ROCKLIN CITY COUNCIL

City Council Regular Meeting
City Hall - Council Chambers
3970 Rocklin Rd. Rocklin, CA 95677
Website: www.rocklin.ca.us

May 9, 2023
Closed Session at 5:00 p.m.
General Session at 6:00 p.m.

KEN BROADWAY, *Mayor*
GREG JANDA, *Vice Mayor*
DAVID BASS, *Council Member*
JILL GAYALDO, *Council Member*
BILL HALLDIN, *Council Member*

ALY ZIMMERMANN, *City Manager*
DANIEL CUCCHI, *Interim City Attorney*
HOPE ITHURBURN, *City Clerk*
DANIEL CHOE, *City Treasurer*

MEETING PROCEDURES AND STANDARDS OF DECORUM

For items listed on the agenda, any person may address the City Council at the time the item is considered. Speakers are requested to restrict their comments to the item as it appears on the agenda and stay within the required five minute time limit, unless the time is adjusted by the Mayor.

For items not listed on the agenda, any person may do so under Citizens Addressing the City Council. Speakers are limited to five minutes and the item must be under the jurisdiction of the Rocklin City Council. As a reminder, the Brown Act does not permit the City Council to take action on items brought up under Citizens Addressing the City Council.

Whenever any group of persons wishes to address the City Council on the same subject matter, it shall be proper for the Mayor to request that a spokesperson be chosen.

Although not required, speakers are requested to identify themselves by stating their name and city of residence for the official record. Time will be monitored on the lectern. When the time reaches zero, please be seated.

Any person who disrupts the meeting of the City Council, may be barred by the Mayor from further audience before the City Council during that meeting.

All remarks shall be addressed to the City Council as a body and not to any member thereof, or to staff, or to the public. No person, other than a member of the City Council, the City Manager or the City Attorney and the person having the floor, shall be permitted to enter into any discussion without the permission of the presiding officer.

WRITINGS RECEIVED AFTER AGENDA POSTING

Any writing related to an agenda item for the open session of this meeting distributed to the City Council, Public Financing Authority or Successor Agency less than 72 hours before this meeting is available for inspection at City Hall, 3970 Rocklin Road, Rocklin, during normal business hours. These writings will also be available for review at the council meeting in the public access binder located on the table at the back of the Council Chambers. If you have questions related to this agenda, please call (916) 625-5560.

AMERICANS WITH DISABILITIES ACT

In compliance with the Americans with Disabilities Act, the City of Rocklin encourages those with disabilities to participate fully in the public hearing process. If you have a special need in order to allow you to attend or participate in our public meeting and public hearing processes, including receiving notices, agendas, and other writings in appropriate alternative formats, please contact our office at (916) 625-5560 well in advance of the public meeting or public hearing you wish to attend so that we may make every reasonable effort to accommodate you.

ELECTRONIC PRESENTATIONS

All persons with electronic presentations for public meetings will be required to bring their own laptop or other form of standalone device that is HDMI or VGA compatible. It is further recommended that presenters arrive early to test their presentations. The City is not responsible for the compatibility or operation of non-city devices or the functionality of non-city presentations.

POSTING OF AGENDA

In accordance with Government Code Section 54954.2(a) this agenda was posted on the City's bulletin board at City Hall, 3970 Rocklin Road, Rocklin, and City of Rocklin website at www.rocklin.ca.us.

AGENDA

SPECIAL MEETING INSTRUCTIONS

The public may listen to audio or view the meeting via live stream at https://www.rocklin.ca.us/agendas-minutes.

The public is welcome to attend in person or participate virtually.

For those that do not plan on attending in person, but wish to make a public comment during the meeting, public comments will be accepted in email form up until 3:00 p.m. on the meeting day.

Those wishing to make a public comment in person, although not required, speakers are requested to complete a speaker card and present to the City Clerk.

Public comments should be submitted to the City Clerk at PublicComments@rocklin.ca.us.

Emailed public comments will be distributed to City Council and treated as a public record.

This meeting will also be offered via Zoom Webinar and the public is welcome to make a public comment through Zoom following the steps below:

How To Make A Public Comment By Zoom

When you join the meeting, you will be muted by default. To inform the City Clerk that you would like to make a public comment, you will use the “Raise Hand” feature. Information on how to use the raise hand feature can be found on the Zoom website here: https://support.zoom.us/hc/en-us/articles/205566129-Raising-your-hand-in-a-webinar.

Zoom Participation Via Computer Or Smartphone

You can join the meeting from your computer using a web browser or the Zoom software (if it is installed). You can also join the meeting using the Zoom app on your Apple IOS or Android device. Click the link below to join the Zoom meeting:

https://us02web.zoom.us/j/85335661759?pwd=a2NVMTRXSUIzSDBjdHJINU1DcERiZz09

Zoom Participation By Telephone (Audio Only Option)

1. Dial (669) 900-6833.
2. When asked for the meeting ID, enter 853 3566 1759#.

3. When asked for your participant ID, press # to continue.
4. When asked for the meeting password, enter 479939#.
5. You will be muted by default. To inform the City Clerk that you would like to make a public comment, dial *9 to "Raise your hand". The Clerk will unmute you when it is your turn to speak.

For those requiring a modification or accommodation under the Americans with Disabilities Act, please contact Hope Ithurburn, City Clerk at (916) 625-5564 or via email at Hope.Ithurburn@rocklin.ca.us.

CALL TO ORDER

PLEDGE OF ALLEGIANCE

ROLL CALL

PUBLIC COMMENT ON CLOSED SESSION

1. CLOSED SESSION

1.A Pursuant to Government Code Section 54957, Public Employee Performance Evaluation: City Clerk

1.B Pursuant to Government Code Section 54956.8: Conference with Real Property Negotiators Properties: Outlook Drive Open Space, APN 017-350-057-000. Agency Negotiators: Aly Zimmermann, Sherri Conway and David Mohlenbrok. Negotiating Parties: James and Carla Dolislager; Rebecca Absi; Travis Lee; Leroy and Marilyn Lebeck; Eric and Virginia Leslie; John Peruch; John and Linda Russell; Steve Schlegel; Anthony Scott; David Sokolowski; Kevin Thomas and Michelle Ly Wright; Raul and Kathryn Velasquez. Under Negotiation: Price, Terms of Payment, or Both

1.C Pursuant to Government Code Section 54957(b) Public Employee Appointment, Position: Interim City Attorney

AGENDA REVIEW

CITIZENS ADDRESSING THE CITY COUNCIL

2. REPORTS FROM CITY COUNCIL AND CITY MANAGER

3. CONSENT CALENDAR

3.A Minutes of the Regular Meeting of the City Council, April 11, 2023
Recommendation: Approve the Minutes of the Regular Meeting of the City Council, April 11, 2023.
Staff Presentation by Hope Ithurburn, City Clerk

3.B Minutes of the Special Meeting of the City Council, April 20, 2023
Recommendation: Approve the Minutes of the Special Meeting of the City Council, April 20, 2023.
Staff Presentation by Hope Ithurburn, City Clerk

3.C Appointments to the Arts Commission, Board of Appeals, and Community Recognition Commission
Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Approving Appointments to the Arts Commission, Board of Appeals, and Community Recognition Commission.
Staff Presentation by Hope Ithurburn, City Clerk

3.D Proclamation Declaring May 14 - 20, 2023 as National Police Week
Recommendation: Approve a Proclamation Declaring May 14-20, 2023 as National Police Week.
Staff Presentation by Mayor Ken Broadway

3.E Proclamation Declaring May 4, 2023 as National Day of Prayer
Recommendation: Approve a Proclamation Declaring May 4, 2023 as National Day of Prayer.
Staff Presentation by Mayor Ken Broadway

3.F Proclamation Declaring April 30 - May 6, 2023 as National Small Business Week
Recommendation: Approve a Proclamation Recognizing April 30 through May 6, 2023 as National Small Business Week.
Staff Presentation by Sherri Conway, Assistant City Manager

3.G Proclamation Declaring the Month of May 2023 as Lyme Disease Awareness Month
Recommendation: Approve a Proclamation Declaring the Month of May 2023 as Lyme Disease Awareness Month.
Staff Presentation by Mayor Ken Broadway

3.H Medal of Valor Awards Presented to Fire Department Staff
Recommendation: Adopt a Resolution of the City Council of the City of Rocklin, Acknowledging the Medal of Valor for Fire Captain Heath Taylor, Fire Engineer Jesse Beland, and Firefighter/Paramedic Travis Pistochini.
Staff Presentation by Reginald Williams, Fire Chief

3.I Accounts Paid List - January 1, 2023 to March 31, 2023
Recommendation: Receive the Accounts Paid List for January 1, 2023 to March 31, 2023.
Staff Presentation by Daniel Choe, Deputy Director of Administrative Services

3.J Levy and Collection of Assessments for Lighting Maintenance District No. 1 for Fiscal Year 2023/24

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin Ordering the Preparation of the Engineer's Report for Lighting Maintenance District No.1.
B. Adopt a Resolution of the City Council of the City of Rocklin Approving the Engineer's Report for the Levy of the Annual Assessment for Lighting Maintenance District No. 1.
C. Adopt a Resolution of the City Council of the City of Rocklin Declaring Its Intention to Levy and Collect Annual Assessments for Lighting Maintenance District No. 1 and Setting a Time and Place for Public Hearing.

Staff Presentation by Megan Bressem, Management Analyst

3.K Levy and Collection of Assessments for Landscape and Lighting Maintenance District No. 2 for Fiscal Year 2023/24

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin Ordering the Preparation of the Engineer's Report for Landscape and Lighting Maintenance District No. 2.
B. Adopt a Resolution of the City Council of the City of Rocklin Approving the Engineer's Report for the Levy of the Annual Assessment for Landscape and Lighting Maintenance District No. 2.
C. Adopt a Resolution of the City Council of the City of Rocklin Declaring its Intention to Levy and Collect Annual Assessments for Landscape and Lighting Maintenance District No. 2 and Setting a Time and Place for Public Hearing.

Staff Presentation by Megan Bressem, Management Analyst

3.L Intention to Annex Maverik Gas Station (APN 017-283-047-000) into Community Facilities District No. 1

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin of Intention to Annex Territory to Rocklin Community Facilities District No. 1 (Annexation No. 78) and to Authorize the Levy of Special Taxes Therein (Maverik Gas Station, APN 017-283-047-000).

Staff Presentation by Megan Bressem, Management Analyst

3.M CALNET NextGen Cooperative Purchase Agreement

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Approving the Migration from the Existing CALNET 3 contract to the New CALNET NextGen Contract and Authorizing the City Manager to Execute an Agreement with CALNET.

Staff Presentation by Brian Graves, Information Technology Manager

3.N Setting a Public Hearing on Delinquent Nuisance Abatement Charges (Weed Abatement)

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Setting a Public Hearing on Delinquent Nuisance Abatement Charges (Weed Abatement).

Staff Presentation by Angela Doyle, Senior Accountant

3.O Setting a Public Hearing on Delinquent Refuse Collection Charges
Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Setting a Public Hearing on Delinquent Refuse Collection Charges.
Staff Presentation by Angela Doyle, Senior Accountant

3.P Agreement with R.E.Y. Engineers, Inc. for Pavement Rehabilitation Construction Management
Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Awarding the Contract for Construction Management Services for the Pavement Rehabilitation Project and Authorizing the City Manager to Execute an Agreement with R.E.Y. Engineers, Inc.
Staff Presentation by Rick Lawrence, Public Services Manager

3.Q Setting a Public Hearing to Amend Solid Waste Collection Services Rates within Rocklin
Recommendation: Adopt a Resolution of the City Council of the City of Rocklin of Intent to Amend the Solid Waste Collection Service Rates Within the Incorporated Limits of the City of Rocklin and Setting a Time and Place for a Public Hearing Thereon.
Staff Presentation by Justin Nartker, Director of Public Services

4. OATH OF OFFICE

4.A Swearing in of Newly Appointed Board and Commission Members
Staff Presentation by Hope Ithurburn, City Clerk

5. SPECIAL PRESENTATIONS

5.A Ruhkala Community Service Awards Presentation
Recommendation: Recognize the 2023 Ruhkala Community Service Award honorees.
Staff Presentation by Elizabeth Sorg, Management Analyst

5.B Proclamation Declaring the Month of May 2023 as Lyme Disease Awareness Month
Staff Presentation by Mayor Ken Broadway

5.C Proclamation Declaring May 14 - 20, 2023 as National Police Week
Staff Presentation by Mayor Broadway

5.D Recognition of Heath Taylor, Jesse Beland, and Travis Pistochini, Medal of Valor
Staff Presentation by Mayor Ken Broadway

6. PUBLIC HEARINGS

6.A Abatement of Public Nuisance in Various Locations in the City (Weed Abatement)

Recommendation:

A. Conduct a public hearing to solicit comments on the Abatement of Public Nuisance in Various Locations in the City.
B. Upon completion of the Protest Hearing, and after giving all parties on "Exhibit A" of the Resolution due consideration regarding abatement on their property, Adopt a Resolution of the City Council of the City of Rocklin Ordering Abatement of Public Nuisance in Various Locations in the City of Rocklin.

Staff Presentation by Richard Lawrence, Public Services Manager

6.B Final 2023/2024 Federal Housing and Urban Development (HUD) Department Community Development Block Grant (CDBG) One-Year Action Plan

Recommendation:

A. Conduct a public hearing to solicit comments on the proposed 2023/2024 Annual Action Plan and direct staff to prepare the Final Annual Action Plan.
B. Adopt a Resolution of the City Council of the City of Rocklin Approving Adoption of the 2023/2024 Annual Action Plan and Authorizing the City Manager to Execute and File All Documents Required to Receive U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant (CDBG) Funding.

Staff Presentation by Laura Webster, Director of Long-Range Planning & Housing

7. DISCUSSION ITEMS

7.A COVID-19 Business Retention Grant Program

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Approving Amendment No. 2 to the Contract for Services with the Sierra Business Council for the Administration of the COVID-19 Business Retention Grant Program and Direct Staff on the Appropriate Method of Determining Funding Amounts.

Staff Presentation by Elizabeth Sorg, Management Analyst

7.B Delegation of Investment Decision Authority and Investment Policy Update

Recommendation:

A. Receive a Presentation on Legislative Policy No. 1 - Investment Policy Updates by Chandler Asset Management, Inc.
B. Adopt a Resolution of the City Council of the City of Rocklin Delegating Authority to Make Investment Decisions to the City Treasurer and Adopting Legislative Policy No. 1 - Investment Policy.

Staff Presentation by Megan Bressem, Management Analyst

7.C City of Rocklin 2023-2025 Strategic Plan

Recommendation: Affirm the Outcomes of the 2023-2025 Strategic Planning Process, and Provide Direction to Staff on the Proposed Two-Year Work Plan Items.

Staff Presentation by Aly Zimmermann, City Manager

8. FUTURE AGENDA ITEMS

ADJOURNMENT

City Council Staff Report

Subject: Minutes of the Regular Meeting of the City Council, April 11, 2023

Date: May 9, 2023

Submitted By: Hope Ithurburn, City Clerk

Department: City Clerk's Office

Recommendation:
Approve the Minutes of the Regular Meeting of the City Council, April 11, 2023.

ATTACHMENTS:
1. DRAFT 4.11.2023 Meeting Minutes.doc

REGULAR MEETING OF THE
ROCKLIN CITY COUNCIL

April 11, 2023

5:00 p.m. – CLOSED SESSION
6:00 p.m. – GENERAL SESSION

Council Chambers
3970 Rocklin Road, Rocklin, CA 95677
www.rocklin.ca.us

MEETING MINUTES
-DRAFT-

CALL TO ORDER
Mayor Broadway called the meeting to order at 5:02 p.m.

PLEDGE OF ALLEGIANCE
Mayor Broadway led the Pledge of Allegiance.

ROLL CALL
Councilmembers: David Bass, Bill Halldin, Jill Gayaldo, Greg Janda, Mayor Ken Broadway

PUBLIC COMMENT ON CLOSED SESSION
None.

Seeing no public comment, Mayor Broadway called for Closed Session at 5:04 p.m.

1. CLOSED SESSION

1.A Pursuant to Government Code section 54957.6, Conference with Labor Negotiators, Agency Representatives: City Manager Aly Zimmermann, Director of Human Resources Tameka Usher, and Jack Hughes; Employee Organization: City of Rocklin Public Service Employees, represented by AFSCME Council 57, Local 146 AFL-CIO

1.B Pursuant to Government Code section 54957, Public Employee Dismissal/Discipline/Release

1.C Pursuant to Government Code section 54957, Threat to Public Services or Facilities, Consultant with City Manager and City Attorney

Closed session ended at 6:20 p.m. with nothing to report; Council provided direction to staff.

AGENDA REVIEW

Mayor Broadway requested Item No. 6.A to follow Item No. 4.B.

CITIZENS ADDRESSING THE CITY COUNCIL

Benjamin Bjorkman spoke on the local ice cream truck route.

Gail Salata spoke on the memorial bench policy.

2. REPORTS FROM CITY COUNCIL AND CITY MANAGER

City Council Reports

Councilmember Halldin reported attendance at the Sierra College Campus' housing ground breaking event, the Fire Department's Easter egg hunt, and a County of Placer's Homelessness Advisory Committee meeting.

Councilmember Gayaldo reported attendance at a Sacramento Area Council of Governments (SACOG) transportation committee meeting, the Fire Department's Easter egg hunt, the Sierra College Campus housing ground breaking event, expressed appreciation to the Fire and Police department's involvement in providing assistance to the City of Roseville's in regards to the recent tragedy in their community, and promoted the upcoming Run Rocklin event.

Mayor Broadway reported participation in an event hosted by the Marine Corps at Camp Pendleton, and reported attendance at a legislative public hearing committee and reported providing public comment regarding AB 457 addressing the Surplus Land Act.

City Manager Report

City Manager Aly Zimmermann expressed thanks to the Police Department in providing a ride along and reminded all that it is likely the City Council meeting scheduled for April 25th will be canceled.

3. CONSENT CALENDAR

3.A Approved the Meeting Minutes of the Special Meeting of the City Council, March 22, 2023.

3.B Approved the Meeting Minutes of the Regular Meeting of the City Council, March 28, 2023.

3.C Resolution No. 2023-42 of the City Council of the City of Rocklin of Appreciation and Commendation for Joe Zorio, Rocklin Little League

3.D Resolution No. 2023-41 of the City Council of the City of Rocklin Approving Amendment No. 1 to the Contract for Services with the Sierra Business Council for the Administration of the Restore Rocklin ARPA Grant Program

3.E Resolution No. 2023-43 of the City Council of the City of Rocklin Declaring Conditions at Locations within the City of Rocklin to be a Public Nuisance, Ordering Weed Abatement of Same, and Setting the Date and Time for a Protest Hearing

3.F Received the Quarterly Building Report for the Quarter Ended March 31, 2023

3.G Resolution No. 2023-44 of the City Council of the City of Rocklin Appointing Avdis & Cucchi, LLP to provide Interim City Attorney Services

Motion to approve Item Nos. 3.A – 3.G by Vice Mayor Janda, seconded by Councilmember Halldin. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin, Janda
Noes:	None
Absent:	None
Abstain:	None

4. SPECIAL PRESENTATIONS

4.A Recognition of Joe Zorio, Rocklin Little League

Mayor Broadway presented the special resolution to the family of Joe Zorio.

4.B 2023 Storm Events Update

Justin Nartker, Director of Public Services presented to Council.

5. PUBLIC HEARINGS

5.A Draft 2023-24 Federal Housing and Urban Development (HUD) Department Community Development Block Grant (CDBG) One-Year Action Plan

Laura Webster, Director of Long-Range Planning & Housing presented to Council.

Mayor Broadway opened the public hearing.

Seeing no public comments, Mayor Broadway closed the public hearing.

Council provided direction to staff.

5.B

1. Resolution No. 2023-45 of the City Council of the City of Rocklin Approving a Notice of Exemption (Street Name Change: Cheetah Street (Portion) to Ocelot Way/ENV2023-0002)

2. Resolution No. 2023-46 of the City Council of the City of Rocklin Approving a Street Name Change (Street Name Change: Cheetah Street (Portion) to Ocelot Way/SNC2023-0001)

Bret Finning, Manager of Planning Services presented to Council.

Motion to approve Item No. 5.B.1 and 5.B.2 by Councilmember Halldin, seconded by Councilmember Bass. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin, Janda
Noes:	None
Absent:	None
Abstain:	None

5.C Resolution No. 2023-47 of the City Council of the City of Rocklin Adopting a Service Fee for SB 1383 Education and Inspection Services, and Approving the Agreement with Placer County for the Provisions of SB 1383 Education and Inspection Services.

Justin Nartker, Director of Public Services presented to Council.

Mayor Broadway opened the public hearing.

Seeing no public comment, Mayor Broadway closed the public hearing.

Motion to approve Item No. 5.C by Councilmember Halldin, seconded by Councilmember Gayaldo. Passed by the following vote:

Ayes:	Broadway, Gayaldo, Halldin
Noes:	Bass, Janda
Absent:	None
Abstain:	None

6. DISCUSSION ITEMS

6.A

1. Resolution No. 2023-48 of the City Council of the City of Rocklin Ratifying the Engineering & Design Agreement with Water Works Engineers LLC to Proceed with the Emergency Design and Engineering Services Necessary to Repair or Replace the Failed Arch Culvert and Failed Portions of Midas Avenue at the Antelope Creek Crossing.

2. Resolution No. 2023-49 of the City Council of the City of Rocklin Ratifying the Construction Agreement with Glissman Excavating Inc. to Proceed with the Emergency

Construction to Repair or Replace the Failed Arch Culvert and Failed Portions of Midas Avenue at the Antelope Creek Crossing.

Justin Nartker, Manager of Planning Services presented to Council.

Public Comment:
Benjamin Bjorkman

Motion to approve Item No. 6.A.1 and Item No. 6.B.2 by Councilmember Halldin, seconded by Councilmember Bass. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin, Janda
Noes:	None
Absent:	None
Abstain:	None

6.B COVID-19 Business Retention Grant Program

Elizabeth Sorg, Management Analyst presented to Council.

Public Comment:
Becca K., Business Owner
John Hughes, Placer County Association of Realtors

Council provided direction to staff.

7. FUTURE AGENDA ITEMS

- Revisit the City Manager's spending authority.

ADJOURNMENT

Mayor Broadway adjourned the meeting at 9:04 p.m.

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

DRAFT

ROCKLIN
CALIFORNIA

City Council
Staff Report

Subject: Minutes of the Special Meeting of the City Council, April 20, 2023

Date: May 9, 2023

Submitted By: Hope Ithurburn, City Clerk

Department: City Clerk's Office

Recommendation:
Approve the Minutes of the Special Meeting of the City Council, April 20, 2023.

ATTACHMENTS:
1. DRAFT 4.20.2023 Special Meeting Minutes

ROCKLIN CITY COUNCIL

City Council Special Meeting

City Hall - Council Chambers
3970 Rocklin Rd. Rocklin, CA 95677
Website: www.rocklin.ca.us

April 20, 2023

General Session - 1:00 pm

KEN BROADWAY, *Mayor*
GREG JANDA, *Vice Mayor*
DAVID BASS, *Council Member*
JILL GAYALDO, *Council Member*
BILL HALLDIN, *Council Member*

ALY ZIMMERMANN, *City Manager*
DANIEL CUCCHI, *Interim City Attorney*
HOPE ITHURBURN, *City Clerk*
DANIEL CHOE, *City Treasurer*

CALL TO ORDER
Mayor Broadway called the meeting to order at 4:04 p.m.

PLEDGE OF ALLEGIANCE
Mayor Broadway led the pledge of allegiance.

ROLL CALL
Councilmembers: David Bass (ABSENT – Excused), Bill Halldin, Jill Gayaldo (ABSENT – Excused), Greg Janda, Mayor Ken Broadway

CITIZENS ADDRESSING CITY COUNCIL
None.

1. DISCUSSION ITEMS

1.A Interview Candidates for Appointments to the Arts Commission, the Community Recognition Commission, and the Board of Appeals Commission, Deliberate and Recommend Appointments to Council

Council conducted interviews with the following applicants:

- Lynne Sully
- Michele Vass
- Amy Young
- Alexandra (Alex) Shadle
- Floy Taylor
- Michael Faria
- Neil Bullock
- Josanna Hansen
- Roger Peterson
- Shaina Kennedy

The following were recommended for appointment to the Board of Appeals:

- Michael Faria
- John Wardlaw

The following was recommended for appointment to the Community Recognition Commission:

- Michele Vass

The following were recommended for appointment to the Arts Commission:

- Alexandra (Alex) Shadle
- Floy Taylor
- Neil Bullock
- Lynne Sully
- Josanna Hansen

Vice Mayor Janda was absent from 1:30pm and returned at 4:45pm.

A resolution recommending the appointments will be presented to City Council for adoption at the Regular Meeting of May 9, 2023.

ADJOURNMENT
The meeting was adjourned at 5:51 p.m.

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council
Staff Report

Subject: Appointments to the Arts Commission, Board of Appeals, and Community Recognition Commission

Date: May 9, 2023

Submitted By: Hope Ithurburn, City Clerk

Department: City Clerk's Office

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Approving Appointments to the Arts Commission, Board of Appeals, and Community Recognition Commission.

ATTACHMENTS:
1. Resolution

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
MAKING APPOINTMENTS TO THE ARTS COMMISSION, BOARD OF APPEALS, AND COMMUNITY RECOGNITION COMMISSION

The City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin does hereby appoint the following individuals to the various Boards, Commissions, and Committees of the City of Rocklin commencing May 9, 2023 as set forth in Exhibit A, attached hereto and hereby incorporated herein by this reference.

Section 2. This Resolution supersedes prior resolutions making appointments to various City Boards, Commissions, and Committees.

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A

ARTS COMMISSION RMC Chapter 2.43 & 2.43.020 Resident of City/Four and Two Year Term	
Appointee	Term Expires
Alexandra (Alex) Shadle	6/30/25
Floy Taylor	6/30/25
Neil Bullock	6/30/29
Lynne Sully	6/30/29
Josanna Hansen	6/30/29

BOARD OF APPEALS Resolution No. 81-34 Four Year Term		
Appointee	Qualifications	Term Expires
Michael Faria	Construction/Building Experience and Training	6/30/2026
John Wardlaw	Construction/Building Experience and Training	6/30/2024

COMMUNITY RECOGNITION COMMISSION RMC Chapter 2.45 Resident of City/Four Year Term	
Appointee	Term Expires
Michele Vass	6/30/26

ROCKLIN
CALIFORNIA

City Council
Staff Report

Subject: Proclamation Declaring May 14 - 20, 2023 as National Police Week

Date: May 9, 2023

Submitted By: Hope Ithurburn, City Clerk

Recommendation:
Approve a Proclamation Declaring May 14-20, 2023 as National Police Week.

ATTACHMENTS:
1. National Police Week Proclamation

Proclamation

Issued by Council

WHEREAS, National Police Week was established in 1962 by President John F. Kennedy along with a joint resolution of Congress to pay special tribute to those law enforcement officers who have lost their lives in the line of duty for the safety and protection of others; and

WHEREAS, there are approximately 800,000 law enforcement officers serving in communities across the United States, including 65 sworn members of the Rocklin Police Department who work devotedly and selflessly on behalf of the citizens of the City of Rocklin regardless of the peril or hazard to themselves; and

WHEREAS, since the first recorded death in 1786, 23,785 law enforcement officers in the United States have made the ultimate sacrifice and been killed in the line of duty, including Marshal Sam Renaldi, February 19, 1914 and Officer Matt Redding, October 9, 2005, of the City of Rocklin Police Department; and

WHEREAS, the names of more than 22,000 of these dedicated public servants are engraved on the walls of the National Law Enforcement Officers Memorial, which was dedicated in 1991 in Washington, D.C.; and

WHEREAS, 556 new names of fallen heroes were added to the National Law Enforcement Officers Memorial this Spring, including 224 officers killed in 2022 and 332 officers who died in previous years whose stories of sacrifice had been lost to history until now; and

WHEREAS, the service and sacrifice of all officers killed in the line of duty will be honored, and the names of the officers newly engraved on the Memorial will be formally dedicated, during the National Law Enforcement Officers Memorial Fund's 35th Annual Candlelight Vigil which will be held on the evening of Saturday, May 13, 2023; and

WHEREAS, May 15, 2023 is designated as Peace Officers Memorial Day, in honor of all fallen officers and their families.

NOW, THEREFORE, BE IT RESOLVED, that the Rocklin City Council hereby proclaims the week of May 14-20, 2023 as National Police Week 2023 in the City of Rocklin and publicly salute the service of law enforcement officers in our community and in communities across the nation.

_____ *Mayor*

_____ *Vice Mayor*

_____ *Councilmember*

_____ *Councilmember*

_____ *Councilmember*

Dated: May 9, 2023

ATTEST:

_____

Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council
Staff Report

Subject: Proclamation Declaring May 4, 2023 as National Day of Prayer

Date: May 9, 2023

Submitted By: Hope Ithurburn, City Clerk

Department: City Clerk's Office

Recommendation:
Approve a Proclamation Declaring May 4, 2023 as National Day of Prayer.

ATTACHMENTS:
1. National Day of Prayer Proclamation.doc

Proclamation

Issued by the Council

WHEREAS, in 1952 the United States Congress established the National Day of Prayer as annual event by joint resolution, which was signed into law by President Truman, Public Law 82-324; and

WHEREAS, in 1988, the law was amended designating the National Day of Prayer as the first Thursday in May, Public Law 100-307; and

WHEREAS, each year, the President of the United States issues a proclamation designating the first Thursday in May as a National Day of Prayer on which people of the United States may turn to God in prayer and meditation at churches, in groups, and as individuals, 36 U.S.C. section 119; and

WHEREAS, the 2023 National Day of Prayer is May 4th, and the theme is "pray fervently in righteousness and avail much".

WHEREAS, the United States has a long history of turning to prayer both in times of crisis and in times of thanksgiving; and

WHEREAS, the act of prayer is both an expression of religious belief and an act of speech that is protected by the First Amendment to the Constitution of the United States.

NOW, THEREFORE, BE IT RESOLVED that the Rocklin City Council does hereby recognize May 4th, 2023, as the National Day of Prayer.

_____ *Mayor*

_____ *Vice Mayor*

_____ *Councilmember*

_____ *Councilmember*

_____ *Councilmember*

Dated: May 9, 2023

ATTEST:

_____

Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Proclamation Declaring April 30 - May 6, 2023 as National Small Business Week

Date: May 9, 2023

Submitted By: Haley Reid, Associate Management Analyst

Department: City Manager's Office

Recommendation:
Approve a Proclamation Recognizing April 30 through May 6, 2023 as National Small Business Week.

ATTACHMENTS:
1. National Small Business Week Proclamation

Proclamation

Issued by Council

WHEREAS, the City of Rocklin recognizes and values the dedication and entrepreneurial spirit of small businesses that keep our local, regional, state and national economy growing stronger, and observes April 30 through May 6, 2023 as National Small Business Week; and

WHEREAS, the City of Rocklin works collaboratively with the Small Business Administration, Small Business Development Centers, Chambers of Commerce, and other organizations to forward the mission of helping our businesses grow and create new jobs within Rocklin; and

WHEREAS, when we support small business, jobs are created and local communities preserve their unique culture; and

WHEREAS, the City of Rocklin is home to over 2,693 businesses and 69.55% of Rocklin businesses are fewer than five employees, truly making small business the backbone of our economy; and

WHEREAS, the President of the United States has proclaimed national Small Business Week every year since 1963 to highlight programs and services available to entrepreneurs through the U.S. Small Business Administration and other government agencies.

NOW THEREFORE, BE IT RESOLVEDI, that the Rocklin City Council hereby proclaims April 30 through May 6, 2023 as National Small Business Week, and encourages all citizens to support the small businesses in Rocklin.

_____ *Mayor*

_____ *Vice Mayor*

_____ *Councilmember*

_____ *Councilmember*

_____ *Councilmember*

Dated: May 9, 2023

ATTEST:

_____

Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council
Staff Report

Subject: Proclamation Declaring the Month of May 2023 as Lyme Disease Awareness Month

Date: May 9, 2023

Submitted By: Hope Ithurburn, City Clerk

Department: City Clerk's Office

Recommendation:
Approve a Proclamation Declaring the Month of May 2023 as Lyme Disease Awareness Month.

ATTACHMENTS:
1. Lyme Disease Awareness Month Proclamation

Proclamation

Issued by the Council

WHEREAS, Lyme disease is an often misunderstood illness that can cause serious health problems if it is not caught early and properly treated; and

WHEREAS, according to the Centers for Disease Control and Prevention and the California Department of Public Health, Lyme disease is a bacterial infection primarily caused by the spirochete Borrelia burgdorferi and is transmitted by the bite of an infected tick. The disease was first identified in North America in the 1970s in Old Lyme, Connecticut, for which it was named, and since that time, the disease has since been found in all 50 states in the United States. The reach of Lyme disease is global, having been reported in more than 60 countries on six continents and several islands; and

WHEREAS, Lyme disease is a complex, multisystem illness, affecting people of all ages. According to the Centers for Disease Control and Prevention and the California Department of Public Health, early signs of infection may include rash and flu-like symptoms, including fever, muscle aches, headaches, and fatigue. Usually, the disease responds well to prompt treatment with appropriate antibiotics. If untreated or inadequately treated, however, Lyme disease can spread to joints, the heart, and the nervous system; and

WHEREAS, according to the California Department of Public Health, in California, the Lyme disease bacterium is transmitted by the western blacklegged tick (Ixodes pacificus), and is most common in the coastal regions and along the western slope of the Sierra Nevada range. According to the Placer Mosquito and Vector Control District, the tick is most commonly found in wooded, brushy, or grassy areas, especially along sides of trials, and is usually found in areas with high humidity from October to July. According to the Centers for Disease Control and Prevention, the ticks may be active year-round.

NOW, THEREFORE, BE IT RESOLVED, that the Rocklin City Council hereby proclaims May 2023 as Lyme Disease Awareness Month and urge all Rocklin residents to take appropriate precautions to prevent Lyme disease by protecting yourself from ticks; checking yourself, your children and pets for ticks; removing ticks properly as soon as they are found and by recording the date and location of the tick bite and watching for symptoms.

_____ *Mayor*

_____ *Vice Mayor*

_____ *Councilmember*

_____ *Councilmember*

_____ *Councilmember*

Dated: May 9, 2023

ATTEST:

_____

Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Medal of Valor Awards Presented to Fire Department Staff

Date: May 9, 2023

Submitted By: Reginald Williams, Fire Chief
Julie Ervin, Executive Assistant

Department: Fire

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin, Acknowledging the Medal of Valor for Fire Captain Heath Taylor, Fire Engineer Jesse Beland, and Firefighter/Paramedic Travis Pistochini.

Background:

On March 7, 2023, the Rocklin Fire and Police dispatch center received a 911 call of a residential fire, with an occupant unable to exit the home on his own. Fire Engine #23 arrived to the incident to find the rear of the home on fire with smoke coming from the residence. The crew, consisting of Fire Captain Heath Taylor, Fire Engineer Jesse Beland, and Firefighter/Paramedic Travis Pistochini, responded expeditiously, worked efficiently as a team, and deployed effective tactics that resulted in saving the life of the occupant who could not exit on their own.

The resolution acknowledges the heroism, selflessness, and exceptional courage of the crew of Engine #23 in their lifesaving efforts.

ATTACHMENTS:
1. Resolution

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
ACKNOWLEDGING THE MEDAL OF VALOR FOR FIRE CAPTAIN HEATH TAYLOR, FIRE ENGINEER JESSE BELAND, AND FIREFIGHTER/PARAMEDIC TRAVIS PISTOCHINI

WHEREAS, on March 7, 2023, Fire Engine #23 responded to the report of a residential fire; and

WHEREAS, the crew of Fire Engine #23 consisted of Fire Captain Heath Taylor, Fire Engineer Jesse Beland, and Firefighter/Paramedic Travis Pistochini; and

WHEREAS, the crew of Fire Engine #23 responded expeditiously, worked efficiently as a team, and deployed effective tactics that resulted in saving a life; and

WHEREAS, the crew of Fire Engine #23 entered the burning structure locating and rescuing an occupant whom was unable to exit under their own power; and

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The City Council of the City of Rocklin acknowledges the heroic efforts of Fire Captain Heath Taylor, Fire Engineer Jesse Beland, and Firefighter/Paramedic Travis Pistochini and awards them the Medal of Valor for their selfless act and exceptional courage in the effort to save a life.

PASSED AND ADOPTED this 9th day of May 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council
Staff Report

Subject: Accounts Paid List - January 1, 2023 to March 31, 2023

Date: May 9, 2023

Submitted By: Daniel Choe, Deputy Director of Administrative Services
Kim Olson, Accounting Technician II

Department: Administrative Services - Finance

Recommendation:
Receive the Accounts Paid List for January 1, 2023 to March 31, 2023.

Background:
The Accounts Paid List (Attachment 1) details expenses paid to vendors, types of expenses, and the amount paid for the period between January 1, 2023 and March 31, 2023.

Fiscal Impact:
There is no fiscal impact to receiving this report. The items on this report are in the budget or have been approved as budget amendments in a separate item.

ATTACHMENTS:
1. Accounts Paid List January 1, 2023 - March 31, 2023

City of Rocklin

ACCOUNTS PAID LIST

for

January 01, 2023 through March 31, 2023

meeting of

MAY 9, 2023

Deputy Director of Administrative Services

04/10/2023
Date

City of Rocklin

Accounts Paid Summary

From: 1/1/23 To: 3/31/23

Vendor Name		Total Amount Paid
MATTHEW SCOT PICCHI	TRAVEL/TRAINING/MEALS	375.38
347 GROUP, INC	INFRASTRUCTURE	1,493.00
4LEAF, INC.	PROFESSIONAL SERVICES	57,774.72
A & A STEPPING STONE MFG INC.	PROGRAM SUPPLIES/MATERIALS	1,260.25
ABBOTT & KINDERMANN, INC	PROFESSIONAL SERVICES	9,456.92
ACTION TARGET INC	PROGRAM SUPPLIES/MATERIALS	1,725.12
ADRIAN PASSADORE	TRAVEL/TRAINING/MEALS	193.00
ADVANCED INTEGRATED PEST MANAGEMENT	MAINTENANCE CONTRACTS	5,314.00
ADVANTAGE GEAR, INC.	UNIFORMS	2,786.41
AFSCME DISTRICT COUNCIL 57	PAYROLL DEDUCTS PAYABLE	8,743.71
ALLAN ZUFELT (INSIGHT GLASS)	REPAIR & MAINTENANCE	785.00
ALLSTAR FIRE EQUIPMENT	NON-CAP. EQUIPMENT	7,280.74
AMANDA TONKS	TRAVEL/TRAINING/MEALS	290.88
AMERICAN RAMP COMPANY	PARK IMPROVEMENTS	6,730.00
ANDRE BOOKER	TRAVEL/TRAINING/MEALS	163.00
ANIMAL DAMAGE MANAGEMENT, INC.	PROFESSIONAL SERVICES	1,670.00
APPLIED LANDSCAPE MATERIALS, INC.	PARK REPAIR & MAINT NON-CAP EX	16,360.95
APS ENVIRONMENTAL, INC.	PROFESSIONAL SERVICES	261.42
ARC DOCUMENT SOLUTIONS, LLC	PROFESSIONAL SERVICES	4,903.42
ARROW FENCE COMPANY	REPAIR & MAINTENANCE	1,575.00
AT&T	COMMUNICATIONS	64,446.94
AT&T MOBILITY	COMMUNICATIONS	19,703.04
AVDIS & CUCCHI, LLP	PROFESSIONAL SERVICES	16,410.00
BACKYARD UNLIMITED CONSTRUCTION INC	NON-CAP. EQUIPMENT	14,300.00
BART AIELLO (BART'S MOBILE NOTARY)	REPAIR & MAINTENANCE	150.00
BAUER COMPRESSORS INC.	MAINTENANCE CONTRACTS	1,043.46
BENNETT ENGINEERING SERVICES	PROFESSIONAL SERVICES	33,872.00
BERCO REDWOOD, INC.	REPAIR & MAINTENANCE	9,982.38
BETTER BUSINESS PLANNING, INC	PROFESSIONAL SERVICES	314.60
BLACK DOG EXCAVATION	REPAIR & MAINTENANCE	8,600.00
BLAIN STUMPF TRUCKING	REPAIR & MAINTENANCE	2,280.72
BONNEVILLE INTERNATIONAL	PROFESSIONAL SERVICES	4,999.00
BOY SCOUT TROOP #29	PROFESSIONAL SERVICES	5,762.80
BRANDON ASHER (TWIN CITY TROPHIES)	PROGRAM SUPPLIES/MATERIALS	482.36
BROWER MECHANICAL INC.	FACILITIES REPAIRS AND MAINT	20,457.00
BUREAU VERITAS NORTH AMERICA INC.	PROFESSIONAL SERVICES	245,927.83
BUSHNELL LANDSCAPE INDUSTRIES, INC.	PROFESSIONAL SERVICES	650.00
CALIF. PEACE OFFICERS' ASSOCIATION	LICENSES/MEMBERSHIPS/SUBSCRIP	300.00

Vendor Name		Total Amount Paid
CALIFORNIA BUILDING STANDARDS COMMISSION	STATE BLDG STANDARDS FUND	1,278.00
CALIFORNIA CARPET CENTER INC.	FACILITIES REPAIRS AND MAINT	18,819.00
CALIFORNIA CLETS USERS GROUP	LICENSES/MEMBERSHIPS/SUBSCRIP	125.00
CALIFORNIA CRIMINAL JUSTICE WARRANT	LICENSES/MEMBERSHIPS/SUBSCRIP	100.00
CALIFORNIA DEPT OF TAX & FEE ADMINISTRATION	PROGRAM SUPPLIES/MATERIALS	2,684.00
CALIFORNIA LANDSCAPE ASSOCIATES INC.	REPAIR & MAINTENANCE	1,175.00
CALIFORNIA POLICE CHIEFS' ASSOC.	TRAVEL/TRAINING/MEALS	1,650.00
CALIFORNIA RESERVE POLICE OFFICERS ASSOC.	RESERVE OFFICER EXPENSE	192.00
CALIFORNIA STATE DEPT OF CONSERV.	SEISMIC EDUCATION LIABILITY	3,795.72
CALIFORNIA STATE DEPT. OF GENERAL SERVICES	CASP SB1186 - STATE PORTION	290.40
CALIFORNIA STATE DEPT. OF INDUSTRIAL RELATIONS	PROFESSIONAL SERVICES	5,002.50
CALIFORNIA STATE DEPT. OF JUSTICE	PROFESSIONAL SERVICES	3,446.98
CALIFORNIA STATE FISH & WILDLIFE	LICENSES/MEMBERSHIPS/SUBSCRIP	1,938.00
CALIFORNIA TREE & LANDSCAPE CONSULTING, INC.	PROFESSIONAL SERVICES	1,427.50
CAL-SIERRA FENCE	PARK REPAIR & MAINT NON-CAP EX	9,817.00
CAMBLIN STEEL SERVICE INC.	PROGRAM SUPPLIES/MATERIALS	1,230.00
CDW GOVERNMENT INC.	MAINTENANCE CONTRACTS	90,378.50
CENTERPOINT ENGINEERING, INC.	PROFESSIONAL SERVICES	2,050.00
CENTRALSQUARE TECHNOLOGIES	MAINTENANCE CONTRACTS	8,015.48
CERVIS TECHNOLOGIES	LICENSES/MEMBERSHIPS/SUBSCRIP	1,800.00
CHRIS VALENZUELA	TRAVEL/TRAINING/MEALS	410.00
CHRISTOPHER OSBORNE	TRAVEL/TRAINING/MEALS	163.00
CINTAS CORPORATION	LAUNDRY DEDUCTION PAYABLE	7,667.68
CINTAS FIRST AID & SAFETY	GENERAL OFFICE SUPPLIES	118.09
CITY OF RANCHO CORDOVA	TRAVEL/TRAINING/MEALS	1,709.00
CITY OF ROSEVILLE	HWY 65 PAYABLE	37,201.37
CLASSIC TOW SERVICE	PROFESSIONAL SERVICES	1,650.00
CLEARS INC.	LICENSES/MEMBERSHIPS/SUBSCRIP	75.00
CLOUDBURST CONSULTING GROUP, INC	PROFESSIONAL SERVICES	1,585.50
COMCATE INC.	MAINTENANCE CONTRACTS	44,089.42
COMMERCIAL PUMP SERVICE, INC	PROFESSIONAL SERVICES	500.00
COMMISSION ON ACCREDITATION	PROFESSIONAL SERVICES	4,645.00
CONSOLIDATED COMMUNICATIONS	COMMUNICATIONS	2,945.58
CONSOLIDATED ENGINEERING, INC	PARK IMPROVEMENTS	32,591.89
COPWARE INC.	PROFESSIONAL SERVICES	965.00
CORDICO PSYCHOLOGICAL CORPORATION	RECRUITMENT EXPENSES	2,500.00
CORY MAYLETT DESIGN	ADVERTISING/PROMOTIONAL	2,553.00
COUNTCO CAPITAL, LLC	RENTAL/LEASE	15,706.78
COVANTA HOLDING CORPORATION	PROFESSIONAL SERVICES	401.10
CPS HR CONSULTING	TRAVEL/TRAINING/MEALS	1,850.00
CRAFCO, INC.	PROGRAM SUPPLIES/MATERIALS	12,247.16
CRAIG MIERS & GEORGE SCOTT ARCHITECTS, LLP	BUILDING	3,826.31
CROSS CONNECTIONS EMERGENCY SERVICES INC	FLEET CAPITAL	49,347.83

Vendor Name		Total Amount Paid
CSMFO	LICENSES/MEMBERSHIPS/SUBSCRIP	125.00
CURTIS BLUE LINE	UNIFORMS	9,336.54
CWKK CORP	MAINTENANCE CONTRACTS	474.00
CYRACOM INTERNATIONAL , INC	PROFESSIONAL SERVICES	89.70
DARRELL JANTZ	TRAVEL/TRAINING/MEALS	223.00
DATCO SERVICES CORPORATION	PROFESSIONAL SERVICES	976.50
DAVID DOWNING (USA FENCING COMPANY)	REPAIR & MAINTENANCE	3,012.04
DAVID P REUBEN (DR ASSOCIATES INTERN)	RECRUITMENT EXPENSES	9,934.12
DE NOVO PLANNING GROUP	PROFESSIONAL SERVICES	50,922.50
DOCUSIGN, INC.	MAINTENANCE CONTRACTS	12,489.75
DOKKEN ENGINEERING	INFRASTRUCTURE	39,606.22
DOMINGUEZ LANDSCAPE SERVICES INC.	PROFESSIONAL SERVICES	477,708.98
DONALD E. WILSON JR (GRAND SIERRA CONSTRUCTION)	PROFESSIONAL SERVICES	1,800.00
DOWNEY BRAND LLP	PROFESSIONAL SERVICES	348,489.08
DYNO NOBEL INC	PROGRAM SUPPLIES/MATERIALS	129.06
EDGES ELECTRICAL GROUP, LLC	PROGRAM SUPPLIES/MATERIALS	9,210.95
EDMUND GEARHART	INSTRUCTIONAL SERVICES	359.53
EMILY GALVEZ	TRAVEL/TRAINING/MEALS	294.75
EMPOWER RETIREMENT,LLC	PROFESSIONAL SERVICES	900.00
ENTENMANN-ROVIN COMPANY	UNIFORMS	426.44
ENTERPRISE FLEET MANAGEMENT	FLEET CAPITAL	7,757.54
ERIC D. DOLLAR	TRAVEL/TRAINING/MEALS	163.00
ESIQUIO CURIEL	MISCELLANEOUS EXP.	2,907.66
FASTENAL COMPANY	PROGRAM SUPPLIES/MATERIALS	752.24
FBI-LEEDA, INC.	LICENSES/MEMBERSHIPS/SUBSCRIP	50.00
FEHR & PEERS	PROFESSIONAL SERVICES	47,375.10
FIREFIGHTERS BURN INSTITUTE	LICENSES/MEMBERSHIPS/SUBSCRIP	250.00
FIRST AMERICAN ADMINISTRATORS INC	VISION CLAIM	2,589.67
FIRST AMERICAN TITLE COMPANY	PROFESSIONAL SERVICES	4,150.00
FLEXGROUND LLC	PROFESSIONAL SERVICES	13,749.61
FLOCK GROUP INC	MAINTENANCE CONTRACTS	73,825.00
FOSTER & FOSTER CONSULTING ACTUARIES, INC	PROFESSIONAL SERVICES	2,500.00
FRANCHISE TAX BOARD	PAYROLL DEDUCTS PAYABLE	1,233.71
FRANCHISE TAX BOARD REGISTRATION COLLECT	PROFESSIONAL SERVICES	355.00
FRONTLINE METAL,LLC	PROFESSIONAL SERVICES	4,914.98
FUTURE CHEVROLET OF SACRAMENTO	PROFESSIONAL SERVICES	21,779.35
FUTURE FORD LINCOLN	PROFESSIONAL SERVICES	1,604.34
GARRITT H. WALSH (SELECT ELECTRIC)	PROFESSIONAL SERVICES	5,824.00
GAYNOR TELESYSTEMS, INC	REPAIR & MAINTENANCE	6,270.36
GENUINE PARTS CO	VEHICLE & EQUIPMENT PARTS	12,572.62
GEORGE REED, INC	INFRASTRUCTURE	1,613,339.23
GOLD COUNTRY MEDIA	ADVERTISING/PROMOTIONAL	4,593.99
GOLDEN STATE EMERGENCY VEHICLE SERVICE INC.	VEHICLE & EQUIPMENT PARTS	11,193.42

Vendor Name		Total Amount Paid
GP LANDSCAPE	REPAIR & MAINTENANCE	4,955.00
GRAINGER	GENERAL OFFICE SUPPLIES	146.53
GREATER SACRAMENTO INVESTMENTS, LLC	INSTRUCTIONAL SERVICES	4,522.00
GREEN ACRES NURSERY & SUPPLY	PROGRAM SUPPLIES/MATERIALS	872.10
GREG JENSEN	TRAVEL/TRAINING/MEALS	840.37
GREGORY TAWNEY (D-TAC K9 LLC)	PROFESSIONAL SERVICES	2,100.00
GROWTH FACTORY	SMALL BUSINESS SUPPORT GRANT	150,000.00
HD SUPPLY WHITE CAP CONSTRUCTION SUPPLY	PROGRAM SUPPLIES/MATERIALS	2,309.49
HEATH TAYLOR	TRAVEL/TRAINING/MEALS	403.85
HELIX ENVIRONMENTAL PLANNING, INC	PROFESSIONAL SERVICES	52,666.57
HERC EXCHANGE LLC	RENTAL/LEASE	26,673.20
HERITAGE LANDSCAPE SUPPLY GROUP	PROGRAM SUPPLIES/MATERIALS	23,514.72
HI-TECH EMERGENCY VEHICLE SERVICE	PROFESSIONAL SERVICES	7,379.18
HOMEWOOD BUILDING SUPPLY, LLC	PROGRAM SUPPLIES/MATERIALS	1,249.53
HOPE ITHURBURN	TRAVEL/TRAINING/MEALS	180.00
HORIZON VENTURES INC	PROGRAM SUPPLIES/MATERIALS	4,820.14
HUNT & SONS INC.	FUEL/OIL	91,278.76
IAFF ROCKLIN FIREFIGHTERS	PAYROLL DEDUCTS PAYABLE	11,559.00
IMAGETREND, INC	MAINTENANCE CONTRACTS	18,176.98
INTERNATIONAL ASSOC OF CHIEFS OF POLICE	LICENSES/MEMBERSHIPS/SUBSCRIP	380.00
INTERNATIONAL INST. OF MUNICIPAL CLERKS	LICENSES/MEMBERSHIPS/SUBSCRIP	400.00
INTERNATIONAL MAILING EQUIPMENT INC.	POSTAGE	1,450.40
INTERNATIONAL STUDENT TOURS, INC.	TRAVEL/TRAINING/MEALS	4,905.00
INTERWEST CONSULTING GROUP, INC.	NON CAPITAL-IMPROVEMENTS	187.50
IO PREDICT INC	RECRUITMENT EXPENSES	435.00
J & A STEAM, LLC	PROFESSIONAL SERVICES	3,600.00
J.C. NELSON SUPPLY CO.	PROGRAM SUPPLIES/MATERIALS	2,244.36
J.J.R. ENTERPRISES, INC	PROGRAM SUPPLIES/MATERIALS	8,734.95
JACK CLANCY ASSOCIATES	RECRUITMENT EXPENSES	16,000.00
JACOBS ENGINEERING GROUP, INC.	INFRASTRUCTURE	159,826.87
JAM SERVICES, INC	PROGRAM SUPPLIES/MATERIALS	7,802.44
JAMES GARING	TRAVEL/TRAINING/MEALS	36.80
JASON SHEARER	RET HEALTH PAYGO INSURANCE	1,698.00
JASON WESTGATE	PROGRAM SUPPLIES/MATERIALS	1,294.73
JEFF PAXTON	TRAVEL/TRAINING/MEALS	163.00
JEFFREY WALLOCH	RET HEALTH PAYGO INSURANCE	531.00
JENNIFER K. GIBSON	TRAVEL/TRAINING/MEALS	163.00
JENNIFER WELLS	RET HEALTH PAYGO INSURANCE	531.00
JESSE LLOPIS	TRAVEL/TRAINING/MEALS	1,216.21
JETMULCH, INC	PROFESSIONAL SERVICES	12,573.45
JJMS ENTERPRISES INC	PROGRAM SUPPLIES/MATERIALS	680.00
JOANNA RAINS	TRAVEL/TRAINING/MEALS	1,394.66
JOHN SCHAAD	LICENSES/MEMBERSHIPS/SUBSCRIP	320.00

Vendor Name		Total Amount Paid
JOHNSON PETROLEUM CONSTRUCTION INC.	PROFESSIONAL SERVICES	1,664.12
JON HARTMAN	INSTRUCTIONAL SERVICES	5,866.25
JUAN GARCIA, JR (JG ACCOUSTICS)	FACILITIES REPAIRS AND MAINT	6,973.04
JULIE BARBOUR	PROFESSIONAL SERVICES	596.29
JULIE ERVIN	RECRUITMENT EXPENSES	7.60
K9 TACTICAL GEAR INC	PROGRAM SUPPLIES/MATERIALS	4,171.60
KARISH INDUSTRIES	REPAIR & MAINTENANCE	3,597.04
KEN BROADWAY	TRAVEL/TRAINING/MEALS	4,905.00
KEYSER MARSTON ASSOCIATES, INC.	PROFESSIONAL SERVICES	700.00
KIDSFIRST CHILD ABUSE PREVENTION COUNCIL OF PLACER	PROFESSIONAL SERVICES	22,657.17
KIMLEY-HORN AND ASSOCIATES INC.	PROFESSIONAL SERVICES	29,100.80
KOEFRAN INDUSTRIES, INC.	PROFESSIONAL SERVICES	250.00
KOFF & ASSOCIATES, INC.	PROFESSIONAL SERVICES	3,106.25
KUSTOM SIGNALS INC.	NON-CAP. EQUIPMENT	5,015.09
L & H AIRCO SERVICE	REPAIR & MAINTENANCE	284.00
L.N. CURTIS & SONS	NON-CAP. EQUIPMENT	5,477.42
LAFLEUR EXCAVATING INC	NON CAPITAL-IMPROVEMENTS	78,449.97
LAURA DEASON (COLE PRO MEDIA)	PROFESSIONAL SERVICES	6,000.00
LAURA STAYER	TRAVEL/TRAINING/MEALS	21.88
LAW OFFICES OF LIEBERT CASSIDY & WHITMOR	PROFESSIONAL SERVICES	9,093.50
LAWRENCE J. OSBORNE (ELEPHANT IDEAS)	PROGRAM SUPPLIES/MATERIALS	25.74
LEAGUE OF CALIFORNIA CITIES	PROFESSIONAL SERVICES	600.00
LEGAL SHIELD	PAYROLL DEDUCTS PAYABLE	827.61
LEHR AUTO ELECTRIC INC.	FLEET CAPITAL	75,848.27
LENSLOCK INC	PROFESSIONAL SERVICES	11,201.73
LES SCHWAB TIRE CENTER	PROFESSIONAL SERVICES	2,629.85
LEXISNEXIS	PROFESSIONAL SERVICES	4,820.00
LISA HOLDEN	TRAVEL/TRAINING/MEALS	271.27
LUKE SINCERNY	TRAVEL/TRAINING/MEALS	403.00
MAGELLAN HEALTHCARE, INC	PROFESSIONAL SERVICES	8,607.84
MALLORY SAFETY AND SAFETY SUPPLY LLC	PROGRAM SUPPLIES/MATERIALS	1,398.17
MARK J. WITTENBERG TRAINING INC.	PROFESSIONAL SERVICES	15,725.00
MARK KING	TRAVEL/TRAINING/MEALS	163.00
MARK THOMAS & COMPANY, INC.	INFRASTRUCTURE	125,908.59
MATRIX CONSULTING GROUP, LTD	PROFESSIONAL SERVICES	8,025.00
MATT ROEMMICH	TRAVEL/TRAINING/MEALS	180.00
MEANSTREAK MUSIC CO	PROFESSIONAL SERVICES	9,000.00
MEDICAL EYE SERVICES	VISION CLAIM	356.62
MELTON DESIGN GROUP. INC.	PROFESSIONAL SERVICES	21,856.33
MEREDITH DOERR (EXPERIENCE LEARNING)	INSTRUCTIONAL SERVICES	258.50
METRO MOBILE COMMUNICATIONS, INC.	UNIFORMS	1,799.16
MIKE DAVIS (FOUR STAR BUILDING)	PROFESSIONAL SERVICES	37,137.00
MILLER MANAGEMENT & CONSULTING GROUP	TRAVEL/TRAINING/MEALS	1,575.00

Vendor Name		Total Amount Paid
MIRACLE PLAYSYSTEMS, INC.	PROGRAM SUPPLIES/MATERIALS	16,645.06
MOBILE-MED WORK HEALTH SOLUTIONS, INC	PROFESSIONAL SERVICES	1,694.00
MORGAN DZIAD	INSTRUCTIONAL SERVICES	1,848.60
MOTOROLA SOLUTIONS, INC.	FLEET CAPITAL	69,881.69
MUCHMORE THAN CONSULTING, LLC	PROFESSIONAL SERVICES	13,703.76
MUNICIPAL EMERGENCY SERVICES, INC.	REPAIR & MAINTENANCE	2,916.39
MUNICIPAL RESOURCE GROUP LLC	RECRUITMENT EXPENSES	21,275.05
MUSCO SPORTS LIGHTING, LLC	PROGRAM SUPPLIES/MATERIALS	2,543.18
MYRA R. SALAZAR	TRAVEL/TRAINING/MEALS	272.58
NAPA LW HOTEL ASSOCIATES,LP	TRAVEL/TRAINING/MEALS	14,816.14
NATHAN WHANN	TRAVEL/TRAINING/MEALS	305.50
NATIONAL GARAGE DOOR	FACILITIES REPAIRS AND MAINT	360.00
NBS GOVERNMENT FINANCE GROUP	PROFESSIONAL SERVICES	4,500.00
NCH CORPORATION	PROGRAM SUPPLIES/MATERIALS	662.31
NEIGHBORLY PEST MANAGEMENT	REPAIR & MAINTENANCE	10,277.00
NEIL COSTA	TRAVEL/TRAINING/MEALS	343.00
NETWORK DESIGN ASSOCIATES	PROFESSIONAL SERVICES	37,575.00
NI GOVERNMENT SERVICES INC.	COMMUNICATIONS	95.66
NICHOLAS ANDERSON	TRAVEL/TRAINING/MEALS	375.00
NORTHERN CALIF CITIES SELF INS FUND	WORKERS COMP INS PREMIUMS	377,023.00
NORTHERN CALIFORNIA GLOVE INC.	PROGRAM SUPPLIES/MATERIALS	2,515.00
NUTRIEN AG SOLUTIONS, INC.	PROGRAM SUPPLIES/MATERIALS	13,387.96
OCCU-MED, LTD.	RECRUITMENT EXPENSES	6,598.40
ODELL'S PUMP & MOTOR SERVICE	FACILITIES REPAIRS AND MAINT	285.00
ONE TIME PAY	TRAKIT/ACTIVENET REFUND CLEARING	8,741.83
PACIFIC GAS & ELECTRIC CO.	UTILITIES	441,820.82
PARCEL QUEST	MAINTENANCE CONTRACTS	5,997.00
PARRKER BARNS	TRAVEL/TRAINING/MEALS	195.45
PEACE OFFICERS RESEARCH ASSOCIATION OF CA	RECRUITMENT EXPENSES	1,485.00
PETDATA	PROFESSIONAL SERVICES	2,403.80
PLACER COUNTY ADMINISTRATIVE OFFICES	COUNTY IMPACT FEE	98,940.73
PLACER COUNTY DISTRICT ATTORNEY	PROFESSIONAL SERVICES	2,600.00
PLACER COUNTY ENVIRONMENTAL HEALTH	PROFESSIONAL SERVICES	728.00
PLACER COUNTY FLOOD CONTROL & CONSERVATION	LICENSES/MEMBERSHIPS/SUBSCRIP	98,067.00
PLACER COUNTY HEALTH & HUMAN SERVICES	PROFESSIONAL SERVICES	73,845.00
PLACER COUNTY LAW ENFORCEMENT CHAPLAINCY	SMALL BUSINESS SUPPORT GRANT	30,000.00
PLACER COUNTY PUBLIC WORKS DEPARTMENT	PROFESSIONAL SERVICES	258,249.50
PLACER COUNTY TRANSPORTATION PLANNING AGENCY	TRAVEL/TRAINING/MEALS	250.00
PLACER COUNTY WATER AGENCY	UTILITIES	132,419.92
PLACER LAW ENFORCEMENT AGENCY	LICENSES/MEMBERSHIPS/SUBSCRIP	100.00
PLACER MOSQUITO AND VECTOR CONTROL DISTRICT	PROFESSIONAL SERVICES	1,203.84
PORAC LAW ENFORCEMENT NEWS	RECRUITMENT EXPENSES	1,485.00
PORTER SCOTT, A PROFESSIONAL CORPORATION	PROFESSIONAL SERVICES	1,550.00

Vendor Name		Total Amount Paid
PRIDE INDUSTRIES ONE INC.	PROFESSIONAL SERVICES	151,910.44
PRIETO ROSEVILLE	VEHICLE & EQUIPMENT PARTS	3,221.36
PRIORITY DISPATCH	MAINTENANCE CONTRACTS	5,280.00
PROFORCE LAW ENFORCEMENT	PROGRAM SUPPLIES/MATERIALS	7,083.44
R & M REFRIGERATION	FACILITIES REPAIRS AND MAINT	980.44
R.E.Y. ENGINEERS, INC	INFRASTRUCTURE	1,267.55
R3 CONSULTING GROUP INC	PROFESSIONAL SERVICES	7,524.50
RAFTELIS FINANCIAL CONSULTANTS, INC	PROFESSIONAL SERVICES	5,000.00
RAPID FIRST PLUMBING	FACILITIES REPAIRS AND MAINT	941.55
RIDGELINE TECHNOLOGY	NON-CAP. EQUIPMENT	5,771.51
RIVERVIEW INTERNATIONAL TRUCKS	VEHICLE & EQUIPMENT PARTS	275.90
ROB THOMPSON (LEGACY RANCHING)	PROFESSIONAL SERVICES	52,332.50
ROBBINS & HOLDAWAY, A PROF. CORP.	PROFESSIONAL SERVICES	7,748.27
ROBERT MOLINARO	PROGRAM SUPPLIES/MATERIALS	5.00
ROCKLIN POLICE OFFICERS ASSOCIATION	PAYROLL DEDUCTS PAYABLE	36,251.03
ROCKLIN RANCH VETERINARY HOSPITAL	PROFESSIONAL SERVICES	150.00
ROCKLIN UNIFIED SCHOOL DISTRICT	PROFESSIONAL SERVICES	57,949.00
ROSS RECREATION EQUIPMENT CO, INC.	PROGRAM SUPPLIES/MATERIALS	876.18
RUSS SNEED	LICENSES/MEMBERSHIPS/SUBSCRIP	560.00
RUSSELL SCHERMERHORN	TRAVEL/TRAINING/MEALS	540.00
RUSTIN BANKS	TRAVEL/TRAINING/MEALS	403.00
RYAN BRAYTON	TRAVEL/TRAINING/MEALS	334.75
SACRAMENTO COUNTY	PROFESSIONAL SERVICES	3,522.00
SAFETY-KLEEN SYSTEMS, INC.	PROGRAM SUPPLIES/MATERIALS	941.14
SARAH WALTON	TRAVEL/TRAINING/MEALS	301.27
SARINA FRIEND	TRAVEL/TRAINING/MEALS	572.54
SCOTT HORRILLO	TRAVEL/TRAINING/MEALS	163.00
SCOTT'S PPE RECON	REPAIR & MAINTENANCE	4,471.31
SEARCH AMERICA, LLC	PROFESSIONAL SERVICES	329.85
SECURITY & ASSET MANAGEMENT, LP	MAINTENANCE CONTRACTS	8,114.82
SEEVERS JORDAN ZIEGENMEYER	PROFESSIONAL SERVICES	3,200.00
SENTINEL FIRE EQUIPMENT	MAINTENANCE CONTRACTS	223.61
SERVPRO OF AUBURN/ROCKLIN	PROFESSIONAL SERVICES	1,185.00
SHAWN BAIRD	TRAVEL/TRAINING/MEALS	229.69
SHERBURN L. HINKLEY (HINKLEY'S MASONRY)	REPAIR & MAINTENANCE	14,620.00
SHI INTERNATIONAL CORP.	MAINTENANCE CONTRACTS	11,469.32
SHRED-IT USA, LLC	PROFESSIONAL SERVICES	966.51
SHUMS CODA ASSOCIATES, INC	PROFESSIONAL SERVICES	21,160.00
SIERRA BUSINESS COUNCIL	SMALL BUSINESS SUPPORT GRANT	1,862,500.00
SIERRA PACIFIC TURF SUPPLY INC.	PROGRAM SUPPLIES/MATERIALS	10,511.79
SIERRA STRIPING INC.	PROFESSIONAL SERVICES	1,460.00
SITEONE LANDSCAPE SUPPLY HOLDING, LLC	PROGRAM SUPPLIES/MATERIALS	181.63
SMITHWAY ASSOCIATES, INC	RENTAL/LEASE	2,749.27

Vendor Name		Total Amount Paid
SOUTH PLACER M.U.D.	UTILITIES	8,275.39
SOUTH PLACER REGIONAL	SPRTA MITIGATION FEES	75,006.99
ST VINCENT DE PAUL SOCIETY ROSEVILLE AREA CONF	PROFESSIONAL SERVICES	39,851.03
ST. FRANCIS ELECTRIC, INC.	REPAIR & MAINTENANCE	8,522.00
STATEWIDE TRAFFIC SAFETY AND SIGNS, INC.	PROGRAM SUPPLIES/MATERIALS	4,457.42
STEVE PRINCE	PROGRAM SUPPLIES/MATERIALS	2,080.66
STRYKER CORPORATION	PROGRAM SUPPLIES/MATERIALS	23,241.39
SUNBELT RENTALS INC.	RENTAL/LEASE	2,976.93
SUTTER MEDICAL FOUNDATION	PROFESSIONAL SERVICES	550.00
SWARCO MCCAIN, INC	PROGRAM SUPPLIES/MATERIALS	1,369.58
SYMBOL ARTS	UNIFORMS	2,027.58
SYN-TECH SYSTEMS, INC	FLEET CAPITAL	5,896.39
SYTECH SOLUTIONS	PROFESSIONAL SERVICES	5,500.00
TARGET SOLUTIONS LEARNING LLC	MAINTENANCE CONTRACTS	7,003.34
TERRI RUGGERI	INSTRUCTIONAL SERVICES	2,093.00
TERRI TINSLEY (COBRACHECK)	PROFESSIONAL SERVICES	264.00
TESCO CONTROLS INC.	PROGRAM SUPPLIES/MATERIALS	1,800.00
TETRA TECH, INC.	PROFESSIONAL SERVICES	4,683.64
THE SALVATION ARMY	PROFESSIONAL SERVICES	5,400.00
THOMSON-REUTERS WEST	PROFESSIONAL SERVICES	205.60
THYSSEN-KRUPP ELEVATOR	REPAIR & MAINTENANCE	1,787.96
TIMOTHY D. GLISSMAN EXCAVATING	INFRASTRUCTURE	166,134.99
TKE ENGINEERING, INC	PROFESSIONAL SERVICES	8,787.50
TLA ENGINEERING & PLANNING, INC.	NON CAPITAL-IMPROVEMENTS	4,299.32
T-MOBILE USA, INC	PROFESSIONAL SERVICES	25.00
TRAFFIC & PARKING CONTROL CO, INC.	PROGRAM SUPPLIES/MATERIALS	4,132.38
TRANSUNION RISK & ALTERNATIVE DATA SOLUTIONS INC	PROFESSIONAL SERVICES	1,448.97
TRAVIS MC ENTEE	LICENSES/MEMBERSHIPS/SUBSCRIP	598.00
TREE PRO TREE SERVICES INC	REPAIR & MAINTENANCE	75,114.57
TRENT JEWELL	TRAVEL/TRAINING/MEALS	403.00
TRI-TECH FORENSICS, INC	TRAVEL/TRAINING/MEALS	1,258.00
TYLER TECHNOLOGIES INC.	MAINTENANCE CONTRACTS	123,047.35
U.S. BANK NATIONAL ASSOCIATION	FUEL/OIL	35,717.40
U.S. CAD HOLDINGS LLC	MAINTENANCE CONTRACTS	15,330.00
ULINE	PROGRAM SUPPLIES/MATERIALS	548.80
ULTRA LINK CABLING SYSTEMS, INC.	PROGRAM SUPPLIES/MATERIALS	1,020.80
UNITED RENTALS	PROGRAM SUPPLIES/MATERIALS	56.49
USPS - HASLER	POSTAGE	6,100.00
VALLEY POWER SYSTEMS INC.	PROFESSIONAL SERVICES	1,026.00
VALLEY ROCK LANDSCAPE MATERIAL	PROGRAM SUPPLIES/MATERIALS	809.58
VAN DERMYDEN MAKUS LAW CORPORATION	PROFESSIONAL SERVICES	6,592.20
VCA ANIMAL HOSPITALS, INC	PROGRAM SUPPLIES/MATERIALS	242.36
VEALE OUTDOOR ADVERSTISING	RECRUITMENT EXPENSES	2,750.00

Vendor Name		Total Amount Paid
VERIZON BUSINESS	COMMUNICATIONS	157.03
VERIZON WIRELESS	COMMUNICATIONS	16,659.62
VIRTUAL PM INC.	MAINTENANCE CONTRACTS	2,250.00
VORTEX INDUSTRIES, INC.	FACILITIES REPAIRS AND MAINT	10,859.50
V-POWER EQUIPMENT, INC.	PROFESSIONAL SERVICES	834.51
WALKER'S OFFICE SUPPLIES	GENERAL OFFICE SUPPLIES	159.77
WATERWORKS AQUATIC MANAGEMENT, INC.	PROFESSIONAL SERVICES	1,493.50
WAVE BROADBAND	MAINTENANCE CONTRACTS	63,513.99
WAXIE SANITARY SUPPLY	PROGRAM SUPPLIES/MATERIALS	1,809.07
WENDY KAY (UTOPIA ARTISTS, LLC)	PROFESSIONAL SERVICES	5,000.00
WESTERN PLACER CO FIRE CHIEFS ASSOC.	LICENSES/MEMBERSHIPS/SUBSCRIP	360.00
WHITNEY OAKS GOLF CLUB	UTILITIES	7,735.10
WILLDAN FINANCIAL SERVICES	PROFESSIONAL SERVICES	20,531.14
WITTMAN ENTERPRISES, LLC	PROFESSIONAL SERVICES	9,660.00
YOUNG ELECTRIC SIGN COMPANY	PROFESSIONAL SERVICES	3,370.00
ZACHARY LEWIS	TRAVEL/TRAINING/MEALS	163.00
ZUMAR INDUSTRIES INC.	PROGRAM SUPPLIES/MATERIALS	3,485.38
US BANK	JAN FEB MAR CALCARD PAYMENT	436,232.60
	Total:	$10,209,789.47

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Levy and Collection of Assessments for Lighting Maintenance District No. 1 for Fiscal Year 2023/24

Date: May 9, 2023

Submitted By: Daniel Choe, Deputy Director of Administrative Services
Megan Bressem, Management Analyst

Department: Administrative Services - Finance

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin Ordering the Preparation of the Engineer's Report for Lighting Maintenance District No.1.
B. Adopt a Resolution of the City Council of the City of Rocklin Approving the Engineer's Report for the Levy of the Annual Assessment for Lighting Maintenance District No. 1.
C. Adopt a Resolution of the City Council of the City of Rocklin Declaring Its Intention to Levy and Collect Annual Assessments for Lighting Maintenance District No. 1 and Setting a Time and Place for Public Hearing.

