ROCKLIN CITY COUNCIL

City Council Regular Meeting
City Hall - Council Chambers
3970 Rocklin Rd. Rocklin, CA 95677
Website: www.rocklin.ca.us

June 27, 2023
General Session at 6:00 p.m.

AMENDED AGENDA
*Item 5.A Whitney Park Pump Track Design Review has been modified post-publication. Please see updated recommendation and accompanying attachments as well as staff report.

KEN BROADWAY, *Mayor*
GREG JANDA, *Vice Mayor*
DAVID BASS, *Council Member*
JILL GAYALDO, *Council Member*
BILL HALLDIN, *Council Member*

ALY ZIMMERMANN, *City Manager*
DANIEL CUCCHI, *Interim City Attorney*
HOPE ITHURBURN, *City Clerk*
DANIEL CHOE, *City Treasurer*

MEETING PROCEDURES AND STANDARDS OF DECORUM

For items listed on the agenda, any person may address the City Council at the time the item is considered. Speakers are requested to restrict their comments to the item as it appears on the agenda and stay within the required five minute time limit, unless the time is adjusted by the Mayor.

For items not listed on the agenda, any person may do so under Citizens Addressing the City Council. Speakers are limited to five minutes and the item must be under the jurisdiction of the Rocklin City Council. As a reminder, the Brown Act does not permit the City Council to take action on items brought up under Citizens Addressing the City Council.

Whenever any group of persons wishes to address the City Council on the same subject matter, it shall be proper for the Mayor to request that a spokesperson be chosen.

Although not required, speakers are requested to identify themselves by stating their name and city of residence for the official record. Time will be monitored on the lectern. When the time reaches zero, please be seated.

Any person who disrupts the meeting of the City Council, may be barred by the Mayor from further audience before the City Council during that meeting.

All remarks shall be addressed to the City Council as a body and not to any member thereof, or to staff, or to the public. No person, other than a member of the City Council, the City Manager or the City Attorney and the person having the floor, shall be permitted to enter into any discussion without the permission of the presiding officer.

WRITINGS RECEIVED AFTER AGENDA POSTING

Any writing related to an agenda item for the open session of this meeting distributed to the City Council, Public Financing Authority or Successor Agency less than 72 hours before this meeting is

available for inspection at City Hall, 3970 Rocklin Road, Rocklin, during normal business hours. These writings will also be available for review at the council meeting in the public access binder located on the table at the back of the Council Chambers. If you have questions related to this agenda, please call (916) 625-5560.

AMERICANS WITH DISABILITIES ACT

In compliance with the Americans with Disabilities Act, the City of Rocklin encourages those with disabilities to participate fully in the public hearing process. If you have a special need in order to allow you to attend or participate in our public meeting and public hearing processes, including receiving notices, agendas, and other writings in appropriate alternative formats, please contact our office at (916) 625-5560 well in advance of the public meeting or public hearing you wish to attend so that we may make every reasonable effort to accommodate you.

ELECTRONIC PRESENTATIONS

All persons with electronic presentations for public meetings will be required to bring their own laptop or other form of standalone device that is HDMI or VGA compatible. It is further recommended that presenters arrive early to test their presentations. The City is not responsible for the compatibility or operation of non-city devices or the functionality of non-city presentations.

POSTING OF AGENDA

In accordance with Government Code Section 54954.2(a) this agenda was posted on the City's bulletin board at City Hall, 3970 Rocklin Road, Rocklin, and City of Rocklin website at www.rocklin.ca.us.

AGENDA

SPECIAL MEETING INSTRUCTIONS

The public may listen to audio or view the meeting via live stream at https://www.rocklin.ca.us/agendas-minutes.

The public is welcome to attend in person or participate virtually.

For those that do not plan on attending in person, but wish to make a public comment during the meeting, public comments will be accepted in email form up until 3:00 p.m. on the meeting day.

Those wishing to make a public comment in person, although not required, speakers are requested to complete a speaker card and present to the City Clerk.

Public comments should be submitted to the City Clerk at PublicComments@rocklin.ca.us.

Emailed public comments will be distributed to City Council and treated as a public record.

This meeting will also be offered via Zoom Webinar and the public is welcome to make a public comment through Zoom following the steps below:

How To Make A Public Comment By Zoom

When you join the meeting, you will be muted by default. To inform the City Clerk that you would like to make a public comment, you will use the “Raise Hand” feature. Information on how to use the raise hand feature can be found on the Zoom website here: https://support.zoom.us/hc/en-us/articles/205566129-Raising-your-hand-in-a-webinar.

Zoom Participation Via Computer Or Smartphone

You can join the meeting from your computer using a web browser or the Zoom software (if it is installed). You can also join the meeting using the Zoom app on your Apple IOS or Android device. Click the link below to join the Zoom meeting:

https://us02web.zoom.us/j/83691868590?pwd=TXAzNzlvWjVMSFQwZ2JaanNHNU9lQT09

Zoom Participation By Telephone (Audio Only Option)

1. Dial (669) 900-6833.
2. When asked for the meeting ID, enter **836 9186 8590#**.
3. When asked for your participant ID, press # to continue.
4. When asked for the meeting password, enter **805589#**.
5. You will be muted by default. To inform the City Clerk that you would like to make a public comment, dial *9 to "Raise your hand". The Clerk will unmute you when it is your turn to speak.

For those requiring a modification or accommodation under the Americans with Disabilities Act, please contact Hope Ithurburn, City Clerk at (916) 625-5564 or via email at Hope.Ithurburn@rocklin.ca.us.

CALL TO ORDER

PLEDGE OF ALLEGIANCE

ROLL CALL

AGENDA REVIEW

CITIZENS ADDRESSING THE CITY COUNCIL

1. REPORTS FROM CITY COUNCIL AND CITY MANAGER

2. CONSENT CALENDAR

2.A Minutes of the Regular Meeting of the City Council, June 13, 2023

Recommendation: Approve the Minutes of the Regular Meeting of the City Council, June 13, 2023.

Staff Presentation by Hope Ithurburn, City Clerk

2.B Fiscal Year 2023/24 Community Facilities Districts Bond Debt Service Fund Budgets and Collection of Special Taxes on the 2023/24 Tax Roll

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin Adopting Budgets for Community Facilities Districts Bond Debt Service Funds for Fiscal Year 2023/24.
B. Adopt a Resolution of the City Council of the City of Rocklin Requesting the Collection of Special Taxes on the 2023/24 Tax Roll.

Staff Presentation by Megan Bressem, Management Analyst

2.C Purchasing Goods and Services through Cooperative Purchase Agreements for Fiscal Year 2023/24

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Authorizing the City Manager to Purchase Goods and Services through Cooperative Purchase Agreements for Fiscal Year 2023/24.

Staff Presentation by Megan Bressem, Management Analyst

2.D Quarterly Financial Report for the Quarter Ended March 31, 2023, and Amending the Fiscal Year 2022/23 Budget

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Accepting the Quarterly Financial Report for the Quarter Ended March 31, 2023, and Amending the Fiscal Year 2022/23 Budget.

Staff Presentation by Daniel Choe, Deputy Director of Administrative Services

2.E Police, PSM, and Fire Salary Schedule Adoption

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Adopting the Revised Salary Schedules for Rocklin Police Officers' Association, Rocklin Police Officers' Association Public Safety Managers' Bargaining Unit, and Rocklin Firefighter's Union, Local 3847.

Staff Presentation by Elise Hardy, Management Analyst

2.F Rocklin Fire Department - Annual Mandatory Inspections Reporting

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Acknowledging the City of Rocklin Fire Department's Compliance with Sections 13146.2 and 13146.3 of the California Health and Safety Code.

Staff Presentation by Ryan Brayton, Deputy Fire Chief

2.G Fire Station Alerting System

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Approving the Use of the National Purchasing Partners Cooperative Purchasing Agreement with US Digital Designs, Inc. for the Fire Station Alerting System Purchase; and Authorize the City Manager to Make the Purchase.

Staff Presentation by Chris Hertel, Fire Battalion Chief

2.H Mow and Landscape Services in City Parks

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Awarding the Contract for Parks Mow and Landscape Services to Dominguez Landscape Services, Inc., and Authorizing the City Manager to Execute the Agreement.

Staff Presentation by Kevin Huntzinger, Parks and Recreation Director

2.I Cooperative Agreements with Caltrans for the I-80/Rocklin Road Interchange and Acceleration Lane

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin Approving the Cooperative Agreement with Caltrans to Improve Interstate 80/Rocklin Road Interchange and to Improve Pedestrian and Bicycle Access, Increase Movement of People and Goods, and Enhance Safety and Authorizing the City Manager to Execute the Cooperative Agreement.
B. Adopt a Resolution of the City Council of the City of Rocklin Approving the Cooperative Agreement with Caltrans to Add an Additional Westbound On-Ramp Acceleration Lane at Rocklin Road to Interstate 80 and Authorizing the City Manager to Execute the Cooperative Agreement.

Staff Presentation by Matt McClure, Public Services Manager

2.J Updated Quality Assurance Program Manual for use on Federally Funded Project

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Adopting the Quality Assurance Program (QAP) Manual for Use on Federally Funded Capital Improvement Projects.

Staff Presentation by Justin Nartker, Director of Public Services

2.K Notice of Exemption and Agreement for Fire Station No. 24 & Fire Station No. 25 Security

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin approving a Notice of Exemption for the Fire Station No. 24 & Fire Station No. 25 Security.
B. Adopt a Resolution of the City Council of the City of Rocklin Awarding the Contract for the Fire Station No. 24 & Fire Station No. 25 Security to Swierstok Enterprise Inc. DBA Pro Builders and Authorizing the City Manager to Execute an Agreement with Swierstok Enterprise Inc. DBA Pro Builders.

Staff Presentation by Matt McClure, Public Services Manager

2.L Department of California Highway Patrol Grant Award

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Accepting the Department of California Highway Patrol Cannabis Tax Fund Grant and Authorizing the City Manager to Execute a Grant Agreement.

Staff Presentation by Jonas Wilks, Sergeant

2.M Objective Design Standards / Zoning Ordinance Amendment, ZOA2023-0001 (Second Reading)

Recommendation: Adopt an Ordinance of the City Council of the City of Rocklin to Amend Section 17.72.020 of the Rocklin Municipal Code to Address Objective Design Standards (Objective Design Standards / ZOA2023-0001).

Staff Presentation by Bret Finning, Planning Services Manager

2.N Grant Agreement with The Gathering Inn for Homelessness Prevention and Rapid Rehousing Services (FY 2023/24)

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Authorizing the City Manager to Execute a Grant Agreement with The Gathering Inn for Homelessness Prevention and Rapid Rehousing Services (FY 2023/24).

Staff Presentation by Laura Webster, Director of Long Range Planning and Housing

2.O Final Map Time Extensions / Subdivision Ordinance Amendment, ZOA2023-0002 (Second Reading)

Recommendation: Adopt an Ordinance of the City Council of the City of Rocklin Amending Title 16 of the Rocklin Municipal Code to Repeal and Re-Enact Sections 16.20.060 - Extension of Deadline and 16.24.040 - Failure to File – Extensions (ZOA2023-0002).

Staff Presentation by Dara Dungworth, Senior Planner

2.P Properties Along Outlook Drive

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Directing the City Manager to Negotiate and Execute Purchase and Sale Agreements for Portions of that Certain Real Property Designated as APN 017-350-057 within the Stanford Ranch Phase II Subdivision that are Adjacent to APNs 369-040-013, -14, -015, -016, -017, -018, -019, -020, -021 and -022 and APNs 369-050-001 and -003.

Staff Presentation by David Mohlenbrok, Community Development Director

3. SPECIAL PRESENTATIONS

4. PUBLIC HEARINGS

4.A Special Assessments for Delinquent Weed Abatement Charges

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin Imposing Special Assessments Against Certain Parcels for Delinquent Weed Abatement Charges.
B. Adopt a Resolution of the City Council of the City of Rocklin Requesting the Collection of Specials Assessments on the 2023/24 Tax Roll (Weed Abatement Charges).

Staff Presentation by Angela Doyle, Senior Accountant

4.B Annexation Into Community Facilities District No. 1 (Maverik Gas Station, APN 017-283-047-000)

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin of Annexation of Territory to Community Facilities District No. 1 (Annexation No. 78), Authorizing the Levy of a Special Tax, and Submitting Levy of Tax to Qualified Electors (Maverik Gas Station, APN 017-283-047-000).

B. Adopt a Resolution of the City Council of the City of Rocklin Declaring Results of Special Annexation Election, Determining Validity of Prior Proceedings, and Directing Recording of Amended Notice of Special Tax Lien Pertaining to Community Facilities District No. 1 (Annexation No. 78) (Maverik Gas Station, APN 017-283-047-000).

Staff Presentation by Megan Bressem, Management Analyst

4.C Fiscal Year 2023/24 Budget and the 2024-2028 Capital Improvement Plan

Recommendation: Adopt a Resolution of the City Council of the City of Rocklin Adopting the Fiscal Year 2023/24 Budget and the 2024-2028 Capital Improvement Plan.

Staff Presentation by Daniel Choe, Deputy Director of Administrative Services

4.D Solid Waste Collection Service (Recology) Rate Increase

Recommendation: A. Conduct a public hearing to hear testimony regarding the proposed amended Solid Waste Collection Service Rates and, if there is no majority protest;

B. Adopt a Resolution of the City Council of the City of Rocklin Amending Solid Waste Collection Service Rates within the Incorporated Limits of the City of Rocklin and Rescinding Resolution No. 2022-150.

Staff Presentation by Justin Nartker, Public Services Director

5. DISCUSSION ITEMS

5.A Whitney Park Pump Track Design Review

Recommendation: Review and Approve the Final Design for the Whitney Park Pump Track and Prioritize the Project Components.

Staff Presentation by Kevin Huntzinger, Director of Parks and Recreation

5.B Commemorative Tree and Bench Donation Appeal

Recommendation: Reject the Commemorative Tree and Bench Donation Application Appeals Submitted by Barry Goodwin

Staff Presentation by Kevin Huntzinger, Director of Parks and Recreation

6. FUTURE AGENDA ITEMS

7. PUBLIC FINANCING AUTHORITY

7.A Public Financing Authority of the City of Rocklin Adopting a Budget for Fiscal Year 2023/24

Recommendation: Adopt a Resolution of the Public Financing Authority of the City of Rocklin Adopting a Budget for Fiscal Year 2023/24.

Staff Presentation by Megan Bressem, Management Analyst

ADJOURNMENT

City Council Staff Report

Subject: Minutes of the Regular Meeting of the City Council, June 13, 2023

Date: June 27, 2023

Submitted By: Hope Ithurburn, City Clerk

Department: City Clerk's Office

Recommendation:
Approve the Minutes of the Regular Meeting of the City Council, June 13, 2023.

ATTACHMENTS:
1. DRAFT Meeting Minutes 06.13.2023

REGULAR MEETING OF THE
ROCKLIN CITY COUNCIL

June 13, 2023
CLOSED SESSION at 5:00 p.m.
GENERAL SESSION at 6:00 p.m.

Council Chambers
3970 Rocklin Road, Rocklin, CA 95677
www.rocklin.ca.us

MEETING MINUTES
-DRAFT-

CALL TO ORDER
Mayor Broadway called the meeting to order at 5:00 p.m.

