



# ROCKLIN CITY COUNCIL

## City Council Regular Meeting

City Hall - Council Chambers  
3970 Rocklin Rd. Rocklin, CA 95677  
Website: [www.rocklin.ca.us](http://www.rocklin.ca.us)

**March 28, 2023**  
**General Session at 6:00 p.m.**

### AMENDED AGENDA

**\*Please note post publication, "Roundabout Sculpture Design Selection" agenda item previously identified as Item No. 5.B has been removed from the agenda, Item No. 5.B, "ARPA Funding Update" now includes a staff report, and Item 4.A, "Service Fee for SB 1383 Educations and inspections Services and Agreement with Placer County for Services" has been added, but will be continued to the April 11, 2023 City Council Meeting.**

**KEN BROADWAY**, *Mayor*  
**GREG JANDA**, *Vice Mayor*  
**DAVID BASS**, *Council Member*  
**JILL GAYALDO**, *Council Member*  
**BILL HALLDIN**, *Council Member*

**ALY ZIMMERMANN**, *City Manager*  
**SHERI CHAPMAN**, *City Attorney*  
**HOPE ITHURBURN**, *City Clerk*  
**DANIEL CHOE**, *City Treasurer*

### MEETING PROCEDURES AND STANDARDS OF DECORUM

For items listed on the agenda, any person may address the City Council at the time the item is considered. Speakers are requested to restrict their comments to the item as it appears on the agenda and stay within the required five minute time limit, unless the time is adjusted by the Mayor.

For items not listed on the agenda, any person may do so under Citizens Addressing the City Council. Speakers are limited to five minutes and the item must be under the jurisdiction of the Rocklin City Council. As a reminder, the Brown Act does not permit the City Council to take action on items brought up under Citizens Addressing the City Council.

Whenever any group of persons wishes to address the City Council on the same subject matter, it shall be proper for the Mayor to request that a spokesperson be chosen.

Although not required, speakers are requested to identify themselves by stating their name and city of residence for the official record. Time will be monitored on the lectern. When the time reaches zero, please be seated.

Any person who disrupts the meeting of the City Council, may be barred by the Mayor from further audience before the City Council during that meeting.

All remarks shall be addressed to the City Council as a body and not to any member thereof, or to staff, or to the public. No person, other than a member of the City Council, the City Manager or the City Attorney and the person having the floor, shall be permitted to enter into any discussion without the

permission of the presiding officer.

## WRITINGS RECEIVED AFTER AGENDA POSTING

Any writing related to an agenda item for the open session of this meeting distributed to the City Council, Public Financing Authority or Successor Agency less than 72 hours before this meeting is available for inspection at City Hall, 3970 Rocklin Road, Rocklin, during normal business hours. These writings will also be available for review at the council meeting in the public access binder located on the table at the back of the Council Chambers. If you have questions related to this agenda, please call (916) 625-5560.

## AMERICANS WITH DISABILITIES ACT

In compliance with the Americans with Disabilities Act, the City of Rocklin encourages those with disabilities to participate fully in the public hearing process. If you have a special need in order to allow you to attend or participate in our public meeting and public hearing processes, including receiving notices, agendas, and other writings in appropriate alternative formats, please contact our office at (916) 625-5560 well in advance of the public meeting or public hearing you wish to attend so that we may make every reasonable effort to accommodate you.

## ELECTRONIC PRESENTATIONS

All persons with electronic presentations for public meetings will be required to bring their own laptop or other form of standalone device that is HDMI or VGA compatible. It is further recommended that presenters arrive early to test their presentations. The City is not responsible for the compatibility or operation of non-city devices or the functionality of non-city presentations.

## POSTING OF AGENDA

In accordance with Government Code Section 54954.2(a) this agenda was posted on the City's bulletin board at City Hall, 3970 Rocklin Road, Rocklin, and City of Rocklin website at [www.rocklin.ca.us](http://www.rocklin.ca.us).

## AGENDA

### **SPECIAL MEETING INSTRUCTIONS**

The public may listen to audio or view the meeting via live stream at <https://www.rocklin.ca.us/agendas-minutes>.

The public is welcome to attend in person or participate virtually.

For those that do not plan on attending in person, but wish to make a public comment during the meeting, public comments will be accepted in email form up until 3:00 p.m. on the meeting day.

Those wishing to make a public comment in person, although not required, speakers are requested to complete a speaker card and present to the City Clerk.

Public comments should be submitted to the City Clerk at [PublicComments@rocklin.ca.us](mailto:PublicComments@rocklin.ca.us). Emailed public comments will be distributed to City Council and treated as a public record.

This meeting will also be offered via Zoom Webinar and the public is welcome to make a public comment through Zoom following the steps below:

#### **How To Make A Public Comment By Zoom**

When you join the meeting, you will be muted by default. To inform the City Clerk that you would like to make a public comment, you will use the "Raise Hand" feature. Information on how to use the raise hand feature can be found on the Zoom website here:

<https://support.zoom.us/hc/en-us/articles/205566129-Raising-your-hand-in-a-webinar>.

### **Zoom Participation Via Computer Or Smartphone**

You can join the meeting from your computer using a web browser or the Zoom software (if it is installed). You can also join the meeting using the Zoom app on your Apple IOS or Android device. Click the link below to join the Zoom meeting:

<https://us02web.zoom.us/j/83498567789?pwd=SU5uUThrRm9yZDYzczlJbXBCRXhvQT09>

### **Zoom Participation By Telephone (Audio Only Option)**

1. Dial (669) 900-6833.
2. When asked for the meeting ID, enter 834 9856 7789#.
3. When asked for your participant ID, press # to continue.
4. When asked for the meeting password, enter 383617#.
5. You will be muted by default. To inform the City Clerk that you would like to make a public comment, dial \*9 to "Raise your hand". The Clerk will unmute you when it is your turn to speak.

For those requiring a modification or accommodation under the Americans with Disabilities Act, please contact Hope Ithurburn, City Clerk at (916) 625-5564 or via email at [Hope.Ithurburn@rocklin.ca.us](mailto:Hope.Ithurburn@rocklin.ca.us).

## **CALL TO ORDER**

## **PLEDGE OF ALLEGIANCE**

## **ROLL CALL**

## **AGENDA REVIEW**

## **CITIZENS ADDRESSING THE CITY COUNCIL**

### **1. REPORTS FROM CITY COUNCIL AND CITY MANAGER**

### **2. CONSENT CALENDAR**

#### **2.A [Minutes of the Regular Meeting of the City Council, March 14, 2023](#)**

**Recommendation:** Approve Minutes of the Regular Meeting of the City Council, March 14, 2023.

**Staff Presentation by Hope Ithurburn, City Clerk**

2.B [National Opioid Litigation Settlement with Teva, Allergan, CVS, Walgreens and Walmart](#)

**Recommendation:** Adopt a Resolution of the City Council of the City of Rocklin Approving Participation in Settlement of National Opioid Litigation with Teva, Allergan, CVS, Walgreens and Walmart; Appointing the City Manager to Take all Reasonable and Necessary Actions.

**Staff Presentation by Sheri Chapman, City Attorney**

2.C [Amendment No. 5 with Network Design Associates, Inc.](#)

**Recommendation:** Adopt a Resolution of the City Council of the City of Rocklin Approving Amendment No. 5 to the Contract for Services with Network Design Associates, Inc. (NDA) and Authorizing the City Manager to Execute Amendment No. 5.

**Staff Presentation by Brian Graves, Information Technology Manager**

3. **SPECIAL PRESENTATIONS**

4. **PUBLIC HEARINGS**

4.A [\\*THIS ITEM IS BEING CONTINUED TO APRIL 11TH, 2023 - Service Fee for SB 1383 Educations and inspections Services and Agreement with Placer County for Services.](#)

**Recommendation:** Staff Recommendation:

A. Hold a public hearing regarding the implementation of a service fee for SB 1383 Education and Inspection Services.

B. Adopt a Resolution of the City Council of the City of Rocklin Adopting a Service Fee for SB 1383 Education and Inspection Services, and Approving the Agreement with Placer County for the Provision of SB 1383 Education and Inspection Services.

**Staff Presentation by Justin Nartker, Director of Public Services**

5. **DISCUSSION ITEMS**

5.A [Police Department Annual Report 2022](#)

**Recommendation:** Receive the attached Annual Report of Police Department Activities for the period January 1 - December 31, 2022.

**Staff Presentation by Shawn Baird, Crime Analyst**

5.B [ARPA Funding Update](#)

**Recommendation:** Receive a Presentation about the Status of ARPA-funded Programs and Provide Direction to Staff about Funding the Restore Rocklin Program.

**Staff Presentation by Elizabeth Sorg, Management Analyst**

5.C [2022 General Plan Annual Progress Report](#)

**Recommendation:** Adopt a Resolution of the City Council of the City of Rocklin Accepting the 2022 General Plan Annual Progress Report and Directing Submittal to the State Department of Housing and Community Development (HCD) and the State Office of Planning and Research (OPR).

**Staff Presentation by Laura Webster, Director of Long Range Planning and Housing**

5.D [2022 Housing Element Annual Progress Report \(APR\) and 2021-2022 Housing Successor Agency Annual Report](#)

**Recommendation:** Adopt a Resolution of the City Council of the City of Rocklin Accepting the 2022 Housing Element Annual Progress Report / 2021-2022 Housing Successor Report and Directing the Submittal to the State Department of Housing and Community Development (HCD) and the State Office of Planning and Research (OPR).

**Staff Presentation by Laura Webster, Director of Long Range Planning and Housing**

5.E [Uses of the Low- and Moderate-Income Housing Asset Fund](#)

**Recommendation:** Provide direction to staff on the priority uses of the Low- and Moderate-Income Housing Asset Fund.

**Staff Presentation by Sherri Conway, Assistant City Manager**

5.F [Monument Springs Bridge Construction Funding Option](#)

**Recommendation:** Receive an Update Regarding the Proposed Financing and Construction of the Monument Springs Bridge and Provide Direction to Staff

**Staff Presentation by Daniel Choe, Deputy Director of Administrative Services**

6. **FUTURE AGENDA ITEMS**

7. **CLOSED SESSION**

7.A Public Employee Performance Evaluation Pursuant to Government Code Section 54957.

7.B Public Employee Discipline/Dismissal/Release Pursuant to Government Code Section 54957.

Title: City Attorney

**ADJOURNMENT**



## City Council Staff Report

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**Subject:** Minutes of the Regular Meeting of the City Council, March 14, 2023

**Date:** March 28, 2023

**Submitted By:** Hope Ithurnburn, City Clerk

**Department:** City Clerk's Office

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**Recommendation:**  
Approve Minutes of the Regular Meeting of the City Council, March 14, 2023.

**ATTACHMENTS:**  
[DRAFT 3.14.2023 - Meeting Minutes.pdf](#)



**REGULAR MEETING OF THE  
ROCKLIN CITY COUNCIL**

**March 14, 2023**  
**5:00 PM – Closed Session**  
**6:00 PM – General Session**

**Council Chambers**  
**3970 Rocklin Road, Rocklin, CA 95677**  
[www.rocklin.ca.us](http://www.rocklin.ca.us)

**MEETING MINUTES**  
**-DRAFT-**

**CALL TO ORDER**

Mayor Broadway called the meeting to order at 5:00 p.m.

**PLEDGE OF ALLEGIANCE**

Mayor Broadway led the pledge of allegiance.

**ROLL CALL**

Roll Call:

Councilmembers: David Bass, Bill Halldin, Jill Gayaldo, Greg Janda (absent, excused),  
Mayor Ken Broadway

**PUBLIC COMMENT ON CLOSED SESSION**

None.

**1. CLOSED SESSION**

1.A Pursuant to Government Code Section 54957, Public Employee Performance Evaluation:  
City Clerk.

1.B Pursuant to Government Code Section 54956.9(c): Conference with Legal Counsel-  
Anticipated Litigation, Initiation of Litigation, One Case.

Seeing no public comment, Mayor Broadway called for Closed Session at 5:00 p.m.

Closed Session ended at 6:12 p.m. with nothing to report.

**AGENDA REVIEW**

None.

**CITIZENS ADDRESSING THE CITY COUNCIL**

None.

## **2. REPORTS FROM CITY COUNCIL AND CITY MANAGER**

### **City Council Reports**

Councilmember Halldin reported attendance at a County of Placer Homelessness Advisory Committee meeting, a Western Placer Waste Management Authority (WPWMA) board meeting, and the International Women's Day hosted by Sierra College.

Councilmember Gayaldo reported attendance at a County of Placer Homelessness Advisory Committee meeting, a Sacramento Area Council of Governments (SACOG) board meeting, the Lighthouse Counseling ribbon cutting event, the Freedoms Foundation fundraiser dinner, and the Tri-City Opening Day event to posthumously recognize Maggie Lloyd, former President.

Councilmember Bass reported attendance at the Lighthouse Counseling ribbon cutting event, the Tri-City Opening Day event, and a citizen's meet and greet event.

Mayor Broadway reported attendance at the City of Rocklin's 130<sup>th</sup> Anniversary ribbon cutting event, a Placer County Transportation Planning Agency (PCTPA) meeting attended by Justin Nartker, Director of Public Services and local legislators. Mayor Broadway expressed gratitude to the Parks, Recreation, and Arts commission members as well as Parks and Recreation staff for their work on the Sunset Whitney Recreation Area (SWRA) design plan.

### **City Manager Report**

Aly Zimmermann, City Manager confirmed that the Community Project Funding Request application was submitted to Congressman Kiley's office and expressed gratitude to the Public Services team for their assistance. Zimmermann also announced that the Strategic Planning Session will be held on March 22<sup>nd</sup> at 6:00 p.m. at the Police Department's EOC, provided an update on the Midas Avenue closure and promoted the upcoming Run Rocklin event and the new pathway through Sunset Whitney Recreation Area (SWRA).

## **3. PUBLIC FINANCING AUTHORITY**

3.A Resolution No. PFA 2023-61 of the Public Financing Authority of the City of Rocklin Accepting the Audited Basic Financial Statements for the Year Ended June 30, 2022.

Angela Doyle, Senior Accountant presented to Council.

Motion to approve Item No. 3.A by Councilmember Bass, seconded by Councilmember Gayaldo. Passed by the following vote:

|          |                                  |
|----------|----------------------------------|
| Ayes:    | Bass, Broadway, Gayaldo, Halldin |
| Noes:    | None                             |
| Absent:  | Janda                            |
| Abstain: | None                             |

## **4. CONSENT CALENDAR**

- 4.A Pulled for discussion.
- 4.B Resolution No. 2023-26 of the City Council of the City of Rocklin Accepting and Approving the Single Audit Report for the Year Ended June 30, 2022.
- 4.C Received the Accounts Paid List for October 1, 2022 to December 31, 2022.
- 4.D Received the Treasurer's Report for the Quarter Ending December 31, 2022.
- 4.E Approved the Community Recognition Commission's 2023 Ruhkala Award Recommendations.
- 4.F A. Resolution No. 2023-27 of the City Council of the City of Rocklin Awarding a Contract for On-Call Abandoned Property/Debris Cleanup Services and Authorizing the City Manager to Execute an Agreement with Forensiclean LLC.
- B. Resolution No. 2023-28 of the City Council of the City of Rocklin Awarding a Contract for On- Call Abandoned Property/Debris Cleanup and Authorizing the City Manager to Execute an Agreement with J.W. Bamford, Inc.
- C. Resolution No. 2023-29 of the City Council of the City of Rocklin Awarding a Contract for On-Call Abandoned Property/Debris Cleanup and Authorizing the City Manager to Execute an Agreement with Northern State Contractors.
- 4.G Resolution No. 2023-30 of the City Council of the City of Rocklin Approving and Authorizing the City Manager to Issue Purchase Orders for the Procurement of Goods and Supplies from Arrow Fence Company and Normac.
- 4.H Resolution No. 2023-31 of the City Council of the City of Rocklin Approving the Small Government Enterprise Agreement Annual Subscription for Services Between Environmental Systems Research Institute, Inc. and the City of Rocklin and Authorizing the City Manager to Execute an Agreement.
- 4.I A. Resolution No. 2023-32 of the City Council of the City of Rocklin Approving the Final Map of Croftwood Unit 2 Subdivision/(SD2017-0002) and Approving and Authorizing Execution of a Subdivision Improvement Agreement.
- B. Resolution No. 2023-33 of the City Council of the City of Rocklin Approving and Authorizing Execution of a Subdivision Landscaping Agreement Croftwood Unit 2/(SD2017-0002).

C. Resolution No. 2023-34 of the City Council of the City of Rocklin Accepting Grant of Open Space Easement (Riparian Area and Creek Protection) of Croftwood Unit 2 Subdivision/(SD2017-0002).

Motion to approve Item Nos. 4.B – 4.I by Councilmember Gayaldo, seconded by Councilmember Bass. Passed by the following vote:

Ayes: Bass, Broadway, Gayaldo, Halldin  
Noes: None  
Absent: Janda  
Abstain: None

- 4A. Approved Minutes of the Regular Meeting of the City Council, October 11, 2022.
- B. Approved Minutes of the Regular Meeting of the City Council, October 25, 2022.
- C. Approved Minutes of the Special Meeting of the City Council, November 2, 2022.
- D. Approved Minutes of the Regular Meeting of the City Council, November 8, 2022.
- E. Approved Minutes of the Special Meeting of the City Council, December 6, 2022.
- F. Approved Minutes of the Special Meeting of the City Council, December 13, 2022.
- G. Approved Minutes of the Regular Meeting of the City Council, December 13, 2022.
- H. Approved Minutes of the Regular Meeting of the City Council, January 10, 2023.
- I. Approved Minutes of the Special Meeting of the City Council, January 17, 2023.
- J. Approved Minutes of the Regular Meeting of the City Council, January 24, 2023.
- K. Approved Minutes of the Regular Meeting of the City Council, February 14, 2023.

City Clerk Hope Ithurnburn responded to questions from Council.

Motion to approve Item No. 4.A by Councilmember Gayaldo, seconded by Councilmember Halldin. Passed by the following vote:

Ayes: Bass, Broadway, Gayaldo, Halldin  
Noes: None  
Absent: Janda  
Abstain: None

## **5. SPECIAL PRESENTATIONS**

- 5.A Recognition of the Outgoing Art Task Force Members.

Mayor Ken Broadway presented certificates to Art Task Force Members Trisa Swerdlow, Twiana Armstrong, Neil Bullock, and Councilmember Gayaldo.

- 5.B Received the attached Annual Report of Fire Department activities from January 1 - December 31, 2022.

Reginald Williams, Fire Chief presented to Council.

## **6. PUBLIC HEARINGS**

None.

## **7. DISCUSSION ITEMS**

7.A Resolution No. 2023-35 of the City Council of the City of Rocklin Accepting the Annual Comprehensive Financial Report (ACFR) and GANN Appropriations Limit Schedule for the Fiscal Year June 30, 2022, and Accepting the Auditor's Letters.

Daniel Choe, Deputy Director of Administrative Services presented to Council.

Motion to approve Item No. 7.A by Councilmember Bass, seconded by Councilmember Halldin. Passed by the following vote:

|          |                                  |
|----------|----------------------------------|
| Ayes:    | Bass, Broadway, Gayaldo, Halldin |
| Noes:    | None                             |
| Absent:  | Janda                            |
| Abstain: | None                             |

7.B Resolution No. 2023-26 of the City Council of the City of Rocklin Accepting the Quarterly Financial Report for the Quarter Ended December 31, 2022, and Amending the Fiscal Year 2022/23 Budget.

Daniel Choe, Deputy Director of Administrative Services presented to Council.

Sheri Chapman, City Attorney responded to questions from Council.

Justin Nartker, Director of Public Services responded to questions from Council.

Council provided direction to staff.

Motion to approve Item No. 7.B by Councilmember Bass, seconded by Councilmember Gayaldo. Passed by the following vote:

|          |                                  |
|----------|----------------------------------|
| Ayes:    | Bass, Broadway, Gayaldo, Halldin |
| Noes:    | None                             |
| Absent:  | Janda                            |
| Abstain: | None                             |

## **8. FUTURE AGENDA ITEMS**

- Measure F Revenue Discussion

## ADJOURNMENT

Mayor Broadway asked all to join in a moment of silence in honor of Maggie Lloyd who recently passed away. The meeting was adjourned at 7:50 p.m.

\_\_\_\_\_  
Ken Broadway, Mayor

ATTEST:

\_\_\_\_\_  
Hope Ithurnburn, City Clerk



## City Council Staff Report

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**Subject:** National Opioid Litigation Settlement with Teva, Allergan, CVS, Walgreens and Walmart

**Date:** March 28, 2023

**Submitted By:** Sheri Chapman, City Attorney

**Department:** City Attorney's Office

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**Recommendation:**

Adopt a Resolution of the City Council of the City of Rocklin Approving Participation in Settlement of National Opioid Litigation with Teva, Allergan, CVS, Walgreens and Walmart; Appointing the City Manager to Take all Reasonable and Necessary Actions.

**Background:**

The California Department of Justice, Office of the Attorney General (OAG) has advised the City of Rocklin of additional opportunities to participate in nationwide settlements of opioid litigation. According to the OAG, the settlements would resolve opioid litigation brought by states and local political subdivisions against two pharmaceutical manufacturers, Teva and Allergan ("Manufacturers") and three pharmacies CVS, Walgreens, and Walmart ("Pharmacies"). The proposed settlements require the Manufacturers and Pharmacies to pay over \$20 billion in an effort to abate the opioid epidemic. The California OAG advises that the settlements require the Manufacturers to pay up to \$6.6 billion and the Pharmacies to pay up to \$13.8 billion over a period of time ranging from six to fifteen years. The settlements also impose changes in business practices, as discussed in the Executive Summary and Frequently Asked Questions documents (Attachments 3 and 4).

The California OAG advises that California may receive up to \$1.8 million in abatement funding from the settlements to fund substance use prevention, harm reduction, treatment and recovery. Similar to the prior national opioid settlement, the amount the State of California receives is dependent upon how many states and eligible subdivisions join the settlements.

There are two steps required to participate: (1) each eligible state decides whether to participate in each settlement; and (2) eligible subdivisions within each state decide whether to participate in each settlement, including acceptance of any applicable state-subdivision agreement. California has opted to participate in the settlements and has drafted the California State Subdivision Agreements that provide the framework for how the funds from the settlements may be distributed, allocated, and spent in California. Pursuant to that arrangement, funds received by the State of California will be divided into three funds:

- (1) state fund 15%;
- (2) California subdivision fund 15%; and
- (3) California abatement accounts fund 70%.

According to the California OAG, the State's allocation model is based upon nationally available federal data on opioid use disorder, overdose deaths, and opioid shipments to California. Similar to the prior settlement, if the City opts in, the City's allocation will be paid to Placer County unless the City chooses direct payment.

The settlements require the funds to be used primarily for opioid abatement. There is a list of approved uses, which include: expanded training and increased distribution of Naloxone or other FDA-approved drug to reverse opioid overdoses; Medication-Assisted Treatment distribution and other opioid-related treatment; specific services for pregnant and postpartum women; expanding treatment for neonatal abstinence syndrome; expansion of warm hand-off programs and recovery services; treatment for incarcerated population; prevention programs; and expanding syringe service programs. In addition, pursuant to the California State Subdivision Agreement, no less than 50 percent of the funds must be used for one or more of the following identified High Impact Abatement Activities: provision of matching funds or operating costs for substance use disorder facilities within the Behavioral Health Continuum Infrastructure Program; creating new or expanded Substance Use Disorder ("SUD") Treatment infrastructure; addressing the needs of communities of color and vulnerable populations that are disproportionately impacted by SUD; diversion of people with SUD from the justice system into treatment; and/or interventions to prevent drug addiction in vulnerable youth.

The deadline for participation by opting-in to the national settlements is April 18, 2023. Any subdivision that does not participate cannot directly share in the settlement funds.

The California State Subdivision Agreements may be reviewed at <https://oag.ca.gov/opioids>. Additional information about the settlements, including copies of the settlements are available at <https://nationalopioidsettlement.com>.

**Fiscal Impact:**

As mentioned, if the City does not participate in these settlements any funds that would be available to the City would instead go to Placer County.

**ATTACHMENTS:**

1. [Resolution - National Opioid Settlement](#)
2. [Notice - National Opioid Settlement](#)
3. [Executive Summary - National Opioid Settlements](#)
4. [FAQs - National Opioid Settlements](#)

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN  
APPROVING PARTICIPATION IN SETTLEMENT OF NATIONAL OPIOID LITIGATION WITH TEVA, ALLERGAN,  
CVS, WALGREENS AND WALMART; APPOINTING THE CITY MANAGER AS THE CITY'S AUTHORIZED  
REPRESENTATIVE; AND AUTHORIZING THE CITY MANAGER TO TAKE ALL REASONABLE AND NECESSARY  
ACTIONS.

WHEREAS, certain state and local subdivisions filed suit against pharmaceutical manufacturers  
and pharmacies seeking damages and injunctive relief arising from opioid use; and

WHEREAS, representatives of litigating state and local subdivisions reached settlement  
agreements with manufacturers Teva and Allergan, and pharmacies CVS, Walgreens and Walmart; and

WHEREAS, pursuant to the terms of the settlement agreements, state and eligible local  
subdivisions must "opt-in" to the settlements by April 18, 2023; and

WHEREAS, if the City of Rocklin elects to participate in the settlements, the City may receive  
monetary payments to fund opioid abatement costs, as defined; and

WHEREAS, the City Council finds that receiving settlement funds is in the public's interest,  
health and welfare by promoting, providing and maintaining intervention, treatment, education, and  
recovery services to combat opioid misuse.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The recitals set forth above are true and correct.

Section 2. Participation in the Settlements. The City Council hereby approves and elects to  
"opt-in" to the settlements with manufacturers Teva and Allergan, and pharmacies CVS, Walgreens  
and Walmart.

Section 3. City Manager Appointment. The City Council hereby appoints the City Manager  
as the City's authorized Representative and authorizes the City Manager to take all necessary and  
reasonable actions to effectuate the City's participation in the settlements and sign all necessary  
agreements, each following review and approval of the City Attorney.

PASSED AND ADOPTED this 28th of March 2023, by the following vote:

|          |                 |
|----------|-----------------|
| AYES:    | Councilmembers: |
| NOES:    | Councilmembers: |
| ABSENT:  | Councilmembers: |
| ABSTAIN: | Councilmembers: |

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Ken Broadway, Mayor

ATTEST:

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Hope Ithurnburn, City Clerk

National Opioid Settlements: Teva, Allergan, CVS, Walgreens, and Walmart

***TO LOCAL POLITICAL SUBDIVISIONS AND SPECIAL DISTRICTS:  
THIS NOTICE CONTAINS IMPORTANT INFORMATION ABOUT NATIONAL OPIOID SETTLEMENTS.***

**SETTLEMENT OVERVIEW**

Proposed nationwide settlement agreements (“Settlements”) have been reached that would resolve opioid litigation brought by states, local political subdivisions, and special districts against two pharmaceutical manufacturers, Teva and Allergan (“Manufacturers”), and three pharmacies, CVS, Walgreens, and Walmart (“Pharmacies”). Local political subdivisions and special districts are referred to as “subdivisions.”

The Settlements require the settling Manufacturers and Pharmacies to pay billions of dollars to abate the opioid epidemic. The Settlements total over \$20 billion. Of this amount, approximately \$17 billion will be used by participating states and subdivisions to remediate and abate the impacts of the opioid crisis. Depending on participation by states and subdivisions, the Settlements require:

- Teva to pay up to \$3.34 billion over 13 years and to provide either \$1.2 billion of its generic version of the drug Narcan over 10 years or an agreed upon cash equivalent over 13 years;
- Allergan to pay up to \$2.02 billion over 7 years;
- CVS to pay up to \$4.90 billion over 10 years;
- Walgreens to pay up to \$5.52 billion over 15 years; and
- Walmart to pay up to \$2.74 billion in 2023, and all payments to be made within 6 years.

As provided under the Agreements, these figures are net of amounts attributable to prior settlements between the Defendants and certain states/subdivisions, and include amounts for attorneys’ fees and costs.

The Settlements also contain injunctive relief governing opioid marketing, sale, distribution, and/or dispensing practices.

Each of the proposed settlements has two key participation steps.

**First**, each eligible state decides whether to participate in each Settlement. A list of participating states for each settlement can be found at <https://nationalopioidsettlement.com>.

**Second**, eligible subdivisions within each participating state decide whether to participate in each Settlement. The more subdivisions that participate, the more funds flow to that state and its subdivisions. Any subdivision that does not participate cannot directly share in any of the settlement funds, even if the subdivision’s state is settling and other participating subdivisions are sharing in settlement funds. If the state does not participate in a particular Settlement, the subdivisions in that state are not eligible to participate in that Settlement.

## **WHO IS RUBRIS INC. AND WHAT IS THE IMPLEMENTATION ADMINISTRATOR?**

The Settlements provide that an Implementation Administrator will provide notice and manage the collection of participation forms. Rubris Inc. is the Implementation Administrator for these new Settlements and was also retained for the 2021 national opioid settlements.

## **WHY IS YOUR SUBDIVISION RECEIVING THIS NOTICE?**

Your state has elected to participate in one or more of the Settlements with the Manufacturers and/or the Pharmacies, and your subdivision may participate in those Settlements in which your state has elected to participate. This notice is also sent directly to counsel for such subdivisions if the Implementation Administrator has their information.

*If you are represented by an attorney with respect to opioid claims, please contact them.* **Subdivisions can participate in the Settlements whether or not they filed a lawsuit or are represented.**

## **WHERE CAN YOU FIND MORE INFORMATION?**

Detailed information about the Settlements, including each settlement agreement, may be found at: <https://nationalopioidsettlement.com>. This website also includes information about how the Settlements are being implemented in most states and how funds will be allocated within your state.

You are encouraged to review the settlement agreement terms and discuss the terms and benefits with your counsel, your Attorney General's Office, and other contacts within your state. Information and documents regarding the Settlements and your state allocation can be found on the settlement website at <https://nationalopioidsettlement.com>.

Your subdivision will need to decide whether to participate in the proposed Settlements, and subdivisions are encouraged to work through this process before the **April 18, 2023** deadline.

## **HOW DO YOU PARTICIPATE IN THE SETTLEMENTS?**

The Settlements require that you take affirmative steps to "opt in" to the Settlements.

In the next few weeks, you will receive documentation and instructions from the Implementation Administrator or, in some cases, your Attorney General's Office. In order to participate in a settlement, a subdivision must sign and return the required Participation Form for that settlement.

Please add the following email addresses to your "safe" list so emails do not go to spam / junk folders: [dse\\_na3@docusign.net](mailto:dse_na3@docusign.net) and [opioidsparticipation@rubris.com](mailto:opioidsparticipation@rubris.com). Please monitor your email for the Participation Forms and instructions.

All required documentation must be signed and returned on or before **April 18, 2023**.

# **Executive Summary of National Opioid Settlements**

[2.03.2023. Subject to ongoing corrections and updates]

In 2021, nationwide settlements were reached to resolve all opioids litigation brought by states and local political subdivisions against the three largest pharmaceutical distributors—McKesson, Cardinal Health, and AmerisourceBergen (“Distributors”)—and against manufacturer Janssen Pharmaceuticals, Inc. and its parent company Johnson & Johnson (collectively, “J&J”). These “2021 National Settlements” have been finalized, and payments have already begun. In all, the Distributors will pay up to \$21 billion over 18 years, and J&J will pay up to an additional \$5 billion over no more than nine years.

In late 2022, agreements were announced with three pharmacy chains—CVS, Walgreens, and Walmart—and two additional manufacturers—Allergan and Teva. In January 2023, each of those pharmacy chains and manufacturers confirmed that a sufficient number of states had agreed to the settlements to move forward. As with the 2021 National Settlements, states and local governments that want to participate in the 2022 National Settlements now will have the opportunity to “opt in.” The greater the level of subdivision participation, the more funds will ultimately be paid out for abatement. Assuming maximum participation, the 2022 National Settlements require:

- Teva to pay up to \$3.34 billion over 13 years and to provide either \$1.2 billion of its generic version of the drug Narcan over 10 years or \$240 million of cash in lieu of product, as each state may elect;
- Allergan to pay up to \$2.02 billion over 7 years;
- CVS to pay up to \$4.90 billion over 10 years;
- Walgreens to pay up to \$5.52 billion over 15 years;  
and
- Walmart to pay up to \$2.74 billion in 2023, and all payments to be made within 6 years.

(These figures include amounts attributable to prior settlements between the Defendants and certain states/subdivisions and amounts for attorneys’ fees and costs.)

Under both the 2021 and 2022 National Settlements, at least 85% of the funds going directly to participating states and subdivisions must be used for abatement of the opioid epidemic, with the overwhelming bulk of the proceeds restricted to funding future abatement efforts by state and local governments.

In addition to providing billions of dollars for abatement, the settlements also impose changes in the way the settling defendants conduct their business. For example:

- The Distributors will create a groundbreaking clearinghouse through which they will be required to account not only for their own shipments, but also the shipments of the other distributors, in order to detect, stop, and report suspicious opioids orders;
- J&J (which ceased marketing Opioids in 2015 and ceased selling Opioids in 2020) will not market or sell any opioid products in the next ten years and has agreed to cease lobbying concerning prescription opioids for ten years;
- Teva and Allergan have agreed to strict limitations on their marketing, promotion, sale, and distribution of opioids, including a ban on: (1) promotion and lobbying; (2) rewarding or disciplining employees based on volume of opioid sales; and (3) funding or grants to third parties; and
- Walmart, CVS, and Walgreens are required to implement changes in how they handle opioids, including requirements addressing their compliance structures, pharmacist judgment, diversion prevention, suspicious order monitoring, and reporting on red-flag processes, as well as blocked and potentially problematic prescribers.

The 2021 and 2022 National Settlements are the culmination of many years of intense negotiations among representatives of the State Attorneys General, the court-appointed Plaintiffs' Executive Committee and Negotiation Committee, which are comprised of lawyers in the National Prescription Opiate MDL who represent subdivisions, and counsel to the Settling Defendants. These negotiations were facilitated by Judge Dan Polster (who oversees the federal MDL litigation), by the Special Masters appointed by the MDL Court, and by experienced, neutral mediators.

The agreements do not settle or release any claims brought by Tribes or by private parties, including private individuals, private hospitals, or private third-party payers.

Additional information, including answers to FAQs, can be found at **[nationalopioidsettlement.com/news](https://nationalopioidsettlement.com/news)** (<https://nationalopioidsettlement.com/news>).

[HOME \(/\)](#)      [NEWS \(https://nationalopioidsettlement.com/news/\)](https://nationalopioidsettlement.com/news/)

[RISKS & ASSUMPTIONS \(https://nationalopioidsettlement.com/risks-assumptions/\)](https://nationalopioidsettlement.com/risks-assumptions/)

[MDL ORDERS \(/mdl-orders/\)](/mdl-orders/)      [TRIBAL SETTLEMENTS \(https://www.tribalopioidsettlements.com\)](https://www.tribalopioidsettlements.com)

**Frequently Asked Questions about the 2022 National Opioid Settlements with Teva, Allergan, Walmart, Walgreens, and CVS**  
**[Subject to ongoing corrections and updates]**  
**[Executed Agreements Control]**

**1. Which Defendants Have Executed National Opioid Settlements?**

Since the Summer of 2021, nine Defendant families have executed National Opioid Settlements. First, in July 2021, J&J and Distributors McKesson, Cardinal Health, and AmerisourceBergen entered into National Opioids Settlements (“2021 National Settlement(s)”; details regarding the 2021 National Settlements, including a separate set of FAQs, can be found here: <https://nationalopioidsettlement.com/distributor-janssen-faq/>). More recently, between November and December of 2022, five additional Defendant families have entered into National Opioids Settlements (“2022 National Settlement(s)”).<sup>1</sup> They are:

| Settling Defendant | Date of Settlement Agreement | Link to Settlement Agreement                                                                                                                                                                                                                                                    |
|--------------------|------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Teva               | November 22, 2022            | <a href="https://nationalopioidsettlement.com/wp-content/uploads/2022/12/Final-Teva-Global-Settlement-Agreement-and-Exhibits_12-20-22.pdf">https://nationalopioidsettlement.com/wp-content/uploads/2022/12/Final-Teva-Global-Settlement-Agreement-and-Exhibits_12-20-22.pdf</a> |
| Allergan           | November 22, 2022            | <a href="https://nationalopioidsettlement.com/wp-content/uploads/2022/12/Final-Allergan-Global-Agreement-and-Exhibits_12-20-22.pdf">https://nationalopioidsettlement.com/wp-content/uploads/2022/12/Final-Allergan-Global-Agreement-and-Exhibits_12-20-22.pdf</a>               |
| Walmart            | November 14, 2022            | <a href="https://nationalopioidsettlement.com/wp-content/uploads/2022/12/Walmart-Settlement-Agreement-2022.12.02_FINAL.pdf">https://nationalopioidsettlement.com/wp-content/uploads/2022/12/Walmart-Settlement-Agreement-2022.12.02_FINAL.pdf</a>                               |
| Walgreens          | December 9, 2022             | <a href="https://nationalopioidsettlement.com/wp-content/uploads/2022/12/Walgreens-Multistate-Agreement-and-Exhibits.pdf">https://nationalopioidsettlement.com/wp-content/uploads/2022/12/Walgreens-Multistate-Agreement-and-Exhibits.pdf</a>                                   |
| CVS                | December 9, 2022             | <a href="https://nationalopioidsettlement.com/wp-content/uploads/2022/12/2022-12-09-CVS-Global-Settlement-Agreement-with-Exhibits.pdf">https://nationalopioidsettlement.com/wp-content/uploads/2022/12/2022-12-09-CVS-Global-Settlement-Agreement-with-Exhibits.pdf</a>         |

**2. Which Entities Are Eligible to Participate in the 2022 National Settlements?**

The 2022 National Settlements are “opt in” settlements that are open only to states, as well as state subdivisions (e.g., municipalities, counties, parishes, cities, towns, incorporated townships, villages, and boroughs) and certain special districts (e.g., school districts, fire

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<sup>1</sup> The 2022 National Settlements define the specific entities entering into the respective agreements, as well as the “Released Entities.”

districts, and hospital districts). Claims brought on behalf of private individuals and businesses (including private hospitals and private third-party payors) are **not** included and will not be released. Claims brought on behalf of Tribal Nations are also not included and will not be released through participation in these agreements, but Tribal Nations may be eligible to participate in separate settlements with these same defendants; more information on those separate agreements may be found here: <https://www.tribalopioidsettlements.com/>.