Background:

In accordance with the Streets and Highways Code of the State of California, Division 15, Part 2, section 22500 et seq. (the Landscaping and Lighting Act of 1972), the City of Rocklin established the Lighting Maintenance District No. 1 (the District). The District was formed for the purposes of maintaining street lights and safety lighting located within the District. A map of the District is included on page 15 of the Engineer's Report (Attachment 3).

The Landscaping and Lighting Act of 1972 (the Act), identifies the process required to annually levy and collect assessments to meet the needs of the District. The Act requires an annual Engineer's Report to be prepared each year assessments are to be levied and collected. The report is to contain plans and specifications regarding improvements to be maintained, an estimated cost for maintaining the improvements, a diagram of the proposed assessment area and an assessment of the estimated costs. Upon completion, the Engineer's Report shall be submitted to the Legislative Body for approval or modification and approval. Once the Legislative Body has approved the Engineer's Report, a Resolution of Intent to levy and collect assessments for the district shall be approved and a time set for a public hearing regarding the levy of the proposed assessment.

For areas within the district created before the 1996/97 tax year, Proposition 218 requires any modification of assessment rates or methodologies to be brought to the voters. The maximum

allowable tax for these areas has not changed since the 1996/97 tax year.

For Fiscal Year (FY) 2023/34, budgeted expenses for the District will equal budgeted assessment revenue. The Engineer's Report (Attachment 3) shows that based on the budgeted needs of the District and depending on location, the District's assessment for FY 2023/24 will be between $11.05 and $125.15 for a single-family residential parcel.

Pursuant to the Act, in order for the City to levy and collect assessments for FY 2023/24 to pay for the cost of maintaining street lights and safety lighting for the District, it is necessary for the City Council to request the preparation of an Engineer's Report, approve or modify and approve the completed Engineer's Report, declare an intent to levy and collect assessments, and set a hearing.

Approving the attached resolutions will allow the City to levy and collect assessments for FY 2023/24 to pay the cost of maintaining street lights and safety lighting for the District. Staff recommends the City Council set June 13, 2023, as the date for a public hearing regarding these assessments.

Fiscal Impact:

It is proposed that the total assessment revenue for FY 2023/24 be set at approximately $252,400. The District's budget is equal to the assessments for FY 2023/24.

ATTACHMENTS:

1. Resolution A - Ordering the Engineer's Report
2. Resolution B - Approving the Engineer's Report
3. Resolution B Exhibit A - Engineer's Report
4. Resolution C - Setting a Public Hearing

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
ORDERING THE PREPARATION OF THE ENGINEER'S REPORT FOR
LIGHTING MAINTENANCE DISTRICT NO. 1

WHEREAS, pursuant to Division 15, Part 2, of the Streets and Highways Code of the State of California, being known as the "Landscaping and Lighting Act of 1972" ("the Act"), the City Council of the City of Rocklin has formed a maintenance district that is known and designated as:

> LIGHTING MAINTENANCE DISTRICT NO. 1 (hereinafter referred to as the "Maintenance District"); and

WHEREAS, at this time, the City Council of the City of Rocklin desires to take proceedings for the annual levy of the assessments as required following the formation of said Maintenance District; and

WHEREAS, these proceedings for the annual levy of assessments shall relate to the fiscal year commencing July 1, 2023 and ending June 30, 2024; and

WHEREAS, there has been submitted, at this time as Exhibit A, to the City Council of the City of Rocklin for its consideration a map showing the boundaries of the area affected by the annual levy of the assessment for the above-referenced fiscal year. No new improvements or substantial changes to existing improvements are proposed; and

WHEREAS, the Act requires a written report consisting of the following:

1. Plans and specifications of the improvements to be maintained;
2. An estimate of the costs for maintaining the improvements for the ensuing fiscal year;
3. A diagram of the area proposed to be assessed; and
4. An assessment of the estimated costs for the maintenance work for said fiscal year.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The above recitals are all true and correct.

Section 2. A map entitled "Lighting Maintenance District No.1" as submitted to the City Council, showing the boundaries of the proposed area to be assessed is hereby approved and adopted by this City Council, and a copy shall be on file in the office of the City Clerk and open for public inspection. The proposed parcels and properties within said area are those to be assessed to pay certain of the costs and expenses for said maintenance work.

Section 3. The proposed maintenance work within the area proposed to be assessed shall be for certain street lighting and traffic signalization improvements, as said maintenance work shall be set in the Engineer's Report to be prepared for this City Council for consideration.

Section 4. That the City Engineer is hereby ordered to prepare a "Report" relating to said annual assessment and levy in accordance with the provisions of Chapter 1, Article 4, commencing with Section 22565, of the Act.

Section 5. Upon completion, said "Report" shall be filed with the City Clerk who shall then submit the same to the City Council for its consideration pursuant to Section 22623 and 22624 of the Act.

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

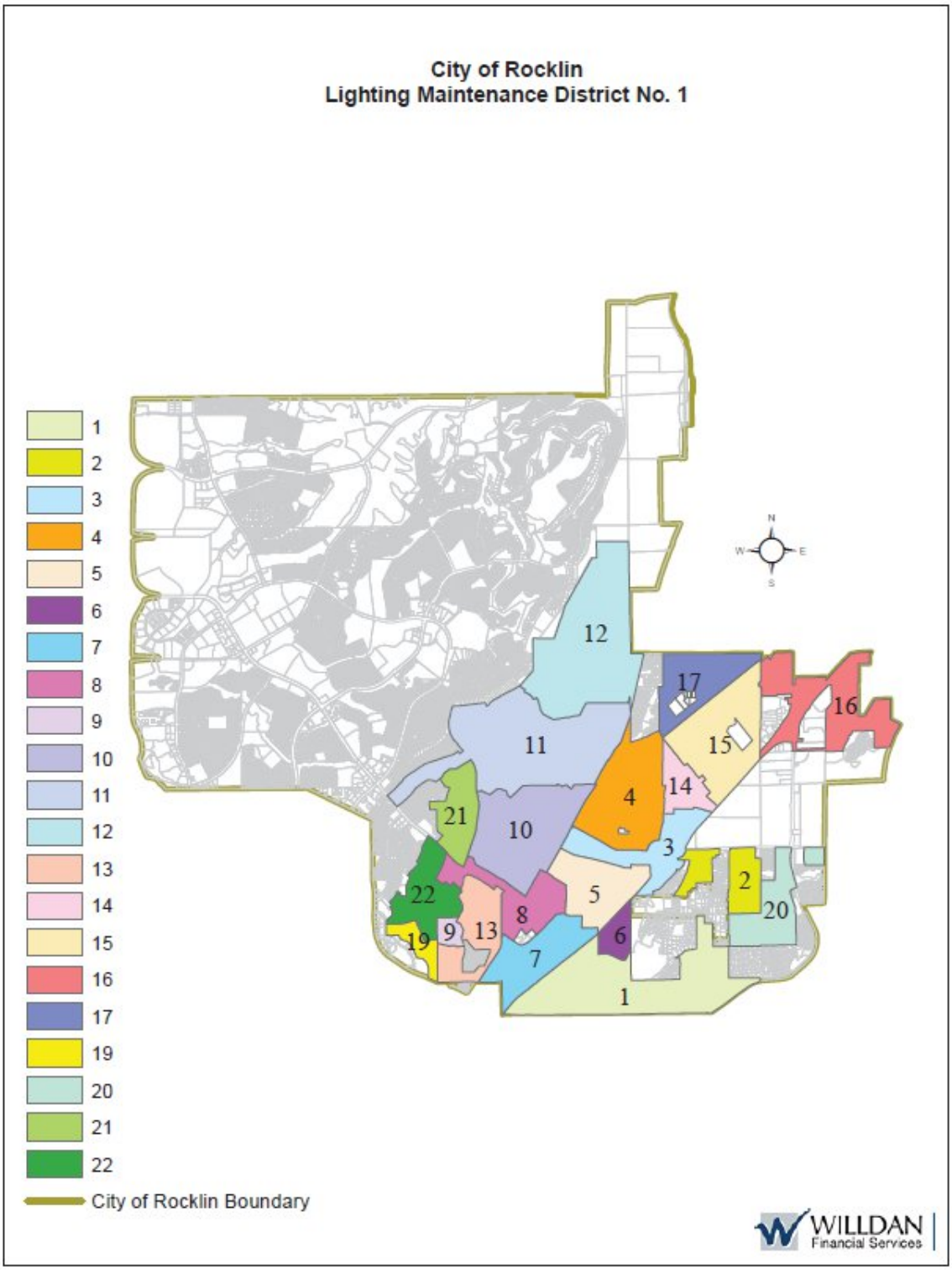
AYES:	Councilmembers:
NOES:	Councilmembers:
ABSENT:	Councilmembers:
ABSTAIN:	Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A



Page 1 of Exhibit A
to Reso. No. 2023-

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
APPROVING THE ENGINEER'S REPORT
FOR THE LEVY OF THE ANNUAL ASSESSMENT FOR
LIGHTING MAINTENANCE DISTRICT NO. 1

WHEREAS, pursuant to the provisions of Division 15, Part 2, of the Streets and Highways Code of the State of California, being known as the "Landscaping and Lighting Act of 1972" ("the Act"), the City Council did, by previous resolution, order the preparation of an Engineer's Report consisting of plans and specifications, estimate of cost, a diagram of the Maintenance District, and assessment spread relating to LIGHTING MAINTENANCE DISTRICT NO. 1 (hereinafter referred to as the "Maintenance District"); and

WHEREAS, said "Report" has been presented to this City Council as required by the Act and as previously directed by resolution; and

WHEREAS, this City Council has now examined and reviewed the "Report", as presented, and is satisfied with each and all of the items and documents as set forth therein and is satisfied that the assessments, on a preliminary basis, have been spread in accordance with the benefits received from the maintenance to be performed as set forth in said "Report".

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. That the above recitals are all true and correct.

Section 2. That the "Report", as presented, consisting of the following:

1. Plans and Specifications;
2. Estimate of Cost;
3. Diagram of the Maintenance District; and
4. Assessment of the Estimated Cost

is hereby approved and is ordered to be filed in the office of the City Clerk as a permanent record and to remain open for public inspection.

Section 3. That the City Clerk shall certify to the passage and adoption of this Resolution and the minutes of this meeting shall so reflect the presentation of the Engineer's Report attached hereto as Exhibit A and incorporated by reference herein.

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

City of Rocklin

Engineer's Report

Lighting Maintenance District No. 1

FISCAL YEAR 2023/2024

Intent Meeting: May 9, 2023
Public Hearing: June 13, 2023

27368 Via Industria, Suite 200
Temecula, California 92590
T. 951.587.3500 800.755.6864
F. 951.587.3510 888.326.6864

www.willdan.com

TABLE OF CONTENTS

TABLE OF CONTENTS 1

I. OVERVIEW 2

A. BACKGROUND 2

II. PLANS AND SPECIFICATIONS 2

III. ESTIMATE OF COST 2

IV. METHOD OF APPORTIONMENT 3

A. ASSESSMENT OF THE ESTIMATED COSTS 3

V. ASSESSMENT DIAGRAM 6

VI. ASSESSMENT ROLL 7

I. OVERVIEW

A. BACKGROUND

The City of Rocklin Lighting Maintenance District No. 1 ("the District") was formed for the purpose of maintaining streetlights and safety lighting located within the District. The 2023/2024 Engineer's Report for the District is submitted pursuant to Division 15, Part 2, of the Streets and Highways Code of the State of California, being known as the "Landscaping and Lighting Act of 1972" ("the Act"), and in accordance with the provisions of Chapter 1, Article 4, commencing with Section 22565, of the Act.

The 2023/2024 Engineer's Report consists of the following:

1. Plans and specifications of the improvements to be maintained.
2. An estimate of the costs for maintaining the improvements for the 2023/2024 Fiscal Year.
3. An assessment of the estimated costs for the maintenance work for the 2023/2024 Fiscal Year.
4. A diagram of the area proposed to be assessed.
5. An assessment roll for the 2023/2024 Fiscal Year.

II. PLANS AND SPECIFICATIONS

The District was formed to maintain all "Improvements" within the District; which consist of street lighting and safety lighting in the District. The total number of streetlights to be maintained in 2023/2024 will be 1,626.

Safety lighting to be maintained consists of traffic signals and flashing yellow safety lights. There are twenty (20) traffic signals, three (3) flashing beacons, eleven (11) speed radar signs and twenty-two (22) blinker signs to be maintained in the District during Fiscal Year 2023/2024.

III. ESTIMATE OF COST

The City's budget for the District is shown below and details the estimated costs for Fiscal Year 2023/2024 as available at the time of preparation of this report.

The total cost of operating the District in 2023/2024 is as follows:

Estimated Street Lighting Costs	$231,900
Administrative Overhead	20,500
Total Costs	**$252,400**
Total Assessments [(1)]	**$252,400**

[(1)] Slight variance from Total Assessment in Table A of Section IV. Due to rounding.

The estimated cost of street lighting is based on the following assumptions:

1. Projected rates from PG&E; and
2. Updates to the street light inventory.

IV. METHOD OF APPORTIONMENT

A. ASSESSMENT OF THE ESTIMATED COSTS

The formula detailed below was used during the 1996/1997 assessment proceedings. In compliance with Proposition 218, the city will levy the 2023/2024 assessments using the methodology and data used in the previous year. There is no change in the assessment methodology.

The annual levy by Zone of Benefit for 2023/2024 is shown in Table A (page 6 of this report).

The annual assessment for lighting maintenance is calculated using the following formula:

Annual Assessment = I + J + K + L + M

Where,
I = Street Lighting Maintenance Assessment
J = Administrative Overhead
K= Miscellaneous Energy Assessment
L= 1996-1997 Fixed Adjustment
M= Cost of Public Notification

The formula for the Street Lighting Maintenance Assessment is as follows:

1996/1997 estimated energy costs for street lighting minus the difference between the 1995/1996 estimated and actual costs for street lighting energy, divided by the total number of assessable units per zone.

The Administrative Overhead is a flat $5.74 per parcel. This amount is 15% of the total cost of maintenance, divided by the total number of assessable units in the District as of 1996/1997.

The formula is as follows:

(Street Light Costs + Traffic Safety Light Costs + Reserve Capitalization)*.15/Total Assessable Units

The Miscellaneous Energy Assessment is calculated using the following formula, where the letter represents the column in the table:

Miscellaneous Energy Assessment=Total Estimated Costs/Total District EDUs

Equivalent Dwelling Units (EDUs) are assigned to each land use, as shown in the table below, based on the criteria assigned in a particular category. The total number of EDUs for the District is divided into the total cost of "Miscellaneous Energy", deriving a "per EDU" assessment.

EDU Assignments:

Land Use Category	Land Use	Unit	EDU per Unit
Residential	Single Family	DU	1.00
	Multi-Family	DU	0.63
	Mobile Home Park	DU	0.56
	Retirement	DU	0.28
Hotel / Motel		Room	0.69
Office		1,000 SF	1.75
Retail			
Low	Mini-Storage	1,000 SF	0.14
	Lumber Yard	1,000 SF	0.40
	Hardware	1,000 SF	0.59
	Auto Dealer	1,000 SF	0.95
Medium	Neighborhood	1,000 SF	1.38
	Regional Center	1,000 SF	1.66
	Supermarket	1,000 SF	1.69
	Specialty	1,000 SF	2.05
High	Restaurant	1,000 SF	3.02
	Convenient Market	1,000 SF	3.49
	Discount Club	1,000 SF	4.36
	Coffee Shop	1,000 SF	4.66
	Fast Food	1,000 SF	6.11
	Banks	1,000 SF	7.86
	Gas Station	Station	1.88
Industrial	Light Industrial	1,000 SF	0.92
	Heavy Industrial	1,000 SF	0.70
	Warehouse	1,000 SF	0.69
Golf Courses		Hole	4.27

The EDU assignments for parcels designated as other than single family residential, or vacant or undeveloped land can be found in the above table.

The 1996/1997 fixed adjustment was calculated using the following formula:

((Total Costs Per Zone/120,822)*15,000)/Total Parcels Per Zone *

*Fixed Adjustment per 1996/1997 calculation. This adjustment was derived by using a formula that allocates the 1996/1997 deficit reduction costs ($15,000) back to each zone of benefit based on the percentage of 1996/1997 lighting costs ($120,822) of the zone of benefit as compared to the District.

TABLE A
ANNUAL LEVY BY ZONE OF BENEFIT – 2023/2024
Lighting Maintenance District No. 1

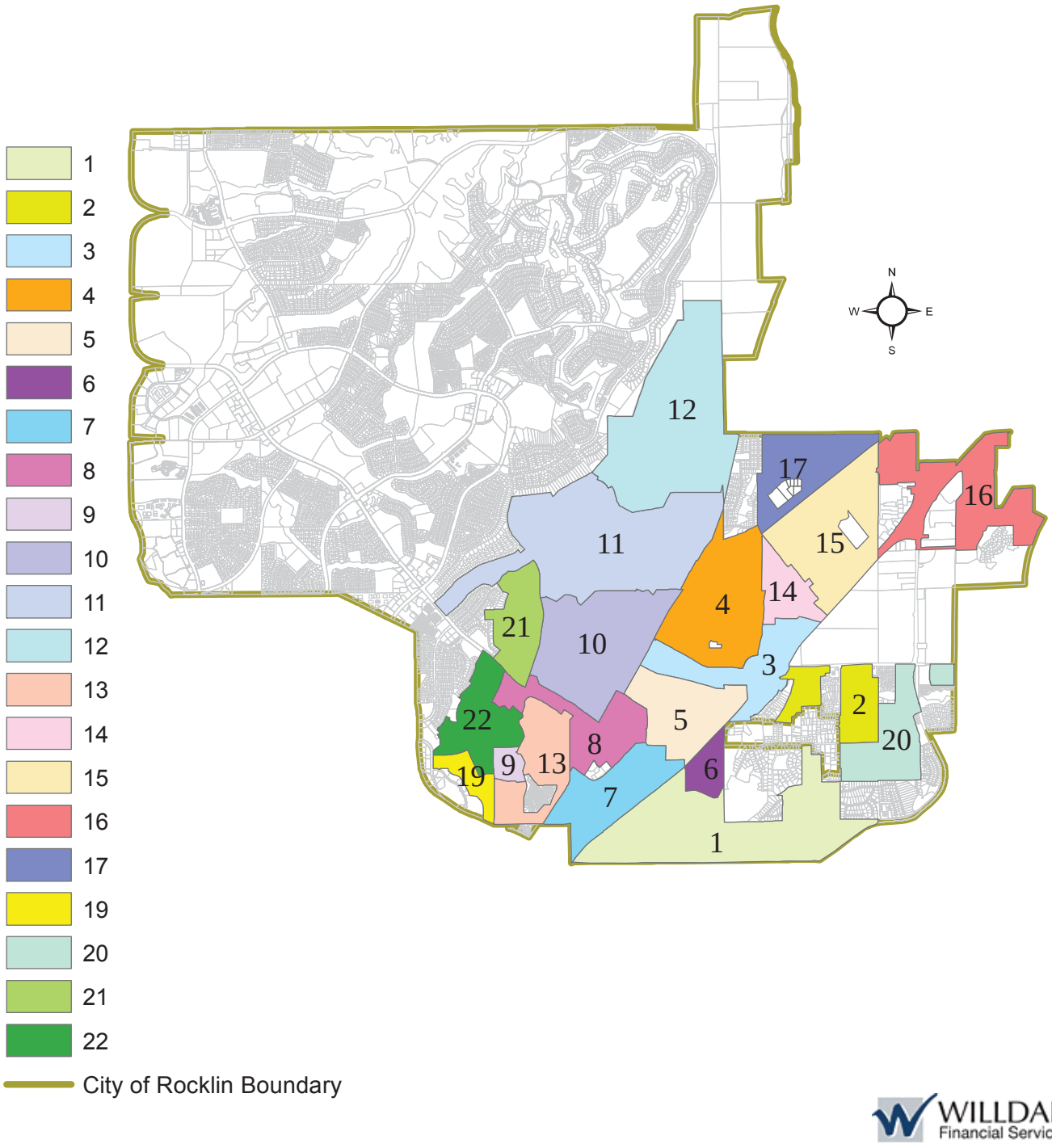
Zone	Total Levied Parcels	High Density EDU	High Density Assessment	EDU	Applied Assessment Rate ($)	Assessment ($)	Max Assessment Rate ($)	Total Assessment ($) [1]
1	408	0.00	0.00	408.00	$11.04	$4,504.32	$11.05	$4,504.32
2	169	39.17	440.08	164.00	$40.44	6,632.16	$40.44	7,072.24
3	132	856.11	9160.16	64.00	$40.58	2,597.12	$40.58	11,757.28
4	520	301.62	4312.90	455.00	$40.58	18,463.90	$40.58	22,776.80
5	100	334.95	7296.16	79.00	$40.58	3,205.82	$40.58	10,501.98
6	75	0.00	0	75.00	$35.10	2,632.50	$35.11	2,632.50
7	460	238.87	3,218.78	409.00	$36.86	15,075.74	$36.86	18,294.52
8	105	660.54	8,233.68	74.76	$125.14	9,355.70	$125.15	17,589.38
9	24	0.00	0	24.00	$58.26	1,398.24	$58.27	1,398.24
10	457	89.21	960.72	441.00	$26.54	11,704.14	$26.54	12,664.86
11	911	18.00	385.20	902.00	$35.84	32,327.68	$35.85	32,712.88
12	391	6.17	55.58	390.00	$55.58	21,676.20	$55.59	21,731.78
13	438	288.07	2,283.34	427.00	$32.26	13,775.02	$32.27	16,058.36
14	133	281.33	3,177.76	106.00	$52.12	5,524.72	$52.12	8,702.48
15	66	990.87	8,316.92	14.00	$34.96	489.44	$34.97	8,806.36
16	15	106.46	887.62	13.03	$31.38	409.02	$31.38	1,296.64
17	35	410.46	3,100.94	10.00	$20.90	209.00	$20.90	3,309.94
19	73	0.00	0	73.00	$33.68	2,458.64	$33.69	2,458.64
20	292	310.69	2,396.12	286.00	$46.00	13,156.00	$46.00	15,552.12
21	280	4.73	45.60	279.00	$45.60	12,722.40	$45.61	12,768.00
22	598	2.94	33.10	597.00	$33.10	19,760.70	$33.11	19,793.80
Total	**5,682**	**4,940.19**	**54,304.66**	**5,290.80**		**$198,078.46**		**$252,383.12**

[1] Slight Variance from Total Assessment in City's Budget in Section III. due to rounding.

V. ASSESSMENT DIAGRAM

The Assessment Diagram for the District is shown below and it contains the zones of benefit for the District.

City of Rocklin
Lighting Maintenance District No. 1



WILLDAN Financial Services

VI. ASSESSMENT ROLL

The Assessment Roll is a listing of the proposed assessment for Fiscal Year 2023/2024 apportioned to each lot or parcel, as shown on the last equalized roll of the Assessor of the County of Placer. The Preliminary Assessment Roll is provided as part of this report and is incorporated herein.

The description of each lot or parcel is part of the records of the Assessor of the County of Placer and these records are, by reference, made part of this Report.

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
DECLARING ITS INTENTION TO LEVY AND COLLECT
ANNUAL ASSESSMENTS FOR
LIGHTING MAINTENANCE DISTRICT NO. 1
AND SETTING A TIME AND PLACE FOR
PUBLIC HEARING THEREON

WHEREAS, pursuant to Division 15, Part 2 of the Streets and Highways Code of the State of California, being known as the "Landscaping and Lighting Act of 1972" ("the Act"), the City Council of the City of Rocklin has formed a Maintenance District that is known and designated as:

LIGHTING MAINTENANCE DISTRICT NO. 1 (hereinafter referred to as the "Maintenance District"); and

WHEREAS, there has been presented and approved by the City Council of the City of Rocklin the Engineer's Report on the levy of the annual assessment for fiscal year 2023/24, as required by the Act.

NOW, THEREFORE, The City Council of the City of Rocklin does resolve as follows:

Section 1. The above recitals are all true and correct.

Section 2. The public interest and convenience require, and it is the intention of this City Council to levy and collect annual assessments for the continual maintenance of street lighting and traffic signals for fiscal year 2023/24, all to serve and benefit the Maintenance District as said area is shown and delineated on a map approved by this City Council and which is on file in the office of the City Clerk, and open to public inspection. The map so referenced is hereby incorporated herein.

Section 3. The proposed maintenance work within the area to be assessed shall be for certain existing street lighting and traffic signal improvements. No new improvements or substantial changes to existing improvements are proposed.

Section 4. Reference is made to the Engineer's Report on file with the City Clerk and open to public inspection for a full and detailed description of the boundaries of the assessment District and the zones therein, and the proposed assessments upon assessable lots and parcels of land within the District.

Section 5. Neither the amount of the proposed assessment nor the approved methodologies for calculating the assessment for each parcel is proposed to be increased from the 2022/23 fiscal year.

Section 6. Any lots or parcels of land owned by a federal or state governmental agency or other local agency shall not be subject to assessment.

Section 7. NOTICE IS HEREBY GIVEN that Tuesday, June 13, 2023, at the hour of 6:00 P.M., in the City of Rocklin City Council Chambers, 3970 Rocklin Road, Rocklin, California, being the regular meeting place of said City Council, is the time and place fixed by this City Council for the public hearing for the hearing of protests or objections in reference to the levy of the proposed assessment, and any other matters contained in this resolution. Any person who wishes to object to the levy of assessments for street lighting may appear.

Section 8. The City Clerk is hereby directed to publish a Notice of Public Hearing in the Placer Herald, a newspaper of general circulation in said City, not less than ten (10) days before the date of said public hearing.

Section 9. For any and all information relating to the proceedings, protest procedure, any documentation and/or information of a procedural or technical nature, your attention is directed to the below listed person and the local agency or department so designated:

Megan Bressem
Management Analyst
City of Rocklin
3970 Rocklin Road
Rocklin, California 95677
Phone: (916) 625-5029

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

AYES:	Councilmembers:
NOES:	Councilmembers:
ABSENT:	Councilmembers:
ABSTAIN:	Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Levy and Collection of Assessments for Landscape and Lighting Maintenance District No. 2 for Fiscal Year 2023/24

Date: May 9, 2023

Submitted By: Daniel Choe, Deputy Director of Administrative Services
Megan Bressem, Management Analyst

Department: Administrative Services - Finance

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin Ordering the Preparation of the Engineer's Report for Landscape and Lighting Maintenance District No. 2.
B. Adopt a Resolution of the City Council of the City of Rocklin Approving the Engineer's Report for the Levy of the Annual Assessment for Landscape and Lighting Maintenance District No. 2.
C. Adopt a Resolution of the City Council of the City of Rocklin Declaring its Intention to Levy and Collect Annual Assessments for Landscape and Lighting Maintenance District No. 2 and Setting a Time and Place for Public Hearing.

Background:

In accordance with the Streets and Highways Code of the State of California, Division 15, Part 2, section 22500 et seq. (the Landscaping and Lighting Act of 1972), the City of Rocklin established Landscape and Lighting Maintenance District No. 2 (the District). The District was formed for the purposes of maintaining street lights, safety lighting, and all costs related to the maintenance of roadway landscaping located within the District. A map of the District can be found on page 15 of the Engineer's Report (Attachment3).

The Landscaping and Lighting Act of 1972 (the Act), identifies the process required to annually levy and collect assessments to meet the needs of the District. The Act requires an annual Engineer's Report to be prepared each year assessments are to be levied and collected. The report is to contain plans and specifications regarding improvements to be maintained, an estimated cost for maintaining the improvements, a diagram of the proposed assessment area and an assessment of the estimated costs. Upon completion, the Engineer's Report shall be submitted to the Legislative Body for approval or modification and approval. Once the Legislative Body has approved the Engineer's Report, a Resolution of Intent to levy and collect assessments for the district shall be approved and a time set for a public hearing regarding the levy of the proposed assessment.

For areas within the district created before the 1996/97 tax year, Proposition 218 requires any modification of assessment rates or methodologies to be brought to the voters. The maximum allowable tax for these areas has not changed since the 1996/97 tax year.

The maximum tax for areas annexed into the District after the 1996/97 tax year are based on a base year rate equivalent to the first year's actual utility and maintenance costs, increased by an annual 4% inflationary factor.

For Fiscal Year (FY) 2023/24, budgeted expenses for the District exceed budgeted tax revenue by $146,700 due to an increase in operating expenses. District reserves will cover the shortfall. The Engineer's Report (Attachment 3) shows that based on the budgeted needs of the District and depending on location, the District's assessment for FY 2023/24 will be between $5 and $1,466.

Pursuant to the Act, in order for the City to levy and collect assessments for FY 2023/24 to pay for the cost of maintaining street lights, safety lighting, and maintenance of roadway landscaping for the District, it is necessary for the City Council to request the preparation of an Engineer's Report, approve or modify and approve the completed Engineer's Report, declare an intent to levy and collect assessments, and set a hearing.

Approving the attached resolutions will allow the City to levy and collect assessments for FY 2023/24 to pay the cost of maintaining street lights, safety lighting, and all costs related to the maintenance of roadway landscaping for the District. Staff recommends the City Council set June 13, 2023, as the date for a public hearing regarding these assessments.

Fiscal Impact:

It is proposed that the total assessment revenue for FY 2023/24 be set at approximately $2,684,800. Based on the District's budget, this level of funding will leave the District with a deficit of $146,700 for FY 2023/24. The deficit will be covered by the existing fund balance.

ATTACHMENTS:

1. Resolution A - Ordering the Engineer's Report
2. Resolution B - Approving the Engineer's Report
3. Resolution B Exhibit A - Engineer's Report
4. Resolution C - Setting a Public Hearing

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
ORDERING THE PREPARATION OF THE ENGINEER'S REPORT FOR
LANDSCAPE AND LIGHTING MAINTENANCE DISTRICT NO. 2

WHEREAS, pursuant to Division 15, Part 2, of the Streets and Highways Code of the State of California, being known as the "Landscaping and Lighting Act of 1972" ("the Act"), the City Council of the City of Rocklin has formed a maintenance district that is known and designated as:

LANDSCAPE AND LIGHTING MAINTENANCE DISTRICT NO. 2 (hereinafter referred to as the "Maintenance District"); and

WHEREAS, at this time, the City Council of the City of Rocklin desires to take proceedings for the annual levy of the assessments as required following the formation of said Maintenance District; and

WHEREAS, these proceedings for the annual levy of assessments shall relate to the fiscal year commencing July 1, 2023 and ending June 30, 2024; and

WHEREAS, there has been submitted, at this time as Exhibit A, to the City Council of the City of Rocklin for its consideration a map showing the boundaries of the area affected by the annual levy of the assessment for the above-referenced fiscal year. No new improvements or substantial changes to existing improvements are proposed; and

WHEREAS, the Act requires a written report consisting of the following:

1. Plans and specifications of the improvements to be maintained;
2. An estimate of the costs for maintaining the improvements for the ensuing fiscal year;
3. A diagram of the area proposed to be assessed; and
4. An assessment of the estimated costs for the maintenance work for said fiscal year.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The above recitals are all true and correct.

Section 2. A map entitled "Landscape and Lighting Maintenance District No. 2" as submitted to the City Council, showing the boundaries of the proposed area to be assessed is hereby approved and adopted by this City Council, and a copy shall be on file in the office of the City Clerk and open for public inspection. The proposed parcels and

properties within said area are those to be assessed to pay certain costs and expenses for said maintenance work.

Section 3. The proposed maintenance work within the area proposed to be assessed shall be for certain street lighting improvements and landscape maintenance, as said maintenance work shall be set in the Engineer's Report to be prepared for the City Council for consideration.

Section 4. That the City Engineer is hereby ordered to prepare a "Report" relating to said annual assessment and levy in accordance with the provisions of Chapter 1, Article 4, commencing with Section 22565, of the Act.

Section 5. Upon completion, said "Report" shall be filed with the City Clerk who shall then submit the same to the City Council for its consideration pursuant to Section 22623 and 22624 of the Act.

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

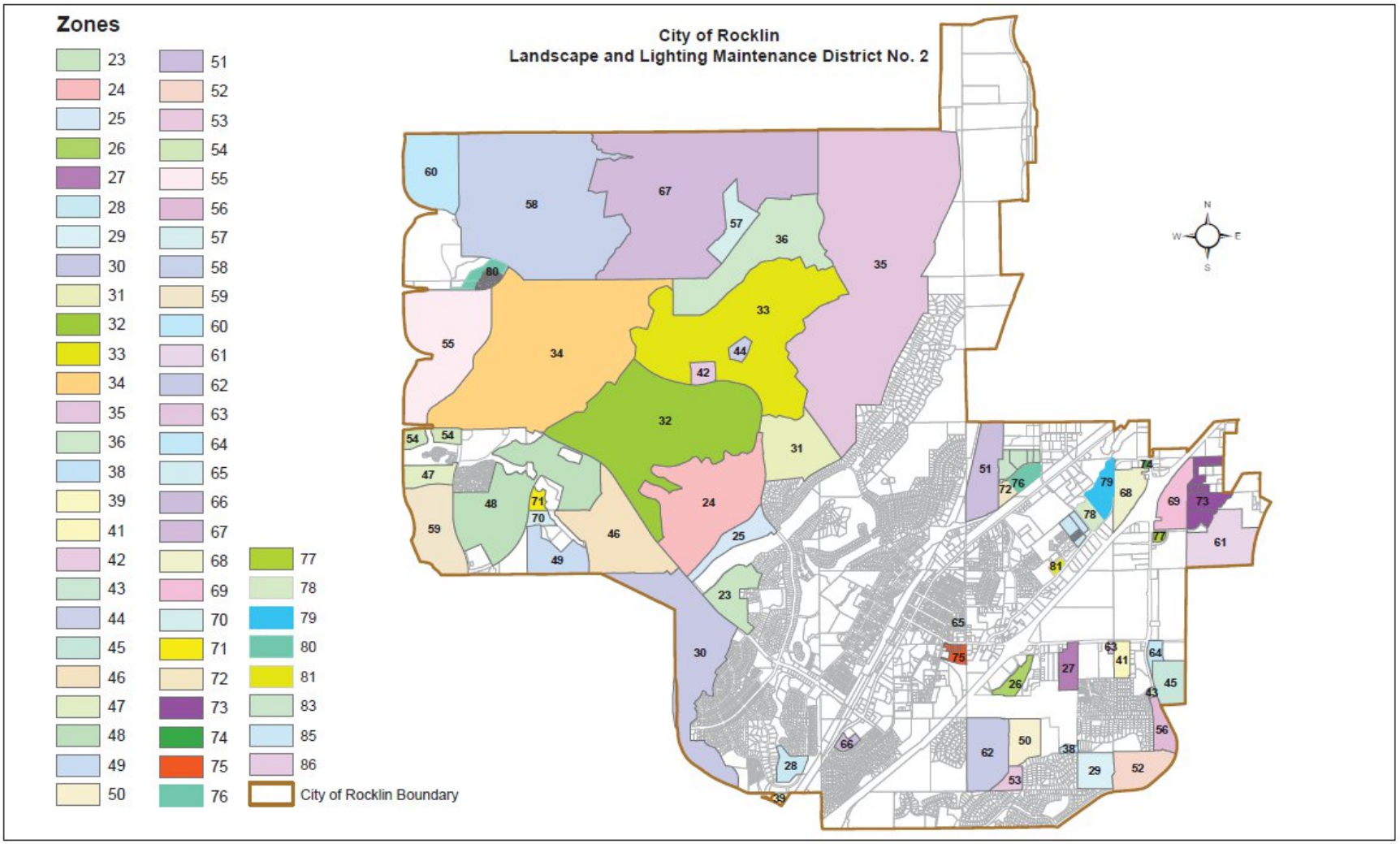
AYES:	Councilmembers:
NOES:	Councilmembers:
ABSENT:	Councilmembers:
ABSTAIN:	Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A



Page 1 of Exhibit A
to Reso. No. 2023-

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
APPROVING THE ENGINEER'S REPORT
FOR THE LEVY OF THE ANNUAL ASSESSMENT FOR
LANDSCAPE AND LIGHTING MAINTENANCE DISTRICT NO. 2

WHEREAS, pursuant to the provisions of Division 15, Part 2, of the Streets and Highways Code of the State of California, being known as the "Landscaping and Lighting Act of 1972" ("the Act"), the City Council did, by previous resolution, order the preparation of an Engineer's Report consisting of plans and specifications, estimate of cost, a diagram of the Maintenance District, and assessment spread relating to LANDSCAPE AND LIGHTING MAINTENANCE DISTRICT NO. 2 (hereinafter referred to as the "Maintenance District"); and

WHEREAS, said "Report" has been presented to this City Council as required by the Act and as previously directed by resolution; and

WHEREAS, this City Council has now carefully examined and reviewed the "Report", as presented, and is satisfied with each and all of the items and documents as set forth therein and is satisfied that the assessments, on a preliminary basis, have been spread in accordance with the benefits received from the maintenance to be performed as set forth in said "Report".

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. That the above recitals are all true and correct.

Section 2. That the "Report", as presented, consisting of the following:

A. Plans and Specifications;
B. Estimate of Cost;
C. Diagrams of the Maintenance District; and
D. Assessment of the Estimated Cost

is hereby approved and is ordered to be filed in the office of the City Clerk as a permanent record and to remain open for public inspection.

Section 3. That the City Clerk shall certify to the passage and adoption of this Resolution and the minutes of this meeting shall so reflect the presentation of the Engineer's Report, attached hereto as Exhibit A and incorporated by reference herein.

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

AYES: Councilmembers:

NOES: Councilmembers:

ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

City of Rocklin

Engineer's Report

Landscape and Lighting Maintenance District No. 2

FISCAL YEAR 2023/2024

Intent Meeting: May 9, 2023
Public Hearing: June 13, 2023

27368 Via Industria, Suite 200
Temecula, California 92590
T. 951.587.3500 800.755.6864
F. 951.587.3510 888.326.6864

www.willdan.com

TABLE OF CONTENTS

TABLE OF CONTENTS 1

I. OVERVIEW 2

A. BACKGROUND 2

II. PLANS AND SPECIFICATIONS 2

III. ESTIMATE OF COST 3

IV. METHOD OF APPORTIONMENT 4

A. ASSESSMENT OF THE ESTIMATED COSTS 4

B. ASSESSMENT RATES 7

V. ASSESSMENT DIAGRAM 12

VI. ASSESSMENT ROLL 13

I. OVERVIEW

A. BACKGROUND

The City of Rocklin Landscaping and Lighting Maintenance District No. 2 ("the District") was formed for the purpose of maintaining streetlights, safety lighting, and all related costs of maintenance of roadway landscaping located within the District. The 2023/2024 Engineer's Report for the District is submitted for review pursuant to Division 15, Part 2, of the Streets and Highways Code of the State of California, being known as the "Landscaping and Lighting Act of 1972" ("the Act"), and in accordance with the provisions of Chapter 1, Article 4, commencing with Section 22565, of the Act.

The 2023/2024 Engineer's Report consists of the following:

1. Plans and specifications of the improvements to be maintained.
2. An estimate of the costs for maintaining the improvements for the 2023/2024 Fiscal Year.
3. An assessment of the estimated costs for the maintenance work for the 2023/2024 Fiscal Year.
4. A diagram of the area proposed to be assessed.
5. An assessment roll for the 2023/2024 Fiscal Year.

II. PLANS AND SPECIFICATIONS

The District was formed to maintain all "Improvements" within the District, which consist of street lighting, safety lighting, and all related costs for the maintenance of roadway landscaping in the District. The total number of streetlights to be maintained in 2023/2024 will be 2,924.

Safety lighting to be maintained consists of traffic signals and flashing yellow safety lights. There are fifty-two (52) traffic signals, four (4) flashing beacons, four (4) speed radar signs and fifteen (15) blinker signs to be maintained in the District during 2023/2024.

Landscaping maintenance costs consist of labor, materials, water, electric (for landscape water meters), contract and professional services, and equipment associated with the maintenance of landscaping. The cost of operating irrigation timers for roadway landscaping is also included in this category of expense. There are one hundred fifty-four (154) irrigation controllers. Maintenance costs will be allocated to the "benefit zones" of the District based on the level of maintenance required by each zone.

III. ESTIMATE OF COST

The City's budget for the District shown below details the estimated costs for Fiscal Year 2023/2024, as available at the time of preparation of this report.

Estimated Landscape Maintenance Costs	$1,380,700
Estimated Signals and Lights Costs	1,078,400
Estimated Administrative Overhead	345,600
County Collection Fee	26,800
Total Costs	**$2,831,500**
Reserve Contribution	($146,714)
Total Assessments*	**$2,684,786**

* Levy will be slightly less than budget due to rounding of parcels to the nearest even penny in order to be placed on the Secured Roll.

Details of total costs:

Labor/Benefits	$734,000
Materials/supplies	131,500
Utilities	388,600
Services	640,100
Communications	446,300
Travel, Training	4,000
Fleet Services	109,700
Repair and Maintenance	280,000
Rentals	7,000
Collection Fees	26,800
Equipment	30,300
Miscellaneous	500
Administrative Overhead	32,700
[1] **Total**	**$2,831,500**

Proposed maintenance includes:
Replacement/repair of existing landscape, walls, and fences.

[1] Costs exceed expenses due to an increase in operating expenses. This expense will be paid from District reserves.

IV. METHOD OF APPORTIONMENT

A. ASSESSMENT OF THE ESTIMATED COSTS

Assessments are listed by Zone of Benefit in the attached Table A. All assessments were created by the calculations described below in June of 1996, except in Zones of Benefit 42 through Zone of Benefit 86. Zones of Benefit 42-54 and 56-86 were established by resolution following a landowner election. Zone of Benefit 55 was established at the time of annexation into the City in accordance with Local Agency Formation Commission findings. All zones have been assigned a base year assessment, and zones 42 through 86 are adjusted annually by 4 percent to reflect inflationary increases.

Unit Assignment Tables

For all "planned" parcels located in zones 24, 32, 33, 34, 35, and 36 (Stanford Ranch), and for developed commercial and multi-family parcels located in zones 23, 30, 52, 54, and 56 an Equivalent Dwelling Unit (EDU) total has been assigned to each parcel. An EDU is defined for taxing purposes as a single-family detached house on a single lot. The standard EDU is based on an average density of 5.3 single-family units per acre for an approved final large lot subdivision map. All other uses are compared to the single-family dwelling unit in establishing the number of taxable units assigned to a parcel of land.

Zoning Classification	EDUs per unit or acre	
Developed Residential		
Single Family Residential	1.0	per unit
Condominium	1.0	per unit
Duplex, Triplex	0.8	per unit
Multi-Family	10.0	per acre
Developed Non-Residential		
Business/Professional	10.0	per acre
Commercial	10.0	per acre
Industrial	6.0	per acre
Undeveloped Parcels	5.3	per acre

For parcels located in zone 58 and zone 67, the following EDU factors have been designated and only those parcels within these two zones that are in their final map phase prior to June 30th of the current year are subject to the upcoming fiscal year's assessment.

Zoning Classification	EDUs per unit or acre	
Developed Residential		
Single Family Residential	1.0	per unit
Multi-Family Residential	0.63	per unit
Developed Non-Residential		
Business/Professional	5.3	per acre
Commercial	5.3	per acre

For parcels located in zones 59 through 86 the following EDU factors have been designated for each land use:

Zoning Classification	EDUs per unit or acre	
Developed Residential		
Single Family Residential	1.0	per unit
Multi-Family Residential	0.63	per unit
Developed Non-Residential		
Business/Professional	5.3	per acre
Commercial	5.3	per acre
Undeveloped Non-Residential		
Commercial	5.3	per acre

Zone 55

For all parcels located in the Atherton Tech Center/Herman Miller Property (zone 55), an Equivalent Taxing Unit (ETU) total has been assigned to each parcel. An ETU is defined for taxing purposes as a Final Use Parcel. A Final Use Parcel is a parcel that has been subdivided to its final lot size. Parcels not determined to be Final Use Parcels are assigned an ETU factor based upon estimated future development. The Herman Miller property (now owned by William Jessup University) was determined to have an ETU assignment of 28 ETUs.

Zones of Benefit 23 through 41

Assessments in Zones of Benefit 23 through 41 were calculated as detailed below.

The annual assessment for landscaping and lighting is calculated using the following formula:

Annual Assessment = I + J + K + L + M

Where,

I = Street Lighting Maintenance Assessment
J = Administrative Overhead
K = Traffic Safety Lighting Assessment
L = Landscape Maintenance Assessment
M = Cost of Notification

The formula for the Street Lighting Maintenance Assessment is as follows:

(G-(D-E))/C

Where,
G = 1996-1997 Estimated Street Light Maintenance Costs
D = 1995-1996 Estimated Street Light Maintenance Costs
E = 1995-1996 Actual Street Light Maintenance Costs
C = Number of assessable Equivalent Dwelling Units assigned in the benefit zone

The Administrative Overhead of $3.33 per Equivalent Dwelling Unit represents 15% of the total 1996-1997 lighting maintenance costs for District 1, divided evenly by the number of assessable 1996-1997 EDUs in District 1. See the 1996-1997 Engineer's Report for District 1 EDU figures.

The Traffic Safety Lighting Assessment is calculated using the following formula:

Traffic Safety Lighting Assessment = Total Cost of Maintenance for Traffic Safety/Total EDU's

The Landscape Maintenance Assessment is calculated using the following formula:

Landscape Assessment = Cost of Landscape Maintenance per Zone/total EDUs per zone

B. ASSESSMENT RATES

Zones of Benefit 23 through 41

Assessments in Zones of Benefit 23 through 41 for the purposes of this report were established in June of 1996 and have not changed. In compliance with Proposition 218, the City will levy the 2023/2024 assessments using the same methodology used in the previous year. There has been no change in the assessment methodology since the 1996-1997 assessment proceedings.

Zones of Benefit 42 through 54 and 56 through 86

Assessments in Zones of Benefit 42 through 54 and 56 through 86 were assigned a base year assessment in the year of the zone's formation with a 4% inflation rate to be added to the base levy in each year after the base year. The base year and base assessment are shown below:

Zone	Zone Description	Resolution Number	Base Year	Base Rate/EDU
42	Stanford Ranch Lot 34	98-269	1999-2000	$117.00
43	Andrea Court	98-168	1998-1999	$158.00
44	Parcel 38 Stanford Ranch	98-222	1998-1999	$101.00
45	Reedy Subdivision	98-332	1999-2000	Single Family = $88.00 High Density = $21.00
46	Sunset West/R.C.Collet	99-105	1999-2000	$28.54
47	Sunset West/Cresleigh	99-106	1999-2000	$29.53
48	Sunset West-Diversified Investors/Syncom Homes/Cresleigh/Kaufman and Broad	99-373	2000-2001	$33.88
49	Sunset West Lot 49A, B & C	00-176	2000-2001	$61.37
50	Quarry Ridge Units 1-4	00-346	2001-2002	$73.87
51	Yankee Hill Estates Units 1-6	01-299	2002-2003	$90.21
52	Rock Eight Units 1-3	02-140	2002-2003	$69.05
53	Quarry Ridge Units 6 & 7	02-236	2003-2004	$57.27
54	Sunset West Lots 1 & 2A	03-047	2003-2004	$28.83
56	Sierra Valley Oaks	03-237	2004-2005	$50.53
57	Parcel K- Stonegate	04-037	2004-2005	$52.49
58	Whitney Oaks	05-070	2005-2006	$123.96
59	Blue Oaks	05-170	2006-2007	$67.08
60	Orchard Creek	06-036	2006-2007	$34.53
61	Croftwood	06-055	2006-2007	$310.44
62	Granite Lakes Estates	07-060	2007-2008	$159.84
63	Rocklin Executive Office Park	07-252	2008-2009	$243.05
64	Sierra College Center	07-307	2008-2009	$184.55
65	Meyer Court	08-219	2008-2009	$65.18

Zone	Zone Description	Resolution Number	Base Year	Base Rate/EDU
66	Pacific Tech Center	08-121	2008-2009	$92.23
67	Whitney Ranch Phase II SD-2006-06A	11-155	2011-2012	$66.02
68	Sierra College Interchange Rocklin Commons DL 2006-7	13-30	2013-2014	$77.68
69	Sierra College Interchange Rocklin Crossings DL 2006-7	13-31	2013-2014	$58.60
70	Sunset West Parcel 48 SD-2006-02	2013-119	2013-2014	$47.57
71	Sunset West Parcel 39 SD-2006-04	2013-184	2013-2014	$75.47
72	Rocklin Del Rio Self Storage DL-2009-01	2014-7	2013-2014	$9.13
73	Rocklin 60 SD-2005-07	2014-242	2014-2015	$379.95
74	Arco Station DR-2013-11	2014-88	2014-2015	$256.98
75	Avalon SD-2013-01	2014-246	2014-2015	$357.15
76	Parklands South SD-2013-03	2014-266	2014-2015	$371.46
77	Center at Secret Ravine DL-2009-03	2015-79	2015-2016	$96.56
78	Brighton Subdivision SD-2014-0002	2015-220	2015-2016	$279.57
79	Garnet Creek SD-2013-10	2015-216	2015-2016	$79.87
80	Spring Valley Phase 1 Village 1 SD-2013-07	2015-223	2015-2016	$207.49
81	Audi Rocklin SD-2013-06	2015-307	2015-2016	$79.82
83	Parklands North 2016-04	2016-04	2015-2016	$455.86
85	Granite Drive Estates 216-99	2016-41	2016-2017	$10.23
86	Spring Valley Village Phase I 2016-64	2016-64	2016-2017	$206.24

The base year assessment for landscaping and lighting maintenance is calculated using the following formula:

Annual Assessment = I + J + K + L

Where,
I = Street Lighting Maintenance Assessment
J = Administrative Overhead
K = Traffic Safety Lighting Assessment
L = Landscape Maintenance Assessment

The formula for the Street Lighting Maintenance Assessment is as follows: **G/C**

Where,
G = Estimated Street Light Maintenance Costs for the year in which the zone was formed
C = Number of assessable Equivalent Dwelling Units assigned in the benefit zone for the year in which the zone was formed or, in the case of zone 58, the number of anticipated Equivalent Dwelling Units once the zone is fully developed.

The Traffic Safety Assessment and Landscape Maintenance Assessment are calculated using the same formulas applied in Zones of Benefit 23 through 41. The assessments are calculated using the same data used in the year in which the zone was created.

Zone of Benefit 55

Assessments in Zone of Benefit 55 were assigned a base year assessment in the fiscal year following the zone's annexation with a 4% inflation rate to be added to the base levy in each year after the base year. A study conducted by City staff determined that the costs of maintenance in Zone of Benefit 55 would be approximately the equivalent to assessments collected under the 2002-2003 Placer County CSA#28 - Zone 47 assessment methodology. The base assessment was determined to be equivalent to the area's prior year assessment as determined by the methodology applied by the Placer County CSA #28 - Zone 47.

The base year assessment for landscaping and lighting maintenance is calculated using the following formula:

Annual Assessment = (I x A) + L

Where,
I = Street Lighting Maintenance Assessment
A = Gross Assessable Acreage
L = Landscape Maintenance Assessment

The formula for the Street Lighting Maintenance Assessment is as follows: **G/B**
Where,
G = Estimated Street Light Maintenance Costs
B = Gross assessable acres in the benefit zone

The Landscape Maintenance Assessment is calculated using the following formula:

Landscape Assessment = Cost of Landscape Maintenance per zone total ETUs per zone

Zone of Benefit 55 allows for the assessments on a common area to be reapportioned to the parcels receiving benefit from that common on the basis of land area.

TABLE A
ESTIMATED UNITS AND EDU'S – 2023/2024
LANDSCAPING AND LIGHTING MAINTENANCE DISTRICT NO. 2

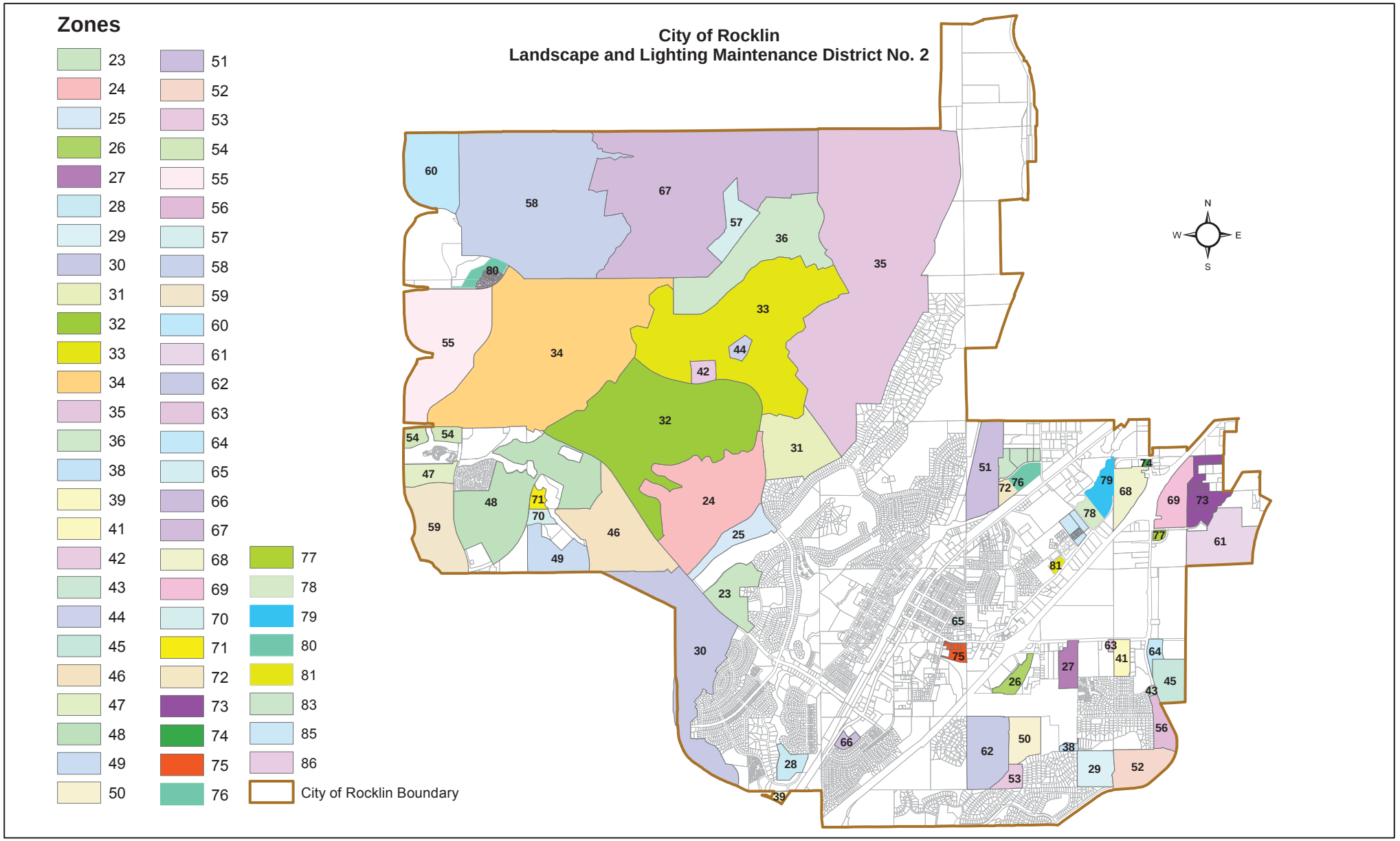
Zone	Total Parcels FY 2023/2024	Total EDU'S FY 2023/2024	Maximum Assessment Rate	Proposed Applied Rate	Total FY 2023/2024 Proposed Levy
23	198	268.02	$48.30	$48.30	$12,945.36
24	1,052	1,276.55	90.46	90.45	$115,467.30
25	132	132.00	50.78	50.78	$6,702.96
26	37	37.00	8.79	8.78	$324.86
27	73	73.00	24.12	24.12	$1,760.76
28	123	123.00	72.61	72.60	$8,929.80
29	80	80.00	34.79	34.78	$2,782.40
30	885	1,633.32	49.57	49.56	$80,955.30
31	291	291.00	60.09	60.08	$17,483.28
32	746	2,024.78	84.76	84.76	$171,620.18
33	1,148	1,354.34	89.50	89.50	$121,213.36
34	1,185	3,194.17	71.83	71.83	$229,426.72
35	1,854	1,854.00	5.00	5.00	$9,270.00
36	512	657.38	87.73	87.72	$57,666.84
39	93	0.00	$0.00	$0.00	0.00
41	76	76.00	36.10	36.10	$2,743.60
42	101	101.00	299.82	299.82	$30,281.82
43	8	8.00	421.20	421.20	$3,369.60
44	65	65.00	269.24	269.24	$17,500.60
45	140	140.00	225.57	225.56	$31,578.40
46	517	535.79	73.12	73.12	$39,176.90
47	176	176.00	75.75	75.74	$13,330.24
48	1,030	1,030.00	83.47	83.46	$85,964
49	258	258.00	151.24	151.24	$39,019.92
50	62	62.00	175.09	175.08	$10,854.96
51	188	188.00	205.58	205.58	$38,649.04
52	95	96.10	157.35	157.34	$15,120.40
53	30	30.00	125.49	125.48	$3,764.40
54	9	57.24	63.18	63.18	$3,616.24
55	37	174.61	1,465.53	1,465.53	$255,901.74
56	70	70.00	106.44	106.44	$7,450.80

Zone	Total Parcels FY 2023/2024	Total EDU'S FY 2023/2024	Maximum Assessment Rate	Proposed Applied Rate	Total FY 2023/2024 Proposed Levy
57	109	109.00	110.56	110.56	$12,051.04
58	1,836	1,853.08	252.58	252.58	$468,047.54
59	167	451.39	130.66	130.65	$58,975.52
60	12	440.24	67.26	67.26	$29,612.42
61	139	139.00	604.70	604.70	$84,053.30
62	50	110.00	299.37	299.37	$32,930.26
63	7	3.13	437.72	437.72	$1,371.06
64	3	52.10	332.36	332.36	$17,316.18
65	9	9.00	112.87	112.86	$1,015.74
66	7	41.02	166.10	166.10	$6,813.74
67	1,538	1,538.00	105.70	105.70	$162,566.60
68	19	199.75	114.99	114.98	$22,968.40
69	21	261.65	86.74	86.74	$22,695.58
70	61	61.00	70.42	70.42	$4,295.62
71	48	48.00	111.71	111.70	$5,361.60
72	1	50.88	13.51	13.51	$687.62
73	169	169.00	540.79	540.78	$91,391.82
74	1	6.73	365.81	365.80	$2,461.86
75	76	76.00	508.34	508.34	$38,633.84
76	66	66.00	528.70	528.70	$34,894.20
77	4	18.82	132.15	132.15	$2,487.00
78	74	74.00	382.61	382.60	$28,312.40
79	79	79.00	109.31	109.30	$8,634.70
80	130	130.00	283.96	283.96	$36,914.80
81	1	24.59	109.24	109.24	$2,686.18
83	76	76.00	623.88	623.88	$47,414.88
85	71	81.82	13.46	13.46	$1,101.30
86	89	89.00	271.40	271.40	$24,154.60
Total	**16,035**	**22,324.49**			**$2,684,721.38**

Note: Levy for individual parcels are rounded to the nearest even pennies as required by Placer County.

V. ASSESSMENT DIAGRAM

The map showing benefit zone boundaries is attached to the Report.


Zones
23
24
25
26
27
28
29
30
31
32
33
34
35
36
38
39
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
83
85
86
City of Rocklin Boundary
City of Rocklin
Landscape and Lighting Maintenance District No. 2
N
W
E
S

VI. ASSESSMENT ROLL

The Assessment Roll is a listing of the proposed assessment for Fiscal Year 2023/2024 apportioned to each lot or parcel, as shown on the last equalized roll of the Assessor of the County of Placer. The Preliminary Assessment Roll is provided as part of this report and is incorporated herein.

The description of each lot or parcel is part of the records of the Assessor of the County of Placer and these records are, by reference, made part of this Report.

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
DECLARING ITS INTENTION TO LEVY AND COLLECT ANNUAL ASSESSMENTS FOR
LANDSCAPE AND LIGHTING MAINTENANCE DISTRICT NO. 2
AND SETTING A TIME AND PLACE FOR
PUBLIC HEARING THEREON

WHEREAS, pursuant to Division 15, Part 2 of the Streets and Highways Code of the State of California, being known as the "Landscape and Lighting Act of 1972" ("the Act"), the City Council of the City of Rocklin has formed a maintenance district that is known and designated as:

LANDSCAPE AND LIGHTING MAINTENANCE DISTRICT NO. 2 (hereinafter referred to as the "Maintenance District"); and

WHEREAS, there has been presented and approved by the City Council of the City of Rocklin the Engineer's Report on the levy of the annual assessment for fiscal year 2023/24, as required by the Act.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The above recitals are all true and correct.

Section 2. The public interest and convenience require, and it is the intention of this City Council to levy and collect annual assessments for the continual maintenance of street lighting and landscaping for fiscal year 2023/24, all to serve and benefit the Maintenance District as said area is shown and delineated on a map approved by this City Council and which is on file in the office of the City Clerk, and open to public inspection. The map so referenced is hereby incorporated herein.

Section 3. The proposed maintenance work within the area to be assessed shall be for certain existing street lighting and landscaping improvements. No new improvements or substantial changes to existing improvements are proposed.

Section 4. Reference is made to the Engineer's Report on file with the City Clerk and open to public inspection for a full and detailed description of the improvements, the boundaries of the Maintenance District and the zones therein, and the proposed assessments upon assessable lots and parcels of land within the District.

Section 5. It is proposed that the approved methodologies for calculating the assessment for each parcel remain unchanged from the 2022/23 fiscal year.

Section 6. Any lots or parcels of land owned by a federal or state governmental agency or other local agency shall not be subject to assessment.

Section 7. NOTICE IS HEREBY GIVEN that Tuesday, June 13, 2023, at or after the hour of 6:00 P.M., in the City of Rocklin City Council Chambers, 3970 Rocklin Road, Rocklin, California, being the regular meeting place of said City Council, is the time and place fixed by this City Council for public hearing, for the hearing of protests or objections in reference to the levy of the proposed assessment, and any other matters contained in this resolution. Any person who wished to object to the levy of assessments for street lighting and landscaping may appear.

Section 8. The City Clerk is hereby directed to publish a Notice of Public Hearing in the Placer Herald, a newspaper of general circulation in said City, not less than ten (10) days before the date of said public hearing.

Section 9. For any and all information relating to the proceedings, protest procedure, any documentation and/or information of a procedural or technical nature, your attention is directed to the below listed person and the local agency or department so designated:

Megan Bressem
Management Analyst
City of Rocklin
3970 Rocklin Road
Rocklin, California 95677
Phone: (916) 625-5029

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

AYES:	Councilmembers:
NOES:	Councilmembers:
ABSENT:	Councilmembers:
ABSTAIN:	Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Intention to Annex Maverik Gas Station (APN 017-283-047-000) into Community Facilities District No. 1

Date: May 9, 2023

Submitted By: Daniel Choe, Deputy Director of Administrative Services
Megan Bressem, Management Analyst

Department: Administrative Services - Finance

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin of Intention to Annex Territory to Rocklin Community Facilities District No. 1 (Annexation No. 78) and to Authorize the Levy of Special Taxes Therein (Maverik Gas Station, APN 017-283-047-000).

Background:
Maverik Gas Station, Assessor's Parcel Number 017-283-047-000, is a retail commercial property located on the westerly side of the intersection of Sunset Boulevard and Lonetree Boulevard. The development of Maverik Gas Station is conditioned on, among other things, annexation into Community Facilities District (CFD) No. 1.

CFD No. 1 finances the operation and maintenance of fire protection and suppression services and ambulance and paramedic services.

The landowner has submitted a petition and waiver letter for CFD No. 1, which requests the annexations to occur and waives some of the procedural requirements for processing the annexations. With the petitions and waivers, the City Council may adopt the resolution of intention at this meeting, and proceed with the public hearing and election, and order the annexation at its regular meeting on June 27, 2023.

Fiscal Impact:
There is no direct fiscal impact to the General Fund from the staff recommendation.

ATTACHMENTS:
1. Resolution
2. Annexation Map

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL
OF THE CITY OF ROCKLIN OF INTENTION TO ANNEX
TERRITORY TO ROCKLIN COMMUNITY FACILITIES
DISTRICT NO. 1 (ANNEXATION NO. 78)
AND TO AUTHORIZE THE LEVY OF SPECIAL TAXES THEREIN
(Maverik Gas Station, APN 017-283-047-000)

NOW THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The City Council finds that there exists in the City of Rocklin a district known as Rocklin Community Facilities District No. 1 (the "CFD") formed and established pursuant to Chapter 2.5 of Part 1 of Division 2 of Title 5 of the California Government Code (Mello-Roos Community Facilities Act of 1982, Government Code section 53311 et seq. (the "Act")).

Section 2. The City Council further finds that the public convenience and necessity require that certain territory be added to the CFD.

Section 3. The territory included in the existing CFD is as shown on the amended maps thereof filed in Book 1 of Maps of Community Facilities Districts to which maps reference is hereby made. The territory proposed to be annexed to the CFD is as shown on the Annexation Map No. 78 to the CFD on file with the City Clerk, the boundaries of which territory are hereby preliminarily approved and to which map reference is hereby made for further particulars. The City Clerk is hereby directed to cause to be recorded in the office of the Placer County Recorder the Annexation Map No. 78 to the CFD, showing the territory to be annexed, within fifteen days of the date of adopting of this resolution. Said territory is commonly known as Maverik Gas Station.

Section 4. The public services authorized for the existing CFD are fire protection and suppression services and ambulance and paramedic services. The services to be provided in the territory proposed to be annexed to the CFD are fire protection and suppression services and ambulance and paramedic services as described in section 3 of Resolution No. 86-102 adopted by the Council on May 27, 1986 ("Resolution of Formation"). It is presently intended that the public services shall be shared without preference or priority by the existing territory in the CFD and the territory proposed to be annexed to the CFD.