ROLL CALL
Councilmembers: David Bass, Bill Halldin, Jill Gayaldo, Greg Janda (absent – excused), Mayor Ken Broadway

PUBLIC COMMENT ON CLOSED SESSION
None.

1. CLOSED SESSION

1.A Pursuant to Government Code Section 54957.6, Conference with Labor Negotiators, Agency Representatives: City Manager Aly Zimmermann, Director of Human Resources Tameka User, and Jack Hughes; Employee Organization: City of Rocklin Public Service Employees, represented by AFSCME Council 57, Local 146 AFL-CIO.

1.B Pursuant to Government Code section 54957: Public Employment, Title: City Attorney

Seeing no public comment, Mayor Broadway called for Closed Session at 5:02 p.m.

Closed Session ended at 6:08 p.m. with nothing to report with Council providing direction to staff.

Mayor Broadway called for a recess at 6:08 p.m.; the meeting reconvened at 6:13 p.m.

PLEDGE OF ALLEGIANCE
Mayor Broadway led the pledge of allegiance.

ROLL CALL
Councilmembers: David Bass, Bill Halldin, Jill Gayaldo, Greg Janda (absent – excused), Mayor Ken Broadway

AGENDA REVIEW
None.

CITIZENS ADDRESSING THE CITY COUNCIL

James Davis, spoke on traffic concerns at Stanford Ranch Road and safe driving communication efforts at local schools.

Michele Vass, Friends of the Library provided an update to Council on Placer County funding for the library.

Scott Yuill, Rocklin Public Safety Foundation provided an update to Council on recent efforts of the Foundation.

2. REPORTS FROM CITY COUNCIL AND CITY MANAGER

City Council Reports

Councilmember Halldin reported attendance at a Greater Sacramento Economic Council (GSEC) retreat event, the Veteran of Foreign Wars Memorial Day event, the Take Note Troupe Shakespeare in the Park free event, and a Yankee Hill neighborhood meeting.

Councilmember Gayaldo reported touring the County's Mobile Temporary Shelter shelter in Auburn, attendance at the Veteran of Foreign Wars Memorial Day event, a Sacramento Area Council of Governments (SACOG) transportation meeting, promoted the upcoming SACOG strategic planning transportation meeting in Folsom on June 16th, and congratulated Rocklin Donuts & Cinnamon in being recognized by Yelp as the #1 doughnut shop in the United States, and recognized also promoted Jasmines Donuts.

Councilmember Bass reported attendance at the Veteran of Foreign Wars Memorial Day event, a Pioneer Community Energy Board workshop, and expressed thanks to the business outreach efforts by the Rocklin Police Department and Rocklin Unified School District in relation to deterring vandalism.

Mayor Broadway reported attendance at a Placer County Transportation Planning Agency (PCTPA) board meeting, Western Placer Waste Management Authority (WPWMA) board meeting, and a Whitney Oaks Homeowner's Association meeting.

City Manager Report

City Manager Aly Zimmermann provided an update on a Citywide asphalt repair project and promoted the Rocklin Small Business Retention Program application program set to open on Thursday, June 15th.

3. CONSENT CALENDAR

3.A Approved the meeting minutes of the Regular Meeting of the City Council, May 23, 2023.

3.B Resolution No. 2023-75 of the City Council of the City of Rocklin Authorizing the City to Approve a Use of the NASPO ValuePoint Cooperative Purchasing Agreement with Dell, Inc. for the Server Purchases.

3.C Received the Treasurer's Report for the Quarter Ending March 31, 2023.

3.D Ordinance No. 1164 of the City Council of the City of Rocklin Approving and Adopting a Military Equipment Use Policy for Fiscal Year 2023/24.

3.E Pulled for discussion.

3.F Resolution No. 2023-77 of the City Council of the City of Rocklin Adopting the Project List for Fiscal Year 2023/24 funded by Senate Bill 1: the Road Repair and Accountability Act of 2017.

3.G Notice of Exemption and Contract for the Council Chambers Reconfiguration Project

A. Resolution No. 2023-78 of the City Council of the City of Rocklin Approving a Notice of Exemption (Council Chambers Reconfiguration).

B. Resolution No. 2023-79 of the City Council of the City of Rocklin Awarding the Contract for the Council Chambers Reconfiguration to Building Concepts Construction and Authorizing the City Manager to Execute the Agreement with Building Concepts Construction

3.H Ordinance No. 1165 of the City Council of the City of Rocklin Approving the Eighth Amendment to the Development Agreement for Whitney Ranch (Whitney Ranch Development Agreement 8th Amendment/DA-2001-01H).

3.I Resolution No. 2023-80 of the City Council of the City of Rocklin Approving and Authorizing the City Manager to Execute the Small Cell Master License Agreement(s) for Wireless Communications Facilities in the Public Rights-of-Way.

Motion to approve Item Nos. 3.A – 3.D and 3.F – 3.I by Councilmember Gayaldo, seconded by Councilmember Halldin. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin
Noes:	None
Absent:	Janda
Abstain:	None

3.E Resolution No. 2023-76 of the City Council of the City of Rocklin Approving and Authorizing the City Manager to Execute a Reimbursement Agreement with the Rocklin Unified School District for School Resource Officers.

Police Chief Rustin Banks introduced Police Captain Trent Jewell who presented to Council.

Motion to approve Item Nos. 3.E by Councilmember Halldin, seconded by Councilmember Bass. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin
Noes:	None
Absent:	Janda
Abstain:	None

4. SPECIAL PRESENTATIONS

None.

5. PUBLIC HEARINGS

5.A Special Assessments for Delinquent Weed Abatement Charges

A. Adopt a Resolution of the City Council of the City of Rocklin Imposing Special Assessments Against Certain Parcels for Delinquent Weed Abatement Charges.

B. Adopt a Resolution of the City Council of the City of Rocklin Requesting the Collection of Specials Assessments on the 2023/24 Tax Roll (Weed Abatement Charges).

Senior Accountant Angela Doyle presented to Council.

Mayor Broadway opened the public hearing.

Spencer Snyder spoke as a representative of Castlerock Partners, Inc. in opposition to the charges imposed on APN No. 045-053-039-000.

Seeing no further public comment, Mayor Broadway closed the public hearing.

As additional research is required regarding the parcel identified, this item will be continued to the June 27th, 2023 City Council meeting.

5.B Special Assessments for Delinquent Refuse Collection Fees

A. Resolution No. 2023-81 of the City Council of the City of Rocklin Imposing Special Assessments Against Certain Parcels for Delinquent Refuse Collection Fees.

B. Resolution No. 2023-82 of the City Council of the City of Rocklin Requesting the Collection of Taxes, Assessments on the 2023/24 Tax Roll (Delinquent Refuse Collection Fees).

Senior Accountant Angela Doyle presented to Council.

Councilmember Bass left the dais at 7:05 p.m. and returned at 7:06 p.m.

Mayor Broadway opened the public hearing.

Seeing no public comment, Mayor Broadway closed the public hearing.

Motion to approve Item No. 5.B.A.and 5.B.B (including the revisions outlined via blue memo) by Councilmember Bass, seconded by Councilmember Gayaldo. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin
Noes:	None
Absent:	Janda
Abstain:	None

5.C Levy and Collection of Assessments for Lighting Maintenance District No. 1 for Fiscal Year 2023/24

A. Resolution No. 2023-83 of the City Council of the City of Rocklin Ordering the Levy and Collection of Assessments for Lighting Maintenance District No. 1 and Confirming a Diagram and Assessment.

B. Resolution No. 2023-84 of the City Council of the City of Rocklin Requesting the Collection of Taxes, Assessments, and Liens on the 2023/24 Tax Roll (Lighting Maintenance District No. 1).

Management Analyst Megan Bressem presented to Council.

Mayor Broadway opened the public hearing.

Seeing no public comment, Mayor Broadway closed the public hearing.

Motion to approve Item Nos. 5.C.A and 5.C.B by Councilmember Bass, seconded by Councilmember Halldin. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin
Noes:	None
Absent:	Janda
Abstain:	None

5.D Levy and Collection of Assessments for Landscape and Lighting Maintenance District No. 2 for Fiscal Year 2023/24

A. Resolution No. 2023-85 of the City Council of the City of Rocklin Ordering the Levy and Collection of Assessments for Landscape and Lighting Maintenance District No. 2 and Confirming a Diagram and Assessment.

B. Resolution No. 2023-86 of the City Council of the City of Rocklin Requesting the Collection of Taxes, Assessments, and Liens on the 2023/24 Tax Roll (Landscape and Lighting Maintenance District No. 2).

Management Analyst Megan Bressem presented to Council.

Mayor Broadway opened the public hearing.

Seeing no public comment, Mayor Broadway closed the public hearing.

Motion to approve Item Nos. 5.D.A and 5.D.B by Councilmember Bass, seconded by Councilmember Gayaldo. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin
Noes:	None
Absent:	Janda
Abstain:	None

5.E Wildcat West/Environmental, ENV2023-0006, General Development Plan Amendment, PDG2023-0001, Rezone, Z2023-0001, Tentative Subdivision Map, SD2022-0001, Design Review, DR2022-0003

A. Conduct a Public Hearing to Consider Testimony.

B. Resolution No. 2023-87 of the City Council of the City of Rocklin Approving a Mitigated Negative Declaration of Environmental Impacts and a Mitigation Monitoring Program (Wildcat West / ENV2023-0006).

C. Introduce, Waive the Full Reading and Read by Title Only an Ordinance of the City Council of the City of Rocklin Amending the Northwest Rocklin General Development Plan and Rezoning an Approximately 8.6-Acre Site From Planned Development Commercial (PD-C) to Planned Development 12 Units to the Acre (PD-12) (Wildcat West / PDG2023-0001 and Z2023-0001).

C. Resolution No. 2023-88 of the City Council of the City of Rocklin Approving a Small Lot Tentative Subdivision Map (Wildcat West / SD2022-0001).

E. Resolution No. 2023-89 of the City Council of the City of Rocklin Approving a Design Review (Wildcat West Subdivision/DR2022-0003).

Senior Planner Dara Dungworth presented to Council.

Robert Parish, applicant presented to Council.

Dave Judy, KB Homes responded to questions from Council.

Mayor Broadway opened the public hearing.

Seeing no public comment, Mayor Broadway closed the public hearing.

Motion to approve Item Nos. 5.E.A – 5.E.E by Councilmember Gayaldo, seconded by Councilmember Halldin. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin
Noes:	None
Absent:	Janda
Abstain:	None

5.F Final Map Time Extensions, Subdivision Ordinance Amendment, ZOA2023-0002

Senior Planner Dara Dungworth presented to Council.

A. Conduct a Public Hearing to Consider Testimony.

B. Introduce, Waive the Full Reading and Read by Title Only an Ordinance of the City Council of the City of Rocklin Amending Title 16 of the Rocklin Municipal Code to Repeal and Re-Enact Sections 16.20.060 - Extension Of

Deadline and 16.24.040 - Failure to File – Extensions (ZOA2023-0002).

Mayor Broadway opened the public hearing.

Seeing no public comment, Mayor Broadway closed the public hearing.

Motion to approve Item No. 5.F.B by Councilmember Gayaldo, seconded by Councilmember Halldin. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin
Noes:	None
Absent:	Janda
Abstain:	None

5.G Objective Design Standards / Zoning Ordinance Amendment, ZOA2023-0001 and Design Review, DR2023-0007

A. Conduct a Public Hearing to Consider Testimony.

B. Introduce, Waive the Full Reading and Read by Title Only an Ordinance of the City Council of the City of Rocklin Amending Title 16 of the Rocklin Municipal Code to Amend Section 17.72.020 of the Rocklin Municipal Code to Address Objective Design Standards (Objective Design Standards / ZOA2023-0001).

C. Resolution No. 2023-90 of the City Council of the City of Rocklin Approving a Design Review to Establish City-Wide Objective Design Standards and Standard Conditions of Approval For Qualifying Residential Projects (Citywide Residential Objective Design Standards and Standard Conditions of Approval (DR2023-0007).

Planning Services Manager Bret Finning presented to Council.

Mayor Broadway opened the public hearing.

Seeing no public comment, Mayor Broadway closed the public hearing.

Motion to approve Item No. 5.G.B and 5.G.C by Councilmember Halldin, seconded by Councilmember Gayaldo. Passed by the following vote:

Ayes:	Bass, Broadway, Gayaldo, Halldin
Noes:	None
Absent:	Janda
Abstain:	None

6. <u>DISCUSSION ITEMS</u>

6.A Commemorative Tree and Bench Donation Appeal

Director of Parks and Recreation Kevin Huntzinger presented to Council.

Public Comment:
Barry Goodwin, Appeal Applicant

This item will be continued to the June 27th, 2023 City Council meeting.

7. FUTURE AGENDA ITEMS

None.

ADJOURNMENT

Mayor Broadway adjourned the meeting at 9:05 p.m.

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

DRAFT

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Fiscal Year 2023/24 Community Facilities Districts Bond Debt Service Fund Budgets and Collection of Special Taxes on the 2023/24 Tax Roll

Date: June 27, 2023

Submitted By: Daniel Choe, Deputy Director of Administrative Services
Megan Bressem, Management Analyst

Department: Administrative Services - Finance

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin Adopting Budgets for Community Facilities Districts Bond Debt Service Funds for Fiscal Year 2023/24.
B. Adopt a Resolution of the City Council of the City of Rocklin Requesting the Collection of Special Taxes on the 2023/24 Tax Roll.

Background:

The budgets of the Special Tax District Bond Debt Service Funds are prepared and presented to City Council annually as part of the City's efforts to provide full transparency. Special taxes placed on the County property tax rolls provide the districts' revenues. The districts' expenses consist of the debt service payments and the districts' administrative costs in the upcoming fiscal year (FY). Staff ensures that all districts maintain sufficient reserves to ensure all payments are made on time. Staff recommends adoption of Resolution A (Attachment 1) to adopt the FY 2023/24 budgets for the bond debt service funds (Community Facilities District (CFD) No. 6, CFD No. 8, CFD No. 9, CFD No. 10, and CFD No. 11) to collect revenue for the payment of the debt service and for the districts' administrative costs.

For the collection of special taxes, assessments, liens, and other charges on behalf of the City, the County of Placer requires that the City warrant the legality of said charges and defend and indemnify the County from any challenge to the legality thereof. Staff recommends the adoption of Resolution B (Attachment 2) to satisfy this requirement and to request the Auditor-Controller of Placer County to attach collection on the County 2023/24 tax rolls for CFD No. 1, CFD No. 5, CFD No. 6, CFD No. 8, CFD No. 9, CFD No. 10, CFD No. 11, and City of Rocklin Park Development and Maintenance Taxes.

Fiscal Impact:

Adoption of the attached resolutions will allow special taxes to be levied and collected to pay for bond debt service and services provided in the City's special districts.

ATTACHMENTS:

1. Resolution A - Special District Budgets
2. Resolution B - Collection of Special Taxes

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL
OF THE CITY OF ROCKLIN
ADOPTING BUDGETS FOR COMMUNITY FACILTIES DISTRICTS
BOND DEBT SERVICE FUNDS FOR FISCAL YEAR 2023/24

WHEREAS, the City Council has committed to annually adopt a budget for all of the City's Community Facilities Districts Bond Debt Service Funds.

