Policies - continued

3. Basic Financial Statements – Fund Financial Statements – continued

c) Committed consists of amounts that are subject to a purpose constraint imposed by a formal action of the government's highest level of decision-making authority before the end of the fiscal year, and that require the same level of formal action to remove the constraint. The Common Council is the decision-making authority that can, by action taken prior to the end of the fiscal year, commit fund balance.

d) Assigned consists of amounts that are subject to a purpose constraint that represents an intended use established by the government's highest level of decision-making authority, or by their designated body or official. The purpose of the assignment must be narrower than the purpose of the general fund, and in funds other than the general fund, assigned fund balance represents the residual amount of fund balance. The Common Council has not authorized a designated official to assign fund balance.

e) Unassigned represents the residual classification for the government's general fund, and could report a surplus or deficit. In funds other than the general fund, the unassigned classification should be used only to report a deficit balance resulting from overspending for specific purposes for which amounts had been restricted, committed, or assigned.

When resources are available from multiple classifications, the City spends funds in the following order: restricted, committed, assigned, unassigned.

The City has, by resolution, adopted a fund balance policy that states the City must maintain a minimum unrestricted (the total of committed, assigned, and unassigned) fund balance of at least ten percent of the general fund operating budget. Unrestricted fund balance below the minimum should be replenished.

Nonspendable		
Inventory	$ 9,704	
Prepaid expenses	604,029	
		$ 613,733
Assigned Appropriation		
Designated for subsequent year's budget		775,000
Assigned Unappropriated		
Recreation fees	114,202	
Risk retention reserve	225,804	
Encumbered	171,439	
		511,445
Unassigned		5,487,957
Total fund balance		$ 7,388,135

NOTE A - Summary of Accounting Policies - continued

4. **Encumbrances**

Encumbrance accounting, under which purchase orders, contracts and other commitments for the expenditure of monies are recorded for budgetary control purposes, is employed in the general fund. Encumbrances are reported as restrictions, commitments, or assignments of fund balances since they do not constitute expenditures or liabilities. Expenditures for such commitments are recorded in the period in which the liability is incurred. Encumbrances of $171,439 were included in the reporting of assigned fund balance in the general fund.

5. **Basis of Accounting**

Basis of accounting refers to the point at which revenues or expenditures/expenses are recognized in the accounts and reported in the financial statements. It relates to the timing of the measurements made regardless of the measurement focus applied.

A. **Accrual**

Both governmental and business-type activities in the government-wide financial statements and the proprietary and fiduciary fund financial statements are presented on the accrual basis of accounting. Revenues are recognized when earned and expenses are recognized when incurred.

B. **Modified Accrual**

The governmental funds financial statements are presented on the modified accrual basis of accounting. Under the modified accrual basis of accounting, revenues are recorded when susceptible to accrual; i.e., both measurable and available. "Available" means collectible within the current period or within 60 days after year end. Expenditures are generally recognized under the modified accrual basis of accounting when the related liability is incurred. The exception to this general rule is that principal and interest on general obligation long-term debt, if any, is recognized when due.

6. **Financial Statement Amounts**

A. **Cash and Investments**

The City's investment policies are governed by State statutes. In addition, the City has its own written investment policy. City monies must be deposited in FDIC-insured commercial banks located within the State. The Comptroller is authorized to use demand accounts and certificates of deposit. Collateral is required for demand deposits and certificates of deposit not covered by federal deposit insurance. Obligations that may be pledged as collateral are obligations of the United States and its agencies and obligations of the State and its municipalities.

For the purposes of the proprietary funds statement of cash flows, the City considers all cash and cash equivalents with an original maturity of three months or less to be cash equivalents.

NOTE A - Summary of Accounting Policies - continued

A. **Cash and Investments – continued**

Deposits and investments at year-end were entirely covered by federal deposit insurance or by collateral held by a custodial bank in the City's name as follows:

Bank	Bank Balance	Insured (FDIC)	Collateralized-Custodial Bank	Not Collateralized
Chase Bank	$ 1,168,486	$ 500,000	$ 853,034	$ -
Catskill Hudson	2,545,520	500,000	2,626,708	-
M&T Bank	6,791,905	500,000	8,580,398	-
TD Bank	1,570,170	250,000	2,500,000	-

B. **Taxes and Other Receivables, and Uncollectible Accounts**

Significant receivables include amounts due from customers primarily for water and sewer charges. No allowance for uncollectible accounts is maintained as uncollected accounts are added on to the subsequent year's tax bills. The general fund reimburses the utility funds for the full amount even though the City may not have actually received payment.

At December 31, 2016, the City had total real property tax assets of $5,088,681. On the fund financial statements, the taxes are offset by deferred tax revenue of $4,426,587 for the City portion. The deferred tax revenue represents the tax liens which were not collected within the first sixty days of the subsequent year. In the government-wide financial statements, property taxes receivable and related revenue include all amounts due the City regardless of when received.

Other revenues totaling $34,514 are deferred in the governmental fund financial statements as they were not received within 60 days following year-end; therefore, are unavailable to pay liabilities of the current period. Other deferred revenue received after 60 days is recognized as revenue in the government-wide statements if grantor eligibility requirements are met.

C. **Notes Receivable**

The following is a summary as of December 31, 2016:

a) Homeowner Loans - The Housing Rehabilitation Program is a federally funded program for income eligible homeowners in the City of Kingston offering up to $20,000 per household. The priority of the program is to address health and safety issues, building code violations, and to reduce the cumulative effects of deteriorating properties concentrated in certain neighborhoods of the city. It allows for an interest-free, deferred loan to the homeowner that is spread over a 10 year period. At the end of the tenth year of owner-occupied homeownership, the loan is completely forgiven.

NOTE A - Summary of Accounting Policies - continued

6. Financial Statement Amounts - continued

C. Notes Receivable - continued

Summary of 2016 activity

	Balance 6/30/2015	Additions	Reductions	Balance 6/30/2016	Due in One Year
Homeowner Loans	$ 781,118	$ 129,932	$ -	$ 911,050	$ -
Total Loans	$ 781,118	$ 129,932	$ -	$ 911,050	$ -

D. Capital Assets

Capital assets purchased or acquired with an original cost of $5,000 or more are reported at historical cost or estimated historical cost. Contributed assets are reported at fair market value as of the date received. Additions, improvements and other capital outlays that significantly extend the useful life of an asset are capitalized. Other costs incurred for repairs and maintenance are expensed as incurred. Depreciation on all assets is provided on the straight-line basis over the following estimated useful lives:

Buildings	15-40 years
Improvements	15-40 years
Infrastructure	15-40 years
Machinery and equipment	3-40 years

NOTE A - Summary of Accounting Policies - continued

6. Financial Statement Amounts - continued

E. Capital Assets – continued

GASB Statement No. 34 requires the City to report and depreciate new infrastructure assets. Infrastructure assets include roads, underground pipe, etc. Neither their historical cost nor related depreciation had historically been reported in the financial statements prior to 2004 when the City's implemented all the provisions of GASB Statement No. 34 including retroactive reporting of infrastructure.

F. Property Taxes

The City of Kingston real property taxes are levied annually no later than December 31 and become a lien on January 1. The City of Kingston real property taxes are due in two installments. The first installment is due 45 days after the passage of the tax levy and the second is due 120 days later. Taxes for County purposes apportioned to the area of the County inside the City of Kingston and the Library are levied together with the general taxes for the City of Kingston on a single bill. The County and Library receive the full amount of their levies annually out of the first amounts collected on the combined bills. The collection of County taxes levied on properties within the City of Kingston and library taxes are enforced by the City. In addition, unpaid city school district taxes levied on properties within the City of Kingston are turned over to the City for enforcement.

G. Interfund Activity

Interfund activity is reported as either loans, services provided, reimbursements or transfers. Loans are reported as interfund receivables and payables as appropriate and are subject to elimination upon consolidation. Services provided, deemed to be at market or near market rates, are treated as revenues and expenditures/expenses. Reimbursements are when one fund incurs a cost, charges the appropriate benefiting fund and reduces its related cost as a reimbursement. All other interfund transactions are treated as transfers. Transfers between governmental or proprietary funds are netted as part of the reconciliation to the government-wide financial statements.

H. Budgets

Operating budgets - must be submitted by the Mayor in the form of a tentative budget to the Common Council at their regular meeting in October for the following calendar year. The tentative budget includes proposed expenditures and the proposed means of financing for the general and sewer funds. After public hearings are conducted to obtain taxpayer comments, the Common Council adopts the budgets. Once adopted, the Common Council may amend the legally adopted budgets when unexpected modifications are required within NYS guidelines.

Other funds - capital projects are budgeted over the life of the respective projects and are also subjected to the Council review and approval process. Formal budgets are not required for the water fund (an enterprise fund); however, a budget is prepared as a guideline for expenditures. Budgetary controls for the Community Development office are established in accordance with applicable grant agreements which may cover more than one year.

Basis of Budgeting - Budgets are adopted annually on a basis consistent with generally accepted accounting principles. Each fund's appropriated budget is prepared on a detailed line item basis. Revenues and expenditures are budgeted by department and class. Appropriations in all budgeted funds lapse at the end of the fiscal year, except that outstanding encumbrances are re-apportioned in the subsequent year.

NOTE A - Summary of Accounting Policies - continued

6. Financial Statement Amounts – continued

I. Deficit Fund Balances

The capital projects fund had a deficit fund balance at December 31, 2016. The deficit will be eliminated as short-term debt is converted to permanent financing.

J. Encumbrances

Encumbrance accounting, under which purchase orders, contracts and other commitments for the expenditure of monies are recorded for budgetary control purposes to reserve that portion of the applicable appropriations, is employed as a control in preventing over expenditure of established appropriations. Open encumbrances are reported as reservations of fund balance since they do not constitute expenditures or liabilities and will be honored through budget appropriations in the subsequent year.

K. Revenues

Substantially all governmental fund revenues are accrued. The governmental fund financial statements recognize the proceeds of debt as other financing sources.

Subsidies and grants to proprietary funds, which finance either capital or current operations, are reported as non-operating revenue based on GASB Statement No.33. In applying GASB No. 33 to grant revenues, the grantor recognizes liabilities and expenses and the recipient recognizes receivables and revenue when the applicable eligibility requirements, including time requirements, are met. Resources transmitted before the eligibility requirements are met are reported as advances by the grantor and deferred revenue by the recipient.

L. Expenditures

Expenditures are recognized when the fund liability is incurred. Inventory costs are reported in the period when inventory items are used, rather than when purchased.