Section 5. Except where funds are otherwise available, a special tax sufficient to pay for all such services will be annually levied and collected in the same manner as ordinary ad valorem property taxes on each building within the territory proposed to be annexed to the CFD at the following rates:

a) Single family detached - $243.45
b) Condominium - $243.45
c) Multi-family with up to four units - $365.17
d) Multi-family with five or more units - $765.12
e) Commercial - $318.80
f) Light industrial - $382.56

Section 6. Beginning July 1, 2023 and each July 1 thereafter, the maximum annual tax rates assigned to each building category shall be increased to an amount equal to 103% of the amount in effect in the prior year.

Section 7. No alteration in the special tax rate authorized to be levied in the existing CFD shall be made as a result of the proposed annexation.

Section 8. A public hearing on the matter of the proposed annexation to Rocklin Community Facilities District No. 1, and for consideration and final determination of whether the public interest, convenience and necessity require the annexation of territory to the CFD and the levy of special taxes therein, shall be held on June 27, 2023, at 6:00 P.M. in the City of Rocklin Council Chambers, 3970 Rocklin Road, Rocklin, California.

Section 9. The City Clerk is hereby directed to give notice of the hearing in accordance with sections 53339.4, 53322 and 53322.4 of the Act by publication in the Placer Herald once at least seven (7) days prior to the hearing and by first-class mail to each registered voter and each landowner within the territory proposed to be annexed to the CFD at least 15 days before the hearing. The notice shall be substantially in the form specified in section 53339.4 of the Act.

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

AYES: Councilmembers:

NOES: Councilmembers:

ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

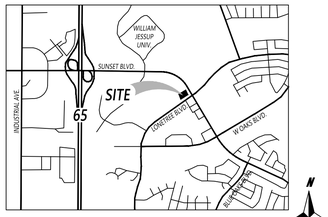
ATTEST:

_____
Hope Ithurburn, City Clerk

BOOK ___ OF COMMUNITY FACILITIES DISTRICT, PAGE ___

ANNEXATION MAP NO. 78 OF COMMUNITY FACILITIES DISTRICT NO. 1 MAVERIK GAS STATION / DR 2020-0003

PARCEL A OF MBR 2021-012536, BEING A PORTION OF SECTION 10, T.11N., R.6E., M.D.B.&M. CITY OF ROCKLIN, COUNTY OF PLACER, STATE OF CALIFORNIA.
MARCH 2023



VICINITY MAP
NOT TO SCALE

NOTES:

REFERENCE IS HEREBY MADE TO THAT CERTAIN MAP ENTITLED "PROPOSED BOUNDARIES OF COMMUNITY FACILITIES DISTRICT NO. 1, CITY OF ROCKLIN, COUNTY OF PLACER, STATE OF CALIFORNIA,"FILED THE 3RD DAY OF APRIL 1987 AT THE HOUR OF 9:12 AM IN BOOK 1 OF MAPS OF ASSESSMENT AND COMMUNITY FACILITIES DISTRICT AT PAGE 2, IN THE OFFICE OF THE COUNTY RECORDER OF THE COUNTY OF PLACER, STATE OF CALIFORNIA, WHICH THIS ANNEXATION MAP AFFECTS.



CITY COUNCIL'S STATEMENT:

I HEREBY CERTIFY THAT THE WITHIN MAP SHOWING PROPOSED BOUNDARIES OF ANNEXATION NO. _____ TO COMMUNITY FACILITIES DISTRICT NO. 1, CITY OF ROCKLIN, COUNTY OF PLACER, STATE OF CALIFORNIA, WAS APPROVED BY THE CITY COUNCIL OF THE CITY OF ROCKLIN, AT A MEETING THEREOF, HELD ON THE _____ DAY OF _____, 2023, BY ITS RESOLUTION NO. _____.

_____ _____
HOPE ITHURBURN DATE
CITY CLERK OF THE CITY OF ROCKLIN

CITY CLERK'S STATEMENT:

FILED IN THE OFFICE OF THE CITY CLERK OF THE CITY OF ROCKLIN THIS _____ DAY OF _____, 2023.

_____ _____
HOPE ITHURBURN DATE
CITY CLERK OF THE CITY OF ROCKLIN

RECORDER'S STATEMENT:

FILED THIS _____ DAY OF _____, 2023, AT THE HOUR OF _____ ___M, IN BOOK _____ OF MAPS OF ASSESSMENT AND COMMUNITY FACILITIES DISTRICTS, AT PAGE _____ IN THE OFFICE OF THE COUNTY RECORDER IN THE COUNTY OF PLACER, STATE OF CALIFORNIA.

_____ _____
RYAN RONCO DATE
COUNTY RECORDER OF PLACER COUNTY

_____
DEPUTY

FILE NO. _____ FEE _____

SHEET 1 OF 1

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: CALNET NextGen Cooperative Purchase Agreement

Date: May 9, 2023

Submitted By: Brian Graves, Information Technology Manager

Department: Information Technology

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Approving the Migration from the Existing CALNET 3 contract to the New CALNET NextGen Contract and Authorizing the City Manager to Execute an Agreement with CALNET.

Background:
The California Department of Technology (CDT) has competitively bid telecommunication services through state awarded contracts known as the California Network and Telecommunication (CALNET) program since 1998. The City of Rocklin has piggybacked on these contracts to take advantage of the discounted pricing for telecommunication services. CDT has negotiated new contracts, referred to as CALNET NextGen, through AT&T, which renew and improve nearly all CALNET 3 services while adding new offerings to meet the state's modern needs. Agencies currently using services under CALNET 3, like City of Rocklin, need to transition their existing services from the expiring CALNET 3 contracts to the new CALNET NextGen contracts by June 2023.

The City, by utilizing the cooperative agreement, can leverage the CALNET NextGen rate, which is approximately 20-30% lower than the current standard rate for phone and data line services. The City's competitive bid process would unlikely to realize the cost savings the CALNET NextGen contract offers. Therefore, staff recommends participating in the cooperative agreement by authorizing the City Manager to sign and submit the CALNET NextGen Non-State Entity Service Policy & Agreement (NESPA) and CALNET NextGen Authorization to Order (ATO) forms attached to this report on behalf of the City, consistent with Rocklin Municipal Code (RMC) Chapter 3.04.

Sections C and D of the RMC Chapter 3.04.090 authorizes the City to piggyback contracts and enter into cooperative purchase arrangements with other governmental entities if a government agency has solicited bids and awarded a contract for the goods and services which the City desires to obtain, and will require approval of the City Council, for the contract amount being greater than $50,000.

Fiscal Impact:
Sufficient funds are available in the Fiscal Year 2022/23 Operating Budget in the General Fund (100) to cover the costs of the agreement. Future costs will be accounted for in subsequent operating budgets.

ATTACHMENTS:
1. Resolution
2. Resolution Exhibit A - Agreement

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
APPROVING THE CALNET NEXTGEN CONTRACT FOR TELECOMMUNICATIONS SERVICES AND
AUTHORIZING THE CITY MANGER TO EXECUTE AN AGREEMENT

WHEREAS, the City of Rocklin has been piggybacking on the California Department of Technology (CDT) Cooperative Agreements for telelcommunications services that competitively bid and publicly solicited contracts from vendors who supply goods and services; and

WHEREAS, CDT has negotiated new contracts that replace the existing CALNET 3 agreements, referred to as CALNET NextGen, and improve nearly all CALNET 3 services while adding new offerings to meet the state's modern needs along with the City's while providing a 20%-30% lower rate than the standard costs; and

WHEREAS, staff have determined that it is in the City's best interest to enter into a cooperative agreement with AT&T for the CALNET NextGen contracts that will charge the tariff rate which is 20%- 30% less than the current standard rate; and

WHEREAS, the Rocklin Municipal Code Section 3.04.090, C-Cooperative purchasing/procurement and D-Piggyback purchasing allow for the City to enter into cooperative purchasing and/or Piggyback purchasing without separate competitive bidding by the City; and

WHEREAS, no additional fiscal impact has been identified as the amount for the requested services is included in the original budget as approved by the City Council of the City of Rocklin.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin approves the CALNET NextGen contract for telecommunication services with AT&T and authorizes the City Manager to execute the Agreement in substantially the form attached hereto as Exhibit "A".

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A

DEPARTMENT OF TECHNOLOGY
NON-STATE ENTITY SERVICE POLICY AND AGREEMENT (NESPA)

The California Network and Telecommunications Program (CALNET) service offerings are primarily intended to meet State entity requirements. However, CALNET services may be purchased by qualified non-State entities. To use the CALNET Next Generation of contracts, non-State entities are required to self-certify that their organization qualifies for one of the CALNET eligibility criteria below.

Authorized Entity Criteria

Please select one of the following:

- [x] Local government entities are defined as "any city, county, city and county, district or other local governmental body or corporation empowered to expend public funds for the acquisition of goods, information technology or services" per Public Contract Code Chapter 2, Paragraph 10298 (a) (b). While the State makes this contract available to local governmental entities, each local governmental entity should determine whether this contract is consistent with its procurement policies and regulations.
- [ ] 100% tax supported governmental entity
- [ ] A governmental entity with a Joint Powers Agreement (JPA)
- [ ] Federally recognized Indian Tribe

NON-STATE ENTITY INFORMATION

REQUESTING NON-STATE ENTITY ORGANIZATION NAME
City of Rocklin

NAME AND TITLE OF AUTHORIZED REPRESENTATIVE SIGNING ON BEHALF OF NON-STATE ENTITY
Aly Zimmerman, City Manager

STREET ADDRESS
3970 Rocklin Road

CITY, STATE, ZIP CODE
Rocklin, CA

CONTACT PHONE NUMBER
(916) 625-5583

EMAIL ADDRESS
Alyz@rocklin.ca.us

I certify under penalty of perjury the requesting entity meets the NESPA "Authorized Entity Criteria" selected above.

NON-STATE ENTITY SIGNATURE DATE

CDT/CALNET PROGRAM

CUSTOMER CODE:

CALNET
AUTHORIZATION TO ORDER (ATO)

AT&T Corp and the California Department of Technology (CDT) have entered into a multi-year statewide contract for CALNET Legacy Telecommunications Voice and Data Services, C4A1LEG18, Categories 15, 16, 17, and 18. The CDT may, at its sole option elect to extend the Contract Term for up to the number of years as indicated in the Contract.

Category 15, Dedicated Transport:

- Contract award: 01/03/2019
- Contract end: 06/30/2026
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category 15.2.2, Carrier DSO Service:

- Contract award: 01/03/2019
- Contract end: 06/30/2024

Category 16, Long Distance Calling:

- Contract award: 01/03/2019
- Contract end: 06/30/2024
- Number of optional extensions and extension duration(s): 5 extensions, 1 year per extension

Category 17, Toll Free Voice Calling:

- Contract award: 01/03/2019
- Contract end: 06/30/2024
- Number of optional extensions and extension duration(s): 5 extensions, 1 year per extension

Category 18, Legacy Telecommunications:

- Contract award: 01/03/2019
- Contract end: 06/30/2026
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category 18.3.1.1, Analog Service:

- Contract award: 01/03/2019
- Contract end: 06/30/2024

Pursuant to the Contract C4-LEG-12-10-TS-01, which is incorporated herein by reference, any eligible on-state public entity (herein "Non-State Entity"), as authorized in Government Code section 11541 is allowed to order services and products (collectively "Services") solely as set forth in the Contract.

To establish CALNET eligibility, the Non-State Entity will be required to have a Non-State Entity Service Policy and Agreement (NESPA) on file with the CDT CALNET Program, prior to submitting the Authorization to Order (ATO).

Once the Non-State Entity and the Contractor approve and sign the ATO, the Contractor shall deliver the ATO to the CALNET Program for review and approval. No Service(s) shall be ordered by the Non-State Entity or provided by the Contractor until both parties and the CALNET Program execute the ATO.

By executing the ATO, the
[Non-State Entity] may subscribe to the Service(s), and the Contractor agrees to provide the Service(s), in accordance with the terms and conditions of the ATO and the Contract. Service catalogs, rates and Contract terms are available at the CALNET Program website.

The ATO, and any resulting order for Service(s), is a contract between the Non-State Entity and the Contractor. As such, the CDT will not facilitate, intervene, advocate or escalate any disputes between the Non-State Entity and the Contractor or represent the Non-State Entity in resolution of litigated disputes between the parties.

The ATO shall not exceed the term of the Contract and shall remain in effect for the duration of the contract unless:

- The CDT, at its discretion, revokes the approved ATO; or
- The Non-State Entity terminates the ATO, for specific Services(s) in part or in total, prior to termination of the Contract, by providing the Contractor with a 30 calendar days' prior written notice of cancellation.

The Non-State Entity, upon execution of the ATO, certifies that:

- The Non-State Entity understands that the Contractor and the CDT may, from time to time and without the Non-State Entity's consent, amend the terms and conditions of the Contract thereby affecting the terms of service the Non-State Entity receives from the Contractor.
- The Non-State Entity has reviewed the terms and conditions, including the rates and charges, of the Contract.
- The Non-State Entity understands and agrees that the Contractor invoices for Service(s) subscribed to under the Contract are subject to review and/or audit by the CDT, pursuant to provisions of the Contract.

- All Service(s) ordered under the ATO will be submitted to the Contractor using an authorized purchasing document, signed by the Non-State Entity's authorized signatory. Any additions, changes to, or deletions of Service(s) shall be accomplished by submission of a purchasing document to the Contractor, noting the changes.

- The Non-State Entity understands and agrees that the Contractor shall provide the CALNET Program all data, invoices, reports and access to trouble tickets for Service(s) subscribed to under the Contract, pursuant to provisions of the Contract. Upon execution of the ATO, the Non-State Entity authorizes the CALNET Contractor to release the Non-State Entity's Customer Proprietary Network Information (CPNI) to the CALNET Program for purposes of administering the Contract.

- The Non-State Entity understands that, the Contractor shall bill the Non-State Entity, and the Non-State Entity shall pay the Contractor according to the terms and conditions, and rates set forth in the Contract for such Service(s).

E-Rate Customers Only – Complete if applying for E-Rate funding:

[Enter Non-State Entity name] intends to seek Universal Service Funding (E-Rate) for eligible Service(s) provided under the ATO. The Service(s) ordered under the ATO shall commence [MM/DD/YYYY] ("Service Date"). Upon the Service Date, the ATO supersedes and replaces any applicable servicing arrangements between the Contractor and the Non-State Agency for the Service(s) ordered under the ATO.

Contact Information

Any notice or demand given under this Contract to the Contractor or the Non-State Entity shall be in writing and addressed to the following:

Non-State Entity

Non-State Entity Name

Authorized Agent

Contact Number

Title of Authorized Agent

Email

Address

City, State, Zip Code

Contractor

AT&T Corp

Authorized Agent: Keith Nagel

Attention: Program Management Office

Address 2700 Watt Avenue
Room 1213
Sacramento, CA 95821

Contact Number: 916-384-6175

Email: KN7135@att.com

IN WITNESS WHEREOF, the parties below hereto have caused the execution of this ATO. The effective date of this ATO, between the Non-State Entity, the Contractor and CDT/CALNET shall be pursuant to the CDT/CALNET "**DATE EXECUTED**" shown below.

Non-State Entity

Authorized Agent Name

Title of Authorized Agent

Signature

Date Signed

Contractor

Authorized Agent Name

Title of Authorized Agent

Signature

Date Signed

Approved By: State of California Department of Technology

Authorized Agent Name

Title of Authorized Agent

Signature

Date Executed

CALNET
AUTHORIZATION TO ORDER (ATO)

AT&T Corp. and the California Department of Technology (CDT) have entered into a five-year statewide contract for CALNET IFB C4DNCS19, Data Networks and Communications Services, Categories 20, 21, 22, 23, 24, 25, 27, 28, 29 and 30. The CDT may, at its sole option elect to extend the Contract Term for up to the number of years as indicated in the Contract.

Category: 20, MPLS Data Network Services

- Contract award: 04/15/2020
- Contract end: 06/30/2025
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category: 21, Standalone VoIP Services

- Contract award: 04/15/2020
- Contract end: 06/30/2025
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category: 22, Cloud Hosted VoIP Services

- Contract award: 04/15/2020
- Contract end: 06/30/2025
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category: 23, Metropolitan Area Network (MAN) Ethernet Services and Features

- Contract award: 04/15/2020
- Contract end: 06/30/2025
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category: 24, Flat Rate Internet Services

- Contract award: 04/15/2020
- Contract end: 06/30/2025
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category: 25, Sustained Bandwidth Internet Services and Features

- Contract award: 04/15/2020
- Contract end: 06/30/2025
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category: 27, Standard Contact Center Services

- Contract award: 04/15/2020
- Contract end: 06/30/2025
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category: 28, Custom Contact Center Services

- Contract award: 04/15/2020
- Contract end: 06/30/2025
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category: 29, Converged VoIP Services

- Contract award: 04/15/2020
- Contract end: 06/30/2025
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Category: 30, Broadband with Internet Services

- Contract award: 04/15/2020
- Contract end: 06/30/2025
- Number of optional extensions and extension duration(s): 3 extensions, 1 year per extension

Pursuant to the Contract C4-DNCS-19-001-40, which is incorporated herein by reference, any eligible non-state public entity (herein "Non-State Entity"), as authorized in Government Code section 11541 is allowed to order services and products (collectively "Services") solely as set forth in the Contract.

To establish CALNET eligibility, the Non-State Entity shall be required to have a Non-State Entity Service Policy and Agreement (NESPA) on file with the CDT CALNET Program, prior to submitting this Authorization to Order (ATO).

Once the Non-State Entity and the Contractor approve and sign the ATO, the Contractor shall deliver this ATO to the CALNET Program for review and approval. No Service(s) shall be ordered by the Non-State Entity or provided by the Contractor until both parties and the CALNET Program execute this ATO.

By executing this ATO, the
[Non-State Entity] may subscribe to the Service(s), and the Contractor agrees to provide the Service(s), in accordance with the terms and conditions of this ATO and the Contract. Service catalogs, rates and Contract terms are available at the CALNET Program website.

The ATO, and any resulting order for Service(s), is a contract between the Non-State Entity and the Contractor. As such, the CDT will not facilitate, intervene, advocate or escalate any disputes between the Non-State Entity and the Contractor or represent the Non-State Entity in resolution of litigated disputes between the parties.

The ATO shall not exceed the term of the Contract and shall remain in effect for the duration of the contract unless:

- The CDT, at its discretion, revokes the approved ATO; or
- The Non-State Entity terminates the ATO, for specific Service(s) in part or in total, prior to termination of the Contract, by providing the Contractor with a 30 calendar days' prior written notice of cancellation.

The Non-State Entity, upon execution of the ATO, certifies that:

- The Non-State Entity understands that the Contractor and the CDT may, from time to time and without the Non-State Entity's consent, amend the terms and conditions of the Contract thereby affecting the terms of the service the Non-State Entity receives from the Contractor.
- The Non-State Entity has reviewed the terms and conditions, including the rates and charges, of the Contract.
- The Non-State Entity understands and agrees that the Contractor invoices for Service(s) subscribed to under the Contract are subject to review and/or audit by the CDT, pursuant to provisions of the Contract.
- All Service(s) ordered under the ATO will be submitted to the Contractor using an authorized purchasing document, signed by the Non-State Entity's authorized signatory. Any additions, changes to, or deletions of Service(s) shall be accomplished by submission of a purchasing document to the Contractor, noting the changes.

- The Non-State Entity understands and agrees that the Contractor shall provide the CALNET Program all data, invoices, reports and access to trouble tickets for Service(s) subscribed to under the Contract, pursuant to provisions of the Contract. Upon execution of the ATO, the Non-State Entity authorizes the CALNET Contractor to release the Non-State Entity's Customer Proprietary Network Information (CPNI) to the CALNET Program for purposes of administering the Contract.
- The Non-State Entity understands that, the Contractor shall bill the Non-State Entity; and the Non-State Entity shall pay the Contractor according to the terms and conditions, and rates set forth in the Contract for such Service(s).

E-Rate Customers Only – Complete if applying for E-Rate funding:

(Enter Non-State Entity name) intends to seek Universal Service Funding (E-Rate) for eligible Service(s) provided under the ATO. The Service(s) ordered under the ATO shall commence MM/DD/YYYY ("Service Date"). Upon the Service Date, the ATO supersedes and replaces any applicable servicing arrangements between the Contractor and the Non-State Agency for the Service(s) ordered under the ATO.

Contact Information

Any notice or demand given under this Contract to the Contractor or the Non-State Entity shall be in writing and addressed to the following:

Non-State Entity

Non-State Entity Name

Authorized Agent

Title of Authorized Agent

Address

Contact Number

City, State, Zip Code

Email

Contractor

AT&T Corporation

Authorized Agent : Keith Nagel

Attention: Program Management Office

Address: 2700 Watt Avenue
Room 1213
Sacramento, CA 95821

Contact Number:
916-384-6175
Email:
KN7135@att.com

IN WITNESS WHEREOF, the parties below hereto have caused the execution of this ATO. The effective date of this ATO, between the Non-State Entity, the Contractor and CDT/CALNET shall be pursuant to the CDT/CALNET "**DATE EXECUTED**" shown below.

Non-State Entity

Authorized Agent Name

Title of Authorized Agent

Signature

Date Signed

Contractor

Authorized Agent Name

Title of Authorized Agent

Signature

Date Signed

Approved By: State of California, Department of Technology

Authorized Agent Name
CALNET Program
Title of Authorized Agent
Analyst
Signature

Date Executed

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Setting a Public Hearing on Delinquent Nuisance Abatement Charges (Weed Abatement)

Date: May 9, 2023

Submitted By: Angela Doyle, Senior Accountant

Department: Administrative Services - Finance

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Setting a Public Hearing on Delinquent Nuisance Abatement Charges (Weed Abatement).

Background:
Each year, the City notifies owners of vacant lots that they must maintain their property to minimize fire risk. If the properties are not abated by the land owners, the City has the right under state law to abate the properties, and seek reimbursement for the abatement costs.

Rocklin Municipal Code section 8.12.010 provides for weed and rubbish abatement in accordance with state statutory provisions. California Government Code section 39501 provides: “The legislative body may compel the owner, lessee, or occupant of buildings, grounds, or lots to remove dirt, rubbish, weeds, and rank growths from buildings or grounds and adjacent sidewalks. If the person compelled by the legislative body defaults, after notice prescribed by the legislative body, it may authorize the removal or destruction of the dirt, rubbish, weeds, and rank growths at that person's expense by a city officer. The legislative body may prescribe a procedure for the removal or destruction and make the expense a lien upon the buildings or grounds.”

California Government Code sections 39502 through 39588 set forth the requirements for notice, including a public hearing for this weed abatement process.

On April 12, 2022, the City Council adopted Resolution No. 2022-67, which identified vacant parcels requiring abatement, ordered that such abatement be completed by June 1, 2022, and set a public hearing to hear any protests. This resolution also provided that the City may perform abatement on properties that were not properly abated by the owners, and bill the responsible land owner for any costs incurred by the City.

In accordance with California Government Code section 39567.1, owners of affected vacant parcels were timely notified by mail of the abatement requirements, and the public hearing. On

April 26, 2022, the City Council held a public hearing and ordered the nuisances be abated.

The City's contractor performed abatement on properties that had not been abated by the owners and billed the City for its costs during the period of June-August 2022. The City then billed the property owners for the costs of abatement and sent second notices on those properties that did not respond to the first invoice.

Government Code sections 39574 and 39576 require the City Council to conduct a public hearing, to receive and consider a report on the costs of abatement, as well as any objections of the property owners liable to be assessed for the abatement. A copy of the report is attached to the resolution.

Therefore, a public hearing is set for June 13, 2023, at 6 p.m., or as soon thereafter as the matter may be heard by the City Council of the City of Rocklin at the Council Chambers located at 3970 Rocklin Road, Rocklin, for the receipt, consideration, and confirmation of the itemized written report showing the properties delinquent in paying the costs of nuisance abatement performed between June and August 2022. At the public hearing, objections of property owners liable to be assessed for the abatement shall be heard.

Fiscal Impact:
The City charges a fee of 15% of delinquent charges for parcels that require the processing of a property tax lien. The exact amount of the City fees associated with City collection efforts will be known for the public hearing on June 13, 2023.

ATTACHMENTS:
1. Resolution

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
SETTING A PUBLIC HEARING ON DELINQUENT NUISANCE ABATEMENT CHARGES
(WEED ABATEMENT)

WHEREAS, pursuant to Rocklin Municipal Code section 8.12.010 and California Government Code section 39501 *et seq.*, the City may abate nuisances and consider the imposition of said abatement costs, subject to notice and public hearing requirements; and

WHEREAS, on April 12, 2022, Council adopted Resolution No. 2022-67, which identified vacant parcels in the City of Rocklin that required abatement, ordered abatement to be completed by June 1, 2022, and set a public hearing for protests; and

WHEREAS, the City provided written notice to the owners of affected vacant parcels of the abatement requirements and the public hearing for protests; on April 26, 2022 the public hearing was held, and thereafter, Council adopted Resolution No. 2022-80, which ordered the nuisances abated; and

WHEREAS, the City of Rocklin contracted for weed abatement services with Northern State Contractors, the lowest responsible bidder, and thereafter, Northern State Contractors performed abatement on the properties, and billed the City for its services between June and August 2022; and

WHEREAS, the City sent billing statements to the property owners for the costs of the nuisance abatement of their properties, and a second notice to the owners that did not respond to the first statement; and

WHEREAS, an itemized written report of parcels delinquent in paying the nuisance abatement fees is attached hereto as Exhibit "A."

NOW THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The foregoing recitals are true and correct.

Section 2. A public hearing is set for June 13, 2023, at 6 p.m., or as soon thereafter as the matter may be heard by the City Council of the City of Rocklin at the Council Chambers located at 3970 Rocklin Road, Rocklin, for the receipt, consideration, and confirmation of the itemized written report showing the properties delinquent in paying the costs of nuisance abatement performed between June and August 2022. At the public hearing, objections of property owners liable to be assessed for the abatement shall be heard.

Section 3. Notice of the hearing shall be mailed to each affected owner of record as shown on the report by certified, return receipt mail to the address of the owners as shown on the last equalized assessment roll of the County of Placer or as otherwise known to the City of Rocklin at least ten (10) days prior to the hearing. This resolution shall also be posted near the Council Chambers at least five (5) days prior to the public hearing. Notice of the Public Hearing shall also be published once in the Placer Herald, a newspaper of general circulation circulated in the City of Rocklin, not less than ten (10) days before the hearing.

Section 4. The City Council may, after such hearing, and after considering any objections or protests of property owners liable to be assessed for the abatement, modify and/or confirm the report, and establish assessment liens for the delinquent abatement costs, plus City and County lien processing fees, on the secured property tax roll.

PASSED AND ADOPTED this 9th, day of May, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

DELINQUENT WEED ABATEMENT CHARGES

PARCEL APN	PAST DUE AMOUNT	CITY ADMIN FEE	COUNTY COLLECTION FEE	TOTAL LIEN AMOUNT
364-090-024-000	756.50	113.48	8.70	878.68
045-031-014-000	959.00	143.85	11.03	1,113.88
045-053-039-000	554.00	83.10	6.37	643.47
371-040-024-000	1,066.50	159.98	12.26	1,238.74
010-480-001-000	426.27	63.94	4.90	495.11
010-480-002-000	426.27	63.94	4.90	495.11
010-480-003-000	426.27	63.94	4.90	495.11
010-480-004-000	426.27	63.94	4.90	495.11
010-480-005-000	426.27	63.94	4.90	495.11
010-480-006-000	201.27	30.19	2.31	233.77
010-480-012-000	251.27	37.69	2.89	291.85
010-480-019-000	201.27	30.19	2.31	233.77
010-480-016-000	113.77	17.07	1.31	132.15
010-480-020-000	151.27	22.69	1.74	175.70
010-480-024-000	238.77	35.82	2.75	277.34
010-480-025-000	213.77	32.07	2.46	248.30
010-480-013-000	138.77	20.82	1.60	161.19
010-230-008-000	841.50	126.23	9.68	977.41
045-031-001-000	299.05	44.86	3.44	347.35
045-031-003-000	299.05	44.86	3.44	347.35
045-031-004-000	299.05	44.86	3.44	347.35
045-031-047-000	299.05	44.86	3.44	347.35
045-031-005-510	230.80	34.62	2.65	268.07
010-010-016-000	277.50	41.63	3.19	322.32
010-010-017-000	277.50	41.63	3.19	322.32
010-010-029-000	277.50	41.63	3.19	322.32
010-040-040-000	277.50	41.63	3.19	322.32
491-010-004-000	124.89	18.73	1.44	145.06
491-010-005-000	138.89	20.83	1.60	161.32
491-010-006-000	243.89	36.58	2.80	283.27
491-010-007-000	236.89	35.53	2.72	275.14

491-010-008-000	138.89	20.83	1.60	161.32
491-010-009-000	124.89	18.73	1.44	145.06
491-010-010-000	236.89	35.53	2.72	275.14
491-010-003-000	236.89	35.53	2.72	275.14
491-010-013-000	3,197.89	479.68	36.78	3,714.35
010-024-002-000	788.00	118.20	9.06	915.26
010-480-011-000	466.50	69.98	5.36	541.84
030-140-024-000	466.50	69.98	5.36	541.84
TOTAL	16,757.00	2,513.59	192.68	19,463.27

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Setting a Public Hearing on Delinquent Refuse Collection Charges

Date: May 9, 2023

Submitted By: Angela Doyle, Senior Accountant

Department: Administrative Services - Finance

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Setting a Public Hearing on Delinquent Refuse Collection Charges.

Background:
Each year, pursuant to the terms of the franchise agreement with Recology Auburn Placer (Recology), the City assists Recology with the collection of delinquent solid waste collection fees within the City of Rocklin. If the fees are not paid, the City has the right, under state law, to administer special assessment liens against the properties through the County property tax bills. To begin this process, the City must first schedule a public hearing on the report of delinquent fees, and notify affected landowners of the hearing.

Pursuant to Rocklin Municipal Code section 13.08.110(C) and California Government Code sections 25831 and 38790.1, refuse collection fees that remain unpaid for a period of 60 or more days after the date upon which they were billed may constitute a special assessment against the respective parcels of land and are a lien on the property.

Pursuant to the City's franchise agreement with Recology, the City shall assist with the collection of unpaid bills for services provided to customers on accounts that are more than 180 days delinquent. The City has typically assisted Recology with the collection of unpaid bills by asking the County to add these bills as levies on the property tax rolls as allowed by State law. If the City intends to impose the special assessment liens on parcels with unpaid refuse collection charges, state law requires the City first hold a public hearing and notify affected landowners.

Therefore, a public hearing is scheduled for June 13, 2023, at the City of Rocklin City Hall, located at 3970 Rocklin Road, Rocklin, on or after the hour of 6:00 PM. At the conclusion of the hearing, and after considering any objections or protests of landowners liable to be liened for delinquent fees, adopt a resolution establishing a lien on the property tax roll on those properties for which services were rendered to the owner thereof for collection of refuse collection charges.

Fiscal Impact:
The City charges a fee of 15% of delinquent charges for parcels that require the processing of a property tax lien. The exact amount of the City fees associated with City collection efforts will be known for the public hearing on June 13, 2023.

ATTACHMENTS:
1. Resolution

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
SETTING A PUBLIC HEARING ON
DELINQUENT REFUSE COLLECTION CHARGES

WHEREAS, the City of Rocklin has a franchise agreement with Recology Auburn Placer for the collection of solid waste within the City of Rocklin; and

WHEREAS, under the terms of the franchise agreement, the City may at the request of Recology Auburn Placer, assist in collecting delinquent garbage bills in accordance with Government Code sections 25831 and 38790.1; and

WHEREAS, Recology Auburn Placer has requested the City to conduct proceedings under Government Code sections 25831 and 38790.1 to place special assessment liens against those properties' delinquent in their solid waste collection fees.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The recitals set forth above are true and correct.

Section 2. The City Council hereby sets a public hearing to be held on June 13, 2023, at the City of Rocklin City Hall, located at 3970 Rocklin Road, Rocklin, on or after the hour of 6:00 PM. At the hearing, the City Council shall consider a report of Recology Auburn Placer collection charges for parcels located in the City of Rocklin that have remained unpaid for at least 180 days, said report to include identification of the property and an itemized record of the delinquent charge. At the hearing, Council will hear protests, modify and/or confirm the report, and consider the imposition of assessment liens on properties for delinquent solid waste collection charges.

Section 3. Notice of the hearing shall be mailed to each affected owner of record as shown on the report by certified, return receipt mail to the address of the owners as shown on the last equalized assessment roll of the County of Placer or as otherwise known to the City at least ten (10) days prior to the hearing.

Section 4. The City Council may, after the hearing, and after considering any objections or protests of landowners liable to be liened for delinquent fees, adopt a resolution establishing a lien on the property tax roll on those properties for which services were rendered to the owner thereof for collection of refuse plus City and County lien processing fees.

Section 5. Notice of the Public Hearing shall be posted in one public place within the City and published once in the Placer Herald, a newspaper of general circulation circulated in the City of Rocklin, not less than ten (10) days before the hearing.

PASSED AND ADOPTED this 9th, day of May, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Agreement with R.E.Y. Engineers, Inc. for Pavement Rehabilitation Construction Management

Date: May 9, 2023

Submitted By: Justin Nartker, Director of Public Services
Rick Lawrence, Public Services Manager

Department: Public Services

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Awarding the Contract for Construction Management Services for the Pavement Rehabilitation Project and Authorizing the City Manager to Execute an Agreement with R.E.Y. Engineers, Inc.

Background:
The Pavement Rehabilitation Project, also known as Citywide Digouts, consists of arterial and collector road repairs and maintenance in order to preserve the roadways, extend the life of the roadway and prevent major rehabilitation in the coming years. These projects are funded by Federal funds from the Regional Surface Transportation Program (RSTP), administered through the State.

On February 9, 2021, the City Council adopted Resolution No. 2021-22 awarding the design and construction engineering services to R.E.Y. Engineers, Inc. (R.E.Y.). Over the last two years R.E.Y. has worked on the design and engineering for this project, which is now ready to move into the construction phase. This phase will begin by obtaining construction authorization from Caltrans and putting it out for formal bid.

The original intent was for City staff to provide the construction management of the project; however, current staffing vacancies have resulted in the need to seek services from an engineering firm with Caltrans/Federal project experience to provide construction management services.

Several options for construction management were reviewed by staff and it was determined that utilizing their existing design engineer would be the most viable option, on a sole source basis. This is from both a cost and time perspective due to R.E.Y.'s familiarity and knowledge of the project, reduction of the need to duplicate tasks already completed, and the ability to seamlessly incorporate construction management into the existing services they will be performing.

This project is planned for Fiscal Year (FY) 2023/24 in the 2023-2027 Capital Improvement Plan, with anticipation of the construction management preparation to begin in the current fiscal year. The contract with R.E.Y. is for an amount not to exceed $189,000 with approximately $20,000 to $39,000 be spent in FY 2022/23, and the remaining amount to be spent in FY 2023/24. While the design and construction of this project is eligible for RSTP funding, this portion, construction management, is not an eligible expense and will be funded using the SB325 Sales Tax Fund (Fund 210).

Fiscal Impact:

The contract cost of $189,000 will be funded using SB325 Sales Tax funds (210). It is anticipated that approximately $20,000 to $39,000 may be needed in FY 2022/23 and a budget appropriation may be necessary.

ATTACHMENTS:

1. Resolution
2. Resolution Exhibit A - Agreement

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN AWARDING THE CONTRACT FOR CONSTRUCTION MANAGEMENT SERVICES FOR THE PAVEMENT REHABILITATION PROJECT AND AUTHORIZING THE CITY MANAGER TO EXECUTE AN AGREEMENT WITH R. E. Y. ENGINEERS, INC.

WHEREAS, the Pavement Rehabilitation Project, also known as Citywide Digouts, consists of arterial and collector road repairs and maintenance in order to preserve the roadways and extend the life of the roadways; and

WHEREAS, on February 9, 2021, the City Council approved Resolution No. 2021-22 awarding the design and construction engineering services to R.E.Y. Engineers, Inc. and the City's Associate Civil Engineer, providing construction management of the project however; and

WHEREAS, currently City's Associate Civil Engineer is vacant and has not been filled resulting in the need to seek the services from an engineering firm, with Caltrans/federal experience, to provide construction management throughout the construction phase of this project; and

WHEREAS, several options for construction management were reviewed and it was concluded that R.E.Y. Engineers, Inc. would be the most viable option, on a sole source basis, from both a cost and time perspective due to project familiarity and knowledge, reduction of the need to duplicate tasks already completed, and the ability to seamlessly incorporate construction management into the existing services they will be performing; and

WHEREAS, a budget appropriation may be necessary to cover the construction management preparation cost, in an approximate amount of $39,000, through June 30, 2023 in the SB325 Sales Tax Fund (210). The remainder amount is included in the proposed Fiscal Year (FY) 2023/24 Capital Improvement Plan budget for City Council consideration, and will be submitted to the City Council for approval on June 27, 2023.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin approves and authorizes the City Manager to execute an Agreement in substantially the form attached hereto as Exhibit "A" for the Pavement Rehabilitation Project with R.E.Y. Engineers, Inc.

PASSED AND ADOPTED this 9th day of May, 2023 by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:

ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

CONTRACT FOR SERVICES

THIS CONTRACT is made on _____, by and between the CITY OF ROCKLIN a municipal corporation. ("City"), and R.E.Y. Engineers, Inc. "Contractor," who mutually agree as follows:

1. SCOPE OF SERVICES

Subject to the terms and conditions set forth in this agreement, Contractor shall provide to the City the services described in the Scope of Work, Exhibit A. Contractor shall provide the services at the time, place and in the manner specified in Exhibits A and/or B. Contractor shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of the services: (a) Contractor notifies the City and City agrees the services are outside the scope of Exhibit A; (b) Contractor estimates the additional compensation required for these additional services; and (c) City after notice, approves in writing a supplemental agreement specifying the additional services and the amount of compensation therefore. City shall have no obligation whatsoever under this agreement or any supplemental agreement, unless and until the agreement or supplemental agreement is approved by the City Manager, the City Manager's authorized designee, or by the Rocklin City Council, as required by the Rocklin Municipal Code or other local law or policy.

2. COMPENSATION

A. The City shall pay Contractor for the services rendered pursuant to this agreement at the times and in the manner set forth in the Scope of Services, Exhibit A, the Schedule for Performance, Exhibit B, and in accordance with the Schedule of Fees in Exhibit C, but in no event shall the total compensation exceed the **total sum of One Hundred and Eighty- Nine Thousand Dollars ($189,000).** The payments specified in Exhibits A and/or B shall be the only payments to be made to Contractor for the services rendered pursuant to this Agreement unless pursuant to Section 1, above, City approves additional compensation for additional services.

B. Contractor shall furnish City with invoices for all expenses as well as for all materials authorized by this Contract. The invoices shall be submitted with the monthly billings. Reimbursable expenses shall be limited to actual expenditures of Contractor for expenses that are necessary for the proper completion of the services and shall only be payable if specifically authorized in advance by City.

C. Contractor shall submit all billings/invoices for services to City in the manner specified in Exhibit B. Contractor's fees shall be as specified in the Scope of Work, Exhibit A or the Schedule of Fees as set forth in Exhibit C. All invoices submitted by Contractor shall contain the following information:

(1) Job/project name or description;
(2) City's current purchase order and/or work order number (if applicable);
(3) Contractor's invoice number;
(4) Date of invoice issuance;
(5) Description of services billed under invoice, including the description of tasks performed and the corresponding rate charged for the completion of that task;
(6) Amount of invoice, itemizing all authorized reimbursable expenses; and
(7) Total billed to date under agreement.

D. City shall make payment to Contractor net 30 days from receipt of an acceptable invoice. If Contractor's performance is not in conformity with the Scope of Work, Exhibit A, or the Schedule of Performance, Exhibit B, or the provisions set forth above, payments may be delayed or denied, unless the Contractor's failure to perform is a documented result of the City's failure to conform with the Schedule of Performance, or if the Schedule of Performance is extended pursuant to Section 4. City shall not be responsible for delays in payment to Contractor resulting from Contractor's failure to comply with the invoice format described above, or as set forth in the Schedule of Performance.

E. During performance of the agreement and for a period of three (3) years after completing all services, Contractor shall maintain all accounting and financial records related to this Agreement, including but not limited to records of Contractor's costs for all services performed under this agreement and records of Contractor's reimbursable expenses, in accordance with generally accepted accounting practices, and shall keep and make the records available for inspection and audit by representatives of the City upon reasonable written notice.

F. Contractor shall pay when and as due, any and all taxes incurred as a result of Contractor's compensation hereunder, including estimated taxes, and shall provide City with proof of payment upon request. Contractor hereby agrees to indemnify City for any claims, losses, costs, fees, liabilities, damages or injuries suffered by City arising out of Contractor's breach of this section.

3. FACILITIES AND EQUIPMENT

Contractor shall at its sole cost and expense, furnish all facilities and equipment that may be required for Contractor to perform services pursuant to this agreement. City shall furnish to Contractor, only the facilities and equipment listed in Exhibit A (if any) according to any terms and conditions set forth in Exhibit A.

4. TERM OF CONTRACT

A. This agreement shall become effective on the date that it is approved by both parties, set forth on the first page of the agreement and shall continue in effect until June 30, 2024 unless sooner terminated as provided herein.

B. The services of Contractor are to commence upon execution of this Contract and shall be undertaken and completed in accordance with the Schedule of Performance attached hereto and incorporated herein by this reference as **Exhibit B.**

5. SUSPENSION/TERMINATION:

A. City shall have the right at any time to temporarily suspend Contractor's performance hereunder, in whole or in part, by giving written notice of suspension to Contractor. If City gives such notice, Contractor shall immediately suspend its activities under this agreement.

B. This Contract may be terminated by either party, provided that the other party is given not less than **thirty (30)** calendar days' written notice (delivered by certified mail, return receipt requested) of intent to terminate. If the agreement is terminated by the City:

i. Contractor shall immediately cease rendering services pursuant to this agreement;
ii. Contractor shall, not later than five days after such notice of termination, deliver to City copies of all information prepared pursuant to this agreement;
iii. City shall pay Contractor the reasonable value of services rendered by Contractor prior to termination; provided however, City shall not in any manner be liable for lost profits that might have been made by Contractor had the agreement not been terminated or had Contractor completed the services required by this agreement. Contractor shall furnish to City such financial information as in the judgment of the City is necessary for City to determine the reasonable value of the services rendered by Contractor. The foregoing is cumulative and does not affect any right or remedy that city may have in law or equity.

C. Notwithstanding any provisions of this Contract, Contractor shall not be relieved of liability to the City for damages sustained by the City by virtue of any breach of this Contract by Contractor, and the City may withhold any payments due to Contractor until such time as the exact amount of damages, if any, due the City from Contractor is determined.

6. INDEPENDENT CONTRACTOR

Contractor enters into this Contract as an independent contractor and not as an employee or agent of the City. Contractor shall have no power or authority by this Contract to act on behalf of City in any capacity whatsoever as an agent, or to bind the City in any respect or to any obligations whatsoever. Nothing in this Contract shall be construed to be inconsistent with this relationship or status. All employees, agents, contractors or subcontractors hired or retained by the Contractor are employees, agents, contractors or subcontractors of the Contractor and not of the City. The City shall not be obligated in any way to pay any wage claims or other claims made against Contractor by any such employees, agents, contractors or subcontractors, or any other person resulting from performance of this agreement.

7. AMENDMENTS, CHANGES OR MODIFICATIONS

Amendments, changes or modifications in the terms of this Contract may be made at any time by mutual written agreement between the parties hereto and shall be signed by the persons authorized to bind the parties hereto.

8. EXTENSIONS OF TIME

Contractor may, for good cause, request extensions of time to perform the services required hereunder. Such extensions shall be authorized in advance by the City in writing and shall be incorporated in written amendments to this Contract or the attached Work Program in the manner provided in Section 7.

9. PROPERTY OF CITY

It is mutually agreed that all materials prepared by the Contractor under this Contract shall become the property of the City, and the Contractor shall have no property right therein whatsoever. Immediately upon termination, the City shall be entitled to, and the Contractor shall deliver to the City, all data, drawings, specifications, reports, estimates, summaries and other such materials as may have been prepared or accumulated to date by the Contractor in performing this Contract which is not Contractor's privileged information, as defined by law, or

Contractor's personnel information, along with all other property belonging exclusively to the City which is in the Contractor's possession.

10. COMPLIANCE WITH ALL LAWS:

Contractor shall keep itself fully informed of, shall observe and comply with, and shall cause any and all persons, firms or corporations employed by it or under its control to observe and comply with, all applicable laws, ordinances, and codes of federal, State and local governments, which in any manner affect those engaged or employed on the work described by this Contract or the materials used or which in any way affect the conduct of the work, and shall commit no trespass on any public or private property in performing any of the work authorized by this Contract.

For all leases and purchases of materials, equipment, supplies or other tangible personal property used to perform the agreement and shipped from outside California, the Contractor and any subcontractors leasing or purchasing such materials, equipment, supplies or other tangible personal property shall obtain a Use Tax Direct Payment Permit or Seller's Permit from the California State Board of Equalization, in accordance with the applicable SBE criteria and requirements. This provision applies in all instances unless prohibited by the funding source for the agreement.

11. WARRANTIES AND RESPONSIBILITIES - CONTRACTOR

A. Contractor agrees and represents that it is qualified to properly provide the services set forth in **Exhibit "A"** in a manner which is consistent with the generally accepted standards of Contractor's profession.

B. Contractor is duly licensed, qualified and experienced to perform the services set forth in the Scope of Services, Exhibit A. Contractor represents and warrants that Contractor has all licenses, permits, qualifications and approvals of whatsoever nature that are legally required for Contractor to practice its profession or provide any services under this agreement. Contractor represents and warrants that Contractor shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this agreement, any licenses, permits and approvals that are legally required for Contractor to practice its profession or provide such services. If Contractor is an out of state corporation, Contractor further warrants and represents that it possesses a valid certification of qualification to transact business in the State of California issued by the California Secretary of State.

C. Contractor shall perform all services required pursuant to this agreement in the manner and according to the standards currently observed by a competent practitioner of Contractor's profession in California. Contractor shall devote such time and effort to the performance of services pursuant to this agreement as is necessary for the satisfactory and timely performance of Contractor's obligations under this agreement. Neither party shall be considered in default of this agreement to the extent that party's performance is prevented or delayed by any cause that is beyond the reasonable control of that party.

D. All products of whatsoever nature that Contractor delivers to City pursuant to this Agreement shall be prepared in a professional manner and conform to the standards of quality normally observed by a person currently practicing in Contractor's profession, and shall be provided in accordance with any scope of services or schedule of performance specified in Exhibits A or B.

E. Contractor shall assign only competent personnel to perform services pursuant to this agreement. Contractor shall designate a project manager who at all times shall represent the Contractor before the City on all matters relating to this Contract. The project manager shall continue in such capacity unless and until he or she is removed at the request of the City, is no longer employed by Contractor, or is replaced with the written approval of the City, which approval shall not be unreasonably withheld. Contractor shall notify City in writing, of any other changes in Contractor's staff assigned to perform the services required under this agreement, prior to any such performance. In the event the City desires the removal of any person assigned by Contractor to perform services pursuant to this agreement, because the City in its sole discretion, determines that such person is not performing in accordance with the standards required herein, Contractor shall remove such person immediately upon receiving notice from the City of the desire for the removal of such person.

F. Contractor agrees and represents that the work performed under this Contract shall be in accordance with applicable federal, State and local law.

G. Contractor shall provide corrective services without charge to the City for services which fail to meet the above professional and legal standards and which are reported to Contractor in writing within sixty (60) days of discovery. Should Contractor fail or refuse to perform promptly its obligations, the City may render or undertake performance thereof and the Contractor agrees it has satisfied itself by its own investigation and research regarding the conditions affecting the work to be done and labor and materials needed, and that its decision to execute this agreement is based on such independent investigation and research. Contractor shall be liable for any expenses thereby incurred**.**

12. SUBCONTRACTING

None of the services covered by this Contract shall be subcontracted without the prior written consent of the City, which will not be unreasonably withheld. Contractor shall be as fully responsible to the City for the negligent acts and omissions of its contractors and subcontractors, and of persons either directly or indirectly employed by them, as it is for the negligent acts and omissions of persons directly employed by Contractor.

13. ASSIGNABILITY

Contractor shall not assign or transfer any interest in this Contract whether by assignment or novation, without the prior written consent of the City. The City has a strong interest in the qualifications and capability of the persons and entities that will fulfill the obligations imposed on Contractor under this agreement. However, claims for money due or to become due Contractor from the City under this Contract may be assigned to a financial institution, or to a trustee in bankruptcy, without such approval. Notice of any assignment or transfer whether voluntary or involuntary shall be furnished promptly to the City.

14. INTEREST IN CONTRACT

Contractor covenants that neither it, nor any of its employees, agents, contractors, or subcontractors has any interest, nor shall they acquire any interest, direct or indirect, in the subject of the Contract, nor any other interest which would conflict in any manner or degree with the performance of its services hereunder. Contractor shall make all disclosures required by the City's conflict of interest code in accordance with the category designated by the City, unless the City Manager determines in writing that Contractor's duties are more limited in scope than is

warranted by the category designated by the City code and that a narrower disclosure category should apply. Contractor also agrees to make disclosure in compliance with the City conflict of interest code if, at any time after the execution of this Contract, City determines and notifies Contractor in writing that Contractor's duties under this Contract warrant greater disclosure by Contractor than was originally contemplated. Contractor shall make disclosures in the time, place and manner set forth in the conflict of interest code and as directed by the City.

15. MATERIALS CONFIDENTIAL

To the extent permitted by law, all of the materials prepared or assembled by Contractor pursuant to performance of this Contract are confidential and Contractor agrees that they shall not be made available to any individual or organization without the prior written approval of the City, except by court order.

16. LIABILITY OF CONTRACTOR-NEGLIGENCE

Contractor shall be responsible for performing the work under this Contract in a manner which is consistent with the generally-accepted standards of the Contractor's profession and shall be liable for its own negligence and the negligent acts of its employees, agents, contractors and subcontractors. The City shall have no right of control over the manner in which the work is to be done but only as to its outcome, and shall not be charged with the responsibility of preventing risk to Contractor or its employees, agents, contractors or subcontractors.

17. INDEMNITY AND LITIGATION, COSTS

To the fullest extent permitted by law, Contractor shall hold harmless, defend at its own expense, and indemnify the City, its officers, officials, agents, employees and volunteers against any and all claims, damages, demands, liability, costs, losses and expenses, including without limitation court costs and reasonable attorneys' fees, arising from all acts or omissions of Contractor, or its officers, agents or employees in rendering services under this Agreement; excluding however, such liability, claims, losses, damages or expenses arising from the City's sole negligence, or willful acts. The provisions of this paragraph shall survive termination or suspension of this Contract. These Indemnification provisions are independent of and shall not in any way be limited by the Insurance requirements of this agreement. City approval of the Insurance required by this Agreement does not in any way relieve the Contractor from liability under this section.

18. CONTRACTOR TO PROVIDE INSURANCE

Contractor agrees to have and maintain the policies set forth below, against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, or employees. All policies, endorsements, certificates, and/or binders shall be subject to approval by the City as to form and content. A lapse in any required insurance coverage during this Agreement shall be a breach of this Agreement.

A. MINIMUM SCOPE AND LIMIT OF INSURANCE

1. ***Commercial General Liability (CGL)***: Insurance Services Office Form CG 00 01 covering CGL on an "occurrence" basis, including products and completed operations, property damage, bodily injury and personal & advertising injury with limits no less

than **$2,000,000** per occurrence. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (ISO CG 25 03 or 25 04) or the general aggregate limit shall be twice the required occurrence limit.

2. ***Automobile Liability***: Insurance Services Office Form Number CA 00 01 covering any auto (Code 1), or if Contractor has no owned autos, covering hired (Code 8) and non-owned autos (Code 9), with limit no less than **$1,000,000** per accident for bodily injury and property damage.

3. ***Workers' Compensation*** as required by the State of California, with Statutory Limits and Employer's Liability Insurance with limit of no less than **$1,000,000** per accident for bodily injury or disease. If Contractor has no employees, Contractor must notify City if an employee is hired, verify proof of coverage for any subcontractors, and agrees to hold City harmless and defend City from claims arising from failure to provide workers' compensation benefits.

4. ***Professional Liability (Errors and Omissions)***: Insurance appropriate to the Contractor's profession, with limit no less than **$2,000,000** per occurrence or claim, **$2,000,000** aggregate. Professional liability coverage shall extend for at least five years after completion of the Contractor's services under this Agreement.

If the Contractor maintains broader coverage and/or higher limits than the minimums shown above, the City requires and shall be entitled to the broader coverage and/or the higher limits maintained by the contractor. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the City.

B. **OTHER INSURANCE PROVISIONS**

The insurance policies are to contain, or be endorsed to contain, the following provisions:

1. ***Additional Insured Status***. The City, its officers, officials, employees, and volunteers are to be covered as additional insureds on the CGL policy with respect to liability arising out of work or operations performed by or on behalf of the Contractor including materials, parts, or equipment furnished in connection with such work or operations. General liability coverage can be provided in the form of an endorsement to the Contractor's insurance (at least as broad as ISO Form CG 20 10 11 85 or both CG 20 10, CG 20 26, CG 20 33, or CG 20 38; and CG 20 37 forms if later revisions used).

2. ***Primary Coverage***. For any claims related to this contract, the Contractor's insurance coverage shall be primary insurance coverage at least as broad as ISO CG 20 01 04 13 as respects the City, its officers, officials, employees, or volunteers. Any insurance or self-insurance maintained by the City, its officers, officials, employees or volunteers shall be excess of the Contractor's insurance and shall not contribute with it.

3. ***Notice of Cancellation***. Each insurance policy required above shall provide that coverage shall not be canceled, except with 30 days' notice to the City, with ten days' for notice of cancellation due to non-payment.

4. ***Waiver of Subrogation***. Contractor hereby grants to City a waiver of any right to subrogation which any insurer of said Contractor may acquire against the City by virtue of the payment of any loss under such insurance. Contractor agrees to obtain any endorsement

that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the City has received a waiver of subrogation endorsement from the insurer.

5. ***Self-Insured Retentions***. Self-insured retentions must be declared to and approved by the City. The City may require the Contractor to purchase coverage with a lower retention or provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or City.

6. ***Acceptability of Insurers***. Insurance is to be placed with insurers authorized to conduct business in the state with a current A.M. Best's rating of no less than A:VII, unless otherwise acceptable to the City.

7. ***Claims Made Policies***. If any of the required policies for professional liability insurance provide claims-made coverage:

i. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.

ii. Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of the contract of work.

iii. If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a Retroactive Date prior to the contract effective date, the Contractor must purchase "extended reporting" coverage for a minimum of five (5) years after completion of contract work.

8. ***Verification of Coverage***. Contractor shall furnish the City with original Certificate of Insurance including all required amendatory endorsements (or copies of the applicable policy language effecting coverage required by this clause) and a copy of the Declarations and Endorsement Page of the CGL policy listing all policy endorsements to the City before work begins. However, failure to obtain the required documents prior to the work beginning shall not waive the Contractor's obligation to provide them. The City reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these specifications, at any time.

9. ***Subcontractors***. Contractor shall require and verify that all subcontractors maintain insurance meeting all the requirements stated herein, and Contractor shall ensure that City is an additional insured on insurance required from subcontractors.

10. ***Special Risks or Circumstances***. City reserves the right to modify these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

19. GENERAL/MISCELLANEOUS PROVISIONS:

A**.** **Contract Documents**. This agreement and its exhibits shall be known as the "Contract Documents." Terms set forth in any Contract Document shall be deemed to be

incorporated in all Contract Documents as if set forth in full therein. In the event of conflict between terms contained in these Contract Documents, the more specific term shall control. If any portion of the Contract Documents shall be in conflict with any other portion, provisions contained in the Contract shall govern over conflicting provisions contained in the exhibits to the Contract. In the event of any conflict between the terms or conditions of this written agreement and any terms or conditions of any document prepared or provided by Contractor and made a part of this agreement, including without limitation any document relating to the scope of services or payment therefor, the written terms of this document shall control over those terms or conditions.

B. **Non-Discrimination in Employment and Equal Employment Opportunity**. Contractor shall not engage in unlawful employment discrimination. Such unlawful employment discrimination includes, but is not limited to, employment discrimination based upon a person's race, color, ancestry, national origin, religious creed, sex (including pregnancy, childbirth breastfeeding or related medical condition), sexual orientation, gender, gender identity, gender expression and age (over 40), disability (mental and physical), medical condition, marital status, citizenship, and military and veteran status.

C. **Inspection of Records**. Contractor shall maintain and make available for inspection by the City and its auditors accurate records of all of its costs, disbursements and receipts with respect to any work under this Contract. Such inspections may be made during regular office hours at any time until six (6) months after the final payments under this Contract are made to the Contractor.

D. **Entire Agreement**. This Contract constitutes the entire agreement between the parties relative to the services specified herein and supersedes whatever oral or written understanding they may have had prior to the execution of this agreement. No alteration or modification of this agreement shall be valid or effective unless and until such modification is evidenced by a writing signed by both parties to this Contract, by persons authorized to act on behalf of the parties. There are no understandings, agreements, conditions, representations, warranties or promises, with respect to this Contract, except those contained in or referred to in the writing.

E. **Severability**. If any portion of this agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.

F. **Waiver**. Neither City's acceptance of, or payment for, any service performed by Contractor, nor any waiver by either party of any default, breach, or condition precedent, shall be construed as a waiver of any provision of this agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.

G. **Notice**. All notices that are required to be given by one party to the other under this Contract shall be in writing and shall be deemed to have been given if delivered personally or enclosed in a properly addressed envelope and deposited in a United States Post Office for delivery by registered or certified mail addressed to the parties at the following addresses:

City: City of Rocklin, Public Services Department
4081 Alvis Court
Rocklin, CA 95677

City of Rocklin
Office of the City Attorney
3970 Rocklin Road
Rocklin, CA 95677

Contractor: R.E.Y. Engineers, Inc.
905 Sutter Street, Ste. 200
Folsom, CA 95630

H. **Enforcement of Agreement.** This Contract shall be interpreted, governed and enforced in accordance with the laws of the State of California. Venue of any action arising out of this Contract shall be brought and maintained in Placer County California, regardless of where else venue may lie. The parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such court, and consent to service of process issued by such courts.

I. **Attorney's Fees**. In any action brought by either party to enforce the terms of this Contract, each party shall bear responsibility for its attorney's fees and all costs regardless of whether one party is determined to be the prevailing party.

J. **Power and Authority to Enter into Agreement**. All parties to this Agreement warrant and represent that they have the power and authority to enter into this Agreement in the names, titles, and capacities herein stated and on behalf of any entities, persons, or firms represented or purported to be represented by such entity(ies), person(s), or firm(s) and that all formal requirements necessary or required by any state and/or federal law in order to enter into this Agreement have been fully complied with. Furthermore, by entering into this Agreement, Contractor hereby warrants that it shall not have breached the terms or conditions of any other contract or Agreement to which Contractor is obligated, which breach would have a material effect hereon.

K. **Counterparts**; **Electronic Signatures**. This Agreement may be executed in two or more counterparts, each of which when so executed shall be deemed to be an original and all of which when taken together shall constitute one and the same instrument. The words "execution," "signed," "signature," and words of like import in this Agreement or in any other certificate, agreement or document related to this Agreement, shall include digital electronic signatures (DocuSign). The use of digital electronic signatures and electronic records (including, without limitation, any contract or other record created, generated, sent, communicated, received, or stored by electronic means) shall be of the same legal effect, validity and enforceability as a manually executed signature or use of a paper-based record-keeping system to the fullest extent permitted by applicable law.

L. **Exhibits**. All exhibits referred to herein and attached hereto, are by this reference incorporated as if set forth fully herein.

CONTRACTOR

By: _____
Donald McCormick, CEO

By: _____
Jacqueline Jaggers, CFO

CITY OF ROCKLIN

By: _____
Aly Zimmermann, City Manager

ATTEST:

By: _____
Hope Ithurburn, City Clerk

APPROVED AS TO FORM:

By: _____
Daniel Cucchi, Interim City Attorney

EXHIBIT A

Contractor Proposal/Scope of Work

The Scope of Services is as follows:

PS&E Phase

Specifications with Federal Contract Language

R.E.Y. will prepare Project Specifications which include the required federal contract provisions. We will update the Contract Specifications from the 2018 Citywide Digout Project to reflect the current project and current federal language requirements.

DBE Calculation

Utilization of federal funds requires DBE participation. To determine the appropriate DBE goal, R.E.Y. will prepare a Local Assistance Exhibit 9-D: Contract Goal Methodology specific for this project to determine the construction DBE goal.

Encroachment Permit

Procurement of the Encroachment Permit with Caltrans was previously a task that was to be performed by City Staff. Due to changes in Staff, additional assistance form R.E.Y. will be provided to procure the encroachment permit.

R.E.Y. will submit to and process an encroachment permit with Caltrans to facilitate the work described on the construction documents.

Bid Assistance

Construction Phase Assumptions: Bid assistance is currently in our scope of work and includes budget.

- Working Days: 40 days.
- Project will be constructed during normal daytime working hours.
- Budget is included for full time observation.
- Materials testing will be performed on a part-time basis (an average of 4 hours per working day during digout and reconstruction operations).
- Requests for information (RFI's) requiring interpretation of design will be processed as soon as possible to avoid delays to construction.
- Contractor will utilize a current and valid Job Mix Formula (JMF) and JMF verification testing will not be required.
- Contractor to perform construction staking.

Contract Administration

R.E.Y. will work on behalf of the City to administer the construction contract. The anticipated construction management tasks include:

- No extended claims management beyond working days.

- We will work with the City to adjust work limits, if necessary to complete the project within the available budget.
- Coordinate and administer project kickoff meeting, preconstruction meeting, and weekly meetings during construction.
- Review contractor submittals and RFI's.
  - Coordinate construction document revisions and change orders.
- Review and respond to extra work bills.
- Track working days and contract time.
- Support the City with reports required by the funding source including providing photos, assisting with annual reports, etc.
- Manage progress payments by evaluating work completed with work invoiced by the contractor
- Ensure labor compliance.
  - Review certified payroll and conduct random employee interviews.
- Prepare and maintain project construction binder.
- Coordinate project acceptance.
- Prepare Record Drawings (Included with our currently contracted scope of services).
- Furnish project files and records to City upon completion of project.

R.E. Binder

R.E.Y. will prepare a Resident Engineer binder to assist with the construction management. This binder will include quantities calculations, a list of submittal requirements, and identification of special provisions which require special attention.

Construction Observation

R.E.Y. will provide an inspector to observe construction activities, document employees and equipment performing work on the project. The inspector will perform site visits to evaluate appropriate HMA dig out limits ahead of operations. The inspector will prepare Daily Reports, observe and photograph construction activities, and track quantities. The inspector will not direct the contractor on which means and methods to use during construction; instead, he/she will monitor compliance with the construction documents (plans and specifications). The inspector will also ensure that only appropriate, approved materials are on site and being used during construction. In addition, the inspector will mark up and keep an up to date set of plans onsite indicating any deviations from design drawings. These drawings will used at the end of the project to assist in the record drawing process.

Materials Inspection

R.E.Y. will provide inspection services as needed, through completion of the project. The following services are anticipated:

- Perform (3) site visits to paint/mark out appropriate HMA digout limits.

- Sample native soils for laboratory testing.
- Sample, test, and approve import soil (if required) and aggregate materials.
- Test and observe HMA dig out repairs.
- Test and observe grind and HMA inlay operations.
- Test and observe pavement subgrade preparation and compaction (reconstruction areas).

- Test and observe AB placement and compaction.
- Test and observe hot mix asphalt (HMA) pavement construction.
- Provide on-going geotechnical engineering consultation in the field throughout the duration of the project, as needed.

Materials Testing

R.E.Y. will provide materials testing in accordance with the project plans and specifications. The anticipated materials testing services include:

- Aggregate base: compaction and material conformance.
- Asphalt concrete: material conformance, density, and asphalt content.
- Portland cement concrete: material conformance and compression testing.

Water Pollution Control Program Monitoring

R.E.Y. will monitor the contractor's implementation of the water pollution control plan. We have included a maximum of 5 rain events during the construction of this project.

- Perform storm water inspections prior to and after rain events.
- Monitor Contractor compliance with Water Pollution Control plan.

Project Closeout

R.E.Y. will follow Chapter 17 of the LAPM to document Project Completion.

EXHIBIT B

Schedule of Performance

The construction management for this project is slated to begin in May of 2023 and is in effect until December 30, 2024.

EXHIBIT C

Schedule of Fees

The amount of the services below are for an amount not to exceed One Hundred and Eighty-Nine Thousand Dollars ($189,000). The Schedule of fees is as follow:

PS&E Phase $20,000

Includes :

- Specifications with Federal Contract Language
- DBE Calculation
- Encroachment Permit
- Bid Assistance

CONSTRUCTION PHASE $169,000

Includes:

- Contract Administration
- R.E. Binder
- Construction Observation
- Materials Inspection
- Materials Testing
- Water Pollution Control Program Monitoring
- Project Closeout

R.E.Y. ENGINEERS, INC.
Civil Engineers | Land Surveyors | LiDAR
905 Sutter Street, Suite 200 Folsom, CA 95630
Phone: (916) 366-3040 Fax: (916) 366-3303
www.reyengineers.com

City of Rocklin
Professional Engineering and Surveying Services
RATE TABLE

Labor Classification	2023 Rate*	2024 Rate*
Technician I	$96	$101
Technician II	$114	$120
Technician III	$132	$139
Senior Technician I	$137	$144
Senior Technician II	$160	$168
Senior Technician III	$184	$193
LiDAR Technician I	$151	$159
LiDAR Technician II	$176	$185
LiDAR Technician III	$200	$210
Senior LiDAR Specialist I	$205	$215
Senior LiDAR Specialist II	$232	$244
Assistant Engineer/Surveyor I	$119	$125
Assistant Engineer/Surveyor II	$131	$138
Assistant Engineer/Surveyor III	$144	$151
Assistant Engineer/Surveyor IV	$157	$165
Assistant Engineer/Surveyor V	$169	$177
Associate Engineer/Surveyor I	$148	$155
Associate Engineer/Surveyor II	$161	$169
Associate Engineer/Surveyor III	$173	$182
Associate Engineer/Surveyor IV	$185	$194
Associate Engineer/Surveyor V	$198	$208
Senior Engineer/Surveyor I	$200	$210
Senior Engineer/Surveyor II	$220	$231
Senior Engineer/Surveyor III	$240	$252
Senior Engineer/Surveyor IV	$260	$273
Senior Engineer/Surveyor V	$280	$294
Principal Engineer/Surveyor I	$284	$298
Principal Engineer/Surveyor II	$312	$328
Expert Witness Testimony/Deposition	$420	$441
1-Person Survey Crew	$195	$210
2-Person Survey Crew	$330	$355
3-Person Survey Crew	$435	$468
Project Coordinator	$120	$126
Senior Project Coordinator	$200	$210
Expense Classification		
Outside Reproductions and Services	Cost + 10%	Cost + 10%
Subconsultants	Cost + 10%	Cost + 10%
In-House Large Format Prints (B+W)	$0.30/SF	$0.30/SF
In-House Large Format Prints (Color)	$0.75/SF	$0.75/SF

Legend
* Rates above are the hourly rate to be charged for each classification unless otherwise noted.

Notes
- Rates are valid through December 31, 2024.
- Reimbursement for mileage is included in the rates above.
- Rate for overtime for field and non-exempt employees will be charged at 135% of rate listed above.
- Rate for double time for field and non-exempt employees will be charged at 170% of rate listed above.

EXHIBIT D

CERTIFICATE OF COMPLIANCE WITH LABOR CODE § 3700
[Labor Code § 1861]

I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.

CONTRACTORS

By: _____
Donald McCormick, CEO

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Setting a Public Hearing to Amend Solid Waste Collection Services Rates within Rocklin

Date: May 9, 2023

Submitted By: Justin Nartker, Director of Public Services

Department: Public Services

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin of Intent to Amend the Solid Waste Collection Service Rates Within the Incorporated Limits of the City of Rocklin and Setting a Time and Place for a Public Hearing Thereon.

Background:
Recology Auburn Placer (Recology) has been Rocklin's solid waste provider since 1979. They operate under a Franchise Agreement originally granted by the City in 1979 and extended in 1999. In 2009 Recology was granted a new and revised Franchise Agreement in which they may apply for a yearly COLA rate adjustment per a specified formula. Recology Auburn Placer has approached the City with a request for a Cost of Living Adjustment (COLA) that consists of a Cost of Living Increase of 5.7%; however, the Franchise Agreement with the City of Rocklin has a maximum yearly increase of 4.0%. The remaining 1.7% of the COLA will be rolled into the following year.

There are procedures necessary for a solid waste fee increase in order to comply with Proposition 218. Refuse collection services are not subject to voter approval, but are subject to the notice, hearing and majority protest procedures set forth in Article XIIID, Section 6(a).

Recology rates compare favorably with neighboring communities, especially when considering the expanded service Rocklin residents receive. Beyond the normal weekly trash service, Rocklin residents receive green waste pickup; street sweeping; curbside e-waste recycling; spring cleanup; cardboard recycling and large dead animal pickup to assist with the Police Department's Animal Control Unit and Public Services; which is included in the monthly rate.

The current and proposed collection rates for common items are reflected in Attachment 3.

Fiscal Impact:
The proposed COLA of 4% is charged to the customer and will result in a minor increase to the City's franchise fee revenue, as shown in Attachment 2.