NOW THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The budget for Rocklin Community Facilities District No. 6 (Sunset West-Drainage) Bond Debt Service Fund for fiscal year 2023/24 is hereby adopted as set forth in the attached hereto as Exhibit A and incorporated herein by this reference.

Section 2. The budget for Rocklin Community Facilities District No. 8 (Sunset West-Park Drive) Bond Debt Service Fund for fiscal year 2023/24 is hereby adopted as set forth in the attached hereto as Exhibit B and incorporated herein by this reference.

Section 3. The budget for Rocklin Community Facilities District No. 9 (Sunset West-West/Blue Oaks) Bond Debt Service Fund for fiscal year 2023/24 is hereby adopted as set forth in the attached hereto as Exhibit C and incorporated herein by this reference.

Section 4. The budget for Rocklin Community Facilities District No. 10 (Whitney Ranch) Bond Debt Service Fund for fiscal year 2023/24 is hereby adopted as set forth in the attached hereto as Exhibit D and incorporated herein by this reference.

Section 5. The budget for Rocklin Community Facilities District No. 11 (Sierra College Interchange) Bond Debt Service Fund for fiscal year 2023/24 is hereby adopted as set forth in the attached hereto as Exhibit E and incorporated herein by this reference.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:

NOES: Councilmembers:

ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

Exhibit A

Stanford Ranch Community Facilities District No. 6
(Sunset West-Drainage)
Bond Debt Service Fund Budget
Fiscal Year 2023/24

		FY 2023/24 Budget
Debt Service Fund:		
Special Tax Revenues		$ 93,500
Interest Income		$ 3,000
Total Revenue		$ 96,500
Expenditures		
Principal Payment	($ 74,700)	
Interest Payment	($ 4,200)	
Administration Fees	($ 13,000)	
County Special Tax Collection Fee	($ 900)	
Total Expenditures:		($ 92,800)
Results of Operations:		$ 3,700
Estimated Available Fund Balance 7/1/23:		$ 110,400
Estimated Available Fund Balance 6/30/24:		$ 114,100

Balance includes amounts available for upcoming fall debt service payments.

Exhibit B

Rocklin Community Facilities District No. 8
(Sunset West-Park Drive)
Bond Debt Service Fund Budget
Fiscal Year 2023/24

		FY 2023/24 Budget
Debt Service Fund:		
Special Tax Revenues		$ 226,300
Interest Income		$ 5,500
Total Revenue		$ 231,800
Expenditures		
Principal Payment	($ 193,100)	
Interest Payment	($ 11,000)	
Administration Fees	($ 13,000)	
County Special Tax Collection Fee	($ 2,300)	
Total Expenditures:		($219,400)
Results of Operations:		$ 12,400
Estimated Available Fund Balance 7/1/23:		$ 210,900
Estimated Available Fund Balance 6/30/24:		$ 223,300

Balance includes amounts available for upcoming fall debt service payments.

Exhibit C

Rocklin Community Facilities District No. 9
(Sunset West-West/Blue Oaks)
Bond Debt Service Fund Budget
Fiscal Year 2023/24

		FY 2023/24 Budget
Debt Service Fund:		
Special Tax Revenues		$ 261,400
Interest Income		$ 6,700
Total Revenue		$ 268,100
Expenditures		
Principal Payment	($230,000)	
Interest Payment	($ 13,000)	
Administration Fees	($ 13,400)	
County Special Tax Collection Fee	($ 2,700)	
Total Expenditures:		($ 259,100)
Results of Operations:		$ 9,000
Estimated Available Fund Balance 7/1/23:		$ 254,300
Estimated Available Fund Balance 6/30/24:		$ 263,300

Balance includes amounts available for upcoming fall debt service payments.

Exhibit D

Rocklin Community Facilities District No. 10
(Whitney Ranch)
Bond Debt Service Fund Budget
Fiscal Year 2023/24

		FY 2023/24 Budget
Debt Service Fund:		
Special Tax Revenues		$ 3,250,500
Interest Income		$ 65,200
Total Revenue		$ 3,315,700
Expenditures		
Principal Payment	($ 940,000)	
Interest Payment	($2,161,500)	
Administration Fees	($ 45,700)	
County Special Tax Collection Fee	($ 32,500)	
Total Expenditures:		($3,179,700)
Results of Operations:		$ 136,000
Estimated Available Fund Balance 7/1/23:		$ 3,354,400
Estimated Available Fund Balance 6/30/24:		$ 3,490,400

Balance includes amounts available for upcoming fall debt service payments.

Exhibit E

Rocklin Community Facilities District No. 11
(Sierra College Interchange)
Bond Debt Service Fund Budget
Fiscal Year 2023/24

		FY 2023/24 Budget
Debt Service Fund:		
Special Tax Revenues		$ 579,900
Interest Income		$ 9,000
Total Revenue		$ 588,900
Expenditures		
Principal Payment	($ 380,000)	
Interest Payment	($ 164,800)	
Administration Fees	($ 21,900)	
County Special Tax Collection Fee	($ 5,800)	
Total Expenditures:		($ 572,500)
Results of Operations:		$ 16,400
Estimated Available Fund Balance 7/1/23:		$ 883,700
Estimated Available Fund Balance 6/30/24:		$ 900,100

Balance includes amounts available for upcoming fall debt service payments.

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL
OF THE CITY OF ROCKLIN
REQUESTING THE COLLECTION OF SPECIAL TAXES
ON THE 2023/24 TAX ROLL

WHEREAS, the County of Placer requires, as a condition of the collection of taxes, assessments, liens and other charges on behalf of the City that the City warrant the legality of said charges and defend and indemnify the County from any challenge to the legality thereof.

NOW THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The Auditor-Controller of Placer County is requested to attach for collection on the County 2023/24 tax rolls the following:

a. City of Rocklin Community Facility District No. 1 special taxes ("Taxes") as set forth in Rocklin City Council Resolution No. 86-102 and Ordinance No. 802;
b. City of Rocklin Community Facility District No. 5 special taxes ("Taxes") as set forth in Resolution No. 96-255 and Ordinance No. 805;
c. City of Rocklin Community Facility District No. 6 special taxes ("Taxes") as set forth in Resolution No. 98-286 and Ordinance No. 792;
d. City of Rocklin Community Facility District No. 8 special taxes ("Taxes") as set forth in Resolution No. 98-290 and Ordinance No. 793;
e. City of Rocklin Community Facility District No. 9 special taxes ("Taxes") as set forth in Resolution No. 98-315 and Ordinance No. 796;
f. City of Rocklin Community Facility District No. 10 special taxes ("Taxes") as set forth in Resolution No. 2005-81 and Ordinance No. 895;
g. City of Rocklin Community Facility District No. 11 special taxes ("Taxes") as set forth in Rocklin City Council Resolution No. 2006-299.
h. City of Rocklin Park Development and Maintenance Taxes as set forth in Rocklin City Council Resolution No. 2018-298, Resolution No. 2009-186, Resolution No. 98-172, and Ordinance No. 7

Section 2. The City warrants and represents that the Taxes comply with all requirements of state law, including, but not limited to, Articles XIIIC and XIIID of the California Constitution (Proposition 218).

Section 3. The City releases and discharges County, and its officers, agents and employees from any and all claims, demands, liabilities, costs and expenses,

damages, causes of action, and judgments in any manner arising out of the collection by County of any of the Taxes on behalf of City.

Section 4. The City agrees to and shall defend, indemnify, and hold harmless the County, its officers, agents, and employees (the "Indemnified Parties") from any and all claims, demands, liabilities, costs and expenses, damages, causes of action, and judgments in any manner arising out of the collection by County of any of the Taxes requested to be collected by County for City, or in any manner arising out of City establishment and imposition of said Taxes. City agrees that, in the event a judgment is entered in a court of law against any of the Indemnified Parties as a result of the collection of one of the Taxes, the County may offset the amount of the judgment from any other monies collected by the County on behalf of the City, including property taxes.

Section 5. The City agrees that its officers, agents and employees will cooperate with the County in answering questions referred to City by County from any person concerning the City's taxes, and that City will not refer such persons to County officers and employees for response.

Section 6. The City agrees to pay such reasonable and ordinary charges as the County may prescribe to recoup its costs in placing on the tax rolls and collecting the taxes, as provided by Government Code sections 29304 and 51800.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Purchasing Goods and Services through Cooperative Purchase Agreements for Fiscal Year 2023/24

Date: June 27, 2023

Submitted By: Daniel Choe, Deputy Director of Administrative Services
Megan Bressem, Management Analyst

Department: Administrative Services - Finance

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Authorizing the City Manager to Purchase Goods and Services through Cooperative Purchase Agreements for Fiscal Year 2023/24.

Background:

Throughout the year, City departments have a need for ongoing purchasing of goods and services for departmental operations. These purchases are necessary to ensure the continued delivery of programs and services citywide. Staff reviewed Fiscal Year (FY) 2021/22 and FY 2022/23 citywide expenditures for vendors associated with cooperative purchasing programs and estimated the anticipated costs for goods and services for FY 2023/24.

The City has arrangements/memberships with a number of cooperative purchasing programs which allow member public agencies to aggregate individual purchasing power and achieve greater volume discounts. Public agencies competitively solicit and award agreements which are then made available to other member agencies and nonprofit organizations. By using this process, local government agencies can achieve better pricing and streamline purchases by removing repetitive, resource intensive, and costly bid procedures associated with routine purchases.

Rocklin Municipal Code sections 3.04.090 (C) and (D) specifically allow for the use of cooperative purchasing programs utilizing purchasing agreements maintained by other governmental agencies. City Council approval is required for purchase amounts more than $50,000.

Vendors with anticipated costs greater than $50,000 in FY 2023/24 are listed in Exhibit A to the Resolution. The list includes the total not-to-exceed amount for FY 2023/24 by vendor, the cooperative purchase agreements to be utilized, and a description of the goods and services to be procured. The total not-to-exceed amount for all vendors is $1,555,000.

Staff recommends the City Council adopt a resolution (Attachment 1) to authorize the City Manager to purchase goods and services through cooperative purchasing programs to allow for ongoing purchasing for department operations at competitively bid prices with the listed vendors for FY 2023/24.

Fiscal Impact:

Purchasing through these cooperative agreements are made on an as-needed basis and charged to various departments and funds, provided sufficient funds are available in those respective departments/funds in the FY 2023/24 Annual Budget.

ATTACHMENTS:

1. Resolution

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
AUTHORIZING THE CITY MANAGER TO PURCHASE
GOODS AND SERVICES THROUGH COOPERATIVE
PURCHASE AGREEMENTS FOR FISCAL YEAR 2023/24

WHEREAS, the City of Rocklin has arrangements/memberships with a number of cooperative purchasing programs that allow member agencies to aggregate individual purchasing power and achieve greater volume discounts than what would be available as a stand-alone agency; and

WHEREAS, using this process, local government agencies can achieve better pricing and streamline purchases by removing repetitive, resource intensive, and costly bid procedures associated with routine purchases; and

WHEREAS, Rocklin Municipal Code Section 3.04.090 (C) and (D) allows for the City to enter into cooperative purchasing arrangements and piggyback contracts provided those contracts have been awarded through a competitive process by other agency within the past twelve months; for purchases in an amount more than fifty thousand dollars, the determination shall be made by the City Council; and

WHEREAS, staff reviewed historical citywide expenditures for vendors of goods and services associated with cooperative purchasing programs, and identified vendors with anticipated costs greater than $50,000 in Fiscal Year (FY) 2023/24 (Exhibit A); and

WHEREAS, in order to increase efficiency and streamline the purchasing process, staff is requesting Council approve and authorize the City Manager to purchase goods and supplies through cooperative purchase agreements, in an amount not to exceed $1,555,000 for FY2023/24; and

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The above recital is true and correct.

Section 2. The City Council hereby approves and authorizes the City Manager to utilize cooperative purchase agreements to purchase goods and services through other government agency competitively bid contracts and their associated not to exceed amounts set forth in Exhibit "A," attached hereto.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

Exhibit A

Cooperative Purchase Agreements by Vendor and Amount for FY 2023/24

Vendor Name	Not-to-Exceed - Amount	Cooperative Purchase Agreement	Description
Amazon Services, LLC	$150,000	OMNIA Partners #MA3457 OMNIA Partners #R-TC-17006	Office Supplies and general department supplies
AT&T Corp.	$160,000	CALNET NextGen – All Categories	Telecommunication services, including but not limited to FirstNet broadband network for first responders
AT&T DataComm	$250,000	CMAS #3-21-08-1074 CMAS #3-21-09-1015 CMAS #3-19-70-0255AL CMAS #3-17-70-0255AH CMAS #3-14-70-0255AE	Cellular service, business telephone lines, legacy radio circuits, analog copper-based services
CDW-G	$150,000	OMNIA Partners #23-6692-02 OMNIA Partners #R210401 OMNIA Partners #R220801 OMNIA Partners #MA3458 OMNIA Partners #2018011-01	Barracuda security solutions renewals and network switches
Dell Marketing LP	$75,000	NASPO ValuePoint #AR2495 NASPO ValuePoint #MNWNC-108	IT Equipment, including but not limited to various IT licenses, desktop & monitor purchases, etc.
General Motors	$80,000	Sourcewell #101520-GNL	Parts and supplies for fleet vehicles
Goodyear/The Goodyear Tire & Rubber Company	$90,000	Sourcewell #102517-GTC NASPO ValuePoint #19101	Tires
Herc Rentals Inc.	$110,000	OMNIA Partners #2019000318 CMAS #4-18-51-0067A	Construction equipment rentals
Motorola Solutions, Inc.	$280,000	NASPO ValuePoint #00318 H-GAC #EC07-20 9-1-1	Handheld and in-vehicle radios for public safety departments
Genuine Parts Company, dba NAPA Auto Parts	$150,000	Sourcewell #032521-GPC NASPO ValuePoint #7-21-99-41-02	Parts and supplies for fleet vehicles
Waxie Sanitary Supply/WAXIE's Enterprises, LLC	$60,000	NASPO ValuePoint #8506 CMAS #4-21-06-1015	Facilities and sanitary supplies
TOTAL	$1,555,000		

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Quarterly Financial Report for the Quarter Ended March 31, 2023, and Amending the Fiscal Year 2022/23 Budget

Date: June 27, 2023

Submitted By: Andy Schiltz, Principal Management Analyst
Daniel Choe, Deputy Director of Administrative Services

Department: Administrative Services - Finance

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Accepting the Quarterly Financial Report for the Quarter Ended March 31, 2023, and Amending the Fiscal Year 2022/23 Budget.

Background:
The quarterly financial report provides an overview of the City of Rocklin's current financial position. The City departments and the Finance division evaluate budget-to-actual performance for fiscal soundness or potential issues requiring immediate actions, recommend any necessary mitigating plan, and make operational changes as required. The Quarterly Financial Report for the quarter ended March 31, 2023 is included as Exhibit A to the Resolution (Attachment 2).

As part of this review, staff has identified operational changes. On December 21, 2022, the Police Department was awarded an Officer Wellness and Mental Health Grant from the California Board of State and Community Corrections, in an amount to up to $41,400 (Attachment 4). Staff recommends accepting the grant award and authorizing the City Manager to adjust the revenue and expenditure budgets in the Police Department in the Traffic Safety and Police Department Grants Fund (Fund 244). This adjustment is necessary to properly account for and administer the grant award.