M. Compensated Absences

The City accrues accumulated unpaid vacation and sick leave and associated employer-related costs when earned (or estimated to be earned) by the employee based on varying contracts. In the event of termination or upon retirement, an employee is entitled to payment for accumulated vacation and sick leave and unused compensatory absences at various rates subject to certain maximum limitations. The amount estimated to be used in subsequent fiscal years is maintained separately for governmental funds and represents a reconciling item between the fund and government-wide presentations.

NOTE A - Summary of Accounting Policies – continued

6. Financial Statement Amounts - continued

N. Pension Plans

Plan Description

The City of Kingston is required to participate in the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Policemen's and Firemen's Retirement System (PFRS) (the Systems). These are cost-sharing multiple-employer retirement systems. The Systems provide retirement benefits as well as death and disability benefits. Obligations of employers and employees to contribute and benefits to employees are governed by the New York State Retirement and Social Security Law (NYSRSSL). As set forth in the NYSRSSL, the Comptroller of the State of New York (Comptroller) serves as sole trustee and administrative head of the System. The Comptroller shall adopt and may amend rules and regulations for the administration and transaction of the business of the Systems and for the custody and control of their funds. The Systems issue a publically available financial report that includes financial statements and required supplementary information. That report may be obtained by writing to the New York State and Local Retirement Systems, 110 State Street, Albany, New York, 12244.

Funding Policy

The Systems are generally noncontributory. Tiers I-IV are noncontributory after ten years of participation and Tier V is required to contribute 3% of their salary, and Tier VI is required to contribute a minimum of 3% of their salary. Under the authority of the NYSRSSL, the Comptroller shall certify annually the rates of participating employers expressed as proportions of payroll of members, which shall be used in computing the contributions required to be made by those employers to the pension accumulation fund.

The City is required to contribute to the Systems at an actuarially determined rate. The required contributions for the current and prior two calendar years were $1,509,733, $1,668,827, and $1,822,264 for ERS, and $2,205,484, $2,287,767, and $2,726,392 for PFRS.

Chapter 57 of the Laws of 2010 of the State of New York was enacted that allows local employers to amortize a portion of their retirement bill for 10 years in accordance with the following stipulations:

i. For State fiscal year 2010-11, the amount in excess of the graded rate of 9.5 percent of employees' covered pensionable salaries, with the first payment of those pension costs not due until the fiscal year succeeding that fiscal year in which the amortization was instituted.
ii. For subsequent State fiscal years, the graded rate will increase or decrease by up to one percent depending on the gap between the increase or decrease in the System's average rate and the previous graded rate.
iii. For subsequent State fiscal years in which the System's average rates are lower than the graded rates, the employer will be required to pay the graded rate. Any additional contributions made will first be used to payoff existing amortizations, and then any excess will be deposited into a reserve account and will be used to offset future increases in contribution rates.

This law requires participating employers to make payments on a current basis, while bonding or amortizing existing unpaid amounts relating to the System's fiscal years ending March 31, 2007 through 2016. The total unpaid liability at the end of the fiscal year was $1,911,823 which is reported in the governmental activities of the government-wide financial statements.

NOTE A - Summary of Accounting Policies - continued

6. Financial Statement Amounts - continued

M. Pension Plans -continued

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions

At December 31, 2016, the City reported liabilities of $4,950,767 under the Employees' Retirement System, net of $17,043 allocated to the Kingston Local Development Corporation, and $7,969,833 under the Police and Fire Retirement System for its proportionate share of the net pension liability. This amount is recorded as a long-term liability due to the NYS Retirement System. The net pension liability was measured as of March 31, 2016, and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of that date. The City's proportion of the net pension liability was based on a projection of the City's long-term share of contributions to the pension plan relative to the projected contributions of all participating members, actuarially determined.

At March 31, 2016, the City's proportion was 0.0308454 percent of the Employees' Retirement System liability and 0.2691796 of the Police and Fire Retirement System.

For the year ended December 31, 2016, the City recognized pension expense of $1,831,262 under the Employees' Retirement System and $2,934,394 under the Police and Fire Retirement System. At December 31, 2016, the City reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Differences between expected and actual experience - ERS	$ 25,017	$ 586,831
Differences between expected and actual experience - PFRS	71,482	1,204,943
Changes of assumptions - ERS	1,320,220	-
Changes of assumptions - PFRS	3,435,769	-
Net difference between projected and actual investment earnings on pension plan investments - ERS	2,937,067	-
Net difference between projected and actual investment earnings on pension plan investments - PFRS	4,466,447	-
Changes in proportion and differences between employer contributions and proportionate share of contributions - ERS	224,824	4,311
Changes in proportion and differences between employer contributions and proportionate share of contributions - PFRS	70,902	46,050
Employer contributions subsequent to the measurement date - ERS	1,334,258	-
Total	$ 13,885,986	$ 1,842,135

NOTE A - Summary of Accounting Policies -continued

6. Financial Statement Amounts -continued

M. Pension Plans -continued

$1,334,258 reported as deferred outflows of resources related to pensions resulting from the City's contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ended December 31, 2017. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized in pension expense as follows:

Year ended December 31:	ERS	PFRS	TOTAL
2017	$ 999,882	$ 1,598,863	$ 2,598,745
2018	999,882	1,598,863	2,598,745
2019	999,882	1,598,863	2,598,745
2020	916,340	1,536,673	2,453,013
2021	-	460,346	460,346

Actuarial Assumptions

The total pension liability at March 31, 2016, was determined by using an actuarial valuation as of April 1, 2015, with update procedures used to roll forward the total pension liability to March 31, 2016. The actuarial valuation used the following actuarial assumptions.

Significant actuarial assumptions used in the April 1, 2015, valuation were as follows:

	ERS	PFRS
Inflation	2.5%	2.5%
Salary increases	3.8%	4.5%
Investment rate of return (net of investment expense, including inflation)	7.0%	7.0%
Cost of living adjustments	1.3%	1.3%

Annuitant mortality rates are based on April 1, 2010 – March 31, 2015 System's experience with adjustments for mortality improvements based on MP-2014.

The actuarial assumptions used in the April 1, 2015 valuation are based on the results of an actuarial experience study for the period April 1, 2010 – March 31, 2015.

The long term expected rate of return on pension plan investments was determined using a building block method in which best estimate ranges of expected future real rates of return (expected returns net of investment expense and inflation) are developed for each major asset class. These ranges are combined to produce the long term expected rate of return by weighting the expected future real rates of return of the target asset allocation percentage and by adding expected inflation.

NOTE A - Summary of Accounting Policies -continued

6. Financial Statement Amounts -continued

M. Pension Plans -continued

Best estimates of arithmetic real rates of return for each major asset class included in the target asset allocation as of March 31, 2016 are summarized below:

Asset Class	Long-Term Expected Real Rate of Return
Domestic equity	7.30%
International equity	8.55
Private equity	11.00
Real estate	8.25
Absolute return strategies	6.75
Opportunistic portfolio	8.60
Real assets	8.65
Bonds and mortgages	4.00
Cash	2.25
Inflation-indexed bonds	4.00

Discount Rate

The discount rate used to calculate the total pension liability was 7.0%. The projection of cash flows used to determine the discount rate assumes that contributions from plan members will be made at the current contribution rates and that contributions from employers will be made at statutorily required rates, actuarially. Based upon these assumptions, the System's fiduciary net position was projected to be available to make all projected future benefit payments of current plan members. Therefore the long term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total pension liability.

Sensitivity of the Proportionate Share of the Net Pension Liability to the Discount Rate Assumption

The following presents the City's proportionate share of the net pension liability calculated using the discount rate of 7.0 percent, as well as what the City's proportionate share of the net pension liability would be if it were calculated using a discount rate that is 1-percentage-point lower (6.0 percent) or 1-percentage-point higher (8.0 percent) than the current rate:

	1% Decrease (6.0%)	Current Assumption (7.0%)	1% Increase (8.0%)
City's proportionate share of the net ERS pension liability/(asset)	$ 11,163,620	$ 4,950,767	$ (298,828)
City's proportionate share of the net PFRS pension liability/(asset)	$ 17,801,462	$ 7,969,833	$ (271,201)

66
162

NOTE A - Summary of Accounting Policies -continued

6. Financial Statement Amounts -continued

M. Pension Plans -continued

Pension Plan Fiduciary Net Position

The components of the current-year net pension liability of all employers as of March 31, 2016, were as follows:

	Dollars in Thousands		
	Employees' Retirement System	Police and Fire Retirement System	Total
Employers' total pension liability	$ 172,303,544	$ 30,347,727	$ 202,651,271
Plan net position	156,253,265	27,386,940	183,640,205
Employers' net pension liability	$ 16,050,279	$ 2,960,787	$ 19,011,066
Ratio of plan net position to the employers' total pension liability	90.7%	90.2%	90.6%

N. Post-employment Benefits (Health insurance and firefighter disability)

From an accrual accounting perspective, the cost of postemployment benefits, like the cost of pension benefits, generally should be associated with the periods in which the cost occurs, rather than in the future year when it will be paid. In adopting the requirements of GASB statement No. 45 during the year ended December 31, 2008, the City recognizes the cost of postemployment benefits in the year when the employee services are received, reports the accumulated liability from prior years, and provides information useful in assessing potential demands on the City's future cash flows. Recognition of the liability accumulated from prior years will be phased in over 30 years, commencing with the 2008 liability.

Plan Description

The City provides continuation of medical insurance coverage to employees that retire directly from City employment after retirement age with a minimum of ten years of service. Based on collective bargaining agreements, the retiree and his or her beneficiaries receive this coverage for the life of the retiree and survivor. Healthcare benefits for non-union employees are based on those of union employees. Currently the retiree's share of premium cost ranges from 0 to 50%, depending on the employee group and lengths of service. These contracts will be renegotiated at various times in the future. Also, under requirements of state and local law, the City compensates firefighters that retire due to disability before the mandatory retirement age of 70. This compensation is equal to the differential between the retiree's pension and the salary that they would be paid if still in active service. There are currently 5 firefighters receiving such compensation.

The total number of participants as of January 1, 2016, the effective date of the OPEB valuations, follows:

Active employees	309
Retired employees	288
Spouses of deceased employees	0
	703

NOTE A - Summary of Accounting Policies -continued

6. Financial Statement Amounts -continued

O. Post-employment Benefits (Health insurance and firefighter disability) (Cont'd

Funding Policy

The City currently pays for postemployment healthcare benefits on a pay-as-you-go basis through premiums paid to insurance companies and reimbursements to retirees for their Medicare premiums.