ATTACHMENTS:

1. Resolution
2. Resolution Exhibit A - Recology Rates
3. Common Collection Rates (Current and Proposed)

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
OF INTENT TO AMEND THE SOLID WASTE COLLECTION
SERVICE RATES WITHIN THE INCORPORATED LIMITS OF THE CITY OF ROCKLIN
AND SETTING A TIME AND PLACE FOR A PUBLIC HEARING THEREON

WHEREAS, Recology Auburn Placer is the franchise solid waste disposal contractor for the City of Rocklin; and

WHEREAS, Recology Auburn Placer has approached the City with a request for a Cost of Living Adjustment rate adjustment as provided by the terms of the Franchise Agreement for Solid Waste Handling Services between the City of Rocklin and Recology Auburn Placer; and

WHEREAS, with the passage of legislation Senate Bill (SB) 1383 current landfill costs will increase to comply with the additional SB1383 requirements effective January, 1, 2022, and to operate this high efficiency material recovery facility; and

WHEREAS, Rocklin Municipal Code Section 13.08.100 provides that fees for collection of solid waste shall be set by resolution of the City Council.

Now therefore, the City Council of the City of Rocklin does resolve as follows:

Section 1. The above recitals are all true and correct.

Section 2. The Cost of Living service rate increase and Landfill cost increase presented by Recology Auburn Placer, the City of Rocklin's franchised solid waste hauler, is shown in the attached Exhibit A, which is incorporated herein by this reference.

Section 3. Notice is hereby given that Tuesday, June 27, 2023, at or after the hour of 6:00 p.m., in the Council Chambers of the City of Rocklin, 3970 Rocklin Road, Rocklin, California, the City Council shall hold a public hearing to provide an opportunity for owners of property and customers served by Recology Auburn Placer to appear and protest the proposed increase in fees. In the alternative, owners of property and customers served by Recology Auburn Placer may file a written protest with the City Clerk at the above address. The written protest must identify the parcel served by address or APN (assessor's parcel number) and be actually received (not postmarked) by the City Clerk, before or during the public hearing. Only one protest per parcel shall be counted for purposes of establishing a majority protest to the proposed fee increase.

Section 4. The City Clerk is authorized, instructed and directed to give further or additional notice of the proposed service rate increase and the time and place of the above noted Public Hearing, in the time, form and manner required by law.

Section 5. For any and all information relating to the proceedings, protest procedure, any documentation and/or information of a procedural or technical nature, your attention is directed to the below listed person and the local agency or department so designated:

Justin Nartker
Director of Public Services
City of Rocklin
4081 Alvis Court
Rocklin, California 95677
Phone: (916) 625-5500

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A

CITY OF ROCKLIN

CURRENT
RESIDENTIAL RATES

		BASE RATE	DISPOSAL	FRANCHISE FEE	TOTAL
**	1 - 32-Gallon Can	$ 7.62	$ 6.67	$ 1.59	$ 15.88
	Toter Only	$ 13.13	$ 13.35	$ 2.94	$ 29.42
	Unlimited Toters	17.98	$ 17.16	3.90	39.04
	Senior Citizen				
**	1 - 32-Gallon Can	$ 3.72	$ 6.67	$ 1.15	$ 11.54
	Toter Only	$ 8.59	$ 13.35	2.44	24.38
	Unlimited Toter	9.47	$ 17.16	2.96	29.59
	Extra Charge				
	Bag	$ 2.31	$ 0.79	$ 0.34	$ 3.44
	Can	2.96	1.54	0.50	5.00
	Toter	6.89	3.08	1.11	11.08
	Extra Toter Rental (Unlimited Svc.)	3.26		0.36	3.62
	Backyard Gate Fee	1.44		0.16	1.60

PROPOSED - EFFECTIVE JULY 1, 2022
RESIDENTIAL RATES

		BASE RATE	DISPOSAL	FRANCHISE FEE	TOTAL
**	**1 - 32-Gallon Can**	$ 7.92	$ 6.67	$ 1.62	**$ 16.21**
	Toter Only	$ 13.66	$ 13.35	$ 3.00	**$ 30.01**
	Unlimited Toters	18.70	17.16	3.98	**39.84**
	Senior Citizen				
**	**1 - 32-Gallon Can**	$ 3.87	$ 6.67	$ 1.17	**$ 11.71**
	Toter Only	$ 8.93	13.35	$ 2.48	**$ 24.76**
	Unlimited Toter	9.85	17.16	3.00	**30.01**
	Extra Charge				
	Bag	$ 2.40	$ 0.79	$ 0.35	**$ 3.54**
	Can	3.08	1.54	0.51	**5.13**
	Toter	7.17	3.08	1.14	**11.39**
	Extra Toter Rental (Unlimited Svc.)	3.39		0.38	**3.77**
	Backyard Gate Fee	1.50		0.17	**1.67**

** **Existing Customers only. Service is not available to new customers.**

CITY OF ROCKLIN

CURRENT
COMMERCIAL RATES

SIZE		Frequency (Pickups per Week) 1	2	3	4	5	6
1	Cu Yd	$ 66.23	$ 109.65	$ 152.87	$ 196.58	$ 240.31	$ 300.37
	Disposal	28.60	57.20	85.80	114.40	143.00	171.60
		$ 94.83	$ 166.85	$ 238.67	$ 310.98	$ 383.31	$ 471.97
	Fran Fee	10.54	18.54	26.52	34.55	42.59	52.44
	TOTAL	$ 105.37	$ 185.39	$ 265.19	$ 345.53	$ 425.90	$ 524.41
2	Cu Yd	$ 109.48	$ 196.82	$ 283.94	$ 371.26	$ 458.33	$ 572.93
	Disposal	57.20	114.40	171.60	228.80	286.00	343.20
		$ 166.68	$ 311.22	$ 455.54	$ 600.06	$ 744.33	$ 916.13
	Fran Fee	18.52	34.58	50.62	66.67	82.70	101.79
	TOTAL	$ 185.20	$ 345.80	$ 506.16	$ 666.73	$ 827.03	$ 1,017.92
3	Cu Yd	$ 153.03	$ 283.83	$ 414.61	$ 545.33	$ 676.05	$ 845.07
	Disposal	85.80	171.60	257.40	343.20	429.00	514.80
		$ 238.83	$ 455.43	$ 672.01	$ 888.53	$ 1,105.05	$ 1,359.87
	Fran Fee	26.54	50.60	74.67	98.73	122.78	151.10
	TOTAL	$ 265.37	$ 506.03	$ 746.68	$ 987.26	$ 1,227.83	$ 1,510.97
4	Cu Yd	$ 196.58	$ 371.01	$ 545.23	$ 719.33	$ 893.73	$ 1,117.18
	Disposal	114.40	228.80	343.20	457.60	572.00	686.40
		$ 310.98	$ 599.81	$ 888.43	$ 1,176.93	$ 1,465.73	$ 1,803.58
	Fran Fee	34.55	66.65	98.71	130.77	162.86	200.40
	TOTAL	$ 345.53	$ 666.46	$ 987.14	$ 1,307.70	$ 1,628.59	$ 2,003.98
5	Cu Yd	$ 240.31	$ 404.28	$ 568.28	$ 732.09	$ 896.32	$ 1,120.41
	Disposal	143.00	286.00	429.00	572.00	715.00	858.00
		$ 383.31	$ 690.28	$ 997.28	$ 1,304.09	$ 1,611.32	$ 1,978.41
	Fran Fee	42.59	76.70	110.81	144.90	179.04	219.82
	TOTAL	$ 425.90	$ 766.98	$ 1,108.09	$ 1,448.99	$ 1,790.36	$ 2,198.23
6	Cu Yd	$ 272.46	$ 462.50	$ 698.97	$ 842.28	$ 1,076.79	$ 1,345.99
	Disposal	171.60	343.20	514.80	686.40	858.00	1,029.60
		$ 444.06	$ 805.70	$ 1,213.77	$ 1,528.68	$ 1,934.79	$ 2,375.59
	Fran Fee	49.34	89.52	134.86	169.85	214.98	263.95
	TOTAL	$ 493.40	$ 895.22	$ 1,348.63	$ 1,698.53	$ 2,149.77	$ 2,639.54
7	Cu Yd	$ 304.68	$ 520.75	$ 829.71	$ 952.44	$ 1,257.25	$ 1,571.54
	Disposal	200.20	400.40	600.60	800.80	1,001.00	1,201.20
		$ 504.88	$ 921.15	$ 1,430.31	$ 1,753.24	$ 2,258.25	$ 2,772.74
	Fran Fee	56.10	102.35	158.92	194.80	250.92	308.08
	TOTAL	$ 560.98	$ 1,023.50	$ 1,589.23	$ 1,948.04	$ 2,509.17	$ 3,080.82

CITY OF ROCKLIN

PROPOSED - EFFECTIVE JULY 1, 2022
COMMERCIAL RATES

SIZE	Frequency (Pickups per Week) 1	2	3	4	5	6
1 Cu Yd	$ 68.88	$ 114.04	$ 158.98	$ 204.44	$ 249.92	$ 312.38
Disposal	28.60	57.20	85.80	114.40	143.00	171.60
	$ 97.48	$ 171.24	$ 244.78	$ 318.84	$ 392.92	$ 483.98
Fran Fee	10.83	19.03	27.20	35.43	43.66	53.78
TOTAL	**$ 108.31**	**$ 190.27**	**$ 271.98**	**$ 354.27**	**$ 436.58**	**$ 537.76**
2 Cu Yd	$ 113.86	$ 204.69	$ 295.30	$ 386.11	$ 476.66	$ 595.85
Disposal	57.20	114.40	171.60	228.80	286.00	343.20
	$ 171.06	$ 319.09	$ 466.90	$ 614.91	$ 762.66	$ 939.05
Fran Fee	19.01	35.45	51.88	68.32	84.74	104.34
TOTAL	**$ 190.07**	**$ 354.54**	**$ 518.78**	**$ 683.23**	**$ 847.40**	**$ 1,043.39**
3 Cu Yd	$ 159.15	$ 295.18	$ 431.19	$ 567.14	$ 703.09	$ 878.87
Disposal	85.80	171.60	257.40	343.20	429.00	514.80
	$ 244.95	$ 466.78	$ 688.59	$ 910.34	$ 1,132.09	$ 1,393.67
Fran Fee	27.22	51.86	76.51	101.15	125.79	154.85
TOTAL	**$ 272.17**	**$ 518.64**	**$ 765.10**	**$ 1,011.49**	**$ 1,257.88**	**$ 1,548.52**
4 Cu Yd	$ 204.44	$ 385.85	$ 567.04	$ 748.10	$ 929.48	$ 1,161.87
Disposal	114.40	228.80	343.20	457.60	572.00	686.40
	$ 318.84	$ 614.65	$ 910.24	$ 1,205.70	$ 1,501.48	$ 1,848.27
Fran Fee	35.43	68.29	101.14	133.97	166.83	205.36
TOTAL	**$ 354.27**	**$ 682.94**	**$ 1,011.38**	**$ 1,339.67**	**$ 1,668.31**	**$ 2,053.63**
5 Cu Yd	$ 249.92	$ 420.45	$ 591.01	$ 761.37	$ 932.17	$ 1,165.23
Disposal	143.00	286.00	429.00	572.00	715.00	858.00
	$ 392.92	$ 706.45	$ 1,020.01	$ 1,333.37	$ 1,647.17	$ 2,023.23
Fran Fee	43.66	78.49	113.33	148.15	183.02	224.80
TOTAL	**$ 436.58**	**$ 784.94**	**$ 1,133.34**	**$ 1,481.52**	**$ 1,830.19**	**$ 2,248.03**
6 Cu Yd	$ 283.36	$ 481.00	$ 726.93	$ 875.97	$ 1,119.86	$ 1,399.83
Disposal	171.60	343.20	514.80	686.40	858.00	1,029.60
	$ 454.96	$ 824.20	$ 1,241.73	$ 1,562.37	$ 1,977.86	$ 2,429.43
Fran Fee	50.55	91.58	137.97	173.60	219.76	269.94
TOTAL	**$ 505.51**	**$ 915.78**	**$ 1,379.70**	**$ 1,735.97**	**$ 2,197.62**	**$ 2,699.37**
7 Cu Yd	$ 316.87	$ 541.58	$ 862.90	$ 990.54	$ 1,307.54	$ 1,634.40
Disposal	200.20	400.40	600.60	800.80	1,001.00	1,201.20
	$ 517.07	$ 941.98	$ 1,463.50	$ 1,791.34	$ 2,308.54	$ 2,835.60
Fran Fee	57.45	104.66	162.61	199.04	256.50	315.07
TOTAL	**$ 574.52**	**$ 1,046.64**	**$ 1,626.11**	**$ 1,990.38**	**$ 2,565.04**	**$ 3,150.67**

CITY OF ROCKLIN

CURRENT
COMMERCIAL RATES
COMMERCIAL CAN

		Frequency (Pickups per Week)				
		1	2	3	4	5
1	Can	$ 11.12	$ 22.60	$ 33.92	$ 45.28	$ 56.58
	Disposal	6.67	13.35	20.02	26.69	33.37
		$ 17.79	$ 35.95	$ 53.94	$ 71.97	$ 89.95
	Fran Fee	1.98	3.99	5.99	8.00	9.99
	TOTAL	$ 19.77	$ 39.94	$ 59.93	$ 79.97	$ 99.94
2	Cans	$ 12.98	$ 26.10	$ 39.28	$ 52.42	$ 65.46
	Disposal	13.35	26.69	40.04	53.39	66.73
		$ 26.33	$ 52.79	$ 79.32	$ 105.81	$ 132.19
	Fran Fee	2.93	5.87	8.81	11.76	14.69
	TOTAL	$ 29.26	$ 58.66	$ 88.13	$ 117.57	$ 146.88
3	Cans	$ 14.67	$ 29.75	$ 44.49	$ 59.43	$ 74.32
	Disposal	20.02	40.04	60.06	80.08	100.10
		$ 34.69	$ 69.79	$ 104.55	$ 139.51	$ 174.42
	Fran Fee	3.85	7.75	11.62	15.50	19.38
	TOTAL	$ 38.54	$ 77.54	$ 116.17	$ 155.01	$ 193.80
4	Cans	$ 16.33	$ 32.17	$ 73.93	$ 65.95	$ 82.53
	Disposal	26.69	53.39	80.08	106.77	133.47
		$ 43.02	$ 85.56	$ 154.01	$ 172.72	$ 216.00
	Fran Fee	4.78	9.51	17.11	19.19	24.00
	TOTAL	$ 47.80	$ 95.07	$ 171.12	$ 191.91	$ 240.00
5	Cans	$ 18.08	$ 36.62	$ 55.23	$ 73.67	$ 92.17
	Disposal	33.37	66.73	100.10	133.47	166.83
		$ 51.45	$ 103.35	$ 155.33	$ 207.14	$ 259.00
	Fran Fee	5.72	11.48	17.26	23.02	28.78
	TOTAL	$ 57.17	$ 114.83	$ 172.59	$ 230.16	$ 287.78
6	Cans	$ 19.81	$ 40.14	$ 60.55	$ 80.93	$ 101.06
	Disposal	40.04	80.08	120.12	160.16	200.20
		$ 59.85	$ 120.22	$ 180.67	$ 241.09	$ 301.26
	Fran Fee	6.65	13.36	20.07	26.79	33.47
	TOTAL	$ 66.50	$ 133.58	$ 200.74	$ 267.88	$ 334.73

CITY OF ROCKLIN

PROPOSED - EFFECTIVE JULY 1, 2022
COMMERCIAL RATES
COMMERCIAL CAN

	Frequency (Pickups per Week)				
	1	2	3	4	5
1 Can	$ 11.56	$ 23.50	$ 35.28	$ 47.09	$ 58.84
Disposal	6.67	13.35	20.02	26.69	33.37
	$ 18.23	$ 36.85	$ 55.30	$ 73.78	$ 92.21
Fran Fee	2.03	4.09	6.14	8.20	10.25
TOTAL	**$ 20.26**	**$ 40.94**	**$ 61.44**	**$ 81.98**	**$ 102.46**
2 Cans	$ 13.50	$ 27.14	$ 40.85	$ 54.52	$ 68.08
Disposal	13.35	26.69	40.04	53.39	66.73
	$ 26.85	$ 53.83	$ 80.89	$ 107.91	$ 134.81
Fran Fee	2.98	5.98	8.99	11.99	14.98
TOTAL	**$ 29.83**	**$ 59.81**	**$ 89.88**	**$ 119.90**	**$ 149.79**
3 Cans	$ 15.26	$ 30.94	$ 46.27	$ 61.81	$ 77.29
Disposal	20.02	40.04	60.06	80.08	100.10
	$ 35.28	$ 70.98	$ 106.33	$ 141.89	$ 177.39
Fran Fee	3.92	7.89	11.81	15.77	19.71
TOTAL	**$ 39.20**	**$ 78.87**	**$ 118.14**	**$ 157.66**	**$ 197.10**
4 Cans	$ 16.98	$ 33.46	$ 76.89	$ 68.59	$ 85.83
Disposal	26.69	53.39	80.08	106.77	133.47
	$ 43.67	$ 86.85	$ 156.97	$ 175.36	$ 219.30
Fran Fee	4.85	9.65	17.44	19.48	24.37
TOTAL	**$ 48.52**	**$ 96.50**	**$ 174.41**	**$ 194.84**	**$ 243.67**
5 Cans	$ 18.80	$ 38.08	$ 57.44	$ 76.62	$ 95.86
Disposal	33.37	66.73	100.10	133.47	166.83
	$ 52.17	$ 104.81	$ 157.54	$ 210.09	$ 262.69
Fran Fee	5.80	11.65	17.50	23.34	29.19
TOTAL	**$ 57.97**	**$ 116.46**	**$ 175.04**	**$ 233.43**	**$ 291.88**
6 Cans	$ 20.60	$ 41.75	$ 62.97	$ 84.17	$ 105.10
Disposal	40.04	80.08	120.12	160.16	200.20
	$ 60.64	$ 121.83	$ 183.09	$ 244.33	$ 305.30
Fran Fee	6.74	13.54	20.34	27.15	33.92
TOTAL	**$ 67.38**	**$ 135.37**	**$ 203.43**	**$ 271.48**	**$ 339.22**

CITY OF ROCKLIN

CURRENT
COMMERCIAL RATES
COMMERCIAL TOTERS

		Frequency (Pickups per Week)		
		1	2	3
1	Toter	$ 23.12	$ 46.23	$ 69.35
	Disposal	13.35	26.69	40.04
		$ 36.47	$ 72.92	$ 109.39
	Fran Fee	4.05	8.10	12.15
	TOTAL	$ 40.52	$ 81.02	$ 121.54
2	Toters	$ 40.25	$ 80.51	$ 120.75
	Disposal	26.69	53.39	80.08
		$ 66.94	$ 133.90	$ 200.83
	Fran Fee	7.44	14.88	22.31
	TOTAL	$ 74.38	$ 148.78	$ 223.14
3	Toters	$ 57.39	$ 114.79	$ 172.20
	Disposal	40.04	80.08	120.12
		$ 97.43	$ 194.87	$ 292.32
	Fran Fee	10.83	21.65	32.48
	TOTAL	$ 108.26	$ 216.52	$ 324.80
4	Toters	$ 74.53	$ 149.06	$ 223.60
	Disposal	53.39	106.77	160.16
		$ 127.92	$ 255.83	$ 383.76
	Fran Fee	14.21	28.43	42.64
	TOTAL	$ 142.13	$ 284.26	$ 426.40
5	Toters	$ 91.66	$ 183.29	$ 274.97
	Disposal	66.73	133.47	200.20
		$ 158.39	$ 316.76	$ 475.17
	Fran Fee	17.60	35.20	52.80
	TOTAL	$ 175.99	$ 351.96	$ 527.97

CITY OF ROCKLIN

PROPOSED - EFFECTIVE JULY 1, 2022
COMMERCIAL RATES
COMMERCIAL TOTERS

	Frequency (Pickups per Week)		
	1	2	3
1 Toter	$ 24.04	$ 48.08	$ 72.12
Disposal	13.35	26.69	40.04
	$ 37.39	$ 74.77	$ 112.16
Fran Fee	4.15	8.31	12.46
TOTAL	**$ 41.54**	**$ 83.08**	**$ 124.62**
2 Toters	$ 41.86	$ 83.73	$ 125.58
Disposal	26.69	53.39	80.08
	$ 68.55	$ 137.12	$ 205.66
Fran Fee	7.62	15.24	22.85
TOTAL	**$ 76.17**	**$ 152.36**	**$ 228.51**
3 Toters	$ 59.69	$ 119.38	$ 179.09
Disposal	40.04	80.08	120.12
	$ 99.73	$ 199.46	$ 299.21
Fran Fee	11.08	22.16	33.25
TOTAL	**$ 110.81**	**$ 221.62**	**$ 332.46**
4 Toters	$ 77.51	$ 155.02	$ 232.54
Disposal	53.39	106.77	160.16
	$ 130.90	$ 261.79	$ 392.70
Fran Fee	14.54	29.09	43.63
TOTAL	**$ 145.44**	**$ 290.88**	**$ 436.33**
5 Toters	$ 95.33	$ 190.62	$ 285.97
Disposal	66.73	133.47	200.20
	$ 162.06	$ 324.09	$ 486.17
Fran Fee	18.01	36.01	54.02
TOTAL	**$ 180.07**	**$ 360.10**	**$ 540.19**

CITY OF ROCKLIN

CURRENT
COMMERCIAL RATES

	BASE RATE	DISPOSAL	FRANCHISE FEE	TOTAL
EXTRA EMPTIES				
1 Cu Yd	$ 16.17	$ 6.60	$ 2.53	$ 25.30
2 Cu Yd	26.78	13.20	4.44	44.42
3 Cu Yd	37.34	19.80	6.35	63.49
4 Cu Yd	48.01	26.40	8.27	82.68
5 Cu Yd	58.71	33.00	10.19	101.90
6 Cu Yd	72.47	39.60	12.45	124.52
7 Cu Yd	86.24	46.20	14.72	147.16
BIN RENTAL				
1 Cu Yd	$ 17.53		$ 1.95	$ 19.48
2 Cu Yd	21.77		2.42	24.19
3 Cu Yd	26.19		2.91	29.10
4 Cu Yd	30.52		3.39	33.91
5 Cu Yd	34.85		3.87	38.72
6 Cu Yd	39.29		4.37	43.66
7 Cu Yd	43.69		4.85	48.54

PROPOSED - EFFECTIVE JULY 1, 2022
COMMERCIAL RATES

	BASE RATE	DISPOSAL	FRANCHISE FEE	**TOTAL**
EXTRA EMPTIES				
1 Cu Yd	$ 16.82	$ 6.60	$ 2.60	**$ 26.02**
2 Cu Yd	27.85	13.20	4.56	**45.61**
3 Cu Yd	38.83	19.80	6.51	**65.14**
4 Cu Yd	49.93	26.40	8.48	**84.81**
5 Cu Yd	61.06	33.00	10.45	**104.51**
6 Cu Yd	75.37	39.60	12.77	**127.74**
7 Cu Yd	89.69	46.20	15.10	**150.99**
BIN RENTAL				
1 Cu Yd	$ 18.23		$ 2.03	**$ 20.26**
2 Cu Yd	22.64		2.52	**25.16**
3 Cu Yd	27.24		3.03	**30.27**
4 Cu Yd	31.74		3.53	**35.27**
5 Cu Yd	36.24		4.03	**40.27**
6 Cu Yd	40.86		4.54	**45.40**
7 Cu Yd	45.44		5.05	**50.49**

CITY OF ROCKLIN

CURRENT
COMMERCIAL RATES
DEBRIS BOXES

	BASE RATE	DISPOSAL	FRANCHISE FEE	TOTAL
15 Cu Yd	$ 187.63	$ 179.52	$ 40.79	$ 407.94
20 Cu Yd	205.04	239.36	49.38	493.78
30 Cu Yd	175.03	359.04	59.34	593.41
33 Cu Yd	161.55	394.94	61.83	618.32
40 Cu Yd	134.42	478.72	68.13	681.27
50 Cu Yd	191.76	598.40	87.80	877.96
Temporary Bins				
5 Cu Yd	$ 96.15	$ 33.00	$ 14.35	$ 143.50
6 Cu Yd	108.98	39.60	16.51	165.09
7 Cu Yd	121.88	46.20	18.68	186.76

PROPOSED - EFFECTIVE JULY 1, 2022
COMMERCIAL RATES
DEBRIS BOXES

	BASE RATE	DISPOSAL	FRANCHISE FEE	TOTAL
15 Cu Yd	$ 195.14	$ 179.52	$ 41.63	**$ 416.29**
20 Cu Yd	213.24	239.36	50.29	**502.89**
30 Cu Yd	182.03	359.04	60.12	**601.19**
33 Cu Yd	168.01	394.94	62.55	**625.50**
40 Cu Yd	139.80	478.72	68.72	**687.24**
50 Cu Yd	199.43	598.40	88.65	**886.48**
Temporary Bins				
5 Cu Yd	$ 100.00	$ 33.00	$ 14.78	**$ 147.78**
6 Cu Yd	113.34	39.60	16.99	**169.93**
7 Cu Yd	126.76	46.20	19.22	**192.18**

CITY OF ROCKLIN

CURRENT
COMMERCIAL RATES
C & D BINS

	BASE RATE	DISPOSAL	FRANCHISE FEE	TOTAL
C & D BINS				
5 Cu Yd	$ 84.60	$ 33.00	$ 13.23	$ 130.83
6 Cu Yd	95.92	39.60	15.24	150.76
7 Cu Yd	121.88	46.20	18.91	186.99

PROPOSED - EFFECTIVE JULY 1, 2022
COMMERCIAL RATES
C & D BINS

		BASE RATE	DISPOSAL	FRANCHISE FEE	TOTAL
C & D BINS					
5 Cu Yd		$ 87.98	$ 33.00	13.44	**$ 134.42**
6 Cu Yd		99.76	39.60	15.48	**154.84**
7 Cu Yd	Subject to Note	126.76	46.20	19.22	**192.18**

NOTE: Rate subject to a minimum of three (3) containers per service

Recology Auburn Placer

CITY of ROCKLIN

Rate Components

For Rate Year July 1, 2022 through June 30, 2023

Annual Increase - Rate Year 2022-2023	
Cost of Living Increase	11.600%
Other - Carry forward from 2021 - 2022	0.000%
Other	0.000%
TOTAL INCREASE (Cap at 4%)	**4.000%**

* * Old Landfill Rate (See Note 1)	$ 88.00
* * **New Landfill Rate (See Note 1)**	$ 88.00
Landfill Increase	$0.00
% Increase	0.00%
Street Sweeping	0.00%

* * **Note 1** Landfill Rates Applicable to Debris Boxes and C&D:

Attachment 3

Common Collection Rates – Current and Proposed

	Container	Base Rate (Monthly)	Disposal	Franchise Fee	Total	+ or -
Current Rate	1 - 32 Gallon Can	7.92	7.24	1.68	16.84	
Proposed Rate*		8.24	7.87	1.79	17.90	+1.06
Current Rate	Toter Total	13.66	14.48	3.13	31.27	
Proposed Rate*		14.21	15.74	3.33	33.28	+2.01
Current Rate	Unlimited Toter	18.70	18.62	4.15	41.47	
Proposed Rate*		19.45	20.23	4.41	44.09	+2.62
Current Rate	Sr. Unlimited Toter	9.85	18.62	3.16	31.63	
Proposed Rate*		10.24	20.23	3.39	33.86	+2.23
Current Rate	1 Yd - 1 x Week	68.88	31.04	11.10	111.02	
Proposed Rate*		71.64	33.72	11.71	117.07	+6.05
Current Rate	1 Yd - 4 x Week	204.44	124.15	36.51	365.10	
Proposed Rate*		212.62	134.88	38.61	386.11	+21.01
Current Rate	4 Yd - 1 x Week	204.44	124.15	36.51	365.10	
Proposed Rate*		212.62	134.88	38.61	386.11	+21.01

* Cost projections are based on the Cost of Living Increase at 4.0% and a landfill rate increase of 8.64%. Rates provided above are a sampling of the multiple rates provided within the Franchise Agreement.

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Ruhkala Community Service Awards Presentation

Date: May 9, 2023

Submitted By: Elizabeth Sorg, Management Analyst

Department: City Manager's Office

Recommendation:
Recognize the 2023 Ruhkala Community Service Award honorees.

Background:
Mayor Ken Broadway, along with members of the City Council and the Community Recognition Commission, will present the 2023 Ruhkala Community Service Awards to this year's honorees, listed below:

Alan Wing - Individual Honoree
Daniel Taylor - Pioneer Honoree
Gloria Beverage - Pioneer Honoree
Sierra College Foundation - Business, Group, or Service Organization Honoree

The City of Rocklin thanks each person and organization for their commitment to serving the Rocklin community.

The original nominations for the honorees are attached.

Fiscal Impact:
There is no additional fiscal impact. Funds for the Ruhkala Awards have been budgeted in the Fiscal Year 2022/23 Operating Budget.

ATTACHMENTS:
1. 2023 Ruhkala Award Recommendations

2023 RUHKALA Community Service Awards



Recommendations for the 2023 Ruhkala Awards

Ruhkala Community Service Awards Nominations

TABLE OF CONTENTS

INDIVIDUAL OR COUPLE

Alan Wing 2

BUSINESS OR ORGANIZATION

Sierra College Foundation 4

PIONEER

Daniel Taylor 6

Gloria Beverage 9

Ruhkala Community Service Awards Nominations

INDIVIDUAL OR COUPLE

Alan Wing

Nomination Category: Individual or Couple

Describe the community service performed by the nominee that merits consideration of a Ruhkala Award:

Alan Wing has been an active member of our Rocklin community since 2005.

After completing the Rocklin Police citizen volunteer academy as well as specialized training, Alan became a patrol volunteer. He has been my patrol partner from day one and without his enthusiasm, drive, and commitment to serve as an Ambassador of the City of Rocklin, I truly believe the City would be less safe!

Every Monday night for the last 17 years, Alan has patrolled the 22 square miles of the city averaging 50 miles a night. This has added up to about 35,000 miles. Alan is the extra eyes and ears for the department while checking our schools, businesses, parks, and residential areas. If any suspicious activity is observed, it is called in to dispatch for an officer to respond to handle the incident. If there a vehicle collision or road hazard, Alan responds to set up traffic diversion (cones and electronic flares) and direct traffic around the accident site.

When residents signup for a vacation check, Alan conducts a walk around the front of the property to check to be sure it is secure.

Alan is a member of the volunteer emergency response team (VERT) that is available 24/7 when the department needs additional resources at an incident. Over the years he has responded to call-outs for missing adults, traffic control and perimeter security at a major collision investigation, traffic control at several law enforcement funerals, and a mutual aid request from Placer County Emergency Office to assist at a Roseville evacuation site during the Oroville Dam crisis.

Alan recently certified with the department's Project Lifesaver program. If an at-risk resident (ie: someone with Alzheimer's), who is registered and wears a transmitter, wanders off from their home, an electronic receiver is able to track down the person. With this technology, the risk of being in unfavorable outdoor conditions for any great length of time is greatly reduced with hope of a successful outcome.

Alan oversaw monthly maintaining and replenishing the first aid and evidence kits for the sworn

officer's patrol vehicles for the last seven years. He recently trained and is mentoring a new group of volunteers to take over this assignment.

With the fleet of six volunteer vehicles, Alan is also responsible to ensure their own equipment (ie: first aid kits, traffic cones, electronic power flares, GPS, and spotlights) is "patrol ready" on a quarterly basis, if not sooner.

Alan is a key logistics co-leader at our annual Patriot Day event, planning and directing high school volunteers to ensure vendors have the proper tables, chairs, tents, and other support equipment to assure a successful event.

For the past 10+ years, Alan has assumed a key traffic control location for the annual Run Rocklin directing vehicle traffic to protect the runners on the street.

Although he has a full-time job, Alan has consistently faithfully given his personal time and energy to conducting his many assignments with 110% effort. He has accumulated over 4000 volunteer hours and was awarded the Presidential Lifetime Achievement Award in 2019.

By volunteering, Alan has been able to support the department's K9 program with an annual $500 donation, received through his former employer (retired from State Farm) community grant program. Alan is the "Poster Adult" of what a Police and Fire volunteer is committed to do...provide any help to assure we have a safer community where families can work and play, knowing there are people like him who truly care about our City.

How has the nominee's efforts helped the residents, businesses and/or stakeholders of Rocklin?:

N/A

When did the community service take place?:

2005 as a graduate of the Rocklin Police Volunteer Academy

Please include any other information about the nominee you feel is important:

N/A

Submitter Name: Stuart Lehman
Address: [redacted]
Contact (Phone): [redacted]
[redacted]

Ruhkala Community Service Awards Nominations

BUSINESS, GROUP OR SERVICE ORGANIZATION

Sierra College Foundation

Nomination Category: Business, Group or Service Organization

Describe the main goal of the businesses, group or service organization that is being nominated:

The Sierra College Foundation exists to support students at Sierra College.

How has the nominee's efforts helped the residents, businesses and/or stakeholders of Rocklin?:

The Sierra College Foundation has spearheaded a wide array of programs to support students at the Rocklin campus, delivering hundreds of thousands of dollars in scholarships every year. But where the Foundation really stands out is its nationally recognized programs for emancipated foster youth and veterans. Sierra College Foundation's support for emancipated foster youth has made the college a welcoming place for young people who often lack the support systems that so many college students take for granted. The Foundation rallies the community to support these students. Additionally, the Foundation has helped the College become known as one of the best places for veterans to study and get reacclimated to civilian life. The Foundation helped spearhead the creation of a fully staffed Veteran's Center and has helped hundreds and hundreds of veterans succeed.

Describe the community service performed by the business, group or service organization that merits consideration for a Ruhkala Award:

The College has been a cornerstone of Rocklin since 1962 and the Foundation has existed for nearly 50 years. Over the last two decades the Foundation has done ground-breaking work to assist students with housing and basic needs -- rallying the community to be partners in the effort.

When did the community service take place?:

The Foundation's work over the last 15 years has accelerated to support emancipated foster youth, veterans, students with housing needs and scholarships.

Please include any other information about the nominee you feel is important:

While the Foundation's work extends to people outside Rocklin it makes a huge contribution to Rocklin students as well as those living here temporarily to attend college.

ROCKLIN
CALIFORNIA

Ruhkala Community Service Awards Nominations

Submitter Name: Bill Halldin
Address: [redacted]
Contact (Phone): [redacted]
[redacted]

Ruhkala Community Service Awards Nominations

PIONEER

Daniel Taylor

Nomination Category: Pioneer

Describe the community service performed by the nominee that merits consideration of a Ruhkala Award:

TRI CITY LITTLE LEAGUE -
President 2 years 1975 - 1976
Coached 13 year old team and 13 year old All-Star team. Started the Little League division for 13 year old kids. It had previously been a 13-15 year old division called Seniors.

LIONS CLUB OF ROCKLIN
Rocklin Lions Club member from 1972-present
President - 2 times 2015 and 2016
Treasurer 2018-2023
Loomis Basin Christmas baskets benefiting Rocklin needy families. Gave financial support and helped assemble and deliver boxes of food to Rocklin families.

COMMUNITY COVENANT CHURCH
Church member from 1968-present
Served on the church board for 4 years.
Helped assemble and deliver Christmas food baskets and Thanksgiving food baskets for needy families in the Rocklin community.
Provided financial support for teachers at Parker Whitney in the form of school supplies for their classrooms.

ROCKLIN HIGH SCHOOL
Varsity Baseball Team: Kept the scorebook, stats and assisted with the team for 23 years. Traveled with the team to out of town tournaments.
Made and printed memory books for all players and coaches from 1993 - 2016.
Varsity Basketball Team: Made and printed memory books for all players and coaches from 1993-2010. Coached teams at summer camps 1998-2000. Co-Director of the Holiday Invitational Tournament in charge of All-Tournament Team selection 2000-2005. Hosted annual team dinners at his home. Traveled with the team to out of town tournaments and made financial donations to pay for team meals and other player expenses. Sponsored multiple "basketball" families in need by purchasing groceries, helping pay rent, and giving players jobs in order to earn money for

themselves and their family.

ROCKLIN HISTORICAL SOCIETY
Has been a member from 2017-2023. Attends meetings and fundraising events.

CITY OF OF ROCKLIN
Organized and ran a community track meet for all elementary School children in Rocklin. Part of the Centennial Celebration.

COUNTY AND CITY OF ROCKLIN
Served on the Placer County Grand Jury for 2 years and was the Foreman of the Grand Jury for 1 year.

How has the nominee's efforts helped the residents, businesses and/or stakeholders of Rocklin?:

All of the groups and service organizations that Dan Taylor has donated his time too make a direct impact on children, adults, and families in the Rocklin community in one way or another. His efforts bring happiness to kids and improves the quality of life for families, especially those in need. He has and continues to make a positive impact in our community through the many groups he is still working with.

When did the community service take place?:

1968-present

Please include any other information about the nominee you feel is important:

Daniel Taylor is 91 years old and has been a proud resident of Rocklin since 1968. He had 30 year career in education as a elementary school teacher, principal, and superintendent. His wife Clara Taylor also had a career in education as an elementary school teacher and principal. He has two children, Susan and Steve, who both became teachers. Steve currently is teaching and coaching basketball at Rocklin High school and is finishing up his 37th year in education. Daniel has lived a life of service to children, adults, and families in the Rocklin community for 55 years and counting. I don't think there are many people that have lived in Rocklin for as long as he has and have given so much of their time to so many different groups and organizations. He is certainly deserving of this award and the recognition that comes with it. He loves the Rocklin community and this award would be very meaningful to him at this point in his long life.

Ruhkala Community Service Awards Nominations

Submitter Name: Stephen Taylor
Address: [redacted]
Contact (Phone): [redacted]
[redacted]

Ruhkala Community Service Awards Nominations

Gloria Beverage

Nomination Category: Pioneer

Describe the community service performed by the nominee that merits consideration of a Ruhkala Award:

Gloria has been contributing to the Rocklin community since she moved here in 1987. Many in Rocklin first made their acquaintance with Gloria through her employment with Gold Country Media. Over the years she worked for several of their papers and was editor of Rocklin's newspaper, The Placer Herald. She wrote a column for the paper titled "Surviving the Breakup", which came out of the support group that she led for divorcees. Gloria's care for her neighbor's wellbeing then led her to write a regular column titled "Surviving with Kids."

As she got to know Rocklin, Gloria found places to plug in and get connected. She is a Board Member with the Rocklin Historical Society, and has been a contributor to the Quarry Quarterly for many years. Gloria is credited with instituting rotating displays at the Rocklin Historical Museum, where she serves as a docent. She also writes press releases and stories on behalf of RHS for the newspaper.

Gloria has also been a member of the Rocklin Friends of the Library for many years. She has graciously served as Book Sale Chair and stores all of the used books donated to the Friends in her GARAGE, where she sorts them for sale in the Library book store, the eBay store, and for the Friends' semi-annual sales. She coordinates volunteers and provides training. Gloria also helps to manage the Little Free Library program which is a partnership between the City of Rocklin and the Friends. She provides books to member volunteers every month to aid them in restocking the Little Libraries for the community. (She personally curates one too!)

Gloria also started the "Rocklin Reads" program that has showcased local authors and created a partnership between the Rocklin Friends of the Library and the Rocklin Historical Society. That partnership has also led to several co-sponsored Women's History events over the years.
Gloria has been the leader of the Sierra College Osher Lifelong Learning Institute Book Club for seniors for many years; and if all of that was not enough, she just became the leader of a book club for seniors at the Atria Senior Living Community in Rocklin providing educational and recreational service to those who can't make it to the book club at the Rocklin Library.

Gloria is also committed to the young people in our community and has served at ALL of our local high schools doing mock-interviews to help them gain valuable experience as they venture out into the world.

Ruhkala Community Service Awards Nominations

How has the nominee's efforts helped the residents, businesses and/or stakeholders of Rocklin?:

Gloria's service has helped to provide tens of thousands of dollars back to the Rocklin Library through all of the book sales she has been responsible for with the Rocklin Friends of the Library. She has given of herself to ensure that seniors in our community have ways to remain stimulated, engaged, and growing through book clubs. She has reported the news with integrity for decades in our community. Her commitment to the Rocklin Historical Society has helped to make our history come alive and reach more residents in our growing city every year. Gloria's involvement in mock-interviews helps to give our young people a leg up when they enter the job market or prepare for college. She has invested in Rocklin's past, present, and future, and is wholly deserving of this recognition.

When did the community service take place?:

Over the last several decades.

Please include any other information about the nominee you feel is important:

This nomination is supported by:
Michele Vass, President, Rocklin Friends of the Library
Getta Dolinsek, Vice President, Rocklin Friends of the Library
Susan Brooking, Board Member, Rocklin Historical Society
Linda Wampler, Board Member, Rocklin Historical Society

Submitter Name: Michele Vass
Address: [redacted]

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Abatement of Public Nuisance in Various Locations in the City (Weed Abatement)

Date: May 9, 2023

Submitted By: Justin Nartker, Director of Public Services
Richard Lawrence, Public Services Manager

Department: Public Services

Recommendation:

A. Conduct a public hearing to solicit comments on the Abatement of Public Nuisance in Various Locations in the City.
B. Upon completion of the Protest Hearing, and after giving all parties on "Exhibit A" of the Resolution due consideration regarding abatement on their property, Adopt a Resolution of the City Council of the City of Rocklin Ordering Abatement of Public Nuisance in Various Locations in the City of Rocklin.

Background:

To complete the weed and rubbish abatement process, the City is required to hold a noticed protest hearing to hear objections from any landowners whose property has been declared a nuisance. On April 11, 2023, the City Council adopted Resolution No. 2023-43, which set May 9 2023 as the date of the public hearing. As required by statute, notices were sent to the owners of the properties declared a nuisance, via certified letters mailed on April 12, 2023. After hearing the objections, if any, the City Council shall allow or overrule any objections. At that time, the City Council acquires jurisdiction to proceed and perform the work of removal and by approving the Resolution (Attachment 1) makes the order to proceed with abatement.

Approximately 680 vacant parcels in the City require weed abatement (Exhibit A of the Resolution). The weed abatement contractor will inspect each property prior to any abatement work; and, if found to be in compliance with the City Standards for Maintenance of Vacant Parcels, no work will be performed by the City contractor.

To date, the City has received one public comment, via email, which is included as Attachment 2.

Fiscal Impact:

This is funded out of the General Fund (100). No fiscal impact has been identified as there is a sufficient funding is budgeted and available in the Fiscal Year 2022/23 Operating Budget.

The General Fund will be reimbursed as property owners pay the issued bills and as liens are placed against properties for noncompliance and nonpayment.

ATTACHMENTS:

1. Resolution
2. Public Comments Received as of May 2, 2023 .docx

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN ORDERING ABATEMENT OF A PUBLIC NUISANCE ON VARIOUS PROPERTIES IN THE CITY OF ROCKLIN
(Weed Abatement)

WHEREAS, on April 11, 2023, the City Council of the City of Rocklin declared conditions of certain property in the City of Rocklin a public nuisance, ordered the nuisance to be abated before June 1, 2023, pursuant to the City of Rocklin's Standards for Maintenance of Vacant Parcels and Rocklin Municipal Code section 8.12, and set a public hearing for May 9, 2023; and

WHEREAS, the conditions leading to the declaration of a public nuisance included the accumulation of combustibles, weeds, and other rank growth on the properties that substantially increase the fire hazard, and are a hazard to the public health and safety; and

WHEREAS, in accordance with California Government Code sections 39567.1 and 39566, on April 12, 2023, City staff mailed to the property owners written notice of the City Council's Resolution declaring the properties a public nuisance, ordering abatement, and the date for the public protest hearing; and

WHEREAS, on May 9, 2023, a public protest hearing was held before the City Council of the City of Rocklin, all persons present or participating remotely were provided an opportunity to be heard, and Council allowed or overruled any objections.

NOW THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The recitals set forth above are true and correct.

Section 2. The City Council of the City of Rocklin declares that certain properties in the City of Rocklin, identified on Exhibit "A," attached hereto and incorporated herewith, have an accumulation of combustibles, weeds and other rank growth that substantially increase the fire hazard, and are detrimental to the public health, safety, and welfare, thereby constituting a public nuisance.

Section 3. It is therefore ordered that such nuisance be abated before June 1, 2023, or the City will cause the abatement to be accomplished and the cost thereof placed as a special assessment lien against the properties identified in Exhibit "A."

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

010-072-002-000	4920 PACIFIC ST	ROCKLIN CA 95677
010-072-012-000	4970 PACIFIC ST	ROCKLIN CA 95677
010-072-015-000	4950 PACIFIC ST	ROCKLIN CA 95677
010-082-059-000	4700 GROVE STREET	ROCKLIN CA 95677
010-091-022-000	4830 SAN FRANCISCO ST	ROCKLIN CA 95677
010-092-010-000	4975 PACIFIC ST	ROCKLIN CA 95677
010-121-006-000	3720 PINE ST	ROCKLIN CA 95677
010-122-009-000	5145 RAILROAD AV	ROCKLIN CA 95677
010-122-011-000	RAILROAD AV	ROCKLIN CA 95677
010-134-010-000	5160 HIGH ST	ROCKLIN CA 95677
010-144-007-000	FRONT ST	ROCKLIN CA 95677
010-144-008-000	FRONT ST	ROCKLIN CA 95677
010-170-002-000	5235 PACIFIC ST	ROCKLIN CA 95677
010-181-084-000	4125 DIEGO WY	ROCKLIN CA 95677
010-181-085-000	4135 DIEGO WY	ROCKLIN CA 95677
010-191-004-000	WILLARD WY	ROCKLIN CA 95677
010-191-007-000	6050 PACIFIC ST	ROCKLIN CA 95677
010-210-013-000	5420 FRONT ST	ROCKLIN CA 95677
010-230-008-000	5425 RUHKALA RD	ROCKLIN CA 95677
010-480-001-000	4101 HARDROCK CT	ROCKLIN CA 95677
010-480-002-000	4103 HARDROCK CT	ROCKLIN CA 95677
010-480-003-000	4105 HARDROCK CT	ROCKLIN CA 95677
010-480-004-000	4107 HARDROCK CT	ROCKLIN CA 95677
010-480-005-000	4109 HARDROCK CT	ROCKLIN CA 95677
010-480-006-000	4111 HARDROCK CT	ROCKLIN CA 95677
010-480-007-000	4110 HARDROCK CT	ROCKLIN CA 95677
010-480-008-000	4108 HARDROCK CT	ROCKLIN CA 95677
010-480-009-000	4106 HARDROCK CT	ROCKLIN CA 95677
010-480-010-000	4104 HARDROCK CT	ROCKLIN CA 95677
010-480-011-000	4046 WINDING LN	ROCKLIN CA 95677
010-480-012-000	4044 WINDING LN	ROCKLIN CA 95677
010-480-013-000	4042 WINDING LN	ROCKLIN CA 95677
010-480-014-000	4001 DELLA CT	ROCKLIN CA 95677
010-480-015-000	4011 DELLA CT	ROCKLIN CA 95677
010-480-016-000	4021 DELLA CT	ROCKLIN CA 95677
010-480-017-000	4031 DELLA CT	ROCKLIN CA 95677
010-480-019-000	4040 DELLA CT	ROCKLIN CA 95677
010-480-020-000	4030 DELLA CT	ROCKLIN CA 95677
010-480-021-000	4020 DELLA CT	ROCKLIN CA 95677
010-480-022-000	4010 DELLA CT	ROCKLIN CA 95677
010-480-024-000	4034 WINDING LN	ROCKLIN CA 95677
010-480-025-000	4032 WINDING LN	ROCKLIN CA 95677

016-030-003-000	6050 PACIFIC ST	ROCKLIN CA 95677
016-090-024-000	4625 NORTH STAR ST	ROCKLIN CA 95677
016-100-031-000	4915 TOPAZ AV	ROCKLIN CA 95677
016-180-004-000	5410 PARAGON ST	ROCKLIN CA 95677
016-180-005-000	5400 PARAGON ST	ROCKLIN CA 95677
016-210-002-000	5450 PARAGON ST	ROCKLIN CA 95677
016-240-039-000	S WHITNEY BL	ROCKLIN CA 95677
017-086-013-000	1420 W STANFORD RANCH RD	ROCKLIN CA 95765
017-171-043-000	1271 WHITNEY RANCH PW	ROCKLIN CA 95765
017-171-044-000	1275 WHITNEY RANCH PW	ROCKLIN CA 95765
017-283-047-000	1020 SUNSET BL	ROCKLIN CA 95765
017-284-006-000	1110 SUNSET BL	ROCKLIN CA 95765
017-284-007-000	1130 SUNSET BL	ROCKLIN CA 95765
030-200-033-000	3962 RAWHIDE RD	ROCKLIN CA 95677
030-210-068-000	3932 RAWHIDE RD	ROCKLIN CA 95677
030-210-069-000	3934 RAWHIDE RD	ROCKLIN CA 95677
045-011-014-000	4080 DELMAR AV	ROCKLIN CA 95677
045-011-015-000	3998 DELMAR AV	ROCKLIN CA 95677
045-031-001-000	4615 GROVE ST	ROCKLIN CA 95677
045-031-013-000	4455 CIRCUIT CT	ROCKLIN CA 95677
045-031-014-000	4465 CIRCUIT CT	ROCKLIN CA 95677
045-043-080-000	4064 DIAS LN	ROCKLIN CA 95677
045-080-033-000	4469 GRANITE DR	ROCKLIN CA 95677
045-103-006-000	4555 ROCKLIN RD	ROCKLIN CA 95677
045-110-062-000	4470 ROCKLIN RD	ROCKLIN CA 95677
045-110-066-000	5500 CHINA GARDEN RD	ROCKLIN CA 95677
045-120-062-000	4540 MONUMENT SPRINGS DR	ROCKLIN CA 95677
045-131-001-000	4651 EL DON DR	ROCKLIN CA 95677
045-190-008-000	4500 IVY LN	ROCKLIN CA 95677
045-260-044-000	2491 SIERRA MEADOWS DR	ROCKLIN CA 95677
045-590-005-000	4409 REDSTART LN	ROCKLIN CA 95677
045-590-006-000	4411 REDSTART LN	ROCKLIN CA 95677
045-590-007-000	4413 REDSTART LN	ROCKLIN CA 95677
045-590-008-000	4704 GADWALL LN	ROCKLIN CA 95677
045-590-009-000	4702 GADWALL LN	ROCKLIN CA 95677
045-590-010-000	4700 GADWALL LN	ROCKLIN CA 95677
045-590-017-000	4366 ROBINSON WY	ROCKLIN CA 95677
045-590-018-000	4364 ROBINSON WY	ROCKLIN CA 95677
045-590-019-000	4362 ROBINSON WY	ROCKLIN CA 95677
045-590-020-000	4360 ROBINSON WY	ROCKLIN CA 95677
045-590-021-000	4358 ROBINSON WY	ROCKLIN CA 95677
045-590-022-000	4356 ROBINSON WY	ROCKLIN CA 95677

045-590-023-000	4354 ROBINSON WY	ROCKLIN CA 95677
045-590-024-000	4357 ROBINSON WY	ROCKLIN CA 95677
045-590-025-000	4803 MIRAGE LN	ROCKLIN CA 95677
045-590-026-000	4801 MIRAGE LN	ROCKLIN CA 95677
045-590-027-000	4800 MIRAGE LN	ROCKLIN CA 95677
045-590-028-000	4802 MIRAGE LN	ROCKLIN CA 95677
045-590-029-000	4903 CAMBER LN	ROCKLIN CA 95677
045-590-030-000	4901 CAMBER LN	ROCKLIN CA 95677
045-590-031-000	4900 CAMBER LN	ROCKLIN CA 95677
045-590-033-000	5005 TRIUMPH LN	ROCKLIN CA 95677
045-590-034-000	5001 TRIUMPH LN	ROCKLIN CA 95677
045-590-035-000	5004 TRIUMPH LN	ROCKLIN CA 95677
045-590-036-000	5002 TRIUMPH LN	ROCKLIN CA 95677
045-590-037-000	5000 TRIUMPH LN	ROCKLIN CA 95677
045-590-038-000	4375 ROBINSON WY	ROCKLIN CA 95677
045-590-039-000	4377 ROBINSON WY	ROCKLIN CA 95677
045-600-001-000	5671 BROOKSHIRE CT	ROCKLIN CA 95677
045-600-002-000	5681 BROOKSHIRE CT	ROCKLIN CA 95677
045-600-003-000	5691 BROOKSHIRE CT	ROCKLIN CA 95677
045-600-004-000	5690 BROOKSHIRE CT	ROCKLIN CA 95677
045-600-005-000	5680 BROOKSHIRE CT	ROCKLIN CA 95677
045-600-007-000	5660 BROOKSHIRE CT	ROCKLIN CA 95677
045-600-013-000	5934 BROOKSHIRE DR	ROCKLIN CA 95677
045-600-021-000	5885 BROOKSHIRE DR	ROCKLIN CA 95677
045-600-022-000	5877 BROOKSHIRE DR	ROCKLIN CA 95677
045-600-024-000	5861 BROOKSHIRE DR	ROCKLIN CA 95677
045-600-025-000	5853 BROOKSHIRE DR	ROCKLIN CA 95677
045-600-026-000	5845 BROOKSHIRE DR	ROCKLIN CA 95677
045-600-027-000	5837 BROOKSHIRE DR	ROCKLIN CA 95677
045-600-028-000	5949 1/2 BROOKSHIRE DR	ROCKLIN CA 95677
045-600-030-000	5650 1/2 AGUILAR RD	ROCKLIN CA 95677
045-610-001-000	4791 RACETRACK RD	ROCKLIN CA 95677
045-610-002-000	4787 RACETRACK RD	ROCKLIN CA 95677
045-610-003-000	4783 RACETRACK RD	ROCKLIN CA 95677
045-610-005-000	4775 RACETRACK RD	ROCKLIN CA 95677
045-610-006-000	4771 RACETRACK RD	ROCKLIN CA 95677
045-610-007-000	4767 RACETRACK RD	ROCKLIN CA 95677
045-610-008-000	4763 RACETRACK RD	ROCKLIN CA 95677
045-610-009-000	4759 RACETRACK RD	ROCKLIN CA 95677
045-610-010-000	4755 RACETRACK RD	ROCKLIN CA 95677
046-214-003-000	4140 SECRET RAVINE WY	ROCKLIN CA 95677
364-090-024-000	2300 SUNSET BL	ROCKLIN CA 95765

364-090-025-000	2310 SUNSET BL	ROCKLIN CA 95765
365-020-067-000	6351 WEST OAKS BL	ROCKLIN CA 95765
365-020-068-000	6341 WEST OAKS BL	ROCKLIN CA 95765
365-020-069-000	6331 WEST OAKS BL	ROCKLIN CA 95765
365-020-070-000	6321 WEST OAKS BL	ROCKLIN CA 95765
365-020-071-000	6311 WEST OAKS BL	ROCKLIN CA 95765
365-020-072-000	6301 WEST OAKS BL	ROCKLIN CA 95765
368-020-031-000	5421 BUTTE CI	ROCKLIN CA 95765
370-120-003-000	5071 MIDAS AV	ROCKLIN CA 95677
370-120-004-000	5073 MIDAS AV	ROCKLIN CA 95677
370-120-005-000	5075 MIDAS AV	ROCKLIN CA 95677
372-210-001-000	4246 SHADY MILLS CT	ROCKLIN CA 95765
372-210-002-000	4245 SHADY MILLS CT	ROCKLIN CA 95765
372-210-003-000	4240 SHADY MILLS CT	ROCKLIN CA 95765
372-210-004-000	4235 SHADY MILLS CT	ROCKLIN CA 95765
372-210-005-000	4230 SHADY MILLS CT	ROCKLIN CA 95765
372-210-006-000	4225 SHADY MILLS CT	ROCKLIN CA 95765
372-210-007-000	4220 SHADY MILLS CT	ROCKLIN CA 95765
372-210-008-000	4215 SHADY MILLS CT	ROCKLIN CA 95765
372-210-009-000	4210 SHADY MILLS CT	ROCKLIN CA 95765
372-210-010-000	4205 SHADY MILLS CT	ROCKLIN CA 95765
372-210-011-000	1304 BEAR CREEK DR	ROCKLIN CA 95765
372-210-015-000	1320 BEAR CREEK DR	ROCKLIN CA 95765
372-210-016-000	1324 BEAR CREEK DR	ROCKLIN CA 95765
372-210-017-000	1328 BEAR CREEK DR	ROCKLIN CA 95765
372-210-018-000	1332 BEAR CREEK DR	ROCKLIN CA 95765
372-210-019-000	1336 BEAR CREEK DR	ROCKLIN CA 95765
372-210-020-000	1340 BEAR CREEK DR	ROCKLIN CA 95765
372-210-021-000	1215 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-022-000	1213 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-023-000	1211 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-024-000	1110 BROOK TRAIL CT	ROCKLIN CA 95765
372-210-025-000	1120 BROOK TRAIL CT	ROCKLIN CA 95765
372-210-026-000	1130 BROOK TRAIL CT	ROCKLIN CA 95765
372-210-027-000	1140 BROOK TRAIL CT	ROCKLIN CA 95765
372-210-028-000	1150 BROOK TRAIL CT	ROCKLIN CA 95765
372-210-029-000	1151 BROOK TRAIL CT	ROCKLIN CA 95765
372-210-030-000	1141 BROOK TRAIL CT	ROCKLIN CA 95765
372-210-031-000	1131 BROOK TRAIL CT	ROCKLIN CA 95765
372-210-032-000	1121 BROOK TRAIL CT	ROCKLIN CA 95765
372-210-033-000	1209 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-034-000	1207 BUCK RIDGE DR	ROCKLIN CA 95765

372-210-035-000	1205 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-036-000	1203 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-037-000	1200 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-038-000	1202 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-039-000	1204 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-040-000	1206 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-041-000	1208 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-042-000	1210 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-043-000	1212 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-044-000	1214 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-045-000	1216 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-046-000	1218 BUCK RIDGE DR	ROCKLIN CA 95765
372-210-047-000	1341 BEAR CREEK DR	ROCKLIN CA 95765
372-210-048-000	1337 BEAR CREEK DR	ROCKLIN CA 95765
372-210-049-000	1333 BEAR CREEK DR	ROCKLIN CA 95765
372-210-050-000	1329 BEAR CREEK DR	ROCKLIN CA 95765
372-210-051-000	1325 BEAR CREEK DR	ROCKLIN CA 95765
372-210-052-000	1321 BEAR CREEK DR	ROCKLIN CA 95765
372-210-053-000	1317 BEAR CREEK DR	ROCKLIN CA 95765
372-210-054-000	1313 BEAR CREEK DR	ROCKLIN CA 95765
372-210-055-000	1309 BEAR CREEK DR	ROCKLIN CA 95765
372-210-056-000	1305 BEAR CREEK DR	ROCKLIN CA 95765
372-210-057-000	1301 BEAR CREEK DR	ROCKLIN CA 95765
372-220-001-000	1344 BEAR CREEK DR	ROCKLIN CA 95765
372-220-002-000	1348 BEAR CREEK DR	ROCKLIN CA 95765
372-220-003-000	1352 BEAR CREEK DR	ROCKLIN CA 95765
372-220-004-000	1356 BEAR CREEK DR	ROCKLIN CA 95765
372-220-005-000	1360 BEAR CREEK DR	ROCKLIN CA 95765
372-220-006-000	1364 BEAR CREEK DR	ROCKLIN CA 95765
372-220-007-000	1368 BEAR CREEK DR	ROCKLIN CA 95765
372-220-012-000	1219 BUCK RIDGE DR	ROCKLIN CA 95765
372-220-013-000	1217 BUCK RIDGE DR	ROCKLIN CA 95765
372-220-014-000	1220 BUCK RIDGE DR	ROCKLIN CA 95765
372-220-020-000	1361 BEAR CREEK DR	ROCKLIN CA 95765
372-220-021-000	1357 BEAR CREEK DR	ROCKLIN CA 95765
372-220-022-000	1353 BEAR CREEK DR	ROCKLIN CA 95765
372-220-023-000	1349 BEAR CREEK DR	ROCKLIN CA 95765
372-220-024-000	1345 BEAR CREEK DR	ROCKLIN CA 95765
372-230-001-000	1372 BEAR CREEK DR	ROCKLIN CA 95765
372-230-002-000	1376 BEAR CREEK DR	ROCKLIN CA 95765
372-240-001-000	700 SADDLEROCK WY	ROCKLIN CA 95765
372-240-002-000	704 SADDLEROCK WY	ROCKLIN CA 95765

372-240-003-000	708 SADDLEROCK WY	ROCKLIN CA 95765
372-240-004-000	712 SADDLEROCK WY	ROCKLIN CA 95765
372-240-005-000	716 SADDLEROCK WY	ROCKLIN CA 95765
372-240-006-000	720 SADDLEROCK WY	ROCKLIN CA 95765
372-240-007-000	724 SADDLEROCK WY	ROCKLIN CA 95765
372-240-008-000	728 SADDLEROCK WY	ROCKLIN CA 95765
372-240-009-000	732 SADDLEROCK WY	ROCKLIN CA 95765
372-240-010-000	736 SADDLEROCK WY	ROCKLIN CA 95765
372-240-011-000	740 SADDLEROCK WY	ROCKLIN CA 95765
372-240-012-000	744 SADDLEROCK WY	ROCKLIN CA 95765
372-240-013-000	748 SADDLEROCK WY	ROCKLIN CA 95765
372-240-014-000	3304 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-015-000	3308 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-016-000	3312 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-017-000	3316 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-018-000	3320 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-019-000	3324 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-020-000	3328 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-021-000	3340 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-022-000	3344 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-023-000	3348 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-024-000	3352 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-025-000	3356 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-026-000	3360 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-027-000	3361 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-028-000	3357 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-029-000	3353 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-030-000	3349 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-031-000	3345 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-032-000	3341 GROUSE RIDGE CT	ROCKLIN CA 95765
372-240-033-000	3337 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-034-000	3333 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-035-000	3329 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-036-000	3325 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-037-000	3321 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-038-000	3317 GROUSE RIDGE WY	ROCKLIN CA 95765
372-240-039-000	741 SADDLEROCK WY	ROCKLIN CA 95765
372-240-040-000	737 SADDLEROCK WY	ROCKLIN CA 95765
372-240-041-000	725 SADDLEROCK WY	ROCKLIN CA 95765
372-240-042-000	721 SADDLEROCK WY	ROCKLIN CA 95765
372-240-043-000	3210 CHICKADEE CT	ROCKLIN CA 95765
372-240-044-000	3214 CHICKADEE CT	ROCKLIN CA 95765

372-240-045-000	3218 CHICKADEE CT	ROCKLIN CA 95765
372-240-046-000	3229 CHICKADEE CT	ROCKLIN CA 95765
372-240-047-000	3225 CHICKADEE CT	ROCKLIN CA 95765
372-240-048-000	3221 CHICKADEE CT	ROCKLIN CA 95765
372-240-049-000	3217 CHICKADEE CT	ROCKLIN CA 95765
372-240-050-000	3213 CHICKADEE CT	ROCKLIN CA 95765
372-240-051-000	3209 CHICKADEE CT	ROCKLIN CA 95765
372-240-052-000	3205 CHICKADEE CT	ROCKLIN CA 95765
372-240-053-000	3201 CHICKADEE CT	ROCKLIN CA 95765
372-250-001-000	3628 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-250-002-000	3632 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-250-003-000	3636 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-250-004-000	3640 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-250-005-000	3644 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-250-006-000	3648 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-250-007-000	3652 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-250-008-000	3656 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-250-009-000	3660 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-250-010-000	3664 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-250-011-000	3670 RAMHORN RIDGE CT	ROCKLIN CA 95765
372-250-012-000	3674 RAMHORN RIDGE CT	ROCKLIN CA 95765
372-250-013-000	3678 RAMHORN RIDGE CT	ROCKLIN CA 95765
372-250-014-000	3682 RAMHORN RIDGE CT	ROCKLIN CA 95765
372-250-015-000	3686 RAMHORN RIDGE CT	ROCKLIN CA 95765
372-250-016-000	3675 RAMHORN RIDGE CT	ROCKLIN CA 95765
372-250-017-000	3671 RAMHORN RIDGE CT	ROCKLIN CA 95765
372-250-018-000	904 MIRAGE LN	ROCKLIN CA 95765
372-250-019-000	906 MIRAGE LN	ROCKLIN CA 95765
372-250-020-000	908 MIRAGE LN	ROCKLIN CA 95765
372-250-021-000	3518 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-022-000	3520 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-023-000	3522 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-024-000	3524 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-025-000	3526 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-026-000	3528 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-027-000	3530 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-028-000	3532 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-029-000	3537 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-030-000	3535 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-031-000	3533 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-032-000	3531 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-033-000	3529 DUTCH CANYON CT	ROCKLIN CA 95765

372-250-034-000	3527 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-035-000	3525 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-036-000	3523 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-037-000	3521 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-038-000	3519 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-039-000	3517 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-040-000	3515 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-041-000	3513 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-042-000	3511 DUTCH CANYON CT	ROCKLIN CA 95765
372-250-043-000	3509 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-048-000	911 MIRAGE LN	ROCKLIN CA 95765
372-250-049-000	909 MIRAGE LN	ROCKLIN CA 95765
372-250-050-000	907 MIRAGE LN	ROCKLIN CA 95765
372-250-051-000	905 MIRAGE LN	ROCKLIN CA 95765
372-250-052-000	903 MIRAGE LN	ROCKLIN CA 95765
372-250-053-000	901 MIRAGE LN	ROCKLIN CA 95765
372-250-054-000	800 SALT FLAT LN	ROCKLIN CA 95765
372-250-055-000	820 SALT FLAT LN	ROCKLIN CA 95765
372-250-056-000	820 SALT FLAT LN	ROCKLIN CA 95765
372-250-057-000	830 SALT FLAT LN	ROCKLIN CA 95765
372-250-058-000	840 SALT FLAT LN	ROCKLIN CA 95765
372-250-059-000	850 SALT FLAT LN	ROCKLIN CA 95765
372-250-060-000	860 SALT FLAT LN	ROCKLIN CA 95765
372-250-061-000	865 SALT FLAT LN	ROCKLIN CA 95765
372-250-062-000	855 SALT FLAT LN	ROCKLIN CA 95765
372-250-063-000	845 SALT FLAT LN	ROCKLIN CA 95765
372-250-064-000	835 SALT FLAT LN	ROCKLIN CA 95765
372-250-065-000	825 SALT FLAT LN	ROCKLIN CA 95765
372-250-066-000	815 SALT FLAT LN	ROCKLIN CA 95765
372-250-067-000	801 SALT FLAT LN	ROCKLIN CA 95765
372-250-068-000	3475 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-069-000	3477 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-070-000	3479 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-071-000	3481 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-072-000	3483 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-073-000	3485 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-074-000	3487 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-075-000	3500 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-076-000	3498 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-077-000	3496 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-078-000	3494 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-079-000	3492 DUTCH CANYON DR	ROCKLIN CA 95765

372-250-080-000	3490 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-081-000	3488 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-082-000	3486 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-083-000	3484 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-084-000	3482 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-085-000	3480 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-086-000	3478 DUTCH CANYON DR	ROCKLIN CA 95765
372-250-087-000	3476 DUTCH CANYON DR	ROCKLIN CA 95765
372-260-011-000	503 RED SAND RD	ROCKLIN CA 95765
372-260-012-000	501 RED SAND RD	ROCKLIN CA 95765
372-260-013-000	499 RED SAND CT	ROCKLIN CA 95765
372-260-014-000	497 RED SAND CT	ROCKLIN CA 95765
372-260-015-000	495 RED SAND CT	ROCKLIN CA 95765
372-260-026-000	494 RED SAND CT	ROCKLIN CA 95765
372-260-027-000	500 RED SAND RD	ROCKLIN CA 95765
372-260-028-000	502 RED SAND RD	ROCKLIN CA 95765
372-260-039-000	522 DESERT BLOOM CT	ROCKLIN CA 95765
372-260-040-000	641 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-041-000	637 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-042-000	633 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-043-000	629 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-044-000	625 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-045-000	621 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-046-000	617 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-047-000	613 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-048-000	609 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-049-000	605 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-050-000	601 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-051-000	3600 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-260-052-000	3604 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-260-053-000	3608 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-260-054-000	3612 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-260-055-000	3616 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-260-056-000	3620 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-260-057-000	3624 RAMHORN RIDGE DR	ROCKLIN CA 95765
372-260-058-000	720 SAND DUNE CT	ROCKLIN CA 95765
372-260-059-000	740 SAND DUNE CT	ROCKLIN CA 95765
372-260-060-000	760 SAND DUNE CT	ROCKLIN CA 95765
372-260-061-000	780 SAND DUNE CT	ROCKLIN CA 95765
372-260-062-000	600 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-063-000	604 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-064-000	608 BUFFALO TRAIL CT	ROCKLIN CA 95765

372-260-065-000	612 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-066-000	616 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-067-000	620 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-068-000	624 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-069-000	628 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-070-000	632 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-260-071-000	636 BUFFALO TRAIL CT	ROCKLIN CA 95765
372-270-001-000	4075 PETER'S CT	ROCKLIN CA 95765
372-270-002-000	4078 PETER'S CT	ROCKLIN CA 95765
372-270-006-000	4086 PETER'S CT	ROCKLIN CA 95765
372-270-007-000	4088 PETER'S CT	ROCKLIN CA 95765
372-270-008-000	4090 PETER'S CT	ROCKLIN CA 95765
372-270-009-000	4092 PETER'S CT	ROCKLIN CA 95765
372-270-010-000	4094 PETER'S CT	ROCKLIN CA 95765
372-270-011-000	4096 PETER'S CT	ROCKLIN CA 95765
372-270-012-000	4098 PETER'S CT	ROCKLIN CA 95765
372-270-013-000	4101 PETER'S WY	ROCKLIN CA 95765
372-270-014-000	4103 PETER'S WY	ROCKLIN CA 95765
372-270-015-000	4105 PETER'S WY	ROCKLIN CA 95765
372-270-016-000	4107 PETER'S WY	ROCKLIN CA 95765
372-270-017-000	4109 PETER'S WY	ROCKLIN CA 95765
372-270-018-000	4111 PETER'S WY	ROCKLIN CA 95765
372-270-019-000	4113 PETER'S WY	ROCKLIN CA 95765
372-270-020-000	4115 PETER'S WY	ROCKLIN CA 95765
372-270-021-000	4117 PETER'S WY	ROCKLIN CA 95765
372-270-022-000	4119 PETER'S WY	ROCKLIN CA 95765
372-270-023-000	4121 PETER'S WY	ROCKLIN CA 95765
372-270-024-000	4123 PETER'S WY	ROCKLIN CA 95765
372-270-025-000	4125 PETER'S WY	ROCKLIN CA 95765
372-270-026-000	4127 PETER'S WY	ROCKLIN CA 95765
372-270-027-000	4129 PETER'S WY	ROCKLIN CA 95765
372-270-028-000	4131 PETER'S WY	ROCKLIN CA 95765
372-270-029-000	4130 PETER'S WY	ROCKLIN CA 95765
372-270-030-000	4128 PETER'S WY	ROCKLIN CA 95765
372-270-031-000	4126 PETER'S WY	ROCKLIN CA 95765
372-270-032-000	4124 PETER'S WY	ROCKLIN CA 95765
372-270-033-000	4122 PETER'S WY	ROCKLIN CA 95765
372-270-034-000	4120 PETER'S WY	ROCKLIN CA 95765
372-270-035-000	4118 PETER'S WY	ROCKLIN CA 95765
372-270-036-000	4116 PETER'S WY	ROCKLIN CA 95765
372-270-037-000	4114 PETER'S WY	ROCKLIN CA 95765
372-270-038-000	4112 PETER'S WY	ROCKLIN CA 95765

372-270-039-000	4110 PETER'S WY	ROCKLIN CA 95765
372-270-040-000	4108 PETER'S WY	ROCKLIN CA 95765
372-270-041-000	4106 PETER'S WY	ROCKLIN CA 95765
372-270-042-000	4104 PETER'S WY	ROCKLIN CA 95765
372-270-043-000	4102 PETER'S WY	ROCKLIN CA 95765
372-270-044-000	4100 PETER'S WY	ROCKLIN CA 95765
372-270-045-000	4093 PETER'S CT	ROCKLIN CA 95765
372-270-046-000	4091 PETER'S CT	ROCKLIN CA 95765
372-270-047-000	4089 PETER'S CT	ROCKLIN CA 95765
372-270-048-000	4087 PETER'S CT	ROCKLIN CA 95765
372-270-049-000	4085 PETER'S CT	ROCKLIN CA 95765
372-270-050-000	4083 PETER'S CT	ROCKLIN CA 95765
372-270-051-000	4081 PETER'S CT	ROCKLIN CA 95765
372-270-052-000	4079 PETER'S CT	ROCKLIN CA 95765
372-270-053-000	4077 PETER'S CT	ROCKLIN CA 95765
372-280-001-000	4133 PETER'S WY	ROCKLIN CA 95765
372-280-028-000	4136 PETER'S WY	ROCKLIN CA 95765
372-280-029-000	4134 PETER'S WY	ROCKLIN CA 95765
372-280-030-000	4132 PETER'S WY	ROCKLIN CA 95765
373-030-060-000	2041 WILDCAT BL	ROCKLIN CA 95765
374-060-002-000	2312 CLUBHOUSE DR	ROCKLIN CA 95765
374-070-006-000	2336 CLUBHOUSE DR	ROCKLIN CA 95765
374-180-029-000	3928 WOODHOUSE CT	ROCKLIN CA 95765
374-200-003-000	2578 CLUBHOUSE DR W	ROCKLIN CA 95765
374-200-012-000	2596 CLUBHOUSE DR W	ROCKLIN CA 95765
374-270-014-000	3841 IRON WHEEL CT	ROCKLIN CA 95765
376-020-002-000	3704 STONE TEMPLE CT	ROCKLIN CA 95765
376-020-004-000	3708 STONE TEMPLE CT	ROCKLIN CA 95765
376-020-010-000	3713 STONE TEMPLE CT	ROCKLIN CA 95765
376-030-004-000	2382 CLUBHOUSE DR	ROCKLIN CA 95765
376-030-015-000	3750 CLUBHOUSE CT	ROCKLIN CA 95765
376-060-002-000	3820 WHITNEY OAKS DR	ROCKLIN CA 95765
454-040-007-000	4025 CORNWALL CT	ROCKLIN CA 95677
454-040-012-000	4013 CORNWALL CT	ROCKLIN CA 95677
454-060-009-000	6709 MONUMENT SPRINGS DR	ROCKLIN CA 95677
497-080-053-000	2900 SONGBIRD WY	ROCKLIN CA 95765
497-080-060-000	2810 IRON TIE CT	ROCKLIN CA 95765
497-080-061-000	2808 IRON TIE CT	ROCKLIN CA 95765
497-080-062-000	2806 IRON TIE CT	ROCKLIN CA 95765
497-080-063-000	2804 IRON TIE CT	ROCKLIN CA 95765
497-080-064-000	2800 IRON TIE CT	ROCKLIN CA 95765
497-080-066-000	2801 IRON TIE CT	ROCKLIN CA 95765

497-080-067-000	2803 IRON TIE CT	ROCKLIN CA 95765
497-080-068-000	2805 IRON TIE CT	ROCKLIN CA 95765
497-080-069-000	2802 BOXCAR DR	ROCKLIN CA 95765

010-010-009-000	010-181-055-000	016-240-044-000	017-281-016-000
010-010-016-000	010-191-026-000	016-351-018-000	017-283-010-000
010-010-017-000	010-191-086-000	016-351-032-000	017-283-048-000
010-010-028-000	010-203-001-000	017-084-001-000	017-284-004-000
010-010-029-000	010-204-015-000	017-084-004-000	017-284-015-000
010-010-043-000	010-210-021-000	017-084-007-000	030-010-014-000
010-010-044-000	010-210-022-000	017-084-011-000	030-010-016-000
010-023-002-000	010-220-040-000	017-087-001-000	030-010-017-000
010-024-002-000	010-220-041-000	017-087-002-000	030-010-018-000
010-026-001-000	010-220-045-000	017-087-003-000	030-020-020-000
010-030-006-000	010-260-044-000	017-087-004-000	030-020-021-000
010-040-040-000	010-470-003-000	017-087-005-000	030-020-022-000
010-072-009-000	010-470-008-000	017-087-007-000	030-030-079-000
010-091-023-000	010-470-023-000	017-171-039-000	030-030-080-000
010-091-024-000	010-470-024-000	017-171-045-000	030-030-082-000
010-091-025-000	016-020-009-000	017-174-020-000	030-030-083-000
010-122-010-000	016-130-012-000	017-190-045-000	030-041-029-000
010-122-012-000	016-210-011-000	017-276-001-000	030-041-030-000
010-131-006-000	016-220-004-000	017-276-004-000	030-070-021-000
010-132-013-000	016-220-005-000	017-276-005-000	030-070-024-000
010-144-010-000	016-220-006-000	017-276-006-000	030-140-004-000
010-162-001-000	016-230-001-000	017-276-007-000	030-140-022-000
010-162-002-000	016-230-002-000	017-277-002-000	030-140-024-000
010-162-003-000	016-230-003-000	017-277-003-000	032-010-041-000
010-162-004-000	016-230-011-000	017-281-008-000	032-060-075-000
010-162-005-000	016-230-012-000	017-281-014-000	032-070-074-000
010-181-053-000	016-230-013-000	017-281-015-000	032-070-077-000

045-011-012-000	045-053-039-000	045-390-019-000	372-220-026-000
045-011-013-000	045-053-040-000	045-390-020-000	372-230-019-000
045-011-016-000	045-053-064-000	045-450-027-000	372-250-088-000
045-011-017-000	045-053-084-000	045-580-078-000	372-250-090-000
045-011-018-000	045-090-061-000	045-580-083-000	372-260-072-000
045-013-005-000	045-090-062-000	045-590-043-000	372-270-055-000
045-013-022-000	045-101-059-000	045-590-047-000	373-030-062-000
045-013-023-000	045-110-034-000	045-590-049-000	378-010-013-000
045-013-024-000	045-110-054-000	045-590-054-000	378-010-014-000
045-021-045-000	045-110-055-000	045-590-055-000	378-010-015-000
045-031-003-000	045-110-063-000	045-590-056-000	454-050-030-000
045-031-004-000	045-110-064-000	045-600-029-000	454-070-049-000
045-031-005-510	045-110-065-000	045-600-031-000	454-070-051-000
045-031-047-000	045-120-046-000	046-010-006-000	454-070-052-000
045-041-014-000	045-120-050-000	046-010-007-000	491-010-002-000
045-041-016-000	045-120-065-000	046-010-069-000	491-010-003-000
045-041-018-000	045-120-068-000	046-010-077-000	491-010-004-000
045-041-019-000	045-120-069-000	046-020-003-000	491-010-005-000
045-041-025-000	045-120-072-000	046-020-071-000	491-010-006-000
045-041-043-000	045-131-003-000	046-020-072-000	491-010-007-000
045-041-044-000	045-132-001-000	046-030-070-000	491-010-008-000
045-041-045-000	045-132-022-000	371-040-024-000	491-010-009-000
045-041-048-000	045-150-048-000	372-210-058-000	491-010-010-000
045-041-049-000	045-150-052-000	372-210-059-000	491-010-013-000
045-042-053-000	045-161-007-000	372-210-060-000	491-010-015-000
045-042-055-000	045-360-001-000	372-220-025-000	497-080-065-000

A? achment 2
Public Comments Received as of May 4, 2023

From: [redacted]
Sent: Wednesday, April 19, 2023 12:14 AM
To: City Clerk <CityClerk@rocklin.ca.us>
Subject: weed abatement protest

CAUTION: This email originated from outside your organization. Exercise caution when opening attachments or clicking links, especially from unknown senders.