Each 2022 National Settlement has the same basic “two-phase” structure:

**Phase I – Eligible States:** With respect to each 2022 National Settlement, most states are eligible to participate. (For these purposes, Washington, D.C. and the five U.S. Territories<sup>2</sup> are treated as “states.”) Certain states are not eligible to participate in certain of these settlements (e.g., due to prior settlements or litigation with a settling Defendant). Among the states eligible to participate in certain 2022 National Settlements, a small number have chosen not to participate in one or more of those settlements. Accordingly, for each of the 2022 National Settlements, the only states that may participate are those that are eligible and elected to do so. The following list sets forth, for each 2022 National Settlement, the eligible and ineligible states, as well as those eligible states that have chosen not to participate.

- **Teva Settlement:**

- All states are eligible, **except** Florida, Louisiana, Oklahoma, Rhode Island, Texas, and West Virginia. Oklahoma subdivisions and special districts, however, **are** eligible to participate.
- Nevada has chosen not to participate.

- **Allergan Settlement:**

- All states are eligible, **except** Florida, Louisiana, New York, Rhode Island, and West Virginia.
- Nevada has chosen not to participate.

- **Walmart Settlement:**

- All states are eligible, **except** Alabama, Florida, New Mexico, and West Virginia.

- **Walgreens Settlement:**

- All states are eligible, **except** Florida.
- Michigan, Nevada, New Mexico, and West Virginia have chosen not to participate.

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<sup>2</sup> American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands.

- **CVS Settlement:**

- o All states are eligible, **except** Florida, New Mexico, and West Virginia.
- o Maryland and Nevada have chosen not to participate.

**Phase II – Eligible Subdivisions:** **Within settling states only**, both non-litigating and litigating subdivisions may participate, including all municipal, county, city, town, township, parish, village, or borough governments, as well as any other subdivisions that have filed lawsuits that fall within the release provisions of the 2022 National Settlements. “Special Districts” are treated as “Subdivisions” under the Walmart, Walgreens, and CVS Settlements. Under the Teva and Allergan Settlements, certain special districts, such as school districts, fire districts, and hospital districts, are eligible to participate in the 2022 National Settlements, along with subdivisions. For ease of reference, unless otherwise noted, use of the term “Subdivision” in these FAQs includes eligible “Special Districts.”

Subdivisions within a state can only participate if their state is participating.

In addition, certain subdivisions—even within participating states—may not be eligible to participate in one or more of the 2022 National Settlements despite their state participating, e.g., if they have previously settled and released their claims. Subdivisions should speak to their respective counsel and/or their respective state attorney general for further information on their eligibility.

**3. If a State or Subdivision Did Not Participate in the 2021 National Settlements with J&J or the Distributors (McKesson, Cardinal Health, and AmerisourceBergen), Can It Participate in the 2022 National Settlements with Teva, Allergan, Walmart, Walgreens, and CVS?**

Yes. Whether an eligible state or subdivision participated in either of the 2021 National Settlements does **not** impact its eligibility to participate in these more recent 2022 National Settlements with Teva, Allergan, Walmart, Walgreens, and CVS.

**4. May a State or Subdivision Participate in Some of the 2022 National Settlements While Choosing Not to Participate in Others?**

Yes, except for the Teva and Allergan Settlements, eligible states and subdivisions may choose to participate in one or more of the 2022 National Settlements, while choosing not to participate in others. **However**, if your state has participated in both the Teva and Allergan Settlements, your subdivision must participate in both or neither of these settlements; it cannot choose to participate in just Teva or just Allergan. If your state only participated in Teva, you can choose to participate only in Teva (and the Allergan Settlement is not available to you). And vice versa. A chart reflecting each state’s participation status with respect to each of the 2022 National Settlements is available here: <https://nationalopioidsettlement.com/state-participation-status/>.

## 5. How Many States Are Participating? Has “Critical Mass” Been Reached at the State Level?

The 2022 National Settlements are designed to incentivize higher participation rates. Each settlement provides two or more “critical mass” phases for each settling Defendant and/or the states to determine whether there is sufficient participation to make it worth proceeding with the settlement or, in the case of the Walmart Settlement, to meet minimum, objective participation thresholds.

Phase I has been met for each of the 2022 National Settlements, meaning that there was sufficient state-level participation to proceed to Phase II of the settlements regarding subdivisions. Teva, Allergan, and Walgreens each determined there was sufficient state participation to proceed to the second phase of those agreements. For the CVS Settlement, settling states on the “Enforcement Committee,” as well as CVS, determined there was sufficient state participation to proceed to the second of the settlement. For the Walmart Settlement, the “State Participation Threshold” was achieved (i.e., at least 45 of the 50 “Threshold States” joined the settlement), so that settlement will also proceed to its second phase.

A chart reflecting each state’s participation status with respect to each of the 2022 National Settlements is available here: <https://nationalopioidsettlement.com/state-participation-status/>.

## 6. What Steps Are Needed for a Subdivision to Opt In?

To participate, a subdivision must execute and submit a participation form **for each settlement** it wants to participate in. Participation forms must be executed and submitted on or **before April 18, 2023**, for subdivisions to be considered an “Initial Participating Subdivision” in a settlement. The participation forms will include a release (discussed below) and advance authorization for entry of a Master Dismissal of the released claims (if the settlement moves forward).

By executing and returning a participation form for a settlement: (1) the subdivision agrees to the terms of the applicable settlement agreement pertaining to subdivisions; (2) the subdivision releases all claims within the scope of such settlement; (3) the subdivision agrees to use monies it receives as required under such settlement; (4) the subdivision submits to the jurisdiction of the court where their state’s consent judgment is filed for purposes limited to that court’s role under such settlement; and (5) with respect to subdivisions who have filed lawsuits against any settling Defendant, the subdivision commits to promptly dismissing its legal action against that settling Defendant. By signing a participation form, the subdivision is authorizing the Plaintiffs’ Executive Committee (PEC) to file a dismissal on its behalf for any claims pending in the MDL. (For claims pending outside the MDL, the subdivision is obligated to dismiss those claims itself.) A link to an example of such dismissal may be found here: <https://nationalopioidsettlement.com/wp-content/uploads/2023/01/form-of-Master-Stipulation-of-Dismissal.pdf>.

After April 18, 2023, the extent of subdivision participation will be used to determine whether participation for each deal is sufficient for the settlement to move forward and whether a state earns its maximum potential payment under the settlement. See FAQ #8 below. If the settlement moves forward, the release in the subdivision's participation form will become effective. If a settlement does not move forward, that release will not become effective.

There are three methods for returning the executed participation forms and any supporting documentation to the Implementation Administrator:

1. **Electronic Signature via DocuSign:** Executing the participation forms electronically through DocuSign will return the signed forms to the Implementation Administrator and associate a subdivision's forms with that subdivision's records. Electronic signature is the most efficient method for returning participation forms, allowing for more timely participation and the potential to meet higher settlement payment thresholds, and is therefore strongly encouraged.
2. **Manual Signature returned via DocuSign:** DocuSign allows forms to be downloaded, signed manually, then uploaded to DocuSign and returned automatically to the Implementation Administrator. Please be sure to complete all fields. As with electronic signature, returning manually signed participation forms via DocuSign will associate a subdivision's signed forms with that subdivision's records.
3. **Manual Signature returned via electronic mail:** If a subdivision is unable to return executed participation forms using DocuSign, signed participation forms may be returned via electronic mail to [opioidsparticipation@rubris.com](mailto:opioidsparticipation@rubris.com). Please include the name, state, and reference ID of your subdivision in the body of the email and use the following subject line:

**Settlement Participation Forms – [Subdivision Name, Subdivision State] – [Reference ID]**

Detailed instructions on how to sign and return the participation forms, including changing the authorized signer, can be found at <https://nationalopioidsettlement.com>. You may also contact [opioidsparticipation@rubris.com](mailto:opioidsparticipation@rubris.com).

**7. What Are the Deadlines to Opt In to One or More of the 2022 National Settlements?**

As discussed above in FAQ #5, the state participation phase of the settlements has resulted in sufficient state participation to move forward with subdivision participation phase. The applicable time periods and deadlines are set forth below in Chart A. These deadlines reflect agreed extensions beyond the dates provided for under the settlement agreements.

For each of the 2022 National Settlements, subdivisions will have until **April 18, 2023**, to execute and submit their participation forms and be considered an “Initial Participating Subdivision” in a settlement.

For the Teva, Allergan, Walgreens, and CVS Settlements, these settling Defendants will then have until May 18, 2023, to determine whether to proceed further with their respective settlements.<sup>3</sup> The Walgreens and CVS Settlements include an intervening deadline (May 2, 2023) by which states are to determine whether there has been sufficient subdivision-level participation to proceed with those settlements.

**Chart A**

| <b>Settling Defendant</b> | <b>Initial deadline for subdivisions to participate in settlement</b> | <b>Deadline for states to determine whether to proceed</b> | <b>Deadline for settling Defendants to determine whether to proceed (Reference Date<sup>4</sup>)</b> |
|---------------------------|-----------------------------------------------------------------------|------------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| Walmart                   | April 18, 2023                                                        |                                                            |                                                                                                      |
| Teva                      | April 18, 2023                                                        |                                                            | May 18, 2023                                                                                         |
| Allergan                  | April 18, 2023                                                        |                                                            | May 18, 2023                                                                                         |
| Walgreens                 | April 18, 2023                                                        | May 2, 2023                                                | May 18, 2023                                                                                         |
| CVS                       | April 18, 2023                                                        | May 2, 2023                                                | May 18, 2023                                                                                         |

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<sup>3</sup> Under the Walmart Settlement, each of the settling states will use reasonable efforts to ensure that, by April 18, 2023, the settling states have met the following “Subdivision Participation Thresholds” for the Walmart Settlement to become effective: (1) more than 85% of the aggregate population of all litigating subdivisions located in the settling states and separately settling states, and (2) more than 85% of the aggregate population of all non-litigating threshold subdivisions located in the settling states and separately settling states, (a) have become participating subdivisions, (b) are subject to a bar or case-specific resolution, or (c) have joined a settlement between Walmart and a separately settling state by executing a participation form, including a release.

<sup>4</sup> In the Teva, Allergan, Walgreens, and CVS Settlements, the “Reference Date” refers to the date by which each respective settling Defendant is to determine whether there has been enough subdivision-level participation to proceed beyond the second phase of its settlement. (In the Walmart Settlement, the “Subdivision Participation Thresholds” are based on objective criteria, and there is thus no such “Reference Date.”)

## **8. What Level of Subdivision Participation Is Necessary for the 2022 National Settlements to Become Effective?**

Under the Teva, Allergan, Walgreens, and CVS Settlements, there is no set threshold of subdivision-level participation required for those settlements to become effective. As to the Teva and Allergan Settlements, once the deadline for subdivisions to participate has passed, these settling Defendants will determine whether there has been sufficient subdivision-level participation for these settlements to become effective. The Walgreens and CVS Settlements operate similarly to the Teva and Allergan Settlements, except that under those settlements, the settling states (Walgreens) or the “Enforcement Committee” representing the settling states (CVS) will first have the opportunity to determine whether there has been sufficient subdivision participation to proceed with these settlements. If so, then Walgreens and CVS are to determine whether they agree that sufficient subdivisions have participated in the settlements. The decisions of the Teva, Allergan, CVS, and Walgreens as to whether there has been adequate subdivision-level participation is in their sole discretion and must be made in good faith.

The Walmart Settlement is different in that the level of subdivision participation required for the settlement to become effective is based on objective criteria: (1) more than 85% of the aggregate population of all litigating subdivisions located in settling states, as well as states that have separately settled with Walmart, must agree to participate or otherwise have their claims fully released, **and** (2) more than 85% of the aggregate population of all non-litigating threshold subdivisions (defined to include subdivisions with populations greater than 30,000 or those with populations between 10,000 and 30,000 that have brought opioids-related claims against McKesson, Cardinal Health, AmerisourceBergen, or J&J) must agree to participate or otherwise have their claims fully released. The calculation of the aggregate populations of litigating subdivisions and non-litigating threshold subdivisions is on an aggregated basis and not a state-by-state basis. If these thresholds are met, then the Walmart Settlement will become effective.

## **9. Can Eligible States Opt In After the Deadlines for Doing So?**

Except for the Walmart Settlement, eligible states may, under certain circumstances, join the 2022 National Settlements after the deadlines to do so. However, later joinder by eligible states requires the consent of the respective settling Defendant (and, if applicable, the State Enforcement Committee for such settlement). If an eligible state later joins a 2022 National Settlement, subdivisions within that state may also sign on and participate in such settlement but may receive lower total payments. Note that, with respect to the CVS and Walgreens Settlements, no eligible state may become a settling state after May 31, 2023, and June 30, 2023, respectively.

## **10. What Funds Will Be Available for Abatement?**

The amounts to be paid under each 2022 National Settlement depend on the level of participation by eligible states and subdivisions. Each of the settlements requires the settling Defendant to make annual payments over a period of years consisting of base and

incentive payments. **Assuming maximum participation** by eligible states and subdivisions, Chart B below reflects the **highest** amounts to be paid by each settling Defendant, including the base, incentive, and total amounts for abatement.

**Chart B**

| Settling Defendant | Abatement – Base                                                                             | Abatement - Incentive                                                                          | Abatement – Total  | Other                                                                                                                                                                                                                                                                                                                                                                      | Total              |
|--------------------|----------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|
| Teva               | \$1,325,488,100<br><br>(45% of the Net Abatement Amount of \$2,945,529,111)                  | \$1,620,041,011<br><br>(55% of the Net Abatement Amount of \$2,945,529,111)                    | \$2,945,529,111    | <ul style="list-style-type: none"> <li>• Maximum cash conversion of Settlement Product if Settling State elects to convert all or a portion of its Settlement Product allocation into a cash payment (\$240,000,000)<sup>5</sup></li> <li>• Additional Restitution Amount (\$28,669,762)</li> <li>• Global Settlement Attorney Fee Amount of (\$366,335,847.76)</li> </ul> | \$3,580,534,720.76 |
| Allergan           | \$809,634,037.95<br><br>(45% of the Net Abatement Amount of \$1,799,186,751)                 | \$989,552,713.05<br><br>(55% of the Net Abatement Amount of \$1,799,186,751)                   | \$1,799,186,751    | <ul style="list-style-type: none"> <li>• Additional Restitution Amount (\$16,192,680.76)</li> <li>• Global Settlement Attorney Fee Amount (\$206,906,476.36)</li> </ul>                                                                                                                                                                                                    | \$2,022,285,908.12 |
| Walmart            | \$909,641,765.08<br><br>(38% of Global Settlement Remediation Amount of \$2,393,794,118.64). | \$1,484,152,353.56<br><br>(62% of Global Settlement Remediation Amount of \$2,393,794,118.64). | \$2,393,794,118.64 | <ul style="list-style-type: none"> <li>• Subdivision Attorneys’ Fees, Expenses and Costs (\$297,720,376.93)</li> <li>• State Outside Counsel Fee Fund (\$16,006,471.88)</li> <li>• State Cost Fund (\$16,006,471.88)</li> </ul>                                                                                                                                            | \$2,739,533,911.20 |

<sup>5</sup> Under the Teva Settlement, Teva will offer up to \$1.2 billion of Teva’s generic version of the overdose reversal drug Narcan or, alternatively, provide cash at 20% of the \$1.2 billion wholesale acquisition cost “in lieu of product.”

|           |                                                                                           |                                                                                           |                 |                                                                                                                                                                                                                                                                                 |                 |
|-----------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
|           |                                                                                           |                                                                                           |                 | <ul style="list-style-type: none"> <li>• Additional Remediation (\$16,006,471.88)</li> </ul>                                                                                                                                                                                    |                 |
| Walgreens | \$1,963,147,836<br><br>(41% of the Adjusted State Remediation Payment of \$4,788,165,456) | \$2,825,017,620<br><br>(59% of the Adjusted State Remediation Payment of \$4,788,165,456) | \$4,788,165,456 | <ul style="list-style-type: none"> <li>• Private Attorneys Fees (\$638,600,000)</li> <li>• State AG Fees and Costs (\$63,842,206)</li> <li>• Additional Remediation Amount (\$31,921,103)</li> </ul>                                                                            | \$5,522,528,766 |
| CVS       | \$1,626,081,118<br><br>(38% of Maximum Remediation Payment of \$4,279,160,837)            | \$2,653,079,719<br><br>(62% of Maximum Remediation Payment of \$4,279,160,837)            | \$4,279,160,837 | <ul style="list-style-type: none"> <li>• Common Benefit and Subdivision Attorneys' Fees, Expenses and Costs (\$539,457,124)</li> <li>• State Outside Counsel Fee Fund (\$28,527,739) State Cost Fund (\$28,527,739) and Additional Remediation Amount (\$28,527,739)</li> </ul> | \$4,904,201,178 |

### **11. How Much Will a Settling State Receive in Base Payments Under the 2022 National Settlements?**

As illustrated in [Chart B](#) above, approximately \$6.63 billion in base payments would be available to settling states. These base payments do not require a settling state to meet any specific participation benchmarks or conditions. Base payments will be paid out annually for distribution to each settling state according to its share of the abatement funds using the top-level state allocation model discussed below. Each state's base payment is then allocated into the three sub-funds or according to a state-subdivision agreement, qualifying statute, or statutory trust, as explained below.

### **12. How Much Can a State Receive in Incentive Payments?**

Under the 2022 National Settlements, approximately \$9.57 billion in abatement funds would be earmarked for "Incentive Payments" Although the criteria for Incentive Payments under each of the 2022 National Settlements are similar, there are differences in how they operate and when they accrue, as explained in FAQ #13 below.

### 13. How Do Incentive Payments Work?<sup>6</sup>

In each of the 2022 National Settlements, incentive payments are designed to reward states for increasing participation in the settlements by their subdivisions and/or taking steps to bar or otherwise resolve current and future subdivision litigation, but there are differences in how these incentive payments operate and when they accrue.

Eligibility for incentive payments is **state-specific**, meaning that eligibility for incentive payments, as well as the amount of such payments, depends on whether, and the extent to which, the applicable incentive criteria set forth in each of the 2022 National Settlements are met **in each such settling state**, irrespective of any other settling states.

- **Teva/Allergan**

The Teva and Allergan Settlements divide incentive payments into four categories: Incentives A, B, C, and D. Under the Teva Settlement, Incentives A-C would be due in installments over 12 years beginning with Payment Year 2, and Incentive D would be due in installments over 10 years beginning with Payment Year 4. Under the Allergan Settlement, Incentives A-C would be due in installments over 6 years beginning with Annual Payment 2, and Incentive D would be due in installments over 4 years beginning with Annual Payment 4. Under these settlements, if a settling state qualifies for Incentive A, it would receive the maximum payment allocable to the State for Incentives A-C. If a settling state does not qualify for Incentive A, it can alternatively qualify for Incentive B and/or Incentive C. A settling state can qualify for Incentive D regardless of whether it qualifies for another incentive payment.

Incentives A-D are generally as follows:

**Incentive A:** Full participation, or fully released claims, of (1) litigating subdivisions/special districts and (2) non-litigating subdivisions with populations greater than 10,000/non-litigating covered special districts.

**Incentive B:** Early participation, or released claims, by litigating subdivisions/special districts representing at least 75% of the settling state's litigating population.

**Incentive C:** Participation, or release of claims, by litigating **or** non-litigating primary subdivisions (defined as subdivisions with populations of 30,000 or more) representing more than 60% of the settling state's primary subdivision population (Part 1) and 100% of its 10 largest subdivisions by population (Part 2).

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<sup>6</sup> Note that these FAQs include certain terms that are specifically defined in the 2022 National Settlements. The definitions contained in the 2022 National Settlements are determinative.

**Incentive D:** No opioids-related lawsuits filed by subdivisions within the settling state against settling Defendants as of two look-back dates 2 years and 5.5 years after the “Preliminary Agreement Date.”

- **CVS**

Under the CVS Settlement, Incentives A-D are generally like the Teva and Allergan Settlements. But there are certain differences.

First, unlike the Teva and Allergan Settlements, Incentive A is **mutually exclusive** with Incentives B, C, and D, meaning that a settling state may either qualify for Incentive A, or Incentives B, C, and/or D. A settling state will be eligible for its full allocable share of the maximum amount available for incentive payments by either: (1) achieving Incentive A within 2 years of the Effective Date or (2) by fully earning Incentive B in each Payment Year it is available and earning Incentives C and D in a manner that produces that maximum possible combined amount from those two incentives.

Second, Incentive B requires early participation, or released claims, by litigating subdivisions representing at least 85% of the settling state’s litigating population.

Third, Incentive C requires participation, or release of claims, by at least 85% of the settling state’s litigating subdivisions **and** non-litigating threshold subdivisions (defined to include subdivisions with populations greater than 30,000 or subdivisions with populations between 10,000 and 30,000 that have brought an opioids-related claim against McKesson, Cardinal Health, AmerisourceBergen, or J&J).

Finally, there are five look-back dates for Incentive D: April 30 of Payment Years 6-10.

- **Walgreens**

Under the Walgreens Settlement, incentive payments are divided among three categories: Incentives A, BC, and D. Incentive A is mutually exclusive with Incentives BC and D; if a settling state receives Incentive A, such settling state is not eligible for Incentives BC or D.

Incentive A requires full participation from all litigating subdivisions, primary subdivisions (defined to include subdivisions with populations over 10,000), and certain special districts. Incentive BC is determined based on the percentage of the aggregate population of all the settling state’s litigating subdivisions and non-litigating threshold subdivisions (defined to include subdivisions with

populations greater than 30,000 or those with populations between 10,000 and 30,000 that have brought opioids-related claims against McKesson, Cardinal Health, AmerisourceBergen, or J&J) that have participated in the settlement or otherwise fully released their claims. A sliding percentage scale will determine the Incentive BC Payments under these settlement agreements.

Under the Walgreens Settlement, a settling state may earn Incentives A and BC in annual payments 2 through 15.

Finally, Incentive D (payments which are based on Incentive BC participation percentage) can be earned if there are no qualifying lawsuits surviving a threshold motion as of certain look-back dates prior to Incentive D payments being made. Any Incentive D payments would be made under the Walgreens Settlement during Payment Years 6-15.

- **Walmart**

Incentive payments under the Walmart Settlement operate similarly to incentive payments under the Walgreens Settlement. However, under the Walmart Settlement, Incentive Payments A and BC would be paid in (at most) three payments in Payment Years 1 through 3. Any Incentive D payments would be made during Payment Years 3-6.

#### **14. If States or Subdivisions Elect to Participate in the 2022 National Settlements, When Will They Receive Abatement Fund Payments?**

Participating states and subdivisions would receive abatement proceeds through annual payments continuing over a period of years. Chart C below sets forth, for each 2022 National Settlement, the date through which annual abatement payments would be made by the respective settling Defendant.

**Chart C**

| <b>Settling Agreement</b> | <b>Annual Abatement Payments Made Until</b> |
|---------------------------|---------------------------------------------|
| Teva Settlement           | July 15, 2035                               |
| Allergan Settlement       | July 15, 2029                               |
| Walmart Settlement        | ~July 15, 2028                              |
| Walgreens Settlement      | December 31, 2036                           |
| CVS Settlement            | June 30, 2032                               |

### 15. How Will Settlement Proceeds Be Used?

Each of the 2022 National Settlements requires that at least 85% to 95.5% of abatement funds be used to fund opioid-remediation efforts, with at least 70% of abatement funds required to be used in connection with future opioid-remediation efforts. Chart D below sets forth, for each settlement, the minimum percentage of settlement payments disbursed to states and participating subdivisions that must be spent on “Opioid Remediation” and “future Opioid Remediation,” respectively. The 2022 National Settlements effectively define “Opioid Remediation” as including care, treatment, and other programs and expenditures (including reimbursement for past such programs or expenditures, except where the agreement restricts the use of funds solely to **future** Opioid Remediation) designed to (1) address the misuse and abuse of opioid products, (2) treat or mitigate opioid use or related disorders, or (3) mitigate other alleged effects of, including on those injured as a result of, the opioid epidemic. The 2022 National Settlements include broad and non-exhaustive lists of qualifying opioid-remediation expenditures.<sup>7</sup>

**Chart D**

| Settling Defendant | Minimum Amount of Settlement Proceeds To Be Spent on Opioid Remediation                                                                                                                                       | Minimum Amount of Settlement Proceeds To Be Spent on Future Opioid Remediation |
|--------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| Teva               | 85%                                                                                                                                                                                                           | 70%                                                                            |
| Allergan           | 85%                                                                                                                                                                                                           | 70%                                                                            |
| Walmart            | 85%                                                                                                                                                                                                           | 70%                                                                            |
| Walgreens          | 95%                                                                                                                                                                                                           | 70%                                                                            |
| CVS                | 95.5%; <i>provided, however</i> , that the remaining 4.5% only may be spent outside of Opioid Remediation to the extent necessary to satisfy certain attorney fee agreements entered into by settling states. | 70%                                                                            |

### 16. How Will the State-Level Allocations Be Made?

Under the 2022 National Settlements, each of the settling Defendants would make annual payments consisting of base and incentive payments (described above). Calculation of the amounts distributed to each settling state (including the state and its settling subdivisions) starts with a top-level allocation among all states of the maximum potential payment. How much of that maximum

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<sup>7</sup> If settlement proceeds are used for something other than “Opioid Remediation,” then the amounts and uses (including any use to pay attorneys’ fees and costs) must be publicly reported.

amount each state will receive in any given year is then based on (1) which incentive payment categories that state qualifies for that year; and (2) whether amounts otherwise payable are offset or otherwise adjusted (see FAQ #18 below regarding offsets). Once the annual payment for a state is calculated, the further allocation of that state's payment proceed as outlined below among that state's (1) "State Fund"; (2) "Abatement Accounts Fund"/"Remediation Accounts Fund"; and (3) "Subdivision Fund."

For purposes of the top-level maximum potential allocation discussed above, an "Overall Allocation Percentage" or "State Allocation Percentage" has been calculated for each 2022 National Settlement based on the states eligible to participate in such settlements. Within each eligible state, there is a "Subdivision Allocation Percentage" or "Subdivision and Special District Allocation Percentage," set forth in Exhibit G to the respective 2022 National Settlements, which reflects the portion of a settling state's Subdivision Fund that a subdivision would receive if it becomes a participating subdivision (in the absence of a state-subdivision agreement, qualifying statute, or statutory trust; see FAQ #17 below). The aggregate "Subdivision Allocation Percentage" or "Subdivision and Special District Allocation Percentage" for each state equals 100%.

These sub-percentages for each state are based on population, adjusted for the proportionate share of the impact of the opioid epidemic using reliable, detailed, and objective national data, including: (1) the amount of opioids shipped to the state; (2) the number of opioid-related deaths that occurred in the state; and (3) the number of people who suffer opioid use disorder in the state. Adjustments were made to reflect the severity of impact insofar as the oversupply of opioids had more deleterious effects in some locales than in others. Ultimately, the model allocates settlement funds in proportion to where the opioid crisis has caused harm.

### **17. How Will Settlement Funds Be Allocated Within a State?**

Settlement proceeds will be allocated in accordance with the terms of any qualifying agreement between a state and its subdivisions, a qualifying statute, or a statutory trust. Otherwise, settlement proceeds will be allocated in accordance with default allocation terms set out in the respective 2022 National Settlements. Under these default terms, settlement proceeds will be allocated among three sub-funds for each settling state: (1) a State Fund; (2) an Abatement Accounts Fund or Remediation Accounts Fund; and (3) a Subdivision Fund.<sup>8</sup> There are several important points to observe with respect to three sub-funds:

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<sup>8</sup> Non-litigating municipalities with a population under 10,000 and special districts will not receive direct allocations from the Subdivision Fund, unless an intrastate agreement provides otherwise. Moreover, a subdivision will not necessarily directly receive settlement funds by participating because decisions on how settlement funds will be allocated within a state may be subject to intrastate agreements or state statutes.

- The settlement agreements provide default allocations among the sub-funds (15% to the State Fund, 70% to the Abatement Accounts Fund/Remediation Accounts Fund, and 15% to the Subdivision Fund<sup>9</sup>). As noted above, these defaults can be changed on a state-by-state basis through a qualifying state-subdivision agreement, qualifying statute, or statutory trust.<sup>10</sup>
- Under the default set forth in the 2022 National Settlements, at least 50% of the annual spend from the Abatement Accounts Fund/Remediation Accounts Fund must be allocated at a **regional** level for settling states above a certain population. Each settling state will have an advisory committee—with equal representation from the state and local levels—to recommend how to spend the Abatement Accounts Fund/Remediation Accounts Fund.<sup>11</sup>
- Certain large participating subdivisions also will be eligible to receive block grants from the Abatement Accounts Fund/Remediation Accounts Fund.
- A settling state also is free to direct all or a portion of its State Fund to its Abatement Accounts Fund/Remediation Accounts Fund. A participating subdivision listed in Exhibit G to the respective 2022 National Settlements also may choose to direct all or a portion of its allocation from the Subdivision Fund to the state’s Abatement Accounts Fund/Remediation Accounts Fund or to another participating subdivision.

### **18. Can a Defendant Take an Offset Against a Settlement Payment?**

In each of the 2022 National Settlements, the settling Defendant is entitled to an offset for abatement/remediation payments that had been allocated to states that did not choose to participate in that settlement. In addition, Teva, Allergan, Walgreens, and CVS are entitled to dollar-for-dollar offsets for certain class resolution opt-outs, as well as payments made as a result of litigation bars, settlement class resolutions, or case-specific resolutions that are subsequently revoked, rescinded, or otherwise materially limited. Walgreens and CVS are also entitled to a dollar-for-dollar offset for certain taxes, assessments, or any other fees imposed by a settling state on Walgreens or CVS on the sale, transfer, or distribution of opioid products.

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<sup>9</sup> As a default, amounts apportioned to a state’s Subdivision Fund would be distributed to participating subdivisions in that state listed on Exhibit G to the respective 2022 National Settlement, per the Subdivision Allocation Percentage listed in Exhibit G.

<sup>10</sup> Allocation agreements/statutes have already been reached or enacted in several states.

<sup>11</sup> Spending from the Abatement Allocation Account Fund will be tracked and reported annually.

## **19. Will the Settlements Require Any Change to How the Defendants Operate Their Businesses?**

In addition to billions of dollars to be used for abatement of the effects of the opioid epidemic in communities across the nation, the proposed settlements provide for robust and meaningful injunctive relief that will require the settling Defendants to make significant changes to their business operations to address the opioid epidemic.

Teva and Allergan have agreed to strict limitations on their marketing, promotion, sale, and distribution of opioids, including a ban on: (1) promotion and lobbying; (2) rewarding or disciplining employees based on volume of opioid sales; and (3) funding or grants to third parties; and Teva and Allergan are also required to publicly disclose documents, including internal documents, related to their role in the opioid crisis. Allergan is also required to stop manufacturing and selling opioids for ten years, and Teva is required to create and maintain systems to prevent drug misuse, including suspicious order monitoring.

Walmart, CVS, and Walgreens are required to implement changes in how they handle opioids, including requirements that they: (1) maintain independent departments to oversee compliance with controlled-substance laws and the injunctive terms in the settlements; (2) ensure pharmacists exercise independent judgment in the dispensing of controlled substances; (3) create and maintain robust oversight programs, including site visits and audits, to prevent diversion; (4) monitor, report, and share data about suspicious activity related to opioid prescriptions; and (5) provide data to the states about their red-flag processes, as well as blocked and potentially problematic prescribers.

## **20. How Will Attorneys' Fees and Costs Be Addressed?**

The overarching goal of these global settlements is to dedicate funds to abate opioid-related harms. If private lawyers who represent some of the states and thousands of subdivisions were to enforce their contingency fee contracts, then a significant portion of the global settlement payments would potentially go towards legal fees to compensate efforts to prosecute the lawsuits that are being resolved as to the settling Defendants. As a result, government entities that hired outside counsel to litigate against the settling Defendants would recover proportionately less than entities that did not litigate. To protect against this imbalance, and maximize the amounts available for abatement, the negotiating State Attorneys General, the Plaintiffs' Executive Committee (PEC) Negotiation Team, and the settling Defendants agreed that the settling Defendants will pay, and the parties will set aside, separate funds to compensate attorneys' fees and costs. These funds total approximately \$2.2 billion in connection with the Teva, Allergan, Walmart, Walgreens, and CVS Settlements. See the "Other" column within Chart B in FAQ #10 above for deal-specific amounts. These attorneys' fees include amounts for outside counsel representing participating states and participating subdivisions and are intended to cover both contingency and common benefit work.

**21. How will more information on the settlements be made available?**

Settlement documents, information, and updates will be posted on a public settlement website: <https://nationalopioidsettlement.com/>

The website will provide current information on an ongoing basis as the settlement implementation progresses. Some states have also set up their own websites to provide state-specific information.



## City Council Staff Report

**Subject:** Amendment No. 5 with Network Design Associates, Inc.

**Date:** March 28, 2023

**Submitted By:** Brian Graves, Information Technology Manager

**Department:** Information Technology

**Recommendation:**

Adopt a Resolution of the City Council of the City of Rocklin Approving Amendment No. 5 to the Contract for Services with Network Design Associates, Inc. (NDA) and Authorizing the City Manager to Execute Amendment No. 5.

**Background:**

On January 10, 2020, an agreement for Information Technology supplemental staffing services for various short term projects was executed with Network Design Associates, Inc. As shown below, four (4) amendments were brought before, and approved by, the City Council to adjust the scope of services, costs, and contract term bringing the total contract amount to \$345,000 and extending the contract term to June 30, 2023.

|                          |                                                                                                                                                                                                                                                                                             |
|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Original Contract        | Authorized on 1/10/2020 for \$50,000                                                                                                                                                                                                                                                        |
| Amendment No. 1          | Resolution No. 2021-151, dated 8/1 Resolution No. 2021-151, dated 8/11/2020, for \$50,000 Contract Total - \$100,000                                                                                                                                                                        |
| Request for Proposals    | Following approval of Amendment No. 1 a Request for Proposals was issued to identify the best technology service provider options for the City. After carefully considering proposals, staff determined that Network Design Associates provided the most advantageous proposal for the City |
| Amendment No. 2          | Resolution No. 2021-35 dated 03/09/2021, \$45,000 increase to \$145,000                                                                                                                                                                                                                     |
| Amendment No. 3          | Resolution No. 2021-120 dated 07/27/2021, \$100,000 increase to \$245,000                                                                                                                                                                                                                   |
| Amendment No. 4          | Resolution No. 2022-134 \$100,000 increase to \$345,000, extend contract to 6/30/2023                                                                                                                                                                                                       |
| Proposed Amendment No. 5 | \$100,000 increase for an amount not to exceed \$445,000, extend contract to 6/30/2024                                                                                                                                                                                                      |

Amendment No. 5 is needed to continue providing technical support for critical functions, which include the installation, administration, and maintenance for multiple networks/systems such as firewalls and security systems, e-mail and e-mail archiving systems, virtual server and virtual network infrastructure, and physical server and storage systems.

Staff recommends the approval of a fifth amendment to the agreement, which will enable the City to continue to utilize Network Design Associates Inc. for supplemental IT services through June 30, 2024. The amendment increases the total combined contract not-to-exceed amount from \$345,000 to \$445,000.