Annual Other Post-employment Benefit Cost

For the year ended December 31, 2016, the City's annual OPEB cost (expense) was $11,380,000 and the Annual Required Contribution was $11,570,000. The payment of current health insurance premiums and Medicare reimbursement, which totaled $3,100,000 for retirees and their survivors, resulted in a Net OPEB obligation of $55,480,000 for the year ended December 31, 2016.

	Governmental Funds	Proprietary Funds	Total
Amortization of UAAL over 30 Years	$ 5,061,273	$ 698,727	$ 5,760,000
Normal cost with interest to end of year	5,172,104	637,896	5,810,000
Annual required contribution (ARC)	10,233,377	1,336,623	11,570,000
Interest on net OPEB obligation	1,542,395	227,605	1,770,000
Adjustment to annual required contribution	(1,708,642)	(251,359)	$ (1,960,000)
Annual OPEB cost	10,067,131	1,312,869	11,380,000
Contributions made	(2,673,654)	(426,346)	$ (3,100,000)
Increase in net OPEB obligation	7,393,477	886,523	8,280,000
Net OPEB obligation - beginning of year	41,303,975	5,896,025	47,200,000
Net OPEB obligation - end of year	$ 48,697,452	$ 6,782,548	$ 55,480,000

Actuarial Methods and Assumptions

Projections of benefits for financial reporting purposes are based on the substantive plan (the plan as understood by the employer and the plan members) and include the types of benefits provided at the time of each valuation and the historical pattern of sharing benefit costs between the employer and plan members to that point. The actuarial methods and assumptions used include techniques that are designed to reduce the effects of short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.

In the January 1, 2016 actuarial valuation, the most recent actuarial valuation date, the liabilities were computed using the projected unit credit cost method. The actuarial assumptions utilized a 3.75% discount rate. Because the plan is unfunded, this rate was selected based on the expected earnings of the City of Kingston's short-term investments such as certificates of deposit. The valuation assumes a current 8.00% healthcare cost trend increase, reduced by decrements to a rate of 0.5% per year through year seven, to 5.0% thereafter. GASB Statement #45 requires that actuarial valuations be performed at least biennially.

O. Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

NOTE A - Summary of Accounting Policies -continued

6. Financial Statement Amounts -continued

P. Subsequent Events

Management has evaluated subsequent events through October 2, 2017, the date the financial statements were available to be issued.

NOTE B - Interfund Receivables, Payables and Transfers

The following is a summary of amounts due from and due to other funds and transfers as of December 31, 2016. These inter-fund balances have been eliminated as part of the aggregation of balances in the statement of net assets. Such balances arise through the use of a pooled cash disbursement account and the temporary funding of capital projects. Transfers are recorded for the reimbursement of expenses and the permanent funding of capital projects.

FUND	RECEIVABLE	PAYABLE
General	$ 2,178,287	$ (1,355,853)
Capital Projects	1,041,194	(2,057,689)
Special Grant	-	-
Debt Service	162,975	(912,897)
subtotal Governmental funds	3,382,456	(4,326,439)
Proprietary funds:		
Water	-	(139,097)
Sewer	1,650,944	(567,864)
Subtotal Proprietary funds	1,650,944	(706,961)
TOTALS	**$ 5,033,400**	**$ (5,033,400)**

	TRANSFERS IN	TRANSFERS OUT
General	$ 34	$ (2,133,277)
Capital Projects	125,500	(34)
Special Grant	-	-
Debt Service	2,007,777	-
subtotal Governmental funds	2,133,311	(2,133,311)
Proprietary funds:		
Water	-	-
Sewer	-	-
Subtotal Proprietary funds	-	-
TOTALS	**$ 2,133,311**	**$ (2,133,311)**

NOTE C – Capital Assets

The table on the following page provides a summary of changes in capital assets. In addition, depreciation was charged to governmental activities functions as follows:

General government	$ 548,285
Public Safety	495,835
Transportation	1,234,897
Culture and Recreation	352,428
Home and community services	688,960
Total governmental activities depreciation	$ 3,320,405

The following describes the City's Capital Assets:

	Balance 12/31/2015	Additions	Disposals/ Transfers	Balance 12/31/2016
Governmental Activities:				
Land	$ 192,500	$ -	$ -	$ 192,500
Construction in progress	5,172,330	1,456,890	(4,095,121)	2,534,099
Depreciated Assets:				
Buildings	13,232,255	-	-	13,232,255
Improvements	14,927,400	1,897,828	-	16,825,228
Infrastructure	25,682,106	4,819,257	-	30,501,363
Machinery and equipment	15,502,375	1,688,835	-	17,191,210
Total Capital Assets	74,708,966	9,862,810	(4,095,121)	80,476,655
Less accumulated depreciation:				
Buildings	5,966,683	287,852	-	6,254,535
Improvements	6,184,726	690,916	-	6,875,642
Infrastructure	10,067,068	1,115,105	-	11,182,173
Machinery and equipment	10,739,310	1,226,532	-	11,965,842
Total Accumulated Depreciation	32,957,787	3,320,405	-	36,278,192
Net Governmental Assets	41,751,179	6,542,405	(4,095,121)	44,198,463
Business-Type Activities:				
Land	118,729	-	-	118,729
Construction in progress	4,300,103	3,071,549	(3,607,066)	3,764,586
Depreciated Assets:				
Buildings	4,996,149	1,518,737	-	6,514,886
Improvements	16,973,641	4,572	-	16,978,213
Infrastructure	26,006,807	4,463,811	-	30,470,618
Machinery and equipment	3,862,101	523,438	-	4,385,539
Total Capital Assets	56,257,530	9,582,107	(3,607,066)	62,232,571
Less accumulated depreciation:				
Buildings	1,380,355	205,196	-	1,585,551
Improvements	12,054,465	494,474	-	12,548,939
Infrastructure	7,994,052	691,283	-	8,685,335
Machinery and equipment	2,590,793	209,065	(21,986)	2,777,872
Total Accumulated Depreciation	24,019,665	1,600,018	(21,986)	25,597,697
Net Business-Type Assets	32,237,865	7,982,089	(3,585,080)	36,634,874
Primary Government Net Assets	$ 73,989,044	$ 14,524,494	$ (7,680,201)	$ 80,833,337

NOTE D - Long-Term Liabilities

Constitutional Debt Limit- At December 31, 2016 the total outstanding indebtedness of the City of Kingston aggregated $38,062,086. Of this amount, $24,964,275 was subject to the constitutional debt limit and represented approximately 25% of its limit.

Sources of Repayment - Governmental activities debt will be repaid from the general fund and business-type debt will be repaid from the applicable enterprise fund. Compensated absences, retirement and OPEB liabilities are paid from the fund responsible for the employee's compensation. Generally all other liabilities are paid from the general fund.

1. Types

a. Serial Bonds

The City of Kingston borrows money in order to acquire land or equipment or construct buildings and improvements. This enables the cost of these capital assets to be borne by the present and future taxpayers receiving the benefit of these capital assets. These long-term liabilities, which are backed by the full faith and credit of the City, are recorded in the enterprise funds and government-wide statements.

b. Loans Payable

i. NYS Environmental Facilities Corp - The Water Department received $1,183,625 from the Clean Water & Drinking Water Revolving Fund Revenue Bond of 1998 for improvements to its filtration plant. In addition, the Department received $2,545,816 from the 2004 Bond and $571,283 from the 2005 Bond for improvements to the water treatment plant. Interest payments are reduced by subsidies from the state.

ii. NYS Environmental Facilities Corp - The City received $1,552,579 from the Clean Water & Drinking Water Revolving Fund Revenue Bond series 2005B for the Wilbur Avenue Siphon project and pretreatment pump station. Interest payments are reduced by subsidies from the state. The total interest due on these bonds for the current year was reduced by $14,630.

iii. NYS Environmental Facilities Corp - The City received $5,819,243 from the Clean Water & Drinking Water Revolving Fund Revenue Bond series 2011C for sewer improvements. Interest payments are reduced by subsidies from the state. The total interest due on these bonds for the current year was reduced by $80,077.

c. Judgments and Claims

As described in Note D.1f. Insurance Claims, the City has prepared an estimate of future loss liabilities based on historical trends and other factors.

d. Compensated Absence and Vacation Pay Obligations

The City has prepared an estimate of the value of earned but unused leave time which is payable from future resources.

NOTE D - Long-Term Liabilities -continued

1. Types -continued

e. Landfill Costs

State and federal laws and regulations required the City to place a final cover on its landfill site and to perform certain maintenance and monitoring functions at the site for thirty years after closure. The final closure of the landfill took place in 1998. The estimated cost to the City of post-closure care was $2,692,498 at December 31, 2011. This estimate had not been reviewed for several years. Now that the City is half way through the post-closure period, the prior costs were reviewed to determine if the estimate was still appropriate. As a result of this review, the estimated remaining liability was increased to $601,842 and is reported with other long-term liabilities in the government- wide statement of net assets. Actual costs may vary due to inflation, changes in technology, or changes in regulations.

f. Insurance Claims

The City has adopted an insurance program which includes a self-insurance portion. The City is responsible for the payment of the first $50,000 of every claim arising prior to June 1, 1998 and for claims subsequent to that date, the self-insured retention is $25,000. Judgments and claims are recorded when it is probable that an asset has been impaired or a liability has been incurred and the amount of loss can be reasonably estimated. It is estimated that the City's potential liability for claims for the period prior to December 31, 2012 is $300,000 of which $225,804 has been reserved in the general fund.

The City also participates in a risk sharing pool to insure workers' compensation claims. This is a public entity risk pool created under Article 5, Workers' Compensation Law, to finance liability and risks related to workers' compensation claims. The City's share of the liability for unbilled and open claims is $402,340 which is reported with other long term liabilities in the government-wide financial statements.