The City received approximately $7 million in funds from the American Rescue Plan Act (ARPA). The City Council has approved an ARPA Spending Plan, which encompasses various one-time grant programs such as small business and hotel support, restaurant assistance, non-profit assistance, mental health assistance, job training and student assistance, the Build Up Rocklin Small Business Program grant, and internal support projects. For each project identified, the staff presents the scope of recommended expenditures to the City Council, and then returns to the City Council for contract approval. Subsequently, staff returns to the City Council for a third time to appropriate expenditures and corresponding revenues. Staff recommends that the City Council authorize the City Manager or her designee

to approve all necessary financial transactions, budgets, and appropriations in accordance with the law and City policy. This authorization will enable the City Council's approved expenditures to be carried out without the need for a third presentation to the City Council by staff.

Additionally, the budget amendments previously approved by the City Council since the Fiscal Year 2022/23 quarter ended, December 31, 2022 are reflected in Exhibit B to the Resolution (Attachment 3).

Fiscal Impact:
Sufficient funds are available in their respective funds in the Fiscal Year 2022/23 Annual Budget to support the staff recommendation.

ATTACHMENTS:
1. Resolution
2. Resolution Exhibit A - FY 2022/23 3rd Quarter Financial Report
3. Resolution Exhibit B - Budget Amendments
4. BSCC Award Letter

RESOLUTION NO. 2023-

ACCEPTING THE QUARTERLY FINANCIAL REPORT
FOR THE QUARTER ENDED MARCH 31, 2023 AND
AMENDING THE FISCAL YEAR 2022/23 BUDGET

WHEREAS, the quarterly financial report provides an overview of the City of Rocklin's current financial position, and in connection therewith, City departments with the Finance division evaluate budget-to-actual performance for fiscal soundness or potential issues requiring immediate actions, recommend any necessary mitigating plan, if needed, and to make operational changes as required. The Quarterly Financial Report for the quarter ended March 31, 2023 is attached hereto as Exhibit A; and

WHEREAS, as part of this review, staff has identified areas requiring operational changes as well as the necessary budget amendments based on the budget appropriations previously approved by the City Council from the fiscal year 2022/23 budget was last amended on March 14, 2023 to date. The recommended budget adjustments are attached hereto as Exhibit B.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin accepts the Quarterly Financial Report for the quarter ended March 31, 2023 as reflected in Exhibit "A," attached hereto and incorporated herewith by reference.

Section 2. The FY 2022/23 Budget is amended as outlined in Exhibit "B," attached hereto and incorporated herewith by reference.

Section 3. The City Manager, or the City Manager's designee, is authorized to approve all necessary financial transactions, budgets, and appropriations to implement and administer the American Rescue Plan Act Fund in accordance with the law and City policy.

PASSED AND ADOPTED this 27th day of June 2023, by the following vote:

AYES: Councilmembers:

NOES: Councilmembers:

ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

Fiscal Year 2022/23 Quarterly Financial Report
For the Quarter Ended March 31, 2023

The quarterly financial report provides an overview of the City of Rocklin's (City) current financial position. The City departments and the Administrative Services - Finance Division evaluate budget-to-actual performance for fiscal soundness or potential issues requiring immediate action, any necessary mitigating plans, or operational changes required.

On June 28, 2022, the City Council adopted Resolution No. 2022-145 approving the FY 2022/23 Annual Budget, which totals $102 million for operations and capital improvements for all funds, and $61.6 million in the General Fund. The General Fund expenditure budget, as revised through March 31, 2023, is $68.1 million. This reflects the City Council approved budget amendments and carryforward funds from fiscal year (FY) 2021/22 to support projects, grants, and equipment purchases continued from the prior year.

Economic Development Update

Labor Market Data

The City of Rocklin's unemployment rate was at 3.5% in March 2023, with a labor force of 35,600 and employment at 34,400. The unemployment rate in the Sacramento--Roseville--Arden-Arcade MSA was 4.4% in March 2023, and above the prior year estimate of 3.9%. This compares with an unadjusted unemployment rate of 4.8% for California and 3.6% for the nation during the same period. The unemployment rate was 4.1% in El Dorado County, 3.7% in Placer County, 4.5% in Sacramento County, and 5.1% in Yolo County.

Between March 2022 and March 2023, total jobs in the region increased by 21,900 or 2.1%.

- Private education and health services continued to lead the region in year-over-year job growth with an increase of 10,800 jobs. Within the industry, healthcare and social assistance picked up 9,700 jobs, while private educational services added 1,100 jobs.
- Government payrolls expanded by 6,900 jobs since last March. Local government (up 5,200 jobs) and state government (up 1,900 jobs) were responsible for the expansion. These gains were offset by a loss of 200 jobs in federal government.

- Professional and business services advanced by 5,600 jobs. Job growth occurred in professional, scientific, and technical services (up 5,300 jobs) and management of companies and enterprises (up 400 jobs). These gains offset a slight loss in administration and support and waste services, which shed 100 jobs.

On the downside, three industries reported year-over-year declines: construction (down 6,800 jobs), financial activities (down 1,100 jobs), and information (down 100 jobs). Between February 2023 and March 2023, combined employment in the counties of El Dorado, Placer, Sacramento, and Yolo increased by 1,400 to total 1,083,200 jobs.

- In March, government payrolls continued to trend up for the third consecutive month with a gain of 3,300 jobs. These additions were spread across state government (up 1,700 jobs), local government (up 1,500 jobs), and federal government (up 100 jobs).
- Farm employment experienced a normal seasonal increase in March, adding 900 jobs.
- Over the month, leisure and hospitality rose by 500 jobs. Accommodation and food services was responsible for the growth.
- Meanwhile, construction employment dropped by 1,600 jobs in contrast to its usual growth during this time. Job losses were reported in specialty trade contractors (down 1,900 jobs) and construction of buildings (down 100 jobs).
- Employment in trade, transportation, and utilities fell by 1,100. Job declines were reported in transportation, warehousing, and utilities (down 600 jobs) and retail trade (down 500 jobs). Wholesale trade remained unchanged.

Supply challenges have eased to some degree, and employers adding jobs has slowed. In addition, Freddie Mac reported in early February that 30-year mortgage rates have declined from 7.08% and are just below 6%.

General Fund Revenues

The following chart reflects the current fiscal year's budget-to-actual revenue received through March 31, 2023, and the comparative budget-to-actual revenues from the prior year for the same period.

General Fund Revenue Summary
For the Quarter Ended March 31, 2022

Revenue Category	Budget FY 2021/22	Revenues through 03/31/2022	% Received FY 2021/22	Budget FY 2022/23	Revenues through 03/31/2023	% Received FY 2022/23
Property Tax	20,681,200	11,678,086	56.5%	22,191,300	12,718,326	57.3%
Sales and Use Tax	17,770,800	12,996,272	73.1%	20,209,000	11,865,066	58.7%
Building Permits	2,998,000	2,810,557	93.7%	3,161,300	2,152,923	68.1%
Franchise	2,369,400	890,571	37.6%	2,416,800	891,584	36.9%
Other Revenues	11,508,500	5,893,123	51.2%	12,829,800	7,060,415	55.0%
Total	$55,327,900	$ 34,268,609	61.9%	$60,808,200	$34,688,313	57.0%

General Fund operating revenues are within budgetary expectations as of March 31, 2023. Year-to-date through March 31, the City's General Fund revenue is $34.7 million, which is 57% of General Fund total resources expected to be received for FY 2022/23. It is consistent with prior years as the first significant property tax revenue was received in January 2023, and the second significant property tax revenue will be received in May 2023.

Property Tax. Property taxes are the largest General Fund revenue source, accounting for approximately 36% of all General Fund revenues. Year-to-date through March 31, 2023 property tax revenues are $12.7 million, up 8.9% from the prior year. This reflects the first property tax revenue disbursement received in January 2023, with the second significant property tax disbursement to be received in May 2023.

Sales and Use Tax. Sales and use taxes make up approximately 33% of General Fund revenues. Sales tax is imposed on the total retail price of any tangible personal property and the use or storage of such property. Year-to-date through March 31, 2023 sales tax revenues are $11.9 million, down 8.7% from the prior year. This variance is due to a spike that occurred in new motor vehicle sales in FY 2021/22 combined with a slowdown in taxable merchandise spending. As interest rates continue to rise, this trend is expected to continue. Sales tax is volatile and can change quickly, therefore staff constantly monitors sales tax revenues for signs of above or below budget performance. At this time, sales and use tax appear to be on track to meet budget.

Building Permits. Year-to-date building permit revenue through March 31 2023 is $2.2 million (68.1% of budget) compared to $2.8 million (93.7% of budget) one year ago. Fewer permits have been issued year-to-date compared to one year ago due to single-family home development slowing down with the shift in the housing market/interest rates. At this time, permits are projected to be under budget by $0.4 million.

Franchise Tax. Franchise tax includes fees from gas, electric, garbage, and telecommunication services. Year-to-date franchise tax revenue is $0.9 million (36.9% of budget) compared to $0.9 million (37.6% of budget) one year ago. At this time, franchise tax appears to be on track to meet budget.

Other Revenues. Other General Fund Revenues through March 31, 2023 are $7.1 million. Through the reporting period, other revenues are on track to meet budget. Revenues in form of transfers-in from other funding sources for the services provided by staff budgeted in the General Fund ($5.4 million) will be processed in the fourth quarter of FY 2022/23. Staff will continue to monitor all revenue sources and provide an update as part of these quarterly reports.

Department Revenues and Expenditures

The following charts reflect comparative budget to actual information for the General Fund departments.

General Fund Department Revenue Summary
For the Quarter Ended March 31, 2023

Department	Budget FY 2021/22	Revenues through 03/31/2022	% Received FY 2021/22	Budget FY 2022/23	Revenues through 03/31/2023	% Received FY 2022/23
Community Development	4,230,900	3,727,023	88.1%	4,341,700	2,717,698	62.6%
Fire	514,500	679,549	132.1%	562,600	636,414	113.1%
Parks & Recreation	1,723,800	1,356,657	78.7%	2,044,800	1,114,647	54.5%
Police	1,436,900	848,328	59.0%	1,413,100	612,938	43.4%
Public Services	145,700	168,236	115.5%	278,800	134,048	48.1%
Total	$8,051,800	$6,779,793	84.2%	$ 8,641,000	$5,215,745	60.4%

Year-to-date General Fund department revenues through March 31, 2023 is $5.2 million (60.4% of budget) compared to $6.8 million (84.2% of budget) one year ago. The decrease in the Community Development Department revenue is primarily due to a decrease in building permit revenue as explained in the prior section and less Engineering Inspection Fees received to-date. Revenue for these fees is expected to increase through the end of the fiscal year as improvement plans for projects in the queue are anticipated to be issued.

General Fund Department Expenditure Summary
For the Quarter Ended March 31, 2023

Department	Budget FY 2021/22	Expended through 03/31/2022	% Expended FY 2021/22	Budget FY 2022/23	Expended through 03/31/2023	% Expended FY 2022/23
City Council	97,800	74,190	75.9%	97,800	48,770	49.9%
City Manager	1,217,300	725,440	59.6%	1,154,900	806,346	69.8%
City Attorney	1,108,800	534,428	48.2%	2,118,400	1,262,861	59.6%
City Clerk	350,700	228,522	65.2%	398,000	217,403	54.6%
Administrative Services	2,982,500	1,620,377	54.3%	4,694,700	2,088,243	44.5%
Community Development	5,248,000	3,078,298	58.7%	5,553,300	3,346,997	60.3%
Fire	12,574,400	8,920,249	70.9%	12,465,000	8,724,666	70.0%
Information Technology	2,407,800	1,710,020	71.0%	2,707,100	1,881,276	69.5%
Parks & Recreation	4,643,100	2,820,409	60.7%	6,769,800	3,837,074	56.7%
Police	20,359,400	13,211,804	64.9%	21,680,100	15,124,879	69.8%
Public Services	3,500,700	2,243,667	64.1%	5,501,800	2,933,234	53.3%
Non Departmental	1,182,400	244,472	20.7%	4,971,400	2,918,814	58.7%
Total	$ 55,672,900	$ 35,411,875	63.6%	$ 68,112,300	$ 43,190,564	63.4%

Departments have expended approximately 63.4% of their total budget in the General Fund for FY 2022/23. Expenditures are generally incurred as needs arise and not equally throughout the year. For the non-departmental expenditures, the City Council approved Resolution No. 2022-179, an inter-fund loan from the General Fund to the Whitney Ranch Trunk Sewer Fees Fund in the amount of $1,098,000 to assist with completing the sewer trunk line upsizing project which supports development in the area. The loan will be repaid over time with interest as development impact fees are collected for the remainder of the development.

Revenues and expenditures are within budgetary expectations as of March 31, 2023. Staff will continue to monitor and return to the City Council with an update.

Changes in Fund Balance		
Fund Name (No.)	**Net Change**	**Description**
SB 325 Gas Tax (210)	$ (432,500)	Reduce fund balance in support of the I-80 Westbound Auxiliary Lane and Pavement Rehabilitation Projects and road resufacing costs.

Budget Adjustments

Department	Fund Name (No.)	FTE	Revenue/ Reimbursement Adjustments	Expenditure Adjustments	Description
Other Funds					
Police	Traffic Safety/PD Grants (244)		$ 41,400	$ 41,400	Funding for improving officer wellness and expanding mental health sources. Costs will be offset by proceeds from the Officer Wellness and Mental Health Grant Award received from the Board of State and Community Corrections, based on an award letter dated December 21, 2022.
Public Works	Streets Grants Fund (240)	-	600,000	600,000	Funding for the I-80 Westbound Auxiliary Lane Project previously approved by the City Council at the February 14, 2023 City Council meeting. Project costs will be reimbursed from SPRTA funds.
Public Works	SB 325 Gas Tax (210)		-	393,500	Funding to reimburse road resurfacing costs as part of the PCWA's Midas Avenue Water Main Replacement Phase 2 Project previously approved at the May 23, 2023 City Council meeting.
Public Works	SB 325 Gas Tax (210)	-	-	39,000	Funding for the Pavement Rehabilitation Project construction management services previously approved by the City Council at the May 9, 2023 City Council meeting.
Public Works	Capital Construction Tax (304)	-	-	-	With the third Fire Station construction discussion, the Reserve Apparatus Storage project approved in the FY 2022/23 budget has been suspended, and project budget in the amount of $136,300 will be redirected for cover the increase in the Fire Station 24 and Fire Station 25 Security projects.
	Total Other Funds	**-**	**$ 641,400**	**$ 1,073,900**	

DATE: December 21, 2022

TO: Police Chiefs and Sheriffs

FROM: Linda Penner, Chair

SUBJECT: **OFFICER WELLNESS AND MENTAL HEALTH GRANT AWARD**

I am pleased to let you know that the BSCC has begun processing the Officer Wellness and Mental Health grants, which were included in the Budget Act of 2022. All eligible law enforcement agencies will receive their payment by February 15, 2023. This memorandum provides further information about the purposes of the grant, and requests your assistance in identifying the person in your agency who will be responsible for required data reporting.