City of Rocklin,

As owners of parcel 010-122-012-00 in the City of Rocklin, I would like to formally protest the city's weed abatement program and reject the implied authority that the city grants itself access to my property to remedy a poten?al future viola?on of the standards for maintenance of vacant parcels without no?ce of actual and specific viola?on of the standards and without the opportunity to review, dispute or resolve the poten?al future viola?on prior to city ac?on.
I will maintain my property in a manner that does not create a hazard or nuisance to the city. I do not grant the city permission to perform any maintenance of my property and I expect that the city will respect the no-trespassing signs posted on the property.
I do encourage the city to maintain the pavement in the alley adjacent to the property and correct the surface drainage flow along C alley.
Thank you.

Francis & Kelly Harrington
APN 010-122-012-000

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Final 2023/2024 Federal Housing and Urban Development (HUD) Department Community Development Block Grant (CDBG) One-Year Action Plan

Date: May 9, 2023

Submitted By: David Mohlenbrok, Director of Community Development
Laura Webster, Director of Long-Range Planning & Housing
Leslie Woodman, PT Housing Specialist

Department: Community Development

Recommendation:

A. Conduct a public hearing to solicit comments on the proposed 2023/2024 Annual Action Plan and direct staff to prepare the Final Annual Action Plan.
B. Adopt a Resolution of the City Council of the City of Rocklin Approving Adoption of the 2023/2024 Annual Action Plan and Authorizing the City Manager to Execute and File All Documents Required to Receive U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant (CDBG) Funding.

Background:

This is the second of two required public hearings in the preparation of the City's 2023/2024 CDBG One-Year Action Plan. The first public hearing was conducted on April 11, 2023.

To be eligible to receive HUD CDBG funds on an annual basis, the City of Rocklin must complete a Consolidated Plan, at least every five years, and an Annual Action Plan. The Consolidated Plan is a comprehensive planning document that serves as the guiding document for the use of funds from the HUD CDBG entitlement program in the areas of housing and community development. On April 14, 2020, the City Council approved the current five-year Consolidated Plan.

The Annual Action Plan (AAP), a component of the Consolidated Plan, identifies the specific activities the City will undertake during the Program Year. Subsequent AAPs will be adopted for each respective year of the Consolidated Plan. Recently, HUD published CDBG allocations for the next federal fiscal year and the City will receive $300,177 for the 2023/2024 Program Year. Since HUD released the final allocation amount after the draft Annual Action Plan was published, the draft AAP was revised prior to the City Council's April 11, 2023 public hearing to reflect that amount, as well as proportional changes to proposed funding in all categories.

The use of CDBG entitlement funds may be used for a wide array of activities that meet at least one of the National Objectives:

- Benefit to low and moderate income persons
- Aid in the prevention of slums or blight
- Urgent Need

Eligible activities include, but are not limited to:

1. Housing Rehabilitation (loans and grants to homeowners, landlords, non-profits and developers)
2. Down Payment and other homeownership assistance
3. Loans and grants to businesses for economic development
4. Removal of architectural barriers to the elderly and disabled
5. Public services such as job training, transportation, health care and child care
6. Public infrastructure

A Notice of Funding Availability (NOFA) and solicitation for proposals from service providers was published in the Placer Herald, on the City's website and distributed through local non-profit e-mail list serves on October 7, 2022. In response to the NOFA, staff received grant applications from Seniors First, The Gathering Inn, Stand Up Placer, KidsFirst, St. Vincent De Paul, Salvation Army and WeeCare for programs to be funded from the Public Services category. No more than 15% of the current year award ($45,026.55) can be expended on Public Services during the plan year.

- Seniors First requested $15,000 in grant funding this year for the Senior Nutrition Program. They were funded $14,247.45 for Senior Nutrition last year. Staff recommends funding $11,256.63 for that program from CDBG in 2023/2024 in order to evenly distribute the Public Services cap between other service providers.
- Stand Up Placer requested $20,000 in grant funding this year for their Victims Services Program. They were funded $14,247.45 for Victim Services last year. Staff recommends funding $11,256.64 for that program from CDBG in 2023/2024 in order to evenly distribute the Public Services cap between other service providers.
- KidsFirst requested $15,000 in grant funding this year for their Family Mental Wellness Program. They were funded $14,247.45 for Victim Services last year. Staff recommends funding $11,256.64 for that program from CDBG in 2023/2024 in order to evenly distribute the Public Services cap between other service providers.
- St. Vincent De Paul requested $25,410 in funds for their Food BAGS Home Delivery program. They were funded $44,125 in CDBG-CV-3 funds last year for their Food BAGS Home Delivery program to Rocklin seniors and disabled to assist in prevention of COVID-19 impacts. Because CDBG-CV-3 funds are no longer available, similar to other proposed services recipients, staff recommends funding $11,256.64 for continuation and expansion of their Food BAGS program to assist low-income, homebound elderly and disabled adults with groceries and basic essentials.
- Salvation Army requested $20,000-$25,000 for their rental assistance program. Since funding from CDBG is limited and these activities would qualify as Homelessness Prevention and Rapid Rehousing (HPRR), which is an eligible use of Low and Moderate Income Housing Asset (LMIHA) Funds, staff recommends that Salvation Army's request of $25,000 be approved as part of the City's proposed Fiscal Year (FY) 2023/24 Operating Budget and be funded from LMIHA Funds.

- St Vincent De Paul also requested $15,000 for their rental assistance program. As with the Salvation Army, since funding from CDBG is limited and these activities would qualify as HPRR which is an eligible use of LMIHA Funds, staff recommends that St. Vincent De Paul's request of $15,000 be approved as part of the City's proposed FY 2023/24 Operating Budget and be funded from LMIHA Funds.
- The Gathering Inn (TGI) requested $65,000 for HPRR related activities. Staff recommends that their funding also be approved as part of the City's proposed FY 2023/24 Operating Budget and be funded from LMIHA Funds.
- WeeCare has not previously applied for or received any funding from the City of Rocklin. In response to the City's NOFA, WeeCare requested $42,000 to provide support services to in home Family Child Care Facilities (FCC). According to the proposal, their "BOOST Tool Program" can be considered under services or potentially as a micro-enterprise activity designed to improve the marketing results and efficiencies for the FCC's and result in job creation. Although staff conducted considerable research and had follow up conversations with both WeeCare representatives and the City's on-call CDBG consultant, due to a lack of familiarity and comfort level with implementation of the micro-enterprise / job creation aspects of WeeCare's proposal, remaining questions regarding CDBG eligibility and uncertainty regarding how accomplishments would be estimated and reported, staff is not recommending funding of the WeeCare proposal in this funding round. According to discussions City staff conducted with our assigned HUD representatives regarding this item, HUD staff indicated that the City has sole discretion regarding its selection of programs and all funding decisions as it pertains to subrecipients.

Agreements with all subrecipients receiving funding will specify that Rocklin funds must be utilized to assist Rocklin residents.

On January 27, 2023, a draft of the Annual Action Plan was made available at City Hall and on the City's website for a 30-day public review of the document. In addition, the notice was emailed to various service providers through dissemination by the Placer Collaborative Network and Placer Consortium on Homelessness. The formal public review period closed on March 1, 2023. To date, the City has not received any comments.

During the April 11, 2023 City Council meeting on the draft Annual Action Plan, City Council members had questions about noticing methods and a desire for more frequent communication to the public regarding the various non-profit programs and accomplishments resulting from Rocklin's CDBG Funding. City Council members also expressed concern that federal regulations applicable to CDBG overly restrict the amount of funding that can be allocated to Services. There were no comments from the public during the April 11, 2023 hearing. Following the close of the public hearing, the City Council did not request any changes to the draft AAP document or funding recommendations.

The 2023/2024 Annual Action Plan is now being presented for adoption by the City Council.

Below is a list of programs and allocations that City staff is proposing for approval in the CDBG 2023 Program Year. These items are reflected in the 2023/2024 AAP with the final allocation amount ($300,177) that has been published for review by the public and subject to City Council review and direction at this time.

Program	Final Allocation ($300,177)
1. Public Facilities and Improvements – provide sidewalk repair and ADA improvements	$195,115.05
2. Seniors First, Senior Nutrition Program – delivers hot meals to seniors	$11,256.63
3. Stand Up Placer – provides services to survivors of domestic violence, sexual violence, human trafficking and their non-offending family members	$11,256.64
4. KidsFirst – provides therapy and case management services to support recovery and healing from trauma, abuse and neglect for children (0-18), adults and families	$11,256.64
5. St Vincent De Paul – Food BAGS Home Delivery program	$11,256.64
6. Planning and Administration – general administration of the CDBG program including reporting activities	$60,035.40

Alternatives:

The City Council could direct staff to add or remove programs and/or projects or to adjust proposed funding allocations in the 2023/2024 Annual Action Plan within program limits for specific activities.

Fiscal Impact:

Processing of the 2023/2024 Annual Action Plan is necessary in order for the City to receive CDBG Entitlement Funds from HUD, and the $300,177 received for the 2023/2024 Program Year will be included in the City's proposed FY 2023/24 Operating Budget.

LMIHA Funds available for use in the City's FY 2023/2024 Operating Budget are adequate to fund the Salvation Army, St Vincent De Paul and TGI programs totaling $105,000 as identified in this report.

ATTACHMENTS:

1. 2023 CDBG Applications
2. Resolution
3. Resolution Exhibit A - 2023 Annual Action Plan
4. Resolution Exhibit B - SF424 and SF424D.pdf
5. Resolution Exhibit C - Non-State-Certifications.pdf

Seniors First
Keeping Seniors Independent

Project Name: **Meals On Wheels**
Request: $15,000 in Standard CDBG Funding for PY23

1. *Organization Description:*

Seniors First is a 501c3 nonprofit corporation first established in 1971 as the Volunteer Bureau of Placer County. The organization later became Senior Independent Services before merging with Seniors First in 2007 to become the largest provider of senior services in Placer County. Our mission is to keep Placer County seniors independent. Our goals are to (1) educate and support seniors, family members, caregivers and loved ones of dependent seniors; and (2) provide direct services and information about available community resources for seniors.

Based in Auburn, Seniors First offers five major programs: Information & Assistance, Nutrition, Transportation, Telephone Reassurance, and Assisted Living Placements. Last year, over 100,000 individual services advanced the Seniors First mission to keep Placer seniors independent including the provision of 2,700 rides, over 100,000 nutritious meals, 5,000 links to information and essential services to meet the needs of the fastest growing segment of Placer County's population.

2. *Project Description:*

Project Goal: Homebound seniors are healthy and food secure
Objective: Safely provide meals to homebound seniors

Rocklin's CDBG funding will support Seniors First's Meals On Wheels (MOW)—an existing program. **Specifically, funds will be used to purchase and distribute meals to Rocklin seniors.**

Food insecurity is essentially financial insecurity: when individuals and families do not have enough money to eat, they are forced to prioritize other expenses, like rent and other bills, over food. The burden on older adults is the latest example of how inflation — at 40-year highs — is exacerbating food insecurity across the economy. Higher prices on food, gas and housing are weighing heavily on those who can least afford the increases and are creating new challenges for a population that is most vulnerable to the coronavirus.

Half of older people who live alone are struggling to get by on less than $27,000 a year — or the bare minimum for a single renter in good health to cover expenses, according to the Elder Index, a cost-of-living measure. The steady climb of inflation has intensified the stress on retirees. An estimated 9.5% of people over age 65 live below the poverty line, compared with 8.8% of younger adults, according to the Census Bureau. The situation, experts say, has become dire with inflation. One in three Placer County seniors are housing-cost burdened. Homelessness among seniors is rising rapidly and is expected to triple in the next decade.

Seniors First's MOW program is still operating at 182% of capacity due to the pandemic and its aftermath. With continued CDBG support from the City of Rocklin, Seniors First will provide five

home-delivered meals per week to eligible, food insecure seniors in Rocklin. Meals will be delivered three times per week and will be a combination of fresh and frozen meals. Meals will be planned under a dietician's oversight, then prepared and packaged by Summit Food Services at the Placer County Probation Department kitchen facility. All meals will meet USDA Senior Nutrition Guidelines. Meals will be picked-up and safely transported to our Café hubs that are strategically located across the western slope of Placer County. From there, carefully screened volunteers will deliver the meals to participant homes. Staff and volunteers will also conduct wellness phone calls in place of the daily personal visits typically provided by MOW to check-in on each program participant.

Our Senior Nutrition Program has been in operation for 37 years. Our MOW component is one of more than 5,000 independent, community-based programs serving MOWs across the country. Seniors First's MOW is the only free meal-delivery program exclusively for seniors in Placer County.

3. ***How funds will be spent:***

CDBG funding received from the City of Rocklin will be used to purchase meals for eligible Rocklin seniors.

4. ***Amount of CDBG funds requested:*** $15,000

This request represents 1% of the program's total budget ($1,205,084); Rocklin seniors represent 16% of all program participants.

5. ***Alignment with National Objectives:***

The proposed social services are an eligible activity and fall under two of the three National Objectives:

- Benefit to Low- and Moderate-Income Persons
- Urgent Need

6. ***Target Population:*** Describe how many individuals in the low or very low-income levels you expect to provide services to on a monthly and annual basis with the CDBG funds.

The project will serve seniors residing in Rocklin who meet the following eligibility requirement:

i. 60 years of age or better
ii. Frail, elderly homebound or need help to leave their home
iii. Unable to prepare meals or shop for themselves
iv. Had a recent hospital stay or ongoing health problems
v. Seniors that live alone or with a caregiver

Overall, 375 Placer County seniors will be served by MOW, including 60 Rocklin seniors, with all funding sources. Specifically:

- 60 Rocklin seniors X 253 meal service days = 15,180 meals delivered in Rocklin.
- Of those currently served, an estimated 80% (n=48) served will be in the low to very low-income level on a monthly and annual basis.
- An estimated 80% will be age 75 or older.

7. ***Method used to document income levels and expenditures to Rocklin residents:***

Funding received from the City of Rocklin is strictly managed to ensure that only residents of Rocklin are served by these funds. During the program's eligibility screening and intake process (currently conducted by phone due to COVID), staff will verify that the client's home address falls within the City limits according to the Placer County GIS website. Age and income information is also ascertained from the applicant.

8. Primary Contact Information: List who in your organization would be the primary contact for the grant including their full contact information (i.e., phone, e-mail, etc.).

Organization Name:	Seniors First
Name:	Stephanie Vierstra, Chief Executive Officer
Address:	12183 Locksley Lane, Suite 205, Auburn, CA 95602
Phone:	530-878-5705
Email:	stephanie@seniorsfirst.org

9. ***Payment Information:*** Provide the entity name, address and name of the individual that payments would be directed to, should you receive an award.

Name:	Seniors First
Address:	PO Box 6090, Auburn, CA 95604
Attention:	Stephanie Vierstra

10. ***Reporting / Invoicing Preference:*** Indicate your preference regarding whether you would be invoicing and providing required reporting data monthly or quarterly.

☒ Monthly

11. *Contract Authorization:* Identify who in your organization appears on the Secretary of State Business Search Forms and has the authority to sign contracts. Please provide the full names of those parties (preferably 2 individuals with signing authority). You will also be asked to provide a copy of the most current form if your entity is selected for funding.

Secretary of State Business Search Information:
Entity Name: Seniors First, Inc.
Agent: Stephanie Vierstra
Signing Authority:

1. Stephanie Vierstra, Executive Director
2. Constandinno N. (Gus) Petsas, Board Chair

12. *CDBG Experience and Reference:*

Seniors First has over a decade of experience as a CDBG grantee with the cities of Rocklin and Roseville who have provided generous support to Seniors First's Senior Nutrition Program.

Our three-year funding history from the City of Rocklin CDBG funds:

Year Received	Program Supported	Amount
2022	Meals On Wheels	$14,216
2021	Meals On Wheels	$13,953
2020	Senior Café	$13,995

City of Roseville CDBG Contact:

Name & Title: Kristine Faelz, Housing Analyst
Economic Development Department, Housing Division
Address: 316 Vernon Street, Suite 150, Roseville, 95678
Email: kpfaelz@roseville.ca.us
Phone Number: 916-774-5451

13. *Insurance Requirements:*

Seniors First will continue to meet the City's requirements to carry standard insurance coverages noted in the 2023 Proposal Guidance and will provide evidence of insurance, full endorsements and the City named or endorsed as additionally insured if selected for funding.

Seniors First 12-Month Meals On Wheels Program Budget

Personnel Costs	Annual Program Cost	Total Other Sources	Rocklin CDBG (Pending)	Request = to Percentage of Expenditure	Budget Explanation
Total Personnel Costs	**$ 172,953**	**$ 172,953**	**$ -**	0%	

Non-personnel Costs	Annual Program Cost	Total Other Sources	Rocklin CDBG (Pending)	Request = to Percentage of Expenditure	Budget Explanation
Catered Food	$ 480,068	$ 465,068	$ 15,000	3%	Total represents cost of 94,875 prepared meals purchased from Summit & Valley Food Services calculated at $5.06 per meal (170 baseline Seniors + 205 emergency level COVID meals over 253 service days per year)
Other Direct Costs	$ 126,136	$ 126,136	$ -	0%	
Subtotal Non-Personnel Costs	**$ 606,204**	**$ 591,204**	**$ 15,000**		
Total Direct Costs	**$ 779,157**	**$ 764,157**	**$ 15,000**		
Indirect Costs	$ 425,927	$ 425,927	$ -	0%	
Total Expenses	**$ 1,205,084**	**$ 1,190,084**	**$ 15,000**		
Request Percentage of Budget			1%		

City of Rocklin CDBG Proposal 2023-24 Victim Services Program for Rocklin Residents

Organization Mission and History

Name: Stand Up Placer, Inc.
Description: Stand Up Placer's mission is: Saving lives by empowering survivors and educating communities to stand up to domestic violence, sexual assault, and human trafficking. We are a 501c3 nonprofit that provides services to Placer County survivors of domestic violence, sexual violence, human trafficking and their non-offending family members, and we offer community education around domestic/sexual violence and human trafficking to companies, agencies and schools. We have provided services to survivors since 1978, and we offer victims fleeing life-threatening abuse the only confidential safe house located in Placer County. We offer a full array of services designed to allow survivors to rebuild their lives from a place of safety, whether they receive these services as residential clients or, like the majority of survivors, through our service offices in Auburn and Roseville. Core services include advocacy, accompaniment, peer counseling, therapy, legal assistance, emergency shelter, and housing.

Program Information

Description of Program: Stand Up Placer is requesting $20,000 from the City of Rocklin CDBG Program to benefit low to moderate income Rocklin residents who are victims of domestic violence, sexual assault, and human trafficking as part of the agency's Victim Services Program. This is an ongoing program with core funding provided by Cal OES Domestic Violence, Rape Crisis, and Human Trafficking Victim Assistance Programs. The agency has increased capacity by securing additional funding for over a decade from Roseville CDBG and Citizens' Benefit Fund, and for several years by the Rocklin CDBG grants. funders include Kaiser Permanente and private donors. The program is funded through the end of the current fiscal year with applications in process or to be released for the next fiscal year.

The Victim Services Program addresses the need for survivors of domestic/sexual violence and/or human trafficking to address their trauma, including mental and

physical health needs, support their individual process of healing, and reduce the risk of future violence in their lives. The services in this program include: crisis intervention; therapy for adults and children; individual and group peer counseling; assistance with accessing the human services support system; legal advocacy including help with preparing temporary restraining orders (TROs), divorce and custody paperwork, and court accompaniment; and hospital accompaniment and advocacy. Referral to the safe house is provided for those fleeing life-threatening danger.

Program Strategy: Domestic violence, sexual assault, and human trafficking victims face significant mental health issues as a result of their trauma and many barriers to service. All agency staff and volunteers working directly with victims must successfully complete a 72- hour Crisis Intervention Training program as well as a job shadowing period before working solo with victims. Due to changes instituted to support both safety and uninterrupted access during the pandemic, services may be provided in-person, via telephone or video conferencing, or via telehealth applications.

- *Crisis intervention* allows victims to plan their immediate next steps to address their safety, housing, and mental health needs. Initial intake and screening for CDBG eligibility occurs during initial client interactions and is recorded in the program enrollment section of agency's victim-services specific database, Apricot.
- *Clinical therapy,* provided under CDBG by agency staff offers survivors access to mental health care for their specific needs. Clinical Program staff include Licensed Marriage and Family Therapists (LMFTs), Registered Associate MFTs, and MFT trainees. Because we operate a training program for MFT candidates seeking to gain clinical therapy hours toward graduation and/or licensure, the specific therapy staff may vary year to year. Stand Up Placer will provide relevant clinical program staff credentials upon request once the 2022-2023 year begins. Clients with specialty needs receive referrals for these needs, such as substance abuse or psychiatric care to community partners.
- *Advocacy services* help survivors to access the continuum of care within the community including basic medical care and fundamental needs, such as food, clothing, and housing. Legal advocacy supports both the immediate need for safety with restraining orders as well as assisting survivors with accessing long-term legal remedies for their situations. Collectively, this array of services helps to reduce the impact of trauma on survivors' mental health and that of their children and reduces the risk of re-entering violent situations. We have found survivors achieve the most successful outcomes when they are supported with culturally competent, trauma-informed care

that honors their right to choose their own life goals and the best set of services to meet their unique needs.

Program Goals and Objectives: The Victim Services Program goals and objectives are as follows:

Goal 1: To increase access to services needed for recovery and resilience.

- Objective a: To increase access to mental health services to enhance recovery and resilience.
- Objective b: To increase access to supportive programming to enhance recovery and resilience.

Goal 2: To reduce risk factors, enhance protective factors, and link to appropriate resources to prevent future incidents of violence.

- Objective a: To assess the immediate needs of victims.
- Objective b: To increase personal safety and the perception of safety.
- Objective c: To reduce the risk of future incidents of violence.

Outcomes: Stand Up Placer will share objective and subjective outcomes with the City of Rocklin. We will share general income, race/ethnicity, age, and gender demographic information. We will report the total number of Rocklin survivors served and the number of CDBG beneficiaries served for the following advocacy and therapy services:

- Shelter referrals
- Crisis counseling (in person crisis intervention, safety planning and socialservices advocacy)
- Therapy sessions (individual clinical therapy sessions)
- Hotline calls
- Total Legal Services
    - Temporary Restraining Orders
    - Court Accompaniment

Stand Up Placer will also share survivor stories that demonstrate the personal impact that receiving services makes. Survivor stories are factual, but personally identifying information is altered to protect their safety and anonymity

Funding Request, Use of Funds, and Staff:

Stand Up Placer is requesting $20,000 from the City of Rocklin CDBG Program to support the Victim Services Program. The budget request to the City of Rocklin reflects a portion of the wages and benefitsfor direct services staff who will provide the program services to Rocklin residents who are eligible beneficiaries of the CDBG program.

- Advocates perform crisis intervention, safety planning, advocacy, and accompaniment to victims according to their needs.
- Program Coordinators supervise advocates and provides direct services. They will bill time to the program only when they provide services to victims.
- Marriage and Family Therapists (MFTs) and Registered Associate MFTs provide clinical therapy.
- The Clinical Program Manager provides mandated clinical supervision to marriage and family therapist interns and clinical therapy to victims. She will bill time only when providing therapy to victims and their children.
- Program Supervisors manage the domestic violence, sexual assault, and human trafficking programs. These individuals will only bill time when they provide advocacy services to victims.
- No management or administrative time, or overhead costs will be billed to CDBG funds per national CDBG program rules.

The services will be provided at Stand Up Placer's service offices in Roseville and Auburn.

Alignment with National Objectives and Rocklin Consolidated Plan:

The Victim Services Program most closely aligns with the City's Consolidated Plan Priority Need CD 2, Public Services. Although the proposal does not directly provide Emergency Shelter, clients are referred to the shelter program through the funded program. It aligns with the National Objectives of Benefit to Low and Moderate Income Persons and meeting Urgent Need (domestic violence).

Number of Low and Very Low Income Residents to Be Served:

Full funding of this request will allow us provide therapy services to approximately 20 additional survivors and crisis intervention and advocacy services toapproximately 80 more Rocklin survivors annually than we would without funding from Rocklin CDBG. Monthly client numbers vary due to fluctuations in the number of victims who present who are also CDBG-eligible Rocklin residents. We are requesting this amount to enable to continue to support to the city's most vulnerable residents at the same levels as last year. If the City of Rocklin is able to support Stand up Placer at a lower amount, we would lower the services to be provided proportionately. At funding of $15,000, we would be able to provide therapy to 15 additional individuals and crisis intervention and advocacy to 60. At funding of $10,000 we would provide therapy to 10 additional individuals and crisis intervention and advocacy to 40.

Population to be Served: The population to be served by the Victim Services Program with CDBG funding is low income Rocklin residents suffering from the effects of domestic violence, sexual assault, and human trafficking and their children. We

offer these services to all survivors regardless of race/ethnicity, age, disability, gender/gender identity, sexual orientation, faith traditions, or income. Survivor demographics track along the general county population demographics, with a majority white population followed by Hispanic/Latino, with smaller percentages of Asian/Pacific Islander, AfricanAmerican/Black, multi-racial, and Native Americans. Clients range in age from newbornto the elderly, but the majority of clients are aged 25-44. However, sexual assault clients are disproportionately children and transition-aged youth. Approximately 90% of all victims we serve annually fall into the extremely low, very low, low, and moderate Area Median Income brackets established by HUD for our region. Some come to Stand Up Placer with low income status, and others fall into poverty or financial distress by fleeing their dangerous living situations or as a result of their trauma.

Documentation:

Stand Up Placer documents program enrollments in the agency's HMIS-comparable database. Because the program is based on urgent safety needs, victims of domestic violence are presumed eligible under CDBG guidelines; however, we will only consider Rocklin residents to be eligible CDBG beneficiaries if they provide residency, income, and racial demographic information. Survivors are not required to provide information to receive services due to the nature of their trauma.

Business and Contact Information

Primary Contact:

Sr. Grants, Contract and Compliance Manager: Louise Arquilla 530-823-6224 x1009, louisea@standupplacer.org

Finance Contact:

Finance Manager: Ehsamuddin Kazimi, 530-823-6224 x1010, ehsamuddin@standupplacer.org

Payment Information:

Payee: Stand Up Placer, Inc.
Address: PO Box 5462, Auburn, CA 95604
Attention: Ehsamuddin Kazimi
Agency EIN Number: 94-2578871

Billing and Reporting Preference: quarterly

Individuals on Secretary of State Business Search Forms:

On the latest filing of information with the Secretary of State, the Officers are listed as:
Jenine Jenkins, CEO

Laura Strasser, Secretary
Kristin Lawson, Chief Financial Officer

Authorized Signatories:

Primary (on Secretary of State forms): Jenine Jenkins, 530-823-6224x1002, jenine@standupplacer.org

Alternate: COO/Associate Director, Rebecca Chenoweth, 530-823-6224 x 1017, rebecca@standupplacer.org

Single signatories with Resolution of Delegated Authority.

Stand Up Placer is pleased to provide any additional documentation that the City of Rocklin may request, including 501(c)3 determination letter, agency budget, tax returns and audited financials.

The required insurance policies renew between January 1 and February 15. We will be able to supply current (2023) insurance documentation as part of contracting the grant.

City of Rocklin
2023/24 CDBG Program

Subrecipient: Stand Up Placer, Inc.
Program/project: Rocklin Victims Services Program

Line item description of cost	Total program	CDBG	Other funds
Salaries (direct services personnel)	350,829.00	15,650.00	335,179.00
Salaries (program management)	42,043.00	-	42,043.00
Payroll taxes & benefits	110,004.00	4,350.00	105,654.00
Program services	2,643.00	-	2,643.00
Travel & mileage	7,387.00	-	7,387.00
Community outreach	1,838.00	-	1,838.00
Rent/Utilities/Maintenance	35,876.00	-	35,876.00
Communications	10,621.00	-	10,621.00
Office supplies/Postage/Equipment	14,598.00	-	14,598.00
Information Technology	10,854.00	-	10,854.00
Professional fees (consultants & contractors)	20,650.00	-	20,650.00
Insurance	5,733.00	-	5,733.00
Total	$ 613,076.00	$ 20,000.00	$ 593,076.00

124 MAIN STREET
ROSEVILLE, CA 95678
P: 916.774.6802
F: 916.774.2685
TAX ID#: 68-0195225

KidsFirst – City of Rocklin CDBG PY 23 Grant Proposal – Final

With this application, KidsFirst formally requests funding in the amount of $15,000 for our Family Mental Wellness Program. This program provides evidence-based/evidence-informed therapy and case management services to support recovery and healing from trauma, abuse, and neglect for children (ages 0-18), adults, and families.

Organization History:

Established in 1989 as a private, non-profit (501c3) organization, KidsFirst's mission is to end child abuse and neglect. Our vision is that all children live in a safe, healthy, and nurturing home. We are dedicated to helping families by providing them with the tools they need to cope with difficult life circumstances before they become overwhelming. With Counseling & Family Resource Centers in Roseville and Auburn, our programs target the most vulnerable children, families, and neighborhoods in Placer County to meet the growing needs of our region. We strengthen families by Educating, Advocating, and Changing Lives. Serving as the Child Abuse Prevention Council of Placer County, we offer both prevention and treatment-based therapies, parenting classes, home visitation, and extensive case management services to help link families to the resources they need. KidsFirst trains professionals, government officials, and lay persons regarding child abuse and its prevention. Furthermore, KidsFirst works closely with other community-based organizations and county agencies to ensure non-duplication, and effective service delivery to meet the needs of children and families in the community.

KidsFirst's Mental Wellness Program:

KidsFirst's therapy and case management services are well-established, long-standing programs. KidsFirst has provided these services at **no cost** to community members for over 30 years. KidsFirst offers its therapy program for the treatment of child abuse, as well as for adults, couples, and families. KidsFirst's clinical team consists of two licensed supervisors, one licensed

KidsFirst

124 MAIN STREET
ROSEVILLE, CA 95678
P: 916.774.6802
F: 916.774.2685
TAX ID#: 68-0195225

Professional Clinical Counselor, one Associate Marriage and Family Therapist (license-eligible), and two Master of Social Work Trainees. Two of our clinical team members are bilingual Spanish speakers and one of our Master of Social Work Trainees is a bilingual Spanish, Punjabi, and Hindi speaker. Additionally, our case management team has a combined total of over 20 years of experience in the social services field. All eight of our case managers are bilingual Spanish speakers.

KidsFirst therapists are trained to provide varied evidence-based and/or evidence-informed modalities including Parent-Child Interaction Therapy, Cognitive Behavioral Therapy, Trauma-Focused Cognitive Behavioral Therapy, Play Therapy, and brief clinical interventions. The clinical staff possesses knowledge of victimization, child trauma, adverse childhood experiences (ACEs), family violence, child development, early brain development, post-traumatic stress discord, attachment, grief and loss, stressors of foster placement, concurrent plans, cultural and ethnicity issues, legal interventions, development delays, and disabilities. KidsFirst therapists continually enhance therapeutic knowledge through various trainings and participate in individual and group supervision with the Clinical Program Manager to review cases and treatment plans.

As a result of the Covid-19 pandemic, many of our children, families, and community members have experienced mental health challenges. Sadly, children in volatile home situations have endured heartbreaking circumstances. Children and families have struggled with increased isolation, inconsistent childcare options, and irregular in-person school schedules, along with a decrease in regular contact with those outside of their homes. Parents have been under unprecedented amounts of stress for an extended period of time and may not have the skills to deal with their mental health challenges and those of their children and other family members. KidsFirst has seen a significant increase in requests for mental health services over the last two years. Unfortunately, our requests exceed our capacity.

KidsFirst

124 MAIN STREET
ROSEVILLE, CA 95678
P: 916.774.6802
F: 916.774.2685
TAX ID#: 68-0195225

Utilization of funds:

City of Rocklin CDBG funds will be used for direct service personnel costs. Specifically, funding will enable KidsFirst to provide a 0.17 Therapist and a 0.08 Case Manager to serve 10 very low to moderate-income Rocklin residents experiencing mental health challenges during the grant performance period.

KidsFirst's therapy program offers clients approximately 12 weekly therapy sessions. For clients needing long-term treatment, KidsFirst can refer families to appropriate external resources (e.g., the Placer County Victim/Witness office) or provide extended treatment internally, as appropriate. While engaged in therapy, families also receive case management, which is an important component of mental health services. Case Managers also help families obtain vital resources such as rental/mortgage and utility assistance, health insurance, Cal Fresh benefits, legal help, etc., thus enabling families to stabilize and focus on their therapy treatment.

National Objective and eligible activities adherence:

KidsFirst's Family Mental Wellness Program service provision to very low to moderate-income Rocklin residents falls under the National Objective of benefit to low and moderate-income persons.

Very-low to low-income level income persons to be served:

KidsFirst anticipates serving a minimum of 5 very-low to low-income Rocklin residents with City of Rocklin CDBG funds. This number represents at least half of the total number of 10 clients to be served if awarded.

Income and residency verification and documentation:

KidsFirst Case Managers conduct client intake assessments to identify very low to moderate-income persons. KidsFirst secures proof of income and residency during the intake process and will continue to use this method to verify eligibility

124 MAIN STREET
ROSEVILLE, CA 95678
P: 916.774.6802
F: 916.774.2685
TAX ID#: 68-0195225

for the City of Rocklin CDBG program. Client data is collected in paper-based files and is tracked via spreadsheets and KidsFirst's web-based client database system. To count and monitor the beneficiaries of KidsFirst's Rocklin CDBG program, KidsFirst will continue to use a client spreadsheet, along with a client database, which tracks client name, intake date, type of services, client and family demographics, family income, collection of proof of income, and city of residence.

KidsFirst Case Managers screen clients for eligibility, working with each family to gather referral facts and then forward the referral to the Clinical Program Manager. The Clinical Program Manager reviews each therapy referral and makes the final determination of eligibility for services. To qualify for therapy services, the client needs to meet the criteria outlined in our grants/contracts. After determining eligibility, the Clinical Program Manager assigns the referral to the appropriate Therapist. The Therapist then contacts the family to schedule an appointment for a clinical interview and to begin the biopsychosocial assessment. An initial clinical assessment provides a solid basis for determining whether a client falls within KidsFirst's ability to provide effective therapeutic services.

KidsFirst Primary Contact:

For questions or additional information, please contact our Interim Executive Director, Barbara Meade. Her contact information is listed below:

KidsFirst Counseling & Family Resource Centers

Barbara Meade, Interim Executive Director

124 Main Street

Roseville, CA 95678

(916)774-6802 EXT 3001

Email: Bmeade@kidsfirstnow.org

124 MAIN STREET
ROSEVILLE, CA 95678
P: 916.774.6802
F: 916.774.2685
TAX ID#: 68-0195225

KidsFirst Payment Contact:

If awarded City of Rocklin CDBG funding, all payments should be directed to our Interim Executive Director, Barbara Meade. Her contact information is listed below:

KidsFirst Counseling & Family Resource Centers

Barbara Meade, Interim Executive Director

11960 Heritage Oak Place, Suite 3

Auburn, CA 95603

(530)887-3536 EXT 3001

Email: Bmeade@kidsfirstnow.org

Invoicing and Reporting Preferences:

KidsFirst would provide invoicing and all required reporting on a quarterly basis.

Signature Authority:

KidsFirst's Interim Executive Director, Barbara Meade, has the authority to sign contracts as described in the KidsFirst Board-approved Signature Authority (available upon request).

CDBG Funding Experience:

KidsFirst is currently a recipient of CDBG funds through the City of Rocklin and the City of Roseville. KidsFirst is a new recipient of Rocklin CDBG and CDBG-CV funding this year. We are excited and honored to be partnering with the City of Rocklin to support the residents of Rocklin. KidsFirst has been a Roseville CDBG grant recipient almost continuously since 2001. City of Roseville CDBG funding is

124 MAIN STREET
ROSEVILLE, CA 95678
P: 916.774.6802
F: 916.774.2685
TAX ID#: 68-0195225

being utilized to provide therapy and case management services for low to moderate-income Roseville residents. KidsFirst case management staff verifies Roseville residency and income levels of clients during intake to ensure adherence to the City of Roseville CDBG and HUD requirements at the onset of services.

All reporting data and invoicing are submitted quarterly via Zoom Grants. Our primary contact regarding this funding contract is:

City of Roseville – Kristine Faelz

316 Vernon Street, Suite 150

Roseville, CA 95678

(916)774-5451

KPFaelz@roseville.ca.us

Letter of Reference:

Please find the attached letter of reference for KidsFirst from:

Placer County District Attorney, Morgan B. Gire

Insurance Coverage:

KidsFirst holds all of the required insurance coverage as described in the City of Rocklin CDBG Notice of Funds Available. Documentation of insurance held is available upon request.

BUDGET CATEGORY AND LINE ITEM DETAIL

KidsFirst Child Abuse Prevention Council of Placer County	
City of Rocklin CDBG Funding Application FY 23	
Family Mental Wellness Program	
Therapist .17 FTE @ $27.50 per hour	9,735
Case Manager .08 FTE @ $17.66 per hour	2,932
FICA/MC @ 7.65%	969
SUTA @ .57%	72
Worker's Comp @ 0.7%	89
Health Insurance @ 9.08%	1,203
Totals	15,000

City of Rocklin

CDBG

Subrecipient: KidsFirst

Program/project: Family Mental Wellness

Request/Award: $15,000

Line item description of cost	Total program	CDBG	Other funds
Therapist	394,219.27	9,735.00	384,393.27
Case Manager	81,721.10	2,932.00	79,048.10
Clinical Program Manager	40,585.74	-	40,585.74
Program Manager	79,250.00	-	79,250.00
Volunteer Services Coordinator	13,634.27	-	13,634.27
Program Associate (Evaluation and Reporting)	15,027.49	-	15,027.49
Program Associate	18,740.38	-	18,740.38
Executive Director / Assistant Director	1411.22	-	1411.22
Benefits	129,993.07	2,333.00	126,988.07
Rent	21,053.82	-	21,053.82
Office Supplies	9,077.33	-	9,077.33
Equipment Supplies	949.11	-	949.11
Communications (telephone, internet, etc)	3,893.29	-	3,493.29
Travel	15,422.94	-	15,422.94
Training/Conferences (Staff Development)	88,377.22	-	88,377.22
Accounting/Audit	981.77	-	981.77
Contract Services	4,562.53	-	4,562.53
Utilities	3,811.42	-	3,811.42
Insurance	980.60	-	980.60
Interest, Taxes, Fees & Licenses	1,874.46	-	1,874.46
Print & Copy	7,393.42	-	7,393.42
Program Supplies	14,971.25	-	14,971.25
Administrative Overhead (17.7%)	161,651.90	-	161,651.90
Total	$ 1,109,583.60	$ 15,000.00	$ 1,093,679.60

-



November 2, 2022

To Whom It May Concern:

On behalf of Placer Multi-Disciplinary Interview Center (MDIC), I am writing this letter in support of KidsFirst and its pursuit of grant funding.

As you may know, KidsFirst provides a vital service to Placer County residents. By using a coordinated approach to investigations of child abuse cases, KidsFirst works to provide prevention and intervention services to children and families to reduce trauma. Placer MDIC is fortunate to have the commitment of KidsFirst in working together for the benefit of our community. We are pleased by the efforts and successes demonstrated by KidsFirst and the opportunities for families to engage in services prior to Child Welfare involvement.

Furthermore, in partnership with MDIC, KidsFirst provides trained parent educators, case managers, and therapist to those that would otherwise fall through the cracks. Case managers and Parent Educators provide a safety net for our families to get connected to resources in a timely manner.

While resources continue to be limited by budget concerns, we hope to never compromise the great level of service brought by KidsFirst and their experienced and well-trained professionals. Therefore, we strongly encourage your awarding of KidsFirst funding to support the programs and services our community relies on for prevention and intervention.

Sincerely,

Jessica Waterford

Jessica Waterford, MSW
MDIC Coordinator & Forensic Interview Specialist

CITY OF ROCKLIN CDBG 2023
PROPOSAL by ST VINCENT DE PAUL SOCIETY
ROSEVILLE AREA CONFERENCE

1)Describe you organization (how long it has been in existence, the types of services you provide, location, is it a 501c3 or other type, etc.) The St Vincent de Paul Society, Roseville Area Conference (SVdP), was founded in August 1983 as a project from Saint Rose Catholic Church in Roseville, CA. SVDP originally started as a small Thrift Store and office that provided free furniture and financial help towards rent and utilities for Roseville residents. That same year, in December 1983, we opened our Dining Room and right away were serving 40 to 50 free hot meals per day. In January 1984,, we opened the Food Locker and in the first month of operation provided groceries to approximately 200 households. Over the past 39 years, St Vincent de Paul Society, Roseville Area Conference has created many more programs and services, adding the Urgent Care Medical Clinic, the BAGS Home Delivery Program, the ASK Collaborative (Rental & Utility Assistance) among them. We have evolved over the years and currently offer 4 main programs: Food Locker Drive-thru Distribution, St Vincent TO GO meals, BAGS Home Delivery Program, and Rental & Utility Assistance. In addition, SVDP offers the Smiles Program that includes a School Backpack as well as a Christmas shop and holiday meals for families.

2)Describe the program you would be providing with Rocklin CDBG funds. Please specify if this is a program that you have conducted previously (if so when, where, for how long and with what sources of funding) or whether it is a brand new program.

SVDP would utilize funding to sustain the expanded BAGS Home Delivery Program in Rocklin. The BAGS Home Delivery Program was expanded into Rocklin in November 2022, and at the time of this proposal was in the initial stages. Funding for the program will take the BAGS Home Delivery Program into its 2nd year of service into the Rocklin community.

The program is funded for up to 85 low-income homebound seniors or disabled adults. The program has been in operation in Roseville since 2001. Groceries are delivered monthly by volunteer teams. The quantity of groceries delivered is sufficient to prepare a minimum of nine (9) nutritious meals. This program is intended for those homebound seniors & disabled adults who are living independently and able to prepare their meals.

Many of these seniors experience food insecurity with income often insufficient to pay basic living expenses. Food insecurity is a significant national health problem and an under-recognized social determinant of health. Food insecurity results in constrained dietary options and competing demands for limited resources (i.e., postponement of medication refills or health visits).

In 2011, the program's scope was enhanced to help our clients with certain medical diagnoses meet their specific dietary needs, i.e., diabetic clients received appropriate foods from a list prepared by a volunteer nutritionist. In addition to groceries donated to St Vincent de Paul's food locker, diagnosis appropriate ingredients are purchased regularly. Purchased food items include low sodium, low sugar, items in smaller containers that are more easily handled and opened. Nutritional drinks and non-food items such as Depends are also provided through the home delivery program.

The BAGS Home Delivery Program goals are to increase food security, prevent hunger and provide ingredients to prepare nutritious meals which help our clients live healthier, happier lives. By providing this service, the BAGS Home Delivery Program, in collaboration with other community partners, also allows elderly and disabled adults to continue to live independently.

3)Describe specifically how you would spend the funds and who (by titles or description) would be conducting the activities if funding would be used for staff costs. (Please note that you cannot use the funds for Management/Admin/Overhead).

St Vincent de Paul Roseville has established a relationship with Grocery Outlet in Rocklin over several years supplying quarterly groceries to the Roseville BAGS Home Delivery Program. In 2022, we began purchasing items for the Rocklin BAGS Home Delivery Program through the Rocklin Grocery Outlet. We would continue to purchase for the Rocklin program through this vendor. Funds will be utilized to purchase diagnosis appropriate foods from this, as well as other local food and nonfood vendor sources where appropriate and cost effective.

Food purchases are made quarterly based upon the needs of the program participants. Non-food items include hygiene items such as Depends, and feminine pads.

Telephone / Internet connection to program participants for case management, surveys and follow-up screening.

Mileage provided to interns assisting with home visits. SVDP has established a relationship with USF School of Nursing & Health Professionals to provide intern hours in the Fall 2023 for BAGS Home Delivery participants

Case Management provided by Rebecca Rush, Executive Director. Rebecca has an educational background in Behavioral Health. Case management is provided as a program activity.

All other staff involvement - warehouse coordinator, administrative support, driver fall under overhead and are not included in this request.

4)Identify the specific amount of your funding request.

Program expenses:

$17,500	Food Purchases - $4,375 per quarter
$1,200	Telephone/Internet costs - $100 per month
$5,160	Case management - avg 4 hr / week @ $25
$800	Non-food purchases - hygiene (i.e. Depends)
$750	Mileage - 2-3 interns mileage share

TOTAL REQUEST: $25,410

5)Describe how these services would fall under the National Objectives outlined in the NOFA.

The mission of St Vincent de Paul Roseville is to provide basic human services and programs to prevent hunger and homelessness.

In providing home delivery of groceries to the low-income homebound elderly and disabled adults, SVDP will continue to serve an urgent need to those Rocklin residents facing food insecurity. Through the application and contact process, as well as volunteer visits, referrals to community partners are provided as needed for further assistance. With the ability to sustain the program started in 2022, SVDP will maintain the ability to serve the individuals in great need of this service in Rocklin.

6**)Describe how many individuals in the low or very-low income levels you expect to provide services to on a monthly and annual basis with the CDBG funds.**

With the continuation of the Rocklin BAGS Home Delivery Program, we expect to serve up to 85 individuals during the program year on a monthly basis.

7**)Describe how you would ensure and document income levels and that Rocklin CDBG funds were only being used to provide services for Rocklin residents.**

All applicants to the Rocklin BAGS Home Delivery program are vetted via a detailed application process including demographic information. This includes proof of residency, identification, proof of income (62 years + are assumed eligible), sources of income, and other specific demographic information.

From initial application verification, applicants are vetted for eligibility and provided case management to determine level of assistance necessary to meet current and immediate future stability. Clients are also provided information and resources for additional community referrals as needed.

Clients must recertify annually to remain in the program.

8**)List who in your organization would be the primary contact for the grant including their full contact information (i.e., phone, e-mail, etc.)**

Rebecca Rush, Executive Director

(916) 781-3303 Office, (916) 477-1920 Cell

rebecca.rush@placersvdp.com

9**)Provide the entity name, address and name of the individual that payments would be directed to, should you receive an award.**

Rebecca Rush, Executive Director

(916) 781-3303 Office, (916) 477-1920 Cell

rebecca.rush@placersvdp.com

10)Indicate your preference regarding whether you would be invoicing and providing required reporting data monthly or quarterly.

SVDP would prefer to invoice and provide required reporting data quarterly

11)Identify who in your organization appears on the Secretary of State Business Search Forms and has the authority to sign contracts. Please provide the full names of those parties (preferably 2 individuals with signing authority). You will also be asked to provide a copy of the most current form if your entity is selected for funding.

Secretary of State form - Thomas Stanko

Donald Fraser, Board President

Thomas Stanko, Board Vice President

12)Describe your experience, if any, as a recipient of CDBG funds and from what entity. Please include a reference from that agency (if other than the City of Rocklin) including their complete contact information.

SVDP has received CDBG funding from the City of Roseville - Housing Division in support of our BAGS Home Delivery Program in Roseville starting in 2001 and has been renewed annually. Contact person is Kristine Faelz, Housing Analyst, Economic Development Dept., 316 Vernon St., Roseville, CA 95678 Phone (916) 774-5451. Letter of Reference attached.

SVDP has been a sub recipient of CDBG funding from the City of Roseville - Housing Division of Homeless Prevention and Rapid Rehousing funds through a collaboration with two other community partners since 2017 (ASK Collaboration - AMI Housing, KidsFirst and St Vincent de Paul Roseville) St Vincent de Paul Roseville has received and distributed $25,000 annually since 2017. In 2022-2023 cycle, the ASK funding for St Vincent de Paul has been awarded at $50,000 to support Roseville residents in rental and utility assistance.

A letter of reference from Kristine Faelz, City of Roseville Housing Analyst is attached.

SVDP was awarded $44,125 in a City of Rocklin CDBG CV3 grant to expand the BAGS Home Delivery Program into Rocklin In November 2022, with spending through November 2023.

13) Optional Letter of Reference.

Letter of reference provided from Kristine Faelz, City of Roseville Housing Analyst

14) Insurance requirements and endorsements.

Insurance requirements will be fulfilled

Housing Division
316 Vernon Street #150
Roseville, California 95678

March 15, 2022

Laura Webster
Director of Long Range Planning and Housing
City of Rocklin
3970 Rocklin Rd.
Rocklin, CA 95677

RE: CDBG REFERENCE FOR SAINT VINCENT DE PAUL SOCIETY, ROSEVILLE CONFERENCE

Dear Ms. Webster,

I am Kristine Faelz, administrator of the Community Development Block Grant program for the City of Roseville. I am writing to recommend Saint Vincent de Paul Society, Roseville Conference, to receive Community Development Block Grant funding from the City of Rocklin.

Saint Vincent de Paul Society (SVDP) has received CDBG funding from the City of Roseville consistently since 2017. Prior to 2017, SVDP received CDBG funding from Roseville on an off for several years since 2000. Over the past few years in working with the subrecipient directly, they have been found to be responsive to any requests sent their way, communicative, timely with submission of reporting, as well as timely in their spending of funds. In subrecipient monitoring for the 2017 program year, several items were noted for remediation, including the need for drafting of policies, and tracking eligibility and documentation of program clients. Saint Vincent de Paul was able to correct the follow-up items requested by the City of Roseville and no issues have been found in terms of compliance since. On a yearly basis, the subrecipient has either met goals or overachieved in terms of persons served and groceries delivered.

Based on my experience in working with St Vincent de Paul as a subrecipient, I recommend them to receive CDBG funding from the City of Rocklin.

Should you have any questions and/or need additional information, please contact me at (916) 774-5451 or via email at kpfaelz@roseville.ca.us.

Sincerely,

Kristine Faelz
Housing Analyst

THE SALVATION ARMY
Founded 1865

100 Lincoln Street
P.O. Box 1372
Roseville, CA 95678
Phone (916) 784-3382
Fax (916) 784-3277

November 7, 2022

City of Rocklin
Community Development Department
3970 Rocklin Road
Rocklin, CA 95677

Re: Standard CDBG 2023

MISSION

The Salvation Army is an international organization whose mission is to meet human needs without discrimination. The services vary from location to location depending on the needs of that particular community. The Salvation Army of Roseville has been providing social services for South Placer County residents for over 30 years. The current services provided include rental and utility assistance, USDA food boxes, weekly community meals, a food pantry, financial classes, an annual Thanksgiving community meal, and our Angel Tree Christmas program.

HISTORY OF PREVENTING HOMELESSNESS

One of the main goals of the social services department of The Salvation Army of Roseville is to help individuals and families who are at risk of homelessness avoid eviction and thereby homelessness. Meeting one's basic needs by focusing on housing first is key. Having safe, secure, and stable housing allows for individuals to focus on other areas in life that help move them toward health. stability and self-sufficiency. These areas include, but are not limited to, employment, education, physical health, and mental/emotional health.

The rents in South Placer County have been increasing consistently over the past few years at an astounding rate. We have seen how Rocklin residents, specifically, have been greatly impacted by the increase in housing costs. The Salvation Army of Roseville receives a steady flow of inquiries about rental assistance from Rocklin residents and it is our desire to be able to continue providing assistance to low and moderate income households. Rental assistance is key to preventing homelessness as it keeps individuals and families in their homes and lessens the stress and anxiety that comes with the uncertainty of where to go if evicted.

Other organizations within Placer County are aware of rental assistance that The Salvation Army of Roseville provides. In June 2022, a worker from The Placer County Office of Education referred a Placer County resident to us for rental assistance. The household consisted of a single female, her adult son, and her grandson on the Austism spectrum whom she was helping to raise. The woman had a heart condition that was going to require open heart surgery and this impacted her ability to work. She was in the process of filing for disability. Her son was unemployed at the time. The Salvation Army of Roseville was able to provide rental assistance for this family in order to prevent them from being evicted and face homelessness. It is important that The Salvation Army of Roseville continues to receive CDBG Standard funding as other organizations rely on being able to refer their clients to our organization for rental assistance.

The Salvation Army of Roseville received $14,216.35 Standard CDBG funding from the City of Rocklin for the 2021-2022 year. All these funds were allocated for rental assistance for Rocklin residents and were spent during the required timeframe. It is expected that The Salvation Army of Roseville will be able to serve more households with our current staff and streamlined process and therefore is requesting an increase in Standard CDBG funding for 2023.

ELIGIBILITY FOR CLIENTS

The social services department at The Salvation Army of Roseville has established a process to determine eligibility for the rental assistance program. Individuals who call requesting information on rental assistance, first get connected with our social services worker through our main social services phone line. It is during that phone call when household income information is gathered to make sure that it does not exceed the 80% AMI to be eligible for the program using CDBG funding and to confirm Rocklin residency.

If the household is eligible for the rental assistance program based on the income guidelines, information is gathered on the reason why the individual or family is seeking rental assistance at that time. They must have experienced a circumstance that has impacted their ability to pay rent, such as a medical hardship due to illness, injury, or surgery, loss of income or employment, or a large, unexpected expense. Each inquiry is evaluated on a case-by-case basis. Documentation that is required to have on file with the social services department at The Salvation Army of Roseville, is shared with the caller. A 3-Day Notice to Pay or Quit in 30 Days must have been received from their landlord or property manager. This provides the documentation needed to show that they are at risk of losing their housing through eviction, thereby needing rental assistance to avoid homelessness. This and all other supporting documentation is then emailed to the social services case manager who reviews the documents. The individual is contacted to schedule an in-person interview where they complete and sign the intake forms for the rental assistance program. The case manager is the one who meets with those individuals and processes the necessary paperwork. At the interview, the individual acknowledges that they understand the rental assistance check will be made payable to and sent directly to the landlord or property management company.

REQUEST

The Salvation Army of Roseville has recently received an increase of calls from Rocklin residents. The Salvation Army of Roseville has not only become recognized by Rocklin residents as an organization who can help them when faced with an urgent housing situation, but also by some of the apartment rental communities. Property managers of these communities refer their tenants to The Salvation Army of Roseville. It is our desire to have sufficient funds to assist as many Rocklin residents as possible serving them with compassion and respect.

It is the request of The Salvation Army of Roseville to be awarded $20,000 - $25,000 in 2023 CDBG Standard Grant funding. If approved for this funding, The Salvation Army of Roseville will be able to continue to provide assistance to Rocklin households and make strides in preventing homelessness within the community. It is our goal to provide rental assistance to 10-15 low and moderate income households, with an average of 3-5 members each. The amount of assistance provided to each household is to be determined by contract guidelines. The Salvation Army of Roseville plans on continuing to provide outreach efforts as needed to ensure that all Rocklin residents with an urgent housing need, will be aware of rental assistance that is available from our organization. All Standard CDBG funding will be allocated to deposit and rental assistance for Rocklin residences who meet eligibility requirements.

Submitted by:

Melissa Young
Social Services Department
The Salvation Army Roseville Corps
100 Lincoln Street
Roseville, CA 95678
Direct: 916-436-3787
melissa.young@usw.salvationarmy.org

ST VINCENT DE PAUL SOCIETY, ROSEVILLE CONFERENCE
PROPOSAL LMHA FUNDING REQUEST
CITY OF ROCKLIN
RENTAL ASSISTANCE PROGRAM

1) Describe your organization (how long it has been in existence, the types of services you provide, location, is it a 501c3 or other type, etc.).

The St Vincent de Paul Society, Roseville Area Conference (SVDP), was founded in August 1983, as a project from Saint Rose Catholic Church in Roseville, CA. SVDP originally started as a small Thrift Store and office that provided free furniture and financial help towards rent and utilities for Roseville residents. That same year, in December 1983, SVDP opened the Dining Room and right away were serving 40 to 50 free hot meals each day. In January 1984, the Food Locker was opened. In the first month of operation, groceries were provided to approximately 200 households.

Over the past 40 years, St Vincent de Paul, Roseville has created many more programs and services, adding the Urgent Care Medical Clinics, the BAGS Home Delivery Program, the ASK Collaboration supporting Rental Assistance among them.

SVDP has evolved over the years and currently offers 5 main programs serving south Placer County -

* Food Locker Drive-thru - average 230 households each week
* BAGS Home Delivery - supports up to 170 households each month
* Dining Room TO GO - daily meal averages 60 per day
* Rental & Utility Assistance - serving greater Placer county
* Smiles Program for Kids - Christmas program, School backpacks

2) Describe the program you would be providing with Rocklin CDBG funds. Please specify if this is a program that you have conducted previously (if so when, where, for how long and with what sources of funding) or whether it is a brand-new program.

SVDP is requesting a continuation of the Rental Assistance program through funding with Rockling's Low Mod Housing Asset Funds.

City of Rocklin awarded SVDP $15,000 in FY 22-23 via Low Mod Housing Asset funding for Rental Assistance in Rocklin. This funding is currently underway and on track to be fully expended by June 30, 2023.

Since 2020, SVDP has served Rocklin residents with rental & utility assistance through a variety of revenue sources.
EFSP United Way Cares Act 2020-2021
Project Go Cares Act 2020-2022
ASK Collaboration (City of Roseville CDBG HPRR 2022-2023)

Receiving the Low Mod Housing Asset funds in 2022-2023 FY helped SVDP assist Rocklin residents more readily. With further funding into the 2023-2024 FY, SVDP will be able to continue serving Rocklin residents specifically. Additionally, SVDP received CDBG CV3 funding for Rocklin residents in 2022-2023 and has expended 50% of that funding to date. This indicates the great need for assistance being fulfilled in Rocklin.

ST VINCENT DE PAUL SOCIETY, ROSEVILLE CONFERENCE
PROPOSAL LMHA FUNDING REQUEST
CITY OF ROCKLIN
RENTAL ASSISTANCE PROGRAM

3) Describe specifically how you would spend the funds and who (by titles or description) would be conducting the activities if funding would be used for staff costs. (Please note that you cannot use the funds for Management/Admin/Overhead).

$13,500 Rental Assistance - 15 Households - $800 per household average
$1,350 Case Management - 15 Households - $90 per household average

Staff Breakdown:
$1,350 Case Management provided by Rebecca Rush, Executive Director, BA Behavioral Health

4) Identify the specific amount of your funding request. (Please keep in mind that the City can only spend 15% of the anticipated grant amount – roughly $42,600 +/- on services and we usually have requests from multiple entities to consider in awarding of the funds).

St Vincent de Paul Roseville is requesting $15,000 for the current Rental Assistance Program

5) Describe how these services would fall under the National Objectives outlined in the NOFA.

The mission of St Vincent de Paul Roseville is to provide basic human services and programs to prevent hunger and homelessness.

In providing rental assistance, SVDP will serve an urgent need to those Rocklin residents facing housing instability. Through case management, households are also provided food stability through the SVDP weekly food distribution. Referrals to community partners are provided as needed for utility assistance, CALFRESH, etc. We currently receive referrals via 211, Unite Us, community partners, as well as direct inquiries from Rocklin residents regarding this type of assistance. With funding from the City of Rocklin we will be able to continue our program to serve Rocklin residents in need during FY 2023-2024.

6) Describe how many individuals in the low or very low-income levels you expect to provide services to on a monthly and annual basis with the CDBG funds.

The average amount of assistance is estimated to be $800 per household based on past experience in providing rental assistance in South Placer County through past grant resources. SVDP anticipates serving 15 Rocklin households with a one-time (annual) assistance grant. Based on agency experience since 2017, applicants generally fall into categories as follows: very low income - 35%, low income - 40%.