2. Schedule of Notes Payable

Description	Date of Issue	Interest Rate	Balance 12/31/2015	Issued (Paid) During Year	Balance 12/31/2016	Date of Maturity
DUE IN ONE YEAR						
Bond Anticipation:						
Equipment/Improvement	Nov-16	1.00%	$ 5,007,077	$ 992,418	$ 5,999,495	Nov-17
Sewer Improvements	Nov-16	1.00%	1,730,040	1,878,798	3,608,838	Nov-17
Water Improvements	Mar-16	1.25%	1,395,000	(35,000)	1,360,000	Mar-17
Total Bond Anticipation			8,132,117	2,836,216	10,968,333	
DUE IN MULTIPLE YEARS						
Bond Anticipation:						
Water Improvements	Mar-16	2.00%	-	1,490,754	1,490,754	Mar-17
Installment Debt:						
Equipment #3	Nov-05	4.49%	314,385	(57,479)	256,906	Nov-20
Wendel Energy	Aug-07	3.41%	2,115,495	(272,645)	1,842,850	Aug-22
Water Vehicles	Jun-10	5.90%	137,100	(37,556)	99,544	Jun-17
Total Installment Debt			2,566,980	1,123,074	3,690,054	

NOTE D - Long-Term Liabilities -continued

3. Changes

The changes in the City's indebtedness during the year ended December 31, 2016, are summarized as follows:

	Balance 12/31/2015	Additions	Reductions	Balance 12/31/2016	Due in One Year
Governmental Activities:					
Bonds Payable	$ 10,956,387	$ -	$ (1,801,049)	$ 9,155,338	$ 1,785,683
Retirement payable	2,244,092		(332,270)	1,911,822	-
Compensated absences	2,786,701	-	(150,585)	2,636,116	-
Judgements and claims	68,103		(77,800)	(9,697)	-
Landfill closure liability	599,696	2,146		601,842	-
Installment debt	851,298	-	(121,210)	730,088	125,766
Share of net pension liability	1,441,500	9,986,876	-	11,428,376	-
OPEB liability	41,303,975	7,393,477	-	48,697,452	-
Total governmental	60,251,752	17,382,499	(2,482,914)	75,151,337	1,911,449
Business-type Activities:					
Bonds Payable	15,591,241	-	(1,143,579)	14,447,662	1,131,317
Installment debt	1,715,683	-	(246,470)	1,469,213	216,246
Compensated absences	428,010	84,192	-	512,202	5,383
Share of net pension liability	320,611	1,154,570	-	1,475,181	-
OPEB liability	5,896,025	886,523	-	6,782,548	-
Total business-type	23,951,570	2,125,285	(1,390,049)	24,686,806	1,352,946
Total Long Term Debt	$ 84,203,322	$ 19,507,784	$ (3,872,963)	$ 99,838,143	$ 3,264,395

4. Description

a. Serial Bonds

The City borrows money in order to acquire or construct buildings and public improvements. This enables the cost of these capital assets to be borne by the present and future taxpayers receiving the benefit of the capital assets. These long-term liabilities, which are backed by the full faith and credit of the City, are recorded in the long-term liabilities. The provision to be made in future budgets for capital indebtedness represents the amount, exclusive of interest, authorized to be collected in future years from taxpayers and others for liquidation of long-term liabilities.

NOTE D - Long-Term Liabilities -continued

7. Description -continued

a. Serial Bonds (Cont'd).

The following is a schedule of the City's serial bonds as of December 31, 2016:

Despcription	Date of Issue/ Maturity	Interest Rate	Total Authorized	Balance 12/31/2015	Issued (Paid) During Year	Balance 12/31/2016
Serial Bonds:						
Clean Water Revolving Loan	3/98 to 6/18	3.75% to 5.20%	$ 1,183,625	$ 195,000	$ (65,000)	$ 130,000
Clean Water Revolving Loan	7/04 to 2/25	1.99% to 5.025%	2,545,816	1,465,000	(125,000)	1,340,000
Clean Water Revolving Loan	3/05 to 5/25	2.10% to 4.405%	571,283	239,221	(21,221)	218,000
Clean Water Revolving Loan	7/05 to 10/24	2.059% to 3.969%	1,552,579	770,000	(80,000)	690,000
Public Improvement Serial	7/06 to 3/26	4.250% to 4.375%	4,116,824	220,000	(220,000)	-
Public Improvement Serial	4/10 to 4/27	2% to 4.25%	4,815,000	2,475,000	(300,000)	2,175,000
Refunding Serial Bonds	5/13 to 2/18	2% to 3.6%	3,110,000	1,860,000	(605,000)	1,255,000
Clean Water Revolving Loan	7/11 to 5/31	.28% to 4.113%	5,819,243	4,895,000	(295,000)	4,600,000
Clean Water Revolving Loan	11/12 to 11/32	.285% to 3.483%	3,552,158	3,080,000	(155,000)	2,925,000
Refunding Serial Bonds	8/15 to 8/21	.285% to 3.483%	2,675,000	2,675,000	(260,000)	2,415,000
Public Improvement Serial	3/15 to 3/35	.285% to 3.483%	8,673,407	8,673,407	(818,407)	7,855,000
				$ 17,874,221	$ (2,944,628)	$ 23,603,000

b. Bond Anticipation Notes Payable

Liabilities for bond anticipation notes (BANs) are generally accounted for in the capital projects and enterprise funds. Principal payments must be made annually. State law requires that BANs issued for capital purposes be converted to long-term obligations within five years after the original issue date.

NOTE D - Long-Term Liabilities -continued

4. Description -continued

c. Amortization

The annual requirements needed to amortize bonded debt and installment debt as of December 31, 2016, are as follows:

	Governmental Activities		Business-type Activities			
			Sewer Fund		Water Fund	
	Principal	Interest	Principal	Interest	Principal	Interest
2017	$ 1,785,683	$ 241,648	$ 684,539	$ 291,186	$ 446,778	$ 181,871
2018	1,666,648	198,041	623,396	274,438	451,756	169,336
2019	742,848	163,153	622,396	256,156	391,756	156,978
2020	601,143	142,128	659,095	235,782	396,762	144,984
2021	611,143	124,671	659,095	213,790	410,762	132,152
2022-2026	2,260,673	408,818	3,039,327	741,017	1,979,000	439,680
2027-2031	943,000	148,730	2,038,000	262,660	1,304,000	164,639
2032-2036	544,000	37,208	375,000	25,591	366,000	13,441
	$ 9,155,138	$ 1,464,397	$ 8,700,848	$ 2,300,620	$ 5,746,814	$ 1,403,081

d. Advance Refunding of Public Improvement Debt

In May 2005, the City issued $3,335,000 in serial bonds, and in July 2006, issued $4,116,824 in serial bonds through the Depository Trust Company. The proceeds were used to fund public improvements in the City, with $4,981,824 allocated to General Fund, $2,395,000 allocated for Sewer purposes, and $75,000 allocated for Water purposes. The net proceeds of the 2015 refunding, $2,932,469, after payment of $70,850 in underwriting and other issuance costs, were used to purchase state and local securities. These securities were deposited in an irrevocable trust with an escrow agent to provide for all future debt service payments on the refunded debt. As of August 19, 2015, the escrow funds were deposited to pay the refunded debt of $2,810,000. The outstanding principal balance on the refunding debt totaled $2,415,000 at December 31, 2016, with an average interest rate of 4.30%

NOTE E - Commitments and Contingencies

1. Litigation

There are several pending and/or threatened claims against the City for personal injury and/or property damages. In the opinion of counsel most of these claims are either fully insured or their settlement will not have a material effect on the financial statements. Also, several property owners within the City have filed certiorari claims for reductions in assessed valuations. In the opinion of counsel, the results of these proceedings cannot be determined at this time.

2. Grant Programs

The City participates in a number of grant programs. These programs are subject to financial and compliance audits by the grantors or their representatives. The audits of these programs may be conducted, in accordance with grantor requirements, on a periodic basis. Accordingly, the City's compliance with applicable grant requirements will be established at some future date. The amounts, if any, of expenditures which may be disallowed by the granting agencies cannot be determined at this time although the City believes, based upon its review of current activity and prior experience, the amount of such disallowances, if any, will be minimal.

3. Authorized Debt

In addition to the debt shown above, $31,084,460 had been authorized but was unissued at December 31, 2016.

4. Capital Projects

The Water Department and City of Kingston other than the Water Department have prepared four and five-year capital plans. The current estimated total cost of projects contemplated for the next five years is $28,164,964 for the Water Department and $17,700,000for the City other than the Water Dept. These projects are prioritized based on criteria, the highest of which is that the project is legally mandated. Unfortunately only a portion of these projects will be undertaken due to limitations on funding sources which include a combination of budget appropriations, grants and borrowing.

5. Deferred Compensation Plan

City employees may elect to participate in the deferred compensation plan created in accordance with IRS Section 457. The plan, available to all employees, permits them to defer a portion of their salary until future years, usually after retirement.

On October 1, 1997 the NY State Deferred Compensation Board (Board) created an agreement that made Chase Manhattan Bank the Trustee and Custodian of the plan. Consequently, Statement No. 32 became effective for the New York State Deferred Compensation Plan as of October 1, 1997. Since the Board is no longer the trustee of the plan, the plan no longer meets the criteria for inclusion in New York State's financial statements. Therefore, municipalities which participate in New York State's Deferred Compensation Plan are no longer required to record the value of the plan assets.

NOTE F – Jointly Governed Organization

The City of Kingston and Kingston City School District have undertaken the joint operation and maintenance of Dietz Stadium, a recreational facility, through the Dietz Stadium Commission. The Mayor appoints three members of the seven-member board. This activity is excluded from the financial statements of all participating municipalities. The City has no equity interest in the Commission. All expenses are shared equally by the parties.

NOTE G – Lease Agreements

In August 2005, the City entered into an agreement with Aslan Environmental Services to implement and manage a process for converting sludge into bio-solid pellets with equipment provided by Aslan. The initial term of the agreement was for 10 years; however, it was later increased to 15 years. The initial monthly payment is $19,600 with an increase of 3% for each of the subsequent years. The City has the option to purchase the equipment based on the following schedule with an exercise payment of 5% of the purchase price. The first payment under the lease was not due until the equipment commenced operation to dry the sludge. A total of $220,104 was paid in 2016.

Schedule of terms for options to purchase:

	Price	Credit
< 2 years	$ 1,900,000	16,000
2-4 years	1,650,000	32,000
4-6 years	1,400,400	48,000
6-8 years	1,150,000	64,000
> 8 years	900,000	80,000

NOTE H – Prior Period Adjustment

In 2016, the following prior period adjustments were recorded to properly state fund balance and net position as of December 31, 2015:

Location	Amount	Reason	Effect
Government Wide	$ 3,156,025	To decrease OPEB liability overstated in prior year	Increase net position
Special Grant	54,639	To reflect write off of uncollectable receivables	Decrease of net position
Water Fund	18,884	To agree opening fund balance to prior year audit report received after 2015 audit date	Increase of net position

77
173

NOTE I – New Reporting Standards

GASB issued Statement 72, Fair Value Measurement and Application, which addresses accounting and financial reporting issues related to fair value measurements. The definition of fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants and the measurement date. This Statement provides guidance for determining a fair value measurement for financial reporting purposes and for applying fair value to certain investments and disclosures related to all fair value measurements. GASB Statement 72 is effective for fiscal year 2016. The City determined that the new standard did not have a significant impact on its financial reporting.