This disbursement is being made in accordance with Assembly Bill 178, Chapter 45, Statutes of 2022, Item 5227-121-0001. This funding is available to all city and county law enforcement agencies employing officers described in Section 830.1 of the Penal Code. The Officer Wellness grant is for the purpose of improving officer wellness and expanding mental health sources as follows:

1. Establishing officer wellness units or expanding existing officer wellness units.
2. Establishing peer support units or expanding peer support units.
3. Services provided by a licensed mental health professional, counselor, or other professional that works with law enforcement.
4. Expanding multiagency mutual aid programs focused on officer wellness and mental health.
5. Other programs or services that are evidence based or have a successful track record of enhancing officer wellness.

For further information about this funding and to identify the amount of funding allocated to your agency, please visit the Board of State and Community Corrections' webpage for the Officer Wellness and Mental Health Grant available at https://www.bscc.ca.gov/officer-wellness-and-mental-health-grant-program/.

Provision 2 of the Budget Act requires each grant recipient to submit an expenditure report and a final impact report no later than December 1, 2025. Additionally, grant recipients will submit a brief annual expenditure report. Reports will be provided to the Commission on Peace Officer Standards and Training (POST). Detailed information regarding reporting requirements and reporting requirement webinars will be sent out in early 2023.

Please send the name and email address of the individual(s) within your agency who will be responsible for the implementation and reporting requirements for this grant to OfficerWellnessGrant@bscc.ca.gov by February 28, 2023 so they may receive additional information regarding detailed reporting requirements, webinars, and deadlines.

If you have any questions regarding this funding distribution, please email OfficerWellnessGrant@bscc.ca.gov and a BSCC staff member will respond.

Sincerely,



LINDA PENNER
Chair
Board of State & Community Corrections

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Police, PSM, and Fire Salary Schedule Adoption

Date: June 27, 2023

Submitted By: Elise Hardy, Administrative Services
Tameka Usher, Administrative Services

Department: Administrative Services - Human Resources

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Adopting the Revised Salary Schedules for Rocklin Police Officers' Association, Rocklin Police Officers' Association Public Safety Managers' Bargaining Unit, and Rocklin Firefighter's Union, Local 3847.

Background:
In 2022, the City Council adopted Resolutions No. 2022-129 and No. 2022-143 (June 28, 20222), and No. 2022-159 (July 26, 2022), which established Memorandums of Understanding (MOU) between the City of Rocklin and Rocklin Police Officers' Association, Rocklin Police Officers' Association Public Safety Managers' Bargaining Unit, and Rocklin Firefighter's Union, Local 3847, respectively.

Each of the above-referenced MOUs contains a provision that states effective the first full pay period of July 2023, each classification shall receive a 3.0% base salary increase.

Attached as Resolution Exhibits A, B, and C are the updated salary schedules reflecting the base salary increases. Title 2 of the California Code of Regulations, section 570.5 requires the City's salary schedules to be adopted at a public meeting.

Fiscal Impact:
There is no additional fiscal impact to the staff recommendation. The cost of the base salary increases are included in the Fiscal Year 2023/24 budget.

ATTACHMENTS:
1. Resolution
2. Resolution Exhibit A - Rocklin Police Officers' Association Salary Schedules A & B
3. Resolution Exhibit B - Rocklin Police Officers' Association Public Safety Managers' Bargaining Unit Salary Schedule
4. Resolution Exhibit C - Fire Salary Schedule

RESOLUTION NO. 2023-XX

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN ADOPTING THE REVISED SALARY SCHEDULES FOR ROCKLIN POLICE OFFICERS' ASSOCIATION, ROCKLIN POLICE OFFICERS' ASSOCIATION PUBLIC SAFETY MANAGERS' BARGAINING UNIT AND ROCKLIN FIREFIGHTER'S UNION, LOCAL 3847

WHEREAS, the City of Rocklin adopted Resolution Nos 2022-129, 2022-143, and 2022-159 which established Memorandums of Understanding (MOU) between the City of Rocklin and Rocklin Police Officers' Association, Rocklin Police Officers' Association Public Safety Managers' Bargaining Unit, and Rocklin Firefighter's Union, Local 3847, respectively.

WHEREAS, each of the MOUs referenced above contains a provision that states effective the first full pay period of July 2023, each classification shall receive a 3.0% base salary increase.

WHEREAS, the base salary increases require the adoption of the revised salary schedule; and

NOW THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. Effective July 1, 2023, the updated Rocklin Police Officers' Association Salary Schedules attached hereto as Exhibit "A" are adopted.

Section 2. Effective July 1, 2023, the updated Rocklin Police Officers' Association Public Safety Managers' Bargaining Unit Salary Schedule attached hereto as Exhibit "B" is adopted.

Section 3. Effective July 1, 2023, the updated Rocklin Firefighter's Union, Local 3847 Salary Schedule attached hereto as Exhibit "C" is adopted.

PASSED AND ADOPTED this 27th day of June 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

Ken Broadway, Mayor

ATTEST:

Hope Ithurburn, City Clerk

City of Rocklin
Police Salary Schedule A
Effective July 1, 2023

Classification	Range	Step 1	Step 2	Step 3	Step 4	Step 5	Step 6
Police Sergeant	SP 1	111,943	117,541	123,418	129,589	136,068	142,871
Police Officer	SP 2	91,055	95,608	100,388	105,408	110,678	116,212
Public Safety Dispatch Supervisor	NSP0	78,680	82,614	86,744	91,082	95,636	100,417
Crime Analyst	NSP1	76,257	80,070	84,073	88,277	92,691	97,326
Senior Public Safety Dispatcher	NSP2	73,192	76,851	80,694	84,729	88,965	93,413
Community Service Officer Police Community Program Coordinator Police Records Supervisor Police Technical Assets Coordinator Public Safety Dispatcher II	NSP3	68,084	71,488	75,063	78,816	82,757	86,894
Senior Records Clerk	NSP4	65,078	68,332	71,749	75,336	79,103	83,058
Evidence/Property Technician	NSP5	63,506	66,681	70,015	73,516	77,192	81,051
Public Safety Dispatcher I Animal Control Officer	NSP6	58,902	61,847	64,939	68,186	71,595	75,175
Police Records Clerk	NSP7	56,096	58,901	61,846	64,938	68,185	71,594
Police Officer Trainee	NSP7	56,096					

City of Rocklin
Police Salary Schedule B
Effective July 1, 2023

Classification	Range	Step 1	Step 2	Step 3	Step 4	Step 5	Step 6	Step 7	Step 8	Step 9	Step 10
Police Sergeant	SP 1	111,943	115,862	119,917	124,114	128,458	132,954	137,607	142,423	147,408	152,567
Police Officer	SP 2	91,055	94,242	97,540	100,954	104,488	108,145	111,930	115,848	119,902	124,099
Public Safety Dispatch Supervisor	NSP0	78,680	81,433	84,284	87,234	90,287	93,447	96,717	100,102	103,606	107,232
Crime Analyst	NSP1	76,257	78,926	81,688	84,548	87,507	90,569	93,739	97,020	100,416	103,931
Senior Public Safety Dispatcher	NSP2	73,192	75,754	78,405	81,149	83,989	86,929	89,971	93,120	96,380	99,753
Community Service Officer Police Community Program Coordinator Police Records Supervisor Police Technical Assets Coordinator Public Safety Dispatcher II	NSP3	68,084	70,467	72,933	75,486	78,128	80,862	83,693	86,622	89,654	92,792
Senior Records Clerk	NSP4	65,078	67,356	69,714	72,154	74,679	77,293	79,998	82,798	85,696	88,695
Evidence/Property Technician	NSP5	63,506	65,728	68,029	70,410	72,874	75,425	78,065	80,797	83,625	86,552
Public Safety Dispatcher I Animal Control Officer	NSP6	58,902	60,963	63,097	65,305	67,591	69,957	72,405	74,939	77,562	80,277
Police Records Clerk	NSP7	56,096	58,059	60,091	62,194	64,371	66,624	68,956	71,370	73,868	76,453
Police Officer Trainee	NSP7	56,096									

City of Rocklin
Public Safety Management Salary Schedule
Effective July 1, 2023

Classification	Range	Step 1	Step 2	Step 3	Step 4	Step 5	Step 6	Step 7	Step 8	Step 9	Step 10
Police Services Manager	SP 1	84,069	87,011	90,056	93,208	96,471	99,847	103,342	106,959	110,702	114,577
Police Lieutenant	SP 2	137,686	142,505	147,493	152,655	157,998	163,528	169,252	175,175	181,307	187,652

CITY OF ROCKLIN
FIRE SALARY SCHEDULE
(Annual Salary)
Effective July 1, 2023

Classification	Step 1	Step 2	Step 3	Step 4	Step 5	Step 6	Step 7	Step 8	Step 9	Step 10
Firefighter	82,629	85,521	88,514	91,612	94,818	98,137	101,572	105,127	108,806	112,614
Fire Engineer	91,780	94,993	98,317	101,758	105,320	109,006	112,821	116,770	120,857	125,087
Fire Captain	104,916	108,588	112,388	116,322	120,393	124,607	128,968	133,482	138,154	142,989
Fire Battalion Chief-Line Fire Marshal	124,086	128,429	132,924	137,577	142,392	147,375	152,534	157,872	163,398	169,117

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Rocklin Fire Department - Annual Mandatory Inspections Reporting

Date: June 27, 2023

Submitted By: Ryan Brayton, Deputy Fire Chief

Department: Fire

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Acknowledging the City of Rocklin Fire Department's Compliance with Sections 13146.2 and 13146.3 of the California Health and Safety Code.

Background:

On September 27, 2018, California Senate Bill 1205 (SB 1205) became effective, and added a new section to the California Health and Safety Code that affected every fire department or fire district in the State. Previously, state law requires every fire department or district providing fire protection services to inspect every building used as a public or private school annually. With the enactment of SB 1205, this same annual inspection requirement became applicable to hotels, motels, lodging houses, and apartment houses.

SB 1205 requires all fire departments that perform these annual inspections to annually report compliance to their administrating authority.

The Rocklin Fire Department met the requirements of California Health and Safety Code 13146.2 and 13146.3 during Fiscal Year 2022/23, conducting inspections of 26 Group E Occupancies (schools) and 51 Group R Occupancies (hotels, motels, lodging, etc.) in the City.

Fiscal Impact:

There is no fiscal impact to the staff recommendation.

ATTACHMENTS:
1. Resolution
2. Resolution Exhibit A - E Occupancies List
3. Resolution Exhibit B - R Occupancies List

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN ACKNOWLEDGING THE CITY OF ROCKLIN FIRE DEPARTMENT'S COMPLIANCE WITH SECTIONS 13146.2 AND 13146.3 OF THE CALIFORNIA HEALTH AND SAFETY CODE

WHEREAS, California Health and Safety Code Section 13146.4 requires all fire departments that provide fire protection services to report annually to its administering authority on its compliance with performing inspections required by Health and Safety Code sections 13146.2 and 13146.3; and

WHEREAS, Health and Safety Code Sections 13146.2 and 13146.3 require all fire departments that provide fire protection services to perform annual inspections in every building used as a public or private school, hotel, motel, lodging house, and apartment house for compliance with building standards; and

WHEREAS, the City of Rocklin Fire Department has conducted 26 of 26 Group E (school) and 51 of 51 Group R (hotel, motel, lodging, etc.) State mandated occupancy inspections for the Fiscal Year 2022-23; and

WHEREAS, the City Council of Rocklin intends this Resolution to fulfill the requirements of the California Health and Safety Code regarding acknowledgment of receipt of the Rocklin Fire Department's Annual Fire Inspection Report, as required by California Health and Safety Code Section 13146.4.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The recitals set forth above are true and correct.

Section 2. The City Council of the City of Rocklin hereby acknowledges receipt of the Rocklin Fire Department's Annual Fire Inspection Report for Fiscal Year 2022-23, attached hereto collectively as Exhibits "A" and "B," demonstrating the Fire Department's compliance with the requirements set forth in Health and Safety Code sections 13146.2 and 13146.3.

PASSED AND ADOPTED this 27th day of June 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

Exhibit A
Rocklin Fire Department, FY 22/23 Completed State Required Inspections
GROUP E OCCUPANCIES (PUBLIC OR PRIVATE SCHOOLS)

	School Inspected	Inspection Date		School Inspected	Inspection Date
1	Antelope Creek Elementary 6185 Springview Drive 95677 (916) 632-1095	10/27/2022	12	Rock Creek Elementary 2140 Collet Quarry Drive 95765 (916) 788-4282	10/27/2022
2	Breen Elementary 2751 Breen Drive 95765 (916) 632-1155	10/12/2022	13	Rocklin Academy Gateway 6550 Lonetree Boulevard 95765 (916) 778-4550	10/20/2022
3	California International School 2213 Sunset Boulevard, 95765 (916) 521-6414	04/12/2023	14	Rocklin Academy Turnstone 6532 Turnstone Way 95765 (916) 632-6580	10/13/2022
4	Cobblestone Elementary 5740 Cobblestone Drive 95765 (916) 632-0140	11/14/2022	15	Rocklin Elementary 5025 Meyers Street 95677 (916) 624-3311	10/18/2022
5	The Cottage Homeschool 5425 Coronado Way, 95677 (916) 410-1555	05/12/2022	16	Rocklin High School 5301 Victory Lane 95765 (916) 632-1600	10/26/2022
6	Granite Oaks Middle School 2600 Wyckford Drive 95765 (916) 315-9009	10/25/2022	17	Ruhkala Elementary 6530 Turnstone Way 95765 (916) 632-6560	10/17/2022
7	Placer Academy 2213 Sunset Boulevard 95765 (916) 259-1688	10/24/2022	18	Sierra Elementary 6811 Camborne Way 95677 (916) 778-7141	10/10/2022
8	Destiny Christian School 6900 Destiny Drive 95677 (916) 788-2827	05/19/2022	19	Springview Middle School 5040 Fifth Street 95677 (916) 624-3381	10/20/2022
9	Maria Montessori 1850 Wildcat Boulevard 95765 (916) 630-1510	11/08/2022	20	Sunset Ranch Elementary 2500 Bridlewood Drive 95765 (916) 624-2048	10/21/2022
10	Parker Whitney Elementary 5145 Topaz Avenue 95677 (916) 624-2491	12/09/2022	21	TNT Kidz Center 4500 Tuttle Drive, 95677 (916) 259-1115	01/20/2023
11	Quarry Trails Elementary 810 Lazy Trail Drive, 95765 (916) 630-3300	10/21/2022	22	Twin Oaks Elementary School 2835 Club Drive 95765 (916) 624-4101	10/10/2022

Exhibit A
Rocklin Fire Department, FY 22/23 Completed State Required Inspections
GROUP E OCCUPANCIES (PUBLIC OR PRIVATE SCHOOLS)

	School Inspected	Inspection Date
23	Valley View Elementary School 3000 Crest Drive 95765 (916) 435-4844	10/11/2022
24	Victory High School 3250 Victory Drive 95765 (916) 632-3195	10/25/2022
25	Western Sierra Collegiate Academy 660 Menlo Drive 95765 (916) 778-4544	10/27/2022
26	Whitney High School 701 Wildcat Boulevard 95765 (916) 632-6500	10/19/2022