**Fiscal Impact:**

Sufficient funds are available in the Fiscal Year (FY) 2022/23 Operating Budget in the Technology Fee Fund (130) to cover the cost of the proposed contract amendment. Funding for FY 2023/24 will be requested during the annual budget process for City Council approval.

**ATTACHMENTS:**

1. [Resolution](#)
2. [Resolution Exhibit A - Amendment No. 5](#)

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN  
APPROVING AMENDMENT NO. 5 TO THE CONTRACT FOR SERVICES WITH NETWORK  
DESIGN ASSOCIATES, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE  
AMENDMENT NO. 5 WITH NETWORK DESIGN ASSOCIATES, INC.

WHEREAS, City and Contractor entered into an agreement on January 10, 2020, for supplemental part time IT staffing services for various short-term projects, in an amount not to exceed \$50,000; and

WHEREAS, four (4) Amendments have been issued (Resolution Nos. 2020-151, 2021-35, 2021-120, and 2022-134) that allowed the contract to be extended and increased the contract amount to \$345,000; and

WHEREAS, additional short-term projects have been identified through June 30, 2024, which necessitates a term extension and revision to the scope of services, for an amount of \$100,000, for a new total contract not-to-exceed amount of \$445,000.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin hereby approves and authorizes the City Manager to execute Amendment No. 5 to the Contract for Services with Network Design Associates, Inc., in substantially the form attached hereto as Exhibit "A."

PASSED AND ADOPTED this 28th day of March, 2023, by the following vote:

|          |                 |
|----------|-----------------|
| AYES:    | Councilmembers: |
| NOES:    | Councilmembers: |
| ABSENT:  | Councilmembers: |
| ABSTAIN: | Councilmembers: |

---

Ken Broadway, Mayor

ATTEST:

---

Hope Ithurnburn, City Clerk

AMENDMENT NO. 5  
TO CONTRACT FOR SERVICES BETWEEN THE CITY OF ROCKLIN  
AND NETWORK DESIGN ASSOCIATES, INC., DATED EFFECTIVE JANUARY 10, 2020

This Amendment is entered into as of March 28, 2023, by and between the City of Rocklin, a municipal corporation ("City") and Network Design Associates, Inc. ("Contractor"), who agree as follows:

RECITALS

WHEREAS, City and Contractor entered into a contract for services on January 10, 2020, for supplemental IT services for various short-term projects, in an amount not to exceed \$50,000 ("Agreement"); and

WHEREAS, the Agreement has been amended four (4) times, extending the term of the Agreement through June 30, 2023, and increasing the compensation authorized under the Agreement to \$345,000; and

WHEREAS, additional short-term projects have been identified through June 30, 2024, which necessitate an additional extension of the term of the Agreement, an increase in cost associated with performing the services, as well as a change to the scope of services under the Agreement.

AGREEMENT

NOW, therefore, the City and Contractor hereby agree to amend the Agreement as follows:

1. Section 2A of the Agreement is amended to read as follows:

A. The City shall pay Contractor for the services rendered pursuant to this Agreement at the times and in the manner set forth in the Scope of Services, Exhibit A, the Schedule for Performance, Exhibit B, and in accordance with the Schedule of Fees in Exhibit C, but in no event shall the total compensation exceed the total sum of Four Hundred Forty-Five Thousand dollars (\$445,000). The payments specified in Exhibits A and/or B shall be the only payments to be made to Contractor for the services rendered pursuant to this Agreement unless pursuant to Section 1, above, City approves additional compensation for additional services.

2. Exhibit "A" is amended to include the services set forth in Exhibit "A," attached hereto, and incorporated herein.
3. Exhibit "B" is amended include the additional schedule for performance set forth in Exhibit "B," attached hereto, and incorporated herein.
4. Exhibit "C" is amended to include the additional schedule of fees set forth in Exhibit "C," attached hereto and incorporated herein.

Except as set forth in this Amendment, the Agreement is unaffected and shall continue in full force and effect in accordance with its terms. If there is a conflict between this Amendment and the Agreement, the terms of this Amendment will prevail.

Executed as of the date first written above.

CITY OF ROCKLIN

By: \_\_\_\_\_  
Aly Zimmermann, City Manager

CONTRACTOR

By \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

By: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

APPROVED AS TO FORM:

By: \_\_\_\_\_  
Sheri Chapman, City Attorney

ATTEST:

By: \_\_\_\_\_  
Hope Ithurnburn, City Clerk

EXHIBIT A  
Contractor Proposal/Scope of Work

Contractor will provide supplemental IT services to the City of Rocklin to assist with various technology implementation and upgrade projects. Examples of projects assigned to Contractor may include, but is not limited to installation, administration and maintenance of City networks/systems, firewalls and security systems, virtual server and virtual network infrastructure, physical server systems.

EXHIBIT B  
Schedule of Performance

The term for this agreement is extended up to and including June 30, 2024.

EXHIBIT C  
Schedule of Fees

The fee for the additional services identified in this fourth amendment to the contract is not to exceed \$100,000.00, resulting in a total contract amount not to exceed \$445,000.00.

Network Design Associates will assign senior-level engineers to complete approximately 300 hours of work (averaging 16 hours per week). Contractor will charge City an hourly rate of \$150.00 for the services provided. There will be no additional fee charged for travel.

Network Design Associates will invoice the City on a weekly basis, which shall be paid by City in accordance with the provisions of Section 2D of the Agreement.

Contractor will direct and control the work of its staff assigned to provide services for the City. Contractor will set the work hours, work schedules, and compensation for its staff. No single NDA employee will be assigned to work more than 960 hours on projects for the City of Rocklin during a fiscal year, and NDA will immediately notify the City if any of its staff assigned to a City project is a CalPERS member.

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN  
APPROVING AMENDMENT NO. 5 TO THE CONTRACT FOR SERVICES WITH NETWORK  
DESIGN ASSOCIATES, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE  
AMENDMENT NO. 5 WITH NETWORK DESIGN ASSOCIATES, INC.

WHEREAS, City and Contractor entered into an agreement on January 10, 2020, for supplemental IT staffing services for various short-term projects, in an amount not to exceed \$50,000; and

WHEREAS, four (4) Amendments have been issued (Resolution Nos. 2020-151, 2021-35, 2021-120, and 2022-134) that allowed the contract to be extended and increased the contract amount to \$345,000; and

WHEREAS, additional short-term projects have been identified through June 30, 2024, which necessitates a term extension and revision to the scope of services, for an amount of \$100,000, for a new total contract not-to-exceed amount of \$445,000.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin hereby approves and authorizes the City Manager to execute Amendment No. 5 to the Contract for Services with Network Design Associates, Inc., in substantially the form attached hereto as Exhibit "A."

PASSED AND ADOPTED this 28th day of March, 2023, by the following vote:

|          |                 |
|----------|-----------------|
| AYES:    | Councilmembers: |
| NOES:    | Councilmembers: |
| ABSENT:  | Councilmembers: |
| ABSTAIN: | Councilmembers: |

\_\_\_\_\_  
Ken Broadway, Mayor

ATTEST:

\_\_\_\_\_  
Hope Ithurnburn, City Clerk

AMENDMENT NO. 5  
TO CONTRACT FOR SERVICES BETWEEN THE CITY OF ROCKLIN  
AND NETWORK DESIGN ASSOCIATES, INC., DATED EFFECTIVE JANUARY 10, 2020

This Amendment is entered into as of March 28, 2023, by and between the City of Rocklin, a municipal corporation ("City") and Network Design Associates, Inc. ("Contractor"), who agree as follows:

RECITALS

WHEREAS, City and Contractor entered into a contract for services on January 10, 2020, for supplemental IT services for various short-term projects, in an amount not to exceed \$50,000 ("Agreement"); and

WHEREAS, the Agreement has been amended four (4) times, extending the term of the Agreement through June 30, 2023, and increasing the compensation authorized under the Agreement to \$345,000; and

WHEREAS, additional short-term projects have been identified through June 30, 2024, which necessitate an additional extension of the term of the Agreement, an increase in cost associated with performing the services, as well as a change to the scope of services under the Agreement.

AGREEMENT

NOW, therefore, the City and Contractor hereby agree to amend the Agreement as follows:

1. Section 2A of the Agreement is amended to read as follows:

A. The City shall pay Contractor for the services rendered pursuant to this Agreement at the times and in the manner set forth in the Scope of Services, Exhibit A, the Schedule for Performance, Exhibit B, and in accordance with the Schedule of Fees in Exhibit C, but in no event shall the total compensation exceed the total sum of Four Hundred Forty-Five Thousand dollars (\$445,000). The payments specified in Exhibits A and/or B shall be the only payments to be made to Contractor for the services rendered pursuant to this Agreement unless pursuant to Section 1, above, City approves additional compensation for additional services.

2. Exhibit "A" is amended to include the services set forth in Exhibit "A," attached hereto, and incorporated herein.
3. Exhibit "B" is amended include the additional schedule for performance set forth in Exhibit "B," attached hereto, and incorporated herein.
4. Exhibit "C" is amended to include the additional schedule of fees set forth in Exhibit "C," attached hereto and incorporated herein.

Except as set forth in this Amendment, the Agreement is unaffected and shall continue in full force and effect in accordance with its terms. If there is a conflict between this Amendment and the Agreement, the terms of this Amendment will prevail.

Executed as of the date first written above.

CITY OF ROCKLIN

By: \_\_\_\_\_  
Aly Zimmermann, City Manager

CONTRACTOR

By \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

By: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

APPROVED AS TO FORM:

By: \_\_\_\_\_  
Sheri Chapman, City Attorney

ATTEST:

By: \_\_\_\_\_  
Hope Ithurburn, City Clerk

## EXHIBIT A

### Contractor Proposal/Scope of Work

Contractor will provide supplemental IT services to the City of Rocklin to assist with various technology implementation and upgrade projects. Examples of projects assigned to Contractor may include, but is not limited to installation, administration and maintenance of City networks/systems, firewalls and security systems, virtual server and virtual network infrastructure, physical server systems.

EXHIBIT B  
Schedule of Performance

The term for this agreement is extended up to and including June 30, 2024.

EXHIBIT C  
Schedule of Fees

The fee for the additional services identified in this fourth amendment to the contract is not to exceed \$100,000.00, resulting in a total contract amount not to exceed \$445,000.00.

Network Design Associates will assign senior-level engineers to complete approximately 300 hours of work (averaging 16 hours per week). Contractor will charge City an hourly rate of \$150.00 for the services provided. There will be no additional fee charged for travel.

Network Design Associates will invoice the City on a weekly basis, which shall be paid by City in accordance with the provisions of Section 2D of the Agreement.

Contractor will direct and control the work of its staff assigned to provide services for the City. Contractor will set the work hours, work schedules, and compensation for its staff. No single NDA employee will be assigned to work more than 960 hours on projects for the City of Rocklin during a fiscal year, and NDA will immediately notify the City if any of its staff assigned to a City project is a CalPERS member.



## City Council Staff Report

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**Subject:** \*THIS ITEM IS BEING CONTINUED TO APRIL 11TH, 2023 - Service Fee for SB 1383 Educations and inspections Services and Agreement with Placer County for Services.

**Date:** March 28, 2023

**Submitted By:** Justin Nartker, Director of Public Services

**Department:** City Council

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**Recommendation:**

Staff Recommendation:

- A. Hold a public hearing regarding the implementation of a service fee for SB 1383 Education and Inspection Services.
- B. Adopt a Resolution of the City Council of the City of Rocklin Adopting a Service Fee for SB 1383 Education and Inspection Services, and Approving the Agreement with Placer County for the Provision of SB 1383 Education and Inspection Services.



## City Council Staff Report

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**Subject:** Police Department Annual Report 2022

**Date:** March 28, 2023

**Submitted By:** Rustin Banks, Chief of Police

**Department:** Police

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**Recommendation:**

Receive the attached Annual Report of Police Department Activities for the period January 1 - December 31, 2022.

**Background:**

The attached 2022 Rocklin Police Department Annual Report provides a year in review of Police Department activities. During the City Council meeting, department personnel will provide an overview of the annual report, which is provided to the City Council to receive and file.

**ATTACHMENTS:**

1. [Police Department 2022 Annual Report](#)



# 2022

ROCKLIN POLICE DEPARTMENT  
ANNUAL REPORT

# ROCKLIN CITY COUNCIL



**KEN  
BROADWAY**  
*Mayor*



**GREG JANDA**  
*Vice-Mayor*



**BILL  
HALLDIN**  
*Councilmember*



**JILL  
GAYALDO**  
*Councilmember*



**DAVID BASS**  
*Councilmember*



RUSTIN

**BANKS**

CHIEF OF POLICE

“Leading the men and women of the Rocklin Police Department this past year has been one of the most rewarding professional experiences that I have ever had. Thank you for the privilege of serving this amazing community.”

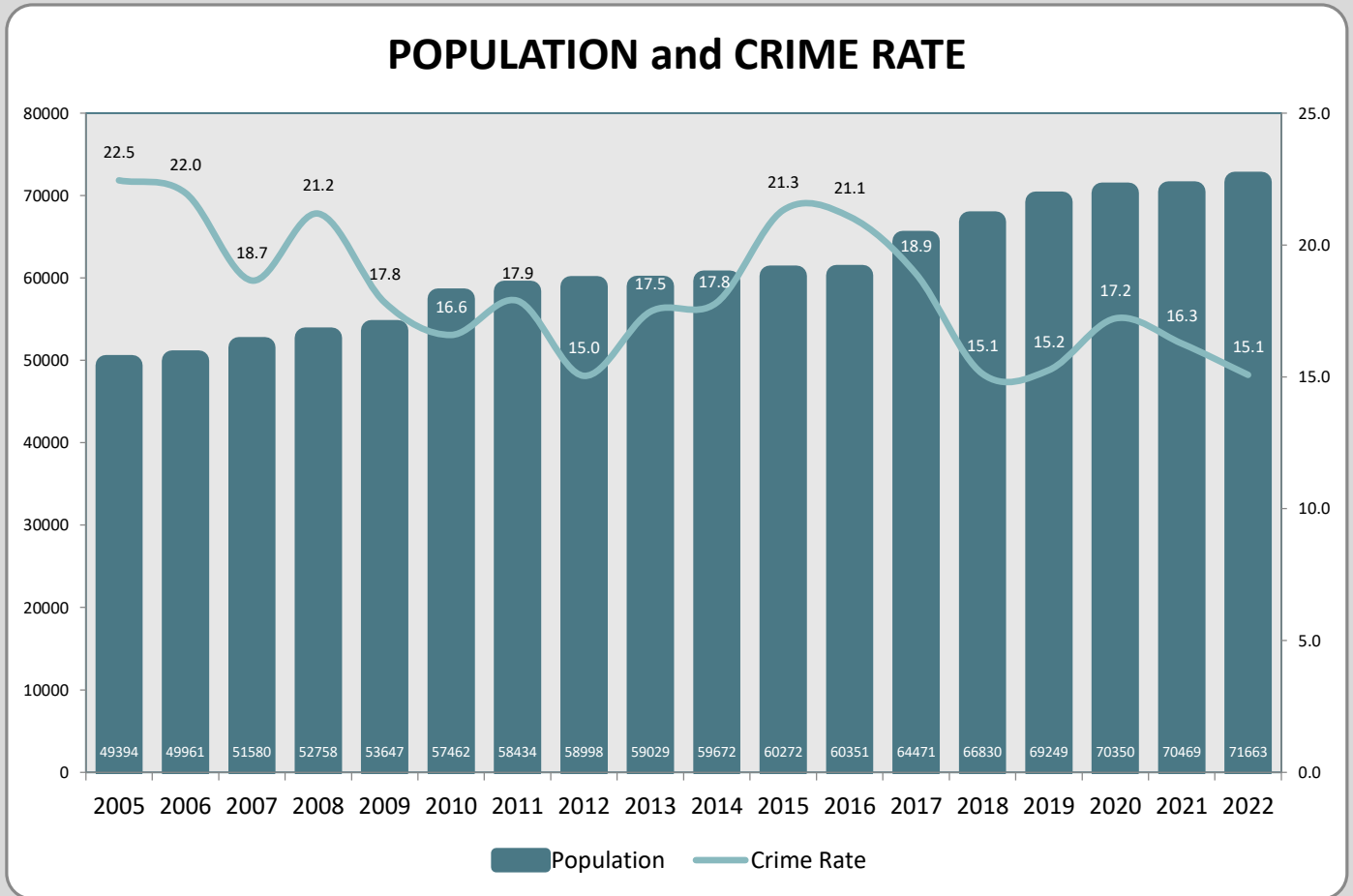
## A MESSAGE FROM THE CHIEF

It is with great pleasure that I present the 2022 Rocklin Police Department Annual Report. My goal with this report is to highlight the exemplary service that our employees provide on a daily basis. Having just concluded my first year as your Chief of Police, there are a few things that I am happy to report out to you. First, the Rocklin Police Department takes tremendous pride in providing the public with outstanding police services. Our organization hires, trains, and properly equips some of the finest professionals in the industry. We have, and will maintain, key relationships with other members of “Team Rocklin”, the Rocklin Unified School District, Sierra College, local businesses, faith-based organizations and many others. We value our employees and prioritize their ongoing development at a very high level. Our expectation is that all RPD employees understand the importance of public service, and that their service reflects the values of this Department.

In 2023, you will see a continued focus on exemplary service. You will see RPD test and implement new technologies to ensure that we are conducting ourselves in the safest, most effective and efficient manner possible. You will see a continued effort to interact with our community whether through in person meetings, or electronically via social media. I believe that it is these community relationships that can make a great department even better. We will routinely audit our practices to ensure that we remain, “Committed to serve, protect and promote a safe community.”

A handwritten signature in blue ink, which appears to read 'R. Banks', is placed below the text of the message.

# CRIME STATS



\*\* The previous year's annual report crime rate was reported lower than what is listed on this report. The discrepancy is due to the change in reporting of NIBRS compared to UCR.

# CRIME STATS

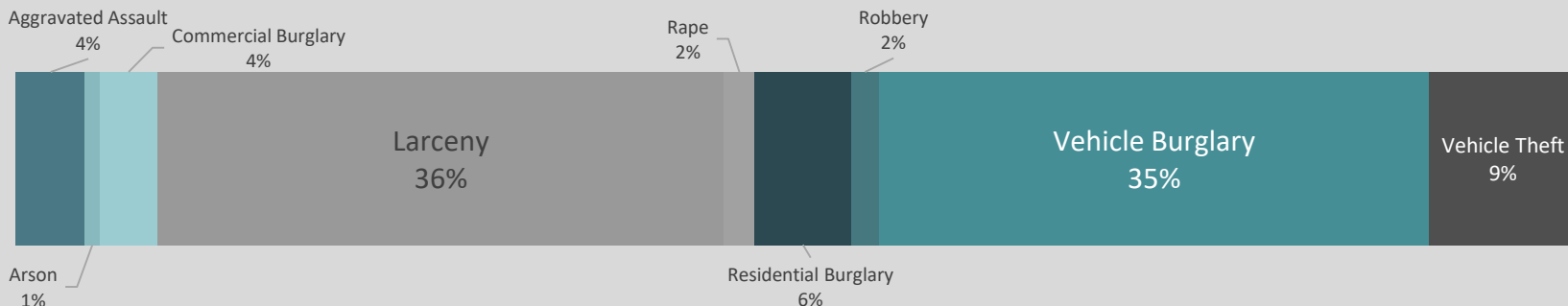
Overall crime decreased 6% from the previous year.

Violent crime decreased 17% and property crime decreased 5%.

## PART 1 CRIME

| VIOLENT CRIME      |      |      |          |
|--------------------|------|------|----------|
|                    | 2021 | 2022 | % Change |
| Homicide           | 1    | 0    | -100%    |
| Rape               | 37   | 21   | -43%     |
| Robbery            | 17   | 19   | 12%      |
| Aggravated Assault | 51   | 48   | -6%      |
| Total              | 106  | 88   | -17%     |

| PROPERTY CRIME |      |      |          |
|----------------|------|------|----------|
|                | 2021 | 2022 | % Change |
| Burglary       | 132  | 107  | -19%     |
| Larceny        | 772  | 773  | 0%       |
| Vehicle Theft  | 122  | 102  | -16%     |
| Arson          | 14   | 10   | -29%     |
| Total          | 1040 | 992  | -5%      |



**72%**

Larceny theft accounted for 72% of the year's total crime. Vehicle burglary accounted for 49% of all larceny and 35% of the total crime for the year

\*\* The previous year's annual report crime totals for rape, larceny and vehicle theft were reported lower than those listed on this report. The discrepancy is due to the change in reporting of NIBRS compared to UCR.

# COMMUNICATIONS CENTER

The men and women of the Rocklin Police Department dispatch unit answered more than 81,700 calls during the year.

## COMM CENTER CALLS BY TYPE

|                       | 2021   | 2022   |
|-----------------------|--------|--------|
| BUSINESS PHONE CALLS  | 65,864 | 63,263 |
| LAW ENFORCEMENT CALLS | 32,674 | 31,120 |
| 911 CALLS             | 20,184 | 18,437 |
| FIRE CALLS            | 2,281  | 1,740  |
| MEDICAL CALLS         | 4,033  | 4,742  |

95.38% of the 18,437 911 calls were answered within 15 seconds. The California Office of Emergency Services standard of measure is 95%. Rocklin is slightly above the standard.

**95.38%**

OF 911 CALLS  
ANSWERED WITHIN 15  
SECONDS OR LESS

\* California Office of Emergency Services standard of measurement is 95% of calls should be answered within 15 seconds

# PATROL

Patrol units responded to 50,834 total calls for the year.  
31,120 citizen generated calls for service and 19,714 officer initiated activity.

**31,120**

Calls for Service

**19,714**

Officer Initiated Activity

**50,834**

Total Calls

## INCIDENT RESPONSE TIMES

**6:58**

**PRIORITY 1**

EMERGENCY AND IN PROGRESS CALLS

**7:23**

**PRIORITY 2**

ESCALATING / POSSIBLE EMERGENCY CALLS

**9:05**

**PRIORITY 3**

NON-EMERGENCY AND REPORT INCIDENTS



# PATROL

## NIBRS CRIME REPORTING

In early 2022, the Department transitioned to the National (California) Incident Based Reporting System (NIBRS/CIBRS) from the previous Uniform Crime Reporting (UCR) crime data reporting. NIBRS expands and improves the overall quality of crime data collected by law enforcement and gives a more accurate picture of crime occurring in the City. NIBRS captures details on each single crime incident—as well as on separate offenses within the same incident—including data on victims, known offenders, relationships between victims and offenders, arrestees, and property involved in crimes. Unlike data reported through the UCR Program's traditional Summary Reporting System (SRS)—an aggregate monthly tally of crimes—NIBRS goes much deeper because of its ability to provide circumstances and context for crimes like location, time of day, and whether the incident was cleared.

This major change required patrol to learn a new way of report writing and crime classification.

**1,215** TOTAL ARRESTS



**373**  
**FELONY**  
**ARRESTS**



**842**  
**MISDEMEANOR**  
**ARRESTS**



**3,047**  
**CITATIONS**



**5,948**  
**CASES/  
SUPPLEMENTS**





# PATROL UNITS

## HOMELESS OUTREACH TEAM (HOT)

This year, the Homeless Outreach Team partnered with Placer County Probation to bring the Probation Outreach Vehicle (POV) to Rocklin to assist the unhoused population with resources, such as probation status check-in and inquiries about housing and treatment programs.

The HOT team also worked with City Code Enforcement and Placer Health and Human Services to address unhoused campsites and blight to create opportunities for homeless individuals to engage in services by connecting them with resources for finances, housing, medical care, VA services, etc.. In total, 78 sites were cleared of debris this year.

## OUTREACH SERVICES and REFERRALS

- Driver license fee payment assistance
- Food insecurity support
- Emergency shelter/housing coordination
- Case/care management
- Assessment for substance use disorders

**123**

Outreach  
contacts

**64**

Outreach  
referrals



# PATROL UNITS

## UNMANNED AIRCRAFT SYSTEMS (UAS)

The Unmanned Aircraft System program expanded in 2022 with an upgraded DJI Advanced Mavic drone. The team was deployed for 177 various types of incidents including traffic stops, missing persons, and outside agency assists for a total of 255 flights.

The program currently has 15 pilots who possess an FAA Part 107 pilot's license.

**177** Incidents/Cases Used

**255** Total flights

# PATROL UNITS

## K9

Two patrol K9s were deployed 94 times this year and were responsible for confiscating more than 140 pounds of narcotics off the streets of Rocklin.

**94**

K9 Deployments

**1**

K9 Use of Force

## COMMUNITY SERVICE OFFICERS (CSO) / ANIMAL CONTROL OFFICERS (ACO)



**2,155**  
CALLS FOR  
SERVICE



**730**  
OFFICER  
INITIATED  
ACTIVITY



**792**  
REPORTS  
TAKEN

**50**

Animal Citations Issued

Four CSO Officers responded to 2,155 calls for service and completed 792 reports for the year.





# TRAFFIC

The department was awarded grant funds through the National Highway Traffic Safety Association and the California Office of Traffic Safety, which allowed the traffic unit and patrol teams to perform focused enforcement, including saturations and checkpoints targeting DUI drivers. Numerous contacts, citations, and arrests were made during those operations.

In an effort to streamline the citation process, the department moved towards an electronic citation (e-cite) mobile solution. Hand held electronic citation devices allow for information collection in the field and eliminate data entry errors by automatically uploading citation data directly to the court and the department's records management system, saving time and money on traffic stops. E-cite devices are currently utilized by traffic but will expand to patrol in the future.

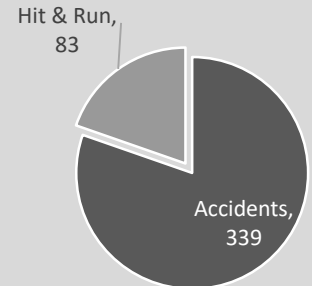
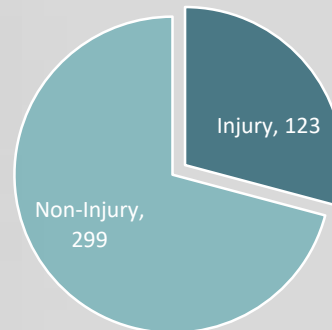


INJURY & NON-INJURY  
COLLISIONS INCREASED 5%



HIT & RUN COLLISIONS  
DECREASED 16%

**1,340** CITATIONS ISSUED  
BY TRAFFIC





# INVESTIGATIONS

## GENERAL INVESTIGATIONS

This year, the investigations unit partnered with the Sacramento Valley Hi-Tech Crimes Task Force and the Sacramento Internet Crimes Against Children (ICAC) Task Force to aid in the investigation and prosecution of internet sexual predators, high technology and identity theft crimes involving computers.

Another tool investigations obtained through training is the DataPilot mobile forensic tool for use in investigations with digital evidence. DataPilot allows for the immediate real-time download and triage of cellular forensic data in the field.

## CRIME SCENE INVESTIGATION (CSI)

As part of the Investigations team, CSI acquired a Trimble 3D laser scanning device used for investigations, accident and crime scene reconstruction. The Trimble significantly increases productivity and reduces the amount of time needed to document and measure crime and major collision scenes.

The CSI team expanded to include 13 formally trained members.

**153**  
**NEW CASES**  
**INVESTIGATED**

During an investigation, a member of the CSI team processed DNA evidence that led to the identification, successful prosecution and conviction of a violent offender.

**654** **FINGERPRINTS**  
**EXAMINED**



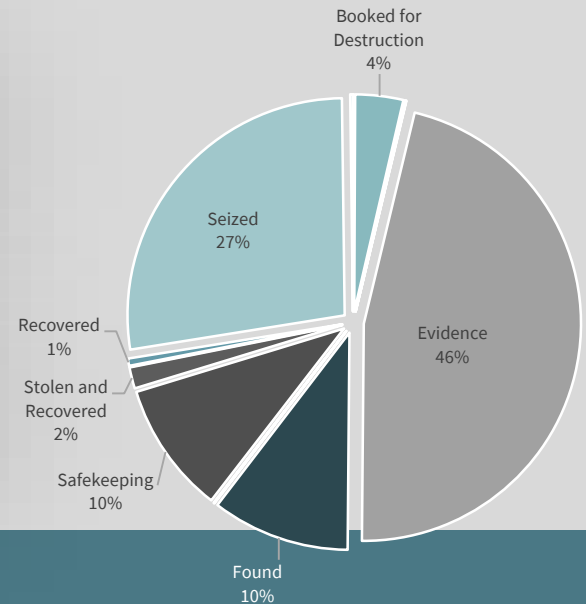
# PROPERTY

In November, the property and evidence unit began accepting HIDTA/TRIDENT property, which contributed to a 19% increase in total property received compared to the previous year.

The implementation and transition to the National Incident Based Reporting System (NIBRS) also created a significant change in the way property items are categorized, compared to the previously used Uniform Crime Reporting (UCR) crime data reporting.

**4,432**  
NEW EVIDENCE  
ITEMS BOOKED

**2,707**  
EVIDENCE ITEMS  
DISPO'D



# RECORDS

Records was also involved in the implementation of the traffic e-cites solution. The Records unit collaborated with traffic to facilitate the transfer of electronic data to the court and the department's records management system, saving time and effort processing physical paper citations.

Records was also involved and affected by the transition to the National (California) Incident Based Reporting System (NIBRS/CIBRS) from the previous Uniform Crime Reporting (UCR) crime data reporting. Records worked diligently with patrol officers and other staff to update and conform to the new reporting standards required by this change.

Officers began submitting Racial and Identity Profiling Act (RIPA) data, also known as stop-data, to be reported to the California Department of Justice. Records regularly reviews and transmits this data to the State.

|                             | TOTAL |
|-----------------------------|-------|
| Case Files/Supplements      | 5,649 |
| Public Records Act Requests | 802   |
| Documents Released          | 4,753 |





## YOUTH SERVICES

The department was awarded grant funds through the California Department of Justice, which funded additional youth education programs. Funds from the grant were used to pay for City decoy operations, and for outreach and education about the dangers of vaping and tobacco use. Officers regularly hosted special lunch events at the Middle Schools to engage with youth and distribute information. In conjunction with the grant, decoy operations were conducted at Rocklin establishments selling tobacco and vape products to check compliance with local, state, and federal laws.

The Rocklin Police Activities League began hosting events to further engage with youth outside of school hours. Events such as the Junior Police Academy, Hiking through Rocklin, CrossFit, dodgeball, and basketball open gym encouraged youth to keep active and foster community with their peers and the Police Department.

In July, two Junior Police Academies were well attended by Placer County 7<sup>th</sup>-9<sup>th</sup> graders. Cadets learned about different police units and aspects of modern policing.



## ROCKLIN UNIFIED



2,237

**TOTAL CALLS**



600

**CALLS FOR  
SERVICE**



1,637

**OFFICER INITIATED  
ACTIVITY**

Calls for service on RUSD campus' decreased 25% and officer initiated activity increased 29%.

## YOUTH SERVICES

## SIERRA COLLEGE



624

**TOTAL CALLS**



64

**CALLS FOR  
SERVICE**



560

**OFFICER INITIATED  
ACTIVITY**

Calls for service on campus increased 64% and officer initiated activity decreased 10%.

# VOLUNTEERS

60 Volunteers performed more than 6,165 hours of service, saving the City \$219,227.

## PROJECT LIFESAVER

Volunteers were instrumental in implementing Project Lifesaver, a new tool to aid in the locating of at-risk missing persons with cognitive disorders.

## VOLUNTEER ACTIVITIES

284

VACATION CHECKS

411

SCHOOL CHECKS

709

PARK CHECKS



Volunteers saved the City of Rocklin **\$219,227** in 2022



# VOLUNTEERS

In addition to their regular duties, volunteers are often called out during emergency events to assist patrol with traffic control, searches, and other needs. This year, volunteers assisted with 20 incidents of roadway traffic control and nine traffic collision responses.

Volunteers were involved in 22 significant major supported events throughout the year including three Emergency Response Team call-outs, multiple school graduations, high school football games, traffic control for special events, assisting patrol with training events, and the department's DUI checkpoints.

**104**

**CHILDREN FINGERPRINTED BY CHILD ID**

**244**

**NEIGHBORHOOD WATCH GROUPS**

**1,896<sub>LBS</sub>**

**DRUG TAKE BACK**

# PROFESSIONAL STANDARDS

The Rocklin Police Department Professional Standards Unit is committed to identifying misconduct that discredits the organization and decreases police legitimacy within the community we serve. We are dedicated to completing thorough and timely investigations in order to ensure that our employees consistently treat all community members and each other with dignity and respect.

10 formal complaints represents less than .01% of the more than 50,834 calls responded to by patrol for the year.

## USE of FORCE

Officers used a Response to Resistance (Use of Force) when making an arrest only 20 times during 2022, which is less than 1.6% of the total 1,215 arrests made.

Of the time Response to Resistance was used, bodily force was used 14 times, Taser was used four times, baton was used once, and K9 was used once.

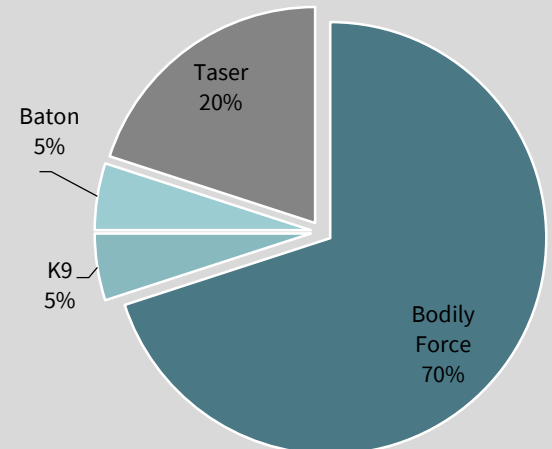
**10**

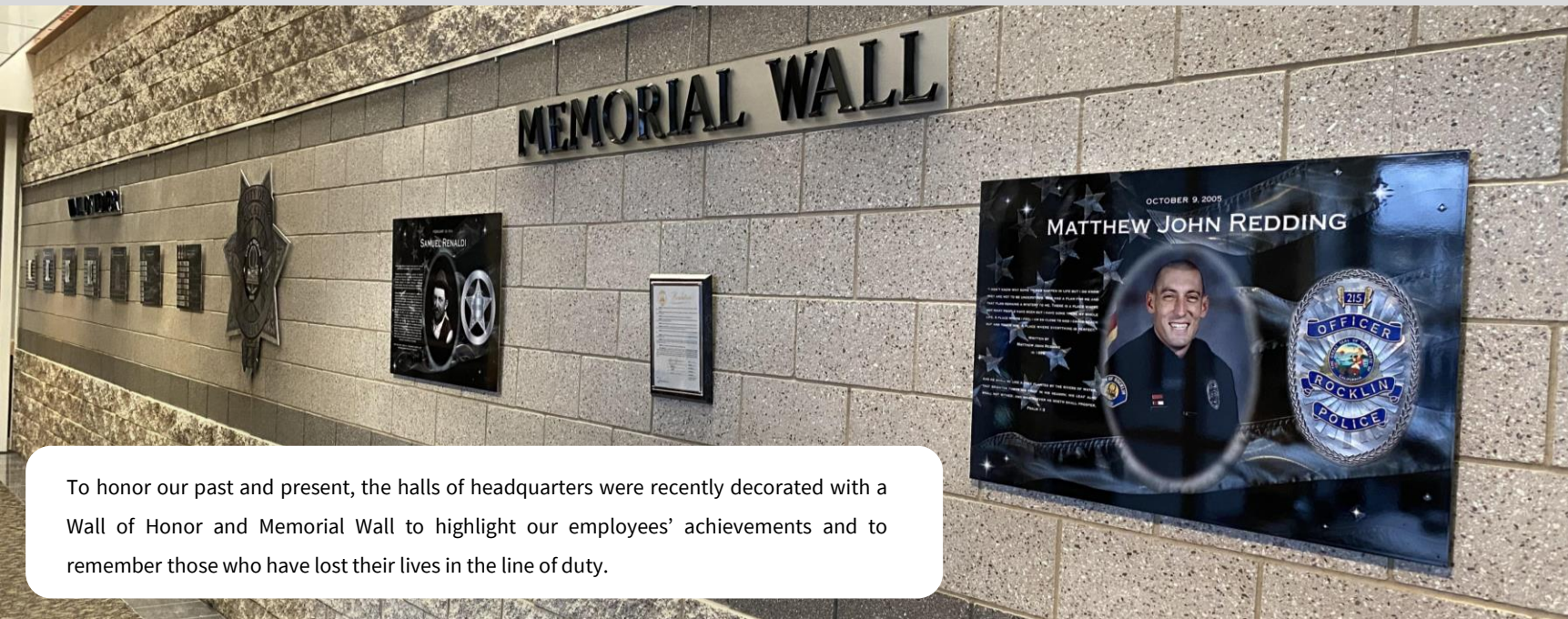
**FORMAL COMPLAINTS**

**20**

**USE OF FORCE INCIDENTS**

**USE of FORCE TYPES**





To honor our past and present, the halls of headquarters were recently decorated with a Wall of Honor and Memorial Wall to highlight our employees' achievements and to remember those who have lost their lives in the line of duty.