GASB has issued Statement 73, Accounting and Financial Reporting for Pension and Related Assets that are not within the Scope of GASB Statement 68, and Amendments to Certain Provisions of GASB Statements 67 and 68, which improves the usefulness of information about pensions included in the general purpose external financial reports of state and local government for making decisions and assessing accountability. This Statement also amends Statement 67, Financial Reporting for Pension Plans. GASB Statement 73 is effective for fiscal year 2016. The City determined that the new standard did not have a significant impact on its financial reporting.

GASB has issued Statement 74, Financial Reporting for Postemployment Benefit Plans Other Than Pension Plans, which improves the usefulness of information about postemployment benefits other than pensions included in the general purpose external financial reports of state and local government OPEB plans for making decisions and assessing accountability. The City determined that the new standard did not have a significant impact on its financial reporting.

GASB has issued Statement 75, Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions, which improves accounting and financial reporting by state and local governments for postemployment benefits other than pensions. It also improves the information provided by state and local governmental employers about support for OPEB that is provided by other entities. The City is currently studying the Statement and plans on adopting if and when required, which will be for the December 31, 2018 financial statements.

GASB has issued Statement 77, Tax Abatement Disclosures. This Statement requires governments to disclose in the notes certain information related to tax abatement agreements. GASB Statement 77 is effective for fiscal year 2016. The City has implemented Statement 77 as required.

In November 2016, GASB issued Statement No. 83, *Certain Asset Retirement Obligations* (GASB 83). GASB 83 addresses accounting and financial reporting for certain asset retirement obligations (AROs). An ARO is a legally enforceable liability associated with the retirement of a tangible capital asset. A government that has legal obligations to perform future asset retirement activities related to its tangible capital assets should recognize a liability based on the guidance in this Statement. The requirements of GASB 83 are effective for reporting periods beginning after June 15, 2018. The City has not evaluated the effect of GASB 83 on its financial statements.

In January of 2017, GASB issued Statement No. 84, *Fiduciary Activities*. The objective of Statement No.84 is to improve guidance regarding the identification of fiduciary activities for accounting and financial reporting purposes and how those activities should be reported. Statement No. 84 establishes criteria for identifying fiduciary activities of all state and local governments. The focus of the criteria generally is on (1) whether a government is controlling the assets of the fiduciary activity and (2) the beneficiaries with whom a fiduciary relationship exists. Separate criteria are included to identify fiduciary component units and postemployment benefit arrangements that are fiduciary activities. The City has not evaluated the effect of GASB 83 on its financial statements.

In March 2017, GASB issued Statement 85, *Omnibus 2017*. This Statement addresses a variety of topics including issues related to blending component units, goodwill, fair value measurement and application, and postemployment benefits (pensions and other postemployment benefits [OPEB]). The City is required to implement this standard for the year ending December 31, 2018.

NOTE I – New Reporting Standards - continued

The City has also issued Statements 76, 78-82, and 86, none of which are expected to have any substantive effects on the City's net position.

NOTE J – Subsequent Events

On March 23, 2017, the City renewed a bond anticipation note for $3,122,439 at 2.00%. The note was for the purposes of various capital improvements and equipment purchases for various City departments.

NOTE K – Restated Financial Statements

The City has restated its 2016 financial statements because of a change in the classification of fund balance in the General Fund. The overall fund balance for the General Fund did not change.

<u>REQUIRED SUPPLEMENTARY INFORMATION</u>

EXHIBIT I

CITY OF KINGSTON, NEW YORK
BUDGETARY COMPARISON SCHEDULE
GENERAL FUND
FOR THE YEAR ENDED DECEMBER 31, 2016

	GENERAL FUND			
	ORIGINAL BUDGET	FINAL BUDGET	ACTUAL	VARIANCE FAVORABLE (UNFAVORABLE)
REVENUES				
Real property taxes	$ 17,669,687	$ 17,669,687	$ 17,167,464	$ (502,223)
Other property tax items	1,088,000	1,088,000	1,036,276	(51,724)
Non property tax items	13,370,000	13,386,281	13,609,148	222,867
Departmental income	2,378,144	2,391,244	2,347,725	(43,519)
Intergovernmental charges	74,500	74,500	65,000	(9,500)
Use of money and property	32,500	32,500	28,477	(4,023)
Licenses and permits	387,800	387,800	565,322	177,522
Fines and forfeitures	235,000	235,000	206,344	(28,656)
Sale of property and compensation for loss	54,300	54,300	145,580	91,280
Miscellaneous local sources	454,700	454,700	459,570	4,870
State aid	3,779,151	3,779,151	3,829,008	49,857
Federal aid	509,500	509,500	622,688	113,188
Total revenues	40,033,282	40,062,663	40,082,602	19,939
Appropriation of prior year's fund balance	775,000	882,095	-	(882,095)
Total revenues and appropriation of prior year's fund balance	40,808,282	40,944,758	40,082,602	(862,156)
EXPENDITURES				
General Government Support	6,257,827	6,013,233	5,622,147	391,086
Public safety	20,045,603	20,287,999	19,450,322	837,677
Health	57,379	57,379	49,591	7,788
Transportation	3,311,192	3,347,567	3,142,448	205,119
Economic assistance & opportunity	142,003	208,561	171,637	36,924
Culture and recreation	2,063,751	2,099,996	1,918,316	181,680
Home and community service	3,428,344	3,449,120	3,240,465	208,655
Employee benefits, retirees	2,889,000	2,889,000	2,962,134	(73,134)
Debt Service:				
Principal	451,048	451,048	459,563	(8,515)
Interest	94,256	94,256	67,309	26,947
Total expenditures	38,740,403	38,898,159	37,083,932	1,814,227
Excess (deficiency) of revenues over expenditures	2,067,879	2,046,599	2,998,670	952,071
Other financing sources (uses):				
Operating transfers in	-	-	34	34
Operating transfers out	(2,067,879)	(2,046,599)	(2,133,277)	(86,678)
Total other financing sources	(2,067,879)	(2,046,599)	(2,133,243)	(86,644)
Excess (deficiency) of revenues and appropriation of prior year's fund balance and other sources over (under) expenditures, encumbrances	$ -	$ -	$ 865,427	$ 865,427

81

CITY OF KINGSTON, NEW YORK
OTHER POST-EMPLOYMENT BENEFITS
PLAN SCHEDULE OF FUNDING PROGRESS
DECEMBER 31, 2016

EXHIBIT II

	Year	Annual OPEB Cost	Annual OPEB Cost Contributed	Net OPEB Obligation	Unfunded Actuarial Liability	Funded Ratio	Covered Payroll	UAAL as % of Payroll
*	2016	$ 11,380,000	27%	$ 55,480,000	$ 138,530,000	0%	$ 19,710,000	703%
*	2015	9,150,000	32%	47,200,000	121,140,000	0%	18,490,000	673%
*	2014	11,380,000	32%	47,200,000	115,100,000	0%	19,800,000	591%

* Includes Water Fund portion of OPEB liability

CITY OF KINGSTON, NEW YORK
SCHEDULE OF THE PROPORTIONATE SHARE
OF THE NET PENSION LIABILITY
DECEMBER 31, 2016

EXHIBIT III

NYSLRS Pension Plan
Last 10 Fiscal Years*

	Employees' Retirement System March 31, 2016	Police and Fire Retirement System March 31, 2016	Employees' Retirement System March 31, 2015	Police and Fire Retirement System March 31, 2015
City's proportion of the net pension liability (asset)	0.0308454%	0.2691796%	0.0310462%	0.2604413%
City's proportionate share of the net pension liability (asset)	$ 4,950,767	$ 7,969,833	$ 1,045,219	$ 716,890
City's covered-employee payroll	$ 9,085,750	$ 10,826,434	$ 8,805,830	$ 10,492,852
City's proportionate share of the net pension liability (asset) as a percentage of its covered-employee payroll	54.49%	73.61%	11.87%	6.83%
Plan fiduciary net position as a percentage of the total pension liability	90.68%	90.24%	97.95%	99.03%

*The amounts presented for each fiscal year were determined as of the System's measurement date

This schedule is presented to illustrate the requirement to show information for 10 years. However, until a full 10-year trend is compiled, the City will present information for those years for which information is available.

CITY OF KINGSTON, NEW YORK
SCHEDULE OF EMPLOYER CONTRIBUTIONS
DECEMBER 31, 2016

EXHIBIT IV

NYSLRS Pension Plan
Last 10 Fiscal Years*

	Employees' Retirement System March 31, 2016	Police and Fire Retirement System March 31, 2016	Employees' Retirement System March 31, 2015	Police and Fire Retirement System March 31, 2015
Contractually required contribution	$ 1,831,262	$ 2,934,394	$ 979,145	$ 1,650,841
Contributions in relation to the contractually required contribution	1,509,733	2,205,484	1,668,827	2,287,767
Contribution (deficiency)/excess	$ (321,529)	$ (728,910)	$ 689,682	$ 636,926
City's covered-employee payroll	$ 9,085,750	$ 10,826,434	$ 8,805,830	$ 10,492,852
Contributions as a percentage of covered-employee payroll	16.62%	20.37%	18.95%	21.80%

*The amounts presented for each fiscal year were determined as of the System's measurement date

This schedule is presented to illustrate the requirement to show information for 10 years. However, until a full 10-year trend is compiled, the City will present information for those years for which information is available.