Exhibit B
Rocklin Fire Department, FY 22/23 Completed State Required Inspections
GROUP R OCCUPANCIES
(HOTELS, APARTMENTS, AND CERTAIN RESIDENTIAL CARE FACILITIES)

	R Occupancy Inspected	Inspection date
	HOTELS Residential Group R-1	
1	Comfort Inn & Suites 4420 Rocklin Road 95677	03/29/2023
2	Days Inn 4515 Granite Drive 95677	04/06/2023
3	Holiday Inn Express 6830 Five Star Boulevard 95677	04/07/2023
4	Rocklin Park Hotel 5450 China Garden Road 95677	03/31/2023
5	Staybridge Suites 6664 Lonetree Boulevard 95765	03/30/2023
6	Surestay Plus 4480 Rocklin Road 95677	03/29/2023
	APARTMENTS Residential Group R-2	
7	Broadstone Apartments 2301 Sunset Boulevard 95765	04/17/2023
8	College Manor Apartments 4207 Racetrack Road 95677	03/28/2023
9	Creekside Village Apartments 5500 Aguilar Road 95677	03/31/2023
10	The Brighton Apartments 6050 Placer West Drive 95677	04/17/2023
11	Edgewood Apartments 5761 Shannon Bay Drive 95677	04/21/2023
12	Emerald Pointe Apartments 101 Gold Circle 95765	04/17/2023
13	Garnet Creek Apartments 5002 Jewel Street 95677	04/07/2023
14	Granite Creek Apartments 1501 Cobble Creek Circle 95677	03/23/2023
15	The Everette Apartments 3300 Parkside Drive 95677	04/18/2023
16	Easton Apartments 5415 South Grove 95677	04/08/2023
17	The James Apartments 6201 West Oaks Boulevard 95765	03/27/2023
18	Lyn Roc Apartments 6105 Sunset Boulevard 95677	04/11/2023
19	Meridian Apartments 2121 Sunset Boulevard 95765	04/17/2023
20	Montessa 1150 Whitney Ranch Parkway 95765	03/27/2023
21	Oaks at Sunset I 201 Sammy Way 95765	04/03/2023
22	Oaks at Sunset II 2301 Sammy Way 95765	04/03/2023
23	Pacific Heights Apartments 4455 Pacific Street 95677	04/08/2023
24	Pacific Sunset Condos 2550 Sunset Boulevard 95677	03/20/2023
25	Placer West Apartments 6055 Placer West Drive 95677	03/27/2023
26	Quarry Oaks Apartments 3950 Evelyn Avenue 95677	03/29/2023
27	Rocklin Gold Apartments 2651 Sunset Boulevard 95677	04/03/2023
28	Rocklin Manor Apartments 5240 Rocklin Road 95677	04/11/2023
29	Rocklin Ranch Apartments 6601 Blue Oaks Boulevard 95765	04/17/2023
30	Rolling Oaks Apartments 5725 Shannon Bay Drive 95677	04/05/2023
31	Shaliko Apartments 5051 El Don Drive 95677	03/17/2023
32	Shannon Bay Apartments 5757 Shannon Bay Drive 95677	04/21/2023
33	Silver Oaks Apartments 5780 Springview Drive 95677	04/08/2023
34	Springview Oaks Apartments 5795 Springview Drive 95677	04/27/2023
35	The VUE at Rocklin Ridge 5902 Springview Drive 95677	03/27/2023
36	Stanford Court Apartments 3220 Santa Fe Way 95765	04/07/2023
37	Stanford Heights Apartments 5801 Little Rock Road 95765	04/07/2023
38	Sunset Apartments 3655 Sunset Boulevard 95677	03/27/2023
39	Sunset Summit Apartments 2151 Sunset Boulevard 95765	04/03/2023
40	Sutter Ridge Apartments 5800 Woodside Drive 95677	03/29/2023

Exhibit B
Rocklin Fire Department, FY 22/23 Completed State Required Inspections
GROUP R OCCUPANCIES
(HOTELS, APARTMENTS, AND CERTAIN RESIDENTIAL CARE FACILITIES)

	R Occupancy Inspected Apartments Continued	Inspection date
41	Vicara Condos 1240 Whitney Ranch Parkway 95765	05/03/2023
42	Villa Serena Apartments 101 Villa Serena Circle 95765	04/03/2023
43	Whitney Ranch Apartments 711 University Avenue 95765	04/17/2023
44	Winsted Apartments 101 Coppervale Circle 95765	04/11/2023
	DORMITORIES Residential Group R-2	
45	Sierra College 5100 Sierra College Boulevard 95677	03/31/2023
46	Jessup University 2121 University Avenue 95765	04/21/2023
	LARGE RESIDENTIAL CARE FACILITIES Residential Group R-4	
47	Ansel Park Assisted Living 1200 Orchid Dr 95765	03/23/2023
48	Atria 3201 Santa Fe Way 95765	03/30/2023
49	Sunrise Assisted Living 6100 Sierra College Blvd 95677	04/20/2023
50	The Pines 500 W Ranch View Dr 95765	04/04/2023
51	The Villas at Stanford Ranch 1430 W Stanford Ranch Rd 95765	04/03/2023

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Fire Station Alerting System

Date: June 27, 2023

Submitted By: Chris Hertel, Battalion Chief

Department: Fire

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Approving the Use of the National Purchasing Partners Cooperative Purchasing Agreement with US Digital Designs, Inc. for the Fire Station Alerting System Purchase; and Authorize the City Manager to Make the Purchase.

Background:
The City of Rocklin Fire Department operates three fire stations strategically located throughout the city, is comprised of 43 full-time employees, and protects a population of over 73,000 residents. In calendar year 2022 the Fire Department responded to 6,422 incidents.

The Fire Station Alerting (FSA) system is used to notify fire personnel of an incident from the Public Safety Dispatch Center. The primary goal of a FSA system is to rapidly reduce response times for first responders through a coordinated effort from dispatch centers and fire stations. The current FSA system was installed more than 25 years ago, with some upgrades made in 2020. The recommended replacement schedule for a FSA system is approximately 15 years. The current FSA system is past its useful life and the components are no longer supported by the manufacturer. The Fire Department has budgeted funding in both Fiscal Years 2022/23 and 2023/24 for this project.

Fire Department staff has selected the Phoenix G2 - Automated Fire Station Alerting system from US Digital Designs, Inc., a subsidiary of Honeywell, as the replacement FSA system. Phoenix G2 meets or exceeds the National Fire Protection Agency (NFPA) 1710 recommendations for response times.

The Phoenix G2 dynamic deployment model will provide enhanced performance in four critical areas: decreased response times, maximized situational awareness, streamlined dispatch process and seamless IT integration. Alerts from dispatch are delivered almost instantly to one or more stations simultaneously by computer automated voice. Visual and auditory peripherals throughout the station provide alert information so first responders are better-informed when they arrive on the scene. Ramping tones, lights and zoned alerting only notify on-call units. Automated dispatch alerting streamlines the process for dispatchers, helping to reduce stress.

Uptime is maximized under NFPA 1221 compliance redundant alerting pathways and manual alerting, so it can run during communication failures. A final additional benefit is the interoperability of this system with neighboring agencies, like the City of Roseville, allowing the Roseville dispatch center to view the availability of Rocklin apparatus for mutual aid calls.

The City, by utilizing the cooperative agreement with National Purchasing Partners (NPPGOV), can leverage the advantageous pricing, and terms and conditions already negotiated. The City's competitive bid process would unlikely realize the cost savings the US Digital Designs by Honeywell contract offers (Agreement PS20350). The City of Rocklin is already a member of NPPGOV. The cost of the new FSA system is approximately $510,000, before taxes.

Sections C and D of the Rocklin Municipal Code Chapter 3.04 authorize the City to piggyback contracts and enter into cooperative purchase arrangements with other governmental entities if a government agency has solicited bids and awarded a contract for the goods and services which the City desires to obtain. Staff recommends the City Council authorize the purchase of the replacement FSA system.

Fiscal Impact:

Sufficient resources are available in the General Fund (100) to support the recommended purchase in an amount not to exceed $550,000.

ATTACHMENTS:

1. Resolution with Exhibit A (US Digital Designs, Inc. Project Quotation)

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN APPROVING THE USE OF THE NATIONAL PURCHASING PARTNERS COOPERATIVE PURCHASE AGREEMENT WITH US DIGITAL DESIGNS, INC. FOR THE FIRE STATION ALERTING SYSTEM PURCHASE; AND AUTHORIZE THE CITY MANAGER TO MAKE THE PURCHASE

WHEREAS, the Rocklin Fire Department's Fire Station Alerting (FSA) system is past its useful life and in need of replacement; and

WHEREAS, the City has budgeted for the full replacement of the FSA at all three of the City's Fire Stations and Public Safety Dispatch Center; and

WHEREAS, Rocklin Municipal Code Chapter 3.04 authorizes the City to piggyback on contracts and enter into cooperative purchase arrangements with other governmental entities if a government agency has solicited bids and awarded a contract for the goods and/or services which the City desires to obtain, and will require approval of the City Council, for the contract amount greater than $50,000. The recommended purchase amount is not to exceed $550,000.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The City Manager is authorized to approve a purchase order with US Digital Designs, Inc. to purchase a Fire Station Alerting system for the City's Fire Department and Public Safety Dispatch Center, utilizing the National Purchasing Partners ("NPPGOV") Cooperative Purchasing Agreement with US Digital Designs, Inc., in the total amount not to exceed $550,000. The quote is attached hereto as Exhibit A.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

Exhibit A

US DIGITAL DESIGNS

Tempe, Arizona USA

Phoenix G2 - Automated Fire Station Alerting

Quotation to:

City of Rocklin, California
Rocklin Fire Department

Project:

G2 Fire Station Alerting System

One (1) Dispatch System / Three (3) Station Systems / Four (4) Years of Support

Proposal number:

CA_RKLN007

Revision #

1

Pricing protected pursuant to the Master Price Agreement entered into between League of Oregon Cities (LOC) and USDD, and made available to members of the National Purchasing Partners, LLC, dba Public Safety GPO, dba Law Enforcement GPO, and dba **NPPgov - Contract #PS20350**. More information is available at: **https://nppgov.com/contract/us-digital-designs**.

City of Rocklin is Already Member # M-5735147

Quote Date:

06-Jun-2023

Quote Expires:

4-Sep-2023

INSTALLATION BY:

Tech Electric

Bob McCable / bobm@tech-electric.com / (408) 510-0106

By:

Erik Hanson

Project Manager

US Digital Designs, Inc.

1835 E Sixth St #27

Tempe, AZ 85281

602-687-1739 direct

602-390-0403 mobile

erik.hanson@honeywell.com

[This Proposal is subject to corrections due to Errors or Omissions]

US DIGITAL DESIGNS

QUOTE

1835 E. Sixth St. Suite #27
Tempe, Arizona 85281
877-551-8733 tel *480-290-7892 fax*

DATE: **6/6/23**
Expires: **9/4/23**

Quote SUBMITTED TO:
City of Rocklin, California
Rocklin Fire Department

REF PROPOSAL
CA_RKLN007 v1

PRIMARY-DISPATCH-LEVEL

PRIMARY DISPATCH G2 FSA SYSTEM

Dispatch center costs typically only need to be assumed once per dispatching agency, no matter how many stations are dispatched (unless redundant centers or further modifications are needed).

DISPATCH SYSTEM INTERFACES

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
DI1	LOT	USDD	**0**	**Radio System Interface** (Full Console Interface) - (Requires owner-furnished, dedicated, console - specifically and solely tasked for Station Alerting)	**RSI-P**	$ 13,650.00	**$ 12,285.00**	**-**	
DI2	LOT	CAD	**1**	**CAD Interface** - **Sunridge RIMS** (**USDD-side Only** - Customer responsibility to discuss CAD-side costs (if any) with their vendor)	**CADI-P**	$ 14,250.00	**$ 12,825.00**	**12,825.00**	

DISPATCH SYSTEM COMPONENTS

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
DC1	PR	USDD	**1**	**G2 Communications Gateway - Virtual Machine Environment (VMWare)**	**G2-GW-VM**	$ 10,425.00	**$ 9,382.50**	**9,382.50**	
DC2a	Kit	USDD	**1**	**G2 Gateway Audio Radio Interface** (**GaRi**) - Kitted with Flange-Mount, Rack-Mount Adapter Available if needed	**GaRI2**	$ 2,450.00	**$ 2,205.00**	**2,205.00**	
DC2b	Kit	USDD	**0**	**Rack-Mount Adapter Plate** - Mounts (up to) 2 GaRI2s in 19" Rack	**GaRI2-RMP**	$ 65.00	**$ 58.50**	**-**	
DC3	Kit	USDD	**0**	**G2 HDTV REMOTE** Module (TV & Electrical Outlet by Others)	**TVR**	$ 975.00	**$ 877.50**	**-**	
DC4	Kit	USDD	**0**	**G2 Light Tower Interface**	**LTI**	$ 675.00	**$ 607.50**	**-**	

DISPATCH SYSTEM SERVICES

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
DS1	HR	USDD	**50**	**Gateway Configuration & Modifications**	**GW-CM**	$ 325.00	**$ 292.50**	**14,625.00**	
DS2a	LOT	USDD	**1**	**Gateway Installation / On-Site BY USDD** (with direct coordinated assistance by authorized customer CAD, Radio & IT personnel)	**GW-I-O**	$ 875.00	**$ 787.50**	**787.50**	

DS2b	LOT	USDD	**0**	**Gateway Installation** / **BY CUSTOMER** (with REMOTE ASSISTANCE by USDD Personnel)	**GW-I-C**	$ 375.00	**$ 337.50**	**-**	
DS3	LOT	USDD	**1**	**Gateway Start-Up** / **On-Site BY USDD** (with direct coordinated assistance by authorized customer CAD, Radio & IT personnel)	**GW-SU-O**	$ 5,925.00	**$ 5,332.50**	**5,332.50**	
DS4	LOT	USDD	**1**	**Gateway Project Management**	**GW-PM**	$ 347.63	**$ 312.86**	**312.86**	
DS5a	LOT	USDD	**1**	**Training - System Administrator / Dispatch Supervisor** - **On-Site** (4 Hours)	**TRA-DIS-O**	$ 4,425.00	**$ 3,982.50**	**3,982.50**	
DS5b	LOT	USDD	**0**	**Training - System Administrator / Dispatch Supervisor** - **Remote Refresh** (4 Hours)	**TRA-DIS-R**	$ 1,325.00	**$ 1,192.50**	**-**	
DS6a	LOT	USDD	**1**	**Training - Station-Level Configuration and Equipment Usage** - **On-Site** (4 Hours)	**TRA-STA-O**	$ 4,425.00	**$ 3,982.50**	**3,982.50**	
DS6b	LOT	USDD	**0**	**Training - Station-Level Configuration and Equipment Usage** - **Remote Refresh** (4 Hours)	**TRA-STA-R**	$ 1,325.00	**$ 1,192.50**	**-**	
DS7a	LOT	USDD	**0**	**Training - Installation Contractor** - **On-Site** / USDD G2 Certification / 8 Hours (TBD - only needed if required to use non-certified contractor)	**TRA-IC-O**	$ 6,125.00	**$ 5,512.50**	**-**	
DS7b	LOT	USDD	**0**	**Training - Installation Contractor** - **At Arizona Training Center** / USDD G2 Certification / 8 Hours (TBD - only needed if required to use non-certified contractor)	**TRA-IC-AZ**	$ 3,125.00	**$ 2,812.50**	**-**	
DS8	LOT	USDD	**0**	Misc Option 1		$ -	**$ -**	**-**	
DS9	LOT	USDD	**0**	Misc Option 2		$ -	**$ -**	**-**	

PRIMARY DISPATCH G2 FSA SYSTEM	**System Total:**	**53,435.36**
	Shipping Total:	**119.00**
	System Subtotal	**53,554.36**

PRIMARY DISPATCH MOBILE APP SERVICE

for IOS and ANDROID Platforms Only - In Conjunction with USDD Communications Gateways Only

For each year the FSA System is under standard warranty or elected recurring support coverage, USDD would like to offer our G2 Mobile Station Alerting App to those customers at no additional cost (in groups of 24 licenses-per-ATX-purchased).