7) Describe how you would ensure and document income levels and that Rocklin CDBG funds were only being used to provide services for Rocklin Residents.

All potential Rental Assistance clients fill out a client application providing demographic information, as well as detailed application for assistance. This includes proof of residence, copy of current lease, proof of all income sources, and other demographic information.

After initial application verification, rental assistance clients' eligibility for assistance is determined through an eligibility screening process. Once vetted for eligibility, clients are

provided case management to determine level of assistance necessary to meet their current and immediate future housing stability. Clients are also provided information / resources for food stability and other community referrals as needed.

8) List who in your organization would be the primary contact for the grant including their full contact information (i.e., phone, e-mail, etc.).

Rebecca Rush, Executive Director
(916) 781-3303 Office, (916) 477-1920 Cell
rebecca.rush@placersvdp.com

9) Provide the entity name, address and name of the individual that payments would be directed to, should you receive an award.

St Vincent de Paul Society, Roseville Conference
503 Giuseppe Ct., #8
Roseville CA 95678
Attn: Rebecca Rush, Executive Director

10) Indicate your preference regarding whether you would be invoicing and providing required reporting data monthly or quarterly.

SVDP would prefer to invoice and provide required reporting data quarterly

11) Identify who in your organization appears on the Secretary of State Business Search Forms and has the authority to sign contracts. Please provide the full names of those parties (preferably 2 individuals with signing authority). You will also be asked to provide a copy of the most current form if your entity is selected for funding.

Secretary of State form - Thomas Stanko

Donald Fraser, Board President
Thomas Stanko, Board Vice President
Tim Harris, Board Treasurer

12) Describe your experience, if any, as a recipient of CDBG funds and from what entity. Please include a reference from that agency (if other than the City of Rocklin) including their complete contact information.

City of Roseville CDBG HPRR -
2001 - current - BAGS Home Delivery Roseville has been renewed annually ($15,000)
City of Roseville CDBG HPRR -
2017 - current - ASK Collaboration (sub recipient) - has been renewed annually ($50,000)
City of Roseville CBF / REACH -
2012 - current - Food Locker operations has been renewed annually various amounts
City of Roseville CDBG HPRR -

ST VINCENT DE PAUL SOCIETY, ROSEVILLE CONFERENCE
PROPOSAL LMHA FUNDING REQUEST
CITY OF ROCKLIN
RENTAL ASSISTANCE PROGRAM

2018 - Food Locker - freezer purchase walk in
City of Roseville contact - Kristine Faelz, Housing Analyst, Economic Development Dept., 316 Vernon St., Roseville, CA 95678 Phone (916) 774-5451. Letter of Reference attached.

City of Rocklin CDBG CV3 - 2022-2023 BAGS Home Delivery
City of Rocklin CDBG CV3 - Rental & Utility Assistance

WeeCare'S COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PY 2023-2024 PROPOSAL FOR THE CITY OF ROCKLIN

ROCKLIN
CALIFORNIA

Organization Description

WeeCare is a network of licensed family child care providers whose goal is to improve access to affordable, high-quality child care for all families. It does this primarily by supporting Family Child Care (FCC) providers in operating their own sustainable businesses. FCC providers run daycares for children ages 0-5 (and up to age12 for after school care) from their own homes. These FCC providers are local microenterprise owners, with 5 or less employees who provide early care and education for up to 8 or 14 children, depending on their licensed capacity.

WeeCare is a for-profit organization that was established in 2017 by CEO and Co-Founder, Jessica Chang. When her two children were born, Chang struggled to find quality child care and ultimately quit her job to become a preschool owner-operator. While in the field, she noticed high overhead costs and low retainment of teachers were major challenges in the child care industry. Chang was inspired to start WeeCare to help caregivers open and manage home daycares and grew it to become a full-service marketplace that connects caregivers with families across the country. Today, WeeCare operates in all 50 states and has a provider network that is 98% female-run and 82% minority-owned.

Families use WeeCare to find, tour, and enroll with the perfect child care provider for their children. WeeCare supports families throughout their child care experience with messaging, photo and video updates, and health and safety features through its own mobile app. Using WeeCare's tools, families have reported saving an average of 30 hours when finding care, compared to traditional methods of calling or emailing providers individually.

FCC Providers use WeeCare to manage and grow their business with ease. WeeCare's tools and support help with enrollment and finance management, tuition payment collection, curriculum support, and more. On average, providers who use the platform can earn two to three times more income by expanding their capacity and can save over ten hours per week by reducing time spent on administrative tasks.[1]

In the past few years, Weecare has expanded its partnerships to include public and private companies and governments to help solve the child care crisis together. WeeCare works with large and small business employers to provide comprehensive child care benefit packages to its employees. It also partners with local governments to expand child care accessibility to empower working families to enter or remain in the work force and increase their overall wellbeing.

[1] Internal WeeCare Data, (2022).

Program Description

WeeCare proposes CDBG funds be used to benefit child care microenterprises in the City of Rocklin. The project, BOOST (Business Operation & Optimization Support Tools), provides specialized technical assistance and business support for Family Child Care (FCC) providers The BOOST program will expand economic opportunities for 7 FCC providers in the City of Rocklin by training them to use essential tools that will make their operations more efficient. This program will enable them to reach their full revenue potential and retain their jobs in the child care industry. Through BOOST, FCC providers get individual business coaching and learn best practices on how to grow and sustain their business while also supporting the Low to Moderate Income (LMI) families who use their services. There are more FCC microenterprises located in the city of Rocklin, however WeeCare has identified 7 of them who are on its waitlist as eligible and positioned to benefit greatly from BOOST.

Child care is a much-needed service, but paradoxically an under-resourced industry. The cost to care for young children exceeds what many families can afford to pay, and child care workers are left to bear the brunt of the disparity. In Northern California, 98% of FCC providers are women, 34% are people of color, and 58% are between the ages of 40-59 years old.[2] The average annual earnings of a child care worker in California is $13.43 an hour, or about $28,000 a year,[3] qualifying them as LMI persons themselves. LMI families rely greatly on FCCs as their child care option because of the typically lower tuition, close proximity to their home (especially if they are relying on public transportation), and the non-traditional hours they are open that align with all types of work schedules.

Comparison of FCCs to Child Care Centers in Placer County[4]

	Family Child Care Home	Child Care Center
Average Cost of Care (For an Infant in 2019)	$10,542/year	$15,600/year
% Offering Nontraditional hours	11%	5%
# of Locations	232	146

The child care workforce has been steadily declining for years because of low wages. With a vulnerable, low-income earning payer mix, FCCs are at a disadvantage because they cannot rely on raising prices on their customers (LMI Families) when their own

[2] Center for the Study of Child Care Employment (CSCCE) (2020). Demographics of the California ECE Workforce https://cscce.berkeley.edu/publications/data-snapshot/demographics-of-the-california-ece-workforce/
[3] Center for the Study of Child Care Employment (CSCCE) (2020). https://cscce.berkeley.edu/workforce-index-2020/states/california/
[4] California Child Care Resource & Referral Network (2019) Child Care Data Tool. https://rrnetwork.org/research/child-care-data-tool#!0

costs go up. If they charge too much, the families will take their business elsewhere, but if they charge too little, they will not be able to cover their expenses. Because of this they must rely on other methods to operate soundly.

Child Care Aware of America provides detailed guidance on practices that will ensure a child care program's financial stability. On that list, the concept of the "Iron Triangle" is explained. To succeed, a program must:

- Reach and retain full enrollment
- Collect all fees in full and on time
- Ensure that revenues cover the cost per child[5] (ie: set appropriate tuition prices)

Unfortunately, these things are easier said than done, especially for a microenterprise with few employees and a demanding schedule. BOOST addresses all three sides of this triangle by teaching FCC providers how to use a robust Child Care Management System (CCMS) that automates enrollments and waitlists, tracks attendance, facilitates tuition payments, and much more. BOOST also provides customer leads for each provider and teaches them how to market themselves, so their enrollment can reach its full capacity and stay there. By learning business automation tools and reaching full enrollment, FCC providers will earn more money and get to a state of equilibrium. An FCC in Rocklin charges an average of $250 a week for full time care.[6] If they were to operate at the full licensed capacity for a large FCC, serving 14 children full time, they could generate $175,000 a year. Reaching this healthy model, year after year, will allow them some wiggle room to invest in their staff and program quality, try new innovative practices, and save up for unexpected emergencies.

The COVID-19 Pandemic hit the child care sector particularly hard. From 2019 to 2021, 29 FCCs permanently closed their doors in Placer County, equaling about 11% of the County's supply.[7] With school closures and fears of health risks, enrollment numbers dropped too drastically for many FCCs to stay afloat. The already decreasing child care workforce only made matters worse, and unlike other sectors that could raise wages to attract talent, the child care industry was left with no incentives to attract or retain its employees.

The ailing child care sector received much needed financial assistance from the federal government in response to the COVID-19 crisis. USA Today reported that the American Rescue Plan Act (ARPA) made an unprecedented effort to include the child care industry to keep it from collapsing. But the effects of the one-time funding are starting to wear off. The temporary fix was only meant to stabilize the industry and get parents

[5] Child Care Aware of America (2022) Financial Sustainability. https://www.childcareaware.org/financial-sustainability/
[6] Internal WeeCare Data, (2022).
[7] California Child Care Resource & Referral Network (2021) Child Care Data Tool. https://rrnetwork.org/research/child-care-data-tool#!0

back into the labor market. Many acknowledge that more long-term strategies are needed to keep the child care industry from crumbling once again.[8]

The strategy behind BOOST applies that knowledge - that government funding is a transitional aid, not the solution itself. Therefore, BOOST is designed to only operate with each FCC cohort for one year. It uses that time to teach FCC participants how to improve their microenterprise with best practices while providing enrollment assistance by matching local families who need child care. BOOST provides customer leads from its database of local families looking for care on our site: https://weecare.co/.

BOOST individually coaches the FCC provider throughout the 12 months and supplies customer (family) leads so they can experience business growth while they have access to WeeCare's professional feedback. By the end of the program, FCC providers experience a year of using the right tools for free and learn valuable lessons by "doing," not just observing. At the close of the BOOST program, FCC providers can continue with WeeCare's CCMS or adopt a different software of their choosing. The child care industry is one that has many symbiotic relationships between providers, children, families, and employers, thus the goal of the BOOST program is to strengthen the child care ecosystem as a whole. When child care is strong, everyone benefits.

BOOST's goal is to expand economic opportunities for LMI persons; both the microenterprise FCC providers, and the LMI families they serve. It can do this by helping to build and sustain a healthy child care business model across the City of Rocklin. The intended outcomes for each participant are to increase child enrollment, reduce time spent on administrative tasks, and to create a firm business plan that leverages available resources to successfully continue their work for years to come.

Use of Funds

The CDBG funds will be used to individually coach each FCC microenterprise provider and train them to use WeeCare's Child Care Management System (CCMS). What sets WeeCare apart from other software systems is that it is paired with a dedicated support person, a Care Coach, to check-in and assist the FCC provider every step of the way. Each FCC provider will create a work plan to set their business goals, including capacity and revenue numbers for the year, and apply the new tools accordingly. They are empowered to reach those goals via a myriad of ongoing services. These services include help matching with families and enrolling children into their program, tuition collection, weekly evidence-based curriculum and activity suggestions, guidance on

[8] USA Today (2022). Federal aid helped child care providers stay open. Now the help is wearing off. https://www.usatoday.com/story/news/education/2022/10/21/federal-aid-saved-child-care-providers-pandemic-now-help-wearing-off/10536023002/

licensing regulations and expansion, staff recruitment, and more. See Appendix A for an in-depth list of services.

Each microenterprise participant will receive a personal website listing and training on how to market themselves. This includes guidance on how to take effective photos, how to write a compelling business description, and tips on which business characteristics to define and highlight for potential customers. LMI families who are enrolled with participating FCCs will have access to the accompanying mobile app and be able to receive important information like a parent handbook and photo and video updates to encourage parent engagement. Each family will also be paired with a support person, in their case a Care Manager, to answer any of their questions and guide the matching and enrollment process.

BOOST is a very service-oriented program, therefore a majority of the CDBG funds will be spent on paying for the salaries of the program personnel who work directly with the FCC microenterprises and their customers. Other costs include marketing and advertising materials to announce the start of the program.

The BOOST program can be scaled up or down according to the decision of the governing body. Its operation is not dependent on full award funding and can be adjusted to the desired amount of coverage for the proposed area. If it were to be phased in or partially funded, an appropriate number of FCC providers would be accepted into the program. WeeCare already provides its CCMS services to FCC providers in California, therefore the operational systems and tools are already in place.

Specific Funding Request

WeeCare requests $42,000 to fund the proposed economic development activities.

National Objective and Consolidated Plan

The BOOST program promotes HUD's National Objective of providing a benefit to LMI persons by creating or retaining permanent jobs made available to or held by LMI persons. This economic development activity is open to both non-profit and for-profit organizations as stated by HUD's CDBG regulations.[9]

This program will also address the City's Consolidated Plan Priority Need # 3: Economic Development, specifically CD3.1: "Support the formation and launch of micro-enterprise business."

[9] Code of Federal Regulations (2022) 24 CFR 570.201(o) https://www.ecfr.gov/current/title-24/subtitle-B/chapter-V/subchapter-C/part-570/subpart-C#p-570.201(o)

The BOOST program will directly benefit Rocklin's LMI population by retaining 7 LMI jobs for FCC providers and by potentially creating 3 new LMJ jobs if eligible FCC's expand their licensed capacity and hire teaching assistants. This will increase an FCC provider's revenue and ensure LMI job stability in the child care field. Throughout the program, FCC providers will be coached to define their business objectives and understand their target market so they can continue successful practices long after the program has ended.

FCC microenterprise providers are not the only ones who will benefit, parents and caretakers of young children, and the children themselves will be better supported as a result of the BOOST program. It is well documented that child care is a vital contributor to the economy. This was firmly proven during the COVID-19 crisis. The Harvard Business Review conducted a survey in 2020 and found nearly 20% of working parents had to leave work or reduce their work hours solely due to a lack of child care.[10] Longitudinal studies have recorded a strong correlation between early care and education. One study cited by First 5 California found that early nurturing and learning experiences from ages 0 to 5 had positive long-term outcomes such as higher reading and math achievement, lower rates for special education, higher graduation rates, increased college enrollment, higher earnings, and less welfare assistance.[11] By supporting 7 FCC providers in the city of Rocklin, potentially 98 LMI families with children ages 0-12 years of age will benefit from the increased access to child care in the city of Rocklin (7 FCCs x 14 spots each[12] = 98 children receiving service).

Number of LMI Individuals Served

The BOOST program will serve 7 LMI microenterprise owners who potentially can serve 98 LMI families, which can equal 105 unduplicated LMI clients.

Method of Documentation

WeeCare can ensure that only eligible FCC owners and families access the City of Rocklin's BOOST program by requiring information at the time of application. BOOST evaluates each FCC provider's microenterprise before and after the program. The

[10] Harvard Business Review (2021). Childcare Is a Business Issue https://hbr.org/2021/04/childcare-is-a-business-issue

[11] First 5 California (2022). Why We Do It: Investing in the Future https://www.ccfc.ca.gov/whatwedo/why.html#_ftn2

[12] In California, family child care homes can be licensed as "Small", serving 8 children maximum, or "Large", serving 14 children maximum. California Department of Social Services (2022). Family Child Care Regulations https://www.cdss.ca.gov/inforesources/letters-regulations/legislation-and-regulations/community-care-licensing-regulations/family-child-care

preliminary evaluation will be used as a baseline to compare their progress throughout the program. Information collected from providers include income, number of household members, industry experience, certifications and level of education, race/ethnicity, and age. The Care Coaches who assist the FCC providers use a centralized database to observe local trends and will communicate with FCC providers through app push notifications, text, or by phone. This means they can verify that an FCC Provider has successfully received, read, and comprehended information. With real-time data and feedback, Care Coaches can make adjustments as needed.

BOOST will obtain qualitative data via quarterly anonymous feedback surveys so participants, both FCC providers and families, can freely respond to questions about what features work well and what can be improved. Family participants will have a similar pre and posttest collection of data that records their income, employment, and frequency of child care use.

The indicators BOOST will use to determine the program's effectiveness will be measured continuously throughout the year. The microenterprise growth indicators include:

- Number of trainings completed
- Number of support requests answered
- Number of family tours scheduled and completed
- Number of children enrolled, part-time and full-time
- Average length of time a child stays enrolled
- Revenue increases of FCC providers
- Self-reported hours saved on administrative tasks
- Level of satisfaction from FCC providers and participating families
- Quality improvement metrics that directly relate to QRIS (Quality Rating and Improvement System) standards.

Primary Contact
Jessa Santangelo, VP of Business Development
Email: jessa@weecare.co
Phone: (310) 710-2833

Payment Contact
Amanda Smith, Billing Manager
Email: amanda@weecare.co
Phone: (310) 853-8791

Invoicing/ reporting frequency

Weecare would prefer to invoice and provide required reporting quarterly, although it can accommodate the City of Rocklin's preferences.

People Authorized

The people authorized to sign contracts are Jessica Change, CEO of WeeCare, and Jessa Santangelo, the VP of Business Development who has been approved by WeeCare's Board of Directors.

Experience with CDBG Funds

WeeCare has direct experience as a recipient of CDBG funds. In 2021, it worked with Cathedral City, CA, a sub-recipient of CDBG, to implement three child care-focused programs. The programs implemented were BOOST- for child care providers, CASE - child care benefits for small enterprises, and Back2Work- assistance for unemployed LMI families. The total funding for the first program year was $138,500 of CDBG dollars.

WeeCare successfully completed the project in June 2022. Please see Appendix B: CDBG Accomplishment Report for Cathedral City for more details.

- BOOST: Assisted 40 LMI microenterprise FCC providers and 232 LMI persons
- CASE: Assisted 1,416 LMI persons
- Back2Work: Assisted 15 LMI persons

Total: 1,703 LMI persons assisted equating to $81.32 of CDBG/LMI person.

Reference Contact Information:

Andrew Lee, Assistant Engineer at Cathedral City
Email: alee@cathedralcity.gov
Phone: 760-770-0319

A reference letter was requested from Cathedral City but is not ready at the time of this proposal submission. If selected, WeeCare can provide the letter at a later date.

Insurance Coverage
WeeCare can provide the standard insurance coverages required.

Appendix A - List of Services

BOOST PROGRAM LIST OF SERVICES

- 24/7 Support
- Anonymous Reporting and Ongoing Feedback
- Background Check Technology
- Capacity & Scheduling System
- Care Coaches for Providers
- Care Managers for Families
- Community Support Forums
- Curriculum Provided Weekly
- Drop In Scheduling
- Enrollment Automation and Support
- Finance Management
- License and Citation Monitoring
- Licensing Support
- Location Performance Metrics
- Matchmaking Algorithm
- Milestone Tracking
- Photo And Video Updates (Moments)
- Professional Development and Continuing Education
- Regulations & Information Confirmation System
- Resource Center
- Staff Recruitment
- Timestamped Verification & Attendance System
- Tour Scheduling
- Tuition Collection
- Waitlist System

24/7 Support

Parents and providers can use the app to communicate with one another and with the WeeCare support team. Support for both parents and providers is available in over 30 languages. We can communicate directly with our entire network and confirm that the messages have been read. If a provider has not read the information, we're able to send reminders until they do.

Anonymous Reporting and Ongoing Parent and Community Feedback

Instead of conducting one-time spot visits, WeeCare obtains real-time feedback after every step from a tour through enrollment and un-enrollment—this allows WeeCare to continually ensure that all providers are meeting its high safety standards and allows for WeeCare to provide actionable feedback to providers.

Background Check Technology

Safety is a top priority. All FCC participants go through a rigorous background check process to ensure the safety and quality of each location. In addition, all our network locations are licensed by the state and undergo additional background check and health and safety screenings before being admitted as a WeeCare Network Provider.

WeeCare's admin system runs nightly licensing and inspection reports and immediately alerts Care Manager if any Network Provider status changes or receives a new infraction. In addition, all site managers, family members, and staff background checks are also run nightly to identify any changes that could be missed or delayed in reporting to licensing.

Capacity & Scheduling System

Real-time information on each facility's current and future available capacity down to each hour and age group providing the most accurate picture of each location and aiding in the inputs for our customized matchmaking. Providers also benefit from this system because they can maximize the spaces that they have open when other children are out sick or on vacation, or open available seats thereby maximizing their income.

Care Coaches for Providers

Care Coaches oversee the success of their assigned FCC Providers. Care Coaches focus on quality improvement and real-time support. They closely monitor quality and safety, developmentally appropriate activities, and assist with career-enhancing resources. Care Coaches work to improve the quality of child care given and support efforts to expand FCC 's licensed capacity and can provide support in English or Spanish.

Care Managers for Families

Care Managers assist with every step of enrollment and address any questions or concerns the families may have. They bridge the gap between families and FCC providers to support at critical junctures such as enrollment, recertification, family meetings and transitions. They encourage family involvement and provide resources and referrals for additional services based on families' needs, such as access to food or parenting resources. They can provide support in English or Spanish.

Community Support Forums

WeeCare provides community support forums for parents and providers to connect with each other on many different topics including behavior and development, child care, learning and education, parent hacks, pregnancy and childbirth, tough topics, and more. The community forum is beneficial for parents and providers to connect with each other and give advice or just relate to one another in a non-professional setting. For example, parents and providers have posted about ideas for social distancing, tips, and tricks for picky eaters, and dealing with separation anxiety. A community forum is a place for knowledge sharing and support rather than a formal educational resource so parents and providers feel supported and empowered.

Curriculum Provided Weekly

WeeCare offers an in-house curriculum that's accessible to every provider. These lesson plans are designed to be easy to follow and enriching for children of all ages. Providers can access the curriculum directly in the app for free. WeeCare also works with educational institutions so providers have access to the statewide curriculum and can track developmental milestones. This can later be used when children reach kindergarten age and can provide a retroactive look into that child's developmental milestones.

Drop-In Scheduling

Our technology allows for providers to accept drop-in care, where parents can find locations that meet their flexible one-off requests. This is especially important for tourism, the restaurant industry and gig workers, and any parents that have fluctuating schedules, or when schools shut down and parents need to find emergency backup care. These parents can immediately find quality care at the nearest location whenever they need it. Providers also benefit from this system because they can maximize the spaces that they have open when other children are out sick or on vacation, or open available seats thereby maximizing their income.

Enrollment Automation and Support

WeeCare simplifies the enrollment process by modernizing and supporting the very first step after a family secures a spot at a child care location - the paperwork. Immediately after enrollment is confirmed via the WeeCare app, the family is emailed a copy of their provider's WeeCare enrollment packet.

Providers enjoy the assurance that comes with letting go of the administrative work - putting together a new packet, ensuring the new family receives it and communicating that it is due on the first day of care. All mandatory licensing documentation, for example, requests for immunization records, are included. WeeCare enrollment packets are automatically updated upon any changes to state licensing so that providers never have to worry about potential licensing citations for outdated or missing paperwork. Finally, as our database records verify when the enrollment packet was received by the family, we can mediate both parties if a dispute ever occurs. All in all, an automated and digitized enrollment process is the key to a successful start to care.

Finance Management

WeeCare's technology can assist with record keeping for billing, tracking expenses, end of year tax documents, FSA Dependent Care Reimbursement, and required paperwork for subsidy reimbursement.

License and Citation Monitoring

Safety is a top priority for WeeCare. WeeCare providers must go through a rigorous background check process to ensure the safety of each location. All of WeeCare's providers are licensed and background-checked before being onboarded to the platform. WeeCare has technology that also continually monitors the provider's licenses and background checks nightly. We are automatically notified of any new infractions which ensures that all locations continue to meet our standards.

Licensing Support

There has been a decline in providers moving from a small FCC to a large FCC or a corporate center for several reasons. First, it's difficult to spread awareness of who can expand their license and the necessary steps to do so. For providers wishing to expand to a corporate center, there is a lot of hesitation around the potential financial burden and having to deal with other entities such as insurance companies and the fire department to ensure their center is up to code. WeeCare can identify providers who are ready and willing to expand their licenses and we have a dedicated support team to walk them through the entire process, helping to ease any doubts or fears they have about moving their license. WeeCare's support team is well-versed in licensing requirements and can make suggestions for further resources and next steps.

Location Performance Metrics

WeeCare can track how each FCC location is performing to find areas to focus on professional development. We can look at things such as enrollment, children's milestones, achievements, and safety ratings to determine how each location is performing. From there, we can tailor the location's development specifically to its needs and offer suggestions for professional development.

Matchmaking Algorithm

WeeCare's system has the capability of matching families with child care providers with current capacity openings in real-time. Typically, parents will have to spend time calling multiple child care providers (sometimes from outdated resources) to determine which provider has the capacity and maybe a good fit for their child. This leads to wasted time and energy on behalf of both the parents and providers as the providers are likely fielding inquiries while simultaneously watching over children. WeeCare accomplishes this matching in seconds and with the most up-to-date and accurate information. This virtually eliminates the need for parents to spend days searching for child care that meets their needs.

Our matching algorithm matches parents from as much information that is available and can be up to 22 different points with even customized prioritization of criteria, so we are able to ensure the highest quality provider family matches. For example, we can take the parent's individual criteria such as budget and schedule to search across multiple Geographic Location(s) desired to find the best match for the parent that may perfectly fit in along the parent's commute.

Currently, with many childcare matching databases, parents can't search based on their unique needs. WeeCare allows parents to filter their childcare search by different needs so they're able to find the perfect fit for their family including primary language spoken at daycare, or whether the provider has experience with special needs or developmental delays.

Milestone Tracking

Our AI technology recommends curriculum based on developmental milestones and individual child profiles. When a child enters WeeCare, we can get a baseline on them to see where they're at developmentally and where they need to be. The curriculum can then be tailored to fit specific needs. Parents also have access to curriculum to follow along at home and so they know what they're learning throughout the day and can continue the learning at home.

Payment to Providers

WeeCare pays child care providers quickly and provides the first payment to providers within 2-5 days. If needed, WeeCare could also pay providers upfront to further streamline the process and be

reimbursed later. The same methods can create a bridge program while providers wait for government subsidy payments.

Photo And Video Updates for Families (Moments)

One of the most common requests by parents is for their provider to send photo and video updates of their child throughout the day. The WeeCare app makes it easy for parents to feel connected and at ease by allowing providers to securely send *Moments* directly in-app to a parent. Moreover, the Moments feature enables providers to link the specific curriculum or activity they are doing in the photo or video, so parents can have the full experience.

Professional Development and Continuing Education

WeeCare can provide safety education and training including 1 on 1 and monthly in-service training webinars. The community forum also encourages community engagement. WeeCare has a designated training section and is easily able to push out regulation changes so providers are aware of them. Providers can also connect with the community and parents on relevant topics, including a searchable database for providers to use. WeeCare is also able to work with accredited institutions and connect their trainings and content so that all available professional development is easily accessible and centralized to providers with search and filter functionality.

Regulations & Information Confirmation System

The lack of access to mandatory licensing information is easily addressable by utilizing WeeCare's in-app licensing checklist and push notification system. There are multiple methods we can use to verify that a provider successfully received and confirmed that they read the latest licensing information.

Resource Center

WeeCare acts as a centralized source of information and offers support to those families who want to apply for assistance paying for child care. If parents are denied or unable to pay for child care, we have resources to point them in the right direction and can help figure out next steps.

Staff Recruitment

WeeCare can connect providers to licensed and background-checked teaching assistants by serving as a place where assistants can apply to be placed at a WeeCare location in their area. Similar to how we match parents and providers, we match assistants to the best WeeCare employer. When a provider has

more staff, it means they can accommodate more enrollments and give more kids access to quality care. WeeCare can do all the work to identify, recruit, and vet individuals to serve as teaching assistants for providers including detailed background checks.

In addition to matching teaching assistants with providers, WeeCare can facilitate a substitute teaching pool to give providers a backup if they ever need to take a day off due to illness or emergencies. This substitute pool would also consist of individuals that have been vetted and checked by WeeCare's thorough process.

Timestamped Verification and Attendance System

WeeCare offers accuracy in variable schedule payments. WeeCare can verify when children are dropped off and picked up from child care providers due to a location enabling timestamp, along with real-time photo verification. This technology leaves less room for error and leads to major cost savings.

WeeCare also has a contactless attendance system that providers and families use to mark when a child arrives and when they depart. This eliminates the need for pen and paper sign outs, which is a system plagued by human error. The use of WeeCare's contactless attendance system also helps to store that data in one place rather than scattered across other forms and pieces of paper. If providers need access to that attendance data for reporting reasons, they're able to access it directly.

The contactless attendance system can also be useful in contact tracing if there is ever a COVID-19 case at a location. Providers can see who was there on a certain day to determine if anyone needs to quarantine.

Tour Scheduling

WeeCare built a tool in the app that allows for virtual tours to eliminate unnecessary contact. During the pandemic, touring facilities in person is not possible for most people and is not the safest method. Now, parents can tour facilities remotely and leave feedback after each tour. This feedback is used to assess WeeCare providers and address any concerns that might come up

Tuition Collection

Parents can easily pay their tuition in the app. Tuition payments are charged 1 week in advance of care that is given. This gives room to ensure providers are paid upfront and on time, as well as guaranteeing a child care spot for the parent.

If there are any financial situations that arise, WeeCare works with the parent directly and develops a plan with the parent directly while covering the costs with no interruption of payment to the provider

to help avoid any uncomfortable conversations or friction between parent and provider, preserving a healthy relationship, and saving everyone time and financial stress.

Waitlist System

Parents can be on multiple WeeCare location waitlists and will receive automatic updates on their status and spot, rather than them having to call the provider directly. As real-time information comes in about changes incapacity, we inform the waitlisted parents every step of the way with automatic notifications via text message, email, and/or in-app notifications, and parents are also able to see at any time their real-time place and status on each waiting list.

CITY OF CATHEDRAL CITY

Annual Program Progress Report

Project/Activity Title: **WeeCare BOOST Program** Project Number: **N/A**

Name/Address of Provider:
WeeCare
5521 N University Dr STE 104,
Coral Springs, FL 33067

PROGRAM PROGRESS REPORT Period: **July 1, 2021 -June 30, 2022**

DIRECT BENEFIT REPORT

- Number of First-Time Program Beneficiaries Serviced:
  # of Households: **272** # of Persons: **272**
  < or = 30%: _____ 30-50%: ___ 50-80%: ___ > 80%: **100%**
- Number of First-Time Female Headed Households: **112**
- Counts by Race/Ethnicity:
  American Indian or Alaskan Native: **3**
  American Indian or Alaska Native AND White _____
  American Indian/Alaska Native AND Black/African American: **1**
  Asian: **2**
  Asian AND White: **6**
  Black/African American **16**
  Black/African American AND White: **3**
  Native Hawaiian or Other Pacific Islander: _____
  Hispanic/Latino Ethnicity: Mexican/Chicano: **218**
  Puerto Rican:
  Cuban
  Other:
  Other: __
  White: **23**
- Number of Disabled: **4**
- Number of Homeless Persons Given Overnight Shelter: **N/A**

ACCOMPLISHMENT NARRATIVE

During the Fiscal Year 2021-2022, WeeCare worked towards accomplishing several goals for BOOST's program year. This program was designed to support 25 microenterprise childcare providers in Cathedral City.

WeeCare's *Childcare Business and Technical Assistance Program* supports family childcare (FCC) providers in operating a sustainable business by supplying them with WeeCare software and support free of charge. Through this program, **25 licensed family childcare providers** in Cathedral City will receive business and technical assistance, generously funded in partnership with the city and WeeCare. These FCC providers qualify as micro-enterprises as they employ less than five employees

per location. Providers are considered LMI employers who can serve additional LMI persons. By supporting 25 childcare providers, WeeCare also helped service Cathedral City families.
This program addressed the national objective of benefitting LMI individuals in Cathedral City. A few of the goals outlined at the beginning of the 2021-2022 program year included:

- Outreach to existing 68 licensed childcare providers to educate and inform all eligible providers of their eligibility for the WeeCare platform and support services.
- Support new LMI individuals and provide business and technical assistance through childcare licensing to help identify and establish new childcare providers within Cathedral City.
- Support 25 microenterprise childcare providers with business and technical support
- Reduce childcare providers' administrative workload by an average of 10 hours per week
- Increase the childcare providers' business capacity and increase their enrollments with LMI-eligible families

To roll out the program, WeeCare conducted phone, text, and email outreach to all the licensed childcare providers in Cathedral City to inform them of the BOOST program eligibility and onboard them onto the WeeCare platform to begin receiving business and technical assistance. In its first program year, WeeCare's BOOST program successfully reached reach 56 of the eligible providers throughout the city, and WeeCare enrolled 18 licensed childcare providers who already had existing childcare provider licenses and received immediate business and technical support services for their existing childcare business. In turn, the program also helped to support an additional 232 LMI-eligible children and families with communication, family education, and support services such as tuition, curriculum, and billing support for both the families and providers. In addition to the 18 existing LMI-eligible childcare providers with existing businesses in Cathedral City, WeeCare assisted 22 new LMI-eligible persons in starting a new childcare business.

Highlights from the 2021-2022 program year:

Number of LMI persons assisted: **40 LMI Childcare providers and 232 LMI families.**
Childcare Provider By Type:

- 18 existing licensed family childcare providers
  - 17 large facilities
  - 1 small facility
- 22 new childcare providers

Number of new LMI family enrollments at LMI childcare providers**: 28**
The average increase in annual income for BOOST childcare providers program participants: **$10,600**

Out of the 18 existing licensed facilities LMI providers:

- 10 exceeded their revenue and enrollment goals and are at their licensed capacity.
- 1 was supported through education on license expansion to increase their business capacity from 8 to 14 families.
- 1 licensed childcare provider facility had licensing infractions and was provided quality and improvement support to resolve their licensing citations.
- 5 increased their revenues and enrollments but did exceed their capacity and revenue goals.
- 3 were not able to meet any of their income or enrollments success metric goals and no new enrollments or expansion of their annual revenues were achieved. Detailed provider action plans have been developed and the providers are receiving ongoing support.

To: Laura Webster, City of Rocklin
From: Rolande Tellier, The Gathering Inn
Date: December 27, 2022
Re: Request for Low-Moderate Income Housing Asset Fund/HPRR
Revised: March 16, 2023

Thank you for the opportunity to submit our request for funding under the Low-Moderate Income Housing Asset Fund to support Homelessness Prevention and Rapid Rehousing. We appreciate our partnership with the City of Rocklin and look forward to continuing to serve your residents.

About The Gathering Inn

The Gathering Inn is a 501-c3 non-profit organization and has been in operation since 2004, starting as a winter-only shelter with a small but dedicated group of local churches. We have experienced significant growth over the years, particularly since 2015. Some of TGI's major accomplishments during that time include establishing a Resource Center for day services providing food, showers and access to computers; acquiring and managing permanent supportive housing in various communities in the county; establishing an Interim Care Program through a partnership with Sutter Health which provides medical respite for people experiencing homelessness after discharge from a hospital stay; and operation of the county's only emergency shelter, located in Auburn.

The Gathering Inn has been successfully operating our nomadic shelter out of Roseville for nearly 18 years, and an emergency shelter in Auburn since 2018.

Use of Funds

Funds will support direct services program staff including associated taxes and benefits. Supported positions may include:

- Housing Specialist: maintains list of housing options, manages HPRR funds, coordinates interviews with landlords, and accompanies guests on housing inspections prior to move-in.
- Rapid Rehousing: funds to support security and utility deposits; application fees; acquiring required documentation; landlord incentives.

TGI respectfully requests $65,000 to support the above activities.

National Objectives

The proposed program falls under the national objectives of “benefit to low and moderate income persons” and “urgent need” by providing needed services to persons experiencing homelessness.

Low or very low-income persons served

TGI anticipates serving 25 persons from Rocklin during the funding period.

Documentation of income levels and Rocklin residency

Case managers complete a comprehensive intake questionnaire for all guests and information collected including income levels and last city of residence is collected in the Homeless Management Information System (HMIS) and are able to report on a wide variety of outcomes.

Contact Information:

Grant Contact:

Rolande Tellier
Chief Operating Officer
The Gathering Inn
201 Berkeley Ave.
Roseville, CA 95678
916-662-5351
rtellier@thegatheringinn.com
*prefer quarterly reporting

Contract Signatory:

Keith Diederich
President and CEO
The Gathering Inn
201 Berkeley Ave.
Roseville, CA 95678
keith@thegatheringinn.com

Payment Contact:

Brandy Hudspeth
Director of Human Resources
The Gathering Inn
201 Berkeley Ave.
Roseville, CA 95678
bhudspeth@thegatheringinn.com

Reference:

Kristine Faelz, *Housing Analyst* City of Roseville
Economic Development Dept. – Housing Division
316 Vernon Street, Suite 150
Roseville, CA 95678
KPFaelz@roseville.ca.us
916 774-5451

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
APPROVING ADOPTION OF THE 2023/2024 ANNUAL ACTION PLAN
AND AUTHORIZING THE CITY MANAGER TO EXECUTE
AND FILE ALL DOCUMENTS REQUIRED TO RECEIVE
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (HUD) COMMUNITY
DEVELOPMENT BLOCK GRANT (CDBG) FUNDING

WHEREAS, the Community Development Block Grant Program, authorized pursuant to Title 1 of the Housing and Community Development Act of 1974, as amended (Act), requires that jurisdictions provide for the issuance of grants in order to attain the objective of providing decent housing and a suitable living environment and expanding economic opportunities, principally for persons of low- and moderate-income; and

WHEREAS, there has been presented to, and reviewed by this City Council, the 2023/2024 Action Plan (Action Plan), which describes programs to be funded, in compliance with the goals and objectives of the Consolidated Plan, for the 2023/2024 program year; and

WHEREAS, the City has prepared an application on Standard Form 424 (Application) to HUD for a Community Development Block Grant in the amount of $300,177, for the period of July 1, 2023 through June 30, 2024; and

WHEREAS, the City is required to submit certifications to the U. S. Department of Housing and Urban Development (HUD), including those regarding affirmatively furthering fair housing, anti-displacement and relocation plan, drug free workplace, anti-lobbying, authority of jurisdiction, consistency with strategic plan, citizen participation, community development plan, following Consolidated Plan, use of funds, prohibition of excessive force, compliance with anti-discrimination laws, and lead-based paint; and

WHEREAS, the Action Plan, and a notice of the 30-day public comment period, have been published for citizen comment prior to forwarding the documents to City Council for approval; and

WHEREAS, on April 11, 2023, the City Council had a public hearing and prior to that there was public notice of a 30-day comment period on the Action Plan to provide an opportunity for the public to comment on the information provided in the Action Plan.

The City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin hereby approves the adoption of the City of Rocklin 2023/2024 Action Plan in the form attached hereto as Exhibit A and by this reference incorporated herein, certifying that the City of Rocklin has

and will continue to conduct its activities in accordance with applicable statutes and regulations governing the CDBG Program, as identified in the accompanying Certifications (Exhibit C).

Section 2. The City Council of the City of Rocklin hereby approves and authorizes the City Manager, or her designee, to execute and file the Application (SF 424 and SF 424D – Exhibit B), the Action Plan and Certifications, including all documents that are required to receive CDBG funding for and on behalf of the City of Rocklin.

PASSED AND ADOPTED this 9th day of May, 2023 by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBITS A, B and C

A. 2023/2024 Final Annual Action Plan
B. SF 424 and SF 424 D
C. Certifications

EXHIBIT A

ROCKLIN
CALIFORNIA

FINAL

Fiscal Year (FY) 2023/2024 Annual Action Plan
HUD Entitlement: Community Development Block Grant

May 9, 2023

Public Review Period: January 27, 2023 – March 1, 2023
Draft Public Hearing: April 11, 2023 City Council Meeting
Final Public Hearing: May 9, 2023 City Council Meeting

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The FY 2023/2024 Action Plan is a one-year plan to address the community development and low- and moderate-income housing needs in the City of Rocklin. The plan covers the period of July 1, 2023 through June 30, 2024. It is the fourth Action Plan in the implementation of the five-year 2020 – 2024 Consolidated Plan. Both the Consolidated Plan and the Action Plan are implemented by City staff. The City will receive $300,177 from the federal Community Development Block Grant (CDBG) program in FY 2023/2024.

The City also plans to meet its community development and housing needs using a variety of other funding sources, including the Low and Moderate Income Housing Asset Fund and the General Fund.

City staff encourages citizen participation throughout the Action Plan process. This includes consulting local organizations and encouraging public comment during the public review period and at the City Council public hearing to discuss the draft Annual Action Plan. Using research and input from the public, City staff formulated the objectives and outcomes that are briefly described below.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The City's key objectives for the FY 2023/2024 program year are the following:

* Improve the quality of housing in Rocklin.

* Sustain a livable community by identifying issues and working with surrounding jurisdictions and local service providers to solve them.

* Encourage a range of services to help prevent homelessness and to help people move from homelessness to permanent housing, including emergency shelters as well as transitional and supportive housing.

* Support infrastructure improvements in target areas and for the disabled population using Rocklin services.

* Encourage the provision of services to target-income individuals and families, including those with special needs such as youth, seniors, and persons with disabilities.

*Encourage the provision of services to target-income individuals and families, including survivors of domestic violence, sexual violence, human trafficking and their non-offending family members.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

In FY 2022/2023, in recognition of the need to improve progress towards meeting the goal of serving target-income individuals and families, including survivors of domestic violence, sexual violence, human trafficking and their non-offending family members, the City funded public services that target these survivors. In recognition of the need to improve progress towards meeting the goal of serving seniors, the City funded public services that target seniors. In recognition of the need to improve progress toward meeting the goal of serving target-income children, adults and families with services to support recovery and healing from trauma, abuse and neglect, the City funded public serves that target these survivors.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

City staff encouraged citizen participation throughout the Action Plan process. The Notice of Funding Availability and anticipated schedule of events was published consistent with the City's standard noticing practice, which included publication in The Placer Herald newspaper, on the City's website and distribution to the Placer Collaborative Network (PCN) and Placer Consortium on Homelessness (PCOH) e-mail lists on October 7, 2022.

A notice of the Draft Annual Action Plan availability, 30-day public review period (January 27, 2023 through March 1, 2023) and anticipated public hearing dates of April 11, 2023 for the draft plan and May 9, 2023 for the final Annual Action Plan was published on January 27, 2023. The Plan was available at the City of Rocklin Administration Building and on the City of Rocklin's website throughout the public review period and hearing process. To date, the City has not received any comments.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

This section will be completed after the May 9, 2023 public hearing has been conducted.

6. Summary of comments or views not accepted and the reasons for not accepting them

This section will be completed after the May 9, 2023 public hearing has been conducted.

7. Summary

See above information.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	ROCKLIN	
CDBG Administrator	ROCKLIN	City of Rocklin
HOPWA Administrator		
HOME Administrator		
HOPWA-C Administrator		

Table 1 – Responsible Agencies

Narrative (optional)

The City of Rocklin is responsible for administering the Consolidated Plan and the Annual Action Plans.

Consolidated Plan Public Contact Information

Laura Webster
Director of Long Range Planning and Housing
Community Development Department
City of Rocklin
3970 Rocklin Road
Rocklin, CA 95677
laura.webster@rocklin.ca.us
(916) 625-5161

AP-10 Consultation – 91.100, 91.200(b), 91.215(l)

1. Introduction

The preparation of the FY 2023/2024 Annual Action Plan included consultation with and coordination among government agencies, private groups (for-profit and non-profit) and individuals. The Rocklin Fire Department was one of the organizations who participated in the outreach/consultation process for the Con Plan. The City will continue to include consultation with the Fire Department during preparation of the AAP, to discuss improved coordination related to disaster resiliency, annually moving forward. Opportunities for public participation were offered throughout the Action Plan process. Residents, service providers and other interested parties were invited to provide comments on the Annual Action Plan by submitting written comments, email, calling City staff or attending the public hearings. Notice of the planning process was posted in public places, announced on the City's website, distributed to PCN and PCOH e-mail lists and officially noticed in The Placer Herald newspaper.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))

The City of Rocklin will continue to coordinate with the Roseville Housing Authority, which provides Section 8 vouchers to Rocklin residents. In addition, the City will maintain its relationship with the various governmental health, mental health and service organizations within Placer County. Placer County's Adult System of Care partners with agencies in Placer County to assist adults and older adults achieve their optimal level of self-sufficiency and independence by providing mental health services, substance abuse treatment and in-home support services. City staff created and keeps updated a list of services available to Rocklin residents that need physical and mental health services, foster care, food and other assistance in order to better pair the services to those who need them. City staff also serves as a Board Member for the Homeless Resource Council of the Sierras (HRCS) Placer County.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

City staff have participated in regular committee meetings organized by the HRCS (Continuum of Care - COC) and Placer County Health and Human Services (HHS) Department from August 2015 to the present to consider homeless shelter needs and options in South Placer County as well as strategies to create permanent housing solutions. Participants in these meetings have included local agency staff or elected officials from Rocklin, Roseville, Lincoln, and Placer County. Attendees have also included numerous non-profits.

In 2021 and 2022 Rocklin staff and two City Council Members participated regularly in a new Regional Working Group and Ad Hoc Committee led by a consultant retained by the Placer County's Department of Health and Human Services to facilitate even more in-depth multi-agency discussion of strategies to address homeless shelter needs in South and Mid-Placer County. This process has involved a series of monthly meetings and work efforts for these groups are expected to continue into 2023. The City of Rocklin will continue to coordinate with the Placer Consortium on Homelessness whenever possible on providing homeless needs assistance. The City will also coordinate with surrounding jurisdictions in the provision of housing assistance and related services to homeless persons.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The City does not receive ESG funds, develop performance standards, evaluate outcomes or develop funding, policies and procedures for the administration of HMIS, as it does not administer HMIS. However, the City does consult with the Placer County's Continuum of Care related to funding allocations, and encourages all agencies providing services and care for homeless individuals to utilize the HMIS system.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	ROCKLIN
	Agency/Group/Organization Type	Housing Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-Homeless Service-Fair Housing Other government-Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs-Chronically homeless Homeless Needs-Families with children Homelessness Needs-Veterans Homelessness Needs-Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Various departments within the City of Rocklin were consulted including the City of Rocklin Community Development, Fire, Police, Public Services and Finance Departments.

2	Agency/Group/Organization	PLACER COUNTY
	Agency/Group/Organization Type	Services-homeless Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Various departments within Placer County provide information such as Placer County Department of Health and Human Services, Placer County Housing Authority and Placer County Health and Human Services Adult System of Care. The anticipated outcome is improved communication, collaboration, and coordination among the agencies.
3	Agency/Group/Organization	ROSEVILLE
	Agency/Group/Organization Type	Housing PHA Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Roseville's Housing Authority was consulted regarding its continued administration of the City of Rocklin's Section 8 Housing Choice Voucher program.
4	Agency/Group/Organization	THE GATHERING INN
	Agency/Group/Organization Type	Services-homeless

	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Agency was contacted either through phone call, email notification and/or public forum flyer for consultation and coordination. The anticipated outcome is improved communication and collaboration.
5	Agency/Group/Organization	Placer Collaborative Network
	Agency/Group/Organization Type	Services-Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-Homeless Services-Health Services-Employment Service-Fair Housing Services-Victims
	What section of the Plan was addressed by Consultation?	Homeless Needs-Chronically homeless Homeless Needs-Families with children Homelessness Needs-Veterans Homelessness Needs-Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City published information related to CDBG document preparation and review opportunities through Placer Collaborative Network's (PCN's) e-mail list. City staff has also attended and been a presenter at the PCN/PCOH Housing Forum events.
6	Agency/Group/Organization	Placer Consortium on Homelessness
	Agency/Group/Organization Type	Housing Services-Housing Services-Homeless Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Homeless Needs-Chronically homeless Homeless Needs-Families with children Homelessness Needs-Veterans Homelessness Needs-Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City published information related to CDBG document preparation and review opportunities through Placer Consortium on Homelessness's (PCOH's) e-mail list. City staff has also attended and been a presenter at the PCN/PCOH Housing Forum events.

Identify any Agency Types not consulted and provide rationale for not consulting

The City did not deliberately omit any agencies from the process.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Homeless Resource Council of the Sierras (HRCS)	Point-in-Time count provided homeless data.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting

The Notice of Funding Availability and anticipated schedule of events was published in The Placer Herald newspaper, on the City's website and distributed to the PCN and PCOH e-mail lists on October 7, 2022. The Annual Action Plan's review period and public hearing was noticed and published in The Placer Herald newspaper, on the City's website and distributed to the PCN and PCOH e-mail lists on Friday, January 27, 2023. The Annual Action Plan was available for a 30-day public review period from Friday, January 27, 2023 to Wednesday, March 1, 2023. The City Council conducted a public hearing to discuss the draft Annual Action Plan on Tuesday, April 11, 2023. During the meeting, City Council members had questions about noticing methods and a desire for more frequent communication to the public regarding the various non-profit programs and accomplishments resulting from Rocklin's CDBG Funding. City Council members also expressed concern that federal regulations applicable to CDBG overly restrict the amount of funding that can be allocated to Services. There were no comments from the public during the April 11, 2023 hearing. Following the close of the public hearing, the City Council did not request any changes to the draft AAP document or funding recommendations. The City Council is scheduled to conduct a public hearing to discuss the final Annual Action Plan on Tuesday, May 9, 2023.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	Non-targeted/broad community	This will be completed after the public review period and public hearings have concluded.	This will be completed after the public review period and public hearings have concluded.	This will be completed after the public review period and public hearings have concluded.	This will be completed after the public review period and public hearings have concluded.
2	Newspaper Ad	Non-targeted/broad community	This will be completed after the public review period and public hearings have concluded.	This will be completed after the public review period and public hearings have concluded.	This will be completed after the public review period and public hearings have concluded.	This will be completed after the public review period and public hearings have concluded.
3	Internet Outreach	Non-targeted/broad community PCN/PCOH e-mail groups	This will be completed after the public review period and public hearings have concluded.	This will be completed after the public review period and public hearings have concluded.	This will be completed after the public review period and public hearings have concluded.	https://www.rocklin.ca.us/community-development-block-grant

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Below is information regarding expected resources, based on prior allocations, resources, and the current Consolidated Plan.

On February 27, 2023, HUD published CDBG allocations for the current federal fiscal year and the City will receive $300,177 for the 2023/2024 Program Year.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan $	Narrative Description
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	300,177	0	0	300,177	267,918	As identified in the five-year Consolidated Plan, the City anticipates receiving a total of $1,413,435 over the five-year Consolidated Plan period.
Other	public - federal	Public Services	0	0	0	0	0	

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City of Rocklin receives no federal funding in addition to CDBG for housing and non-housing community development and there are no matching requirements for the City's CDBG program.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City is in the process of partnering with Community Housing Works to develop an affordable housing project on City owned property located on Pacific Street in Downtown Rocklin between Oak and Pine Streets.

Discussion

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	CD2.3: Meet senior nutrition and social needs	2023	2024	Non-Housing Community Development	City of Rocklin	CD2: Public services	CDBG: $11,256.63	Public service activities other than Low/Moderate Income Housing Benefit: 42 Persons Assisted
2	CD2.4: Provide mental health services	2023	2024	Non-Housing Community Development	City of Rocklin	CD2: Public services	CDBG: $11,256.64	Public service activities other than Low/Moderate Income Housing Benefit: 50 Persons Assisted
3	CD2.4: Provide mental health services	2023	2024	Non-Housing Community Development	City of Rocklin	CD2: Public services	CDBG: $11,256.64	Public service activities other than Low/Moderate Income Housing Benefit: 7 Persons Assisted
4	CD2.3: Meet senior nutrition and social needs	2023	2024	Non-Housing Community Development	City of Rocklin	CD2: Public services	CDBG: $11,256.64	Public service activities other than Low/Moderate Income Housing Benefit: 60 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	CD1.1: Improve safety and accessibility in public	2023	2024	Non-Housing Community Development	City of Rocklin	CD1: Public improvements and infrastructure	CDBG: $195,115.05	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 100 Persons Assisted
6	Planning and Administration	2023	2024	Planning and Administration	City of Rocklin	Planning and Administration	CDBG: $60,035.40	Other: 0 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	CD2.3: Meet senior nutrition and social needs
	Goal Description	Provide home delivery of hot meals to home-bound seniors in Rocklin. (Seniors First)
2	Goal Name	CD2.4: Provide mental health services
	Goal Description	The Victim Services Program will provide therapy services to domestic and sexual violence survivors and crisis intervention and advocacy services to Rocklin domestic and sexual violence survivors. (Stand Up Placer)
3	Goal Name	CD2.4: Provide mental health services
	Goal Description	Provide therapy and case management services to support recovery and healing from trauma, abuse and neglect for children (0-18), adults and families. (Kids First)

4	Goal Name	CD2.3: Meet senior nutrition and social needs
	Goal Description	Provide groceries and basic essentials to low-income, homebound elderly and disabled adults. (St Vincent De Paul)
5	Goal Name	CD1.1: Improve safety and accessibility in public
	Goal Description	Sidewalk repairs and accessibility improvements to remove architectural barriers in the public right-of-way and improve safety for disabled persons at various locations in Rocklin in block groups with 51% or more of households with incomes less than 80% AMI throughout the City. Potential activities over the plan period may include installation of auditory pedestrian crossing signals, curb cuts, sidewalks leading up to those curbs, wheelchair ramps, and improved accessible path of travel. (City of Rocklin)
6	Goal Name	Planning and Administration
	Goal Description	Provide general administration of the CDBG program including planning and reporting activities. (City of Rocklin)

Projects

AP-35 Projects – 91.220(d)

Introduction

City staff evaluated all submitted applications and have recommended the below projects to be funded for the FY 2023/2024 Annual Action Plan.

Projects

#	Project Name
1	2023 Senior Services – Seniors First
2	2023 Mental Health Services – Stand Up Placer
3	2023 Mental Health Services – Kids First
4	2023 Senior Services – St Vincent De Paul
5	2023 Accessibility and Safety Projects – City of Rocklin
6	2023 Planning and Administration – City of Rocklin

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

It is difficult to address all the community needs with the limited amount of funding received. However, it is believed that assisting domestic violence victims, seniors, and the disabled; providing basic essentials such as food and mental health care, including families with trauma, are the best ways to allocate funds based on the needs of the community.

AP-38 Project Summary

Project Summary Information

1	Project Name	2023 Senior Services – Seniors First
	Target Area	City of Rocklin
	Goals Supported	CD2.3: Meet senior nutrition and social needs
	Needs Addressed	CD2: Public services
	Funding	CDBG: $11,256.63
	Description	Provide home delivery of hot meals to home-bound seniors in Rocklin.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	42 senior individuals
	Location Description	Various homes in Rocklin, CA
	Planned Activities	Provide meals to seniors at home (Meals on Wheels).
2	Project Name	2023 Mental Health Services – Stand Up Placer
	Target Area	City of Rocklin
	Goals Supported	CD2.4: Provide mental health services
	Needs Addressed	CD2: Public services
	Funding	CDBG: $11,256.64
	Description	The Victim Services Program will provide therapy services to domestic and sexual violence survivors and crisis intervention and advocacy services to Rocklin domestic and sexual violence survivors.

	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	50 domestic and sexual violence survivors
	Location Description	Services will be provided at a confidential location in Roseville.
	Planned Activities	Direct labor includes advocacy specialists, the advocacy coordinator, marriage and family therapists, interns, and the clinical manager. These individuals will provide direct services to clients.
3	Project Name	2023 Mental Health Services – Kids First
	Target Area	City of Rocklin
	Goals Supported	CD2.4: Provide mental health services
	Needs Addressed	CD2: Public services
	Funding	CDBG: $11,256.64
	Description	The Family Mental Wellness Program will provide therapy and case management services to support recovery and healing from trauma, abuse and neglect for children (0-18), adults and families.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	7 very low and/or low income individuals
	Location Description	Citywide
	Planned Activities	Direct labor includes a therapist and case manager. These individuals will provide direct services to clients.
4	Project Name	2023 Senior Services – St Vincent De Paul
	Target Area	City of Rocklin

	Goals Supported	CD2.3: Meet senior nutrition and social needs
	Needs Addressed	CD2: Public services
	Funding	CDBG: $11,256.64
	Description	St Vincent De Paul's Food BAGS Home Delivery program will provide groceries and basic essentials to low-income, homebound elderly and disabled adults.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	60
	Location Description	City of Rocklin.
	Planned Activities	Groceries and basic essentials delivered of eligible Rocklin residents who are low-income, homebound elderly and disabled adults.
5	Project Name	2023 Accessibility and Safety Projects – City of Rocklin
	Target Area	City of Rocklin
	Goals Supported	CD1.1: Improve safety and accessibility in public
	Needs Addressed	CD1: Public improvements and infrastructure
	Funding	CDBG: $195,115.05
	Description	Improvements within the public right of way and pedestrian paths of travel to benefit disabled individuals in the City of Rocklin by improving their accessibility in block groups with 51% or more of households with incomes less than 80% AMI throughout the City.
	Target Date	6/30/2024

	Estimate the number and type of families that will benefit from the proposed activities	100 disabled individuals
	Location Description	Various locations in Rocklin in block groups with 51% or more of households with incomes less than 80% AMI throughout the City. Emphasis for ADA projects will be within Pacific Street and Grove Street areas.
	Planned Activities	Sidewalk repairs and accessibility improvements to remove architectural barriers in the public right-of-way and improve safety for disabled persons citywide. Potential activities over the plan period may include installation of auditory pedestrian crossing signals, curb cuts, sidewalks leading up to those curbs, wheelchair ramps, and improved accessible path of travel.
6	Project Name	2023 Planning and Administration – City of Rocklin
	Target Area	City of Rocklin
	Goals Supported	Planning and Administration
	Needs Addressed	Planning and Administration
	Funding	CDBG: $60,035.40
	Description	Provide general administration of the CDBG program including planning and reporting activities
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	City offices
	Planned Activities	Provide general administration of the CDBG program including planning and reporting activities.

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City of Rocklin does not have significant, dense areas of low-income residents, nor are there areas of significant racial or minority concentration.

Geographic Distribution

Target Area	Percentage of Funds
City of Rocklin	100

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The City's planning is focused more toward the number of persons who can be helped and identifying projects that will produce the best benefit for Rocklin residents.

Discussion

See above information.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The City of Rocklin does not currently fund any affordable housing projects.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	0
Special-Needs	0
Total	0

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	0
Acquisition of Existing Units	0
Total	0

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

Due to the relatively small grant amount available, Rocklin does not utilize CDBG funds for the items in the tables above. However, 110 to 120 Section 8 Housing Choice Vouchers are administered within Rocklin each year through the City's contract with the Roseville Housing Authority. As of the writing of this document, there are currently 120 vouchers from the Roseville Housing Authority leased in the City of Rocklin. The Placer County Housing Authority has provided another 71 vouchers that are currently leased in Rocklin.

AP-60 Public Housing – **91.220(h)**

Introduction

The City of Rocklin does not oversee a public housing authority and there is no public housing agency in Rocklin.

Actions planned during the next year to address the needs to public housing

The City recognizes that the Roseville Housing Authority has been acting as the public housing authority for many years and contracts with the City of Roseville to administer Rocklin's Section 8 program. The City will continue to support those services that meet the needs of Rocklin residents.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

While the City of Rocklin is not a public housing authority, the City administers affordability covenants and existing down payment assistance loans on various properties within the City. City staff continue to market these opportunities and work with prospective buyers to determine income eligibility.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Not applicable; there are no public housing agencies in the City of Rocklin.

Discussion

Please see above information.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

This section discusses the City's one year activities to address the needs of persons who are homeless.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City of Rocklin has provided CDBG funding to The Gathering Inn (TGI) in the past several years (funding range has been between $12,000 to $18,000 annually). However, as a result of additional CDBG subrecipient applicants in recent years and the 15% public services funding limit, the City has decided to fund TGI from its Low and Moderate Income Housing Asset (LMIHA) Fund for Homelessness Prevention and Rapid Rehousing (HPRR). TGI has requested $65,000 in funding. We anticipate their funding request would be provided through the Citywide budget. In addition to HPRR, TGI's primary goal is to meet the very basic needs of guests on a daily basis year round: hygiene, food and safe overnight shelter. TGI provides access to showers, toiletries, clean dry socks and clothes, plus transportation to a host church where guests are provided a warm and safe place for sleeping along with a hot nutritious meal. Each morning guests are transported back to the intake sites where they can access social services.

The Homeless Resource Council of the Sierras (HRCS) and Placer County's Continuum of Care (CoC) conducts a Housing Inventory Count (HIC) and Point-in-Time (PIT) count of sheltered and unsheltered homeless persons during the last ten days in January in odd numbered years. The Housing Inventory Count (HIC) is a report that informs the homeless assistance community on the capacity to house persons who are homeless at local and national levels. The PIT provides the homeless assistance community with the data needed to understand the number and characteristics of persons who are homeless at one point-in-time. In 2022, the count for Rocklin was 55 individuals. Of those individuals, 7 were sheltered and 48 were unsheltered.

Addressing the emergency shelter and transitional housing needs of homeless persons

Although no transitional housing facility or emergency shelter is located within Rocklin, the Placer County Department of Health and Human Services Adult System of Care operates a transitional housing facility for homeless persons with mental health disabilities just outside the city limits. HomeStart offers a transitional housing program for Placer County homeless children and their families. The Gathering Inn (TGI) is an emergency housing program serving homeless individuals in Rocklin that offers overnight housing at various churches within South Placer County as well as supportive services at their main office in Roseville. The City of Rocklin has supported TGI's activities for several years through the use of CDBG funds, but increased the amount of its support to $50,000 per year in 2018 and 2019 and $60,000 in the

years 2020, 2021 and 2022 for HPRR utilizing the City's LMIHA Fund rather than CDBG in order to provide a broader opportunity for other service organizations to be funded through the City's CDBG program and to be able to provide a higher level of funding to TGI. TGI has requested $65,000 in funding. We anticipate their funding request would be provided from the LMIHA Fund and is subject to City Council approval as part of the City's FY 2023/2024 overall budget process. The Lazarus Project provides food, housing, clothing, guidance, counseling, education, job training, budgeting classes, parenting classes, and access to health care for persons recovering from homelessness, unemployment, abuse, addiction, and physical and/or mental impairment.

There are several organizations that provide emergency food in the Placer County area, including First United Methodist Church of Loomis, St. Mark's Anglican Episcopal, and United Methodist of Newcastle. Placer Food Bank conducts a free farmers market one day each month in Rocklin at Johnson Springview Park. The Salvation Army and St. Vincent de Paul also provide assistance to the homeless.

The City will continue to coordinate with these organizations to develop a strong system to meet the needs of homeless persons.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The loss of a job, a raise in rent, an eviction, or domestic violence can easily lead to homelessness for many low- and moderate-income individuals and families. The City also assists the homeless county-wide through its participation in the Homeless Resource Council of the Sierras (HRCS)/Placer County Continuum of Care. The Continuum of Care (CoC) programs are created to address the problems of homelessness in a comprehensive manner.

In 2019, the CoC prepared The Placer County Homeless Strategy which contains a series of approaches to tackle a variety of homeless issues and concerns. Specifically:

STRATEGY 1: Develop Affordable Housing with Services (i.e., Permanent Supportive Housing).

STRATEGY 2: Continue Support at Placer County Community Development Resource Agency

(CDRA)Housing Development Stakeholder Meetings.

STRATEGY 3: Communicate Consistently With General Public About Homelessness.

STRATEGY 4: Increase Investment in Treatment—Alcohol, Drug, and Mental Health.

STRATEGY 5: Increase Emergency Housing Beds.

STRATEGY 6: Continue Participation in the Built for Zero Campaign.

In 2021 and 2022 Rocklin staff and two City Council Members participated regularly in a new Regional Working Group and Ad Hoc Committee led by a consultant retained by the Placer County's Department of Health and Human Services to facilitate even more in-depth multi-agency discussion of strategies to address homeless shelter needs in South and Mid-Placer County. This process has involved a series of monthly meetings and work efforts for these groups are expected to continue into 2023.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The City will continue to coordinate with our partners to develop a strong and effective system to meet the needs of homeless persons.

Rental Assistance to those impacted by COVID-19 will continue to be provided by the Salvation Army who received an allocation of $39,000 in CDBG-CV-1 and $20,000 in CDBG-CV-3 funding from the City. In 2022, the City also allocated $45,000 in CV-1 funds to KidsFirst for a Rental/Mortgage/Utility Assistance program. St Vincent De Paul also received an allocation of $92,713.02 in CV-3 funds to provide Rental and Utility Assistance to Rocklin residents. The Gathering Inn was also allocated $142,274.98 in CV-3 Funds to provide Direct Services to Rocklin Homeless due to COVID (Housing, Case Management, Drivers, Transportation, Food, Shelter, Day Services) / Rental Assistance). TGI has requested $65,000 in funding. We anticipate their funding request would be provided from the LMIHA Fund with an emphasis for use on Homeless Prevention and Rapid Rehousing. Due to the limit on services that can be funded from CDBG, recommended funding in 2023 for the Salvation Army's Rental Assistance Program is also anticipated to be from LMIHA funds in the amount of $25,000. In addition, St Vincent De Paul's Rental Assistance Program is anticipated to be from LMIHA funds in the amount of $15,000. Approval of LMIHA funding for TGI, Salvation Army and St Vincent De Paul is subject to City Council approval as part of the City's FY 2023/2024 overall budget process.

Discussion

See information above.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

The City's 2021-2029 Housing Element evaluated potential barriers or constraints to the development of affordable housing. These include the following:

Market Constraints

- Development costs; and
- Mortgage and rehabilitation financing.

Governmental Constraints

- Land use controls;
- Flexibility in residential development standards;
- Provisions for a variety of housing;
- Development permit procedures;
- Site improvements, development fees, and exactions;
- Building codes and enforcement;
- Reasonable accommodation procedures; and
- Housing for persons with disabilities.

Environmental Constraints

- Water supply;
- Sewer capacity;
- Oak tree preservation;
- Seismic safety;
- Flooding;
- Fire protection;
- ISO Rating; and
- Other hazards.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The City of Rocklin recognizes there are certain public policies, particularly zoning and land-use

regulations, that pose challenges in increasing affordable housing opportunities and residential investment. This is revealed in higher housing costs, a shortage of affordable housing in relation to demand, and higher land costs. Inflexible or outdated regulations contribute to this problem.

As identified in the 2021-2029 Housing Element, land costs, construction costs, and market financing can potentially hinder the timely production of new residential projects. Although many factors limiting housing production are market-driven, the City has some control in instituting policies and programs to address the constraints or limiting factors.

Costs associated with financing and construction costs for residential projects are going to impact developers that are seeking to serve the affordable housing market segment. Although land costs help keep the cost of some new housing affordable, rising construction costs and market financing will have a substantial impact on developers, resulting in substantially higher prices for their products once they are on the market.

The City is committed to removing or reducing barriers to affordable housing whenever possible. A variety of actions are contained in the 2021-2029 Housing Element to address these issues and will be completed during the 8-year implementation cycle. The City of Rocklin has also designed a number of implementing programs and goals that will focus City resources on meeting its projected housing needs. The City recognizes that there are a number of factors impacting the provision of affordable housing.

Overall, development standards in Rocklin are comparable to similar communities. However, parking requirements for apartments, condominiums, and townhomes may be higher than typically required. To facilitate affordable housing development, the City offers a variety of incentives, including reduction or waiver of garage parking requirements. Specifically, for apartment and triplex units that are affordable to lower-income households, the requirement for one covered space per unit and visitor parking is waived at the request of the applicant. Pursuant to State Density Bonus law, projects meeting the requirements of the Density Incentive Program are eligible to receive density increases, use of state parking standards, and may also receive additional regulatory concessions. The City also created a BARRO (Business Attraction Retention and Revitalization Overlay) zone primarily focused in the downtown/central Rocklin area which allows deviations to parking standards for both new residential and non-residential uses subject to evaluation of off-site parking availability and approval by the Community Development Director on a case-by-case basis.

The City's 2021-2029 Housing Element was certified by HCD on November 29, 2021 and includes a detailed Assessment Furthering Fair Housing (AFFH) and programs to implement the latest housing legislation.

Discussion:

Please see above information.

AP-85 Other Actions – 91.220(k)

Introduction:

This section discusses the actions the City of Rocklin will undertake during the FY 2023/2024 Annual Action Plan. This includes actions to 1) foster and maintain affordable housing; 2) evaluate and reduce lead-based paint hazards; 3) reduce the number of poverty-level families; 4) develop institutional structure; and 5) enhance coordination.

Actions planned to address obstacles to meeting underserved needs

While there are several constraints to meeting the needs of target-income residents, the primary obstacles identified through citizen participation were determined at the public hearings. The City of Rocklin's Housing Element includes recommendations and programs to alleviate obstacles to meeting underserved needs. The City will continue to use CDBG dollars to address underserved needs and to provide education about services and service providers to the extent feasible. The City will encourage area service providers to offer services in the community. Compared with 94 percent in California, 97 percent of Rocklin residents can connect to high-speed internet. Residents of Rocklin depend on their internet services to connect with the community and pursue professional goals. Cable internet from Wave Broadband reaches 96 percent of Rocklin residents. Commercial offerings in the area are similar to those given to residents. For those households that do struggle for broadband access, the Rocklin Library offers 13 internet workstations free of charge. In addition, several community parks in Rocklin have public Wi-Fi provided by the City.

Actions planned to foster and maintain affordable housing

The Community Development Department staff regularly reviews Housing Element programs, objectives, and progress towards accommodating the City's share of the regional housing need. An annual implementation report will be prepared and provided to the California Office of Planning and Research and California Department of Housing and Community Development. Depending on funding availability, the City will consider modifications to Housing Element programs as necessary and appropriate to implement General Plan goals and policies.