OTHER SUPPLEMENTARY INFORMATION

LIMITED LIABILITY PARTNERSHIP
CERTIFIED PUBLIC ACCOUNTANTS BUSINESS DEVELOPMENT CONSULTANTS

INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Mayor and
Members of the Common Council
City of Kingston
Kingston, New York

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the City of Kingston, as of and for the year ended December 31, 2016, and the related notes to the financial statements, which collectively comprise the City of Kingston's basic financial statements, and have issued our report thereon dated October 2, 2017. We did not audit the financial statements of the City's Water Fund, as shown on the Statement of Net Position and Statement of Revenues, Expenses, and Changes in Net Position – Proprietary Funds. Those statements were audited by other auditors, whose report has been furnished to us.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the City of Kingston, New York's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the City of Kingston, New York's internal control. Accordingly, we do not express an opinion on the effectiveness of the City of Kingston, New York's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness in internal control, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit, we did not identify any deficiency in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

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Compliance and Other Matters

As part of obtaining reasonable assurance about whether the City of Kingston, New York's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards.*

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the City of Kingston, New York's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City of Kingston, New York's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

RBT CPAs, LLP

Poughkeepsie, New York
October 2, 2017

RBT

LIMITED LIABILITY PARTNERSHIP
CERTIFIED PUBLIC ACCOUNTANTS BUSINESS DEVELOPMENT CONSULTANTS

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY UNIFORM GUIDANCE

To the Mayor and
Members of the Common Council
City of Kingston
Kingston, New York

Report on Compliance for Each Major Federal Program

We have audited the City of Kingston, New York's compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the City of Kingston's major federal programs for the year ended December 31, 2016. The City of Kingston's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of City of Kingston, New York's major federal programs based on our audit of the types of compliance requirements referred to above. We did not audit the compliance over major programs of the City's Water Fund. This audit was performed by other auditors, whose reports has been furnished to us, and our opinion, as it relates to the compliance over the Water Fund's federal programs, is based solely on the report of the other auditors. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States; and the audit requirements of Title 2, U.S. *Code of Federal Regulations,* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the City of Kingston, New York's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination on the City of Kingston, New York's compliance.

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Opinion on Each Major Federal Program

In our opinion, City of Kingston, New York complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs for the year ended December 31, 2016.

Report on Internal Control Over Compliance

Management of the City of Kingston, New York is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the City of Kingston, New York's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the City of Kingston, New York's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in Internal Control over Compliance that we consider to be a material weakness. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

RBT CPAs, LLP

Poughkeepsie, New York
October 2, 2017

LIMITED LIABILITY PARTNERSHIP
CERTIFIED PUBLIC ACCOUNTANTS BUSINESS DEVELOPMENT CONSULTANTS

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE AND CONTROLS OVER STATE TRANSPORTATION ASSISTANCE EXPENDED BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Mayor
and Members of the Common Council
City of Kingston
Kingston, New York

Report on Compliance for Each State Transportation Assistance Program

We have audited the City of Kingston, New York's compliance with the types of compliance requirements described in the preliminary Draft Part 43 of the New York State Codification of Rules and Regulations (NYCRR) that could have a direct and material effect on each of City of Kingston, New York's state transportation assistance programs that were tested for the year ended December 31, 2016. The programs tested are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its state transportation assistance programs.

Auditors' Responsibility

Our responsibility is to express an opinion on the City of Kingston, New York's compliance based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards,* issued by the Comptroller General of the United States; and Draft Part 43 of NYCRR. Those standards and Draft Part 43 of NYCRR require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a tested state transportation assistance program occurred. An audit includes examining, on a test basis, evidence about the City of Kingston, New York's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each transportation assistance program that was tested. However, our audit does not provide a legal determination on the City of Kingston, New York's compliance.

rbtcpas.com

11 Racquet Road
Newburgh, NY 12550
T: (845) 567-9000
F: (845) 567-9228

2622 South Avenue
Wappingers Falls, NY 12590
T: (845) 905-9000
F: (845) 905-9904

2678 South Road, Suite 101
Poughkeepsie, NY 12601
T: (845) 485-5510
F: (845) 485-5547

P.O. Box 209
51 Sullivan Street
Wurtsboro, NY 12790
T: (845) 888-5656
F: (845) 888-2789

Opinion on State Transportation Assistance Program

In our opinion, the City of Kingston, New York, complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its state transportation assistance programs tested for the year ended December 31, 2016.

Report on Internal Control Over Compliance

The management of the City of Kingston, New York is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the City of Kingston, New York's internal control over compliance with the types of requirements that could have a direct and material effect on the state transportation assistance programs that were tested in order to determine the auditing procedures are appropriate in the circumstances for the purpose of expressing our opinion for each tested program and to test and report on internal control over compliance in accordance with Draft Part 43 of NYCRR, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the City of Kingston, New York's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a state transportation assistance program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a state transportation assistance program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency or combination of deficiencies, in internal control over compliance with a type of compliance requirement of a program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the preliminary Draft Part 43 of NYCRR. Accordingly, this report is not suitable for any other purpose.

RBT CPAs, LLP

Poughkeepsie, New York
October 2, 2017

CITY OF KINGSTON
SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
DECEMBER 31, 2016

Financial Statement Findings:

No matters were reported.

Findings and Questioned Costs for Federal Awards:

No matters were reported.

CITY OF KINGSTON, NEW YORK
OTHER SUPPLEMENTARY INFORMATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
AND SELECTED STATE AWARDS
DECEMBER 31 ,2016

Grantor/ Program Title	NYSDOT Contract/ Reference Number	CFDA Number	Passed Through to Subrecipients	Federal/State Expenditures
US DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				
DIRECT PROGRAMS:				
* CDBG-Entitlement		14.218	$ 141,632	$ 1,278,086
PASSED THROUGH NYS HOUSING TRUST FUND CORP.:				
Home Investment Partnerships Program	SHARS ID 20113154	14.239	-	40,310
US DEPARTMENT OF TRANSPORTATION				
DIRECT PROGRAMS:				
Federal Transit Formula Grant		20.507	-	634,000
			-	634,000
PASSED THROUGH STATE:				
* Highway Planning & Construction		20.205	-	1,035,444
			-	1,035,444
US DEPARTMENT OF HOMELAND SECURITY				
PASSED THROUGH STATE DHSES:				
Tactical Team Targeted Grant	C158839	97.067	-	94,576
ENVIRONMENTAL PROTECTION AGENCY				
PASSED THROUGH STATE				
* Capitalization Grants for Drinking Water State Revolving Funds	18147-75	66.468	-	1,526,254
TOTAL FEDERAL AWARDS EXPENDED			$ 141,632	$ 4,608,670

STATE TRANSPORTATION ASSISTANCE		
New York State Department of Transportation:		
CHIPS - Capital Reimbursement Component	n/a	$ 532,877
State Transit Op Assistance - Sponsor Operated	n/a	234,123
State Formula Grant -5307	NY-90-X769	38,250
Marchiselli Match - Federal Highway Projects	8756.18.121	165,228
Marchiselli Match - Federal Highway Projects	8757.10.221	294
Marchiselli Match - Federal Highway Projects	8760.29.121	4,055
TOTAL STATE TRANSPORTATION ASSISTANCE EXPENDITURES		$ 974,827

* A major program

CITY OF KINGSTON, NEW YORK
NOTES TO SCHEDULE OF EXPENDITURES OF
FEDERAL AND SELECTED STATE AWARDS
DECEMBER 31, 2016

NOTE 1 – Basis of Presentation

The accompanying schedule of expenditures of federal and selected state awards includes all grants awarded from the Federal government and financial assistance programs provided by the NYS Department of Transportation to the City of Kingston (primary government only) and is presented on the modified accrual basis of accounting. See individual financial statements for reporting on component units. The information in this schedule is presented in accordance with the requirements of Title 2, U.S. *Code of Federal Regulations,* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance), *Organizations.* Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of the financial statements.

NOTE 2 – Subrecipients

The following is a summary of subrecipient activity for 2016:

Program Title	CDFA Number	Amount Provided to Subrecipients
Entitlement- Direct Programs	14.218	$ 141,632

In addition, the City of Kingston has designated the Kingston Local Development Corporation (KLDC) as its subrecipient for purposes of the RUD 108 Loan program. Since this is a loan program, no activity is reflected in the City's financial statements. See KLDC financial statement for details on outstanding 108 loans.

CITY OF KINGSTON, NEW YORK
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
DECEMBER 31, 2016

SECTION I - SUMMARY OF AUDITORS' RESULTS

	Response
Financial Statements	
Type of auditors' report issued	Unmodified
Internal control over financial reporting:	
Material weaknesses identified?	No
Significant deficiencies identified that are not material weaknesses?	No
Noncompliance material to the financial statements noted?	No
Federal Awards	
Internal control over financial reporting:	
Material weaknesses identified?	No
Significant deficiencies identified that are not material weaknesses?	No
Type of auditors' report issued on compliance for major programs:	Unmodified
Any audit findings disclosed that are required to be reported in accordance with Uniform Guidance?	No
Identification of major programs:	
Name of Federal Program	CFDA Numbers
Highway Planning and Construction	20.205
CDBG - Entitlement	14.218
Capitalization Grants for Drinking Water State Revolving Funds	66.468
Dollar threshold to distinguish between type A and type B programs	$750,000
Auditee qualified as low-risk auditee	No
State Transportation Assistance	
Internal control over financial reporting:	
Material weaknesses identified?	No
Significant deficiencies identified that are not material weaknesses?	No
Type of auditors' report issued on compliance for programs tested	Unmodified
Summary of audit findings	No State
Identification of state transportation programs tested	CHIPS

SECTION II - FINANCIAL STATEMENT FINDINGS

No matters were reported

SECTION III - FINDINGS AND QUESTIONED COSTS FOR FEDERAL AND SELECTED STATE AWARDS

No matters were reported

RESOLUTION #9 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR MODIFICATIONS TO THE 2017 DEPARTMENT OF PUBLIC WORKS BUDGET

Sponsored by: Finance/Audit Committee
Aldermen Koop, Scott-Childress, Davis, Schabot, Brown

WHEREAS, the Superintendant of Public Works has requested a budget transfer in the amount of $14,000.00 to cover unanticipated overages for roof repairs at the Hasbrouck Avenue Dispatch building as well as air monitoring for asbestos abatement; and

WHEREAS, the Finance/Economic Development Committee has received, reviewed and approved this request:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the sum of $14,000.00 be transferred as follows:

FROM:	A11490.5472	Admin Contracted Svcs	$7,000.00
	A11490.5426	Admin Vehicle Fuel	$7,000.00
TO:	A11490.5301	Admin Capital Contract Svc	$14,000.00

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______ day of__________________2018.

Approved by the Mayor this ______ day of__________________2018.