# of Mobile App Device Licenses (Users) Requested:	**72**
# of Stations within the agency to be Alerted:	**3**
# of Stations to have ATX Station Controller Installed:	**3**

APP DEVICE LICENSES - INCLUDED (at no additional cost, x24 Per-ATX Installed while under Warranty/Support)

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
AP1	Ea/Yr	USDD	**72**	G2 MOBILE FSAS APP - Single Device License / Per Year Cost ($9.00 / $8.10 per Month)	**APP-DLI**	$ 108.00	**$ 97.20**	**$6998.4 but no cost/included while under warranty or elected support**	

APP DEVICE LICENSES - ADDITIONAL NEEDED (or surplus available)

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
AP2	Ea/Yr	USDD	**0**	G2 MOBILE FSAS APP - Single Device License / Per Year Cost ($9.00 / $8.10 per Month)	**APP-DLA**	$ 108.00	**$ 97.20**	**-**	

(If no longer under Warranty or Elected Support) Number of Years Purchasing for this License	**1**

PRIMARY DISPATCH MOBILE APP SERVICE Yearly Total:	**-**
All Years Subtotal:	**-**

USDD G2 MOBILE FSA APP:

USDD has developed the Phoenix G2 FSA Mobile Application (the “App”) to provide a remote extension of the customer’s existing G2 FSAS. **Mobile App Only Available to Customers Using USDD's G2 Communications Gateways interfaced to a formal Computer Aided Dispatch (CAD) System**. The App is supported by Apple and Android devices. The App will interface with the Agency’s CAD to send simultaneous mobile alerts, including dispatch announcements, administrative alerts, IT support notifications and application update notifications to authorized personnel via their smartphones and tablets. The App alerts personnel as individuals or groups (e.g., stations, battalions, districts, etc.) wherever they are, making it especially ideal for volunteers and reserves. The mobile alerts plays the same tones as those in the station, shows incident location using the device’s built-in mapping capabilities and enables users to save notifications for future reference and search for previous notifications. In addition, the App provides an easy-access email address and phone link to local IT support. Each ATX Station Controller will enable x24 App licenses at no additional charge while the System is under warranty or annual service and support. Additional licenses can be obtained on a sliding cost scale. It should be noted, however, that the performance of mobile alerting is subject to network reliability and coverage.

So your eventual needs will be determined when you let us know how many device licenses you would need, versus the 24 devices-licenses-per-ATX-purchased and currently under warranty or support. As long as the purchased/installed ATX Station Controller is currently under warranty or elected recurring annual support, then there is no additional annual cost for up to x24 individual device licenses for the G2 Mobile FSA App.

Please Note that if customer declines Recurring Annual Support Options, then they will have to pay separately for the App or forfeit ability to use the G2 Mobile Alerting App. Any deviation from device license numbers listed above subjects proposal to change.

PRIMARY DISPATCH MAPPING SERVICE

Mapping System costs typically only need to be assumed once per dispatching agency, no matter how many stations are dispatched (unless redundant centers or further modifications are needed). Costs for this part of the system are often shared between consolidated agencies.

USDD-HOSTED MAPPING - INCLUDED (at no additional cost while under Warranty/Support)

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
DM1	YR	USDD	**1**	**G2 FSA Mapping Server - Yearly Hosting Cost** (Cloud/USDD Hosted) Using National Street Data we provide, as-is	**MAP-CLD**	$ 1,540.00	**$ 1,386.00**	1386 included at no charge/no additional cost	

CUSTOMER-HOSTED MAPPING

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
DM2	EA	USDD	**0**	**G2 FSA Mapping Server** (Hardware for mapping interface) 1@2RU ONLY NEED TO INCLUDE IF YOU DO NOT WISH USDD TO HOST. Would contain only your data / Accessible only to your system.	**MAP-CUS**	$ 5,215.00	**$ 4,693.50**	-	

MAPPING SYSTEM SERVICES

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
DM3	HR	USDD	**0**	**System Configuration and Modification** for Mapping	**MAP-CM**	$ 325.00	**$ 292.50**	-	
DM4	LOT	USDD	**0**	**Customer-Hosted Mapping Server Configuration and Start-up**	**MAP-CSU-O**	$ 5,925.00	**$ 5,332.50**	-	
DM5	LOT	USDD	**0**	**Mapping Gateway Project Management**	**MAP-PM**	$ 415.00	**$ 373.50**	-	
DM6a	LOT	USDD	**0**	**Training - Mapping Service - On-Site** (4 Hours)	**MAP-TRN-O**	$ 4,425.00	**$ 3,982.50**	-	
DM6b	LOT	USDD	**0**	**Training - Mapping Services - At Arizona Training Center**	**MAP-TRN-AZ**	$ 1,550.00	**$ 1,395.00**	-	
DM6c	LOT	USDD	**0**	**Training - Mapping Services - Remote Refresh** (4 Hours)	**MAP-TRN-R**	$ 1,325.00	**$ 1,192.50**	-	
DM7	LOT	USDD	**0**	Misc Option 1		$ -	**$ -**	-	

PRIMARY DISPATCH MAPPING SERVICE	**System Total:**	**-**
	Shipping Total:	**-**
	System Subtotal	**-**

PRIMARY DISPATCH WARRANTY & SUPPORT

INCLUDES G2 MOBILE SMART-PHONE ALERTING APPS & USDD-HOSTED MAPPING SERVICES (if available). Customer must elect to choose any coverage they require beyond initial warranty period, or USDD will not be authorized to provide any service or support. Mobile Smart Phone Alerting App and Mapping Services only available to customer while under warranty or elected recurring annual support. Support Agreements subject to change if system design is modified. For additional details, please review current USDD Warranty Statement and Service Agreement

DISPATCH-LEVEL WARRANTY & OPTIONAL RECURRING ANNUAL SUPPORT

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
DW1	HR	USDD	**1.5**	[STANDARD] **1st YEAR WARRANTY & SUPPORT** FOR THIS DISPATCH SYSTEM (or Component): Telephone / Remote Access Support (8:00 AM - 5:00 PM MST) PLEASE NOTE: An additional 6 months (for total of 18 months/1.5 years) of initial warranty has been offered by USDD for no additional cost so all stations can be installed and enjoy same warranty/support start/stop dates)	**RS-1YR-STD**	$ 2,712.50	**$2,441.25**	**3661.875 but No Charge For Initial Warranty Period / Not Included in Subtotals**	
DW2	LOT	USDD	**2.5**	[STANDARD] EACH **ADDITIONAL YEAR** (12-Months) **WARRANTY & SUPPORT** FOR THIS DISPATCH SYSTEM (or Component): Telephone / Remote Access Support (8:00 AM - 5:00 PM MST) IF QUANTITY '0' THEN NO ADDITIONAL SUPPORT IS ASSUMED OR AUTHORIZED BEYOND INITIAL WARRANTY PERIOD	**RS-AYR-STD**	$ 2,712.50	**$ 2,441.25**	**6,103.13**	

INDIVIDUAL DISPATCH SYSTEMS TOTALS

PRIMARY DISPATCH G2 FSA SYSTEM TOTAL:	**53,554.36**
PRIMARY DISPATCH MOBILE APP SERVICE TOTAL:	**-**
PRIMARY DISPATCH MAPPING SERVICE TOTAL:	**-**

ENTIRE DISPATCH-LEVEL SUBTOTALS (NOW INCLUDING WARRANTY, OPTIONAL SUPPORT & TAX AS WELL)

ALL PRIMARY-DISPATCH-LEVEL SYSTEMS SUBTOTAL:	**53,435.36**
ALL PRIMARY-DISPATCH-LEVEL SHIPPING SUBTOTAL:	**119.00**
ALL PRIMARY-DISPATCH-LEVEL WARRANTY & SUPPORT:	**6,103.13**
ALL DISPATCH-LEVEL MISCELLANEOUS. (if applicable)**:**	**-**
PRIMARY PRIMARY-DISPATCH-LEVEL GRAND TOTAL:	**59,657.49**

(SEE 'SECTION TOTALS' PAGE FOR EVEN MORE DETAIL)

This quote does not include or assume any amounts for **sales or use tax**. Customer needs to contact its procurement department to determine if sales or use tax is payable, and if so, to make the determination of the amount to be paid. Per our contracts, Customer is responsible for the payment of any sales or use taxes owed from any purchase from USDD.

US DIGITAL DESIGNS

1835 E. Sixth St. Suite #27
Tempe, Arizona 85281
877-551-8733 tel *480-290-7892 fax*

QUOTE

DATE: **6/6/23**
Expires: **9/4/23**

Quote SUBMITTED TO:
City of Rocklin, California
Rocklin Fire Department

REF PROPOSAL
CA_RKLN007 v1 **STATION-LEVEL**

STATION 23

Based from USDD G2 Fire Station Alerting System Design Drawing # **USDD.CA_RKLN.FS.ALLSTATIONS.FSA.2023.05.31.pdf**

STATION SYSTEM LICENSES

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SL1	Ea	USDD	**1**	**G2 VOICEALERT** - Single Station License.	**VA**	$ 1,030.00	**$ 927.00**	**$ 927.00**	
SL2	Ea/Yr	USDD	**24**	**G2 MOBILE FSAS APP** - Single Device License. Up to 24 Licenses-Per-ATX are **offered at $0.00 cost each** as long as system is currently under warranty or elected recurring annual support coverage. See 'Mobile' Section for more detail.	**G2-APP-DLI**	$ 108.00	**$ 97.20**	**N/A - Included**	

STATION SYSTEM CONTROLLER

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SC1	Kit	USDD	**1**	**G2 ATX STATION CONTROLLER -** Power/Signal/Control up to 8 peripheral Remote Options. 4 Unique Amps/Zones available.	**ATX**	$ 21,750.00	**$ 19,575.00**	**$ 19,575.00**	
SC2	Kit	USDD	**1**	**G2 EXPANSION KIT** - Allows ability to Power/Signal/Control up to 12 more peripheral Remote options per EXP.	**EXP**	$ 7,325.00	**$ 6,592.50**	**$ 6,592.50**	
SC3a	Kit	USDD	**0**	Rack Mount Ears for ATX or EXP	ATX-E	$ 64.00	**$ 57.60**	**$ -**	
SC3b	Kit	USDD	**0**	Base Plate for ATX or EXP	ATX-P	$ 64.00	**$ 57.60**	**$ -**	
SC4a	Ea	TBD	**2**	ATX UPS, Standard	UPS-STD	$ 923.00	**$ 830.70**	**$ 1,661.40**	
SC4b	Ea	TBD	**2**	Shelf/Bracket, Wall-Mount for UPS	UPS-WMB	$ 65.00	**$ 58.50**	**$ 117.00**	

STATION SYSTEM PERIPHERAL COMPONENTS

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SP1a	Ea	TBD	**0**	Audio Amplifier, External, Standard	AMP	$ 987.00	**$ 888.30**	**$ -**	
SP1b	Ea	TBD	**0**	Shelf, Under Table or Wall Mount, for 1U 1/2 Rack	AMP-S	$ 76.00	**$ 68.40**	**$ -**	
SP2	Ea	USDD	**0**	**G2 COLOR INDICATOR REMOTE** Module - Up to 8 unique colors	**CIR**	$ 825.00	**$ 742.50**	**$ -**	
SP3a	Ea	USDD	**2**	**G2 HDTV REMOTE** Module (TV & Electrical Outlet by Others; C.E.C. control subject to TV ability)	**TVR**	$ 975.00	**$ 877.50**	**$ 1,755.00**	
SP3b	Ea	TBD	**0**	Flat Panel Monitor / Smart HDTV 40-43" (Electrical Outlet/Provision By Others; C.E.C. control subject to TV ability)	**FP-43**	$ 1,100.00	**$ 990.00**	**$ -**	
SP3c	Ea	TBD	**0**	Flat Panel/TV Mount - Universal 23"-46" Tilt	**FPM-U**	$ 115.00	**$ 103.50**	**$ -**	
SP4	Ea	USDD	**0**	**G2 I/O REMOTE** Module w/ 8 In & 8 Out	**IOR**	$ 1,375.00	**$ 1,237.50**	**$ -**	
SP5	Ea	USDD	**1**	Push Button, Standard (Black)	PB-B	$ 110.00	**$ 99.00**	**$ 99.00**	
SP6	Ea	USDD	**2**	Push Button, Emergency (Red)	PB-R	$ 110.00	**$ 99.00**	**$ 198.00**	
SP7	Ea	USDD	**1**	**G2 MESSAGE REMOTE 2** Module	**MR2**	$ 1,425.00	**$ 1,282.50**	**$ 1,282.50**	