Actions planned to reduce lead-based paint hazards

The City will continue to coordinate with Placer County's Department of Health and Human Services Environmental Services Division when possible to help reduce lead-based paint hazards and will educate City residents about where to go if there is a problem.

Actions planned to reduce the number of poverty-level families

The City's anti-poverty strategy includes improving the quality of housing in Rocklin, assuring safe housing

choices for residents, and supporting social service agencies.

The City's anti-poverty strategy will focus on:

- Working with project proponents to increase the supply and availability of decent, safe, and affordable housing necessary for low-income families to live healthy, productive lives;
- Working with local utility providers to ensure existing and planned infrastructure will continue to support a viable community and benefit low- and moderate-income persons;
- Looking for opportunities to increase the effectiveness of existing programs through better collaboration and efficient implementation; and
- Providing funding to a larger variety of programs to better assist individual situations, such as the victims and families of domestic violence, sexual violence and human trafficking, and individuals or families that recently faced an unexpected expense.

Actions planned to develop institutional structure

The City relies on a network of public sector and non-profit organizations to implement the Consolidated Plan. These organizations play a large role in directly providing housing and services to low-income, homeless, and special needs populations throughout Rocklin. The system's strengths include the collaborative manner in which these diverse groups work together to maximize available resources. Rocklin is a large enough city to have many institutional resources, yet small enough to be able to effectively communicate and collaborate.

The City does not plan to duplicate services of other established and successful programs. The City's system of institutional structure is strong and well-coordinated, with little duplication of services.

Actions planned to enhance coordination between public and private housing and social service agencies

The City of Roseville's Housing Authority administers the Section 8 program for the City of Rocklin via contract. The City has historically budgeted for these services from its General Fund and anticipates providing continued funding in a similar manner in FY 2023/2024.

Discussion:

See above information.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

The following table identifies program income that is available for use that is included in projects to be carried out.

The City does not currently have a balance of program income. The City does not plan to receive program income in FY 2023/2024.

Community Development Block Grant Program (CDBG)
Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

See above information.

EXHIBIT B

OMB Number: 4040-0004
Expiration Date: 11/30/2025

Application for Federal Assistance SF-424

* 1. Type of Submission:	* 2. Type of Application:	* If Revision, select appropriate letter(s):
☐ Preapplication	☒ New	
☒ Application	☐ Continuation	* Other (Specify):
☐ Changed/Corrected Application	☐ Revision	

* 3. Date Received:	4. Applicant Identifier:
	Rocklin, CA

5a. Federal Entity Identifier:	5b. Federal Award Identifier:
B-23-MC-06-0064	

State Use Only:

6. Date Received by State:	7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:	City of Rocklin

* b. Employer/Taxpayer Identification Number (EIN/TIN):	* c. UEI:
94-6000408	F9JTDAHKSBX5

d. Address:

* Street1:	3970 Rocklin Road
Street2:	
* City:	Rocklin
County/Parish:	
* State:	CA: California
Province:	
* Country:	USA: UNITED STATES
* Zip / Postal Code:	95677-2720

e. Organizational Unit:

Department Name:	Division Name:
Community Development	Long Range Planning/Housing

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:	Ms.	* First Name:	Laura
Middle Name:			
* Last Name:	Webster		
Suffix:			

Title:	Director
Organizational Affiliation:	
* Telephone Number:	(916) 625-5592
Fax Number:	(916) 625-5195
* Email:	laura.webster@rocklin.ca.us

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

United States Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14-218

CFDA Title:

Community Development Block Grant

*** 12. Funding Opportunity Number:**

B-23-MC-06-0064

* Title:

Community Development Block Grant Program

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment | Delete Attachment | View Attachment

*** 15. Descriptive Title of Applicant's Project:**

2023 Annual Action Plan

Attach supporting documents as specified in agency instructions.

Add Attachments | Delete Attachments | View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant: CA-4 | * b. Program/Project: CA-4

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment | Delete Attachment | View Attachment

17. Proposed Project:

* a. Start Date: 07/01/2023 | * b. End Date: 06/30/2024

18. Estimated Funding ($):

* a. Federal	300,177.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	300,177.00

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- ☐ a. This application was made available to the State under the Executive Order 12372 Process for review on
- ☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- ☒ c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

☐ Yes ☒ No

If "Yes", provide explanation and attach

Add Attachment | Delete Attachment | View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)**

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:	
* First Name:	Aly
Middle Name:	
* Last Name:	Zimmermann
Suffix:	
* Title:	City Manager
* Telephone Number:	916-625-5560
Fax Number:	
* Email:	alyz@rocklin.ca.us
* Signature of Authorized Representative:	
* Date Signed:	

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant:, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29) U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statue(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statue(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.

14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.

15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.

17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).

18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Rocklin	

SF-424D (Rev. 7-97) Back

EXHIBIT C

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction’s consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

_____ _____

Signature of Authorized Official Date

__City Manager_____

Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) __2023_____ [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

_____ _____
Signature of Authorized Official Date

_City Manager_____
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification
This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: COVID-19 Business Retention Grant Program

Date: May 9, 2023

Submitted By: Elizabeth Sorg, Management Analyst

Department: City Manager's Office

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Approving Amendment No. 2 to the Contract for Services with the Sierra Business Council for the Administration of the COVID-19 Business Retention Grant Program and Direct Staff on the Appropriate Method of Determining Funding Amounts.

Background:
At the April 11, 2023 City Council meeting, the City Council provided direction to staff regarding a potential COVID-19 business retention grant program and directed staff to return at the next available meeting with an update and the formal documents needed to initiate the program.

The proposed COVID-19 Business Retention Grant Program would be funded as part of the City's ARPA Revenue loss allocation, and therefore is less restrictive than ARPA funding. According to the ARPA Final Rule, Revenue loss funds may be used for the provision of government services. The proposed COVID-19 Business Retention Program is in alignment with the City's Economic Development Strategy and aims to support those businesses that were open before COVID-19 but who may otherwise not be eligible for ARPA-funded programs because they opened in 2019 or early 2020.

The COVID-19 Business Retention Grant Program would provide grants of up to $15,000 for those businesses that opened immediately before COVID-19 and remain in business. To be eligible for funding, businesses must:

- Have opened a Rocklin location from January 1, 2019 to March 15, 2020 and must still be in operation;
- Be a brick-and-mortar retail or commercial business;
- Have been ordered to close operations due to state COVID-19 mandates;
- Be able to provide documents that demonstrate eligibility based on the selected program model (examples include, but are not limited to, tax returns, City of Rocklin business license, building lease or mortgage documents).

Additionally, examples of ineligible businesses would include:

- Nonprofit organizations
- Professional services (i.e. consultants, accountants, architects, etc.)
- Businesses that operated remotely during COVID or were not ordered to close by state mandates
- Retail businesses with online-only offerings
- Independent vacation home businesses, such as Airbnb or Vrbo
- Financial businesses primarily engaged in lending, such as banks and finance companies

Should the program be oversubscribed, eligible applicants will be ranked based on the number of days they were forced to close due to state mandates during COVID-19. Those that were closed the longest based on their business type will be given top priority for funding.

The Sierra Business Council is currently under contract with the City of Rocklin to administer the Restore Rocklin program. Staff from the Sierra Business Council have indicated they would be interested in a contract amendment to administer this supplemental program. City staff have reviewed the existing contract and determined that the COVID-19 Business Retention Grant Program would fall within the scope of services for the existing contract and would still be in alignment with the initial Request for Proposals that was issued on April 27, 2022. The attached resolution (Attachment 1) would authorize the City Manager to enter into a contract amendment with the Sierra Business Council to administer this additional program.

Staff is also seeking direction for the grant program model. Staff are proposing two options:

1. 5% of gross revenue for 2021 up to $15,000; or
2. Rent reimbursement for 3 consecutive months between January 1, 2019 to March 15, 2020 not to exceed $15,000.

If City Council approves the resolution to administer the funding, staff will execute a contract amendment and will work with the Sierra Business Council to launch the COVID-19 Business Retention Grant Program.

Fiscal Impact:

There is no direct impact to the general fund. Funding would be available through the Revenue Loss allocation of ARPA funds, which are budgeted in Fund 266.

ATTACHMENTS:

1. Resolution
2. Resolution Exhibit A - Contract Amendment No. 2

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN APPROVING AND AUTHORIZING THE CITY MANAGER TO EXECUTE A CONTRACT AMENDMENT WITH THE SIERRA BUSINESS COUNCIL TO ADMINISTER ARPA GRANT PROGRAMS

WHEREAS, the Federal Government allocated $350 billion from the American Rescue Plan Act for the Coronavirus State and Local Fiscal Recovery Funds which allocated funding to state, local, and Tribal governments to support the response to and recovery from COVID-19; and

WHEREAS, the City of Rocklin has received $7,039,025 in funding from the Coronavirus State and Local Fiscal Recovery Funds; and

WHEREAS, American Rescue Plan Act funding is intended to mitigate the negative impact of COVID-19 on local communities; and

WHEREAS, at the February 22, 2022 Rocklin City Council meeting the City Council approved spending American Rescue Plan Act Funding for Community Support programs, including grants for small businesses, hotels, restaurants, and non-profits; and,

WHEREAS, at the June 28, 2022 Rocklin City Council meeting, City Council approved and authorized the City Manager to execute a Contract for Services with the Sierra Business Council to provide administration of ARPA grants in an amount not to exceed $1,862,500; and,

WHEREAS, at the March 28, 2023 Rocklin City Council meeting, the City Council approved the expenditure of up to $509,982.40 in additional funding to provide grants for the oversubscribed ARPA grant program; and,

WHEREAS, at the April 11, 2023 Rocklin City Council meeting, the City Council of the City of Rocklin approved Contract Amendment No. 1 with the Sierra Business Council to administer the additional funds directed at the March 28, 2023 City Council meeting.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin approves and authorizes the City Manager to execute an amendment to the Contract for Services with the Sierra Business Council to provide administration of ARPA grants in an amount not to exceed $550,000 in the form attached hereto as Exhibit “A.”

Section 2. The City Manager, or the City Manager’s designee, is hereby authorized to approve all financial transactions, budgets, and appropriations needed to carry out the ARPA grant program in connection with the Contract in accordance with the law and City policy.

PASSED AND ADOPTED this 9 of May, 2023 by the followingvote:

AYES:	Councilmembers:
NOES:	Councilmembers:
ABSENT:	Councilmembers:
ABSTAIN:	Councilmembers:

Ken Broadway, Mayor

ATTEST:

Hope Ithurburn, City Clerk

AMENDMENT NO. 2
TO CONTRACT FOR SERVICES BETWEEN THE CITY OF ROCKLIN AND THE SIERRA BUSINESS COUNCIL

This Amendment is entered into on _____, by and between the City of Rocklin, a municipal corporation ("City") and the Sierra Business Council ("Contractor") who agree as follows:

RECITALS

WHEREAS, City and Contractor entered into a Contract for Services dated November 10, 2022, for administration for the ARPA small business and nonprofit grant program; and

WHEREAS, the City and Contractor agreed to amend the Contract for Services to increase the amount of funding provided to approved grant applicants in the program with Amendment No. 1; and

WHEREAS, the City and Contractor agree to enter into a further contract amendment to administer a second grant program for ARPA funding.

AGREEMENT

NOW, therefore, the City and Contractor hereby agree to amend the Contract for Services/Agreement as follows:

1. Section 2. Compensation shall be amended to say:
   The City shall pay Contractor for the services rendered pursuant to this Contract at the times and in the manner set forth in the Scope of Services, Exhibit A, the Schedule for Performance, Exhibit B, and in accordance with the Schedule of Fees in Exhibit C, but in no event shall the total compensation exceed the total sum of $2,734,412 for the grant program, and $188,071 for Contractor's services related to the administration of the grant program. The payments specified in Exhibit C shall be the only payments to be made to Contractor for the services rendered pursuant to this Contract unless pursuant to Section 1, above, City approves additional compensation for additional services.

2. EXHIBIT C, Schedule of Fees shall be amended to say:
   The total cost of the program shall be as follows:
   Direct ARPA funding to be passed through to grant recipients $2,734,412
   Contractor's Administrative Service Fee $188,071
   Total Program $2,922,483

Except as set forth in this Amendment, the Contract for Services is unaffected and shall continue in full force and effect in accordance with its terms. If there is a conflict between this Amendment and the Contract for Services, the terms of this Amendment will prevail.

Executed as of the date first written above.

"CITY"
By: _____

Aly Zimmermann, City Manager

"CONTRACTOR"

By: _____
Steve Frisch, President

By: _____
Kristin York, Vice President

APPROVED AS TO FORM:

By: _____
City Attorney

ATTEST:
By: _____
Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Delegation of Investment Decision Authority and Investment Policy Update

Date: May 9, 2023

Submitted By: Daniel Choe, Deputy Director of Administrative Services
Megan Bressem, Management Analyst

Department: Administrative Services - Finance

Recommendation:

A. Receive a Presentation on Legislative Policy No. 1 - Investment Policy Updates by Chandler Asset Management, Inc.
B. Adopt a Resolution of the City Council of the City of Rocklin Delegating Authority to Make Investment Decisions to the City Treasurer and Adopting Legislative Policy No. 1 - Investment Policy.

Background:

City of Rocklin Legislative Policy No. 1 (Investment Policy) establishes standards and guidelines for the direction, management, and oversight for the majority of the City's investable cash and funds and identifies the investment objectives, tolerance for risk, constraints on the investment portfolio, and the manner in which the investments will be managed and monitored. Investment Policy Section XII requires annual review of the City's Investment Policy to ensure its consistency with the overall objectives of preservation of principal, liquidity and return. The policy is also reviewed for relevance to current law, financial and economic trends, and the needs of the City. Adoption of the Investment Policy is required each year by resolution.

On June 28, 2022, the City Council adopted Resolution No. 2022-130 approving the Investment Policy for Fiscal Year (FY) 2022/23, and delegated authority to make all investment decisions to the City Treasurer for FY 2022/23 consistent with California Government Code 53607 and the Investment Policy.

On September 27, 2022, the City Council adopted Resolution No. 2022-189 awarding the Investment Management and Advisory Services contract to Chandler Asset Management, Inc. and, subsequently, an agreement was executed with Chandler Asset Management, Inc. for investment management and advisory services. The scope included the contractor to review and recommend updates to the City's Investment Policy.

City staff worked with the Investment Team at Chandler Asset Management, Inc. to update the City's Investment Policy and identified opportunities for the improvement of and to better align the policy with the City's investment objectives, California Government Code, and the industry best practices.

Revisions to the policy this year include updating the authorized investment asset classes allowed by California Government Code and expanding descriptive language of those currently listed, updating and designating maximum maturities, expanding description of prohibited investments, adding investment portfolio concentration limits, creating a section to address and expand on mitigating factors for credit and market risk, expanding language addressing Delivery-Versus-Payment and protections provided by a third-party custodian, and removing policy attachments that are no longer applicable. Additionally, descriptions and references were updated to align with current California Government Code.

On February 23, 2023, the proposed investment policy changes were presented to the City's Investment Advisory Committee for review and advisement. The Committee did not recommend any additional changes to the policy and a redline copy of the policy is included as Attachment 1 to the Report.

Staff recommends the City Council adopt a resolution delegating authority to make investment decisions to the City Treasurer for the fiscal year commencing July 1, 2023 and ending June 30, 2024, and approving Legislative Policy No. 1 – Investment Policy, which is included as Exhibit A to the Resolution.

Fiscal Impact:

There is no direct fiscal impact to the General Fund from the staff recommendation.

ATTACHMENTS:

1. Investment Policy - Redline
2. Resolution - Investment Policy

ATTACHMENT 1 - REDLINE

CITY OF ROCKLIN
STATEMENT OF INVESTMENT POLICY

INTRODUCTION

This statement is intended to outline the policies for the prudent investment of the City's Funds and to provide guidelines for suitable investments.

The ultimate goal is to enhance the economic status of the City while safeguarding its assets.

The City's Cash Management System is designed to accurately monitor and forecast expenditures and revenues, thus enabling the City to invest funds to the fullest extent possible. The City's investment portfolio shall be structured with the objective of attaining a market rate of return throughout budgetary and economic cycles, provided that all investments meet the criteria established for safety and liquidity.

The City's investment policies and practices are based upon Federal, State, and Local laws and prudent money management. The primary goals of these policies are:

1. To assure compliance with all Federal, State and Local laws governing the investment of monies.

2. To protect the principal monies.

3. To ensure the investment portfolio remains sufficiently liquid to enable the City of Rocklin to meet all operating requirements which might be reasonably anticipated.

4. To attain a market rate of return on investment within the parameters of this Statement of Investment Policy and the Investment Portfolio Guidelines.

I. SCOPE

It is intended that this policy cover all funds and investment activities under the direct authority of the City in accordance with California Government Code Section 53600 et seq., the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA) and local laws. Funds included are General Fund, Special Revenue Funds, Debt Service Funds, Capital Project Funds, Permanent Funds and Trust Funds. ~~The Investment Portfolio will be referred to hereafter as the "FUND" throughout the remainder of this document.~~

This policy will not cover bond proceeds obtained from bond issues which are not direct obligations of the City, and which are not under the direct authority of the City. The bond proceeds will be invested in accordance with the Bond Indenture Agreement and applicable State and Federal regulations.

II. OBJECTIVES

1. Safety of Principal

Safety of principal is the foremost objective of the City of Rocklin. Investments will be undertaken in a manner that seeks to ensure the preservation of capital in the overall portfolio. To attain this objective, the Agency will diversify its investments by investing funds among a variety of securities with independent returns. ~~Each investment transaction shall seek to ensure that capital losses are avoided, whether from institution default, broker-dealer default, or erosion of market value of securities.~~ The City shall seek to preserve principal by mitigating the two types of risk: credit risk and market risk.

~~A. Credit Risk. Credit risk, defined as the risk of loss due to failure of an issuer of a security, shall be mitigated by investing in only financially sound institutions and by diversifying the Fund so that the failure of any one issuer would not be detrimental to the City's cash flow.~~

~~B. Market Risk. The risk of market value fluctuations due to overall changes in the general level of market interest rates shall be mitigated by limiting the weighted average maturity of the City's Fund to five years. It is explicitly recognized herein that occasional losses in a diversified portfolio are inevitable, and must be considered within the context of the overall investment return.~~

2. Liquidity

Liquidity is the second most important objective of the City of Rocklin. This is accomplished by structuring the portfolio so that securities mature concurrent with cash needs to meet anticipated demands. It is important that an investment contain the feature of being easily sold at any time with a minimal risk of loss of some portion of principal or interest. The investment portfolio will remain sufficiently liquid to meet all operating requirements that may be reasonably anticipated.

3. Return o~~f~~n Investment

The City's Fund shall seek to attain a market-average rate of return throughout economic cycles. ~~The market average rate of return is defined as the average return on two year U.S. Treasury Notes. Whenever possible, and consistent with risk limitations as defined here, and prudent investment principals, the Investment Committee shall seek to augment returns above the market average rate of return.~~ The investment portfolio will be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints for safety and liquidity needs.

III. PRUDENCE

~~Investments shall be made in the context of the "Prudent Investor" rule, which states that:~~

~~Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the probable safety of their capital as well as the probable income to be derived.~~

Pursuant to California Government Code, Section 53600.3, all persons authorized to make investment decisions on behalf of the City are trustees and therefore fiduciaries subject to the *Prudent Investor Standard*:

> "…all governing bodies of local agencies or persons authorized to make investment decisions on behalf of those local agencies investing public funds pursuant to this chapter are trustees and therefore fiduciaries subject to the prudent investor standard. When investing, reinvesting, purchasing, acquiring, exchanging, selling, or managing public funds, a trustee shall act with care, skill, prudence, and diligence under the circumstances then prevailing, including, but not limited to, the general economic conditions and the anticipated needs of the Agency, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the Agency. Within the limitations of this section and considering individual investments as part of an overall strategy, investments may be acquired as authorized by law."

City employees~~ involved in the investment process~~ and other authorized persons responsible for managing City funds, acting in accordance with written procedures and the Investment Policy and exercising due diligence, shall not be held personally responsible for a specific security's credit risk or market price changes, provided that

deviations from expectations are reported in a timely manner and that appropriate action is taken to control adverse developments.

IV. DELEGATION OF AUTHORITY

Authority to manage the City's investment program is derived from California Government Code, Sections 41006 and 53600 *et seq.*

The City Council is responsible for the management of the City's funds, including the administration of this investment policy. Management responsibility for the cash management of the City's funds may be delegated to the City Treasurer.

The City Treasurer will be responsible for all transactions undertaken and will establish a system of procedures and controls to regulate the activities of subordinate officials and employees. Such procedures will include explicit delegation of authority to persons responsible for investment transactions. No person may engage in an investment transaction except as provided under the terms of this policy and the procedures established by the City Treasurer.

The City may engage the services of one or more external investment advisers, who are registered under the Investment Advisers Act of 1940, to assist in the management of the City's investment portfolio in a manner consistent with the City's objectives. External investment advisers may be granted discretion to purchase and sell investment securities in accordance with this investment policy.

The City's overall investment program shall be designed and managed with a degree of professionalism that is worthy of the public trust. The City recognizes that in a diversified portfolio, occasional measured losses may be inevitable and must be considered within the context of the overall portfolio's return and the cash flow requirements of the City.

~~I~~V. ETHICS AND CONFLICTS OF INTEREST

All participants in the investment process shall act as custodians of the public trust. Investment officials shall recognize that the investment portfolio is subject to public review and evaluation. City employees and the City's Investment Advisory Committee involved in the investment process shall refrain from personal business activity that could conflict with proper execution of the Investment Policy of which could impair their ability to make impartial investment recommendations and/or decisions. The City's Investment Advisory Committee and City employees involved in the investment process shall disclose any material financial interests in financial institutions with which the City may conduct business, and they shall further disclose any reportable personal financial/investment positions that could be related to the performance of the City's portfolio. The Investment Advisory Committee and City employees involved in the investment process shall

subordinate their personal investment transactions to those of the City, particularly with regard to the timing of purchases and sales.

VI. INVESTMENTS

1. AUTHORIZED INVESTMENTS

The City is governed by the California Government Code, Sections 53600 et seq. In the event a discrepancy is found between this policy and the Code, the more restrictive parameters will take precedence. Percentage holding limits and minimum credit requirements listed in this section apply at the time the security is purchased. An appropriate risk level shall be maintained by primarily purchasing securities that are of high quality, liquid, and marketable. The portfolio shall be diversified by security type and institution to avoid incurring unreasonable and avoidable risks regarding specific security types or individual issuers.

Within the context of these limitations, the following investments are authorized, as further limited herein:

~~A. Bonds issued by the City of Rocklin.~~

A. United States Treasury Bills, Notes, Bonds, or certificates of indebtedness, or those for which the faith and credit of the United States are pledged for payment of principal and interest. There is no limitation as to the percentage of the Fund that can be invested in this category as they are both safe and liquid.

- The maximum maturity is five (5) years.

B. Municipal Securities including obligations of the City of Rocklin, the State of California and any local agency within the State of California, provided that:

- The securities are rated in a rating category of "A" or its equivalent or better by at least one nationally recognized statistical rating organization ("NRSRO").
- No more than 5% of the portfolio may be invested in any single issuer.
- No more than 30% of the portfolio may be in Municipal Securities.
- The maximum maturity does not exceed five (5) years.

~~C. Registered state warrants or treasury notes or bonds issued by the State of California.~~

~~D.~~C. Municipal Securities (Registered Treasury Notes or Bonds) of any of the other 49 states in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49

states, in addition to California. ~~Registered treasury notes or bonds of any of the other forty-nine United States, in addition to California.~~

- The securities are rated in a rating category of "A" or its equivalent or better by at least one nationally recognized statistical rating organization ("NRSRO").
- No more than 5% of the portfolio may be invested in any single issuer.
- No more than 30% of the portfolio may be in Municipal Securities.
- The maximum maturity does not exceed five (5) years.

~~E. Bonds, notes, warrants or other evidence of debt issued by a local agency within the State of California.~~

~~F.~~D. Federal Agencies or United States Government-Sponsored Enterprise obligations, participations, or other instruments, including those issued by or fully guaranteed as to principal and interest by federal agencies or United States government-sponsored enterprises. There are no limits on the dollar amount or percentage that the City may invest in Federal Agency or Government-Sponsored Enterprises (GSEs), provided that: ~~Obligations issued by the Government National Mortgage Association (GNMA), the Federal Farm Credit Bank System (FFCB), the Federal Home Loan Bank Board (FHLB), the Federal Home Loan Mortgage Corporation (FHLMC), the Tennessee Valley Authority, and those fully guaranteed as to principal and interest by the Federal National Mortgage Association (FNMA), or in guaranteed portions of Small Business Administration notes, those insured by the Federal Housing Administration (FHA) or in obligations, participations, or other instruments of, or issued by, a federal agency or a United States government-sponsored enterprise. No more than 20% of the market value of the Fund may be invested in obligations issued by federal agencies or United States government-sponsored enterprises. No more than 5% of the of the market value of the Fund may be invested in the obligations of a single federal agency. No more than 5% of the of the market value of the Fund may be invested in callable federal agency securities.~~

- No more than 30% of the portfolio may be invested in any single Agency/GSE issuer.
- The maximum maturity does not exceed five (5) years.
- The maximum percent of agency callable securities in the portfolio will be 20%.

~~G.~~E. Banker's Acceptances, provided that: ~~Bills of exchange or time drafts drawn on and accepted by a commercial bank, otherwise known as banker's acceptances. Prime banker's acceptances purchased shall be issued by prime money center banks. Banker's acceptances purchased may not exceed 180 days to maturity or 40% of the market value of the Fund. No more than 5% of the market value of the fund may be invested in banker's acceptances issued by any one bank.~~

- They are issued by institutions which have short-term debt obligations rated "A-1" or its equivalent or better by at least one NRSRO; or long-term debt obligations which are rated in a rating category of "A" or its equivalent or better by at least one NRSRO.
- No more than 40% of the portfolio may be invested in Banker's Acceptances.
- No more than 5% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed 180 days.

~~H.~~F. Commercial paper, provided that the securities are issued by an entity that meets all of the following conditions in either paragraph (a) or (b) and other requirements specified below: ~~of "prime" quality of the highest ranking or of the highest letter and number rating as provided for by a nationally recognized statistical rating organization (NRSRO), and issued by a domestic corporation having assets in excess of $500,000,000 and having an "A" or better rating on its long term debentures as provided by a NRSRO. Purchases of eligible commercial paper may not exceed 270 days maturity nor represent more than 10% of the outstanding paper of the issuing corporation. No more than 5% of the market value of the Fund may be invested in commercial paper issued by any one corporation. No more than 25% of the market value of the Fund may be invested in commercial paper.~~

a. Securities issued by corporations:

(i) A corporation organized and operating in the United States with assets more than $500 million.
(ii) The securities are rated "A-1" or its equivalent or better by at least one NRSRO.
(iii) If the issuer has other debt obligations, they must be rated in a rating category of "A" or its equivalent or better by at least one NRSRO.

b. Securities issued by other entities:

(i) The issuer is organized within the United States as a special purpose corporation, trust, or limited liability company.
(ii) The securities must have program-wide credit enhancements including, but not limited to, overcollateralization, letters of credit, or a surety bond.
(iii) The securities are rated "A-1" or its equivalent or better by at least one NRSRO.

- No more than 10% of the outstanding commercial paper of any single issuer.

- No more than 25% of the Agency's investment assets under management may be invested in Commercial Paper
- No more than 5% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed 270 days.

~~I.~~G. Negotiable Certificates of Deposit (NCDs), issued by a nationally or state-chartered bank, a savings association or a federal association, a state or federal credit union, or by a federally licensed or state-licensed branch of a foreign bank, provided that: ~~issued by a Nationally or State-Chartered Bank or a State or Federal Savings and Loan Association or by a State licensed branch of a foreign bank. To be eligible for purchase by the City, the amount invested in NCD's shall be subject to the limitations of Section 53638 of the California Government Code. Purchases of NCD's may not exceed 30% of the market value of the Fund. No more than 5% of the market value of the Fund may be invested in NCD's issued by any one institution.~~

- The amount of the NCD insured up to the FDIC limit does not require any credit ratings.
- Any amount above the FDIC insured limit must be issued by institutions which have short-term debt obligations rated "A-1" or its equivalent or better by at least one NRSRO; or long-term obligations rated in a rating category of "A" or its equivalent or better by at least one NRSRO.
- No more than 30% of the total portfolio may be invested in NCDs (combined with CDARS).
- No more than 5% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed five (5) years.

~~J.~~H. Repurchase Agreements~~.~~ collateralized with securities authorized under California Government Code, maintained at a level of at least 102% of the market value of the Repurchase Agreement. There are no limits on the dollar amount or percentage that the City may invest, provided that: ~~The City may invest in Repurchase Agreements, on any investment authorized in this section with banks and dealers with which the City has entered into a master repurchase agreement that specifies terms and conditions of Repurchase Agreements and only when California Government Code Section 53601(j) conditions are met. The maturity of Repurchase Agreements shall not exceed 90 days. In order to conform with provisions of the Federal Bankruptcy Code that provides for the liquidation of securities held as collateral for Repurchase Agreements, the only securities acceptable as collateral shall be eligible negotiable certificates of deposit, eligible banker's acceptances, or securities that are direct obligations of, or that are fully guaranteed as to principal and interest by, the United States or any agency of the United States. Collateral for Repurchase Agreements will also comply with the requirements of the FIRREA, see Section VII on collateralization. No more than 15% of the market value of the Fund may be invested in Repurchase Agreements.~~

~~No more than 5% of the market value of the Fund may be invested in Repurchase Agreements issued by any one institution~~.

- Securities used as collateral for Repurchase Agreements will be delivered to an acceptable third party custodian.
- Repurchase Agreements are subject to a Master Repurchase Agreement between the City and the provider of the repurchase agreement. The Master Repurchase Agreement will be substantially in the form developed by the Securities Industry and Financial Markets Association (SIFMA).
- The maximum maturity does not exceed one (1) year.

~~K.~~I. Supranationals, provided that: ~~are United States dollar denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by the International Bank for Reconstruction and Development (IBRD), International Finance Corporation (IFC), or Inter-American Development Bank (IADB), with a maximum remaining maturity of five years or less, and eligible for purchase and sale within the United States. Investments under this subdivision shall be rated "AA ", its equivalent, or better by an NRSRO. No more than 30% of the market value of the Fund may be invested in these obligations.~~

- Issues are US dollar denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by the International Bank for Reconstruction and Development, International Finance Corporation, or Inter-American Development Bank.
- The securities are rated in a rating category of "AA" or its equivalent or better by a NRSRO.
- No more than 30% of the total portfolio may be invested in these securities.
- No more than 10% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed five (5) years.

~~L.~~J. State of California Local Agency Investment Fund (LAIF)~~,~~, provided that: ~~The City may invest in the Local Agency Investment Fund (LAIF) established by the State Treasurer for the benefit of local agencies up to the maximum permitted by State law.~~

- The City may invest up to the maximum amount permitted by LAIF.
- LAIF's investments in instruments prohibited by or not specified in the City's policy do not exclude the investment in LAIF itself from the City's list of allowable investments, provided LAIF's reports allow the City Treasurer to adequately judge the risk inherent in LAIF's portfolio.

~~M.~~K. Corporate Medium-term notes (MTNs), provided that: ~~of a maximum of five years maturity issued by corporations organized and operating within the United States or by depository institutions licensed by the United States or any state and operating within the United States. Notes eligible for investment shall~~

~~be rated in a rating category of "A" or its equivalent or better by a nationally recognized rating service. No more than 30% of the market value of the Fund may be invested in medium-term notes. No more than 5% of the market value of the Fund may be invested in medium-term notes issued by any one institution~~.

- The issuer is a corporation organized and operating within the United States or by depository institutions licensed by the United States or any state and operating within the United States.
- The securities are rated in a rating category of "A" or its equivalent or better by at least one NRSRO.
- No more than 30% of the total portfolio may be invested in MTNs.
- No more than 5% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed five (5) years.

~~N.~~L. Federally Insured Time Deposits~~.~~ (Non-Negotiable Certificates of Deposit) in state or federally chartered banks, savings and loans, or credit unions, provided that: ~~The City may invest in non-negotiable time deposits collateralized in accordance with the California Government Code and the FIRREA, in those banks and savings and loan associations that meet the requirements for investment in negotiable certificates of deposit and in accordance with Sections 53637, 53638, 53648 and 53652 of the California Government Code. Since time deposits are not liquid, no more than 10% of the market value of the Fund may be invested in this category. No more than 5% of the market value of the Fund may be invested in time deposits issued by any one institution.~~

- The amount per institution is limited to the maximum covered under federal insurance.
- No more than 20% of the portfolio will be invested in a combination of federally insured and collateralized time deposits.
- The maximum maturity does not exceed five (5) years.

~~O.~~M. Mutual Funds and Money Market Mutual Funds that are registered with the Securities and Exchange Commission under the Investment Company Act of 1940, provided that: ~~Shares of beneficial interest issued by diversified management companies, such as mutual funds and money market funds, investing in the securities and obligations as authorized by subdivisions (A) to (M), inclusive, of this section and that comply with the investment restrictions (California Government Code, Section 53600 and Section 53630). Companies shall either:~~

b. MUTUAL FUNDS that invest in the securities and obligations as authorized under California Government Code, Section 53601 (a) to (k) and (m) to (q) inclusive and that meet either of the following criteria:

(i) Attained the highest ranking or the highest letter and numerical rating provided by not less than two (2) NRSROs; or

(ii) Have retained an investment adviser registered or exempt from registration with the Securities and Exchange Commission with not less than five years' experience investing in the securities and obligations authorized by California Government Code, Section 53601 and with assets under management in excess of $500 million.

- No more than 10% of the total portfolio may be invested in shares of any one mutual fund.

b. MONEY MARKET MUTUAL FUNDS registered with the Securities and Exchange Commission under the Investment Company Act of 1940 and issued by diversified management companies and meet either of the following criteria:

(i) Have attained the highest ranking or the highest letter and numerical rating provided by not less than two (2) NRSROs; or
(ii) Have retained an investment adviser registered or exempt from registration with the Securities and Exchange Commission with not less than five years' experience managing money market mutual funds with assets under management in excess of $500 million.

- No more than 20% of the total portfolio may be invested in the shares of any one Money Market Mutual Fund.

c. No more than 20% of the total portfolio may be invested in these securities.

~~i. Attain the highest ranking or the highest letter and numerical rating provided by not less than two of the nationally recognized statistical rating organizations, or~~

~~ii. Have an investment adviser registered with the Securities and Exchange Commission with not less than five years' experience investing in the securities and obligations as authorized by subdivisions (A) to (M), inclusive and with assets under management in excess of five hundred million dollars ($500,000,000). The mutual fund is to be registered with the Federal Securities and Exchange Commission (SEC) and have met the requirements of Rule 2a-7 of the Investment Company Act of 1940. The purchase price of shares of beneficial interest (mutual funds or money market funds) purchased pursuant to this subdivision shall not include any commission that these companies may charge. No more than 15% of the market value of the Fund~~

~~may be invested in mutual funds. No more than 5% of the market value of the Fund may be invested in mutual funds of any one institution.~~

~~P.~~N. Collateralized Bank Deposits~~Obligations~~. The City's deposits with financial institutions will be collateralized with pledged securities per California Government Code, Section 53651. There are no limits on the dollar amount or percentage that the City may invest in collateralized bank deposits~~. The City may invest in notes, bonds, or other obligations that are at all times secured by a valid first priority security interest in securities of the types listed in the California Government Code Section 53651 having a market value at least equal to California Government Code Section 53652. The securities serving as collateral shall be placed by delivery or book entry into the custody of a trust company or the trust department of a bank which is not affiliated with the issuer of the secured obligation, and the security interest shall be perfected in accordance with the requirements of the Uniform Commercial Code or Federal regulations applicable to the types of securities in which the security interest is granted. No more than 10% of the market value of the Fund may be invested in Collateralized Negotiable investments. No more than 5% of the market value of the Fund may be invested in Collateralized Negotiable investments of any one institution~~.

~~Q.~~O. Asset-Backed, Mortgage-Backed, Mortgage Pass-Through Securities and Collateralized Mortgage Obligations from issuers not defined in sections A. or D. of the Authorized Investments Section of this Policy, provided that: ~~The City may invest in mortgage pass-through securities, collateralized mortgage obligations or mortgage-backed bonds of a maximum remaining maturity of five years or less. Securities shall be rated in a rating category of "AA" or its equivalent or better by a nationally recognized rating service. No more than 20% of the market value of the Fund may be invested in these securities. No more than 5% of the market value of the Fund may be invested in mortgage pass-through securities, collateralized mortgage obligations or mortgage-backed bond issued by any one institution.~~

- The securities are rated in a rating category of "AA" or its equivalent or better by a NRSRO.
- No more than 20% of the total portfolio may be invested in these securities.
- No more than 5% of the portfolio may be invested in any single Asset-Backed or Commercial Mortgage security issuer.
- The maximum legal final maturity does not exceed five (5) years.

~~R.~~P. Placer County Treasurer's Investment Pool. The City may invest in the Placer County Treasurer's Investment Pool established by the Placer County Treasurer for the benefit of local agencies. No more than 25% of the market value of the Fund may be invested in the Placer County Treasurer's Investment Pool.

2. PROHIBITED INVESTMENT VEHICLES AND PRACTICES ~~INELIGIBLE INVESTMENTS~~

- State law notwithstanding, any investments not specifically described herein are prohibited, including, but not limited to futures and options.
- In accordance with Government Code, Section 53601.6, investment in inverse floaters, range notes, or mortgage derived interest-only strips is prohibited.
- Investment in any security that could result in a zero interest accrual if held to maturity is prohibited. ~~–~~Under a provision sunsetting on January 1, 2026, securities backed by the U.S. Government that could result in a zero- or negative-interest accrual if held to maturity are permitted.
- Trading securities for the sole purpose of speculating on the future direction of interest rates is prohibited.
- Purchasing or selling securities on margin is prohibited.
- The use of reverse repurchase agreements, securities lending or any other form of borrowing or leverage is prohibited.
- The purchase of foreign currency denominated securities is prohibited.

Agencies that are not Qualified Institutional Buyers (QIB) as defined by the Securities and Exchange Commission are prohibited from purchasing Private Placement Securities. The SEC defines a QIB as having at least $100,000,000 in securities owned and invested.

~~Investments not described herein, including, but not limited to common stocks, inverse floaters, range notes, or mortgage-derived interest-only strips, and any security that could result in zero interest accrual if held to maturity, as specified in Section 53601.6 are prohibited from use in this fund. See Table 1 for summary of above investment percentage limitations.~~

VII. AUTHORIZED FINANCIAL INSTITUTIONS, DEPOSITORIES, AND BROKER/DEALERS ~~QUALIFIED DEALERS AND INSTITUTIONS~~

To the extent practicable, the City Treasurer shall endeavor to complete investment transactions using a competitive bid process whenever possible. The City Treasurer will determine which financial institutions are authorized to provide investment services to the City. It shall be the City's policy to purchase securities only from authorized institutions and firms.

The City Treasurer shall maintain procedures for establishing a list of authorized broker/dealers and financial institutions which are approved for investment purposes that are selected through a process of due diligence as determined by the City. Due inquiry shall determine whether such authorized broker/dealers, and the individuals covering the City are reputable and trustworthy, knowledgeable and experienced in Public Agency investing and able to meet all of their financial obligations. These

institutions may include "primary" dealers or regional dealers that qualify under Securities and Exchange Commission (SEC) Rule 15c3-1 (uniform net capital rule).

In accordance with Section 53601.5, institutions eligible to transact investment business with the City include:

- Institutions licensed by the state as a broker-dealer.
- Institutions that are members of a federally regulated securities exchange.
- Primary government dealers as designated by the Federal Reserve Bank and non-primary government dealers.
- Nationally or state-chartered banks.
- The Federal Reserve Bank.
- Direct issuers of securities eligible for purchase.

Selection of financial institutions and broker/dealers authorized to engage in transactions will be at the sole discretion of the City, except where the City utilizes an external investment adviser in which case the Agency may rely on the adviser for selection.

All financial institutions which desire to become qualified bidders for investment transactions (and which are not dealing only with the investment adviser) must supply the City Treasurer with audited financials and a statement certifying that the institution has reviewed the California Government Code, Section 53600 *et seq*. and the City's investment policy. The City Treasurer will conduct an annual review of the financial condition and registrations of such qualified bidders.

Public deposits will be made only in qualified public depositories as established by State law. Deposits will be insured by the Federal Deposit Insurance Corporation, or, to the extent the amount exceeds the insured maximum, will be collateralized in accordance with State law.

Selection of broker/dealers used by an external investment adviser retained by the City will be at the sole discretion of the adviser. Where possible, transactions with broker/dealers shall be selected on a competitive basis and their bid or offering prices shall be recorded. If there is no other readily available competitive offering, best efforts will be made to document quotations for comparable or alternative securities. When purchasing original issue instrumentality securities, no competitive offerings will be required as all dealers in the selling group offer those securities at the same original issue price.

~~The City shall transact business only with banks, savings and loans, and registered investment securities dealers. The purchase of any investment by the City other than those purchased directly from the issuer, shall be purchased either from an institution licensed by the State as a broker-dealer, as defined in Section 25004 of the California Corporations Code, or a member of a Federally regulated securities exchange, a National~~

~~or State-Chartered Bank, a Federal or State Association or a brokerage firm designated as a Primary Government Dealer by the Federal Reserve Bank. The City Treasurer shall review all institutions that wish to do business with the City, in order to determine if they are adequately capitalized, deal in security markets appropriate to the City's needs, and agree to abide by the conditions set forth in the City of Rocklin's Investment Policy. This will be done periodically as determined by the Investment Committee by having the Financial Institutions complete and return the appropriate questionnaire (See Attachments "A" & "B"), and an audited Financial Statement must be provided. The Investment Committee shall make the final determination as to which institutions are admitted to the "Approved Qualified Institution List." See Attachment "F" for Institution Selection Policy. A written depository agreement will be obtained and retained for all qualified institutions.~~

~~Investment Management Services. The City shall also be open to contracting investment management services for a portion of the portfolio. The City Treasurer shall investigate all institutions that wish to do business with the City in order to determine that the firm's performance statistics are comparable with industry averages or benchmarks, deal in security markets appropriate to the City's needs, and agree to abide by the conditions set forth in the City of Rocklin's Investment Policy. This will be done as determined by the Investment Committee by having the Financial Institutions complete and return the "External Money Manager Questionnaire and Certification" (Attachment C). All investments made under contract will be purchased in the City's name and in accordance with the guidelines established by the City's Investment Policy.~~

VII~~I~~. COLLATERALIZATION

CERTIFICATES OF DEPOSIT (CDS). The City shall require any commercial bank or savings and loan association to deposit eligible securities with an agency of a depository approved by the State Banking Department to secure any uninsured portion of a Non-Negotiable Certificate of Deposit. The value of eligible securities as defined pursuant to California Government Code, Section 53651, pledged against a Certificate of Deposit shall be equal to 150% of the face value of the CD if the securities are classified as mortgages and 110% of the face value of the CD for all other classes of security.

COLLATERALIZATION OF BANK DEPOSITS. This is the process by which a bank or financial institution pledges securities, or other deposits for the purpose of securing repayment of deposited funds. The City shall require any bank or financial institution to comply with the collateralization criteria defined in California Government Code, Section 53651.

REPURCHASE AGREEMENTS. The City requires that Repurchase Agreements be collateralized only by securities authorized in accordance with California Government Code:

- The securities which collateralize the repurchase agreement shall be priced at Market Value, including any Accrued Interest plus a margin. The Market Value of the securities that underlie a repurchase agreement shall be valued at 102% or greater of the funds borrowed against those securities.
- Financial institutions shall mark the value of the collateral to market at least monthly and increase or decrease the collateral to satisfy the ratio requirement described above.
- The City shall receive monthly statements of collateral.

~~All demand deposits, time deposits, and repurchase agreements be fully collateralized with securities authorized by the California Government Code Section 53652 and pursuant to Sections 53656 and 53658. Collateralization must comply with the requirements of the FIRREA. FIRREA mandates that a valid security collateral agreement 1) is in writing; 2) was executed by the depository institution and the City contemporaneously with the acquisition of the asset; 3) was approved by the board of directors or loan committee of the institution and reflected in the minutes of that group; and 4) has been an official record of the institution continuously from the time of its execution.~~

~~A. Eligible Collateral. Eligible collateral for demand and time deposits must be those investments authorized by the California Government Code Section 53651 and 53652. The eligible collateral for repurchase agreements must be those investments noted in Section V. 1. (J.) above. Eligible collateral must be in book entry form.~~

~~B. Collateral Levels. Collateral is valued at current market plus accrued interest through the date of valuation.~~

~~1. Demand Deposits and Time Deposits. The market value of collateral pledged for demand deposits and time deposits must at all times be equal to or greater than the amount on the deposit, plus accrued interest, in accordance with the margin ratios following:~~

~~U.S. Treasury Securities.....110%~~
~~U.S. Government Agencies.....110%~~
~~U.S. Government Sponsored Corporations.....110%~~
~~Mortgages (First Deeds of Trust).....150%~~
~~Federal Home Loan Bank of San Francisco~~
~~Irrevocable Letter of Credit.....105%~~

~~2. Repurchase Agreements. The market value of collateral pledged for repurchase agreements must at all times be equal to or greater than the par amount, plus accrued interest with the margin ratios following:~~

~~U.S. Treasury Securities……102%~~
~~U.S. Government Agencies……102%~~
~~U.S. Government Sponsored Corporations……102%~~
~~All other securities permitted by 53601……104%~~
~~Cash (in immediately available funds)……100%~~

~~The market value of the collateral shall be adjusted no less than quarterly.~~

~~Collateral will always be held by an independent third party with whom the entity has a current custodial agreement. A clearly marked evidence of ownership (safekeeping receipt) must be supplied to the entity and retained. A written master repurchase agreement must be obtained by the City.~~

~~C. Monitoring Collateral. It is the policy of the City to require reports at least on a quarterly basis from institutions with whom the City has pledged security interest. The Investment Committee shall monitor the adequacy of collateralization to ensure that balances are collateralized in accordance with the margin ratios approved herein.~~

IX~~VIII~~. DELIVERY, SAFEKEEPING AND CUSTODY OF SECURITIES

DELIVERY-VERSUS-PAYMENT (DVP). All investment transactions shall be conducted on a delivery-versus-payment basis.

SAFEKEEPING AND CUSTODY. To protect against potential losses due to failure of individual securities dealers, and to enhance access to securities, interest payments and maturity proceeds, all cash and securities in the City's portfolio shall be held in safekeeping in the City's name by a third party custodian, acting as agent for the City under the terms of a custody agreement executed by the bank and the City. All investment transactions will require a safekeeping receipt or acknowledgment generated from the trade. A monthly report will be received by the City from the custodian listing all securities held in safekeeping with current market data and other information.

The only exceptions to the foregoing shall be depository accounts and securities purchases made with: (i) local government investment pools; (ii) time certificates of deposit, and, (iii) mutual funds and money market mutual funds, since these securities are not deliverable.

~~All investment securities owned by the City except securities used as collateral for repurchase agreements, shall be kept in safekeeping with "perfected interest" by a third-~~

~~party bank trust department, acting as agent for the City under the terms of a written custody agreement executed by the bank and by the City. All securities will be received and delivered using standard delivery versus payment procedures. All securities are to be registered in the City's name.~~

~~Substitution of collateral is permitted as long as the securities meet the City's collateralization requirements and approval.~~

~~I~~X. RISK MANAGEMENT AND DIVERSIFICATION

MITIGATING CREDIT RISK IN THE PORTFOLIO

Credit risk is the risk that a security or a portfolio will lose some or all its value due to a real or perceived change in the ability of the issuer to repay its debt. The City will mitigate credit risk by adopting the following strategies:

- The diversification requirements included in the "Authorized Investments" section of this policy are designed to mitigate credit risk in the portfolio.
- No more than 5% of the total portfolio may be deposited with or invested in securities issued by any single issuer unless otherwise specified in this policy.
- The City may elect to sell a security prior to its maturity and record a capital gain or loss in order to manage the quality, liquidity or yield of the portfolio in response to market conditions or the City's risk preferences.
- If a security owned by the City is downgraded to a level below the requirements of this policy, making the security ineligible for additional purchases, the following steps will be taken:
    - Any actions taken related to the downgrade by the investment manager will be communicated to the City Treasurer in a timely manner.
    - If a decision is made to retain the security, the credit situation will be monitored and reported to the City Council.

MITIGATING MARKET RISK IN THE PORTFOLIO

Market risk is the risk that the portfolio value will fluctuate due to changes in the general level of interest rates. The City recognizes that, over time, longer-term portfolios have the potential to achieve higher returns. On the other hand, longer-term portfolios have higher volatility of return. The City will mitigate market risk by providing adequate liquidity for short-term cash needs, and by making longer-term investments only with funds that are not needed for current cash flow purposes.

The City further recognizes that certain types of securities, including variable rate securities, securities with principal paydowns prior to maturity, and securities with embedded options, will affect the market risk profile of the portfolio differently in different interest rate environments. The City, therefore, adopts the following strategies to control and mitigate its exposure to market risk:

- The City will maintain a minimum of six months of budgeted operating expenditures in short term investments to provide sufficient liquidity for expected disbursements.
- The maximum stated final maturity of individual securities in the portfolio will be five (5) years, except as otherwise stated in this policy.

The duration of the portfolio will generally be approximately equal to the duration (typically, plus or minus 20%) of a Market Benchmark, an index selected by the City based on the City's investment objectives, constraints and risk tolerances.

XI. REPORTING

Monthly Reporting
The City Treasurer shall provide to the City Council a monthly investment report detailing transactions in the City's portfolio as required per California Government Code section 53607.

Quarterly Reporting
The City Treasurer shall render a quarterly report to the City Council showing the type of investment, institution, date of maturity, amount of deposit, current market value for all securities with a maturity of more than twelve months, rate of interest, type and amount of funds under the management of contract investment managers, the City's compliance with the statement of investment policy, the ability of the City of meet cash flow needs for the next six months, and such data as may be required by the City Council as required per California Government Code section 53646.

Annual Reports
An annual report will be presented to the City Council. This report will include comparisons of the City's return to the market benchmark return, suggest policies and improvements that might enhance the investment program, and may include an investment plan for the coming year.

XII. INVESTMENT CONTROLS

The City Treasurer is responsible for establishing and maintaining an internal control structure designed to ensure that the assets of the entity are protected from loss, theft

or misuse. The internal control structure shall be designed to provide reasonable assurance that these objectives are met. The concept of reasonable assurance recognizes that (1) the cost of a control should not exceed the benefits likely to be derived; and (2) the valuation of costs and benefits requires estimates and judgments by management.~~The Investment Committee has developed a system of internal investment controls and a segregation of responsibilities of investment functions in order to assure an adequate system of internal control over the investment function. An "Investment Transaction Authorization" form will be completed for all investment purchases. See Attachment "D".~~

~~XI.~~ ~~DELEGATION OF AUTHORITY~~

~~Pursuant to Section 53607 of the California Government Code, the City Council may delegate authority to make all investment decisions for the City's investment portfolio to the City Treasurer.~~

XIII. POLICY ADOPTION

The City's Investment Policy shall be adopted by resolution of the City Council. The Policy shall be reviewed at least annually to ensure its consistency with the overall objectives of preservation of PRINCIPAL, LIQUIDITY, AND RETURN, and its relevance to current law, financial and economic trends, and to meet the needs of the City of Rocklin and any modifications made thereto must be approved by the City Council. The City's Investment Policy shall be submitted annually to the City Council.

Attachment "A"

CITY OF ROCKLIN
BANK/SAVINGS AND LOAN QUESTIONNAIRE AND CERTIFICATION

1. Name of Firm _____

2. Address _____

3. Telephone No. (____) _____ (___) _____
   Local *National Headquarters*

4. Primary Representative: Manager:
   Name _____ Name _____
   Title _____ Title _____
   Telephone No. (___) _____ Telephone No. (___) _____

5. What is the Total Assets of the Bank/Savings and Loan? _____

6. What is the current Net Worth Ratio? _____

7. What was the Net Worth Ratio for the Previous Year? _____

8. What is your required Capital Ratios?
   A. Total Risk-Based Capital Ratio _____
   B. Tier 1 Risk-Based Capital Ratio _____
   C. Tier 1 Leverage Ratio _____

9. What is the date of your fiscal year-end? _____
   A. Has there been a year during the past three years in which the Bank/ Savings and Loan did not make a profit? _____

10. Have you read the California Government Code Section 53600 et seq. pertaining to all of the State's requirements governing the deposit of monies by Local Agencies which includes Cities? [ ] YES [ ] NO

11. Where is the collateral for Time Deposits of the Bank/Savings and Loan held? _____

12. How many years of related experience does the Primary Contact(s) have? _____

13. What transaction documents and reports would we receive?

14. What information would you provide to the City?

15. Describe the precautions taken by your Bank/Savings and Loan to protect the interest of the public when dealing with government agencies as depositors of investors.

16. Please provide your Contract of Deposit of Moneys pre-signed and sealed by your institution, as well as, any signature cards that you may require.

17. Please provide your Wiring Instructions

18. Please provide your Banks/Savings and Loan most recent certified financial statements. In addition, audited financial statements must be provided.

CERTIFICATION

I hereby certify that I have personally read City of Rocklin's Investment Policy and the California Government Codes pertaining to the investments of the City of Rocklin, and have implemented reasonable procedures and a system of controls designed to preclude imprudent investment activities arising out of transactions conducted between our firm and the City of Rocklin. All sales personnel will be routinely informed of City of Rocklin's investment objectives, horizon, outlook, strategies and risk constraints whenever we are so advised. We pledge to exercise due diligence in informing City of Rocklin of all foreseeable risks associated with financial transactions conducted with our firm. I attest to the accuracy of our responses to your questionnaire.

NOTE: Completion of Questionnaire is only part of City of Rocklin's Certification process and DOES NOT guarantee that the applicant will be approved to do business with the City of Rocklin.

SIGNED: _____ DATE: _____

TITLE: _____

COUNTERSIGNED: _____ DATE: _____

TITLE: _____

Attachment "B"

CITY OF ROCKLIN
BROKER/DEALER QUESTIONNAIRE AND CERTIFICATION

1. Name of Firm _____

2. Address _____

3. Telephone No. (____) _____ (____) _____
   Local *National Headquarters*

4. Primary Representative — Manager/Partner-in-Charge

   Name _____ Name _____
   Title _____ Title _____
   Telephone No. _____ Telephone No. _____
   No. of Yrs. in Institutional Sales ____ No. of Yrs. in Institutional Sales _____
   Number of Years with Firm _____ Number of Years with Firm _____

5. Are you a Primary Dealer in U.S. Government Securities?
   [ ] YES [ ] NO

6. Are you a Broker instead of a Dealer, i.e. You DO NOT own positions of Securities?
   [ ] YES [ ] NO

7. What is the debt to equity ratio of your Firm? _____

8. What is the date of your Firm's fiscal year-end? _____

9. Is your Firm owned by a Holding Company? If so, what is its name and debt to equity ratio? _____
   _____
   _____
   _____

10. Please provide your Wiring and Delivery Instructions _____
    _____
    _____
    _____
    _____

11. Which of the following instruments are offered regularly by your local desk?

[ ] T-Bills [ ] Treasury Notes/Bonds [ ] Discount Notes [ ] NCD'S
[ ] Agencies (specify)_____
[ ] BAs (Domestic) [ ] BAs (Foreign) [ ] Commercial Paper
[ ] Mid-Term Notes

12. Which of the above does your Firm specialize in Marketing?_____
_____
_____

13. Please identify your most directly comparable City Local Agency clients in our geographical area.

Entity Contact Person Telephone No. Client Since
_____
_____
_____
_____

14. What reports, transactions, confirmations and paper trail would we receive?
_____
_____
_____
_____

15. Please include samples of research reports or market information that your firm regularly provides to local agency clients._____
_____
_____
_____
_____

16. What precautions are taken by your Firm to protect the interest of the public when dealing with government agencies as investors.
_____
_____
_____

17. Have you or your Firm been censored or punished by a Regulatory State or Federal Agency for improper or fraudulent activities, related to the sale of securities?
[ ] YES [ ] NO

If Yes, explain _____
_____
_____
_____
_____
_____

~~19. Attach certified documentation as to your capital adequacy and financial solvency. In addition, annually audited financial Statements must be provided.~~

~~20. Have you read the California Government Code Section 53600 et seq. pertaining to all of the State's requirements governing the deposit of monies by Local Agencies, which includes Cities?~~

~~[ ] YES [ ] NO~~

~~– CERTIFICATION –~~

~~I hereby certify that I have personally read City of Rocklin's Investment Policy and the California Government Codes pertaining to the investments of the City of Rocklin, and have implemented reasonable procedures and a system of controls designed to preclude imprudent investment activities arising out of transactions conducted between our firm and the City of Rocklin. All sales personnel will be routinely informed of City of Rocklin's investment objectives, horizon, outlook, strategies and risk constraints whenever we are so advised. We pledge to exercise due diligence in informing City of Rocklin's Investment Committee of all foreseeable risks associated with financial transactions conducted with our firm. I attest to the accuracy of our responses to your questionnaire.~~

~~**NOTE: Completion of Questionnaire is only part of City of Rocklin's Certification process and DOES NOT guarantee that the applicant will be approved to do business with the City of Rocklin.**~~

~~SIGNED: _____ DATE: _____~~

~~TITLE: _____~~

~~COUNTERSIGNED: _____ DATE: _____~~

~~TITLE: _____~~

ATTACHMENT "C"

CITY OF ROCKLIN
EXTERNAL MONEY MANAGER QUESTIONNAIRE AND CERTIFICATION

1. Name of Firm _____

2. Address _____

3. Telephone No. (___) _____ (___) _____
(Local) *(National Headquarters)*

4. Primary Representative

Name _____
Title _____
Telephone No. _____

5. What are your total institutional assets under investment management?
_____
_____

6. What amount of your total assets under investment management are governmental funds? _____
_____
_____

7. Please provide relevant performance statistics on operating funds showing comparison with industry averages or benchmarks, if appropriate.

8. Describe your firm's research capabilities and resources. _____
_____
_____
_____
_____

9. Does your firm assign credit research to specialists?
[ ] YES [ ] NO

10. Describe your credit review process. _____
_____
_____
_____
_____

11. Who reviews portfolio lists for credit approval? _____

12. Identify the number of investment professionals (portfolio managers, analysts and researchers) employed by your firm by classification and specify the average number of accounts handled by portfolio managers. _____

13. Are there any established limits on accounts or assets under management?
[ ] YES [ ] NO

14. Provide biographical information on investment professionals that will be directly involved in the decision-making process for the City's portfolio. _____

15. Describe how your firm organizes its investment management process. _____

16. What oversight is provided to portfolio managers? _____

17. What are the primary strategies for adding value to portfolios; e.g. market timing, credit research, trading. _____

18. Provide the fee schedule that would apply to this account._____

19. If alternative fee schedules could be developed, such as performance fees, describe how you would propose to develop such fee structures. _____

20. What expenses would be covered through the fee structure? _____

21. What additional costs could be required in order to implement your program; e.g., bank custodial fees, travel expenses, etc.? _____

22. Please provide three references including length of time you have managed their assets, client name, contact personnel, address and phone number. (Governmental entities are preferred.)

23. Have you read the California Government Code Section 53600 et seq. pertaining to all of the state requirements governing the deposit of monies by local agencies which includes cities?

[ ] YES [ ] NO

24. Please provide your Wiring Instructions. _____

25. What is the date of your fiscal-year end. _____

26. Please provide your most recent certified financial statement. In addition, audited financial statement must be provided. _____

CERTIFICATION

I hereby certify that I have personally read City of Rocklin's Investment Policy and the California Government Codes pertaining to the investments of the City of Rocklin, and have implemented reasonable procedures and a system of controls designed to preclude imprudent investment activities arising out of transactions conducted between our firm and the City of Rocklin. All sales personnel will be routinely informed of City of Rocklin's investment objectives, horizon, outlook, strategies and risk constraints whenever we are so advised. We pledge to exercise due diligence in informing City of Rocklin of all foreseeable risks associated with financial transactions conducted with our firm. I attest to the accuracy of our responses to your questionnaire.

NOTE: Completion of Questionnaire is only part of City of Rocklin's Certification process and DOES NOT guarantee that the applicant will be approved to do business with the City of Rocklin.

SIGNED: _____ DATE: _____

TITLE: _____

COUNTERSIGNED: _____ DATE: _____

TITLE: _____

~~ATTACHMENT "D"~~

~~CITY OF ROCKLIN~~
~~INVESTMENT TRANSACTION AUTHORIZATIONS~~

~~Date: _____~~

~~Please circle: Purchase Sale~~

~~Investment Transaction Placed By: _____~~

~~Debit/Credit Account Name: _____~~

~~Debit/Credit Account Number: _____~~

~~INVESTMENT~~

~~Type: _____~~

~~Cost/Sales Proceeds: _____~~

~~Face Value: _____~~

~~Yield: _____~~

~~Maturity Date: _____~~

~~Bank/Brokerage Firm: _____~~

~~Brokerage Account No.: _____~~

~~Document No.: _____~~

~~Contact Name: _____~~

~~Authorized By: _____ Title: _____~~

~~Authorized By: _____ Title: _____~~

~~Date: _____~~

~~ATTACHMENT "E"~~

~~CITY OF ROCKLIN~~
~~INVESTMENT BID FORM~~

~~Quotation requested by: _____ Date: _____~~

~~Surplus funds available to invest: _____~~

~~Date can be invested to: _____~~

~~Quotes obtained:~~

~~Firm No. 1 _____~~

~~Contact: _____ Phone: _____~~

~~Types of Securities~~	~~Face Amount~~	~~Yield~~	~~Maturity Date~~	~~Cost[1]~~

~~Firm No. 2 _____~~

~~Contact: _____ Phone: _____~~

~~Types of Securities~~	~~Face Amount~~	~~Yield~~	~~Maturity Date~~	~~Cost[1]~~

~~Please circle security of applicable firm accepted.~~

~~[1]Total cost including fees, if any.~~

~~ATTACHMENT "F"~~

~~CITY OF ROCKLIN~~
~~INSTITUTION SELECTION POLICY~~

~~I. PURPOSE~~

~~The purpose of the Institution Selection Policy is to establish procedures for the selection and evaluation of institutions that have been approved to conduct financial transactions with the City of Rocklin. Institutions selected pursuant to this policy will be admitted to the approved list upon approval by the City Treasurer. Evaluations of those approved institutions will be performed annually. The City will only transact business with institutions who have been previously approved.~~

~~II. SELECTION PROCESS~~

~~The selection process shall be as follows:~~

~~A. Institutions complete and return the appropriate questionnaire (see Attachments "A" -- "C") to the City of Rocklin's Administrative Services Department.~~

~~B. The Administrative Services Department shall review the questionnaire in order to determine if the institutions are adequately capitalized, deal in security markets appropriate to the City's needs, and agree to abide by the conditions set forth in the City of Rocklin's Investment Policy. The Department will then submit the questionnaire and the results of the review to the City Treasurer for future consideration. Institutions submitting incomplete responses will be advised and allowed an opportunity to amend their responses.~~

~~C. The City will consider the institution's responses, the Administrative Services Department's review and determine if it is necessary to invite the institution to make a presentation prior to its final approval.~~

~~D. The City Treasurer's decision to recommend approval or denial by his or her interpretation of the City's needs, the institution's response to the questionnaire, and other factors regarding the ability of the institution to provide the most effective and efficient financial services required.~~

III. EVALUATION PROCESS

The evaluation process shall be as follows:

A. Upon acceptance to the "Approved Qualified Institutions" list, the Financial Institution shall complete periodically, as determined by the City Treasurer, the appropriate questionnaire (see Attachments "A" - "C") and shall submit audited financial statements to the City. City shall review the data as in the selection process and forward results to the Investment Committee. Questions or issues that are raised as a result of the data reported will be put to the appropriate institution either personally or in writing.

B. The City Treasurer shall make the final determination to retain or eliminate any institution from the approved list which is not subject to further review or appeal.

IV. APPROVED QUALIFIED INSTITUTIONS LIST

The City shall maintain an approved list of institutions authorized for transacting business. The number of institutions included on the approved list shall be determined by the City Treasurer. Inclusion on the approved list is a privilege and does not imply or create a right or any intent on behalf of the City of Rocklin to conduct financial transactions through or with any institution. Institutions may be added or removed from the approved list at the discretion of the City Treasurer. It is recognized that qualified institutions may not be included on the approved list due to the City's need to manage the number of institutions for investment competition.

GLOSSARY

ACCRUED INTEREST - Interest on a bond that has accumulated since the last interest payment. The buyer pays the seller the bond price plus accrued interest.

AGENCIES - Federal agency securities.

BANKER'S ACCEPTANCE - A time draft drawn on a bank and accepted by that bank as ultimate liability for payment. Used most often to finance import and export transactions. Banker's acceptances are also collateralized by the underlying merchandise being contracted in the import-export transaction. It is a money market instrument when guaranteed by a bank.

BASIS POINT - Smallest measure used in quoting yields on bonds and notes; one one-hundredth of a percentage point (.01 percent or .0001).

BOND - A debt instrument in which the investor lends money to the bond issuer, who agrees to pay a stated rate of interest over a specified period of time. Very simply a bond is nothing more than a promissory note which is traded in the financial markets. The investor's position is that of lender.

BOOK VALUE - The value at which the investor holds on their books as their invested amount in a security. This may be more or less' than the current face value of the security depending if the security was purchased at a price more or less than the face value.

BROKER - A broker brings buyers and sellers together for a commission paid by the initiator of the transaction or by both sides; he does not hold a position. In the money market, brokers are active in markets in which banks buy and sell money and in interdealer markets.

CAPITALIZATION - A firm's capital structure, composed of total securities issued by the corporation, including bonds, debentures, preferred and common stock, and all surpluses (retained earnings).

CERTIFICATE OF DEPOSIT (CD) (TIME DEPOSIT) - An interest bearing time deposit issued by banks with a specific maturity evidenced by a certificate. Large denomination CD's are typically negotiable.

CHURNING – excessive trading in a customer's account designed solely to increase broker's commissions.

COLLATERAL - Securities, evidence of deposit or other property which a borrower pledges to secure repayment of a loan. Also refers to securities pledged by a bank to secure deposits of public monies.

COLLATERALIZED OBLIGATIONS - Notes secured by collateral. The collateral is usually comprised of U.S. Government securities which are held by a third-party-trustee who also insures that adequate collateral is posted at all times.

COLLATERALIZED MORTGAGE OBLIGATION (CMO) - Derivative security created by dividing the cash flows of a pool of mortgages into obligations with different maturities.

COMMERCIAL PAPER - Unsecured, bearer obligations (maturity usually 270 days or less) issued by corporations, either at a discount and redeemed at par, or at par and pay interest.

COMMON STOCK - Units (shares) of ownership in a public corporation. Shareholders have control over corporate affairs through voting rights, and dividends are paid to common stockholders after preferred stock shareholders.

CONFIRMATION - A piece of paper detailing the conditions and terms of a transaction. Delivered to the customer on or before settlement. It serves as a bill for purchases and an advisory notice for sales.

CORPORATE BONDS - A debt instrument issued by a corporation which normally pays interest on specific dates and repays the principal at maturity.

COUPON - (a) The annual rate of interest that a bond's issuer promises to pay the bondholder on the bond's face value. (b) A certificate attached to a bond evidencing interest due on a payment date.

CREDIT RISK - The risk that an obligation will not be met in a timely fashion with the result being a temporary or even permanent loss to the lender.

DEALER - A dealer, as opposed to a broker, acts as a principal in all transactions, buying and selling for his own account.

DEBENTURE - A bond secured only by the general credit of the issuer.

DEBT TO EQUITY RATIO - Solvency ratio of total long-term debt to total stockholder's equity.

DELIVERY VERSUS PAYMENT - There are two methods of delivery of securities: delivery

versus payment and delivery versus receipt (also called free). Delivery versus payment is delivery of securities with an exchange of money for the securities. Delivery versus receipt is delivery of securities with an exchange of a signed receipt for the securities.

DERIVATIVE - A broad term referring to any security which derives its value from another underlying asset or index.

DISCOUNT - The difference between the cost price of a security and its value at maturity when quoted at lower than face value. A security selling below original offering price shortly after sale also is considered to be at a discount.

DISCOUNT RATE - Interest rate that the Federal Reserve charges for loans to member banks.

DISCOUNT SECURITIES - Non-interest bearing money market instruments that are issued at a discount and redeemed at a maturity for full face value; i.e., U.S. Treasury bills.

DIVERSIFICATION - Spreading a portfolio across a range of security types, industries or geographic areas to limit risk.

FACE VALUE - The same as par value. Face value is the principal amount of a bond.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) - A federal agency that insures bank deposits currently $250,000 per depositor.

FEDERAL FARM CREDIT BANK (FFCB) - Government instrumentality which issues notes and bonds to provide funds for its member banks for the purchase of farm land, equipment and commodities.

FEDERAL HOME LOAN BANKS (FHLB) - Government instrumentality consisting of twelve regional banks owned by savings and homestead associations which issue bonds and notes to finance home building and mortgage loans of their member associates.

FEDERAL HOME LOAN MORTGAGE CORPORATION (FHLMC OR FREDDIE MAC) - Debt issued by FHLMC, a government instrumentality, to facilitate real estate lending. FHLMC issues short-term debt backed by its own full faith and credit, and it issued long-term debt backed by mortgages with repayment guaranteed by FHLMC.