CARLY WINNIE, CITY CLERK

STEVEN T. NOBLE, MAYOR

Adopted by Council January 9, 2018

Financial Impact - $0

dpw bud mod

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND ECONOMIC DEVELOPMENT

COMMITTEE REPORT

Internal Transfer	XX	Contingency Transfer	
Transfer		Authorization	
Budget Modification		Bonding Request	
Claims	Zoning	Other	

Department: *Public Works* **Date:** *December 12, 2017*
REVISED

A1	Transfer ~~To~~: From		A1	Transfer ~~From~~: TO	
1490 14 5472	*Admin Contracted Service*	7,000.00	1490 13 5301	*Admin Capital Contracted Service*	14,000.00
1490 14 5426	*Admin Vehicle Fuel*	7,000.00			
	Total	14,000.00		*Total*	14,000.00

Cost for replacement roof at the Dispatch building on Hasbrouck Avenue is $70,800.
An additional $5,000 was needed to cover air monitoring for asbestos abatement.
Roof bid came in over anticipated cost. The above interdepartmental transfer will cover additional costs.

Estimated Financial Impact -0-

Signature ____________

Motion By AD

Seconded By SS

Action Required ____________

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____
Negative Declaration of Environmental Significance ____
Conditioned Negative Declaration ____
Seek Lead Agency Status ____
Positive Declaration of Environmental Significance ____

Committee Vote	Yes	No
D A Koop	✓	
Douglas Koop, Ward 2 Chairman	✓	
Reynolds Scott-Childress, Ward 3	✓	
Tony Davis, Ward 6	✓	
Steven Schabot, Ward 8	✓	
Deborah Brown, Ward 9		

RESOLUTION #10 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR AN ADDRESS CHANGE ON NORTH STREET

Sponsored by: Public Safety/General Government Committee

WHEREAS, Resolution #193 of 1998 adopted a procedure for the numbering and renumbering of street addresses for City of Kingston parcels; and

WHEREAS, the Engineering Department submitted a request for address changes on North Street in the City of Kingston; and

WHEREAS, the Common Council approved Resolution 203 of 2017 on October 3, 2017, which erroneously eliminated one of the properties to be renumbered; and

WHEREAS, the Public Safety/General Government Committee has received, reviewed and approved a request to amend Resolution #203 of 2017 to include the missing property:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That Resolution #203 of 2017 be amended to include:

That the Existing Rear North Street, Tax Map No. 56.36-1-21, be re-numbered as #6 North Street

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______

day of__________________, 2018

____

CARLY WINNIE, CITY CLERK

Approved by the Mayor this ______

day of__________________, 2018

____

STEVEN T. NOBLE, MAYOR

Adopted by Council on January 9, 2018

StNum

THE CITY OF KINGSTON COMMON COUNCIL

PUBLIC SAFETY/GENERAL GOVERNMENT COMMITTEE REPORT

DEPARTMENT ______________________ DATE 8/23/2012

Description Resolution to amend Resolution #203 to add Existing Rear North Street, TAX Map No. 56.36-1-24 to be renumbered as #6 North Street

(As appropriate, always give cross streets: North, South, East, West directions; and Vehicle & Traffic Section from City of Kingston Code Book)

Signature [signature]

Motion by AD SS

Seconded by R SC

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
[signature]		
~~Shirley Whitlock, Chairman~~ Steven Schabot, Chair	X	
~~Deborah Brown, Ward 9~~ Reynolds Scott-Childress [signature]	✓	
~~Matt Dunn, Ward 1~~ Jeffrey V. Morell [signature]		
~~Bill Carey, Ward 5~~ Rita M. Worthington	✓	
~~Elisa Ball, Ward 9~~ Andrea Shaut, Ward 9 [signature]	✓	

CITY OF KINGSTON

Office of the City Engineer

rswenson@kingston-ny.gov

Ralph E. Swenson, P.E., City Engineer

Steven T. Noble, Mayor

December 11, 2017

James Noble, President
City of Kingston Common Council
City Clerk's Office
City Hall
420 Broadway
Kingston, New York 12401

RE: Error on Resolution #203 of 2017: North Street Address Revisions

Dear President Noble,

When Resolution #203 was written up, one of the five (5) parcels that was getting a new address was left off the list. The Public Safety/General Government Committee Report correctly referenced an attached map which clearly showed all five (5) properties.

The missing property that must be added to the amended Resolution is "Existing Rear North Street, Tax map No. 56.36-1-21, to be re-numbered as #6 North Street.

I cannot issue the official change of address notices without all the involved properties being listed on the authorizing Resolution.

I therefore respectfully request that this matter be taken up as soon as possible this month to ensure that it can be re-approved at the first full Common Council meeting in January.

I thank you in advance for your anticipated immediate attention to this issue and should you have any questions do not hesitate to contact me.

Respectfully,

Alan Adin
Engineering Technician

City Hall · 420 Broadway · Kingston, New York 12401 · (845) 334-3967· www.kingston-ny.gov

RESOLUTION #203 of 2017

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR ADDRESS CHANGES ON NORTH STREET

Sponsored by: Public Safety/General Government Committee
Aldermen Schabot, Eckert, Scott-Childress, Brown

WHEREAS, Resolution #193 of 1998 adopted a procedure for the numbering and renumbering of street addresses for City of Kingston parcels; and

WHEREAS, the Engineering Department has submitted a request for address changes on North Street in the City of Kingston; and

WHEREAS, the Public Safety/General Government Committee has received, reviewed and approved this request:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That properties on North Street be numbered, as follows:

Existing 2-10 North Street, Tax Map no. 56.36-1-23, shall be renumbered 10 North Street
Existing "Head of East Strand", Tax Map no. 56.36-1-22, shall be numbered 8 North Street
Existing "Rear North Street", Tax Map no. 56.36-1-20, shall be numbered 4 North Street
Existing "Land North Street", Tax Map no. 56.36-1-19, shall be numbered 2 North Street

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this 4th day of October 2017

CARLY WINNIE, CITY CLERK

Approved by the Mayor this 4th day of October 2017

STEVEN T. NOBLE, MAYOR

Adopted by Council on October 3, 2017

StNum

THE CITY OF KINGSTON COMMON COUNCIL

PUBLIC SAFETY/GENERAL GOVERNMENT COMMITTEE REPORT

DEPARTMENT ______ **DATE** 9/27/17

Description Resolution to add and change addresses/put numbers to ~~vacant~~ parcels on North street as per the map attached hereto

(As appropriate, always give cross streets: North, South, East, West directions; and Vehicle & Traffic Section from City of Kingston Code Book)

Signature ______

Motion by RSC

Seconded by DB

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
Steve Schabot, Chairman	✓	
Lynn Eckert, Ward 1	✓	
Nina Dawson, Ward 4		
~~Anthony Davis, Ward 6~~ Reynolds Scott-Childress	✓	
Deborah Brown, Ward 9	+	

PS

City of Kingston

NEW YORK

OFFICE OF THE CITY ENGINEER

September 1, 2017

James Noble, President
City of Kingston Common Council
City Clerk's Office
City Hall
420 Broadway
Kingston, New York 12401

RE: Proposed Address Changes: North Street

Dear President Noble:

This Office was recently contacted by *Historic Kingston Waterfront, LLC*, the owner of three (3) vacant properties on North Street regarding a request to change their existing property addresses (see attached map).

Because the range of available numbers in this area is limited, it would also be necessary to alter and re-number the addresses of two (2) nearby properties owned by *Rondout Land Corp.* and *Barney Millens*. I previously sent a letter of inquiry to the owner(s) but they have not yet responded.

For purposes of public safety and emergency response it is important in the present as well in the future if these parcels were to be developed be numbered in a logical numerical order. Imprecise and confusing terms currently in use such as "*Head of North Street*" or "*Land, North Street*" make finding the sites difficult and confusing.

It is therefore proposed that the subject properties be renumbered as shown on the attached map.

Therefore, pursuant to Resolutions #193 of 1998 and #105 of 1999, "...the Common Council shall review and approve all street number assignments and reassignments for all parcels within the City of Kingston..." it respectfully requested that the Common Council take this matter under consideration.

I thank you in advance for your anticipated timely attention to this request and if you should have any additional questions, do not hesitate to contact me.

Sincerely,

Alan J. Adin
Engineering Technician

Cc: Ward 8 Alderman Steven Schabot

KINGSTON
ESOPUS
RONDOUT CREEK
EXISTING #1 NORTH ST.
CENTRAL HUDSON GAS & ELECTRIC CORP
PENN CENTRAL RAILROAD
GROVE ST
EAST STRAND
STREET
NORTH
EXISTING #2-10 NORTH ST.
PROPOSED: #10 NORTH ST
EXISTING "HEAD OF EAST STRAND ST."
PROPOSED #8 NORTH ST
EXISTING "LAND NORTH ST."
PROPOSED #2 NORTH ST
EXISTING "REAR NORTH ST"
EXISTING "REAR NORTH ST."
PROPOSED #6 NORTH ST.
56.36-1-20
56.36-1-21
56.36-1-22
56.36-1-23
30' R.O.W.
CORP
TIDAL FLATS

RESOLUTION #11 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR MODIFICATIONS TO THE 2017 WASTEWATER TREATMENT FACILITY BUDGET

Sponsored by: Finance/Audit Committee

WHEREAS, the Wastewater Treatment Facility has requested a budget transfer in the amount of $110,000.00 to pay attorney's fees for ASLAN litigation; and

WHEREAS, the Finance/Audit Committee has received, reviewed and approved this request:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the sum of $110,000.00 be transferred as follows:

FROM:	G1199014.5404	Contingency	$60,000.00
	G1813011.5101	Regular Pay	$50,000.00
TO:	G1813014.5411	Consultants	$110,000.00

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______ day of __________________, 2018.

Approved by the Mayor this ____ day of ________________, 2018.

CARLY WINNIE, CITY CLERK

STEVEN T. NOBLE, MAYOR

Adopted by Council

Financial Impact - $0

FROM:			TO:		
G1-199014-5404	CONTINUENCY	$60,000	G1-813014-5411	CONSULTANTS	$110,000
G1-813011-5101	REGULAR PAY	$50,000			
		$110,000			$110,000

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	CONTINGENCY TRANSFER ____	TRANSFER X
AUTHORIZATION ____	BUDGET MODIFICATION ____	BONDING REQUEST X
CLAIMS ____	ZONING ____	OTHER ____

DEPARTMENT Waste Water Treatment Facility DATE 12/7/17

Description monies used to pay attorney fees for aslan litigation

Estimated Financial Impact 60,000.00 Signature AJ Windsel

Motion by Davis

Seconded by Childress

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
James Noble ~~Douglas Koop~~, Chairman	✓	
Reynolds Scott-Childress Reynolds Scott-Childress Ward 3	✓	
Anthony Davis Anthony Davis, Ward 6	✓	
Steven Schabot Steven Schabot, Ward 8	✓	
~~Deborah Brown, Ward 9~~ Patrick O'Reilly Patrick O'Reilly	✓	

RESOLUTION #12 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR MODIFICATIONS TO THE 2018 CORPORATION COUNSEL BUDGET

Sponsored by: Finance/Audit Committee

WHEREAS, the Mayor has submitted a request for transfers totaling $103,789.00, to reallocate payroll expenses in the Corporation Counsel's Office; and

WHEREAS, the Finance/Audit Committee has received, reviewed and approved this request:

NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the sum of $103,789.00 be transferred, as attached:

SECTION 2. That this resolution shall take effect immediately.