# ROCKLIN PD AT A GLANCE



**12**

NEW HIRES

8 OFFICERS  
2 RECORDS CLERKS  
2 DISPATCHERS

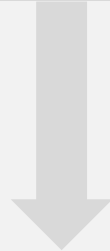
**4,293**

REPORTS  
COMPLETED



**3**

OFFICER RETIREMENTS



OVERALL  
CRIME  
DECREASED

**6%**



**50**

ANIMAL  
CITATIONS

**1,740**

FIRE CALLS



**31,120**

CALLS FOR  
SERVICE



SERVING

**71,663**

RESIDENTS



**78**

SITES CLEARED OF  
DEBRIS



**20**

USE OF FORCE  
INCIDENTS

**64**

OUTREACH  
REFERRALS



**19,714**

OFFICER INITIATED  
ACTIVITY



**654**

FINGERPRINTS  
ANALYZED

TRAFFIC  
COMPLAINTS  
HANDLED

**118**



ARRESTS  
**1,215**



**64**

OFFICERS

**26**

PROFESSIONAL STAFF



**422**

COLLISION  
REPORTS

**4,742**

MEDICAL  
CALLS



VOLUNTEER HOURS

**6,165**



LBS OF NARCOTICS  
SEIZED BY K9S

**140**



DOCUMENTS RELEASED

**4,753**



**3,047**

CITATIONS  
ISSUED



**15.1** CRIME RATE  
PER 1,000

# SOCIAL MEDIA

This year, the police department social media team continued to actively cultivate our social media presence. We regularly post on popular social networking sites such as Facebook, Twitter, Instagram, as well as community based platforms such as Nextdoor and our app USPDPHub. Our following has increased to more than 88,000 followers across all platforms. Many of our posts have created widespread interest and obtained a significant reach. Post reach is important when it comes to critical incidents, such as missing persons, major accidents and assistance with suspect identification.

Whether you are an Academy Graduate or a Lateral Police officer from another agency, we are always looking for high quality potential candidates. We are fortunate that our City draws interest from all over, as people seek out Rocklin because of the community and highly rated schools. You can learn more about our current job openings and what to expect from the recruitment process at [joinrocklinpd.org](http://joinrocklinpd.org)

Instagram  
9,692

USPDHub  
12,174

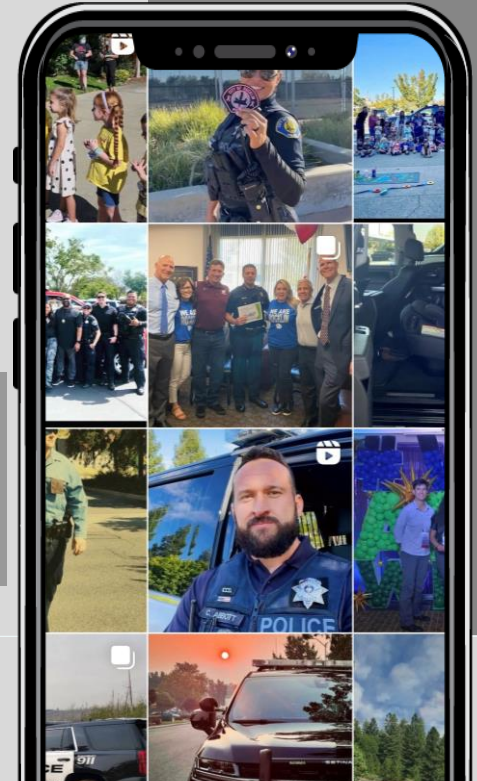
Twitter  
12,657

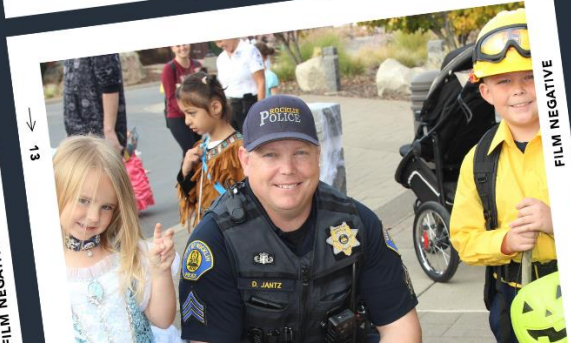
Facebook  
22,676

Nextdoor  
30,939



## FOLLOW US







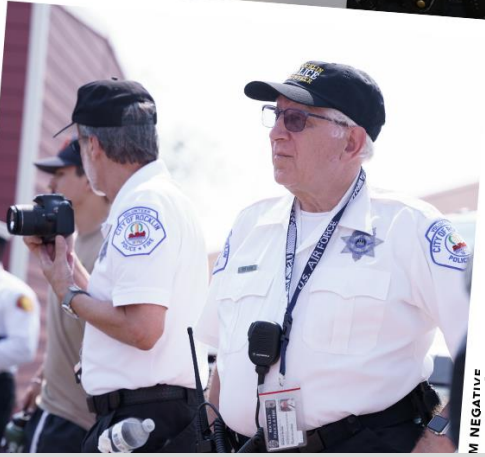
FILM NEGATIVE



FILM NEGATIVE



FILM NEGATIVE



FILM NEGATIVE



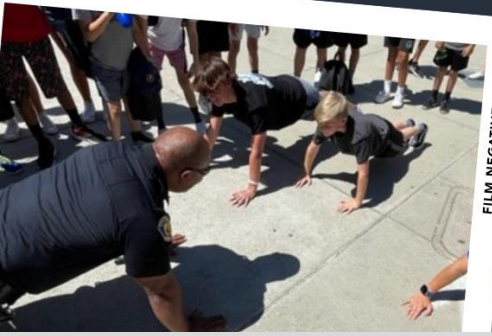
FILM NEGATIVE



FILM NEGATIVE



FILM NEGATIVE



FILM NEGATIVE



# ROCKLIN POLICE DEPARTMENT



4080 ROCKLIN RD  
ROCKLIN, CA 95677



EMERGENCY: 911  
NON-EMERGENCY: (916) 625-5400



POLICE@ROCKLIN.CA.US

[WWW.ROCKLINPD.COM](http://WWW.ROCKLINPD.COM)  
[WWW.JOINROCKLINPD.ORG](http://WWW.JOINROCKLINPD.ORG)



Cover photo: C. Abbott, Officer  
Report prepared by: S. Baird, Crime Analyst



## City Council Staff Report

**Subject:** ARPA Funding Update

**Date:** March 28, 2023

**Submitted By:** Elizabeth Sorg, Management Analyst

**Department:** City Manager's Office

**Recommendation:**

Receive a Presentation about the Status of ARPA-funded Programs and Provide Direction to Staff about Funding the Restore Rocklin Program.

**Background:**

In 2021, the City of Rocklin received \$7,039,025 from the federal government as part of the American Rescue Plan Act State and Local Fiscal Recovery Funds (ARPA). Funding can only be spent in four allowable categories:

1. Replacing public sector lost revenue
2. Responding to the public health and negative economic impacts of COVID-19
3. Providing premium pay to essential workers
4. Investing in water, sewer, and broadband infrastructure

At the September 21, 2021 City Council meeting, staff recommended and City Council approved an ARPA project list that included spending for community support, internal support, and revenue loss. To date, the City has allocated:

- \$1,750,000 in direct grants for small businesses and hotels, restaurants, and nonprofits who have lost revenue due to COVID-19;
- \$300,000 to the Growth Factory for small business technical assistance and coaching;
- \$215,000 to Lighthouse Counseling to open a Rocklin counseling center;
- \$200,000 for Sierra College mental health and career and technical education support;
- \$115,000 to Rocklin Unified School District for student mental health assistance;
- \$100,000 to the Rocklin Chamber of Commerce to offset revenue lost due to COVID-19;
- \$45,000 to the Child Advocates of Placer County to support the Court Appointed Special Advocates program and Family Mentors programs for Rocklin youth;
- \$30,000 to the Placer County Law Enforcement Chaplaincy to offset revenue lost due to COVID-19; and
- \$1,375,000 for City support, including HVAC upgrades, facility improvements, and

technology improvements all to reduce the spread of COVID-19 in public facilities.

The City Council has also conceptually approved the remainder of un-allocated funds to be used to offset the City's loss of revenue due to COVID-19. The current allocated Revenue Loss funds total \$2,674,935.

#### Restore Rocklin

At the June 28, 2022 City Council meeting, the City Council approved the expenditure of \$1,750,000 in direct grants to small business and nonprofits to offset a loss in revenue due to COVID-19. The City used third-party administrators, the Sierra Business Council and Placer Community Foundation, to accept and review applications for funding. The Restore Rocklin program opened on January 24 and closed on February 24. After the application closed, the third party administrators reviewed all applications for completeness and compliance with ARPA guidelines. The process resulting in a strong showing of applications. All three programs (small business and hotel, restaurant, and nonprofit) are oversubscribed, meaning the amount of funding requested exceeds the original amount allocated.

| Program                          | Applicants                                      | Amount Allocated      | Amount Requested    |
|----------------------------------|-------------------------------------------------|-----------------------|---------------------|
| Small Business and Hotel Support | Total applied: 80<br>Approved: 51<br>Denied: 29 | \$750,000             | \$1,104,585         |
| Restaurants                      | Total applied: 38<br>Approved: 26<br>Denied: 12 | \$500,000             | \$602,831           |
| Nonprofits                       | Total applied: 37<br>Approved: 26<br>Denied: 11 | \$500,000             | \$526,996           |
| Total                            |                                                 | \$1,750,000           | \$2,234,412         |
|                                  |                                                 | Additional admin fees | \$25,570.40         |
|                                  |                                                 | <b>Funding Gap</b>    | <b>\$509,982.40</b> |

Staff are seeking direction from City Council about how to proceed with funding the eligible grant applications for the Restore Rocklin program. Staff are proposing three options:

1. Fund all eligible applicants at a prorated amount. All eligible applicants would be funded at a percentage of their request. Based on the available funds, each request would be funded at 75%. This would not increase the total amount of funding allocated, and all eligible applicants would receive a portion of the funding that they requested.
2. Fund all eligible applicants using the previously allocated funding and use additional funding from the Revenue Loss allocation to fund the over subscription.
3. Fund all eligible applications through a random lottery system. In this scenario, applicants will be selected using a random number generator and the total amounts of funding will remain the same.

**Fiscal Impact:**

There is no impact to the general fund. ARPA funds are budgeted and managed in Fund 266. If additional ARPA funding is allocated to any programs, staff will return to City Council with a budget amendment resolution.



## City Council Staff Report

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**Subject:** 2022 General Plan Annual Progress Report

**Date:** March 28, 2023

**Submitted By:** David Mohlenbrok, Director of Community Development  
Laura Webster, Director of Long Range Planning and Housing

**Department:** Community Development

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**Recommendation:**

Adopt a Resolution of the City Council of the City of Rocklin Accepting the 2022 General Plan Annual Progress Report and Directing Submittal to the State Department of Housing and Community Development (HCD) and the State Office of Planning and Research (OPR).

**Background:**

The 2022 General Plan Annual Progress Report provides the staff report for this item, and is included as Exhibit A to the Resolution (Attachment 2) in order to maintain the integrity of the formatting.

**Fiscal Impact:**

There is no fiscal impact. This is a mandatory report required to be completed annually by the State of California.

**ATTACHMENTS:**

1. [Resolution](#)
2. [Resolution Exhibit A - General Plan 2022 Annual Progress Report](#)

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN  
ACCEPTING THE 2022 GENERAL PLAN ANNUAL PROGRESS REPORT AND DIRECTING  
SUBMITTAL TO THE STATE DEPARTMENT OF HOUSING AND COMMUNITY  
DEVELOPMENT (HCD) AND THE STATE OFFICE OF PLANNING AND RESEARCH (OPR)

The City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin accepts the 2022 General Plan Annual Progress Report and directs the submittal to the State Department of Housing and Community Development (HCD) and the State Office of Planning and Research (OPR) attached hereto as Exhibit "A" by this reference incorporated herein.

PASSED AND ADOPTED this 28<sup>th</sup> day of March, 2023, by the following vote:

AYES: Councilmembers:

NOES: Councilmembers:

ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

---

Ken Broadway, Mayor

ATTEST:

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Hope Ithurnburn, City Clerk

Exhibit A

2022 General Plan Annual Progress Report Memorandum  
Presented to the Rocklin City Council on March 28, 2023



# City Council Staff Report

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Subject: 2022 General Plan Annual Progress Report

Date: March 28, 2023

Submitted by: David Mohlenbrok, Director of Community Development  
Laura Webster, Director of Long Range Planning & Housing

Department: Community Development

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## Recommendation:

Adopt a Resolution of the City Council of the City of Rocklin Accepting the 2022 General Plan Annual Progress Report and Directing Submittal to the State Department of Housing and Community Development (HCD) and the State Office of Planning and Research (OPR).

## Background:

Government Code Section 65400 requires the submittal of Annual Progress Reports regarding the implementation of a City's Housing Element. Additional legislation requires that an Annual Report also be prepared regarding the status of the General Plan and implementation efforts completed on a Fiscal Year or Calendar Year basis. This General Plan Annual Progress Report (GP APR) has been prepared to describe activities conducted in Calendar Year 2022 and must be presented to the City's legislative body and then submitted to the State Department of Housing and Community Development (HCD) and the Governor's Office of Planning and Research (OPR) by April 1, 2023. This memo/GP APR will be included as Exhibit A to the attached Resolution and submitted to those State entities.

## Overall General Plan Status

The most recent comprehensive update of the City of Rocklin's General Plan occurred in 2012. Notable amendments were completed in 2015 to address Urban Levels of Flood Protection (consistent with the Central Valley Flood Protection Act) and to incorporate the 2016 Placer County Local Multi Hazard Mitigation Plan into the Rocklin Community Safety Element by reference.

In 2021, the City also completed a mandatory update to the City's Housing Element. Concurrent with the update of the Housing Element, a comprehensive update to the City's General Plan Community Safety Element and associated Action Plan was also conducted, to address climate adaptation and resiliency strategies and identify new information relating to flood and fire hazards in compliance with State law and recent legislation.

#### Local Hazard Mitigation Plan (LHMP) – General Plan Amendment

In November 2021, the Placer County Board of Supervisors adopted a county-wide Local Hazard Mitigation Plan (LHMP) Update. Subsequent to County adoption of the document, on April 26, 2022, the City of Rocklin also processed a General Plan Amendment to incorporate the newly adopted 2021 county-wide LHMP by reference into the recently updated Rocklin Community Safety Element.

#### 2022 Land Use Element – General Plan Amendments (GPAs)

*5<sup>th</sup> Cycle Housing Element Unaccommodated Need and Housing Element General Plan*

*Amendment/Rezone Program Implementation – Rocklin Corporate Center and West Oaks RHNA GPA /Rezone Sites*

Although General Plan Amendments were completed for a number of the sites identified in the City's 5<sup>th</sup> Cycle 2013-2021 Housing Element and an interim ordinance was adopted giving land use priority to the General Plan designations, full rezoning of a number of the sites did not occur. This was primarily due to the inability to reach consensus on the details of what was to be incorporated into a Mixed Use zone district. As a result, HCD determined that the City had an unaccommodated need of 481 units from the 5<sup>th</sup> Cycle Housing Element to be carried over and addressed as part of the 6<sup>th</sup> Cycle 2021-2029 Housing Element Update.

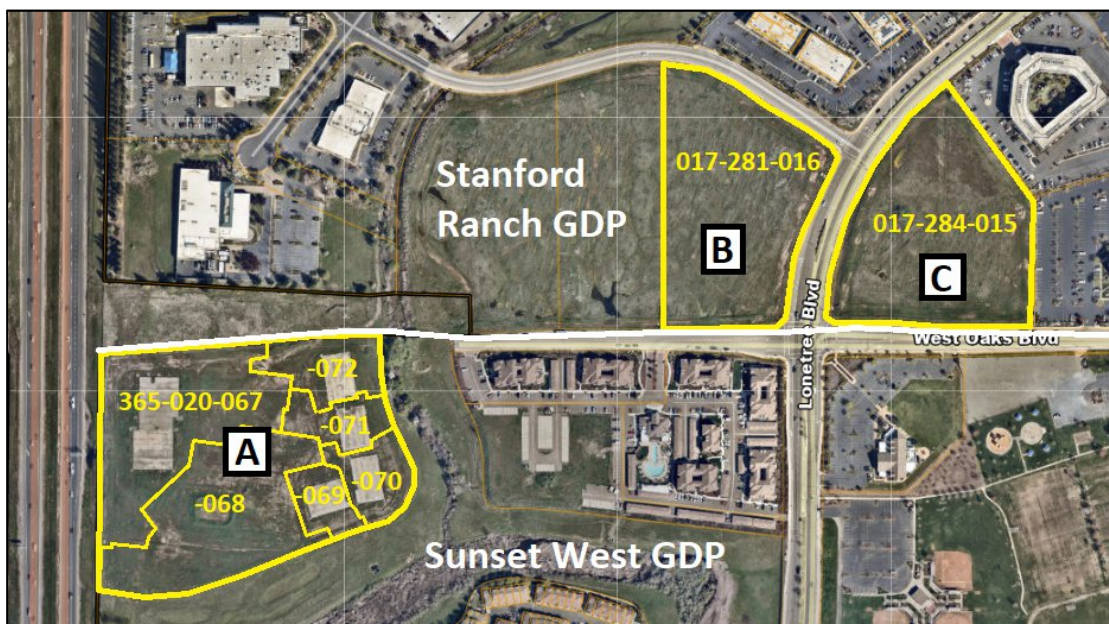
To address the unaccommodated need of 481 units from the 5<sup>th</sup> Cycle Housing Element, the City processed a General Plan Amendment (i.e., Rocklin Corporate Center GPA and Rezone) approved on January 26, 2021 which re-designated two sites totaling 5.1 and 6.5 gross acres (5.1 and 4.0 acres net) from Light Industrial (LI) to High Density Residential (HDR) and rezoned the sites from Planned Development, Industrial Park (PD-IP) to Planned Development Residential, Minimum 24+ units per acre (PD-24+). This re-designation and rezone was projected to accommodate a minimum of 218 units between the two sites. These properties are also identified as Sites 9 and 10 in the City's Lower Category Available Sites Inventory associated with the 6<sup>th</sup> Cycle 2021-2029 Housing Element.

Subsequent to their action on the Rocklin Corporate Center GPA and Rezone, the City Council approved a Design Review application for the Lonetree Apartments Phase 1 project on June 14, 2022. The project encompassed both sites and consisted of 237 total units. All of the units will be market rate. The level of affordability is not yet known as rental rates have not currently been established, however, there are no requirements for any of the units to be affordable.

The location of the Rocklin Corporate Center GPA/Rezone – Lonetree Apartments Phase 1 Site is shown below.



In 2022, the City also processed a General Plan Amendment/Rezone (i.e., West Oaks RHNA GPA and Rezone) on multiple parcels referred to as Areas A, B, and C.



Area A consisted of multiple parcels totaling 12.5 acres that were re-designated from Business Professional/Commercial/Light Industrial (BP/COMM/LI) to HDR with a corresponding rezone from Planned Development, Business Park/Commercial/Light Industrial (PD-BP/C/LI) to Planned Development Residential, Minimum 24+ Units Per Acre (PD-R24+). Areas B and C consisted of two parcels totaling 6.55 and 6.74 acres respectively. These sites were re-designated from LI to Mixed Use (MU) and rezoned from PD-IP to Mixed Use, Minimum 24+ units per acre (PD-MU-24+). Areas A, B, and C are also identified as Sites 18, 19 and 25 in the City's Lower Category Available Sites Inventory associated with the 6<sup>th</sup> Cycle 2021-2029 Housing Element.

In total, the West Oaks RHNA GPA and Rezone will accommodate a minimum of 618 units between Areas A through C if using a 24 unit per acre assumption, or 773 units if the Housing Element assumption of 30 units per acre is utilized. The West Oaks RHNA GPA and Rezone project was approved by the City Council on April 26, 2022. The Rocklin Corporate Center GPA and Rezone and West Oaks RHNA GPA and Rezone actions combined provide the land use designation changes and rezoning necessary to accommodate a minimum of 836 potential units. The City is working toward completion of the remaining land use designation changes and rezones for Housing Element implementation within the mandated timeline.

#### *Estia Residential General Plan Land Use Amendment*

The Estia Residential Project, approved by the City Council on September 27, 2022, involved a General Plan Amendment to convert a 20-acre portion of a 30-acre site in North West Rocklin located on the east side of State Route 65 at the intersection of University Avenue and Sunset Boulevard from Business Professional to Medium High Density Residential (MHDR). The MHDR designation allows for a density range of 8.5 to 15.4 dwelling units per acre. The project that accompanied the General Plan Amendment request includes a 181 unit single-story, attached and detached, for-rent multi-family development with 10% of the units as affordable to lower income households at no more than 80% Area Median Income (AMI). The units project wide are to be configured in single-stand alone and duplex layouts. The rental product will provide new housing opportunities, some affordability and additional diversity in the City's housing stock.



## College Park General Plan Land Use Amendment



The College Park project, approved by the City Council on December 6, 2022, consists of two areas shown above, known as the North Village and South Village. The project involved a General Plan Amendment to change the land use designation of 72.5 acres within the North Village Area from Mixed Use (MU) to 15.4 acres of Recreation-Conservation (R-C), 5.2 acres of Retail Commercial (RC), 16.2 acres of High Density Residential (HDR), 29.6 acres of Medium High Density Residential (MHDR), and 6.1 acres of Medium Density Residential (MDR). Within the South Village Area the project changed the land use designation of 35.8 acres from 27.9 acres of Mixed Use (MU) and 7.9 acres of Recreation-Conservation (R-C) to 9.0 acres of Business Professional/Commercial (BP/C), 4.8 acres of Medium Density Residential (MDR), 7.3 acres of High-Density Residential (HDR), and 14.7 acres of Recreation-Conservation (R-C).

Within the North Village, the project includes 317 single-family residential units (263 detached homes and 54 townhomes), 108 condominium units, as well as a clubhouse, 2 park lots, streets, and multiple lots for open space and landscaping areas. The project also designated the remainder areas for Recreation/Conservation and for future Retail Commercial and High Density Residential development.

Within the South Village, the project includes 25 single-family residential homes and associated streets and landscaping, and it designated the remainder areas for Recreation/Conservation and for future Business Professional/Commercial and High Density Residential development.

The 7.3 acre HDR site in the College Park South Village Area is identified as Site 4 in the City's Lower Category Available Sites Inventory associated with the 6<sup>th</sup> Cycle 2021-2029 Housing Element. A concurrent application, known as Sierra College Senior Apartments, also received Design Review approval by the City Council on December 6, 2022, for a 100% senior affordable project at 80% AMI or less on that site.

### *Whitney Ranch Unit 49*

The Whitney Ranch Unit 49 project in North West Rocklin is located on the northeast corner of Whitney Ranch Parkway and Songbird Drive. The 19.9 acre site was designated as Public-Quasi Public (PQP) and originally intended as a future middle school site. However, the Rocklin Unified School District Board determined that an additional middle school or other campus is not needed to support build out of North West Rocklin and the City, so they declared the land as surplus. On December 13, 2022, the City Council approved a General Plan Amendment request from the District to redesignate the site from PQP to Low Density Residential (LDR) to accommodate a single family residential subdivision that would be compatible with surrounding existing neighborhoods.



### Circulation Element and Action Plan Amendment – Level of Service Exceptions

Although traffic Level of Service (LOS) is no longer a metric utilized for the evaluation of traffic related impacts in the California Environmental Quality Act (CEQA), and instead (pursuant to SB 743) the analysis of traffic related impacts for CEQA purposes has been changed to a new metric called Vehicle Miles Traveled (VMT), like other local agencies throughout the state, the City of Rocklin still has a Level of Service policy in its General Plan Circulation Element that is taken into consideration apart from the CEQA process.

In summary, the City of Rocklin's existing LOS policy (C-10) states that LOS C is the adopted standard for signalized intersections throughout the community during the PM peak hour. The policy and the associated Action Step (CA-2) also provide for City Council approval of exceptions to LOS C in instances where the LOS C standard cannot be met due to regional traffic influences and/or the infeasibility of improvements required to achieve that standard.

Subsequent to adoption of the City of Rocklin's 2012 Circulation Element, significant increases in land use density and intensity assumptions have occurred outside of, but adjacent to, the City of Rocklin through recent land use amendments adopted by Placer County (2019) in conjunction with new specific plans for the Sunset Area and Placer Ranch in the vicinity of Rocklin (both west of State Route 65). Increases in near term and cumulative traffic volumes are also expected in the Sierra College Boulevard and Rocklin Road corridors due in part to the approval of the Sierra College Master Plan (2017), which would facilitate enrollment at the College over time to increase from 15,000 to 22,500 students. The Town of Loomis' (2020 and revised 2022) approval of a new Costco on Sierra College Boulevard, between Brace Road and Granite Drive, has also substantially increased expected trip generation in that corridor.

In addition to the newly anticipated increases in regional growth and associated increases in traffic volumes described above, delays in the establishment of a regional funding source to assist with improvements needed along State Route 65 and at the Rocklin Road/I-80 Interchange has in part led to delays in implementation of those improvements.

In 2022, traffic impact analysis documents associated with the Estia Residential Project located on University Avenue near Sunset Boulevard in North West Rocklin and the College Park project in Southeast Rocklin (located in the Rocklin Road and Sierra College Boulevard corridors) identified a number of locations where the City's LOS C policy is and/or was projected to be exceeded. As a component of the project approvals and pursuant to Action Step CA-2, the City Council concurrently approved exceptions to the LOS Policy for those specific locations because the projected increases in traffic were coming in part from regional influences outside of the City's control. In several cases, exceedances of the City's LOS C policy were projected to occur with or without the Estia and College Park projects.

#### Noise Element Implementation

Although not specifically required as part of the City's Noise Element implementation, the City is continuing to consider the appropriateness of a Noise Ordinance to address construction noise hours of operation identified in the Noise Element Action Plan and other potential noise-related nuisances. Staff is in the process of drafting such a document and anticipates bringing it forward for City Council discussion and potential adoption in 2023.

#### Fiscal Impact:

None. This is a mandatory report required by the State of California to be completed on an annual basis.



## City Council Staff Report

**Subject:** 2022 Housing Element Annual Progress Report (APR) and 2021-2022 Housing Successor Agency Annual Report

**Date:** March 28, 2023

**Submitted By:** David Mohlenbrok, Director of Community Development  
Laura Webster, Director of Long Range Planning and Housing  
Leslie Woodman, Housing Specialist

**Department:** Community Development

**Recommendation:**

Adopt a Resolution of the City Council of the City of Rocklin Accepting the 2022 Housing Element Annual Progress Report / 2021-2022 Housing Successor Report and Directing the Submittal to the State Department of Housing and Community Development (HCD) and the State Office of Planning and Research (OPR).

**Background:**

State law requires the City of Rocklin to submit an annual Housing Element Progress Report to the State Department of Housing and Community Development (HCD) and the State Office of Planning and Research (OPR). This report is due to the State each year by April 1st. The progress report (Exhibit A to the Resolution) identifies how the City is meeting its share of the regional housing needs identified in the City's 2021-2029 Housing Element. Also attached to the progress report is the fiscal year (FY) 2021-2022 Housing Successor to Redevelopment Agency Annual Report, per reporting requirements of Senate Bill 341 (California Health and Safety Code Section 34176.1(f)).

Government Code Section 65400 requires preparation of an Annual Progress Report (APR) on the status of the Housing Element of the General Plan and its progress in its implementation. The attached report shows the number of building permits issued in the reporting period associated with calendar year 2022 for residential units which were affordable to various Lower, Moderate and Above Moderate Income categories as well as the implementation status of the housing programs contained in the 2021-2029 Housing Element. This is the 6th Cycle Housing Element and the timeframe covered in the 2022 APR is from January 1, 2022 to December 31, 2022.

Table A-2, as formatted by the State, contains a large number of columns and rows making it difficult to print a legible version. However, the online staff report packet contains a pdf version of the document with zoom in capability. Members of the public without electronic devices may

contact Housing Division Staff at (916) 625-5592 to make arrangements to view the table in person at City offices.

The following discussion provides a summary of the information contained within the APR specific to total housing units, affordability determinations, accessory dwelling units (ADUs) and the different affordability levels of the City's housing production.

#### Total Housing Units

Housing activity continues to remain strong in calendar year 2022 with a total of 224 residential building permits issued during the reporting period for a total of 559 units. It should be noted that a single building permit associated with each multi-family building typically contains multiple units, hence the discrepancy between total building permits and total units. Although the state requests information on demolished units, only permits issued for new construction count toward the City's production of housing and its progress toward meeting Regional Housing Needs Allocation (RHNA) requirements.

Building Permits Issued in the 6th cycle portion of Calendar Year 2022 consisted of 559 total units (192 Single-Family units, 351 Multi-Family units and 16 ADUs).

#### Affordability Determinations

The affordability of each unit in this report was determined based upon marketing data, actual purchase prices associated with for sale products (when available), square footages of similar products when affordability was known for one and could not otherwise be obtained for other similar units, and phone interviews or other correspondence with land owners to determine rental rates for ADUs.

#### ADUs

The owners of 3 of the accessory dwelling units that were constructed in the 2022 reporting period do not intend to rent the units out. The owners plan to have them occupied by family members; therefore staff assigned them to the Extremely Low Income category as occupants in those living units will not be paying any rent. Rent could not be determined for the remaining 13 ADUs since they are part of new single-family units being constructed and owners have not been identified. Since the City cannot document the rental rates or the "no rent" status of those units, we must default and assign them to the Above Moderate category.

#### Extremely Low, Very Low and Low Income Units

A total of 12 building permits were issued for the 288 unit multi-family project, Terracina at Whitney Ranch. Each permit consisted of 24 units. The affordability requirements established in the Affordable Housing Regulatory Agreement for the project consisted of 29 units to be occupied by Extremely Low Income Households, 60 units to be occupied by Very Low Income Households, 196 units to be occupied by Low Income Households, and 3 employee units. The 3 employee units were assigned to the Above Moderate Income category.

#### Moderate Income Units

In the 2022 reporting period, building permits were issued for 32 units within the Moderate Income category. These were located within the Stanford Terrace project.

#### Above Moderate Income Units

Production of single-family homes in the Above Moderate category also remains strong with 192 building permits issued for units in that income category.

The remainder of the permits issued in the Above Moderate category were multi-family units in the Stanford Terrace project (31 units) and as previously mentioned, 3 employee units in the Terracina at Whitney Ranch project and 13 ADUs.

In summary, the City has made good progress toward meeting its Regional Housing Need Allocations (RHNA) in the 2022 reporting period with units constructed in the Extremely Low, Very Low, Low, Moderate and Above Moderate categories. It should be noted that even though some units have been constructed as Extremely Low, the state issued Excel tables within which staff must enter all data automatically identifies them as Very Low in the upper portion of Table B. However, they are captured in a subsection below the primary table. The City continually evaluates the inventory of available sites at densities providing the potential for lower income units to be constructed.

This report complies with the submission requirements of State law and is consistent with the guidelines set forth by HCD, including a detailed assessment of Housing Program Accomplishments in Table D of Exhibit A, and the 2021-2022 Housing Successor Agency Annual Report, which is attached as Exhibit B to the resolution. All tables which have been left blank in Exhibit A are required to be included in the report, but without any data in instances where there was no activity to report during the reporting period or if the tables involve topics which do not apply to the City.

**Fiscal Impact:**

None. This is a mandatory report required to be completed on an annual basis by the state of California.

**ATTACHMENTS:**

1. Resolution
2. Resolution Exhibit A - Table A
3. Resolution Exhibit A - Table A2
4. Resolution Exhibit A - Table B
5. Resolution Exhibit A - Table C
6. Resolution Exhibit A - Table D
7. Resolution Exhibit A - Table E
8. Resolution Exhibit A - Table F
9. Resolution Exhibit A - Table F2
10. Resolution Exhibit A - Table G
11. Resolution Exhibit A - Table H
12. Resolution Exhibit A - Table I
13. Resolution Exhibit A - Table J
14. Resolution Exhibit A - LEAP Reporting
15. Resolution Exhibit A - Summary
16. Resolution Exhibit B - 21-22 Housing Successor Report

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN  
ACCEPTING THE 2022 HOUSING ELEMENT ANNUAL PROGRESS REPORT / 2021-2022  
HOUSING SUCCESSOR REPORT AND DIRECTING SUBMITTAL TO THE STATE DEPARTMENT  
OF HOUSING AND COMMUNITY DEVELOPMENT (HCD) AND THE STATE OFFICE OF  
PLANNING AND RESEARCH (OPR)

The City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin accepts the 2022 Housing Element Annual Progress Report / 2021-2022 Housing Successor Report and directs the submittal to the State Department of Housing and Community Development (HCD) and the State Office of Planning and Research (OPR) attached hereto as Exhibits “A” and “B”, by this reference incorporated herein.