FEDERAL NATIONAL MORTGAGE ASSOCIATION (FNMA OR FANNIE MAE) – A government-sponsored-publicly-owned corporation whose stock trades on the New York Stock Exchange. FNMA issues short-term debt backed by its own full faith and credit. FNMA purchases FHA, VA, and FHDA mortgages through the sale of its corporate debenture notes. These notes are backed by the mortgages with repayment guaranteed by FNMA.

FLOATER - A floating rate security in which the interest note varies with an index such as U.S. Treasury Bills or LIBOR (London Interbank Offered Rate).

GENERAL OBLIGATION BOND (G.O.) - A municipal bond secured by the full faith and credit of the municipality.

GOVERNMENT NATIONAL MORTGAGE ASSOCIATION (GNMA OR GINNIE MAE) - Securities guaranteed by GNMA and issued by mortgage bankers, commercial banks, savings and loan associations and other institutions. Security holder is protected by full faith and credit of the U.S. Government. Ginnie Mae securities are backed by FHA, VA or FMHM mortgages. The term pass-through is often used to describe Ginnie Maes.

GUARANTEED INVESTMENT CONTRACTS (GICs) - An agreement acknowledging receipt of funds for deposit, specifying terms for withdrawal, and guaranteeing a rate of interest to be paid. Uninsured, non-guaranteed obligations of insurance companies, permitted for public investment in a few states. Risk varies according to the credit risk of the issuing company.

HEDGE/HEDGING - Technique designed to counteract the risk of loss from price changes in the market.

HOLDING COMPANIES - A corporation with controlling interest in one or more corporations.

INSTRUMENTALITIES - See U.S. government instrumentality obligations.

INTEREST - The sum charged for borrowing money.

INTEREST-ONLY SECURITY (I0) - Security derived from the interest rate portion of a pool of mortgage securities (separated from the principal portion).

INTEREST RATE RISK - The uncertainty of investment return due to changes in the market rates of interest. For investments that generate a fixed rate of return, the value of that investment is inversely related to the movement of market rates of interest.

INVERSE FLOATER - Structured note or derivative designed to rise in yield as interest rates fall. Also called reverse floater. Extremely volatile security not recommended for public funds.

INVESTMENT COMPANY ACT OF 1940 - Regulates investment companies.

LEVERAGE - Money borrowed to reinvest in order to increase the return on invested capital. A municipality may leverage funds through the issuance of taxable notes, reverse repurchase agreements and purchasing securities with embedded leverage. Leverage may be embedded inside a security or it may be applied to an investment portfolio as a whole.

LIQUIDITY - A liquid asset is one that can be converted easily and rapidly into cash without a substantial loss of value. In the money market, a security is said to be liquid if the spread between bid and asked prices is narrow and reasonable size can be done at those quotes.

LIQUIDITY RISK - The risk that a financial institution or other organization will not have sufficient cash on hand to meet its obligations; or in the case of a specific investment instrument, the risk that it will not be easily convertible to cash.

LOCAL GOVERNMENT INVESTMENT POOL (LGIP) - The aggregate of all funds from a political subdivision that are placed in the custody of the State Treasurer for investment and reinvestment.

LONG TERM - Securities held more than six months or bonds maturing in more than five years.

MARK TO MARKET - Adjustment of an account or portfolio to reflect actual market price rather than book price, purchase price or some other valuation.

MARKET MAKER - A broker/dealer maintaining an inventory in a security.

MARKET RATE OF RETURN - Return that is based on some market index that acts as a proxy for the market, such as the “Standard & Poor’s 500” stock index, which is used to gauge the returns on individual securities or portfolios. The City uses as a benchmark the average return on two-year U.S. Treasury Notes.

MARKET RISK - The risk of a decline in the price of securities because of a general falling market.

MARKET VALUE - The price at which a security is trading and could presumably be purchased or sold.

MASTER REPURCHASE AGREEMENT - A written contract covering all future transactions between the parties to repurchase-reverse repurchase agreements that establishes each party's rights in the transactions. A master agreement will often specify, among other things, the right of the buyer-lender to liquidate the underlying securities in the event of default by the seller-borrower.

MATURITY - The date upon which the principal or stated value of an investment become due and payable.

MEDIUM-TERM NOTES - Issuers register with the SEC allowing for notes to be issued at various times, rates and maturities from one to ten years as the issuer's financing needs dictate.

MONEY MARKET - The market in which short-term debt instruments (bills, commercial paper, bankers' acceptances, etc.) are issued and traded.

MONEY MARKET FUND - An open-end investment company (mutual fund) that invests in money market instruments regulated by the Securities and Exchange Commission. It is a highly liquid investment that pays money market rates of interest.

MONEY MARKET INSTRUMENTS - Highly liquid debt instruments usually with maturities of one year or less. Money market instruments include repurchase agreements, reverse repurchase agreements, negotiable certificates of deposit, banker's acceptances, commercial paper, Treasury bills and other short-term direct obligations of U.S. government agencies and instrumentalities.

MORTGAGE BACKED SECURITY (MBS) - A pool of individual mortgages which is packaged and sold to investors at a specified coupon rate, maturity date, and face value. Individual mortgage loans are purchased from lenders by GNMA, FNMA, & FHLMC, thus converting the lenders loan assets to dollars, in turn making money available again for borrowing. GNMA, FNMA, & FHLMC then package the mortgage loans into pools, securitize them and offers them for sale in the securities market. In doing so, GNMA, FNMA, & FHLMC convert mortgages to dollars, enabling them to purchase additional individual mortgages for pooling. This conduit is used to reallocate funds, from the securities markets into the real estate market.

MUTUAL FUNDS - Investment company that pools funds from investors to buy stocks, bonds, government securities, money market instruments and other assets. Public investments, with the exception of pension funds, usually are restricted to mutual funds known as money market funds.

NATIONAL ASSOCIATION OF SECURITIES DEALERS - A not-for-profit organization of dealers whose aim is protecting over the counter customers through financial solvency and integrity.

NEGOTIABLE CERTIFICATE OF DEPOSIT - Time deposits which may be purchased and sold in the financial markets.

NET ASSET VALUE - Net redemption price (bid price) of an open-end investment company.

NO-LOAD MUTUAL FUNDS - Mutual funds offered directly to the public at net asset value with no sales charge.

NOTE - A written promise to pay a specified amount to a certain entity on demand or on a specified date.

OPEN MARKET OPERATIONS - Purchases and sales of government and certain other securities in the open market by the New York Federal Reserve Bank as directed by the FOMC in order to influence the volume of money and credit in the economy. Purchases inject reserves into the bank system and stimulate growth of money and credit; sales have the opposite effect. Open market operations are the Federal Reserve's most important and most flexible monetary policy tool.

PAR VALUE - The same as face value. Par value is the principal amount of a bond.

PERFECTED INTEREST - The legal right to interest and principal of securities held as collateral by a third party safekeeping arrangement.

PORTFOLIO - Collection of securities held by an investor.

PREFERRED STOCK - Shareholders are entitled to a specified dividend and priority over assets in liquidation, but not voting rights.

PREMIUM - Bond purchase price which is greater than that of its face value.

PRIMARY DEALER - A group of government securities dealers that submit daily reports of market activity and positions and monthly financial statements to the Federal Reserve Bank of New York and are subject to its informal oversight. Primary dealers include Securities and Exchange commission (SEC), registered securities broker-dealers, banks, and a few unregulated firms.

PRIME BANKER'S ACCEPTANCE - A banker's acceptance issued by a bank that has received the highest short-term ratings from at least two' nationally recognized ratings organizations. It has a relatively short maturity (usually six months or less), and is eligible for discounting within the Federal Reserve system. Eligibility depends on a number of criteria including the purpose; timing and amount of the underlying transaction (See also "banker's acceptance").

PRIME COMMERCIAL PAPER - Commercial paper that has received the highest short-term ratings from at least two national ratings organizations (See also "commercial paper").

PRIME RATE - Interest rate charged by a bank on loans to its best customers.

PRINCIPAL - (1) The face amount on a bond. (2) The basic amount invested.

PRINCIPAL-ONLY SECURITY (P0) - Security derived from principal portion of a pool of mortgage securities (separated from the interest payments).

PROSPECTUS - A legal document that must be provided to any prospective purchaser of a new securities offering registered with the SEC that typically includes information on the issuer, the issuer's business, the proposed use of proceeds, the experience of the issuer's management, and certain certified financial statements (also known as an "official statement").

PRUDENT INVESTOR RULE - An investment standard. In some states, the law requires that a fiduciary, such as a trustee, may invest money only in a list of securities selected by the state—the so-called legal list. In other states the trustee may invest in a security if it is one which would be bought by a prudent person of discretion and intelligence who is seeking a reasonable income and preservation of capital.

RATE OF RETURN - The yield obtainable on a security based on its purchase price or its current market price. This may be the amortized yield to maturity on a bond or the current income return.

REINVESTMENT RISK - The risk that maturing investments cannot be replaced by investments earning the same interest rate.

REPURCHASE AGREEMENT (RP or REPO) - A holder of securities sells these securities to an investor with an agreement to repurchase them at a fixed price on a fixed date. The security "buyer" in effect lends the "seller" money for the period of the agreement, and the terms of the agreement are structured to compensate him for this. Dealers use RP extensively to finance their positions. Exception: When the Fed is said to be doing RP, it is lending money that is increasing bank reserves.

SAFEKEEPING - A service to customers rendered by banks for a fee whereby securities and valuables of all types and descriptions are held in the bank's vault for protection.

SECONDARY MARKET - A market made for the purchase and sale of outstanding issues following the initial distribution.

SECURITIES & EXCHANGE COMMISSION (SEC) - Agency created by Congress to protect investors in securities transactions by administering securities legislation.

SECURITY INVESTOR PROTECTION CORPORATION (SIPC) - A non-profit organization of broker/dealers responsible for orderly liquidation of failed firms. Customers are protected to $500,000 per account.

SHORT TERM - Securities held six months or less. Bonds with maturities of one year or less.

SMALL BUSINESS ADMINISTRATION LOANS (SBA) - Loans made by banks to small business, which are then sold to the SBA who offers them for sale in the financial markets. Loans are purchased by the SBA to facilitate lending for small business enterprises.

STRIPS – Separate trading of registered interest and principal securities

STRUCTURED NOTE - Complex customized security (also known as structured derivative) on which the returns are pegged to underlying assets or financial indices.

SUPRANATIONALS – International development institutions that provide financing, advisory services, and/or other financial services to their member countries to achieve overall goal of improving living standards through sustainable economic growth.

TAX AND REVENUE ANTICIPATION NOTES (TRANs) - Notes issued in anticipation of receiving tax proceeds or other revenues at a future date.

TOTAL RISK-BASED CAPITAL RATIO, TIER 1 RISK-BASED CAPITAL RATIO & TIER 1 LEVERAGE RATIO - In response to the FDIC Improvement Act of 1991 (FDICIA), the FDIC and the Federal Reserve Board both proposed a five-tier scheme of capital requirements and corresponding supervisory actions to implement the prompt corrective actions provisions

of the FDICIA. These ratios serve as benchmarks for the five capital categories: well capitalized, adequately capitalized, undercapitalized, significantly undercapitalized, and critically undercapitalized.

> Well capitalized institution would be defined as one that has a total risk-based capital ratio of ten percent, a Tier 1 risk-based capital ratio of six percent and a leverage ratio of five percent. A well-capitalized institution can not be subject to any written capital order or directive.
>
> An adequately capitalized institution would be one with a total risk-based capital ratio of eight percent, a Tier 1 risk-based capital ratio of four percent, or a Tier 1 leverage ratio of four percent.
>
> An undercapitalized institution would be one that does not meet the capital levels needed to be adequately capitalized. A significantly undercapitalized institution, however, would be one that has a total risk-based capital ratio of under six percent, a Tier 1 risk-based capital of under three percent, or a Tier 1 leverage ratio of under three percent.
>
> Finally, the agencies proposed to classify any institution as critically undercapitalized if it has a Tier 1 leverage ratio of two percent or less.

TREASURY BILLS - A short-term U.S. government security, also known as a T-bill, issued in minimum denominations of $10,000 with maturities of 13 weeks, 26 weeks and 52 weeks. T-bills bear no specific interest rate. They are sold at a discount to face value; their yield, therefore, varies with the difference between the purchase price and the value at maturity.

TREASURY BOND - Long-term, coupon-bearing U.S. government security, usually issued in denominations of $1,000 or more and having maturities of 10 or more years. Interest is paid every six months at a rate of one-half the annual coupon; principal is paid at maturity.

TREASURY NOTES - Medium-term, coupon-bearing U.S. government security, usually issued in denominations of $1,000 or more and having maturities of one to 10 years. Interest is paid every six months at a rate of one-half the annual coupon; principal is paid at maturity.

TRUSTEE OR TRUST COMPANY OR TRUST DEPARTMENT OF A BANK – A financial institution with trust powers which acts in a fiduciary capacity for the benefit of the bondholders in enforcing the terms of the bond contract.

UNDERWRITER - A dealer which purchases a new issue of municipal securities for resale.

UNIFORM NET CAPITAL RULE - SEC requirement that member firms as well as nonmember broker-dealers in securities maintain a maximum ratio of indebtedness to liquid capital of 15 to 1; also called *net capital rule* and *net capital ratio*. Indebtedness covers all money owed to a firm, including margin loans and commitments to purchase securities, one reason new public issues are spread among members of underwriting syndicates. Liquid capital includes cash and assets easily converted into cash.

U.S. GOVERNMENT AGENCY OBLIGATIONS (AGENCIES) - Securities issued by federal agencies other than the U.S. Treasury including: the Government National Mortgage Association (GNMA or Ginnie Mae), Small Business Administration (SBA), General Services Administration (GSA) and Federal Housing Administration (FHA). Some investors include debt instruments issued by government-sponsored corporations and related instrumentalities in the term "agencies." However, technical differences make this usage incorrect. In most cases, the U.S. government explicitly guarantees principal and interest of agency debt but not debt of instrumentalities and government sponsored corporations.

U.S. GOVERNMENT INSTRUMENTALITY OBLIGATIONS (INSTRUMENTALITIES) - Securities issued by government-sponsored corporations including: the Student Loan Marketing Association (Sallie Mae), World Bank, Federal National Mortgage Association (Fannie Mae), Federal Farm Credit Banks (FFCB), Federal Home Loan Mortgage Association (Freddie Mae) and Federal Home Loan Banks (FHLB). Principal and interest are guaranteed only by the corporations, not the U.S. government.

WEIGHTED AVERAGE MATURITY (WAM) - The average maturity of all the securities that comprise a portfolio that is typically expressed in days or years.

YIELD - The rate of annual income return on an investment, expressed as a percentage. (a) Income Yield is obtained by dividing the current dollar income by the current market price for the security. (b) Net Yield or Yield to Maturity is the current income yield minus any premium above par or plus any discount from par in purchase price, with the adjustment spread over the period from the date of purchase to the date of maturity of the bond.

YIELD CURVE - A graphic representation that shows the relationship at a given point in time between yields and maturity for bonds that are identical in every way except maturity.

ZERO-COUPON SECURITY (ZEROS) - A security on which all interest is paid in a lump sum at maturity, thus eliminating the reinvestment risk of interest paid out over the life of the security. Prices are extremely interest rate sensitive and volatility increases with maturity (See also "zero-coupon treasury bonds").

ZERO-COUPON TREASURY BOND - Security formed by stripping coupons from a treasury bond so that an investor can buy a single coupon or the principal payment at present value. Market risk is high, particularly for longer maturities. Variations include STRIPS (Separate Trading: of Registered Interest and Principal), CATS (Certificate of Accrual on Treasury Securities), TIGRS (Treasury Income Growth Receipts) and others.

TABLE 1
INVESTMENT LIMITATIONS SUMMARY

Eligible Investment Vehicle	Section	Percentage of Total Investment Fund Market Value	Percentage of Total Investment Fund Invested With a Single Institution
City of Rocklin Bonds	V. 1. (A.)	No limitation	No limitation
U.S. Treasuries	V. 1. (B.)	No limitation	No limitation
State of California Bonds	V. 1. (C.)	No limitation	No limitation
Other U.S. State Bonds	V. 1. (D.)	No limitation	No limitation
Local Agency Bonds	V. 1. (E.)	No limitation	No limitation
U.S. Agencies	V. 1. (F.)	May not exceed 25% of the market value of the fund. No more than 5% may be in callable securities.	5%
Banker's Acceptance	V. 1. (H.)	May not exceed 180 days to maturity and 40% of the market value of the fund.	5%
Commercial Paper	V. 1. (I.)	May not exceed 270 days to maturity nor 10% of the outstanding paper of the issuing corporation and 25% of the market value of the fund.	5%
Negotiable Certificates of Deposit	V. 1. (J.)	30%	5%
Repurchase Agreements	V. 1. (L.)	May not exceed 90 days to maturity and 15% of the market value of the fund.	5%
Supranationals	V.1. (K.)		

Eligible Investment	Percentage of Total Investment	Percentage of Total Investment	Policy Section	Investment Fund Market Value	Investment Fund Invested With a Single Institution
Local Agency Investment Fund	V. 1. (L.)	Maximum dollar amount as permitted by State law.	Maximum dollar amount as permitted by State law.		
Medium-term Notes	V. 1. (M.)	30%	5%		
Time Deposits	V. 1. (N.)	10%	5%		
Mutual Funds/Money Market Funds	V. 1. (O.)	15%	5%		
Collateralized Negotiable Investments	V. 1. (P.)	10%	5%		
Mortgage Backed Securities	V. 1. (Q.)	20%	5%		
Placer County Treasurer's Investment Pool	V. 1. (R.)	25%			N/A

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
DELEGATING AUTHORITY TO MAKE INVESTMENT DECISIONS TO THE CITY TREASURER
AND ADOPTING LEGISLATIVE POLICY NO. 1 – INVESTMENT POLICY

WHEREAS, the City of Rocklin's Investment Policy and California Government Code Section 53607 authorize the City Council to delegate authority to the City Treasurer to make all investment decisions for a one-year period; and

WHEREAS, pursuant to the Investment Policy, the City's Investment Policy shall be reviewed annually to ensure its consistency with the overall objectives of preservation of principal, liquidity, and return, and its relevance to current laws and regulations.

NOW THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. Pursuant to Section 53607 of the California Government Code, the City Council hereby delegates authority to make all investment decisions for the City's investment portfolio to the City Treasurer. Such delegation shall be for the period commencing July 1, 2023 and ending June 30, 2024. This authority shall supersede Resolution No. 2022-130.

Section 2. Pursuant to the Rocklin Legislative Policy No. 1 – Investment Policy, City of Rocklin Statement of Investment Policy attached hereto as Exhibit A, is hereby confirmed through the 2023/24 fiscal year.

PASSED AND ADOPTED this 9th day of May, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

Exhibit A

CITY OF ROCKLIN
STATEMENT OF INVESTMENT POLICY

INTRODUCTION

This statement is intended to outline the policies for the prudent investment of the City's Funds and to provide guidelines for suitable investments.

The ultimate goal is to enhance the economic status of the City while safeguarding its assets.

The City's Cash Management System is designed to accurately monitor and forecast expenditures and revenues, thus enabling the City to invest funds to the fullest extent possible. The City's investment portfolio shall be structured with the objective of attaining a market rate of return throughout budgetary and economic cycles, provided that all investments meet the criteria established for safety and liquidity.

The City's investment policies and practices are based upon Federal, State, and Local laws and prudent money management. The primary goals of these policies are:

1. To assure compliance with all Federal, State and Local laws governing the investment of monies.

2. To protect the principal monies.

3. To ensure the investment portfolio remains sufficiently liquid to enable the City of Rocklin to meet all operating requirements which might be reasonably anticipated.

4. To attain a market rate of return on investment within the parameters of this Statement of Investment Policy and the Investment Portfolio Guidelines.

I. SCOPE

It is intended that this policy cover all funds and investment activities under the direct authority of the City in accordance with California Government Code Section 53600 et seq., the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA)

and local laws. Funds included are General Fund, Special Revenue Funds, Debt Service Funds, Capital Project Funds, Permanent Funds and Trust Funds.

This policy will not cover bond proceeds obtained from bond issues which are not direct obligations of the City, and which are not under the direct authority of the City. The bond proceeds will be invested in accordance with the Bond Indenture Agreement and applicable State and Federal regulations.

II. OBJECTIVES

1. Safety of Principal

Safety of principal is the foremost objective of the City of Rocklin. Investments will be undertaken in a manner that seeks to ensure the preservation of capital in the overall portfolio. To attain this objective, the Agency will diversify its investments by investing funds among a variety of securities with independent returns. The City shall seek to preserve principal by mitigating the two types of risk: credit risk and market risk.

2. Liquidity

Liquidity is the second most important objective of the City of Rocklin. This is accomplished by structuring the portfolio so that securities mature concurrent with cash needs to meet anticipated demands. It is important that an investment contain the feature of being easily sold at any time with a minimal risk of loss of some portion of principal or interest. The investment portfolio will remain sufficiently liquid to meet all operating requirements that may be reasonably anticipated.

3. Return on Investment

The City's Fund shall seek to attain a market-average rate of return throughout economic cycles. The investment portfolio will be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints for safety and liquidity needs.

III. PRUDENCE

Pursuant to California Government Code, Section 53600.3, all persons authorized to make investment decisions on behalf of the City are trustees and therefore fiduciaries subject to the *Prudent Investor Standard*:

> "…all governing bodies of local agencies or persons authorized to make investment decisions on behalf of those local agencies investing public funds pursuant to this chapter are trustees and therefore fiduciaries subject to the prudent investor standard. When investing, reinvesting, purchasing, acquiring, exchanging, selling, or managing public funds, a trustee shall act with care, skill, prudence, and diligence under the circumstances then prevailing, including, but not limited to, the general economic conditions and the anticipated needs of the Agency, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the Agency. Within the limitations of this section and considering individual investments as part of an overall strategy, investments may be acquired as authorized by law."

City employees and other authorized persons responsible for managing City funds, acting in accordance with written procedures and the Investment Policy and exercising due diligence, shall not be held personally responsible for a specific security's credit risk or market price changes, provided that deviations from expectations are reported in a timely manner and that appropriate action is taken to control adverse developments.

IV. DELEGATION OF AUTHORITY

Authority to manage the City's investment program is derived from California Government Code, Sections 41006 and 53600 *et seq.*

The City Council is responsible for the management of the City's funds, including the administration of this investment policy. Management responsibility for the cash management of the City's funds may be delegated to the City Treasurer.

The City Treasurer will be responsible for all transactions undertaken and will establish a system of procedures and controls to regulate the activities of subordinate officials and employees. Such procedures will include explicit delegation of authority to persons responsible for investment transactions. No person may engage in an investment

transaction except as provided under the terms of this policy and the procedures established by the City Treasurer.

The City may engage the services of one or more external investment advisers, who are registered under the Investment Advisers Act of 1940, to assist in the management of the City's investment portfolio in a manner consistent with the City's objectives. External investment advisers may be granted discretion to purchase and sell investment securities in accordance with this investment policy.

The City's overall investment program shall be designed and managed with a degree of professionalism that is worthy of the public trust. The City recognizes that in a diversified portfolio, occasional measured losses may be inevitable and must be considered within the context of the overall portfolio's return and the cash flow requirements of the City.

V. ETHICS AND CONFLICTS OF INTEREST

All participants in the investment process shall act as custodians of the public trust. Investment officials shall recognize that the investment portfolio is subject to public review and evaluation. City employees and the City's Investment Advisory Committee involved in the investment process shall refrain from personal business activity that could conflict with proper execution of the Investment Policy of which could impair their ability to make impartial investment recommendations and/or decisions. The City's Investment Advisory Committee and City employees involved in the investment process shall disclose any material financial interests in financial institutions with which the City may conduct business, and they shall further disclose any reportable personal financial/investment positions that could be related to the performance of the City's portfolio. The Investment Advisory Committee and City employees involved in the investment process shall subordinate their personal investment transactions to those of the City, particularly with regard to the timing of purchases and sales.

VI. INVESTMENTS

1. AUTHORIZED INVESTMENTS

The City is governed by the California Government Code, Sections 53600 et seq. In the event a discrepancy is found between this policy and the Code, the more restrictive parameters will take precedence. Percentage holding limits and minimum credit requirements listed in this section apply at the time the security is purchased. An appropriate risk level shall be maintained by primarily purchasing securities that

are of high quality, liquid, and marketable. The portfolio shall be diversified by security type and institution to avoid incurring unreasonable and avoidable risks regarding specific security types or individual issuers.

Within the context of these limitations, the following investments are authorized, as further limited herein:

A. United States Treasury Bills, Notes, Bonds, or certificates of indebtedness, or those for which the faith and credit of the United States are pledged for payment of principal and interest. There is no limitation as to the percentage of the Fund that can be invested in this category as they are both safe and liquid.
   - The maximum maturity is five (5) years.

B. Municipal Securities including obligations of the City of Rocklin, the State of California and any local agency within the State of California, provided that:
   - The securities are rated in a rating category of "A" or its equivalent or better by at least one nationally recognized statistical rating organization ("NRSRO").
   - No more than 5% of the portfolio may be invested in any single issuer.
   - No more than 30% of the portfolio may be in Municipal Securities.
   - The maximum maturity does not exceed five (5) years.

C. Municipal Securities (Registered Treasury Notes or Bonds) of any of the other 49 states in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 states, in addition to California.
   - The securities are rated in a rating category of "A" or its equivalent or better by at least one nationally recognized statistical rating organization ("NRSRO").
   - No more than 5% of the portfolio may be invested in any single issuer.
   - No more than 30% of the portfolio may be in Municipal Securities.
   - The maximum maturity does not exceed five (5) years.

D. Federal Agencies or United States Government-Sponsored Enterprise obligations, participations, or other instruments, including those issued by or fully guaranteed as to principal and interest by federal agencies or United States government-sponsored enterprises. There are no limits on the dollar amount or percentage that the City may invest in Federal Agency or Government-Sponsored Enterprises (GSEs), provided that:
   - No more than 30% of the portfolio may be invested in any single Agency/GSE issuer.

- The maximum maturity does not exceed five (5) years.
- The maximum percent of agency callable securities in the portfolio will be 20%.

E. Banker's Acceptances, provided that:
- They are issued by institutions which have short-term debt obligations rated "A-1" or its equivalent or better by at least one NRSRO; or long-term debt obligations which are rated in a rating category of "A" or its equivalent or better by at least one NRSRO.
- No more than 40% of the portfolio may be invested in Banker's Acceptances.
- No more than 5% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed 180 days.

F. Commercial paper, provided that the securities are issued by an entity that meets all of the following conditions in either paragraph (a) or (b) and other requirements specified below:

a. Securities issued by corporations:
   (i) A corporation organized and operating in the United States with assets more than $500 million.
   (ii) The securities are rated "A-1" or its equivalent or better by at least one NRSRO.
   (iii) If the issuer has other debt obligations, they must be rated in a rating category of "A" or its equivalent or better by at least one NRSRO.

b. Securities issued by other entities:
   (i) The issuer is organized within the United States as a special purpose corporation, trust, or limited liability company.
   (ii) The securities must have program-wide credit enhancements including, but not limited to, overcollateralization, letters of credit, or a surety bond.
   (iii) The securities are rated "A-1" or its equivalent or better by at least one NRSRO.

- No more than 10% of the outstanding commercial paper of any single issuer.
- No more than 25% of the Agency's investment assets under management may be invested in Commercial Paper
- No more than 5% of the portfolio may be invested in any single issuer.
- The maximum maturity does not exceed 270 days.

G. Negotiable Certificates of Deposit (NCDs), issued by a nationally or state-chartered bank, a savings association or a federal association, a state or federal credit union, or by a federally licensed or state-licensed branch of a foreign bank, provided that:
   - The amount of the NCD insured up to the FDIC limit does not require any credit ratings.
   - Any amount above the FDIC insured limit must be issued by institutions which have short-term debt obligations rated "A-1" or its equivalent or better by at least one NRSRO; or long-term obligations rated in a rating category of "A" or its equivalent or better by at least one NRSRO.
   - No more than 30% of the total portfolio may be invested in NCDs (combined with CDARS).
   - No more than 5% of the portfolio may be invested in any single issuer.
   - The maximum maturity does not exceed five (5) years.

H. Repurchase Agreements collateralized with securities authorized under California Government Code, maintained at a level of at least 102% of the market value of the Repurchase Agreement. There are no limits on the dollar amount or percentage that the City may invest, provided that:
   - Securities used as collateral for Repurchase Agreements will be delivered to an acceptable third-party custodian.
   - Repurchase Agreements are subject to a Master Repurchase Agreement between the City and the provider of the repurchase agreement. The Master Repurchase Agreement will be substantially in the form developed by the Securities Industry and Financial Markets Association (SIFMA).
   - The maximum maturity does not exceed one (1) year.

I. Supranationals, provided that:
   - Issues are US dollar denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by the International Bank for Reconstruction and Development, International Finance Corporation, or Inter-American Development Bank.
   - The securities are rated in a rating category of "AA" or its equivalent or better by a NRSRO.
   - No more than 30% of the total portfolio may be invested in these securities.
   - No more than 10% of the portfolio may be invested in any single issuer.
   - The maximum maturity does not exceed five (5) years.

J. State of California Local Agency Investment Fund (LAIF), provided that:
   - The City may invest up to the maximum amount permitted by LAIF.
   - LAIF's investments in instruments prohibited by or not specified in the City's policy do not exclude the investment in LAIF itself from the City's list of allowable investments, provided LAIF's reports allow the City Treasurer to adequately judge the risk inherent in LAIF's portfolio.

K. Corporate Medium-term notes (MTNs), provided that:
   - The issuer is a corporation organized and operating within the United States or by depository institutions licensed by the United States or any state and operating within the United States.
   - The securities are rated in a rating category of "A" or its equivalent or better by at least one NRSRO.
   - No more than 30% of the total portfolio may be invested in MTNs.
   - No more than 5% of the portfolio may be invested in any single issuer.
   - The maximum maturity does not exceed five (5) years.

L. Federally Insured Time Deposits (Non-Negotiable Certificates of Deposit) in state or federally chartered banks, savings and loans, or credit unions, provided that:
   - The amount per institution is limited to the maximum covered under federal insurance.
   - No more than 20% of the portfolio will be invested in a combination of federally insured and collateralized time deposits.
   - The maximum maturity does not exceed five (5) years.

M. Mutual Funds and Money Market Mutual Funds that are registered with the Securities and Exchange Commission under the Investment Company Act of 1940, provided that:
   a. MUTUAL FUNDS that invest in the securities and obligations as authorized under California Government Code, Section 53601 (a) to (k) and (m) to (q) inclusive and that meet either of the following criteria:
      (i) Attained the highest ranking or the highest letter and numerical rating provided by not less than two (2) NRSROs; or
      (ii) Have retained an investment adviser registered or exempt from registration with the Securities and Exchange Commission with not less than five years' experience investing in the securities and obligations authorized by California Government Code, Section 53601 and with assets under management in excess of $500 million.
      - No more than 10% of the total portfolio may be invested in shares of any one mutual fund.

b. MONEY MARKET MUTUAL FUNDS registered with the Securities and Exchange Commission under the Investment Company Act of 1940 and issued by diversified management companies and meet either of the following criteria:
   (i) Have attained the highest ranking or the highest letter and numerical rating provided by not less than two (2) NRSROs; or
   (ii) Have retained an investment adviser registered or exempt from registration with the Securities and Exchange Commission with not less than five years' experience managing money market mutual funds with assets under management in excess of $500 million.
   - No more than 20% of the total portfolio may be invested in the shares of any one Money Market Mutual Fund.

c. No more than 20% of the total portfolio may be invested in these securities.

N. Collateralized Bank Deposits. The City's deposits with financial institutions will be collateralized with pledged securities per California Government Code, Section 53651. There are no limits on the dollar amount or percentage that the City may invest in collateralized bank deposits.

O. Asset-Backed, Mortgage-Backed, Mortgage Pass-Through Securities and Collateralized Mortgage Obligations from issuers not defined in sections A. or D. of the Authorized Investments Section of this Policy, provided that:
   - The securities are rated in a rating category of "AA" or its equivalent or better by a NRSRO.
   - No more than 20% of the total portfolio may be invested in these securities.
   - No more than 5% of the portfolio may be invested in any single Asset-Backed or Commercial Mortgage security issuer.
   - The maximum legal final maturity does not exceed five (5) years.

P. Placer County Treasurer's Investment Pool. The City may invest in the Placer County Treasurer's Investment Pool established by the Placer County Treasurer for the benefit of local agencies. No more than 25% of the market value of the Fund may be invested in the Placer County Treasurer's Investment Pool.

2. PROHIBITED INVESTMENT VEHICLES AND PRACTICES
   A. State law notwithstanding, any investments not specifically described herein are prohibited, including, but not limited to futures and options.
   B. In accordance with Government Code, Section 53601.6, investment in inverse floaters, range notes, or mortgage derived interest-only strips is prohibited.

C. Investment in any security that could result in a zero interest accrual if held to maturity is prohibited. Under a provision sunsetting on January 1, 2026, securities backed by the U.S. Government that could result in a zero- or negative-interest accrual if held to maturity are permitted.
D. Trading securities for the sole purpose of speculating on the future direction of interest rates is prohibited.
E. Purchasing or selling securities on margin is prohibited.
F. The use of reverse repurchase agreements, securities lending or any other form of borrowing or leverage is prohibited.
G. The purchase of foreign currency denominated securities is prohibited.

Agencies that are not Qualified Institutional Buyers (QIB) as defined by the Securities and Exchange Commission are prohibited from purchasing Private Placement Securities. The SEC defines a QIB as having at least $100,000,000 in securities owned and invested.

VII. AUTHORIZED FINANCIAL INSTITUTIONS, DEPOSITORIES, AND BROKER/DEALERS

To the extent practicable, the City Treasurer shall endeavor to complete investment transactions using a competitive bid process whenever possible. The City Treasurer will determine which financial institutions are authorized to provide investment services to the City. It shall be the City's policy to purchase securities only from authorized institutions and firms.

The City Treasurer shall maintain procedures for establishing a list of authorized broker/dealers and financial institutions which are approved for investment purposes that are selected through a process of due diligence as determined by the City. Due inquiry shall determine whether such authorized broker/dealers, and the individuals covering the City are reputable and trustworthy, knowledgeable and experienced in Public Agency investing and able to meet all of their financial obligations. These institutions may include "primary" dealers or regional dealers that qualify under Securities and Exchange Commission (SEC) Rule 15c3-1 (uniform net capital rule).

In accordance with Section 53601.5, institutions eligible to transact investment business with the City include:

- Institutions licensed by the state as a broker-dealer.
- Institutions that are members of a federally regulated securities exchange.
- Primary government dealers as designated by the Federal Reserve Bank and non-primary government dealers.
- Nationally or state-chartered banks.

- The Federal Reserve Bank.
- Direct issuers of securities eligible for purchase.

Selection of financial institutions and broker/dealers authorized to engage in transactions will be at the sole discretion of the City, except where the City utilizes an external investment adviser in which case the Agency may rely on the adviser for selection.

All financial institutions which desire to become qualified bidders for investment transactions (and which are not dealing only with the investment adviser) must supply the City Treasurer with audited financials and a statement certifying that the institution has reviewed the California Government Code, Section 53600 *et seq*. and the City's investment policy. The City Treasurer will conduct an annual review of the financial condition and registrations of such qualified bidders.

Public deposits will be made only in qualified public depositories as established by State law. Deposits will be insured by the Federal Deposit Insurance Corporation, or, to the extent the amount exceeds the insured maximum, will be collateralized in accordance with State law.

Selection of broker/dealers used by an external investment adviser retained by the City will be at the sole discretion of the adviser. Where possible, transactions with broker/dealers shall be selected on a competitive basis and their bid or offering prices shall be recorded. If there is no other readily available competitive offering, best efforts will be made to document quotations for comparable or alternative securities. When purchasing original issue instrumentality securities, no competitive offerings will be required as all dealers in the selling group offer those securities at the same original issue price.

VIII. COLLATERALIZATION

CERTIFICATES OF DEPOSIT (CDS). The City shall require any commercial bank or savings and loan association to deposit eligible securities with an agency of a depository approved by the State Banking Department to secure any uninsured portion of a Non-Negotiable Certificate of Deposit. The value of eligible securities as defined pursuant to California Government Code, Section 53651, pledged against a Certificate of Deposit shall be equal to 150% of the face value of the CD if the securities are classified as mortgages and 110% of the face value of the CD for all other classes of security.

COLLATERALIZATION OF BANK DEPOSITS. This is the process by which a bank or financial institution pledges securities, or other deposits for the purpose of securing repayment of deposited funds. The City shall require any bank or financial institution to comply with the collateralization criteria defined in California Government Code, Section 53651.

REPURCHASE AGREEMENTS. The City requires that Repurchase Agreements be collateralized only by securities authorized in accordance with California Government Code:

- The securities which collateralize the repurchase agreement shall be priced at Market Value, including any Accrued Interest plus a margin. The Market Value of the securities that underlie a repurchase agreement shall be valued at 102% or greater of the funds borrowed against those securities.
- Financial institutions shall mark the value of the collateral to market at least monthly and increase or decrease the collateral to satisfy the ratio requirement described above.
- The City shall receive monthly statements of collateral.

IX. DELIVERY, SAFEKEEPING AND CUSTODY OF SECURITIES

DELIVERY-VERSUS-PAYMENT (DVP). All investment transactions shall be conducted on a delivery-versus-payment basis.

SAFEKEEPING AND CUSTODY. To protect against potential losses due to failure of individual securities dealers, and to enhance access to securities, interest payments and maturity proceeds, all cash and securities in the City's portfolio shall be held in safekeeping in the City's name by a third-party custodian, acting as agent for the City under the terms of a custody agreement executed by the bank and the City. All investment transactions will require a safekeeping receipt or acknowledgment generated from the trade. A monthly report will be received by the City from the custodian listing all securities held in safekeeping with current market data and other information.

The only exceptions to the foregoing shall be depository accounts and securities purchases made with: (i) local government investment pools; (ii) time certificates of deposit, and, (iii) mutual funds and money market mutual funds, since these securities are not deliverable.

X. RISK MANAGEMENT AND DIVERSIFICATION

MITIGATING CREDIT RISK IN THE PORTFOLIO

Credit risk is the risk that a security or a portfolio will lose some or all its value due to a real or perceived change in the ability of the issuer to repay its debt. The City will mitigate credit risk by adopting the following strategies:

1. The diversification requirements included in the "Authorized Investments" section of this policy are designed to mitigate credit risk in the portfolio.
2. No more than 5% of the total portfolio may be deposited with or invested in securities issued by any single issuer unless otherwise specified in this policy.
3. The City may elect to sell a security prior to its maturity and record a capital gain or loss in order to manage the quality, liquidity or yield of the portfolio in response to market conditions or the City's risk preferences.
4. If a security owned by the City is downgraded to a level below the requirements of this policy, making the security ineligible for additional purchases, the following steps will be taken:
    - Any actions taken related to the downgrade by the investment manager will be communicated to the City Treasurer in a timely manner.
    - If a decision is made to retain the security, the credit situation will be monitored and reported to the City Council.

MITIGATING MARKET RISK IN THE PORTFOLIO

Market risk is the risk that the portfolio value will fluctuate due to changes in the general level of interest rates. The City recognizes that, over time, longer-term portfolios have the potential to achieve higher returns. On the other hand, longer-term portfolios have higher volatility of return. The City will mitigate market risk by providing adequate liquidity for short-term cash needs, and by making longer-term investments only with funds that are not needed for current cash flow purposes.

The City further recognizes that certain types of securities, including variable rate securities, securities with principal paydowns prior to maturity, and securities with embedded options, will affect the market risk profile of the portfolio differently in different interest rate environments. The City, therefore, adopts the following strategies to control and mitigate its exposure to market risk:

- The City will maintain a minimum of six months of budgeted operating expenditures in short term investments to provide sufficient liquidity for expected disbursements.
- The maximum stated final maturity of individual securities in the portfolio will be five (5) years, except as otherwise stated in this policy.

The duration of the portfolio will generally be approximately equal to the duration (typically, plus or minus 20%) of a Market Benchmark, an index selected by the City based on the City's investment objectives, constraints and risk tolerances.

XI. REPORTING

Monthly Reporting

The City Treasurer shall provide to the City Council a monthly investment report detailing transactions in the City's portfolio as required per California Government Code section 53607.

Quarterly Reporting

The City Treasurer shall render a quarterly report to the City Council showing the type of investment, institution, date of maturity, amount of deposit, current market value for all securities with a maturity of more than twelve months, rate of interest, type and amount of funds under the management of contract investment managers, the City's compliance with the statement of investment policy, the ability of the City of meet cash flow needs for the next six months, and such data as may be required by the City Council as required per California Government Code section 53646.

Annual Reports

An annual report will be presented to the City Council. This report will include comparisons of the City's return to the market benchmark return, suggest policies and improvements that might enhance the investment program, and may include an investment plan for the coming year.

XII. INVESTMENT CONTROLS

The City Treasurer is responsible for establishing and maintaining an internal control structure designed to ensure that the assets of the entity are protected from loss, theft or misuse. The internal control structure shall be designed to provide reasonable assurance that these objectives are met. The concept of reasonable assurance recognizes that (1) the cost of a control should not exceed the benefits likely to be derived; and (2) the valuation of costs and benefits requires estimates and judgments by management.

XIII. POLICY ADOPTION

The City's Investment Policy shall be adopted by resolution of the City Council. The Policy shall be reviewed at least annually to ensure its consistency with the overall objectives of preservation of PRINCIPAL, LIQUIDITY, AND RETURN, and its relevance to current law, financial and economic trends, and to meet the needs of the City of Rocklin and any modifications made thereto must be approved by the City Council. The City's Investment Policy shall be submitted annually to the City Council.

GLOSSARY

ACCRUED INTEREST - Interest on a bond that has accumulated since the last interest payment. The buyer pays the seller the bond price plus accrued interest.

AGENCIES - Federal agency securities.

BANKER'S ACCEPTANCE - A time draft drawn on a bank and accepted by that bank as ultimate liability for payment. Used most often to finance import and export transactions. Banker's acceptances are also collateralized by the underlying merchandise being contracted in the import-export transaction. It is a money market instrument when guaranteed by a bank.

BASIS POINT - Smallest measure used in quoting yields on bonds and notes; one one-hundredth of a percentage point (.01 percent or .0001).

BOND - A debt instrument in which the investor lends money to the bond issuer, who agrees to pay a stated rate of interest over a specified period of time. Very simply a bond is nothing more than a promissory note which is traded in the financial markets. The investor's position is that of lender.

BOOK VALUE - The value at which the investor holds on their books as their invested amount in a security. This may be more or less' than the current face value of the security depending if the security was purchased at a price more or less than the face value.

BROKER - A broker brings buyers and sellers together for a commission paid by the initiator of the transaction or by both sides; he does not hold a position. In the money market, brokers are active in markets in which banks buy and sell money and in interdealer markets.

CAPITALIZATION - A firm's capital structure, composed of total securities issued by the corporation, including bonds, debentures, preferred and common stock, and all surpluses (retained earnings).

CERTIFICATE OF DEPOSIT (CD) (TIME DEPOSIT) - An interest bearing time deposit issued by banks with a specific maturity evidenced by a certificate. Large denomination CD's are typically negotiable.

CHURNING – excessive trading in a customer's account designed solely to increase broker's commissions.

COLLATERAL - Securities, evidence of deposit or other property which a borrower pledges to secure repayment of a loan. Also refers to securities pledged by a bank to secure deposits of public monies.

COLLATERALIZED OBLIGATIONS - Notes secured by collateral. The collateral is usually comprised of U.S. Government securities which are held by a third-party-trustee who also insures that adequate collateral is posted at all times.

COLLATERALIZED MORTGAGE OBLIGATION (CMO) - Derivative security created by dividing the cash flows of a pool of mortgages into obligations with different maturities.

COMMERCIAL PAPER - Unsecured, bearer obligations (maturity usually 270 days or less) issued by corporations, either at a discount and redeemed at par, or at par and pay interest.

COMMON STOCK - Units (shares) of ownership in a public corporation. Shareholders have control over corporate affairs through voting rights, and dividends are paid to common stockholders after preferred stock shareholders.

CONFIRMATION - A piece of paper detailing the conditions and terms of a transaction. Delivered to the customer on or before settlement. It serves as a bill for purchases and an advisory notice for sales.

CORPORATE BONDS - A debt instrument issued by a corporation which normally pays interest on specific dates and repays the principal at maturity.

COUPON - (a) The annual rate of interest that a bond's issuer promises to pay the bondholder on the bond's face value. (b) A certificate attached to a bond evidencing interest due on a payment date.

CREDIT RISK - The risk that an obligation will not be met in a timely fashion with the result being a temporary or even permanent loss to the lender.

DEALER - A dealer, as opposed to a broker, acts as a principal in all transactions, buying and selling for his own account.

DEBENTURE - A bond secured only by the general credit of the issuer.

DEBT TO EQUITY RATIO - Solvency ratio of total long-term debt to total stockholder's equity.

DELIVERY VERSUS PAYMENT - There are two methods of delivery of securities: delivery versus payment and delivery versus receipt (also called free). Delivery versus payment is delivery of securities with an exchange of money for the securities. Delivery versus receipt is delivery of securities with an exchange of a signed receipt for the securities.

DERIVATIVE - A broad term referring to any security which derives its value from another underlying asset or index.

DISCOUNT - The difference between the cost price of a security and its value at maturity when quoted at lower than face value. A security selling below original offering price shortly after sale also is considered to be at a discount.

DISCOUNT RATE - Interest rate that the Federal Reserve charges for loans to member banks.

DISCOUNT SECURITIES - Non-interest bearing money market instruments that are issued at a discount and redeemed at a maturity for full face value; i.e., U.S. Treasury bills.

DIVERSIFICATION - Spreading a portfolio across a range of security types, industries or geographic areas to limit risk.

FACE VALUE - The same as par value. Face value is the principal amount of a bond.

FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC) - A federal agency that insures bank deposits currently $250,000 per depositor.

FEDERAL FARM CREDIT BANK (FFCB) - Government instrumentality which issues notes and bonds to provide funds for its member banks for the purchase of farm land, equipment and commodities.

FEDERAL HOME LOAN BANKS (FHLB) - Government instrumentality consisting of twelve regional banks owned by savings and homestead associations which issue bonds and notes to finance home building and mortgage loans of their member associates.

FEDERAL HOME LOAN MORTGAGE CORPORATION (FHLMC OR FREDDIE MAC) - Debt issued by FHLMC, a government instrumentality, to facilitate real estate lending. FHLMC issues short-term debt backed by its own full faith and credit, and it issued long-term debt backed by mortgages with repayment guaranteed by FHLMC.

FEDERAL NATIONAL MORTGAGE ASSOCIATION (FNMA OR FANNIE MAE) – A government-sponsored-publicly-owned corporation whose stock trades on the New York Stock Exchange. FNMA issues short-term debt backed by its own full faith and credit. FNMA purchases FHA, VA, and FHDA mortgages through the sale of its corporate debenture notes. These notes are backed by the mortgages with repayment guaranteed by FNMA.

FLOATER - A floating rate security in which the interest note varies with an index such as U.S. Treasury Bills or LIBOR (London Interbank Offered Rate).

GENERAL OBLIGATION BOND (G.O.) - A municipal bond secured by the full faith and credit of the municipality.

GOVERNMENT NATIONAL MORTGAGE ASSOCIATION (GNMA OR GINNIE MAE) - Securities guaranteed by GNMA and issued by mortgage bankers, commercial banks, savings and loan associations and other institutions. Security holder is protected by full faith and credit of the U.S. Government. Ginnie Mae securities are backed by FHA, VA or FMHM mortgages. The term pass-through is often used to describe Ginnie Maes.

GUARANTEED INVESTMENT CONTRACTS (GICs) - An agreement acknowledging receipt of funds for deposit, specifying terms for withdrawal, and guaranteeing a rate of interest to be paid. Uninsured, non-guaranteed obligations of insurance companies, permitted for public investment in a few states. Risk varies according to the credit risk of the issuing company.

HEDGE/HEDGING - Technique designed to counteract the risk of loss from price changes in the market.

HOLDING COMPANIES - A corporation with controlling interest in one or more corporations.

INSTRUMENTALITIES - See U.S. government instrumentality obligations.

INTEREST - The sum charged for borrowing money.

INTEREST-ONLY SECURITY (I0) - Security derived from the interest rate portion of a pool of mortgage securities (separated from the principal portion).

INTEREST RATE RISK - The uncertainty of investment return due to changes in the market rates of interest. For investments that generate a fixed rate of return, the value of that investment is inversely related to the movement of market rates of interest.

INVERSE FLOATER - Structured note or derivative designed to rise in yield as interest rates fall. Also called reverse floater. Extremely volatile security not recommended for public funds.

INVESTMENT COMPANY ACT OF 1940 - Regulates investment companies.

LEVERAGE - Money borrowed to reinvest in order to increase the return on invested capital. A municipality may leverage funds through the issuance of taxable notes, reverse repurchase agreements and purchasing securities with embedded leverage. Leverage may be embedded inside a security or it may be applied to an investment portfolio as a whole.

LIQUIDITY - A liquid asset is one that can be converted easily and rapidly into cash without a substantial loss of value. In the money market, a security is said to be liquid if the spread between bid and asked prices is narrow and reasonable size can be done at those quotes.

LIQUIDITY RISK - The risk that a financial institution or other organization will not have sufficient cash on hand to meet its obligations; or in the case of a specific investment instrument, the risk that it will not be easily convertible to cash.

LOCAL GOVERNMENT INVESTMENT POOL (LGIP) - The aggregate of all funds from a political subdivision that are placed in the custody of the State Treasurer for investment and reinvestment.

LONG TERM - Securities held more than six months or bonds maturing in more than five years.
MARK TO MARKET - Adjustment of an account or portfolio to reflect actual market price rather than book price, purchase price or some other valuation.

MARKET MAKER - A broker/dealer maintaining an inventory in a security.

MARKET RATE OF RETURN - Return that is based on some market index that acts as a proxy for the market, such as the "Standard & Poor's 500" stock index, which is used to gauge the returns on individual securities or portfolios. The City uses as a benchmark the average return on two-year U.S. Treasury Notes.

MARKET RISK - The risk of a decline in the price of securities because of a general falling market.

MARKET VALUE - The price at which a security is trading and could presumably be purchased or sold.

MASTER REPURCHASE AGREEMENT - A written contract covering all future transactions between the parties to repurchase-reverse repurchase agreements that establishes each party's rights in the transactions. A master agreement will often specify, among other things, the right of the buyer-lender to liquidate the underlying securities in the event of default by the seller-borrower.

MATURITY - The date upon which the principal or stated value of an investment become due and payable.

MEDIUM-TERM NOTES - Issuers register with the SEC allowing for notes to be issued at various times, rates and maturities from one to ten years as the issuer's financing needs dictate.

MONEY MARKET - The market in which short-term debt instruments (bills, commercial paper, bankers' acceptances, etc.) are issued and traded.

MONEY MARKET FUND - An open-end investment company (mutual fund) that invests in money market instruments regulated by the Securities and Exchange Commission. It is a highly liquid investment that pays money market rates of interest.

MONEY MARKET INSTRUMENTS - Highly liquid debt instruments usually with maturities of one year or less. Money market instruments include repurchase agreements, reverse repurchase agreements, negotiable certificates of deposit, banker's acceptances, commercial paper, Treasury bills and other short-term direct obligations of U.S. government agencies and instrumentalities.

MORTGAGE BACKED SECURITY (MBS) - A pool of individual mortgages which is packaged and sold to investors at a specified coupon rate, maturity date, and face value. Individual mortgage loans are purchased from lenders by GNMA, FNMA, & FHLMC, thus converting the lenders loan assets to dollars, in turn making money available again for borrowing. GNMA, FNMA, & FHLMC then package the mortgage loans into pools, securitize them and offers them for sale in the securities market. In doing so, GNMA, FNMA, & FHLMC convert mortgages to dollars, enabling them to purchase additional individual mortgages for pooling. This conduit is used to reallocate funds, from the securities markets into the real estate market.

MUTUAL FUNDS - Investment company that pools funds from investors to buy stocks, bonds, government securities, money market instruments and other assets. Public investments, with the exception of pension funds, usually are restricted to mutual funds known as money market funds.

NATIONAL ASSOCIATION OF SECURITIES DEALERS - A not-for-profit organization of dealers whose aim is protecting over the counter customers through financial solvency and integrity.

NEGOTIABLE CERTIFICATE OF DEPOSIT - Time deposits which may be purchased and sold in the financial markets.

NET ASSET VALUE - Net redemption price (bid price) of an open-end investment company.

NO-LOAD MUTUAL FUNDS - Mutual funds offered directly to the public at net asset value with no sales charge.

NOTE - A written promise to pay a specified amount to a certain entity on demand or on a specified date.

OPEN MARKET OPERATIONS - Purchases and sales of government and certain other securities in the open market by the New York Federal Reserve Bank as directed by the FOMC in order to influence the volume of money and credit in the economy. Purchases inject reserves into the bank system and stimulate growth of money and credit; sales have the opposite effect. Open market operations are the Federal Reserve's most important and most flexible monetary policy tool.

PAR VALUE - The same as face value. Par value is the principal amount of a bond.

PERFECTED INTEREST - The legal right to interest and principal of securities held as collateral by a third-party safekeeping arrangement.
PORTFOLIO - Collection of securities held by an investor.

PREFERRED STOCK - Shareholders are entitled to a specified dividend and priority over assets in liquidation, but not voting rights.

PREMIUM - Bond purchase price which is greater than that of its face value.

PRIMARY DEALER - A group of government securities dealers that submit daily reports of market activity and positions and monthly financial statements to the Federal Reserve Bank of New York and are subject to its informal oversight. Primary dealers include Securities and Exchange commission (SEC), registered securities broker-dealers, banks, and a few unregulated firms.

PRIME BANKER'S ACCEPTANCE - A banker's acceptance issued by a bank that has received the highest short-term ratings from at least two' nationally recognized ratings organizations. It has a relatively short maturity (usually six months or less), and is eligible for discounting within the Federal Reserve system. Eligibility depends on a number of criteria including the purpose; timing and amount of the underlying transaction (See also "banker's acceptance").

PRIME COMMERCIAL PAPER - Commercial paper that has received the highest short-term ratings from at least two national ratings organizations (See also "commercial paper").

PRIME RATE - Interest rate charged by a bank on loans to its best customers.

PRINCIPAL - (1) The face amount on a bond. (2) The basic amount invested.

PRINCIPAL-ONLY SECURITY (P0) - Security derived from principal portion of a pool of mortgage securities (separated from the interest payments).

PROSPECTUS - A legal document that must be provided to any prospective purchaser of a new securities offering registered with the SEC that typically includes information on the issuer, the issuer's business, the proposed use of proceeds, the experience of the issuer's management, and certain certified financial statements (also known as an "official statement").

PRUDENT INVESTOR RULE - An investment standard. In some states, the law requires that a fiduciary, such as a trustee, may invest money only in a list of securities selected by the state—the so-called legal list. In other states the trustee may invest in a security if it is one which would be bought by a prudent person of discretion and intelligence who is seeking a reasonable income and preservation of capital.

RATE OF RETURN - The yield obtainable on a security based on its purchase price or its current

market price. This may be the amortized yield to maturity on a bond or the current income return.

REINVESTMENT RISK - The risk that maturing investments cannot be replaced by investments earning the same interest rate.

REPURCHASE AGREEMENT (RP or REPO) - A holder of securities sells these securities to an investor with an agreement to repurchase them at a fixed price on a fixed date. The security "buyer" in effect lends the "seller" money for the period of the agreement, and the terms of the agreement are structured to compensate him for this. Dealers use RP extensively to finance their positions. Exception: When the Fed is said to be doing RP, it is lending money that is increasing bank reserves.

SAFEKEEPING - A service to customers rendered by banks for a fee whereby securities and valuables of all types and descriptions are held in the bank's vault for protection.

SECONDARY MARKET - A market made for the purchase and sale of outstanding issues following the initial distribution.

SECURITIES & EXCHANGE COMMISSION (SEC) - Agency created by Congress to protect investors in securities transactions by administering securities legislation.

SECURITY INVESTOR PROTECTION CORPORATION (SIPC) - A non-profit organization of broker/dealers responsible for orderly liquidation of failed firms. Customers are protected to $500,000 per account.

SHORT TERM - Securities held six months or less. Bonds with maturities of one year or less.

SMALL BUSINESS ADMINISTRATION LOANS (SBA) - Loans made by banks to small business, which are then sold to the SBA who offers them for sale in the financial markets. Loans are purchased by the SBA to facilitate lending for small business enterprises.

STRIPS – Separate trading of registered interest and principal securities

STRUCTURED NOTE - Complex customized security (also known as structured derivative) on which the returns are pegged to underlying assets or financial indices.

SUPRANATIONALS – International development institutions that provide financing, advisory services, and/or other financial services to their member countries to achieve overall goal of improving living standards through sustainable economic growth.

TAX AND REVENUE ANTICIPATION NOTES (TRANs) - Notes issued in anticipation of receiving tax proceeds or other revenues at a future date.

TOTAL RISK-BASED CAPITAL RATIO, TIER 1 RISK-BASED CAPITAL RATIO & TIER 1 LEVERAGE RATIO - In response to the FDIC Improvement Act of 1991 (FDICIA), the FDIC and the Federal Reserve Board both proposed a five-tier scheme of capital requirements and corresponding supervisory actions to implement the prompt corrective actions provisions of the FDICIA. These ratios serve as benchmarks for the five capital categories: well capitalized, adequately capitalized, undercapitalized, significantly undercapitalized, and critically undercapitalized.

Well capitalized institution would be defined as one that has a total risk-based capital ratio of ten percent, a Tier 1 risk-based capital ratio of six percent and a leverage ratio of five percent. A well-capitalized institution cannot be subject to any written capital order or directive.

An adequately capitalized institution would be one with a total risk-based capital ratio of eight percent, a Tier 1 risk-based capital ratio of four percent, or a Tier 1 leverage ratio of four percent.

An undercapitalized institution would be one that does not meet the capital levels needed to be adequately capitalized. A significantly undercapitalized institution, however, would be one that has a total risk-based capital ratio of under six percent, a Tier 1 risk-based capital of under three percent, or a Tier 1 leverage ratio of under three percent.

Finally, the agencies proposed to classify any institution as critically undercapitalized if it has a Tier 1 leverage ratio of two percent or less.

TREASURY BILLS - A short-term U.S. government security, also known as a T-bill, issued in minimum denominations of $10,000 with maturities of 13 weeks, 26 weeks and 52 weeks. T-bills bear no specific interest rate. They are sold at a discount to face value; their yield, therefore, varies with the difference between the purchase price and the value at maturity.

TREASURY BOND - Long-term, coupon-bearing U.S. government security, usually issued in denominations of $1,000 or more and having maturities of 10 or more years. Interest is paid every six months at a rate of one-half the annual coupon; principal is paid at maturity.

TREASURY NOTES - Medium-term, coupon-bearing U.S. government security, usually issued in denominations of $1,000 or more and having maturities of one to 10 years. Interest is paid every six months at a rate of one-half the annual coupon; principal is paid at maturity.

TRUSTEE OR TRUST COMPANY OR TRUST DEPARTMENT OF A BANK – A financial institution with trust powers which acts in a fiduciary capacity for the benefit of the bondholders in enforcing the terms of the bond contract.

UNDERWRITER - A dealer which purchases a new issue of municipal securities for resale.

UNIFORM NET CAPITAL RULE - SEC requirement that member firms as well as nonmember broker-dealers in securities maintain a maximum ratio of indebtedness to liquid capital of 15 to 1; also called *net capital rule* and *net capital ratio*. Indebtedness covers all money owed to a firm, including margin loans and commitments to purchase securities, one reason new public issues are spread among members of underwriting syndicates. Liquid capital includes cash and assets easily converted into cash.

U.S. GOVERNMENT AGENCY OBLIGATIONS (AGENCIES) - Securities issued by federal agencies other than the U.S. Treasury including: the Government National Mortgage Association (GNMA or Ginnie Mae), Small Business Administration (SBA), General Services Administration (GSA) and Federal Housing Administration (FHA). Some investors include debt instruments issued by government-sponsored corporations and related instrumentalities in the term "agencies." However, technical differences make this usage incorrect. In most cases, the U.S. government explicitly guarantees principal and interest of agency debt but not debt of instrumentalities and government sponsored corporations.

U.S. GOVERNMENT INSTRUMENTALITY OBLIGATIONS (INSTRUMENTALITIES) - Securities issued by government-sponsored corporations including: the Student Loan Marketing Association (Sallie Mae), World Bank, Federal National Mortgage Association (Fannie Mae), Federal Farm Credit Banks (FFCB), Federal Home Loan Mortgage Association (Freddie Mae) and Federal Home Loan Banks (FHLB). Principal and interest are guaranteed only by the corporations, not the U.S. government.

WEIGHTED AVERAGE MATURITY (WAM) - The average maturity of all the securities that comprise a portfolio that is typically expressed in days or years.

YIELD - The rate of annual income return on an investment, expressed as a percentage. (a) Income Yield is obtained by dividing the current dollar income by the current market price for the security. (b) Net Yield or Yield to Maturity is the current income yield minus any premium above par or plus any discount from par in purchase price, with the adjustment spread over the period from the date of purchase to the date of maturity of the bond.

YIELD CURVE - A graphic representation that shows the relationship at a given point in time between yields and maturity for bonds that are identical in every way except maturity.

ZERO-COUPON SECURITY (ZEROS) - A security on which all interest is paid in a lump sum at maturity, thus eliminating the reinvestment risk of interest paid out over the life of the security. Prices are extremely interest rate sensitive and volatility increases with maturity (See also "zero-coupon treasury bonds").

ZERO-COUPON TREASURY BOND - Security formed by stripping coupons from a treasury bond so that an investor can buy a single coupon or the principal payment at present value. Market risk is high, particularly for longer maturities. Variations include STRIPS (Separate Trading: of Registered Interest and Principal), CATS (Certificate of Accrual on Treasury Securities), TIGRS (Treasury Income Growth Receipts) and others.

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: City of Rocklin 2023-2025 Strategic Plan

Date: May 9, 2023

Submitted By: Aly Zimmermann, City Manager

Department: City Manager's Office

Recommendation:
Affirm the Outcomes of the 2023-2025 Strategic Planning Process, and Provide Direction to Staff on the Proposed Two-Year Work Plan Items.

Background:

On March 22, 2023 the City Council participated in a Strategic Planning Session, with staff and members of the community also participating. Consultant Julia Novak led the City Council through exercises to identify expectations, belief statements, and ultimately the development of 5 “pillars”, or strategic outcomes for the next two fiscal years. Subsequently, the City Council, staff and community members collaborated on the preparation of directional statements to coincide with those strategic outcomes. The 5 Pillars and directional statements are included as Attachment 1.

Following the workshop, staff has been developing two-year programs and project goals that align with City Council's direction. Attachment 2 shows the 5 Pillars, directional statements, and staff-identified work plan items that will be the focus of staff and other resource priorities over the next two fiscal years. The work plan items represent big picture, high priority projects and programs that align with the 5 pillars, and were identified based on research and technical analysis by staff.

Staff seeks direction from the City Council regarding the proposed two-year work plan items. Based on that direction, projects and programs will be incorporated into the City’s draft Operating and Capital Improvement budgets for the next two fiscal years. Updates will be provided as part of the quarterly budget updates received by the City Council.

Fiscal Impact:
There is no direct fiscal impact associated with the 2023-2025 Strategic Plan; however, funding to achieve specific projects will be included in the City’s Operating Budget over the next two fiscal years.

ATTACHMENTS:

1. Rocklin 2023-2023 Strategic Goals
2. Rocklin 2023-2025 Strategic Goals Workplan

CITY OF ROCKLIN

Our Pillars

ROCKLIN
CALIFORNIA



Safe

Rocklin residents feel comfortable walking and biking throughout the community, enjoying parks and public spaces, and know that the City is prioritizing the safety, health and well-being of its community. Rocklin invests in public safety by providing resources and technology to consistently reduce crime and ensure the City remains safe. The City partners with nonprofits, social service agencies, and the community to address the root causes of crime and social instability.



Livable

Rocklin is responsive to the unique and emerging needs of the community and offers a quality of life that supports health, happiness and prosperity to all. This results in a community where residents have access to diverse parks, unique amenities, inviting gathering spaces, quality events, and exceptional educational opportunities.



Vibrant

Rocklin stimulates a vibrant and diverse community through technology and efficient planning that is flexible and responsive. Rocklin's business friendly environment promotes economic vitality, welcoming new and supporting existing businesses through excellent customer service.



Resilient

Rocklin is resilient and seeks ways to assist the community, businesses and citizens to be adaptable in the face of a changing world. The community is connected through a network of roadways, trails, and sidewalks that allow people and goods to move safely and efficiently throughout the City. Rocklin's infrastructure is designed, built, and maintained to withstand and quickly recover from disasters and disruptions.



Sustainable

Rocklin seeks opportunities to diversify its funding sources to ensure a strong fiscal foundation. Intentional long-term financial planning ensures community success and supports the city's quality of life.

City of Rocklin 2023-25 Strategic Planning Framework

The City Council recently reviewed and established priorities for the next two years at the March 22, 20223 Council Retreat. A Council priority is defined as a topic that will receive significant attention and budget consideration during the year. Typically, priorities have one to three-year time limit, although some may continue beyond that time.

On Wednesday, March 22, 2023, the City Council selected the following "5 Pillars" to include as categories for its highest priorities:





Rocklin is resilient and seeks ways to assist the community, businesses and citizens to be adaptable in the face of a changing world. The community is connected through a network of roadways, trails, and sidewalks that allow people and goods to move safely and efficiently throughout the City. Rocklin's infrastructure is designed, built, and maintained to withstand and quickly recover from disasters and disruptions.

Two-Year Work Plan Items:

- Construct and staff a 4th Rocklin Fire Station south/east of Interstate 80
- Update the City's drainage infrastructure master plan and prioritize critical improvements
- Explore opportunities to improve utility services to Rocklin residents and business owners and communicate those processes with the community
- Update the City's ADA Transition Plan
- Establish a 3-year Pavement Management Program
- Create and Implement a Strategic Plan for Information Technology services
- Strengthen Interagency Relationships (FEMA, Caltrans, CalOES, Army Corps, CDFW, etc.)
- Identify innovative solutions to infrastructure maintenance and enhancements
- Implement programs to reduce fire loss and increase community preparedness



Rocklin stimulates a vibrant and diverse community through technology and efficient planning that is flexible and responsive. Rocklin's business friendly environment promotes economic vitality, welcoming new and supporting existing businesses through excellent customer service.

Two-Year Work Plan Items:

- Continue implementation of the adopted Economic Development Strategy and support efforts to recruit, retain and expand local businesses
- Invest in technology that supports economic development efforts
- Nurture efforts to establish the Quarry District as a regional destination
- Establish a small business outreach program with CDD to assist business owners with planning, permitting, and inspections of new and tenant improvement projects
- Create and promote events and activities that will attract outside visitors and overnight stays
- Support the newly created Arts Commission's efforts:
  - To review, update, and implement elements of the 2016 Rocklin Public Art Master Plan
  - To advise the city council on all matters affecting arts and culture of the city
  - To encourage programs in the arts and to promote the cultural enrichment of the community;
  - To establish an effective liaison between the city, county, and local cultural and artistic groups



Rocklin is responsive to the unique and emerging needs of the community and offers a quality of life that supports health, happiness and prosperity to all. This results in a community where residents have access to diverse parks, unique amenities, inviting gathering spaces, quality events, and exceptional educational opportunities.

Two-Year Work Plan Items:

- Update the City's Zoning Code
- Use master planning processes to guide future development of parks that provide the community with amenities that currently are not offered within Rocklin's park system
- Increase recreation programing to ensure programs are offered to all ages and abilities within the community
- Identify critical gaps in City Trails within the public Right Of Way
- Complete the Oak & Pine affordable housing project and support efforts to increase the availability of affordable housing
- Continue to work with regional partners to address homelessness in the City



Rocklin seeks opportunities to diversify its funding sources to ensure a strong fiscal foundation. Intentional long-term financial planning ensures community success and supports the city's quality of life.

Two-Year Work Plan Items:

- Evaluate opportunities to create new sources of revenue to support critical City services
- Implement a new Records Management enterprise system that is user friendly for both City staff and the public
- Implement a Section 115 Trust to better address CalPERS obligations
- Implement a two-year operating budget
- Update the City's Purchasing Code
- Update Infrastructure Reserve Studies
- Implement comprehensive workforce development and succession planning programs
- Establish plans for reaching 100% funded for the City's facility and fleet replacement reserves



Rocklin residents feel comfortable walking and biking throughout the community, enjoying parks and public spaces, and know that the City is prioritizing the safety, health, and wellbeing of its community. Rocklin invests in public safety by providing resources and technology to consistently reduce crime and ensure the City remains safe. The City partners with nonprofits, social service agencies, and the community to address the Root causes of crime and social instability.

Two-Year Work Plan Items:

- Maintain, improve, and expand City partnerships that aid in crime prevention and creating a safe community
- Continue public education efforts regarding prevention of crimes of opportunity
- Evaluate the creation of a Real Time Crime Center
- Improve Interoperability between law enforcement, fire, and EMS agencies
- Update antiquated Fire station alerting systems
- Reduce Interagency Response Times through improved technology
- Improve Cardiac Arrest Survivability by deploying citizen CPR public education and increasing AED distribution