Submitted to the Mayor this ______ day of__________________2018.

Approved by the Mayor this ______ day of__________________2018.

CARLY WINNIE, CITY CLERK

STEVEN T. NOBLE, MAYOR

Adopted by Council on

Financial Impact

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____
AUTHORIZATION ____
CLAIMS ____

CONTINGENCY TRANSFER ____
BUDGET MODIFICATION ____
ZONING ____

TRANSFER ____
BONDING REQUEST ____
OTHER ____

DEPARTMENT Mayor

DATE January 8, 2018

Description

Transfer in the 2018 budget to allow current part time Corporation Counsel, Daniel Gartenstein, to become full time as per the attached

Estimated Financial Impact -0- Signature________

Motion by Schabot

Seconded by Childress

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
James Noble ~~Douglas Koop~~, Chairman	✓	
Reynolds Scott-Childress Reynolds Scott-Childress Ward 3	✓	
Anthony Davis Anthony Davis, Ward 6	✓	
Steven Schabot Steven Schabot, Ward 8	✓	
Patrick O'Reilly, Ward 7 Patrick O'Reilly	✓	

CITY OF KINGSTON
Office of the Mayor

mayor@kingston-ny.gov

Steven T. Noble
Mayor

January 5, 2018

Dear President Noble,

At the end of the 2017, our Part-time Corporation Council, Mary Lou Christiana, informed me that she would no longer be available to serve in this position. In order to maintain the work she was doing with the Kingston Housing Authority, I respectfully request that funding be adjusted in the 2018 budget to allow current part-time Corporation Counsel, Dan Gartenstein, to become full time. There will be no additional cost to the city. Please see the attached spreadsheet prepared by the City Comptroller detailing the budget amendments necessary.

Thank you for your consideration of this matter.

Respectfully Submitted,

Steven T. Noble
Mayor

City Hall· 420 Broadway · Kingston, New York 12401 · (845)334-3902 · Fax (845) 334-3904 · www.kingston-ny.gov

Comptroller's Office
2018 Budget Transfers - Corp Counsel

From:

Corporation Counsel	Part Time Pay	A1	1420	5112	81,743
Corporation Counsel	Part Time Pay	A1	1420	5112	40,000
Corporation Counsel	Social Security	A1	1420	5811	2,046
				Subtotal	103,789

To:

Corporation Counsel	Regular Pay	A1	1420	5101	75,000
Corporation Counsel	Medical	A1	1420	5821	12,384
Corporation Counsel	Dental	A1	1420	5822	812
Corporation Counsel	Optical	A1	1420	5823	247
Corporation Counsel	Consultants	A1	1420	5411	15,346
				Subtotal	103,789

Resolution 13 of 2018

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RECOMMENDING APPROVAL FOR TRANSFERS TO THE 2017 CORPORATION COUNSEL BUDGET

Sponsored By: Finance and Audit Committee: Aldermen:

WHEREAS, the Corporation Counsel has submitted a request for a budget modification in the 2017 Corporation Counsel's budget in the amount of $35,646.00 to correct year end shortages in various accounts; and

WHEREAS, the Finance/Audit Committee has received, reviewed and approved this request.

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:

SECTION 1. That the sum of $35,646.00 be transferred as follows to correct shortages in the various accounts in the 2017 Corporation Counsel budget.

SECTION-2. This resolution shall take effect immediately.

Submitted to the Mayor this _____ day of

______________________, 2018

____

Carly Williams, City Clerk

Approved by the Mayor this _____ day of

________________________, 2018

____

Steven T. Noble, Mayor

Adopted by Council on _________________, 2018

Res 2018 Transfers Corp Counsel Budget

THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION

INTERNAL TRANSFER ____	CONTINGENCY TRANSFER ____	TRANSFER ____
AUTHORIZATION ____	BUDGET MODIFICATION ____	BONDING REQUEST ____
CLAIMS ____	ZONING ____	OTHER ____

DEPARTMENT Mayor DATE January 8, 2018

Description

Transfer in Corporation Counsel's Budget as per attached From 2017 Budget

Estimated Financial Impact 35,746.00 Signature ____________

Motion by Schabot

Seconded by Davis

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
James Noble ~~Douglas Koop,~~ Chairman	✓	
Reynolds Scott-Childress Reynolds Scott-Childress Ward 3	✓	
Anthony Davis Anthony Davis, Ward 6	✓	
SS Steven Schabot, Ward 8	✓	
Patrick O'Reilly, Ward 7 Patrick O'Reilly	✓	

From:

A1-1420-14-5402	Office Supplies	187.00
A1-1420-14-5419	Court & Witness Fees	494.00
A1-1420-14-5462	Dues, Seminars	150.00
A1-1420-14-5476	Minor Office Equipment	6.00
A1-1420-14-5471	Service Contracts	300.00
A1-1990-14-5404	Contingency	35,746.00
Total		36,883.00

To:

A1-1420-14-5205	Data Processing Equipment	1,062.00
A1-1420-14-5411	Consultants	35,135.00
A1-1420-14-5403	Books	116.00
A1-1420-14-5463	Postage	570.00
Total		36,883.00

(Short $35,746.)

RESOLUTION #14 of 2018

AN ORDINANCE AMENDING AN ORDINANCE IN RELATION TO TRAFFIC ON THE PUBLIC STREETS OF THE CITY OF KINGSTON, NEW YORK REPEALING HANDICAP PARKING ON BREWSTER STREET

Sponsored By: Public Safety/General Government
Aldermen Scott-Childress, Morell, Worthington, Shaut

WHEREAS, in the interest of safety and the needs of the residents, parking on the streets must be regulated:

NOW, THEREFORE, BE IT RESOLVED THAT THE COMMON COUNCIL OF THE CITY OF KINGSTON DOES ORDAIN AND ENACT THE FOLLOWING:

Section 1: ARTICLE 7, SECTION 390-57, "HANDICAPPED PARKING" is hereby amended by REPEALING the following:

Handicapped Parking (and signage) at 58 Brewster Street

Section 2: All ordinances and parts thereof, inconsistent herewith are hereby repealed.

Section 3: This ordinance shall take effect immediately after passage, approval and publication as provided by law.

Submitted to the Mayor this ____	Approved by the Mayor this ____
day of __________________, 2018	day of __________________, 2018
______________________________	______________________________
CARLY WINNIE, CITY CLERK	STEVEN T. NOBLE, MAYOR

Adopted by Council on January 9, 2018

Handicap Remove

THE CITY OF KINGSTON COMMON COUNCIL

PUBLIC SAFETY/GENERAL GOVERNMENT COMMITTEE REPORT

DEPARTMENT ____________ DATE ____________

Description Resolution to remove Handicapped Parking zone + Signage from 58 Brewster St. ~~#44220708~~

(As appropriate, always give cross streets: North, South, East, West directions; and Vehicle & Traffic Section from City of Kingston Code Book)

Signature [signature]

Motion by RSC

Seconded by AS

Action Required:

SEQRA Decision:
Type I Action ____
Type II Action ____
Unlisted Action ____

Negative Declaration of Environmental Significance: ____

Conditioned Negative Declaration: ____

Seek Lead Agency Status: ____

Positive Declaration of Environmental Significance: ____

Committee Vote	YES	NO
[signature]	✓	
~~Shirley Whitlock, Chairman~~ Steven Schabot Chair		
~~Deborah Brown, Ward 9~~ Reynolds Scott-Childress [signature]	✓	
~~Matt Dunn, Ward 1~~ Jeffrey V. Morell [signature]		
~~Bill Carey, Ward 5~~ [signature]	✓	
~~Elisa Ball, Ward 9~~ Andrea Shaut, Ward 9 [signature]	✓	

RESOLUTION #15 of 2018

AN ORDINANCE AMENDING AN ORDINANCE IN RELATION TO TRAFFIC ON THE PUBLIC STREETS OF THE CITY OF KINGSTON, NEW YORK ADDING HANDICAP PARKING ON AMSTERDAM AVENUE

Sponsored By: Public Safety/General Government
Aldermen Scott-Childress, Morell, Worthington, Shaut

WHEREAS, in the interest of safety and the needs of the residents, parking on the streets must be regulated:

NOW, THEREFORE, BE IT RESOLVED THAT THE COMMON COUNCIL OF THE CITY OF KINGSTON DOES ORDAIN AND ENACT THE FOLLOWING:

Section 1: ARTICLE 7, SECTION 390-57, "HANDICAPPED PARKING" is hereby Amended by ADDING the following:

Handicap Parking in front of 7 Amsterdam Avenue

Section 2: All ordinances and parts thereof, inconsistent herewith are hereby repealed.

Section 3: This ordinance shall take effect immediately after passage, approval and publication as provided by law.

Submitted to the Mayor this ____

day of _________________, 2018

____

CARLY WINNIE, CITY CLERK

Approved by the Mayor this ____

day of _________________, 2018

____

STEVEN T. NOBLE, MAYOR

Adopted by Council on January 9, 2018

Handicap

THE CITY OF KINGSTON COMMON COUNCIL

PUBLIC SAFETY/GENERAL GOVERNMENT COMMITTEE REPORT

DEPARTMENT ______________________ DATE __________

Description
Resolution to add Handicapped zone and sign
7 Amsterdam Ave
Kathleen Maurer
4420708
Exp.

(As appropriate, always give cross streets: North, South, East, West directions; and Vehicle & Traffic Section from City of Kingston Code Book)

Signature ____________

Motion by RSC

Seconded by AS

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
~~Shirley Whitlock, Chairman~~	✓	
Reynolds Scott-Childress	✓	
~~Deborah Brown, Ward 9~~ Jeffrey V. Morell		
~~Matt Dunn, Ward 1~~ Rita M. Worthington Ward 4	✓	
~~Bill Carey, Ward 5~~		
~~Elisa Ball, Ward 9~~ Andrea Shaut, Ward 9	✓	