PASSED AND ADOPTED this 28<sup>th</sup> day of March, 2023, by the following vote:

AYES: Councilmembers:

NOES: Councilmembers:

ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

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Ken Broadway, Mayor

ATTEST:

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Hope Ithurburn, City Clerk

## Exhibit A

### Housing Element Annual Progress Report 2022

Exhibit B  
Housing Successor Annual Report  
Low and Moderate Income Housing Asset Fund  
For Fiscal Year 2021-2022



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Note: "\*" indicates an optional field

Cells in gray contain auto-calculation formulas

103

| Table A2<br>Annual Building Activity Report Summary - New Construction, Entitled, Permits and Completed Units |             |                |              |                                |                              |                                                           |                            |                                |                       |                           |                                 |                                |                       |                              |                                    |                                                      |                                |                            |                           |                                 |                                |                       |                                                               |                                    |                                 |                                |                            |            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| Project Identifier                                                                                            |             |                |              | Unit Types                     |                              | Affordability by Household Income - Completed Entitlement |                            |                                |                       |                           |                                 |                                |                       |                              |                                    | Affordability by Household Income - Building Permits |                                |                            |                           |                                 |                                |                       | Affordability by Household Income - Certificates of Occupancy |                                    |                                 |                                |                            |                           |                                 |                                |                       |                                                                                       | Streamlining                                                                 |                                                  | Infill                                                     |                    | Housing with Financial Assistance and/or Deed Restrictions                        |                                                                | Housing without Financial Assistance or Deed Restrictions                                                                                                |                                                                                              | Term of Affordability or Deed Restriction |                               | Demolished/Destroyed Units                                                                                                              |                                                                                                                                                       | Density Bonus                                                                                                             |                                                                                                                                        |       |  | Notes |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 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                  | 45                                                                                                                                                    | 46                                                                                                                        | 47                                                                                                                                     |       |  |       |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 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| Project APN                                                                                                   | Current APN | Street Address | Project Name | Local Jurisdiction Tracking ID | Use Category (SJA 45-ADU-18) | Tenure                                                    | Very Low Income Restricted | Very Low Income Non-Restricted | Low Income Restricted | Low Income Non-Restricted | Moderate Income Deed Restricted | Moderate Income Non-Restricted | Above Moderate Income | Building Permits Date Issued | # of Units Issued Building Permits | Very Low Income Deed Restricted                      | Very Low Income Non-Restricted | Low Income Deed Restricted | Low Income Non-Restricted | Moderate Income Deed Restricted | Moderate Income Non-Restricted | Above Moderate Income | Building Permits Date Issued                                  | # of Units Issued Building Permits | Very Low Income Deed Restricted | Very Low Income Non-Restricted | Low Income Deed Restricted | Low Income Non-Restricted | Moderate Income Deed Restricted | Moderate Income Non-Restricted | Above Moderate Income | Certificate of Occupancy or other form of occupancy after issuance of building permit | # of Units Issued Certificate of Occupancy after issuance of building permit | How many of the units were Extremely Low Income? | Was Project Expedited using SJA 45-ADU-18? (SJA 45-ADU-18) | Was SJA 45-ADU-18? | Assistance Programs for each Development (may select multiple - see instructions) | Deed Restriction Type (may select multiple - see instructions) | For units affordable without financial assistance or deed restrictions, explain how the locality determined the units were affordable (see instructions) | Term of Affordability or Deed Restriction (years) (if affordable in perpetuity, enter "000") | Number of Demolished or Destroyed Units   | Demolished or Destroyed Units | Total Density Bonus Applied to the Project (Percentage Increase in Total Maximum Units in Total Maximum Allowed Units - 25% Floor Area) | Number of Other Incentives, Concessions, Waivers, or Other Modifications Given to the Project (Including Parking Incentives or Parking Modifications) | List the incentives, concessions, waivers, or other modifications (including parking incentives or parking modifications) | Did the project receive a reduction in number of parking spaces? (If yes, provide parking standard) (If yes, provide parking standard) | Notes |  |       |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| Table A2<br>Annual Building Activity Report Summary - New Construction, Entitled, Permits and Completed Units |             |                |                             |                                |                              |                                                           |                                 |                                 |                            |                            |                                 |                                 |                       |                  |                   |                                                      |                                 |                            |                            |                                 |                                 |                       |                              |                                                               |                                 |                                 |                            |                            |                                 |                                 |                       |                                                                                    |                   |                                                  |                          |                     |                                                                                   |                                                                |                                                                                                                                                          |                                                                                              |                                        |                                            |                                                                                                                                                   |                                                                                                                                                    |                                                                                   |                                                |       |  |  |       |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| Project Identifier                                                                                            |             |                |                             | Unit Types                     |                              | Affordability by Household Income - Completed Entitlement |                                 |                                 |                            |                            |                                 |                                 |                       |                  |                   | Affordability by Household Income - Building Permits |                                 |                            |                            |                                 |                                 |                       |                              | Affordability by Household Income - Certificates of Occupancy |                                 |                                 |                            |                            |                                 |                                 |                       |                                                                                    |                   | Streamlining                                     |                          | Infill              |                                                                                   | Housing with Financial Assistance and/or Deed Restrictions     |                                                                                                                                                          | Housing without Financial Assistance or Deed Restrictions                                    |                                        | Term of Affordability or Deed Restrictions |                                                                                                                                                   | Demolished/Destroyed Units                                                                                                                         |                                                                                   | Density Bonus                                  |       |  |  | Notes |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 1                                                                                                             | 2           | 3              | 4                           | 5                              | 6                            | 7                                                         | 8                               | 9                               | 10                         | 11                         | 12                              | 13                              | 14                    | 15               | 16                | 17                                                   | 18                              | 19                         | 20                         | 21                              | 22                              | 23                    | 24                           | 25                                                            | 26                              | 27                              | 28                         | 29                         | 30                              | 31                              | 32                    | 33                                                                                 | 34                | 35                                               | 36                       | 37                  | 38                                                                                | 39                                                             | 40                                                                                                                                                       | 41                                                                                           | 42                                     | 43                                         | 44                                                                                                                                                | 45                                                                                                                                                 | 46                                                                                | 47                                             |       |  |  |       |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Project APN                                                                                                   | Current APN | Street Address | Project Name                | Local Jurisdiction Tracking ID | Use Category (SIC 45-ADU/MS) | Residential Density                                       | Very Low Income Deed Restricted | Very Low Income Rent Restricted | Low Income Deed Restricted | Low Income Rent Restricted | Moderate Income Deed Restricted | Moderate Income Rent Restricted | Above Moderate Income | Entitlement Type | # of Units Issued | Very Low Income Deed Restricted                      | Very Low Income Rent Restricted | Low Income Deed Restricted | Low Income Rent Restricted | Moderate Income Deed Restricted | Moderate Income Rent Restricted | Above Moderate Income | Building Permits Date Issued | # of Units Issued                                             | Very Low Income Deed Restricted | Very Low Income Rent Restricted | Low Income Deed Restricted | Low Income Rent Restricted | Moderate Income Deed Restricted | Moderate Income Rent Restricted | Above Moderate Income | Certificate of Occupancy or other form of occupancy (see instructions) Date Issued | # of Units Issued | How many of the units were Extremely Low Income? | Was Project Streamlined? | Was Project Infill? | Assistance Programs for Each Development (may select multiple - see instructions) | Deed Restriction Type (may select multiple - see instructions) | For units affordable without financial assistance or deed restrictions, explain how the locality determined the units were affordable (see instructions) | Term of Affordability or Deed Restriction (years) (if affordable in perpetuity, enter "OOO") | Number of Demolished or Impaired Units | Demolished or Destroyed Units              | Total Density Bonus Applied to the Project (Percentage Increase in Total Allowable Units in Total Maximum Allowable Residential Gross Floor Area) | Number of Other Incentives, Concessions, Waivers, or Other Modifications Given to the Project (Including Parking Waivers or Parking Modifications) | List the incentives, waivers, and modifications (Including Parking Modifications) | Did the project receive a parking study? (Y/N) | Notes |  |  |       |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 00000000                                                                                                      | 00000000    | 00000000 AVE   | 00000000 AT WESTVIEW BRANCH | 0000-0000                      |                              |                                                           |                                 |                                 |                            |                            |                                 |                                 |                       |                  |                   |                                                      |                                 |                            |                            |                                 |                                 |                       |                              |                                                               |                                 |                                 |                            |                            |                                 |                                 |                       |                                                                                    |                   |                                                  |                          |                     |                                                                                   |                                                                |                                                                                                                                                          |                                                                                              |                                        |                                            |                                                                                                                                                   |                                                                                                                                                    |                                                                                   |                                                |       |  |  |       |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

[illegible]

|                 |           |                         |
|-----------------|-----------|-------------------------|
| Jurisdiction    | Rocklin   |                         |
| Reporting Year  | 2022      | (Jan. 1 - Dec. 31)      |
| Planning Period | 6th Cycle | 05/15/2021 - 05/15/2029 |

ANNUAL ELEMENT PROGRESS REPORT  
Housing Element Implementation

This table is auto-populated once you enter your jurisdiction name and current year data. Past year information comes from previous APRs.  
Please contact HCD if your data is different than the material supplied here

| Table B                                                                                                   |                     |                                 |                                           |      |      |      |      |      |      |      |      |      |                                 |                                      |
|-----------------------------------------------------------------------------------------------------------|---------------------|---------------------------------|-------------------------------------------|------|------|------|------|------|------|------|------|------|---------------------------------|--------------------------------------|
| Regional Housing Needs Allocation Progress                                                                |                     |                                 |                                           |      |      |      |      |      |      |      |      |      |                                 |                                      |
| Permitted Units Issued by Affordability                                                                   |                     |                                 |                                           |      |      |      |      |      |      |      |      |      |                                 |                                      |
|                                                                                                           |                     | 1                               |                                           | 2    |      |      |      |      |      |      |      |      | 3                               | 4                                    |
| Income Level                                                                                              |                     | RHNA Allocation by Income Level | Projection Period - 06/30/2021-05/14/2021 | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | 2028 | 2029 | Total Units to Date (all years) | Total Remaining RHNA by Income Level |
|                                                                                                           |                     |                                 |                                           |      |      |      |      |      |      |      |      |      |                                 |                                      |
| Very Low                                                                                                  | Deed Restricted     | 1,911                           | -                                         | -    | 89   | -    | -    | -    | -    | -    | -    | -    | 95                              | 1,816                                |
|                                                                                                           | Non-Deed Restricted |                                 | -                                         | 3    | 3    | -    | -    | -    | -    | -    | -    | -    |                                 |                                      |
| Low                                                                                                       | Deed Restricted     | 1,151                           | -                                         | -    | 196  | -    | -    | -    | -    | -    | -    | -    | 198                             | 953                                  |
|                                                                                                           | Non-Deed Restricted |                                 | -                                         | 2    | -    | -    | -    | -    | -    | -    | -    | -    |                                 |                                      |
| Moderate                                                                                                  | Deed Restricted     | 771                             | -                                         | -    | -    | -    | -    | -    | -    | -    | -    | -    | 39                              | 732                                  |
|                                                                                                           | Non-Deed Restricted |                                 | -                                         | 7    | 32   | -    | -    | -    | -    | -    | -    | -    |                                 |                                      |
| Above Moderate                                                                                            |                     | 1,828                           | -                                         | 232  | 239  | -    | -    | -    | -    | -    | -    | -    | 471                             | 1,357                                |
| Total RHNA                                                                                                |                     | 5,661                           |                                           |      |      |      |      |      |      |      |      |      |                                 |                                      |
| Total Units                                                                                               |                     |                                 | -                                         | 244  | 559  | -    | -    | -    | -    | -    | -    | -    | 803                             | 4,858                                |
| Progress toward extremely low-income housing need, as determined pursuant to Government Code 65583(a)(1). |                     |                                 |                                           |      |      |      |      |      |      |      |      |      |                                 |                                      |
|                                                                                                           |                     | 5                               |                                           |      |      |      |      |      |      |      |      | 6    | 7                               |                                      |
|                                                                                                           |                     | Extremely low-Income Need       |                                           | 2021 | 2022 | 2023 | 2024 | 2025 | 2026 | 2027 | 2028 | 2029 | Total Units to Date             | Total Units Remaining                |
|                                                                                                           |                     |                                 |                                           |      |      |      |      |      |      |      |      |      |                                 |                                      |
| Extremely Low-Income Units*                                                                               |                     | 956                             |                                           | -    | 32   | -    | -    | -    | -    | -    | -    | -    | 32                              | 924                                  |

\*Extremely low-income housng need determined pursuant to Government Code 65583(a)(1). Value in Section 5 is default value, assumed to be half of the very low-income RHNA. May be overwritten.

Note: units serving extremely low-income households are included in the very low-income RHNA progress and must be reported as very low-income units in section 7 of Table A2. They must also be reported in the extremely low-income category (section 13) in Table A2 to be counted as progress toward meeting the extremely low-income housing need determined pursuant to Government Code 65583(a)(1).

Please note: For the last year of the 5th cycle, Table B will only include units that were permitted during the portion of the year that was in the 5th cycle. For the first year of the 6th cycle, Table B will only include units that were permitted since the start of the planning period. Projection Period units are in a separate column.

Please note: The APR form can only display data for one planning period. To view progress for a different planning period, you may login to HCD's online APR system, or contact HCD staff at [apr@hcd.ca.gov](mailto:apr@hcd.ca.gov).

|                 |           |                         |
|-----------------|-----------|-------------------------|
| Jurisdiction    | Rocklin   |                         |
| Reporting Year  | 2022      | (Jan. 1 - Dec. 31)      |
| Planning Period | 6th Cycle | 05/15/2021 - 05/15/2029 |

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

| Table C                                                                                         |                                                            |                                              |                                             |                |                                             |            |                 |                       |                    |                     |                          |
|-------------------------------------------------------------------------------------------------|------------------------------------------------------------|----------------------------------------------|---------------------------------------------|----------------|---------------------------------------------|------------|-----------------|-----------------------|--------------------|---------------------|--------------------------|
| Sites Identified or Rezoned to Accommodate Shortfall Housing Need and No Net-Loss Law           |                                                            |                                              |                                             |                |                                             |            |                 |                       |                    |                     |                          |
| Project Identifier                                                                              |                                                            |                                              |                                             | Date of Rezone | RHNA Shortfall by Household Income Category |            |                 |                       | Rezone Type        |                     |                          |
| 1                                                                                               |                                                            |                                              |                                             | 2              | 3                                           |            |                 |                       | 4                  | 5                   | 6                        |
| APN                                                                                             | Street Address                                             | Project Name <sup>+</sup>                    | Local Jurisdiction Tracking ID <sup>+</sup> | Date of Rezone | Very Low-Income                             | Low-Income | Moderate-Income | Above Moderate-Income | Rezone Type        | Parcel Size (Acres) | General Plan Designation |
| Summary Row: Start Data Entry Below                                                             |                                                            |                                              |                                             |                |                                             | 499        |                 |                       |                    |                     |                          |
| 365-020-067-000 365-020-068-000 365-020-069-000 365-020-070-000 365-020-071-000 365-020-072-000 | Terminus of West Oaks Boulevard west of Lonetree Boulevard | West Oaks RHNA Sites GPA and Rezone (Site A) | GPA2021-0005 PDG2021-0005 Z2021-0004        | 04/26/2022     |                                             | 300        |                 |                       | Unaccomodated Need | 12.5                | High Density Residential |
| 017-281-016-000                                                                                 | NW corner of Lonetree Boulevard and West Oaks Boulevard    | West Oaks RHNA Sites GPA and Rezone (Site B) | GPA2021-0005 PDG2021-0004 Z2021-0003        | 04/26/2022     |                                             | 98         |                 |                       | Unaccomodated Need | 6.55                | Mixed Use                |
| 017-281-015-000                                                                                 | NE corner of Lonetree Boulevard and West Oaks Boulevard    | West Oaks RHNA Sites GPA and Rezone (Site C) | GPA2021-0005 PDG2021-0004 Z2021-0003        | 04/26/2022     |                                             | 101        |                 |                       | Unaccomodated Need | 6.74                | Mixed Use                |
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**Note: "+" indicates an optional field**

Cells in grey contain auto-calculation formulas

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Sites Description

| 7                                                             | 8                       |                         | 9                  | 10               | 11                                                                                                     |
|---------------------------------------------------------------|-------------------------|-------------------------|--------------------|------------------|--------------------------------------------------------------------------------------------------------|
| Zoning                                                        | Minimum Density Allowed | Maximum Density Allowed | Realistic Capacity | Vacant/Nonvacant | Description of Existing Uses                                                                           |
|                                                               |                         |                         | 618                |                  |                                                                                                        |
| Planned Development Residential, 24+ units per acre (PD-R24+) | 24 units per acre       | N/A                     | 300                | Non-Vacant       | Multiple parcels with foundations from a previously planned office/flex development that was abandoned |
| Planned Development Mixed Use, 24+ units per acre (PD-MU-24+) | 24 units per acre       | 40 units per acre       | 157                | Vacant           | N/A                                                                                                    |
| Planned Development Mixed Use, 24+ units per acre (PD-MU-24+) | 24 units per acre       | 40 units per acre       | 161                | Vacant           | N/A                                                                                                    |
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# ANNUAL ELEMENT PROGRESS REPORT

## Housing Element Implementation

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| Jurisdiction                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Rocklin                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Reporting Year                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 2022 (Jan. 1 - Dec. 31)                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Table D                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Program Implementation Status pursuant to GC Section 65583                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Housing Programs Progress Report                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| Describe progress of all programs including local efforts to remove governmental constraints to the maintenance, improvement, and development of housing as identified in the housing element. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 1                                                                                                                                                                                              | 2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 3                                                                                                                                                                                                                             | 4                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Name of Program                                                                                                                                                                                | Objective                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | Timeframe in H.E                                                                                                                                                                                                              | Status of Program Implementation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 1. Home Handyperson Program                                                                                                                                                                    | Distribute flyers to households within the Old Town/Central Rocklin area at least once a year. <b>Quantified Objective: 8 lower income households (1 household annually) and 80 senior households (10 households annually) during the planning period. Target 15 in areas of concentrated poverty.</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Ongoing, as funding is available and households are interested. Advertise program in the newspaper once per year and place on the City’s website.                                                                             | The City has actively pursued partners who can operate a Handyman program with available funds from CDBG. In 2022, we did not receive an application from any entities proposing to operate such a program. The City will continue to explore options for providing this service and broadly advertise Notices of Funding Availability for this activity annually.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 2. Code Enforcement and Abatement                                                                                                                                                              | Continue to implement the Code Enforcement and Abatement Program. Provide information about the Home Handyman Program to owners of all homes in need of repair. Complete a Housing Conditions Survey in older neighborhoods and neighborhoods with lower median incomes, to evaluate rehabilitation need. Based on findings of the focused evaluation, the City will identify measures to encourage housing preservation, conservation, and acquisition rehabilitation, and mitigate potential costs, displacement and relocation impacts on residents. These measures may include, but are not limited to: •Reach out directly to homeowners of housing units in need of repair to promote the Home Handyman Program •Seek funding to offer relocation assistance to low-income tenants or owners displaced during rehabilitation efforts. •Seek funding to develop a housing rehabilitation program. <b>Quantified Objective: 25 lower income units during the planning period. Target 15 units in areas of concentrated poverty.</b> | Implement the Code Enforcement and Abatement Program on an ongoing basis. Provide information on the Home Handyman Program and complete the Housing Conditions Survey by June 2022 and determine next steps by February 2023. | Throughout the planning period, the City has continued to implement the Code Enforcement and Abatement Program by responding to complaints from the public as well as enabling City staff to drive by homes to determine if there are any violations of property maintenance standards pursuant to Chapter 8.04 of the Rocklin Municipal Code. During the reporting period, the City conducted approximately 5,207 residential inspections (i.e., 4,745 single family inspections and 462 multi family inspections) of building permits associated with new single family and multifamily structures. In addition, the City has continued to inspect residential properties, upon request, to identify health and safety hazards, and other building code violations that should be corrected. As noted under the discussion of Program 1, the City has currently been unable to retain an entity to operate a Handyman program, but continues to explore options in terms of program providers. Housing Division (HD) staff has worked with the City's GIS division to identify the location of all Single Family units in Rocklin that are 30+ years of age to help define potential areas to be analyzed in a Housing Condition survey. The scope of the survey will be further refined to ensure the most detailed emphasis is in older neighborhoods and neighborhoods with lower median incomes and evaluate overall rehabilitation needs. Due to staffing limitations, the City anticipate utilizing a consultant to complete the survey. |
| 3. Zoning Flexibility for Housing Rehabilitation                                                                                                                                               | Continue the practice of allowing non-conforming dwellings to be rehabilitated so long as the non-conformity is not increased and no threat to public health and safety is identified. <b>Quantified Objective: 40 units. Target 15 units in areas of concentrated poverty</b> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Ongoing, as projects are processed through the Planning Division.                                                                                                                                                             | The City continues to allow non-conforming dwellings to be rehabilitated pursuant to Chapter 17.62 of the Municipal Code so long as the non-conformity is not increased and no threat to public health and safety is identified.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

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| 4. Preservation of Existing Affordable Housing Stock | Work with owners of at-risk projects, interested groups, and the State and federal governments to implement the following measures on an ongoing basis to conserve affordable housing stock: •Monitor Units at Risk: Monitor the status of at-risk projects annually. •Affordable Database: Encourage housing mobility by creating an affordable housing database available on the City’s website and market the database regionally and in coordination with the Placer County Housing Authority and the Roseville Housing Authority. •Work with Potential Purchasers: Where feasible, provide technical assistance to public and non-profit agencies interested in purchasing and/or managing units at risk. •Assist Tenants of Existing Rent Restricted Units to Obtain Section 8 Housing Choice Voucher Assistance: The City will direct tenants of "at risk" units to obtain Section 8 vouchers, that can be administered in the City of Rocklin, through the Roseville Housing Authority or Placer County Housing Authority                                                                                                                                                                                           | Annually monitor and apply for funding as Notices of Funding Availability are released                       | Affordable housing projects are monitored on an ongoing basis. There are no publicly assisted rental housing units at risk of converting to market rate in Rocklin within the 2021-2029 Housing Element cycle. The City of Rocklin also conducts an annual Apartment Survey in May of each year to update current rental rates and contact information for all affordable and market rate units in the City. This database is posted on the City's website and routinely shared with individuals inquiring about the availability of rental units in the community. The document is provided annually to the Placer County and Roseville Housing Authorities as well, to encourage housing mobility in the region. |
| 5. Housing Choice Voucher Program                    | Provide assistance for low-income families that use Housing Choice Vouchers to identify housing opportunities in areas of high opportunity and close proximity to resources. In addition to providing referrals to Rocklin households in need of housing assistance, the City shall market applications for the voucher program in other areas of the region outside of Placer County to improve access to resources in Rocklin for more households. To reduce the concentration of voucher holders, promote mixed-income neighborhoods, and improve mobility between neighborhoods the City shall meet with property managers in high resource areas with a low percent of vouchers to encourage them to accept Section 8 assistance. Additionally, the city will work with both the Placer County Housing Authority and the Roseville Housing Authority to encourage mobility counseling to assist with pre- and post-moving counseling, housing search assistance and guidance about neighborhood features. <b>Quantified Objective: 110 households annually, 25 households targeted towards special needs groups (seniors, persons with disabilities, female headed households, farmworkers and homeless persons) .</b> | Refer households on an ongoing basis, meet with property owners at least 3 times during the planning period. | The City has continuously participated in the Housing Choice Voucher Program (Section 8) administered by the Roseville Housing Authority (RHA) and Placer County Housing Authority (PCHA). The City of Rocklin Housing Division routinely refers all individuals who inquire regarding the availability of Vouchers to the RHA and PCHA for assistance. In 2021, there were 112 Housing Choice Vouchers (HCV) issued by RHA in Rocklin. Another 64 HCV were issued in Rocklin by the PCHA. In 2022 these numbers increased to 120 Housing Choice Vouchers (HCV) issued by RHA in Rocklin and another 71 HCV issued by the PCHA in Rocklin.                                                                         |

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| 6. Affordable Housing Development and Funding | <p>Work with housing developers to expand opportunities for affordable lower-income and workforce housing by creating partnerships, providing incentives, and pursuing funding opportunities. Specifically, the City shall: •Promote construction of affordable housing including ADU’s in high resource areas and in areas that have a shortage of affordable housing to enhance mobility for low-income residents (including extremely low income) to access these neighborhoods. •Encourage affordable housing development for special needs groups, including, seniors, persons with disabilities, including developmental disabilities, female headed households, farmworkers and homeless persons. •Provide technical assistance with site identification, site acquisition, and expedited permit processing; •Conduct annual workshops with for-profit and nonprofit housing developers, local and regional funding agencies, and other organizations to review and promote available housing programs; •Promote the use of the density bonus ordinance and fee deferrals to encourage affordable housing; •Partner with nonprofit and for-profit affordable housing developers to support their financing applications for State and federal grant programs, tax-exempt bonds, and other programs that become available; •Pursue federal, State, and private funding for low- and moderate-income housing by applying for State and federal monies for direct support of lower-income housing construction and rehabilitation, specifically for development of housing affordable to extremely low-income households. <b>Quantified Objective: 15 extremely low-income; 20 very low-income; 20 low-income units, 10 units targeted in high resource areas .</b></p>                             | <p>Ongoing, as projects are processed. Meet with developers and contact HCD, CHFA, CTCAC and HUD annually. Maintain a sites inventory list on the City’s website. Amend the density bonus ordinance as needed to comply with Government Code Section 65915.</p> | <p>The City continues to evaluate options for an Incentive Program; however, in the interim, is open to working with property owners and affordable housing developers to explore potential incentives on a case-by-case basis. An Affordable Housing Impact Fee Reduction Ordinance was prepared and introduced to the City Council in December 2022. Adoption was completed in early 2023. The City also routinely provides information (maps, aerials, etc.) regarding both large and small sites that are potentially available to all multifamily developers that approach the City looking for development opportunities. The inclusion of units for persons with disabilities and other special needs groups are routinely emphasized and encouraged in Pre-Application Meetings with developers. The City's Housing Division works with housing developers as needed to support their financing applications for state and federal funding programs. The City is currently reviewing its density bonus ordinance and will bring forward an amendment as needed to comply with Government Code Section 65915.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 7. Accessory Dwelling Unit Development        | <p>Promote accessory dwelling units as an affordable housing option through the following actions • Provide guidance/educational materials for building ADUs on the City’s website. The City shall present homeowner associations (HOA’s) with the community/neighborhood benefits of accessory dwelling units, inform them that covenants, conditions, and restrictions (CC&amp;Rs) prohibiting ADUs are contrary to state law, and ask HOA’s to encourage such uses. • Develop, and offer free of charge, preapproved plans for ADUs to bring down permit costs and expedite the permitting process. • Explore loan program options to help homeowners finance the construction of ADUs. The City shall consider incentives for homeowners to deed restrict ADUs for lower-income households. • Actively market ADU guidance, materials and preapproved plans in high resource areas to encourage the development of new affordable housing, enhance mobility and reduce displacement of low-income households seeking affordable housing. • Develop/implement a monitoring program. The program will track ADU approvals and affordability. The City will use this monitoring program to track progress in ADU development and adjust/expand the focus of its outreach efforts through the 2021-2029 planning period. The City will evaluate ADU production and affordability (in 2023) and if it is determined these units are not meeting the lower-income housing need, the City shall ensure other housing sites are available to accommodate the unmet portion of the lower-income RHNA. If additional sites must be rezoned, they will be consistent with Government Code Sections 65583(f) and 65583.2(h). <b>Quantified Objective: 40 units. Target 20 units in high resource areas.</b></p> | <p>Complete bullets 1-5 by December 2022, evaluate effectiveness of ADU approvals and affordability by 2023, rezone if necessary, by 2024.</p>                                                                                                                  | <p>There is guidance and information on the City’s website regarding ADU development. The City is prioritizing its efforts to develop ADU marketing materials and exploring options for pre-approved plans and funding assistance. The number of ADU permits issued in the 2022 reporting period is 16 units. This is slightly higher than last year’s 11 ADUs. The Quantified Objective for ADU production in the 2021-2029 Housing Element is 40 units. With a combined total of 27 ADU permits issued between the 2021 and 2022 reporting years, the City is well on track to meet/exceed the estimated production of ADUs for the Quantified Objective prior to the end of the 8-year reporting period. The Quantified Objective for ADU development in the City’s 2021-2029 Housing Element also included a target of 20 ADU units in high resource areas. In 2021, 5 of the 11 ADU permits issued were located in high resource areas and all 16 of the ADU permits issued in 2022 were located in high resource areas; therefore, the City has already exceeded its target for that portion of the objective by year 2 of the 8-year cycle. Of the total ADU permits issued in 2022, 3 were Extremely Low, and 13 were Above Moderate. The City was unable to obtain rental rate information from owners of those 13 ADU's since they are part of new single-family units being constructed and owners have not been identified, so by default, the City had to assume they are Above Moderate since their actual status could not be documented.</p> |

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| 8. Zoning Flexibility                     | <b>Quantified Objective: Assist with 16 BARRO Zone housing projects (2 per year) over the planning period .</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Ongoing, as projects are processed through the Planning Division. Provide information about the BARRO Zone on the City's website.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | The City approved one mixed use project in the BARRO Zone in 2021 resulting in approval of 2 residential units. There were no mixed use BARRO Zone projects applied for or approved during calendar year 2022. Staff does periodically receive inquiries regarding opportunities to develop residential units in the zone and will continue to provide guidance and assistance to prospective applicants. Details regarding the BARRO Zone regulations including the opportunity to include housing development is posted on the City's website.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 9. Promote Missing Middle Housing Types   | Promote the construction of 100 missing middle housing units (e.g., duplexes, tri/fourplexes, courtyard buildings, bungalow courts, townhouses, live/work units), cluster housing, and other innovative housing types by distributing educational and promotional materials on the City's website. Encourage construction of these units in low- and medium-density areas with high opportunity to enhance mobility and increase housing options for lower-income households at risk of displacement. Consider strategies such as amending zoning to permit additional units in existing single-family zones.<br><b>Quantified Objectives: 125 moderate-income units, 45 targeted in high opportunity areas.</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 2022 and ongoing                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | The passage of SB 9 in 2021 (effective January 2022) dramatically increased the opportunity for additional housing units to be developed on existing single family residential lots and the creation of additional lots with ministerial parcel maps throughout the City including in high resource areas. The City will continue to implement the flexibilities provided by SB 9 and work with developers and land owners to explore locations where additional zoning modifications to allow other missing middle housing types, such as duplexes, tri/four plexes, etc. can best be accommodated.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 10. Inventory of Sites for Housing/Rezone | Annually review the sites inventory to accommodate the City's RHNA of 5,661. Monitor development applications with the available sites table and encourage and facilitate the development of affordable housing on Rocklin's remaining sites designated for medium-high and high-density residential uses. Make the inventory of sites available to developers and offer regulatory and/or financial incentives to facilitate the development of housing affordable to lower income households. To accommodate the City's 6th cycle Regional Housing Needs Allocation (RHNA), and the 5th cycle unaccommodated need, the City will rezone and amend the land use designation on 95.58 acres of vacant and underutilized land to Res 24+, and 64.33 acres of land that is vacant and underutilized to MU 24+, to meet the City's lower income RHNA of 3,543 units (identified in Table 7-42). All sites will have a minimum density of 24 units per acre. Mixed Use sites will have a maximum density of 40 dwelling units per acre and sites zoned Res 24+ will have a High Density Residential (HDR) land use designation with no maximum density. Rezoned sites will permit owner-occupied and rental multifamily uses by right pursuant to Government Code section 65583.2(i) for developments in which 20 percent or more of the units are affordable to lower income households. Additionally, to ensure capacity for the City's moderate income RHNA, the City will rezone 51.06 acres of vacant land to R-3, RD-20 and MU 10+, and 26.69 acres of underutilized land to MU 10+, identified in Table 7-43. The City will emphasize applying the rezones to available lands in high resource areas, as defined by the TCAC/HCD Opportunity Areas map and on sites that are 0.5 to 10 acres in size. All identified sites have water and sewer capacity and availability of dry utilities. The City will also amend the zoning ordinance to allow for split zoning on the same APN or parcel. This will be done concurrently with the rezone effort. | Comply with Government Code Sections 65583(f) and 65583.2(h) and amend the Zoning Ordinance/ Land Use Element to accommodate the unaccommodated need of 481 units from the 5 <sup>th</sup> cycle RHNA within one year of adoption of the Housing Element and complete land use designation changes and establish zoning as necessary for remaining sites identified in Tables 7-42 and 7-43 within 3 years and 120 days of the start of the planning period (May 15, 2021), consistent with Government Code Section 65583(f) and 65583.2(h). The City will report on the progress of this rezone in its APRs required pursuant to government Code Section 65400 and due on April 1st of each year. Allow for split zoning concurrently with the rezone efforts | To accommodate the unaccommodated need of 481 units from the 5th Cycle RHNA the City processed a General Plan Amendment (GPA) (i.e., Rocklin Corporate Center GPA and Rezone) and approved by the City Council on January 26, 2021 which redesignated two sites totaling 5.1 and 6.5 gross acres (5.1 and 4.0 acres net) from Light Industrial (LI) to High Density Residential (HDR) and rezoned the sites from Planned Development, Industrial Park (PD-IP) to Planned Development Residential, Minimum 24+ units per acre (PD-24+). This redesignation and rezone will accommodate a minimum of 218 units between the two sites. The City also processed a General Plan Amendment/Rezone (i.e., West Oaks RHNA GPA and Rezone) on multiple parcels referred to as Areas A, B, and C. Area A, consisted of multiple parcels totaling 12.5 acres were changed from Business Professional/Commercial/Light Industrial (BP/COMM/LI) to HDR with a corresponding rezone from Planned Development, Business Park/Commercial/Light Industrial (PD-BP/C/LI) to Planned Development Residential, Minimum 24+ Units Per Acre (PD-24+) . Areas B and C consisted of two parcels totaling 6.55 and 6.74 acres respectively. These sites were redesignated from LI to Mixed Use (MU) and rezoned from PD-IP to Mixed Use, Minimum 24+ units per acre (PD-MU-24+). In total, the West Oaks RHNA GPA and Rezone will accommodate a minimum of 618 units between Areas A through C if using a 24 unit per acre assumption or 773 units if the Housing Element assumption of 30 units per acre is utilized. The West Oaks RHNA GPA and Rezone project was approved by the City Council on April 26, 2022. The Rocklin Corporate Center GPA and Rezone and West Oaks RHNA GPA and Rezone actions combined provide the land use designation changes and rezoning necessary to accommodate a minimum of 836 potential units. The City is working toward completion of the remaining land use designation changes and rezones for this program within the mandated timeline. |

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| 11. Large Sites and Small Sites for Housing for Lower Income Households | <p>To assist the development of housing for lower income households on larger sites, the City will facilitate land divisions, lot line adjustments, and specific plans resulting in parcels sizes that facilitate multifamily developments affordable to lower income households. The City will work with property owners and non-profit developers to target and market the availability of sites with the best potential for development.</p> <p>To encourage the consolidation of smaller adjacent parcels zoned for residential use, the City will work with property owners to consolidate parcels, coordinating with local property owners to support the development of affordable multifamily housing development, or working with developers to identify suitable vacant and adjoining sites. To further assist, the City will offer the following incentives for both large and small site development of affordable housing which may include but is not limited to:</p> <ul style="list-style-type: none"><li>•Streamlining and expediting the approval process for land division for projects that include affordable housing units,</li><li>•Ministerial review of lot line adjustments,</li><li>•Deferral of fees related to the subdivision for projects affordable to lower income households,</li><li>•Providing technical assistance to acquire funding, and</li><li>•Modification of development requirements.</li></ul> | Develop the incentive program by December 2022, provide incentives throughout planning period, as projects are submitted to the Community Development Department | The City's work with landowners and non-profit developers is ongoing and specific needs, issues, and opportunities are typically discussed in initial counter inquiries and in Pre-Application Meetings. Requests for modification of development standards are reviewed on a case by case basis and often accommodated through the use of Planned Development zoning. The City allows for the ministerial review of lot line adjustments. The City is also currently exploring development of a Fee Deferral Program for projects that include affordable housing units. An Affordable Housing Impact Fee Reduction Ordinance was prepared and introduced to the City Council in December 2022. Adoption was completed in early 2023. |
| 12. Housing for Persons with Disabilities                               | Facilitate the development, maintenance and improvement of housing for persons with disabilities. Work with service providers to identify opportunities, and seek funding for, housing for persons with disabilities to reduce displacement risk of these residents due to availability of services. Continue to publicize information about the City's reasonable accommodation procedures at public counters and on the City's website.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Ongoing, as projects are processed through the planning period                                                                                                   | The City continues to provide information about its reasonable accommodation procedures and services available in the region on the City website and public counters.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |

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| 13. Regional Partnership for Affordable Housing | <p>Continue to coordinate with the City of Roseville, the Roseville Housing Authority, County of Placer, and the Placer County Housing Authority through phone calls and meetings to expand affordable housing opportunities and to meet the housing and supportive service needs of the homeless as strategies to reduce displacement risk for extremely low-, very low-, and low-income persons. Continue to participate in regional efforts to reduce homelessness by continuing to attend Homeless Resource Council of the Sierras (HRCS)/CA-515 Continuum of Care (CoC) meetings, when appropriate. In addition, the City will continue to maintain its relationship and information exchanges with the Placer Consortium on Homelessness (PCOH) and Placer Collaborative Network (PCN). The City will also continue to participate in regular Point In Time (PIT) counts of the local homeless population in Placer County.</p> | <p>Annually meet with the City of Roseville, the Roseville Housing Authority, County of Placer, and the Placer County Housing Authority.</p> | <p>Rocklin Housing Division (HD) staff continued to serve as a CA-515/Placer County Continuum of Care (CoC) Board Member attending both regular and special meetings throughout 2022. The City's HD staff also participated on the 2021, 2022 and 2023 PIT Count Planning Committees. Staff from Rocklin's Police, Code Enforcement and Housing Division have attended necessary training and participated in field surveys for the 2022 PIT Count. In coordination with the Roseville and Placer County Housing Authorities, Rocklin HD Staff provided presentation materials for the Placer County Housing Showcase conducted on May 7, 2022. The City has also continued to advertise all Notices of Funding Availability, Availability of Draft Documents and public hearings for CDBG Annual Action Plans and Consolidated Annual Performance and Evaluation Reports (CAPER) in the Placer Herald Newspaper, on the City's Website and through the e-mail list serves for PCN and PCOH. Two Rocklin City Council Members and three Rocklin Senior and/or Executive Team Members including the City Manager have attended and participated in monthly Regional Working Group and Planning Team Meetings initiated in August 2021 by Placer County, continuing throughout calendar year 2022, and involving all local cities in the County with the goal of developing new strategies to address homelessness in South and Mid Placer County. The City also contributed \$60,479 to the Gathering Inn and \$15,000 to St Vincent De Paul in 2022 for Homelessness Prevention Rapid Rehousing activities serving Rocklin residents. On November 30, 2022 Rocklin HD Staff began attending West Placer Affordable Housing Meetings with Placer County Executive Staff, as well as, representatives from the Roseville and Placer County Housing Authorities and Placer Community Foundation. Rocklin HD staff also participates with the City of Roseville in annual reviews of applications for joint Rocklin/Roseville Permanent Local Housing Allocation (PLHA) Funds.</p> |
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| 14. Fair Housing Program             | <ul style="list-style-type: none"> <li>• Implement Programs 1, 2, 3, 4, 5, 6, 7, 9, 13, and 24 to affirmatively further fair housing, including targeting community revitalization through place-based programs, enhancing mobility between neighborhoods, developing strategies to reduce displacement risk in areas of higher concentration of lower-income households and overpayment (e.g. Programs 4, 6, 19, and 24) and facilitating affordable housing in high opportunity areas.</li> <li>• As complaints are received, refer residents to the CA Dept of Fair Employment/Housing, Legal Services of Northern CA or the Fair Housing Hotline.</li> <li>• Maintain information about fair housing services on the City’s website, updated annually as necessary.</li> <li>• Work with fair housing organizations on an annual basis to identify issues/track complaints.</li> <li>• In 2022 and every other year after, provide training to landlords/property owners on avoiding discriminatory practices and requirements to grant reasonable accommodation requests.</li> <li>• Encourage development of MF units in high resource areas by evaluating the feasibility of incentives such as density bonuses or parking reductions on a project by project basis.</li> <li>• Meet annually with Placer County Transit Dial-A-Ride (DAR) and other agencies, as appropriate, to ensure their capacity meets the needs of the community and assess the need for/feasibility of providing increased service between neighborhoods to improve access to employment (consistent with fare box recovery standards). Explore options to fund expansion/investment in disconnected neighborhoods. Work with PCT to increase awareness of DAR services available citywide.</li> <li>• Meet with service providers for special needs groups by December 2021, and annually, to discuss funding opportunities to expand services/housing for these groups.</li> <li>• Require affordable developers to prepare an affirmative marketing plan (AMP), if receiving public funding. Encourage private developers to prepare an AMP. This requirement will be set by 2024</li> </ul> | <p>Refer to each strategy in the AFFH program for metrics and specific timeframes. Review and implement programs identified in the City’s Analysis of Impediments to Fair Housing Choice (AI) developed as a HUD CDBG requirement based on the timeframes identified in the AI. Activities and timeframes for specific Housing Element items are also as noted in the Objective for this program.</p> | <p>See individual programs listed in the first bullet for more specifics on their status. The City's Housing Division refers inquiries regarding fair housing services to the CA Dept of Fair Employment and Housing and the Legal Services of Northern CA/Fair Housing Hotline as well as other entities. The entity contact information is also listed on the Fair Housing page of the City's website. The City's Director of Long Range Planning is a member of the Placer County Transportation Agency (PCTPA) Transit Operator's Working Group (TOWG) and Social Service Technical Advisory Committee (SSTAC). These groups meet and discuss current operations, service demands, transit needs for special populations and participate in review/recommended findings made in the annual Unmet Transit Needs process. The demand for Dial-A-Ride (DAR) services is still slow to recover in 2022 due to the effects of the pandemic. However, the City will continue to work with Placer County Transit (PCT) and PCTPA on marketing and has links to service information for DAR on the City's website. In 2022, PCTPA selected a consultant to develop pilot micro transit software App for use in South Placer Communities. The work to initiate the pilot micro transit service App for DAR in Rocklin was completed by PCT in 2022 with full launch of the pilot expected to begin in Spring 2023. In 2022, PCTPA also worked with local agencies and transit operators to create a Coordinated Marketing Plan for all transit services in Placer County. Implementation of the newly adopted Marketing Plan is also expected to occur through much of 2023. The City annually issues Notices of Funding Availability for CDBG Funds which can be used to provide services for special needs groups. The most recent NOFA issued during the 2022 calendar year was published in November 2022 in the local newspaper, on the City website, and through Placer Consortium on Homelessness (PCOH) and Placer Collaborative Network (PCN) e-mail list serves. Rocklin Housing staff also attended a Fair Housing Webinar sponsored by the Roseville Housing Authority on April 21, 2022 and contacted as many Rocklin Apartment Managers as possible via e-mail prior to the event with notice and encouraged them to participate.</p> |
| 15. Developmentally Disabled Program | <p>Work with the Alta California Regional Center and PIRS to implement an outreach program that informs families within the City on housing and services available for persons with developmental disabilities. The program could include the development of an informational brochure, including information on services on the City’s website and providing housing-related training for individuals/families through workshops. This outreach will inform future activities related to expanding access to affordable housing to individuals with developmental disabilities.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <p>Develop an outreach program by December 2022 and annually coordinate with regional offices and developers to pursue opportunities.</p>                                                                                                                                                                                                                                                             | <p>The City has prepared and posted outreach material on the City Website for groups specializing in services to the developmentally disabled highlighting housing and services available in Placer County and Rocklin. The City annually updates and shares this information with contacts at the Alta California Regional Center and PIRS. The City also encourages housing developers to include more than the minimum number of accessible units required by Building Codes when proposing residential development projects. The City routinely notifies public service agencies directly via email to local non-profit collaborations (PCN) and (PCOH) regarding all local funding opportunities. These organizations primarily serve minorities, non-English speaking persons and persons with disabilities. Staff encourages the agencies to share draft documents and notices of public hearing opportunities with their clients and other organizations who may be interested in both funding and Housing related activities and topics.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 16. Low-Barrier Navigation Centers   | <p>Amend the City’s zoning ordinance to add low-barrier entry practices to the City’s Emergency Residential Shelter use, per Government Code 65660-65668. Low-barrier practices may include, but are not limited to: •Permitting the presence of partners if it is not a population-specific site; •Allowing pets; •Providing space for the storage of possessions; and •Providing privacy such as partitions around beds or private rooms.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <p>Within one year from adoption of the Housing Element</p>                                                                                                                                                                                                                                                                                                                                           | <p>The City has retained consultant assistance and is in the process of preparing and processing any necessary updates.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

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| 17. Supportive Housing             | Amend the zoning code to allow for the approval of 100% affordable developments that include a percentage of supportive housing units, either 25% or 12 units, whichever is greater, to be allowed without a conditional use permit or other discretionary review in all zoning districts where multifamily and mixed-use development is permitted.                                                                                                                                                                                 | Within one year from adoption of the Housing Element                                                                                                | The City has retained consultant assistance and is in the process of preparing and processing any necessary updates.                                                                                                  |
| 18. Development Streamlining       | Establish a written policy or procedure and other guidance, as appropriate, to specify the Senate Bill (SB) 35 streamlining approval process and standards for eligible projects, as set forth under California Government Code, Section 65913.4.                                                                                                                                                                                                                                                                                   | Ongoing, as projects are processed through the Community Development Department.                                                                    | The City has prepared a preliminary draft Objective Design Standards document to address this item and anticipates processing it through Planning Commission and City Council in 2023.                                |
| 19. Affordable Housing Impact Fee  | Conduct a nexus study to evaluate the extent to which new development projects contribute to the local need for affordable housing.                                                                                                                                                                                                                                                                                                                                                                                                 | Determine the viability of an Affordable Housing Impact Fee by December 2023. Annually review fee to determine if additional updates are necessary. | The City will endeavor to complete this item within the specified timeframe.                                                                                                                                          |
| 20. Replacement Program            | Mitigate the loss of affordable housing units by requiring new housing developments to replace all affordable housing units lost due to new development.                                                                                                                                                                                                                                                                                                                                                                            | The replacement requirement will be implemented immediately and applied as applications on identified sites are received and processed.             | There was no loss of affordable housing units in calendary year 2022 which triggered the need for replacement housing. This program will continue to be implemented on an ongoing basis as new projects come forward. |
| 21. Employee Housing               | Amend the Zoning Code to comply with State Law - Specifically, to treat employee housing that serves six or fewer persons as a single-family structure and permit this housing type in the same manner as other single-family structures of the same type in the same zone in all zones allowing single-family residential uses. Additionally, employee housing consisting of no more than 12 units or 36 beds will be treated as an agricultural use and permitted in the same manner as other agricultural uses in the same zone. | Within one year from adoption of the Housing Element                                                                                                | The City has retained consultant assistance and is in the process of preparing and processing any necessary updates.                                                                                                  |
| 22. Parking for Emergency Shelters | Amend the Zoning Code to comply with State Law - Specifically, the City will review and revise as necessary, parking requirements for emergency shelters to ensure that parking standards are sufficient to accommodate all staff, provided standards do not require more parking for emergency shelters than other residential or commercial uses within the Rocklin Ranch Planned Development industrial zone (PD-LI).                                                                                                            | Within one year from adoption of the Housing Element                                                                                                | The City has retained consultant assistance and is in the process of preparing and processing any necessary updates.                                                                                                  |
| 23. Community Care Facilities      | Amend the Zoning Code as appropriate to comply with State Law - To comply with Government Code section 65008, subdivision (a), the City will review and revise, as appropriate, requirements to allow group homes and residential care facilities in all residential zones for seven or more residents and ensure that the City's policies do not pose a constraint to housing for persons with disabilities.                                                                                                                       | Within one year from adoption of the Housing Element                                                                                                | The City has retained consultant assistance and is in the process of preparing and processing any necessary updates.                                                                                                  |

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| 24. Successor Agency | The City will annually track the demographics of the people benefiting from Low Moderate Housing Asset (LMHA) Funds to ensure they are equitably distributed and make adjustments to funding if they are not; this assessment shall use the best available data, including updated Census, ACS, Point in Time counts, and other data. Going forward the fund’s revenues will be only from loan payment funds. Surplus funds may provide small gap funding for future affordable development projects. Projects in areas of high opportunity or in areas at risk of displacement will be prioritized to receive any funds collected. Gap financing for future developments and Homeless Prevention and Rapid Rehousing Program. Prioritize funding for 2 projects in high opportunity areas. | Within one year from adoption of the Housing Element | The City is utilizing current data and continually looking for opportunities to invest Low Moderate Housing Asset (LMHA) Funds in projects or activities that will assist those in the most need and/or provide housing opportunities for lower income residents within the community. The City allocated \$60,479 to The Gathering Inn and \$15,000 to St. Vincent De Paul from LMHA funds in FY 2022 to support Homelessness Prevention Rapid Rehousing activities. On October 24, 2021 the City issued a Notice of Availability to the State Department of Housing and Community Development's list of affordable housing developers (for the City owned Oak and Pine Site) to comply with the Surplus Land Act. The City Council subsequently issued a Request for Proposals on February 25, 2022 and selected an affordable housing developer, Community HousingWorks (CHW), to partner with in development of an affordable housing project on the Oak and Pine site. The City Council approved issuance of a Preliminary Commitment Letter with CHW on September 27, 2022 earmarking \$2.6 Million in LMHA Funds toward the project. On November 8, 2022, the City Council approved an Affordable Housing Agreement and Ground Lease with CHW. Prior to commencing development on the site, CHW will comply with the City's customary land use entitlement process. |
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| General Comments     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
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| Jurisdiction     | Rocklin   |                         |
| Reporting Period | 2022      | (Jan. 1 - Dec. 31)      |
| Planning Period  | 6th Cycle | 05/15/2021 - 05/15/2029 |

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

Note: "+" indicates an optional field

Cells in grey contain auto-calculation formulas

| Table F                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                             |                              |                         |                          |                                                                                                                                                                                                                       |                              |                         |                          |                                                                                                                                                                                                                                                                                                                                                                                       |
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| Units Rehabilitated, Preserved and Acquired for Alternative Adequate Sites pursuant to Government Code section 65583.1(c)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                             |                              |                         |                          |                                                                                                                                                                                                                       |                              |                         |                          |                                                                                                                                                                                                                                                                                                                                                                                       |
| Please note this table is optional: The jurisdiction can use this table to report units that have been substantially rehabilitated, converted from non-affordable to affordable by acquisition, and preserved, including mobilehome park preservation, consistent with the standards set forth in Government Code section 65583.1, subdivision (c). Please note, motel, hotel, hostel rooms or other structures that are converted from non-residential to residential units pursuant to Government Code section 65583.1(c)(1)(D) are considered net-new housing units and must be reported in Table A2 and not reported in Table F. |                                                                                             |                              |                         |                          |                                                                                                                                                                                                                       |                              |                         |                          |                                                                                                                                                                                                                                                                                                                                                                                       |
| Activity Type                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | Units that Do Not Count Towards RHNA <sup>+</sup><br>Listed for Informational Purposes Only |                              |                         |                          | Units that Count Towards RHNA <sup>+</sup><br>Note - Because the statutory requirements severely limit what can be counted, please contact HCD to receive the password that will enable you to populate these fields. |                              |                         |                          | The description should adequately document how each unit complies with subsection (c) of Government Code Section 65583.1 <sup>+</sup> .<br>For detailed reporting requirements, see the ckcklist here:<br><a href="https://www.hcd.ca.gov/community-development/docs/adequate-sites-checklist.pdf">https://www.hcd.ca.gov/community-development/docs/adequate-sites-checklist.pdf</a> |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Extremely Low-Income <sup>+</sup>                                                           | Very Low-Income <sup>+</sup> | Low-Income <sup>+</sup> | TOTAL UNITS <sup>+</sup> | Extremely Low-Income <sup>+</sup>                                                                                                                                                                                     | Very Low-Income <sup>+</sup> | Low-Income <sup>+</sup> | TOTAL UNITS <sup>+</sup> |                                                                                                                                                                                                                                                                                                                                                                                       |
| Rehabilitation Activity                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                             |                              |                         |                          |                                                                                                                                                                                                                       |                              |                         |                          |                                                                                                                                                                                                                                                                                                                                                                                       |
| Preservation of Units At-Risk                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                             |                              |                         |                          |                                                                                                                                                                                                                       |                              |                         |                          |                                                                                                                                                                                                                                                                                                                                                                                       |
| Acquisition of Units                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                             |                              |                         |                          |                                                                                                                                                                                                                       |                              |                         |                          |                                                                                                                                                                                                                                                                                                                                                                                       |
| Mobilehome Park Preservation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                             |                              |                         |                          |                                                                                                                                                                                                                       |                              |                         |                          |                                                                                                                                                                                                                                                                                                                                                                                       |
| Total Units by Income                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                             |                              |                         |                          |                                                                                                                                                                                                                       |                              |                         |                          |                                                                                                                                                                                                                                                                                                                                                                                       |

|                  |           |                         |
|------------------|-----------|-------------------------|
| Jurisdiction     | Rocklin   |                         |
| Reporting Period | 2022      | (Jan. 1 - Dec. 31)      |
| Planning Period  | 6th Cycle | 05/15/2021 - 05/15/2029 |

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

Note: "+" indicates an optional field

Cells in grey contain auto-calculation formulas

| Table F2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|----------------|---------------------------|---------------------------------------------|---------------------------|-----------------|-----------------------------------------------------|-------------------------------------|-----------------------------|---------------------------------|----------------------------------|--------------------------------------|-----------------------|-----------------------------------------------------------|-----------------------|--------------|
| Above Moderate Income Units Converted to Moderate Income Pursuant to Government Code section 65400.2                                                                                                                                                                                                                                                                                                                                                                                           |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
| For up to 25 percent of a jurisdiction's moderate-income regional housing need allocation, the planning agency may include the number of units in an existing multifamily building that were converted to deed-restricted rental housing for moderate-income households by the imposition of affordability covenants and restrictions for the unit. Before adding information to this table, please ensure housing developments meet the requirements described in Government Code 65400.2(b). |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
| Project Identifier                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |             |                |                           |                                             | Unit Types                |                 | Affordability by Household Incomes After Conversion |                                     |                             |                                 |                                  |                                      |                       | Units credited toward Above Moderate RHNA                 |                       | Notes        |
| 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |             |                |                           |                                             | 2                         | 3               | 4                                                   |                                     |                             |                                 |                                  |                                      |                       | 5                                                         |                       | 6            |
| Prior APN <sup>+</sup>                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Current APN | Street Address | Project Name <sup>+</sup> | Local Jurisdiction Tracking ID <sup>+</sup> | Unit Category (2 to 4,5+) | Tenure R=Renter | Very Low-Income Deed Restricted                     | Very Low-Income Non Deed Restricted | Low- Income Deed Restricted | Low- Income Non Deed Restricted | Moderate- Income Deed Restricted | Moderate- Income Non Deed Restricted | Above Moderate-Income | Total Moderate Income Units Converted from Above Moderate | <u>Date Converted</u> | <u>Notes</u> |
| Summary Row: Start Data Entry Below                                                                                                                                                                                                                                                                                                                                                                                                                                                            |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |             |                |                           |                                             |                           |                 |                                                     |                                     |                             |                                 |                                  |                                      |                       |                                                           |                       |              |







|                  |           |                         |
|------------------|-----------|-------------------------|
| Jurisdiction     | Rocklin   |                         |
| Reporting Period | 2022      | (Jan. 1 - Dec. 31)      |
| Planning Period  | 6th Cycle | 05/15/2021 - 05/15/2029 |

NOTE: STUDENT HOUSING WITH DENSITY BONUS ONLY. This table only needs to be completed if there were student housing projects WITH a density bonus approved pursuant to Government Code 65915(b)(1)(F)

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

|            |
|------------|
| Not        |
| Cells in g |

| Table J                                                                                                                                                                       |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|---------------------------|---------------------------------------------|--------------------------------------|------|----------------------------------------|--------------------------------------|-----------------------------|---------------------------------|----------------------------------|--------------------------------------|------------------------|
| Student housing development for lower income students for which was granted a density bonus pursuant to subparagraph (F) of paragraph (1) of subdivision (b) of Section 65915 |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
| Project Identifier                                                                                                                                                            |                |                           |                                             | Project Type                         | Date | Units (Beds/Student Capacity) Approved |                                      |                             |                                 |                                  |                                      |                        |
| 1                                                                                                                                                                             |                |                           |                                             | 2                                    | 3    | 4                                      |                                      |                             |                                 |                                  |                                      |                        |
| APN                                                                                                                                                                           | Street Address | Project Name <sup>+</sup> | Local Jurisdiction Tracking ID <sup>+</sup> | Unit Category (SH - Student Housing) | Date | Very Low- Income Deed Restricted       | Very Low- Income Non Deed Restricted | Low- Income Deed Restricted | Low- Income Non Deed Restricted | Moderate- Income Deed Restricted | Moderate- Income Non Deed Restricted | Above Moderate- Income |
| Summary Row: Start Data Entry Below                                                                                                                                           |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |
|                                                                                                                                                                               |                |                           |                                             |                                      |      |                                        |                                      |                             |                                 |                                  |                                      |                        |

|                |         |                    |
|----------------|---------|--------------------|
| Jurisdiction   | Rocklin |                    |
| Reporting Year | 2022    | (Jan. 1 - Dec. 31) |

| ANNUAL ELEMENT PROGRESS REPORT<br>Local Early Action Planning (LEAP) Reporting<br>(CCR Title 25 §6202)<br><i>Please update the status of the proposed uses listed in the entity’s application for funding and the corresponding impact on housing within the region or jurisdiction, as applicable, categorized based on the eligible uses specified in Section 50515.02 or 50515.03, as applicable.</i> |                   |                                       |                                                                              |                    |                                                      |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|---------------------------------------|------------------------------------------------------------------------------|--------------------|------------------------------------------------------|
| Total Award Amount                                                                                                                                                                                                                                                                                                                                                                                       | \$ 300,000.00     |                                       | Total award amount is auto-populated based on amounts entered in rows 15-26. |                    |                                                      |
| Task                                                                                                                                                                                                                                                                                                                                                                                                     | \$ Amount Awarded | \$ Cumulative Reimbursement Requested | Task Status                                                                  | Other Funding      | Notes                                                |
| 1. Housing / Safety Element Update (Consultant and Inhouse Staff)                                                                                                                                                                                                                                                                                                                                        | \$135,000.00      | \$0.00                                | Completed                                                                    | Local General Fund | City is in the process of applying for reimbursement |
| 2. General Plan Amendments Special Studies (Consultants)                                                                                                                                                                                                                                                                                                                                                 | \$80,000.00       | \$0.00                                | In Progress                                                                  | Local General Fund |                                                      |
| 2. (A) GPA/Rezones (Inhouse Staff Work)                                                                                                                                                                                                                                                                                                                                                                  | \$40,000.00       | \$0.00                                | In Progress                                                                  | Local General Fund |                                                      |
| 3. Create Objective Residential Design Standards for SB 35 Sites                                                                                                                                                                                                                                                                                                                                         | \$35,000.00       | \$0.00                                | In Progress                                                                  | Local General Fund |                                                      |
| 4. Grant Administration                                                                                                                                                                                                                                                                                                                                                                                  | \$10,000.00       | \$0.00                                | In Progress                                                                  | Local General Fund |                                                      |
|                                                                                                                                                                                                                                                                                                                                                                                                          |                   |                                       |                                                                              |                    |                                                      |
|                                                                                                                                                                                                                                                                                                                                                                                                          |                   |                                       |                                                                              |                    |                                                      |
|                                                                                                                                                                                                                                                                                                                                                                                                          |                   |                                       |                                                                              |                    |                                                      |
|                                                                                                                                                                                                                                                                                                                                                                                                          |                   |                                       |                                                                              |                    |                                                      |
|                                                                                                                                                                                                                                                                                                                                                                                                          |                   |                                       |                                                                              |                    |                                                      |
|                                                                                                                                                                                                                                                                                                                                                                                                          |                   |                                       |                                                                              |                    |                                                      |
|                                                                                                                                                                                                                                                                                                                                                                                                          |                   |                                       |                                                                              |                    |                                                      |

Summary of entitlements, building permits, and certificates of occupancy (auto-populated from Table A2)

| Completed Entitlement Issued by Affordability Summary |                     |              |
|-------------------------------------------------------|---------------------|--------------|
| Income Level                                          |                     | Current Year |
| Very Low                                              | Deed Restricted     | 0            |
|                                                       | Non-Deed Restricted | 0            |
| Low                                                   | Deed Restricted     | 0            |
|                                                       | Non-Deed Restricted | 0            |
| Moderate                                              | Deed Restricted     | 0            |
|                                                       | Non-Deed Restricted | 0            |

|                |  |   |
|----------------|--|---|
| Above Moderate |  | 0 |
| Total Units    |  | 0 |

| Building Permits Issued by Affordability Summary |                     |              |
|--------------------------------------------------|---------------------|--------------|
| Income Level                                     |                     | Current Year |
| Very Low                                         | Deed Restricted     | 89           |
|                                                  | Non-Deed Restricted | 3            |
| Low                                              | Deed Restricted     | 196          |
|                                                  | Non-Deed Restricted | 0            |
| Moderate                                         | Deed Restricted     | 0            |
|                                                  | Non-Deed Restricted | 32           |
| Above Moderate                                   |                     | 239          |
| Total Units                                      |                     | 559          |

| Certificate of Occupancy Issued by Affordability Summary |                     |              |
|----------------------------------------------------------|---------------------|--------------|
| Income Level                                             |                     | Current Year |
| Very Low                                                 | Deed Restricted     | 0            |
|                                                          | Non-Deed Restricted | 5            |
| Low                                                      | Deed Restricted     | 0            |
|                                                          | Non-Deed Restricted | 1            |
| Moderate                                                 | Deed Restricted     | 0            |
|                                                          | Non-Deed Restricted | 0            |
| Above Moderate                                           |                     | 293          |
| Total Units                                              |                     | 299          |

|                        |           |                         |
|------------------------|-----------|-------------------------|
| <b>Jurisdiction</b>    | Rocklin   |                         |
| <b>Reporting Year</b>  | 2022      | (Jan. 1 - Dec. 31)      |
| <b>Planning Period</b> | 6th Cycle | 05/15/2021 - 05/15/2029 |

| Building Permits Issued by Affordability Summary |                     |              |
|--------------------------------------------------|---------------------|--------------|
| Income Level                                     |                     | Current Year |
| Very Low                                         | Deed Restricted     | 89           |
|                                                  | Non-Deed Restricted | 3            |
| Low                                              | Deed Restricted     | 196          |
|                                                  | Non-Deed Restricted | 0            |
| Moderate                                         | Deed Restricted     | 0            |
|                                                  | Non-Deed Restricted | 32           |
| Above Moderate                                   |                     | 239          |
| Total Units                                      |                     | 559          |

Note: Units serving extremely low-income households are included in the very low-income permitted units totals

| Units by Structure Type | Entitled | Permitted  | Completed  |
|-------------------------|----------|------------|------------|
| SFA                     | 0        | 0          | 0          |
| SFD                     | 0        | 188        | 288        |
| 2 to 4                  | 0        | 9          | 0          |
| 5+                      | 0        | 342        | 0          |
| ADU                     | 0        | 20         | 11         |
| MH                      | 0        | 0          | 0          |
| <b>Total</b>            | <b>0</b> | <b>559</b> | <b>299</b> |

| Housing Applications Summary                           |     |
|--------------------------------------------------------|-----|
| Total Housing Applications Submitted:                  | 7   |
| Number of Proposed Units in All Applications Received: | 993 |
| Total Housing Units Approved:                          | 950 |
| Total Housing Units Disapproved:                       | 0   |

| Use of SB 35 Streamlining Provisions          |   |
|-----------------------------------------------|---|
| Number of Applications for Streamlining       | 0 |
| Number of Streamlining Applications Approved  | 0 |
| Total Developments Approved with Streamlining | 0 |
| Total Units Constructed with Streamlining     | 0 |

| Units Constructed - SB 35 Streamlining Permits |        |           |       |
|------------------------------------------------|--------|-----------|-------|
| Income                                         | Rental | Ownership | Total |
| Very Low                                       | 0      | 0         | 0     |
| Low                                            | 0      | 0         | 0     |
| Moderate                                       | 0      | 0         | 0     |
| Above Moderate                                 | 0      | 0         | 0     |
| Total                                          | 0      | 0         | 0     |

Cells in grey contain auto-calculation formulas

**HOUSING SUCCESSOR ANNUAL REPORT  
LOW AND MODERATE INCOME HOUSING ASSET FUND  
FOR FISCAL YEAR 2021/22  
PURSUANT TO  
CALIFORNIA HEALTH AND SAFETY CODE SECTION 34176.1(f)  
FOR THE  
HOUSING AUTHORITY OF THE CITY OF ROCKLIN**



**March 28<sup>th</sup>, 2023**

# HOUSING SUCCESSOR ANNUAL REPORT

## TABLE OF CONTENTS

|                                                                               |          |
|-------------------------------------------------------------------------------|----------|
| <b>LOW AND MODERATE INCOME HOUSING ASSET FUND FOR FY 2021/22 .....</b>        | <b>1</b> |
| <b>INTRODUCTION .....</b>                                                     | <b>1</b> |
| <b>I. AMOUNT RECEIVED BY THE CITY.....</b>                                    | <b>1</b> |
| <b>II. AMOUNT DEPOSITED INTO LMIHAF.....</b>                                  | <b>1</b> |
| <b>III. ENDING BALANCE OF LMIHAF.....</b>                                     | <b>1</b> |
| <b>IV. DESCRIPTION OF EXPENDITURES FROM LMIHAF:.....</b>                      | <b>2</b> |
| <b>V. STATUTORY VALUE OF ASSETS OWNED BY HOUSING SUCCESSOR IN LMIHAF ....</b> | <b>2</b> |
| <b>VI. DESCRIPTION OF TRANSFERS.....</b>                                      | <b>3</b> |
| <b>VII. PROJECT DESCRIPTIONS.....</b>                                         | <b>3</b> |
| <b>VIII. STATUS OF COMPLIANCE WITH SECTION 33334.16 .....</b>                 | <b>3</b> |
| <b>IX. DESCRIPTION OF OUTSTANDING OBLIGATIONS UNDER SECTION 33413 .....</b>   | <b>4</b> |
| <b>X. INCOME TEST .....</b>                                                   | <b>4</b> |
| <b>XI. SENIOR HOUSING TEST .....</b>                                          | <b>5</b> |
| <b>XII. EXCESS SURPLUS TEST.....</b>                                          | <b>6</b> |
| <b>XIII. HOMEOWNERSHIP UNITS IN HOUSING SUCCESSOR LOAN PORTFOLIO .....</b>    | <b>8</b> |

## **INTRODUCTION**

This Housing Successor Annual Report (Report) regarding the Low and Moderate Income Housing Asset Fund (LMIHAF) has been prepared pursuant to California Health and Safety Code Section 34176.1 (f). This Report sets forth certain details of the Housing Successor of the City of Rocklin activities during Fiscal Year 2021/22. The purpose of this Report is to provide the governing body of the Housing Successor an annual report on the housing assets and activities of the Housing Successor under Part 1.85, Division 24 of the California Health and Safety Code, in particular Sections 34176 and 34176.1 (Dissolution Law).

The following Report is based upon information prepared by Housing Successor staff. Information contained within the independent financial audit of the Low and Moderate Income Housing Asset Fund for Fiscal Year 2021/22 was prepared by Chavan & Associates, LLP, and is separate from this annual summary Report; further, this Report conforms with and is organized into sections I. through XIII., inclusive, pursuant to Section 34176.1(f) of the Dissolution Law.

### **I. AMOUNT RECEIVED BY THE CITY**

This section provides the total amount of funds received by the city pursuant to subparagraph (A) of paragraph (3) of subdivision (b) of Section 34191.4 stating that loan or deferral repayments made pursuant to this section are second in priority to those owed to the LMIHAF.

No funds were received by the City during Fiscal Year 2021/22 under this section.

### **II. AMOUNT DEPOSITED INTO LMIHAF**

This section provides the total amount of funds deposited into the LMIHAF during the Fiscal Year. Any amounts deposited for items listed on the Recognized Obligation Payment Schedule (ROPS) must be distinguished from the other amounts deposited.

A total of \$560,960 was deposited into the LMIHAF during the Fiscal Year. None of the total funds deposited into the LMIHAF was for Supplemental Educational Revenue Augmentation Fund (SERAF) loan repayment listed on the ROPS.

### **III. ENDING BALANCE OF LMIHAF**

This section provides a statement of the balance in the LMIHAF as of the close of the Fiscal Year. Any amounts held for items listed on the ROPS must be distinguished from the other amounts.

At the close of the Fiscal Year, the ending fund balance in the LMIHAF was \$11,835,516, of which \$8,049,110 is Long-term receivables.

#### IV. DESCRIPTION OF EXPENDITURES FROM LMIHAF:

This section provides a description of the expenditures made from the LMIHAF during the Fiscal Year. The expenditures are to be categorized.

The following is a description of expenditures from the LMIHAF by category:

| FY 2021/2022 LMIHAF Expenditures                                |           |
|-----------------------------------------------------------------|-----------|
| Activity                                                        | Amount    |
| Maintenance, Monitoring & Administration Expenditures- In House | \$152,205 |
| Administration Expenditures- Consultants                        | \$47,795  |
| Loan Write off- Foreclosure/Short Sale                          | \$33,000  |
| Homeless Shelter Contribution                                   | \$72,000  |
| • Total LMIHAF Expenditures                                     | 305,000   |

#### V. STATUTORY VALUE OF ASSETS OWNED BY HOUSING SUCCESSOR IN LMIHAF

This section provides the statutory value of real property owned by the Housing Successor, the value of loans and grants receivables, and the sum of these two amounts.

Under the Dissolution Law and for purposes of this Report, the "statutory value of real property" means the value of properties formerly held by the former redevelopment agency as listed on the housing asset transfer schedule approved by the Department of Finance as listed in such schedule under Section 34176(a)(2), the value of the properties transferred to the Housing Successor pursuant to Section 34181(f), and the purchase price of property(ies) purchased by the Housing Successor. Further, the value of loans and grants receivable is included in these reports assets held in the LMIHAF.

The following provides the statutory value of assets owned by the Housing Successor.

| Owned by Housing Successor As of End Fiscal Year |               |
|--------------------------------------------------|---------------|
|                                                  |               |
| Value of Cash                                    | \$ 3,807,210  |
| Value of Receivables                             | \$ 4,305      |
| Value of Loans                                   | \$ 8,049,110  |
| Statutory Value of Real Property                 | \$ 560,738    |
| Total Value of Housing Successor Assets          | \$ 12,421,363 |

## **VI. DESCRIPTION OF TRANSFERS**

This section describes transfers, if any, to another housing successor agency made in previous Fiscal Year(s), including whether the funds are unencumbered and the status of projects; if any, for which the transferred LMIHAF will be used. The sole purpose of the transfers must be for the development of transit priority projects, permanent supportive housing, housing for agricultural employees or special needs housing.

The Housing Successor did not make any LMIHAF transfers to other Housing Successor(s) under Section 34176.1(c)(2).

## **VII. PROJECT DESCRIPTIONS**

This section describes any project for which the Housing Successor receives or holds property tax revenue pursuant to the ROPS and the status of the project.

The Housing Successor does not receive or hold property tax revenue pursuant to the ROPS.

## **VIII. STATUS OF COMPLIANCE REGARDING INTERESTS IN REAL PROPERTY**

This section provides a status update on interests in real property acquired by the former redevelopment agency prior to February 1, 2012 and for interests in real property acquired on or after February 1, 2012.

As of fiscal year 2021/22, the Housing Successor still has ownership of real property previously acquired at the corner of Pacific/Oak/Pine Streets in Rocklin. In implementation of the Surplus Land Act (SLA) and the implementation of SLA guidelines established by the California Department of Housing and Community Development (HCD) (the Guidelines), on October 24, 2021, the City issued a Notice of Availability (NOA) of Surplus Land providing notification that the City intended to sell or ground lease the Site for the purposes of development of affordable rental housing. On February 25, 2022, a Request for Proposals (RFP) for the proposed ground lease of the site was issued to the six developers who had responded with Letters of Interest to the NOA. After evaluation, the City's review panel determined that one proposal, that of Community HousingWorks, a California nonprofit public benefit corporation (CHW) proposed the highest number of rental units to be available at affordable rent to households of limited income. The City is working collaboratively with the developer to bring forward a development project creating affordable housing units on this property.

## **IX. DESCRIPTION OF OUTSTANDING OBLIGATIONS UNDER SECTION 33413**

This section describes any outstanding inclusionary and replacement housing obligations, if any, under Section 33413 that remained outstanding prior to dissolution of the former redevelopment agency as of February 1, 2012 along with the Housing Successor's progress in meeting those prior obligations, if any, of the former redevelopment agency and how the Housing Successor's plan to meet unmet obligations, if any.

The Housing Successor does not have any obligations to fulfill under Section 33413.

## **X. INCOME TEST**

This section provides information required by Section 34176.1(a)(3)(A), which states that all funds remaining after monitoring and administrative as well as homeless housing and rapid rehousing services expenditures are deducted must be used for the development of housing affordable to and occupied by households earning 80% or less of the area median income (AMI), with at least 30% of these remaining funds expended for the development of rental housing affordable to and occupied by households earning 30% or less of the AMI and no more than 20% of these remaining funds expended for the development of housing affordable to and occupied by households earning between 60% and 80% of the AMI. The Housing Successor must demonstrate, that the Housing Successor's expenditures from January 1, 2014 through the end of the latest fiscal year comply with these requirements.

If the Housing Successor fails to comply with the Extremely-Low Income requirement in any five-year period, then the Housing Successor must ensure that at least 50% of the funds remaining in the LMIHAF be expended in each fiscal year following the latest fiscal year following the report on households earning 30% or less of the AMI until the Housing Successor demonstrates compliance with the Extremely-Low Income requirement.

If the Housing Successor exceeds the expenditure limit for households earning between 60% and 80% of the AMI in any five year report, the Housing Successor shall not expend any of the remaining funds for households earning between 60% and 80% of the AMI until the Housing Successor demonstrates compliance with this limitation in an annual report.

For informational purposes, the table on the following page provides the Housing Successor's Extremely-Low Income Housing Test as of Fiscal Year 2021/2022:

| Year         | Five Year LMIHAF Expenditures            |                                       | Total Funds Remaining After Expenditures |
|--------------|------------------------------------------|---------------------------------------|------------------------------------------|
|              | Spent on Extremely Low (AMI 30% or less) | Spent on Moderately Low (AMI 60%-80%) |                                          |
| FY 2017/2018 | \$0                                      | \$0                                   | \$2,924,875                              |
| FY 2018/2019 | \$2,110                                  | \$0                                   | \$3,093,011                              |
| FY 2019/2020 | \$116,228                                | \$0                                   | \$3,209,977                              |
| FY 2020/2021 | \$0                                      | \$0                                   | \$3,497,446                              |
| FY 2021/2022 | \$0                                      | \$0                                   | \$3,807,210                              |
| Totals       | <b>\$118,338</b>                         | <b>\$0</b>                            |                                          |

The Housing Successor has not complied with the requirement to expend a minimum of 30% of remaining funds on Extremely-Low Income housing as of the year ended June 30, 2022. Therefore, the Housing Successor Extremely-Low minimum increases to 50% until compliance is met.

## **XI. SENIOR HOUSING TEST**

This section provides the percentage of units of deed-restricted rental housing restricted to seniors and assisted individually or jointly by the Housing Successor, its former redevelopment Agency, and its host jurisdiction within the previous 10 years in relation to the aggregate number of units of deed-restricted rental housing assisted individually or jointly by the Housing Successor, its former Redevelopment Agency and its host jurisdiction within the same time period. For this Report the ten-year period reviewed is July 1, 2010 to June 30, 2021.

| <b><i>Rental Senior Restricted Units</i></b>       | <b>Non-Senior<br/>Units Created</b> | <b>Senior Units<br/>Created</b> | <b>Description</b> |
|----------------------------------------------------|-------------------------------------|---------------------------------|--------------------|
| Units Created Reporting Year<br>(FY 2021-22)       | 0                                   | 0                               |                    |
| Units Created Reporting Year<br>(FY 2020-21)       | 0                                   | 0                               |                    |
| Units Created Reporting Year<br>(FY 2019-20)       | 0                                   | 0                               |                    |
| Units Created Reporting Year<br>(FY 2018-19)       | 0                                   | 0                               |                    |
| Units Created Reporting Year<br>(FY 2017-18)       | 0                                   | 0                               |                    |
| Units Created Reporting Year<br>(FY 2016-17)       | 0                                   | 0                               |                    |
| Units Created Reporting Year<br>(FY 2015-16)       | 0                                   | 0                               |                    |
| Units Created Reporting Year<br>(FY 2014-15)       | 0                                   | 0                               |                    |
| Units Created Reporting Year<br>(FY 2013-14)       | 0                                   | 0                               |                    |
| Units Created Reporting Year<br>(FY 2012-13)       | 0                                   | 0                               |                    |
| Units Created Reporting Year<br>(FY 2011-12)       | 0                                   | 0                               |                    |
| 10-Year Total                                      | 0                                   | 0                               |                    |
|                                                    |                                     |                                 |                    |
| 10-Year Total Non-Senior &<br>Senior Units Created | 0                                   |                                 |                    |
| % of Senior Units Over 10 years                    | 0%                                  |                                 |                    |
| Under Limit (Yes/No)                               | Yes                                 |                                 |                    |

## **XII. EXCESS SURPLUS TEST**

The Housing Successor presently retains a computed excess surplus pursuant to Health and Safety Code Section 34176.1. For the purpose of this report, pursuant to Section 34176.1(d), “excess surplus shall mean an unencumbered amount that exceeds the greater of one million dollars (\$1,000,000) or the aggregate amount deposited in to the account during the housing successor’s preceding four fiscal years, whichever is greater.” If the unencumbered amount does not exceed the greater of the aggregate amount deposited into the LMIHAF during the preceding four fiscal years or \$1,000,000, whichever is greater, there is no excess surplus.

The Excess Surplus calculation relates to a four-year calculation. This period ended June 30, 2022 uses the preceding four years deposits for the limitation calculation. The available unencumbered funds do not exceed the limitation. Therefore, it is determined for this period, that the Housing Successor does not have excess surplus.

THE CITY OF ROCKLIN HOUSING AUTHORITY  
(a component unit of the City of Rocklin, California)

CALCULATION OF EXCESS SURPLUS IN THE LOW/MOD INCOME HOUSING ASSET FUND

June 30, 2022

(in thousands)

|                                                                               |         |                |
|-------------------------------------------------------------------------------|---------|----------------|
| Fund Balance, June 30, 2022                                                   |         | \$11,836       |
| Less Unavailable Amounts:                                                     |         |                |
| Housing and Rehabilitation Loans 6/30/22                                      | \$8,049 |                |
| Accounts Payable as of 6/30/2022                                              | \$ 25   |                |
| Commitment Letter for New Development                                         | \$2,600 |                |
| Available Unencumbered Low and Moderate Income Housing Funds                  |         | \$1,162        |
| Limitation (greater of \$1,000 or four years LMIHF deposits)                  |         |                |
| LMIHF Deposits for preceding four years                                       |         |                |
| 2018-2019                                                                     | 368     |                |
| 2019-2020                                                                     | 868     |                |
| 2020-2021                                                                     | 495     |                |
| 2021-2022                                                                     | 561     |                |
| Total four years LMIHF deposits (limitation)                                  |         | <u>(2,292)</u> |
| Excess(Deficit) of Available Low Mod Housing Funds<br>Over (Under) Limitation |         | \$ (1,130)     |
| Computed Excess Surplus, June 30, 2022                                        |         | None           |

### XIII. HOMEOWNERSHIP UNITS IN HOUSING SUCCESSOR LOAN PORTFOLIO 2021-2022 SB 341

An inventory of homeownership units assisted by the former redevelopment agency or the housing successor that are subject to covenants or restrictions or to an adopted program that protects the former redevelopment agency's investment of moneys from the Low and Moderate Income Housing Fund pursuant to subdivision (f) of Section 33334.3.

| PROPERTY ADDRESS        | CITY, ZIP CODE | ASSESSOR'S PARCEL NUMBER | TOTAL SUBSIDIZED UNITS OR # OF BEDROOMS | YEAR CONSTRUCTED | COVENANT DOCUMENT NUMBER | COVENANT DOCUMENT DATE | RESTRICTIONS EXPIRATION | NOTES                                                                          |
|-------------------------|----------------|--------------------------|-----------------------------------------|------------------|--------------------------|------------------------|-------------------------|--------------------------------------------------------------------------------|
| Single Family Housing   |                |                          |                                         |                  |                          |                        |                         |                                                                                |
| 2616 S. Whitney         | Rocklin, 95677 | 016-314-015              | 4                                       | 1965             | 2005-0154157             | 38672                  | 44151                   | Unit lost due to expiration of restrictions. Paid off loan to City             |
| 5904 Meadowdale Drive   | Rocklin, 95677 | 016-490-013              | 3                                       | 1988             | 98-0070055               | 36039                  | 39692                   | Unit lost due to expiration of restrictions                                    |
| 4300 Jamerson St        | Rocklin, 95677 | 045-190-069              | 3                                       | 2002             | 2003-0049145             | 03/31/2003             | 03/31/2013              | Unit lost due to expiration of restrictions                                    |
| 2765 S. Whitney Blvd.   | Rocklin, 95677 | 016-240-007              | 4                                       | 1965             | 2003-0209280             | 12/19/2003             | 12/19/2013              | Unit lost due to expiration of restrictions                                    |
| 5115 Grove St.          | Rocklin, 95677 | 010-181-032              | 3                                       | 1997             | 2004-0045423             | 04/15/2004             | 04/15/2014              | Unit sold; loan repaid; <b>affordability lost - short sale and term expire</b> |
| 3010 Springview Meadows | Rocklin, 95677 | 016-490-005              | 4                                       | 1988             | 2004-0044273             | 04/14/2004             | 04/14/2014              | Unit lost due to expiration of restrictions                                    |
| 5800 Sequoia Court      | Rocklin, 95677 | 046-261-032              | 2                                       | 1980             | 2004-0097240             | 07/23/2004             | 07/23/2014              | Unit lost due to expiration of restrictions                                    |
| 2504 Zephyr Cove        | Rocklin, 95677 | 371-150-070              | 1                                       | 1999             | 2007-0046805             | 05/09/2007             | 05/09/2017              | Unit sold; covenants remain                                                    |
| 2306 Zephyr Cove        | Rocklin, 95677 | 371-160-021              | 1                                       | 2000             | 2007-0074786             | 07/27/2007             | 07/27/2017              |                                                                                |
| 5580 Avila Court        | Rocklin, 95677 | 016-200-005              | 2                                       | 1973             | 2007-0100785             | 10/22/2007             | 10/22/2017              | Interest paid off. Unit sold. DPA / HRA assumed by buyer 2016                  |
| 6117 Lonetree Blvd.     | Rocklin, 95765 | 365-290-015              | 4                                       | 2007             | 2007-0110700             | 11/20/2007             | 11/20/2017              | \$10K interest payment made (2017) DPA and HRA remain                          |
| 6145 Lonetree Blvd.     | Rocklin, 95765 | 365-290-029              | 3                                       | 2007             | 2007-0120873             | 12/26/2007             | 12/26/2017              | \$27,982.66 paid to interest 10/25/16, assigned and assumed                    |
| 3589 Nevada Street      | Rocklin, 95677 | 010-280-018              | 3                                       | 2004             | 2004-00116648            | 09/01/2004             | 09/01/2019              |                                                                                |
| 3591 Nevada Street      | Rocklin, 95677 | 010-280-017              | 3                                       | 2004             | 2004-0101845             | 08/03/2004             | 08/03/2019              |                                                                                |
| 5600 Daffodil Circle    | Rocklin, 95677 | 045-540-037              | 3                                       | 2005             | 2006-0006011             | 01/20/2006             | 01/20/2036              |                                                                                |
| 5604 Daffodil Circle    | Rocklin, 95677 | 045-540-035              | 3                                       | 2005             | 2006-0006015             | 01/20/2006             | 01/20/2036              |                                                                                |
| 5606 Daffodil Circle    | Rocklin, 95677 | 045-540-034              | 3                                       | 2005             | 2006-0006916             | 01/23/2006             | 01/23/2036              |                                                                                |
| 5608 Daffodil Circle    | Rocklin, 95677 | 045-540-033              | 3                                       | 2005             | 2006-0007146             | 01/24/2006             | 01/24/2036              |                                                                                |
| 5602 Daffodil Circle    | Rocklin, 95677 | 045-540-036              | 3                                       | 2005             | 2006-0006919             | 01/23/2006             | 01/23/2036              |                                                                                |
| 4114 Oak Court          | Rocklin, 95677 | 010-181-024              | 3                                       | 2003             | 2004-0004025             | 01/14/2004             | 01/14/2049              |                                                                                |
| 4110 Oak Court          | Rocklin, 95677 | 010-181-026              | 4                                       | 2003             | 2004-0003740             | 01/14/2004             | 12/24/2049              |                                                                                |
| 4108 Oak Court          | Rocklin, 95677 | 010-181-027              | 3                                       | 2003             | 2004-0007219             | 01/22/2004             | 01/22/2049              |                                                                                |
| 4112 Oak Court          | Rocklin, 95677 | 010-181-023              | 4                                       | 2003             | 2004-0008780             | 01/27/2004             | 01/27/2049              |                                                                                |
| 4106 Oak Court          | Rocklin, 95677 | 010-181-028              | 3                                       | 2003             | 2004-0008790             | 01/27/2004             | 01/27/2049              |                                                                                |
| 4104 Oak Court          | Rocklin, 95677 | 010-181-029              | 3                                       | 2003             | 2004-0008772             | 01/27/2004             | 01/27/2049              |                                                                                |
| 4102 Oak Court          | Rocklin, 95677 | 010-181-030              | 4                                       | 2003             | 2004-0008763             | 01/27/2004             | 01/27/2049              |                                                                                |
| 4116 Oak Court          | Rocklin, 95677 | 010-181-025              | 3                                       | 2003             | 2004-0002053             | 01/08/2004             | 01/08/2049              |                                                                                |

| PROPERTY ADDRESS                  | CITY, ZIP CODE | ASSESSOR'S PARCEL NUMBER | TOTAL SUBSIDIZED UNITS OR # OF BEDROOMS | YEAR CONSTRUCTED | COVENANT DOCUMENT NUMBER | COVENANT DOCUMENT DATE | RESTRICTIONS EXPIRATION | NOTES                                                                |
|-----------------------------------|----------------|--------------------------|-----------------------------------------|------------------|--------------------------|------------------------|-------------------------|----------------------------------------------------------------------|
| <b>Condominiums</b>               |                |                          |                                         |                  |                          |                        |                         |                                                                      |
| 1240 Whitney Ranch Parkway #314   | Rocklin, 95765 | 489-240-025              | 3                                       | 2007             | 2007-0077279             | 08/03/2007             | 08/03/2037              | Unit sold. No DPA loan, affordability assumed                        |
| 1210 Whitney Ranch Parkway, #537  | Rocklin, 95765 | 489-250-023              | 2                                       | 2006             | 2008-0061943             | 07/30/2008             | 07/30/2038              | Unit sold. No DPA loan, affordability assumed                        |
| 1251 Whitney Ranch Parkway #1215  | Rocklin, 95765 | 489-270-029              | 2                                       | 2006             |                          |                        |                         | Unit sold. No DPA loan, affordability assumed                        |
| 1251 Whitney Ranch Parkway #1216  | Rocklin, 95765 | 489-270-030              | 3                                       | 2006             | 2007-0090001             | 09/14/2007             | 09/14/2037              | Unit sold. No DPA loan, affordability assumed                        |
| 1181 Whitney Ranch Parkway # 738  | Rocklin, 95765 | 489-250-072              | 3                                       | 2006             | 2008-0085284             | 10/31/2008             | 10/31/2038              | Unit sold. No DPA loan, affordability assumed                        |
| 1211 Whitney Ranch Parkway #1022  | Rocklin, 95765 | 489-260-058              | 2                                       | 2006             | 2011-0043236             | 06/03/2011             | 06/03/2041              | Interest only - DPA /HRA assumed by buyer                            |
| 1210 WHITNEY RANCH PKWY #524      | Rocklin, 95765 | 489-250-012              | 2                                       | 2006             | 2011-0007286             | 01/26/2011             | 01/26/2041              | Paid off DPA loan and interest- buyer only assumed affordability     |
| 1250 Whitney Ranch Pkwy, #215     | Rocklin, 95765 | 489-240-005              | 2                                       | 2006             | 2007-0054404             | 05/30/2007             | 05/30/2037              | Lost affordability b/c documents were not recorded at original purch |
| 1250 Whitney Ranch Pkwy, #232     | Rocklin, 95765 | 489-240-018              | 2                                       | 2006             | 2007-0054410             | 05/30/2007             | 05/30/2037              | Unit sold. Paid off DPA loan, affordability assumed                  |
| 1250 Whitney Ranch Pkwy, #238     | Rocklin, 95765 | 489-240-024              | 3                                       | 2006             | 2007-0055118             | 05/31/2007             | 05/31/2037              |                                                                      |
| 1250 Whitney Ranch Pkwy, #237     | Rocklin, 95765 | 489-240-023              | 2                                       | 2006             | 2007-0055100             | 05/31/2007             | 05/31/2037              |                                                                      |
| 1250 Whitney Ranch Pkwy, #231     | Rocklin, 95765 | 489-240-017              | 3                                       | 2006             | 2007-0064359             | 06/27/2007             | 06/27/2037              |                                                                      |
| 1250 Whitney Ranch Pkwy, #423     | Rocklin, 95765 | 489-240-059              | 3                                       | 2006             | 2007-0065591             | 06/29/2007             | 06/29/2037              |                                                                      |
| 1230 Whitney Ranch Pkwy, #432     | Rocklin, 95765 | 489-240-066              | 2                                       | 2006             | 2007-0065598             | 06/29/2007             | 06/29/2037              | Unit sold. DPA loan and affordability assumed                        |
| 1230 Whitney Ranch Pkwy, #414     | Rocklin, 95765 | 489-240-052              | 2                                       | 2006             | 2007-0065633             | 06/29/2007             | 06/29/2037              | Unit lost 90+day mktng; DPA + Intrst paid off; HRA Reconveyed (2     |
| 1230 Whitney Ranch Pkwy, #412     | Rocklin, 95765 | 489-240-050              | 2                                       | 2006             | 2007-0066790             | 07/03/2007             | 07/03/2037              |                                                                      |
| 1250 Whitney Ranch Pkwy, #411     | Rocklin, 95765 | 489-240-049              | 3                                       | 2006             | 2007-0066783             | 07/03/2007             | 07/03/2037              |                                                                      |
| 1230 Whitney Ranch Pkwy, #431     | Rocklin, 95765 | 489-240-065              | 2                                       | 2006             | 2007-0068680             | 07/10/2007             | 07/10/2037              |                                                                      |
| 1240 Whitney Ranch Pkwy, #315     | Rocklin, 95765 | 489-240-029              | 2                                       | 2006             | 2007-0074752             | 07/27/2007             | 07/27/2037              |                                                                      |
| 1240 Whitney Ranch Pkwy, #334     | Rocklin, 95765 | 489-240-044              | 2                                       | 2006             | 2007-0075262             | 07/30/2007             | 07/30/2037              |                                                                      |
| 1240 Whitney Ranch Pkwy, #337     | Rocklin, 95765 | 489-240-047              | 2                                       | 2006             | 2007-0076040             | 07/31/2007             | 07/31/2037              |                                                                      |
| 1240 Whitney Ranch Pkwy, #335     | Rocklin, 95765 | 489-240-045              | 2                                       | 2006             | 2007-0081779             | 08/17/2007             | 08/17/2037              |                                                                      |
| 1251 Whitney Ranch Pkwy, #1231    | Rocklin, 95765 | 489-270-041              | 3                                       | 2006             | 2007-0087937             | 09/07/2007             | 09/07/2037              |                                                                      |
| 1221 Whitney Ranch Pkwy, #1132    | Rocklin, 95765 | 489-270-018              | 2                                       | 2006             | 2007-0089177             | 09/12/2007             | 09/12/2037              |                                                                      |
| 1230 Whitney Ranch Pkwy, #434     | Rocklin, 95765 | 489-240-068              | 2                                       | 2006             | 2007-0092653             | 09/24/2007             | 09/24/2037              |                                                                      |
| 1230 Whitney Ranch Pkwy, #436     | Rocklin, 95765 | 489-240-070              | 3                                       | 2006             | 2007-0098729             | 10/15/2007             | 10/15/2037              |                                                                      |
| 1230 Whitney Ranch Pkwy, #425     | Rocklin, 95765 | 489-240-061              | 2                                       | 2006             | 2007-0100347             | 10/19/2007             | 10/19/2037              | sold to an affordable buyer, transfer DPA loan                       |
| 1210 Whitney Ranch Pkwy, #528     | Rocklin, 95765 | 489-250-016              | 3                                       | 2006             | 2007-102818              | 10/29/2007             | 10/29/2037              |                                                                      |
| 1251 Whitney Ranch Pkwy, #1228    | Rocklin, 95765 | 489-270-040              | 3                                       | 2006             | 2007-0109127             | 11/15/2007             | 11/15/2037              |                                                                      |
| 1221 Whitney Ranch Parkway, #1114 | Rocklin, 95765 | 489-270-004              | 2                                       | 2006             | 2007-0110727             | 11/20/2007             | 11/20/2037              |                                                                      |
| 1211 Whitney Ranch Pkwy, #1014    | Rocklin, 95765 | 489-260-052              | 2                                       | 2006             | 2007-0110933             | 11/21/2007             | 11/21/2037              |                                                                      |
| 1251 Whitney Ranch Pkwy, #1235    | Rocklin, 95765 | 489-270-045              | 2                                       | 2006             | 2007-0111415             | 11/26/2007             | 11/26/2037              | Unit sold; loan repaid. affordability lost due to marketing period t |
| 1210 Whitney Ranch Pkwy, #517     | Rocklin, 95765 | 489-250-007              | 2                                       | 2006             | 2007-0111966             | 11/27/2007             | 11/27/2037              | Unit sold; loan repaid Unit not sold, covenants remain               |
| 1210 Whitney Ranch Pkwy, #518     | Rocklin, 95765 | 489-250-008              | 3                                       | 2006             | 2007-0111972             | 11/27/2007             | 11/27/2037              | Unit lost. Foreclosure                                               |

| PROPERTY ADDRESS                      | CITY, ZIP CODE        | ASSESSOR'S PARCEL NUMBER | TOTAL SUBSIDIZED UNITS OR # OF BEDROOMS | YEAR CONSTRUCTED | COVENANT DOCUMENT NUMBER | COVENANT DOCUMENT DATE | RESTRICTIONS EXPIRATION | NOTES                                                                       |
|---------------------------------------|-----------------------|--------------------------|-----------------------------------------|------------------|--------------------------|------------------------|-------------------------|-----------------------------------------------------------------------------|
| <b>Condominiums (cont)</b>            |                       |                          |                                         |                  |                          |                        |                         |                                                                             |
| 1221 Whitney Ranch Pkwy, #1116        | Rocklin, 95765        | 489-270-006              | 3                                       | 2006             | 2007-0112790             | 11/29/2007             | 11/29/2037              |                                                                             |
| 1230 Whitney Ranch Pkwy, #438         | Rocklin, 95765        | 489-240-072              | 3                                       | 2006             | 2007-0113403             | 11/30/2007             | 11/30/2037              |                                                                             |
| 1251 Whitney Ranch Pkwy, #1238        | Rocklin, 95765        | 489-270-048              | 3                                       | 2006             | 2007-0113475             | 12/03/2007             | 12/03/2037              | Unit sold; covenants remain                                                 |
| 1211 Whitney Ranch Pkwy, #1034        | Rocklin, 95765        | 489-260-068              | 2                                       | 2006             | 2007-0117153             | 12/13/2007             | 12/13/2037              |                                                                             |
| 1251 Whitney Ranch Pkwy, #1223        | Rocklin, 95765        | 489-270-035              | 3                                       | 2006             | 2007-0120004             | 12/21/2007             | 12/21/2037              |                                                                             |
| 1181 Whitney Ranch Pkwy, #734         | Rocklin, 95765        | 489-250-068              | 2                                       | 2006             | 2007-0120812             | 12/26/2007             | 12/26/2037              |                                                                             |
| 1251 Whitney Ranch Pkwy, #333         | Rocklin, 95765        | 489-240-043              | 3                                       | 2006             | 2007-0121444             | 12/27/2007             | 12/27/2037              |                                                                             |
| 1211 Whitney Ranch Pkwy, #1033        | Rocklin, 95765        | 489-260-067              | 3                                       | 2006             | 2007-0121450             | 12/27/2007             | 12/27/2037              |                                                                             |
| <b>1240 Whitney Ranch Pkwy, #328</b>  | <b>Rocklin, 95765</b> | <b>489-240-040</b>       | <b>3</b>                                | <b>2006</b>      | <b>2008-0006384</b>      | <b>01/27/2008</b>      | <b>01/27/2038</b>       | <b>Unit lost 90+day mrktng; DPA + Intrst paid off; HRA Reconveyed t</b>     |
| 1251 Whitney Ranch Pkwy, #1214        | Rocklin, 95765        | 489-270-028              | 2                                       | 2006             | 2008-0006770             | 01/31/2008             | 01/31/2038              |                                                                             |
| 1240 Whitney Ranch Pkwy, #318         | Rocklin, 95765        | 489-240-032              | 3                                       | 2006             | 2008-240-032             | 02/11/2008             | 02/11/2038              |                                                                             |
| 1210 Whitney Ranch Pkwy, #536         | Rocklin, 95765        | 489-250-022              | 2                                       | 2006             | 2008-0010379             | 02/13/2008             | 02/13/2038              |                                                                             |
| 1201 Whitney Ranch Pkwy, #917         | Rocklin, 95765        | 489-260-028              | 2                                       | 2006             | 2008-0046796             | 06/05/2008             | 06/05/2038              |                                                                             |
| 1251 Whitney Ranch Pkwy, #1213        | Rocklin, 95765        | 489-270-027              | 3                                       | 2006             | 2008-0046493             | 06/06/2008             | 06/06/2038              | Unit sold; covenants remain                                                 |
| 1231 Whitney Ranch Pkwy, #1237        | Rocklin, 95765        | 489-270-047              | 2                                       | 2006             | 2008-0048182             | 06/13/2008             | 06/13/2038              |                                                                             |
| 1191 Whitney Ranch Pkwy, #835         | Rocklin, 95765        | 489-260-021              | 2                                       | 2006             | 2008-0048532             | 06/13/2008             | 06/13/2038              | Unit sold; covenants remain                                                 |
| 1201 Whitney Ranch Pkwy, #913         | Rocklin, 95765        | 489-260-027              | 3                                       | 2006             | 2008-0048201             | 06/13/2008             | 06/13/2038              |                                                                             |
| 1181 Whitney Ranch Pkwy, #737         | Rocklin, 95765        | 489-250-071              | 2                                       | 2006             | 2008-0053275             | 06/20/2008             | 06/20/2038              |                                                                             |
| 1201 Whitney Ranch Pkwy, #911         | Rocklin, 95765        | 489-260-025              | 3                                       | 2006             | 2008-0052785             | 06/27/2008             | 06/27/2038              |                                                                             |
| 1200 Whitney Ranch Pkwy, #635         | Rocklin, 95765        | 489-250-045              | 2                                       | 2006             | 2008-0052779             | 06/27/2008             | 06/27/2038              |                                                                             |
| 1191 Whitney Ranch Pkwy, #832         | Rocklin, 95765        | 489-260-018              | 2                                       | 2006             | 2008-0053283             | 06/30/2008             | 06/30/2038              |                                                                             |
| <b>1181 Whitney Ranch Pkwy, #724</b>  | <b>Rocklin, 95765</b> | <b>489-250-060</b>       | <b>2</b>                                | <b>2006</b>      | <b>2008-0054194</b>      | <b>07/02/2008</b>      | <b>07/02/2038</b>       | <b>Unit sold; loan repaid - foreclosure - lost HRA</b>                      |
| 1181 Whitney Ranch Pkwy, #717         | Rocklin, 95765        | 489-250-055              | 2                                       | 2006             | 2008-0054411             | 07/03/2008             | 07/03/2038              |                                                                             |
| 1221 Whitney Ranch Pkwy, #1117        | Rocklin, 95765        | 489-270-007              | 2                                       | 2006             | 2008-0059495             | 07/22/2008             | 07/22/2038              |                                                                             |
| <b>1221 Whitney Ranch Pkwy, #1133</b> | <b>Rocklin, 95765</b> | <b>489-270-003</b>       | <b>3</b>                                | <b>2006</b>      | <b>2008-0061979</b>      | <b>07/30/2008</b>      | <b>07/30/2038</b>       | <b>Unit sold; loan repaid affordability lost due to marketing period te</b> |
| 1210 Whitney Ranch Pkwy, #1012        | Rocklin, 95765        | 489-250-023              | 2                                       | 2006             | 2008-0061943             | 07/30/2008             | 07/30/2038              |                                                                             |
| 1210 Whitney Ranch Pkwy, #535         | Rocklin, 95765        | 489-250-021              | 2                                       | 2006             | 2008-0061986             | 07/30/2008             | 07/30/2038              |                                                                             |
| 1210 Whitney Ranch Pkwy, #514         | Rocklin, 95765        | 489-250-004              | 2                                       | 2006             | 2008-0061970             | 07/30/2008             | 07/30/2038              |                                                                             |
| 1181 Whitney Ranch Pkwy, #713         | Rocklin, 95765        | 489-250-051              | 3                                       | 2006             | 2008-0064144             | 08/08/2008             | 08/08/2038              |                                                                             |

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|---------------------------------------|-----------------------|--------------------------|-----------------------------------------|------------------|--------------------------|------------------------|-------------------------|-----------------------------------------------------------------------------|
| <b>Condominiums (cont)</b>            |                       |                          |                                         |                  |                          |                        |                         |                                                                             |
| 1911 Whitney Ranch Pkwy, #816         | Rocklin, 95765        | 489-260-005              | 3                                       | 2006             | 2008-0064474             | 08/08/2008             | 08/08/2038              |                                                                             |
| 1210 Whitney Ranch Pkwy, #538         | Rocklin, 95765        | 489-250-024              | 3                                       | 2006             | 2008-0066431             | 08/15/2008             | 08/15/2038              |                                                                             |
| 1221 Whitney Ranch Pkwy, #1112        | Rocklin, 95765        | 489-270-002              | 2                                       | 2006             | 2008-0069779             | 08/28/2008             | 08/28/2038              |                                                                             |
| 1191 Whitney Ranch Pkwy, #813         | Rocklin, 95765        | 489-260-002              | 3                                       | 2006             | 2008-0069787             | 08/28/2008             | 08/28/2038              |                                                                             |
| 1240 Whitney Ranch Pkwy, #332         | Rocklin, 95765        | 489-240-042              | 2                                       | 2006             | 2008-0070243             | 08/29/2008             | 08/29/2038              |                                                                             |
| <b>1200 Whitney Ranch Pkwy, #613</b>  | <b>Rocklin, 95765</b> | <b>489-250-027</b>       | <b>3</b>                                | <b>2006</b>      | <b>2008-0070259</b>      | <b>08/29/2008</b>      | <b>08/29/2038</b>       | <b>Unit lost 90+day mktng; DPA + Intrst paid off; HRA Reconveyed (2016)</b> |
| 1181 Whitney Ranch Pkwy, #732         | Rocklin, 95765        | 489-250-066              | 2                                       | 2006             | 2008-0070250             | 08/29/2008             | 08/29/2038              |                                                                             |
| 1201 Whitney Ranch Pkwy, #912         | Rocklin, 95765        | 489-260-026              | 2                                       | 2006             | 2008-0070315             | 08/29/2008             | 08/29/2038              | Loan Paid Off - Assigned/Assumed HRA & Afford D of T (2016)                 |
| 1191 Whitney Ranch Pkwy, #836         | Rocklin, 95765        | 489-260-022              | 3                                       | 2006             | 2008-0070354             | 08/29/2008             | 08/29/2038              |                                                                             |
| 1200 Whitney Ranch Pkwy, #618         | Rocklin, 95765        | 489-250-032              | 3                                       | 2006             | 2008-0070308             | 08/29/2008             | 08/29/2038              |                                                                             |
| 1181 Whitney Ranch Pkwy, #735         | Rocklin, 95765        | 489-250-069              | 2                                       | 2006             | 2008-0073667             | 09/15/2008             | 09/15/2038              |                                                                             |
| 1240 Whitney Ranch Pkwy, #312         | Rocklin, 95765        | 489-240-026              | 2                                       | 2006             | 2008-0074647             | 09/19/2008             | 09/19/2038              | Unit sold; covenants remain                                                 |
| <b>1211 Whitney Ranch Pkwy, #1012</b> | <b>Rocklin, 95765</b> | <b>489-260-006</b>       | <b>2</b>                                | <b>2006</b>      | <b>2008-0074581</b>      | <b>09/18/2008</b>      | <b>09/18/2038</b>       | <b>Unit lost; DPA + Intrst paid off; Reconveyed (2016)</b>                  |
| 1181 Whitney Ranch Pkwy, #711         | Rocklin, 95765        | 489-250-049              | 3                                       | 2006             | 2008-0075958             | 09/24/2008             | 09/24/2038              |                                                                             |
| 1221 Whitney Ranch Pkwy, #1138        | Rocklin, 95765        | 489-270-024              | 3                                       | 2006             | 2008-0076294             | 09/25/2008             | 09/25/2038              |                                                                             |
| 1191 Whitney Ranch Pkwy, #811         | Rocklin, 95765        | 489-260-001              | 3                                       | 2006             | 2008-0076350             | 09/26/2008             | 09/26/2038              | sold to Affordable buyer, transferred DPA loan                              |
| 1221 Whitney Ranch Pkwy, #1134        | Rocklin, 95765        | 489-270-020              | 2                                       | 2006             | 2008-0076358             | 09/26/2008             | 09/26/2038              |                                                                             |
| 1911 Whitney Ranch Pkwy, #834         | Rocklin, 95765        | 489-260-020              | 2                                       | 2006             | 2008-0077103             | 09/29/2008             | 09/29/2038              |                                                                             |
| 1181 Whitney Ranch Pkwy, #733         | Rocklin, 95765        | 489-250-067              | 3                                       | 2006             | 2008-0077096             | 09/29/2008             | 09/29/2038              | Unit sold. DPA loan and affordability assumed                               |
| 1211 Whitney Ranch Pkwy, #1038        | Rocklin, 95765        | 489-260-072              | 3                                       | 2006             | 2008-0079885             | 10/09/2008             | 10/09/2038              |                                                                             |
| 1201 Whitney Ranch Pkwy, #918         | Rocklin, 95765        | 489-260-032              | 3                                       | 2006             | 2008-0080983             | 10/15/2008             | 10/15/2038              |                                                                             |
| 1211 Whitney Ranch Pkwy, #1016        | Rocklin, 95765        | 489-260-054              | 3                                       | 2006             | 2008-0081817             | 10/17/2008             | 10/17/2038              |                                                                             |
| 1211 Whitney Ranch Pkwy, #1018        | Rocklin, 95765        | 489-260-056              | 3                                       | 2006             | 2008-0083716             | 10/27/2008             | 10/27/2038              |                                                                             |
| 1211 Whitney Ranch Pkwy, #1011        | Rocklin, 95765        | 489-260-049              | 3                                       | 2006             | 2008-0080160             | 10/10/2008             | 10/10/2038              | Sold to Affordable buyer, no DPA loan                                       |
| 1191 Whitney Ranch Pkwy, #823         | Rocklin, 95765        | 489-260-011              | 3                                       | 2006             | 2008-0085291             | 10/31/2008             | 10/31/2038              |                                                                             |
| 1191 Whitney Ranch Pkwy, #823         | Rocklin, 95765        | 489-260-011              | 3                                       | 2006             | 2008-0085291             | 10/31/2008             | 10/31/2038              |                                                                             |
| 1251 Whitney Ranch Pkwy, #1224        | Rocklin, 95765        | 489-270-036              | 2                                       | 2006             | 2008-0090961             | 11/20/2008             | 11/20/2038              |                                                                             |
| 1221 Whitney Ranch Pkwy, #1124        | Rocklin, 95765        | 489-270-012              | 2                                       | 2006             | 2008-0093972             | 12/05/2008             | 12/05/2038              |                                                                             |
| 1191 Whitney Ranch Pkwy, #838         | Rocklin, 95765        | 489-260-024              | 3                                       | 2006             | 2008-0093991             | 12/05/2008             | 12/05/2038              |                                                                             |
| 1191 Whitney Ranch Pkwy, #814         | Rocklin, 95765        | 489-260-004              | 2                                       | 2006             | 2008-0094437             | 12/08/2008             | 12/08/2038              |                                                                             |
| 1221 Whitney Ranch Pkwy, #1113        | Rocklin, 95765        | 489-270-003              | 3                                       | 2006             | 2008-0096461             | 12/16/2008             | 12/16/2038              |                                                                             |
| 1200 Whitney Ranch Pkwy, #611         | Rocklin, 95765        | 489-250-025              | 3                                       | 2006             | 2008-0098604             | 12/24/2008             | 12/24/2038              | lost affordability from marketing period                                    |
| 1200 Whitney Ranch Pkwy, #616         | Rocklin, 95765        | 489-250-030              | 3                                       | 2006             | 2008-0100181             | 12/31/2008             | 12/31/2038              | lost affordability from marketing period                                    |
| <b>1200 Whitney Ranch Pkwy, #638</b>  | <b>Rocklin, 95765</b> | <b>489-250-048</b>       | <b>3</b>                                | <b>2006</b>      | <b>2008-0100170</b>      | <b>12/31/2008</b>      | <b>12/31/2038</b>       | <b>Unit lost due to marketing period.</b>                                   |

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|-----------------------------------------|-----------------------|--------------------------|-----------------------------------------|------------------|--------------------------|------------------------|-------------------------|--------------------------------------------------------------------|
| <b>Condominiums (con't)</b>             |                       |                          |                                         |                  |                          |                        |                         |                                                                    |
| 1200 Whitney Ranch Parkway, #633        | Rocklin, 95765        | 489-250-043              | 3                                       | 2006             | 2009-0004785             | 01/23/2009             | 01/23/2039              |                                                                    |
| 1210 Whitney Ranch Parkway, #511        | Rocklin, 95765        | 489-250-001              | 3                                       | 2006             | 2009-0012773             | 02/20/2009             | 02/20/2039              | Unit sold. DPA loan paid off, affordability assumed                |
| <b>1191 Whitney Ranch Parkway, #826</b> | <b>Rocklin, 95765</b> | <b>489-260-014</b>       | <b>3</b>                                | <b>2006</b>      | <b>2009-0025176</b>      | <b>03/30/2009</b>      | <b>03/30/2039</b>       | <b>Unit lost. Foreclosure in 2016</b>                              |
| 1201 Whitney Ranch Parkway, #916        | Rocklin, 95765        | 489-260-030              | 3                                       | 2006             | 2009-0026319             | 04/01/2009             | 04/01/2039              |                                                                    |
| 1191 Whitney Ranch Parkway, #812        | Rocklin, 95765        | 489-260-003              | 2                                       | 2006             | 2009-0032808             | 04/22/2009             | 04/22/2039              |                                                                    |
| 1200 Whitney Ranch Parkway, #636        | Rocklin, 95766        | 489-250-046              | 3                                       | 2006             | 2009-0033649             | 04/24/2009             | 04/24/2039              | paid off DPA loan                                                  |
| 1240 Whitney Ranch Parkway, #323        | Rocklin, 95765        | 489-240-035              | 3                                       | 2006             | 2009-0033642             | 04/24/2009             | 04/24/2039              |                                                                    |
| <b>1191 Whitney Ranch Parkway, #822</b> | <b>Rocklin, 95765</b> | <b>489-260-010</b>       | <b>2</b>                                | <b>2006</b>      | <b>2009-0035884</b>      | <b>04/30/2009</b>      | <b>04/30/2039</b>       | <b>Unit sold; loan repaid. Covenants remain</b>                    |
| 1200 Whitney Ranch Parkway, #623        | Rocklin, 95766        | 489-250-035              | 3                                       | 2006             | 2009-0039970             | 05/12/2009             | 05/12/2039              |                                                                    |
| 1200 Whitney Ranch Parkway, #632        | Rocklin, 95766        | 489-250-042              | 2                                       | 2006             | 2009-0041281             | 05/15/2009             | 05/15/2039              |                                                                    |
| 1251 Whitney Ranch Parkway, #1232       | Rocklin, 95765        | 489-270-042              | 2                                       | 2006             | 2009-0042535             | 05/20/2009             | 05/20/2039              |                                                                    |
| 1200 Whitney Ranch Parkway, #617        | Rocklin, 95765        | 489-250-031              | 2                                       | 2006             | 2009-0049187             | 05/29/2009             | 05/29/2039              | DPA + Intrst Paid Off; DPA only reconveyed; HRA Reassigned (2016). |
| 1191 Whitney Ranch Parkway, #825        | Rocklin, 95765        | 489-260-013              | 2                                       | 2006             | 2009-0051210             | 06/12/2009             | 06/12/2039              | Unit sold; covenants remain                                        |
| 1191 Whitney Ranch Parkway, #833        | Rocklin, 95765        | 489-260-019              | 3                                       | 2006             | 2009-0075880             | 07/31/2009             | 07/31/2039              |                                                                    |
| 1121 Whitney Ranch Parkway, #1131       | Rocklin, 95765        | 489-270-017              | 3                                       | 2006             | 2009-0067654             | 07/31/2009             | 07/31/2039              | Unit sold; covenants remain                                        |
| 1200 Whitney Ranch Parkway, #637        | Rocklin, 95765        | 489-250-487              | 2                                       | 2006             | 2009-0067125             | 07/31/2009             | 07/31/2039              |                                                                    |
| 1211 Whitney Ranch Parkway, #1037       | Rocklin, 95765        | 489-260-071              | 2                                       | 2006             | 2009-0073091             | 08/19/2009             | 08/19/2039              |                                                                    |
| 1181 Whitney Ranch Parkway, #712        | Rocklin, 95765        | 489-250-050              | 2                                       | 2006             | 2009-0076336             | 08/31/2009             | 08/31/2039              |                                                                    |
| 1191 Whitney Ranch Parkway, #837        | Rocklin, 95765        | 489-260-023              | 2                                       | 2006             | 2009-0080808             | 09/17/2009             | 09/17/2039              |                                                                    |
| 1201 Whitney Ranch Parkway, #937        | Rocklin, 95765        | 489-260-047              | 2                                       | 2006             | 2009-0081175             | 09/18/2009             | 08/18/2039              |                                                                    |
| 1211 Whitney Ranch Parkway, #1021       | Rocklin, 95765        | 489-260-057              | 3                                       | 2006             | 2009-0083077             | 09/25/2009             | 09/25/2039              |                                                                    |
| <b>1240 Whitney Ranch Parkway, #317</b> | <b>Rocklin, 95765</b> | <b>489-240-031</b>       | <b>2</b>                                | <b>2006</b>      | <b>2009-0090745</b>      | <b>10/22/2009</b>      | <b>10/22/2039</b>       | <b>unit sold; loan repaid. Covenants remain</b>                    |
| 1210 Whitney Ranch Parkway, #532        | Rocklin, 95765        | 489-250-018              | 2                                       | 2006             | 2009-0101803             | 11/25/2009             | 11/25/2039              |                                                                    |
| 1251 Whitney Ranch Parkway, #1221       | Rocklin, 95765        | 489-270-033              | 3                                       | 2006             | 2009-0102581             | 11/30/2009             | 11/30/2039              | Interest only paid; DPA, HRA etc. Reassigned (2016)                |
| 1211 Whitney Ranch Parkway, #1015       | Rocklin, 95765        | 489-260-053              | 2                                       | 2006             | 2009-0102573             | 11/30/2009             | 11/30/2039              |                                                                    |
| 1191 Whitney Ranch Parkway, #831        | Rocklin, 95765        | 489-260-017              | 3                                       | 2006             | 2009-0102458             | 11/30/2009             | 11/30/2039              |                                                                    |
| 1201 Whitney Ranch Parkway, #935        | Rocklin, 95765        | 489-260-045              | 2                                       | 2006             | 2010-0015342             | 03/01/2010             | 03/01/2040              |                                                                    |
| 1181 Whitney Ranch Parkway, #731        | Rocklin, 95765        | 489-250-065              | 3                                       | 2006             | 2010-0017771             | 03/09/2010             | 03/09/2040              |                                                                    |
| 1210 Whitney Ranch Parkway, #531        | Rocklin, 95765        | 489-250-017              | 3                                       | 2006             | 2010-0017779             | 03/09/2010             | 03/09/2040              | DPA Loan Paid Off - HRA Reassigned (2016)                          |
| 1240 Whitney Ranch Parkway, #326        | Rocklin, 95765        | 489-240-038              | 3                                       | 2006             | 2010-0018168             | 03/10/2010             | 03/10/2040              |                                                                    |
| 1221 Whitney Ranch Parkway, #1125       | Rocklin, 95765        | 489-270-013              | 2                                       | 2006             | 2010-0019670             | 03/16/2010             | 03/16/2040              |                                                                    |
| 1201 Whitney Ranch Parkway #932         | Rocklin, 95765        | 489-260-042              | 2                                       | 2006             | 2010-0020499             | 03/18/2010             | 03/18/2040              |                                                                    |
| 1251 Whitney Ranch Parkway, #1236       | Rocklin, 95765        | 489-270-046              | 3                                       | 2006             | 2010-0020836             | 03/19/2010             | 03/19/2040              | unit sold; loan repaid. Affordability lost                         |
| 1221 Whitney Ranch Parkway, #1122       | Rocklin, 95765        | 489-270-010              | 2                                       | 2006             | 2010-0023148             | 03/29/2010             | 03/29/2040              |                                                                    |
| 1191 Whitney Ranch Parkway, #821        | Rocklin, 95765        | 489-260-009              | 3                                       | 2006             | 2010-0024769             | 04/02/2010             | 04/02/2040              |                                                                    |
| 1181 Whitney Ranch Parkway, #725        | Rocklin, 95765        | 489-250-061              | 2                                       | 2006             | 2010-0024796             | 04/02/2010             | 04/02/2040              |                                                                    |
| 1211 Whitney Ranch Parkway, #1031       | Rocklin, 95765        | 489-260-065              | 3                                       | 2006             | 2010-0028303             | 04/15/2010             | 04/15/2040              |                                                                    |
| 1240 Whitney Ranch Parkway, #331        | Rocklin, 95765        | 489-240-041              | 3                                       | 2006             | 2010-0028296             | 04/15/2010             | 04/15/2040              |                                                                    |

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| <b>Condominiums (con't)</b>       |                |                          |                                         |                  |                          |                        |                         |                                                                                                   |
| 1181 Whitney Ranch Parkway, #716  | Rocklin, 95765 | 489-250-054              | 3                                       | 2006             | 2010-0029796             | 04/21/2010             | 04/21/2040              |                                                                                                   |
| 1221 Whitney Ranch Parkway, #1127 | Rocklin, 95765 | 489-270-015              | 2                                       | 2006             | 2010-0029803             | 04/21/2010             | 04/21/2040              | Sold to Affordable buyer, paid off DPA loan                                                       |
| 1240 Whitney Ranch Parkway, #321  | Rocklin, 95765 | 489-240-033              | 3                                       | 2006             | 2010-0029810             | 04/21/2010             | 04/21/2040              |                                                                                                   |
| 1200 Whitney Ranch Parkway, #615  | Rocklin, 95765 | 489-250-029              | 2                                       | 2006             | 2010-0031184             | 04/28/2010             | 04/28/2040              | Unit sold, buyer assumed DPA loan and affordability                                               |
| 1251 Whitney Ranch Parkway, #1212 | Rocklin, 95765 | 489-270-026              | 2                                       | 2006             | 2007-0087175             | 09/05/2007             | 09/05/2037              | Unit sold, buyer assumed affordability. No loan                                                   |
| 1200 Whitney Ranch Parkway, #627  | Rocklin, 95765 | 489-250-039              | 3                                       | 2006             | 2010-0031191             | 04/28/2010             | 04/28/2040              | Unit sold. Lost affordability due to marketing period                                             |
| 1210 Whitney Ranch Parkway, #527  | Rocklin, 95765 | 489-250-015              | 2                                       | 2006             | 2010-0032186             | 04/29/2010             | 04/29/2040              |                                                                                                   |
| 1240 Whitney Ranch Parkway, #322  | Rocklin, 95765 | 489-240-034              | 2                                       | 2006             | 2010-0032535             | 04/30/2010             | 04/30/2040              |                                                                                                   |
| 1211 Whitney Ranch Parkway, #1036 | Rocklin, 95765 | 489-260-070              | 3                                       | 2006             | 2010-0032548             | 04/30/2010             | 04/30/2040              |                                                                                                   |
| 1201 Whitney Ranch Parkway, #921  | Rocklin, 95765 | 489-260-033              | 3                                       | 2006             | 2010-0033289             | 05/04/2010             | 05/04/2040              | Unit sold; loan repaid. Affordability lost                                                        |
| 1201 Whitney Ranch Parkway, #933  | Rocklin, 95765 | 489-260-043              | 3                                       | 2006             | 2010-0033333             | 05/05/2010             | 05/05/2040              | loan and affordability assumed                                                                    |
| 1201 Whitney Ranch Parkway, #938  | Rocklin, 95765 | 489-260-048              | 3                                       | 2006             | 2010-0034544             | 05/07/2010             | 05/07/2040              | No loan, affordability assumed                                                                    |
| 1181 Whitney Ranch Parkway, #722  | Rocklin, 95765 | 489-250-058              | 2                                       | 2006             | 2010-0034643             | 05/10/2010             | 05/10/2040              |                                                                                                   |
| 1200 Whitney Ranch Parkway, #634  | Rocklin, 95765 | 489-250-044              | 2                                       | 2006             | 2010-0035174             | 05/11/2010             | 05/11/2040              |                                                                                                   |
| 1191 Whitney Ranch Parkway, #828  | Rocklin, 95765 | 489-260-016              | 3                                       | 2006             | 2010-0037770             | 05/19/2010             | 05/19/2040              | Unit sold; loan repaid Affordability lost                                                         |
| 1211 Whitney Ranch Parkway, #1035 | Rocklin, 95765 | 489-260-069              | 2                                       | 2006             | 2010-0038434             | 05/21/2010             | 05/21/2040              | Sold to Affordable buyer, paid off DPA loan, \$2,500 interest paymen                              |
| 1201 Whitney Ranch Parkway, #925  | Rocklin, 95765 | 489-260-037              | 2                                       | 2006             | 2010-0038445             | 05/21/2010             | 05/21/2040              | Unit sold; covenants remain                                                                       |
| 1181 Whitney Ranch Parkway, #721  | Rocklin, 95765 | 489-250-057              | 3                                       | 2006             | 2010-0042076             | 06/04/2010             | 06/04/2040              | Unit sold; covenants remain                                                                       |
| 1191 Whitney Ranch Parkway, #824  | Rocklin, 95765 | 489-260-012              | 2                                       | 2006             | 2010-0040379             | 05/28/2010             | 05/28/2040              |                                                                                                   |
| 1191 Whitney Ranch Parkway, #827  | Rocklin, 95765 | 489-260-015              | 2                                       | 2006             | 2010-0044074             | 06/11/2010             | 06/11/2040              |                                                                                                   |
| 1221 Whitney Ranch Parkway, #1123 | Rocklin, 95765 | 489-270-011              | 3                                       | 2006             | 2010-0048076             | 06/25/2010             | 06/25/2040              | portion of loan repaid                                                                            |
| 1221 Whitney Ranch Parkway, #1126 | Rocklin, 95765 | 489-270-014              | 3                                       | 2006             | 2010-0049691             | 06/30/2010             | 06/30/2040              | Unit sold. No DPA loan, affordability assumed                                                     |
| 1200 Whitney Ranch Parkway, #622  | Rocklin, 95765 | 489-250-034              | 2                                       | 2006             | 2010-0042977             | 06/18/2010             | 06/18/2040              |                                                                                                   |
| 1181 Whitney Ranch Parkway, #723  | Rocklin, 95765 | 489-250-059              | 3                                       | 2006             | 2010-0044511             | 06/14/2010             | 06/14/2040              | Unit sold; loan repaid Covenants remain                                                           |
| 1181 Whitney Ranch Parkway, #736  | Rocklin, 95765 | 489-250-070              | 3                                       | 2006             | 2010-0044067             | 06/11/2010             | 06/11/2040              |                                                                                                   |
| 1230 Whitney Ranch Parkway, #417  | Rocklin, 95765 | 489-250-037              | 2                                       | 2006             | 2010-0044060             | 06/11/2010             | 06/11/2040              | paid off DPA loan and Shared Equity due to sale to non-Affordable Buyer and above Max Sales Price |
| 1201 Whitney Ranch Parkway, #936  | Rocklin, 95765 | 489-260-046              | 3                                       | 2006             | 2010-0049698             | 06/30/2010             | 06/30/2040              |                                                                                                   |
| 1250 Whitney Ranch Parkway, #222  | Rocklin, 95765 | 489-240-010              | 2                                       | 2006             | 2010-0045767             | 06/17/2010             | 06/17/2040              |                                                                                                   |
| 1211 Whitney Ranch Parkway, #1032 | Rocklin, 95765 | 489-260-066              | 2                                       | 2006             | 2010-0043719             | 06/10/2010             | 06/10/2040              |                                                                                                   |
| 1200 Whitney Ranch Parkway, #224  | Rocklin, 95765 | 489-240-012              | 2                                       | 2006             | 2010-0044052             | 06/11/2010             | 06/11/2040              |                                                                                                   |
| 1250 Whitney Ranch Parkway, #223  | Rocklin, 95765 | 489-240-001              | 3                                       | 2006             | 2010-0049031             | 06/29/2010             | 06/29/2040              |                                                                                                   |
| 1201 Whitney Ranch Parkway, #928  | Rocklin, 95765 | 489-260-040              | 3                                       | 2006             | 2010-0049023             | 06/29/2010             | 06/29/2040              |                                                                                                   |
| 1200 Whitney Ranch Parkway, #624  | Rocklin, 95765 | 489-250-036              | 2                                       | 2006             | 2010-0049684             | 06/30/2010             | 06/30/2040              | Unit sold; Reassigned HRA and Afford. Deed of Trust (2016)                                        |

| PROPERTY ADDRESS                                                                                                                                                                                                                                                                                                                                                                                                  | CITY, ZIP CODE | ASSESSOR'S PARCEL NUMBER | TOTAL SUBSIDIZED UNITS OR # OF BEDROOMS | YEAR CONSTRUCTED | COVENANT DOCUMENT NUMBER | COVENANT DOCUMENT DATE | RESTRICTIONS EXPIRATION | NOTES                                                                  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--------------------------|-----------------------------------------|------------------|--------------------------|------------------------|-------------------------|------------------------------------------------------------------------|
| Condominiums (con't)                                                                                                                                                                                                                                                                                                                                                                                              |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| 1251 Whitney Ranch Parkway, #1226                                                                                                                                                                                                                                                                                                                                                                                 | Rocklin, 95765 | 489-270-038              | 3                                       | 2006             | 2010-0049670             | 06/30/2010             | 06/30/2040              |                                                                        |
| 1250 Whitney Ranch Parkway, #213                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-240-003              | 3                                       | 2006             | 2010-0049677             | 06/30/2010             | 06/30/2040              |                                                                        |
| 1200 Whitney Ranch Parkway, #621                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-250-033              | 3                                       | 2006             | 2010-0052929             | 07/13/2010             | 07/13/2040              |                                                                        |
| 1181 Whitney Ranch Parkway, #726                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-250-062              | 3                                       | 2006             | 2010-0057104             | 07/27/2010             | 07/27/2040              |                                                                        |
| 1211 Whitney Ranch Parkway, #1027                                                                                                                                                                                                                                                                                                                                                                                 | Rocklin, 95765 | 489-260-063              | 2                                       | 2006             | 2010-0075045             | 09/22/2010             | 09/22/2010              | Unit sold; DPA and intrst paid; DPA only reconveyed (2016)             |
| 1250 Whitney Ranch Parkway, #214                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-240-004              | 2                                       | 2006             | 2010-0075480             | 09/23/2010             | 09/23/2040              | Unit sold to affordable buyer, paid DPA loan due to term violation     |
| 1201 Whitney Ranch Parkway, #924                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-260-036              | 2                                       | 2006             | 2010-0075487             | 09/23/2010             | 09/23/2040              |                                                                        |
| 1211 Whitney Ranch Parkway, #1025                                                                                                                                                                                                                                                                                                                                                                                 | Rocklin, 95765 | 489-260-061              | 2                                       | 2006             | 2010-0078320             | 09/30/2010             | 09/30/2040              |                                                                        |
| 1210 Whitney Ranch Parkway, #525                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-250-013              | 2                                       | 2006             | 2010-0080802             | 10/07/2010             | 10/07/2040              | Unit sold; loan repaid. Covenants remain                               |
| 1200 Whitney Ranch Parkway, #625                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-250-037              | 2                                       | 2006             | 2010-0081260             | 10/08/2010             | 10/08/2040              | Unit sold. Loan and affordability assumed                              |
| 1211 Whitney Ranch Parkway, #1024                                                                                                                                                                                                                                                                                                                                                                                 | Rocklin, 95765 |                          |                                         |                  |                          |                        |                         | Unit lost; All Reconveyed (2016)                                       |
| 1200 Whitney Ranch Parkway, #626                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 |                          |                                         |                  |                          |                        |                         | Unit lost; DPA and Intrst paid off; All Reconveyed (2016)              |
| 1210 Whitney Ranch Parkway, #526                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-250-014              | 3                                       | 2006             | 2010-0081260             | 10/08/2010             | 10/08/2040              | Unit lost 90-day mktng; DPA + Intrst paid off; HRA Reconveyed (2016)   |
| 1210 Whitney Ranch Parkway, #523                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-250-011              | 3                                       | 2007             | 2008-0076298             | 09/25/2008             | 09/25/2038              | Unit sold. Paid off loan, kept affordability - lender wouldn't lend w/ |
| 1230 Whitney Ranch Parkway, #435                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-240-069              | 2                                       | 2007             | 2007-0070314             | 07/16/2007             | 07/16/2037              | Unit sold. Lost affordability due to marketing period                  |
| 1230 Whitney Ranch Parkway, #416                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-240-054              | 3                                       | 2007             | 2007-0065602             | 06/27/2007             | 06/27/2037              | Unit sold to affordable buyer, no DPA loan                             |
| 1181 Whitney Ranch Parkway #727                                                                                                                                                                                                                                                                                                                                                                                   | Rocklin, 95765 | 489-250-063              | 2                                       | 2007             | 2008-0099739             | 12/30/2008             | 12/30/2038              | Unit sold to affordable buyer, no DPA loan                             |
| 1210 Whitney Ranch Parkway #515                                                                                                                                                                                                                                                                                                                                                                                   | Rocklin, 95765 | 489-250-005              | 2                                       | 2007             | 2011-0014273             | 02/18/2011             | 02/18/2041              | Unit sold to affordable buyer, no DPA loan                             |
| 1221 Whitney Ranch Parkway #1135                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95765 | 489-270-021              | 2                                       | 2007             | 2007-0089181             | 09/12/2007             | 09/12/2037              | Unit sold to affordable buyer, no DPA loan                             |
| 1191 Whitney Ranch Parkway #815                                                                                                                                                                                                                                                                                                                                                                                   | Rocklin, 95765 | 489-260-005              | 2                                       | 2007             | 2009-005668              | 01/27/2009             | 01/27/2039              | Unit sold to affordable buyer, no DPA loan                             |
| 3210 Hickory Way                                                                                                                                                                                                                                                                                                                                                                                                  | Rocklin, 95677 | 046-270-061              | 3                                       | 1978             | 2002-0020509             | 02/19/2002             | 02/19/2012              | Paid off DPA loan                                                      |
| <b>SB 341 requirements (H&amp;SC 34176.1(f)(12))</b>                                                                                                                                                                                                                                                                                                                                                              |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| An inventory of homeownership units assisted by the former redevelopment agency or the housing successor that are subject to covenants or restrictions or to an adopted program that protects the former redevelopment agency's investment of moneys from the Low and Moderate Income Housing Fund pursuant to subdivision (f) of Section 33334.3. This inventory shall include all of the following information: |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| See above                                                                                                                                                                                                                                                                                                                                                                                                         |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| 13(A): The number of those units                                                                                                                                                                                                                                                                                                                                                                                  |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| 180 total condominium units (Whitney Ranch Vicara) and 27 total single family FTHB. 31 total units have lost affordability.                                                                                                                                                                                                                                                                                       |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| 13(B): In the first report pursuant to this subdivision, the number of units lost to the portfolio after February 1, 2012, and the reason or reasons for those losses. For all subsequent reports, the number of the units lost to the portfolio in the last fiscal year and the reason for those losses.                                                                                                         |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| No units lost in Fiscal Year 2019-2020; 2020-2021; or 2021-2022                                                                                                                                                                                                                                                                                                                                                   |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| See highlighted/bold units in light green - previous to Fiscal Year 2018-2019                                                                                                                                                                                                                                                                                                                                     |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| See highlighted/bold units in light yellow - Fiscal Year 2018-2019                                                                                                                                                                                                                                                                                                                                                |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| 13 (C): Any funds returned to the housing successor as part of an adopted program that protects the former redevelopment agency's investment of moneys from the Low and Moderate Income Housing Fund.                                                                                                                                                                                                             |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| \$551,901 loan principal repaid in Fiscal Year 2021-2022                                                                                                                                                                                                                                                                                                                                                          |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| 13 (D): Whether the housing successor has contracted with any outside entity for the management of the units and, if so, the identity of the entity.                                                                                                                                                                                                                                                              |                |                          |                                         |                  |                          |                        |                         |                                                                        |
| No.                                                                                                                                                                                                                                                                                                                                                                                                               |                |                          |                                         |                  |                          |                        |                         |                                                                        |



## City Council Staff Report

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**Subject:** Uses of the Low- and Moderate-Income Housing Asset Fund

**Date:** March 28, 2023

**Submitted By:** Sherri Conway, Assistant City Manager

**Department:** City Manager's Office

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**Recommendation:**

Provide direction to staff on the priority uses of the Low- and Moderate-Income Housing Asset Fund.

**Background:**

Existing law dissolved redevelopment agencies as of February 1, 2012, and provides for the designation of successor agencies to wind down the affairs of the dissolved redevelopment agencies. As part of the dissolution, the Low- and Moderate-Income Housing Asset Fund (LMIHAF) was established to transfer related housing assets and housing activities of the former Redevelopment Agency (Successor Agency) to the City of Rocklin, acting as the Housing Successor. Existing law requires the housing successor (City of Rocklin) to expend funds received in the LMIHAF to ensure the long-term affordability of units subject to affordability restrictions, with the following expenditure limits:

- Either up to \$200,000 per fiscal year OR 5% statutory value of real property owned by the housing successor and of loans and grants receivable, whichever is more, can be spent on administration; and
- Additionally, up to \$250,000 can be spent on homeless prevention and rapid rehousing.

All remaining funds must be spent for the development of housing affordable to and occupied by households earning 80% or less of the area median income (AMI). At least 30% of these remaining funds expended for the development of rental housing must be affordable to and occupied by households earning 30% or less of the AMI (Extremely Low-Income). Finally, no more than 20% of these remaining funds expended for the development of housing affordable to and occupied by households earning between 60% and 80% of the AMI.

If the Housing Successor fails to comply with the Extremely Low-Income requirement in any five-year period, then the Housing Successor must ensure that at least 50% of the funds remaining in the LMIHAF be expended on Extremely Low-Income households until the Housing Successor demonstrates compliance with the Extremely Low-Income requirement. Because the Rocklin Housing Successor did not reach this required expenditure on Extremely

Low Income as of June 30, 2019, the minimum is now temporarily increased to 50% until 2019 requirement is met.

Additionally, the Housing Successor agency is responsible for spending the funds in a timely manner and not accruing excess surplus funds. "Excess surplus" is defined as an unencumbered amount in the LMIHAF that exceeds the greater of one million dollars (\$1,000,000) or the aggregate amount deposited into the account during the housing successor's preceding four fiscal years. Should the agency find themselves with excess surplus, they must encumber the funds or transfer them to the Department of Housing and Community Development within three fiscal years. Execution of the Letter of Commitment with Community Housing Works (CHW) for the development of the Oak and Pine Apartment Project in September 2022, followed by the Affordable Housing Agreement with CHW in November 2022, allowed the Housing Successor Agency to narrowly avoid the transfer of funds to the state.

As of June 30, 2022, Rocklin's LMIHA Fund had available and unencumbered funds of \$1,162,297. This reflects the \$2.6 million already committed to the Oak and Pine Affordable Housing Project. In July 2022 the City received a payment from Whitney Ranch Apartments of \$5,218,715. Additionally, there are multiple other small loan payoffs throughout the year, but with no set schedule. Because the City continues to have excess surplus, staff is seeking direction from the City Council to develop and implement a strategy for expending funds for allowable uses, including excess surplus, in a timely and deliberate manner, thereby reducing the risk of excess surplus needing to be returned to the Department of Housing and Community Development (HCD).

Affordable housing developers typically rely on a variety of County, State, Federal and local funding sources. Agencies providing such assistance include the California Housing Finance Agency (CHFA), the California Tax Credit Allocation Committee (CTCAC) and the federal Department of Housing and Urban Development (HUD). When a developer plans for affordable housing, they must present a proposed capital stack of debt financing and other funding sources and apply to a state allocation agency. Typically, these funding sources are very competitive with no guarantee of funding. Because of this, affordable housing projects often fall short of the necessary financing. The city may choose to work with housing developers to expand opportunities for affordable lower-income and workforce housing by creating partnerships, providing incentives, and pursuing funding opportunities.

The City utilizes current data and is continually looking for opportunities to invest Low Moderate Housing Asset (LMHA) Funds in projects or activities that will assist those in the most need and/or provide housing opportunities for lower income residents within the community. Staff is seeking Council direction for the prioritization of investing these funds by developing a strategy for the next several years. Specifically, staff recommends the following priorities for the use of the funds:

- 1. Invest LMHA Funds for City-owned affordable housing projects.* Staff recommends the City maintain as a first priority the support of City owned projects. City owned projects that have received or may receive commitments of Low-Moderate Income Housing Asset funds must demonstrate a gap in funding by submitting pro-forma that has been verified by an independent third-party entity prior to requesting additional funds. Additional funding could ensure additional units and/or deeper levels of affordability.

2. *Non-City owned Extremely Low-Income affordable development projects.* Second, staff recommends supporting non-City owned projects that provide Extremely Low-Income housing units. There may be opportunities to invest in one or more private affordable housing development projects if there is demonstrated gap in funding that is verified by an independent third party. Investment must be contingent upon an increase in the overall number of affordable units in the project and/or deepened affordability levels of these units.

3. *Purchase of affordability covenants on existing at risk or market rate projects.* If funds remain, there is the option to seek market rate units for conversion to low income housing. Covenants and deed restrictions are the typical mechanisms used to maintain the affordability of publicly funded housing, ensuring that these units continue to be available to lower and moderate-income households. The City may risk losing some of its affordable units due to the expiration of covenants and deed restrictions. Additionally, as the relatively tight housing market continues to put upward pressure on market rents, property owners are more inclined to discontinue public subsidies and convert the assisted units to market rate housing. The Housing Successor may choose to purchase affordability covenants on existing market rate units or deed restricted units that are at risk of becoming market rate units and it is staff's understanding that the city could get Regional Housing Needs Allocation credit for those purchases up to a maximum of 25% of the City's RHNA Obligation for the Lower Income Categories. Consultant assistance would be needed to establish the reasonable rate for purchases of the covenants, and other activities associated with implement of such a program. As of 2022, the city had a total of 1,097 assisted rental units in 13 developments. Of these projects, none are currently at risk of conversion to market-rate housing during the 2021-2029 period.

4. *Housing Rehabilitation.* Although the City's 2021-2029 Housing Element requires the City to complete an updated Housing Condition Survey and evaluate the need for and feasibility of establishing a Housing Rehabilitation Program, and LMIHA Funds could be a potential funding source for such a program, it is noted that establishment and operation of such a program would require additional staff and/or consultant assistance. Therefore, while an identified option, staff recommends Housing Rehabilitation as the lowest priority for the use of the funds.

Any future investment of LMIHAF toward any of the above options will be subject to City Council approval on a case-by-case basis.

**Environmental Analysis:**

None

**Fiscal Impact:**

There is no direct fiscal impact to the City by prioritizing the uses of LMIH Funds.



## City Council Staff Report

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**Subject:** Monument Springs Bridge Construction Funding Option

**Date:** March 28, 2023

**Submitted By:** Daniel Choe, Deputy Director of Administrative Services

**Department:** Administrative Services - Finance

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**Recommendation:**

Receive an Update Regarding the Proposed Financing and Construction of the Monument Springs Bridge and Provide Direction to Staff

**Background:**

On May 28, 2002, the City Council approved the Granite Lake Estate subdivision, a 119-lot single family residential development. The total lot count was later reduced to 116 via a substantial compliance adjustments to the map configuration when a final map for the first phase of the development was recorded. The project entitlements included an Environmental Impact Report (EIR), General Development Plan, Tentative Subdivision Map, Oak Tree Preservation Plan Permit, grading Design Guidelines, and a Development Agreement. Consistent with the requirements of the Southeast Rocklin Circulation Element, adopted in 1993, primary access to the project site was to be via an extension of Monument Springs Road from its terminus on the north side of Secret Ravine to Greenbrae Road. The extension included construction of a developer-funded bridge over the creek and a two-lane road to connect to Greenbrae Road.

At the time the property for this off-site improvement was located in an area under the jurisdiction of Placer County. Known as the Greenbrae Area, this island of Placer County controlled land was annexed into the City in approximately 2016. The project was allowed to construct up to a maximum of 40 homes in the first phase of development in order to somewhat offset the cost of off-site improvements.

On November 14, 2006, the City Council approved the Vista Oaks subdivision, a 100-lot single family residential development. The project entitlements included an EIR, General Plan Amendment, General Development Plan, Tentative Subdivision Map, Oak Tree Preservation Plan Permit, and grading Design Guidelines. The project was processed concurrently with a proposal for a 20-lot subdivision known as Highlands Parcel A, located on an adjacent parcel that also borders the Granite Lake Estates project.

The Vista Oaks and Highlands Parcel A projects were both conditioned to extend Monument

Springs Road from its northerly terminus on the north side of Secret Ravine through the Granite Lake Estates project site and through each other to link with the southerly terminus of the street in the Elliot Highlands development. Here again, the extension included construction of a bridge over the creek and a two-lane road to connect to Greenbrae Road. The Vista Oaks subdivision was permitted to develop and build homes on the 23 lot in Phase I of the development as all vehicular access to that phase is via China Garden Road. The Phase II and Phase II areas, as well as the Highlands Parcel A project, were conditioned to require the completion of the Monument Springs Drive extension, including the bridge, prior to construction of any homes.

In 2010, the first phase of the Granite Lake Estate subdivision, consisting of 48 lots had been constructed and the first homes were being built. The extension of Monument Springs Drive had been delayed by a number of factors including changes to the proposed road alignment, difficulty acquiring the needed right-of-way, and the downturn in the economy now known as the “Great Recession”. As a result, the project developer at the time, S360 Granite Lakes LLC, applied to amend the project’s approvals to allow eight additional homes to be built prior to completion of the bridge and roadway extension, for a total of 48 homes. The City Council approved the modification of February 23, 2010.

Homes were completed on all 48 lots in the first phase of project development and construction of the bridge and roadway improvements was started. Work on the bridge and roadway improvements were later halted, and ultimately the undeveloped portions of the subdivision went into receivership and were lost. The property was later acquired by Capitol Equity Management Group, Inc.

Owners of the Vista Oaks, Highlands Parcel A projects, and Granite Lake Estates have been working with the City to identify options to finance and build the Monument Springs bridge and roadway improvements and allow these projects to be completed.

On May 10 and June 14, 2022, the City Council affirmed the special conditions that the subdividers must form a Bond Opportunities for Land Development (BOLD) Community Facilities District (CFD) consistent with policies and procedures for Land Secured Financing adopted pursuant to Resolution No. 2005-112 for the purpose of financing construction of the Monument Springs bridge, roadway extension, and other eligible improvements prior to commencement of any site work for the subdivisions.

In addition to approximately \$198,000 previously collected by the City from Highlands Units 2 and 3 for the construction of the bridge and roadway extension, on June 28, 2022, the City Council took a significant step in its commitment to help ensure the Monument Springs bridge is constructed by including a funding of \$1.5 million in the fiscal year 2022/23 Capital Improvement Plan. No funds have been expended to date.

To further enable the residential projects to move forward and to help facilitate the construction of the Monument Springs bridge, the City Council directed staff to evaluate feasibility of advancing city funds to support earlier construction of the bridge.

On November 18, 2022, the Board of Directors of the California Municipal Financing Authority (CMFA) adopted a resolution approving the Resolution of Intent, declaring its intention to establish the CMFA Community Facilities District (CFD) No. 2022-4 (City of Rocklin – Monument Springs) Improvement Area No. 1, and a resolution stating its intention to incur

bonded indebtedness for such CFD.

On March 17, 2023, the Board of the Directors of the CMFA, through the public hearing process, adopted a resolution approving the formation of the CMFA BOLD City of Rocklin – Monument Springs CFD Improvement Area No. 1 (Vista Oaks) and the future annexation areas. Improvement Area No. 1 of the CFD will include 95 single family units and three final maps. The final map for the first 41 lots were recorded in October of 2022. No development has been started to date but site development is anticipated to commence in the Spring of 2023.

With the formation of the CMFA BOLD City of Rocklin - Monument Springs CFD, City staff conducted a capacity analysis to estimate the total eligible resources available for advancements, to estimate the total proceeds generated by the CMFA BOLD City of Rocklin – Monument Springs CFD to repay the said advancements, and the associated risks.

The Monument Springs Bridge construction cost estimate is approximately \$7.3 million. This estimate is based on the developer-provided cost estimate in the Summer of 2022, adjusted for inflation. This cost estimate can vary based on the market condition, final construction bid pricing, and other factors related to the construction requirements.

If the City Council desires to advance City funds to support early construction of the bridge for the developer, the Traffic Circulation Impact Fees Fund can be a potential source of funding to facilitate early construction of the bridge. Upon issuance of the bonds, proceeds will be used to reimburse the City advancements provided that sufficient proceeds are generated.

Piper Sandler, CMFA underwriter, conducted a capacity analysis to estimate the total proceeds generated by the CMFA BOLD City of Rocklin – Monument Springs CFD Improvement Areas No. 1. It is estimated that Improvement Area No. 1 will generate total proceeds of approximately \$4,168,741 and that the future annexation areas will generate approximately \$3,966,264 for a combined proceed amount of \$8,135,005. For this capacity analysis the following assumptions and information were used:

- (i) Improvement Areas No. 1 consists of 95 single family units, with a final map for the first 41 units having recorded in October of 2022. No development has begun to date but site development for improvement Area No. 1 is anticipated to commence in Spring of 2023;
- (ii) based on boundary maps and information provided by the developers it was determined that the future annexation areas are anticipated to include approximately 85 single family units;
- (iii) utilized the January 17, 2023 market rates and the tax rates for Improvement Area No. 1 as outlined in the Rate and Method of Apportionment dated October 23, 2022;
- (iv) added an additional 50 basis points to the coupons and yields;
- (v) applied the lowest category tax rate for Improvement Area No. 1 to the additional 85 units within the future annexation areas; and
- (vi) results are preliminary and will change overtime.

The City must consider the following uncertainties and risks associated with the project:

1. The formation of BOLD CFD doesn't require the future annexation areas to be annexed into the Monument Springs CFD. If any of the subdivisions in the future annexation areas decide not to join the CFD, the bonding capacity will be limited or insufficient to

reimburse the City for the entire bridge construction cost. To mitigate the risk, a development agreement or other agreement between the City and the developers should be established requiring the subdivisions in the future annexation areas to join the CFD.

2. The city advanced expenditures must be reimbursed within the three years from the date the original expenditure is paid pursuant to the Treasury Regulations and Internal Revenue Code. Regulations require (1) not later than 60 days after payment of the original expenditure, the issuer must adopt an official intent for the original expenditure that satisfies the Internal Revenue Code (IRC); (2) the reimbursement allocation is made not later than 18 months after the later of the date the original expenditure is paid or the date the project is placed in service, but in no event more than three years after the original expenditure is paid; (3) the original expenditure is a capital expenditure, a cost of issuance for a bond, and a qualified expenditure under the IRC. Accordingly, the CMFA, as an issuer for the developers, must adopt an official intent by resolution not later than 60 days after payment of the original expenditure is made, and the developers must ensure the site development in the improvement areas are on schedule, in order to issue tax-exempt bonds not later than 18 months after the date the original expenditure is paid or the date the project is either placed in service or abandoned, but in no event the reimbursements be made more than three years after the date the original expenditure is paid. If bonds were not issued within the three years, the city expenditures will be considered artificial, and the City will not be reimbursed for the construction costs of the bridge from these bond proceeds.
3. To issue the first series of bonds, Improvement Area No. 1 will need to be in finished lot condition with a minimum value-to-lien of 4:1. To issue bonds for the additional annexation areas, the same criteria will need to be satisfied. Development must be on scheduled to maintain the bonding capacity. The amount that can be issued is determined by the number of homes times the tax per home totaling annual debt service and administrative costs.
4. The bonding capacity may change overtime and will vary by market conditions at the time of the bond issuance.
5. Projects identified from a future Traffic Impact Fee Update may be adversely impacted by funding availability if the advancements were partially reimbursed or not reimbursed all together.

Staff is seeking the City Council direction on use of the Traffic Circulation Impact Fees Fund to advance and support early construction of the bridge for the developer. If directed the next steps for staff will be to begin the engineering process to take over the Monument Springs Bridge construction project, prepare formal authorizing resolutions as required, and return to the City Council at a later date for formal consideration.

**Fiscal Impact:**

Any funding the City advances for this project would be taken from the Traffic Circulation Impact Fee fund. Because repayment dates are unknown, future City projects could be delayed.