SP8a	Ea	USDD	**0**	**G2 MESSAGE SIGN** (Digital LED) **MINI GammaSign** / 12" Active Screen Width / **Turn Out Timing ONLY**	**MS-G-M**	$ 915.00	**$ 823.50**	**$ -**	
SP8b	Ea	USDD	**8**	**G2 MESSAGE SIGN** (Digital LED) **STANDARD GammaSign** / 24" Active Screen Width	**MS-G-S**	$ 1,050.00	**$ 945.00**	**$ 7,560.00**	
SP8c	Ea	USDD	**0**	**G2 MESSAGE SIGN** (Digital LED) **EXTENDED GammaSign** / 36" Active Screen Width	**MS-G-E**	$ 1,575.00	**$ 1,417.50**	**$ -**	
SP9a	Ea	USDD	**0**	MS-G Adapter Plate, SINGLE. VESA 100, joins (1) MS-G-S (or-E) to any standard mount with VESA 100 hole patterns (mount not included)	**MS-AP-S**	$ 55.00	**$ 49.50**	**$ -**	
SP9b	Ea	USDD	**3**	MS-G Adapter Plate, DOUBLE, VESA 100, joins (2) MS-G -S(or-E) to any standard mount with VESA 100 hole patterns (mount not included)	**MS-AP-D**	$ 65.00	**$ 58.50**	**$ 175.50**	
SP9c	Ea	USDD	**0**	MS-G Hanger Kit. Hangs single or double (back-to-back) Message Signs (Gamma Version) from Ceiling. Includes both suspended ceiling T-Bar Scissor Clips and Hard-Pan Flange Mounts.	**MS-HK**	$ 110.00	**$ 99.00**	**$ -**	
SP9d	Ea	TBD	**3**	MS Mount - Articulating, Long reach	MS-MNT-ART-L	$ 325.00	**$ 292.50**	**$ 877.50**	
SP10a	Ea	USDD	**15**	**G2 ROOM REMOTE 2** Module	**RR2**	$ 2,025.00	**$ 1,822.50**	**$ 27,337.50**	
SP10b	Ea	USDD	**0**	RR2 Adpater Plate, for Retrofit in RR1 Wall Cavity	RR2-AP	$ 75.00	**$ 67.50**	**$ -**	
SP10c	Ea	USDD	**0**	RR2 Surface Mount Box, for SURFACE MOUNT (hard wall) installation. Three (3) 3/4" conduit knock-outs.	RR2-SMB	$ 175.00	**$ 157.50**	**$ -**	
SP11a	Ea	USDD	**22**	**G2 SPEAKER - LED Illuminated** - FLUSH Mount, 70v	**SPK-LED-FM**	$ 325.00	**$ 292.50**	**$ 6,435.00**	
SP11b	Ea	USDD	**0**	**G2 SPEAKER - LED Illuminated** - SURFACE Mount (Metal Box), 70v	**SPK-LED-SM**	$ 325.00	**$ 292.50**	**$ -**	
SP12a	Ea	USDD	**1**	**G2 SPEAKER - OmniAlertStrobe -** Omnidirectional Alerting Speaker, optimized for high Vocal Intelligibility in large open indoor areas and **with High-Intensity LED Strobe Light Arrays** - includes Cable Hanging Kit (requires MR2 for power/signal/control)	**SPK-OAS**	$ 1,150.00	**$ 1,035.00**	**$ 1,035.00**	
SP12b	Ea	USDD	**0**	SPK-OAS/OmniStrobe Mounting Bracket / BEAM FLANGE CLIP- for mounting directly onto an exposed (1/8-14") I-Beam	SPK-OAS-BFC	$ 23.00	**$ 20.70**	**$ -**	
SP12c	Ea	USDD	**0**	SPK-OAS/OmniStrobe Mounting Bracket /DROP CEILING BRACKET- for mounting directly to T-Bar in Suspended Ceiling	SPK-OAS-DCB	$ 55.00	**$ 49.50**	**$ -**	
SP12d	Ea	USDD	**0**	SPK-OAS/OmniStrobe Mounting Bracket / SURFACE MOUNT - for mounting directly to hard ceiling	SPK-OAS-SMB	$ 55.00	**$ 49.50**	**$ -**	
SP13a	Ea	USDD	**8**	SPEAKER - STANDARD, FLUSH Mount, 70v	SPK-STD-FM	$ 105.00	**$ 94.50**	**$ 756.00**	
SP13b	Ea	USDD	**0**	SPEAKER - STANDARD, SURFACE Mount (Metal Box), 70v	SPK-STD-SM	$ 105.00	**$ 94.50**	**$ -**	
SP14	Ea	USDD	**2**	SPEAKER - APP BAY/OUTDOOR - Weatherized, Surface Mount, 70v	SPK-W-SM	$ 325.00	**$ 292.50**	**$ 585.00**	
SP15	Ea	USDD	**3**	**G2 Strobe Light** / Red LED	**STR**	$ 575.00	**$ 517.50**	**$ 1,552.50**	
SP16	Ea	USDD	**2**	Transformer, 8ohm to 70V, External	XFMR	$ 53.00	**$ 47.70**	**$ 95.40**	
SP17	Ea	USDD	**0**	VIDEO DOOR STATION - Doorbell & Camera that ties into G2 FSAS (HDTV Remote) - Includes Power Injector	VDS	$ 1,700.00	**$ 1,530.00**	**$ -**	

STATION SYSTEM SERVICES

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SS1	Ea	USDD	**1**	**Station Installation** (TechElectric)	**ST-INST**	$ 62,691.78	**$ 56,422.60**	**$ 56,422.60**	
SS2	Ea	USDD	**0**	**Station Remediation** (Removal and Disposal of Legacy Equipment Not currently Assumed or Included, nor is any related Remediation to Paint, Drywall, etc.)	**ST-INST**	$ -	**$ -**	**$ -**	
SS3	Ea	USDD	**1**	**Station Configuration & Start-Up**	**ST-SU**	$ 3,494.08	**$ 3,144.67**	**$ 3,144.67**	
SS4	Ea	USDD	**1**	**Station Project Management**	**ST-PM**	$ 1,834.39	**$ 1,650.95**	**$ 1,650.95**	
SS5	Ea	USDD	**1**	**Station Engineering / Design Services**	**ST-ES**	$ 611.46	**$ 550.32**	**$ 550.32**	
SS6	Ea	USDD	**1**	**Station Documentation**	**ST-DM**	$ 52.41	**$ 47.17**	**$ 47.17**	
SS7a	Ea	USDD	**0**	**Station Training - Configuration and Equipment. On-Site @ Station.** 4 Hours, 1 Visit. (for Technical Services Staff)	**TRA-UT-O**	$ 4,425.00	**$ 3,982.50**	**$ -**	
SS7b	Ea	USDD	**0**	**Station Training - User/Technician** / Remote Refresh (2 Hours)	**TRA-UT-R**	$ 650.00	**$ 585.00**	**$ -**	
SS8a	Ea	USDD	**0**	**Training - Installation Contractor - On-Site** / USDD G2 Certification / 8 Hours (TBD - only needed if requied to use non-certified contractor)	**TRA-IC-O**	$ 6,125.00	**$ 5,512.50**	**$ -**	
SS8b	Ea	USDD	**0**	**Training - Installation Contractor - At Arizona Training Center** / USDD G2 Certification / 8 Hours (TBD - only needed if required to use non-certified contractor)	**TRA-IC-AZ**	$ 3,125.00	**$ 2,812.50**	**$ -**	
SS9	Ea	USDD	**0**	**Miscellaneous/TBD**	**MISC**	$ -	**$ -**	**$ -**	

STATION SYSTEM WARRANTY & OPTIONAL RECURRING ANNUAL SUPPORT

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SW1	YR	USDD	**1.5**	[**STANDARD**] **1st YEAR WARRANTY & SUPPORT** FOR THIS STATION SYSTEM (or component): Telephone / Remote Access Support (8:00 AM - 5:00 PM MST) PLEASE NOTE: An additional 6 months (for total of 18 months/1.5 years) of initial warranty has been offered by USDD for no additional cost so all stations can be installed and enjoy same warranty/support start/stop dates)	**RS-1YR-STD**	$ 8,735.20	**$ 7,861.68**	11792.52 but No Charge For Initial Warranty Period / Not Included in Subtotals	
SW2	YR	USDD	**2.5**	[**STANDARD**] EACH **ADDITIONAL YEAR** (12-Months) **WARRANTY & SUPPORT** FOR THIS STATION SYSTEM (or Component): Telephone / Remote Access Support (8:00 AM - 5:00 PM MST) IF QUANTITY '0' THEN NO ADDITIONAL SUPPORT IS ASSUMED OR AUTHORIZED BEYOND INITIAL WARRANTY PERIOD	**RS-AYR-STD**	$ 8,735.20	**$ 7,861.68**	**$ 19,654.20**	

STATION 23		
	System:	**$ 140,432.51**
	Shipping:	**$ 1,856.00**
	Warranty & Support:	**$ 19,654.20**
	Miscellaneous (if applicable)	**$ -**
	STATION SUBTOTAL:	**$ 161,942.71**

This quote does not include or assume any amounts for **sales or use tax**. Customer needs to contact its procurement department to determine if sales or use tax is payable, and if so, to make the determination of the amount to be paid. Per our contracts, Customer is responsible for the payment of any sales or use taxes owed from any purchase from USDD.

Warranty & Support Notes:
Customer must elect to choose any coverage they require beyond initial warranty period, or USDD will not be authorized to provide any service or support. Mobile Smart Phone Alerting App and Mapping Services only available to customer while under warranty or elected recurring annual support. Support Agreements subject to change if system design is modified. For additional details, please review current USDD Warranty Statement and Service Agreement. USDD cannot warrant nor support any system configuration that deviates from this specific proposal's documented station system design file number. USDD cannot warrant nor support any system not using USDD-approved UPS Battery Backup. USDD cannot warrant nor support any system not installed by G2 Trained & Certified Installation technician (installer). If customer intends to tie this system into any 3rd-party system or devices, USDD will be unable to warrant or support the sytem until we've had a chance to review documented engineering assumptions and approve system integrity, performance and reliability expectations. For FSAaaS Program: The cost of service and support beyond initial warranty period is included in the FSASaaS Program for a total of 5 years. The service and support includes Mobile Smart Phone Alerting App and Mapping Services. Please see the FSASaaS Subscription Agreement for more information concerning the service and support provided by USDD. USDD cannot warrant nor support any system not using USDD-approved UPS Battery Backup. USDD cannnot warrant nor support any system not installed by G2 Trained & Certified Installation technician (installer). If customer intends to tie this system into any 3rd-party system or devices, USDD will be unable to warrant or support the sytem until we've had a chance to review documented engineering assumptions and approve system integrity, performance and reliability expectations.

Station System Installation Notes:
01 - Unless specifically detailed in this proposal, no installation by USDD or it's subcontractors is assumed or provided.
02 - Because these are mission-critical systems, USDD can only warrant and support systems installed by G2 Trained and Certified Contractors.
03 - USDD can source, qualify, train and certify Local Licensed Regional Subcontrators where needed.
04 - Installation warranted by installation contractor - G2 FSAS warranted, serviced and supported by USDD.
05 - Unless specifically detailed in this proposal, installation to be performed during normal working hours.
06 - Unless specifically detailed in this proposal, no permit fees or material charges have been included.
07 - Unless specifically detailed in this proposal, no removal or remediation has been assumed or included.
08 - Unless specifically detailed in this proposal, no bonds of any type (performance, bid) have been assumed,included or budgeted for in this proposal.
09 - USDD FSAS Equipment to be made available by owner to Installation Contractor prior to on-site arrival.
10 - Structural backing for system devices and other millwork (not specifically detailed) by others.
11 - If applicable, Gas Control Shutoff Valve Addendum (to USDD and installation contractor) must be signed prior to installation.
12 - All electrical power, including (but not limited to) raceway,conduit, backboxes, service panels, high-voltage wiring and fixtures by others.
13 - All communications pathway infrastructure (network, radio, etc.) by others unless specifically detailed in this proposal.
14 - USDD cannot warrant nor support any owner-furbished (3rd-Party) system or component we are required to integrate with. USDD cannot warrant nor support any system or component it has not proofed engineering for and has not specifically authorized for use within public safety environments.
15 - Any misuse, unauthorized modification, improper installation, excessive shock, attempted repair, accident, or improper or negligent use, storage, transportation, or handling by any party other than USDD shall render this limited warranty null, void and of no further effect

US DIGITAL DESIGNS

1835 E. Sixth St. Suite #27
Tempe, Arizona 85281
877-551-8733 tel *480-290-7892 fax*

QUOTE

DATE: **6/6/23**
Expires: **9/4/23**

Quote SUBMITTED TO:
City of Rocklin, California
Rocklin Fire Department

REF PROPOSAL
CA_RKLN007 v1 **STATION-LEVEL**

STATION 24

Based from USDD G2 Fire Station Alerting System Design Drawing # **USDD.CA_RKLN.FS.ALLSTATIONS.FSA.2023.05.31.pdf**

STATION SYSTEM LICENSES

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SL1	Ea	USDD	**1**	**G2 VOICEALERT** - Single Station License.	**VA**	$ 1,030.00	**$ 927.00**	**$ 927.00**	
SL2	Ea/Yr	USDD	**24**	**G2 MOBILE FSAS APP** - Single Device License. Up to 24 Licenses-Per-ATX are **offered at $0.00 cost each** as long as system is currently under warranty or elected recurring annual support coverage. See 'Mobile' Section for more detail.	**G2-APP-DLI**	$ 108.00	**$ 97.20**	**N/A - Included**	

STATION SYSTEM CONTROLLER

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SC1	Kit	USDD	**1**	**G2 ATX STATION CONTROLLER -** Power/Signal/Control up to 8 peripheral Remote Options. 4 Unique Amps/Zones available.	**ATX**	$ 21,750.00	**$ 19,575.00**	**$ 19,575.00**	
SC2	Kit	USDD	**1**	**G2 EXPANSION KIT** - Allows ability to Power/Signal/Control up to 12 more peripheral Remote options per EXP.	**EXP**	$ 7,325.00	**$ 6,592.50**	**$ 6,592.50**	
SC3a	Kit	USDD	**0**	Rack Mount Ears for ATX or EXP	ATX-E	$ 64.00	**$ 57.60**	**$ -**	
SC3b	Kit	USDD	**0**	Base Plate for ATX or EXP	ATX-P	$ 64.00	**$ 57.60**	**$ -**	
SC4a	Ea	TBD	**2**	ATX UPS, Standard	UPS-STD	$ 923.00	**$ 830.70**	**$ 1,661.40**	
SC4b	Ea	TBD	**2**	Shelf/Bracket, Wall-Mount for UPS	UPS-WMB	$ 65.00	**$ 58.50**	**$ 117.00**	

STATION SYSTEM PERIPHERAL COMPONENTS

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SP1a	Ea	TBD	**0**	Audio Amplifier, External, Standard	AMP	$ 987.00	**$ 888.30**	**$ -**	
SP1b	Ea	TBD	**0**	Shelf, Under Table or Wall Mount, for 1U 1/2 Rack	AMP-S	$ 76.00	**$ 68.40**	**$ -**	
SP2	Ea	USDD	**0**	**G2 COLOR INDICATOR REMOTE** Module - Up to 8 unique colors	**CIR**	$ 825.00	**$ 742.50**	**$ -**	
SP3a	Ea	USDD	**2**	**G2 HDTV REMOTE** Module (TV & Electrical Outlet by Others; C.E.C. control subject to TV ability)	**TVR**	$ 975.00	**$ 877.50**	**$ 1,755.00**	
SP3b	Ea	TBD	**0**	Flat Panel Monitor / Smart HDTV 40-43" (Electrical Outlet/Provision By Others; C.E.C. control subject to TV ability)	**FP-43**	$ 1,100.00	**$ 990.00**	**$ -**	
SP3c	Ea	TBD	**0**	Flat Panel/TV Mount - Universal 23"-46" Tilt	**FPM-U**	$ 115.00	**$ 103.50**	**$ -**	
SP4	Ea	USDD	**1**	**G2 I/O REMOTE** Module w/ 8 In & 8 Out	**IOR**	$ 1,375.00	**$ 1,237.50**	**$ 1,237.50**	
SP5	Ea	USDD	**5**	Push Button, Standard (Black)	PB-B	$ 110.00	**$ 99.00**	**$ 495.00**	
SP6	Ea	USDD	**6**	Push Button, Emergency (Red)	PB-R	$ 110.00	**$ 99.00**	**$ 594.00**	
SP7	Ea	USDD	**2**	**G2 MESSAGE REMOTE 2** Module	**MR2**	$ 1,425.00	**$ 1,282.50**	**$ 2,565.00**	

SP8a	Ea	USDD	**0**	**G2 MESSAGE SIGN** (Digital LED) **MINI GammaSign** / 12" Active Screen Width / **Turn Out Timing ONLY**	**MS-G-M**	$ 915.00	**$ 823.50**	**$ -**	
SP8b	Ea	USDD	**9**	**G2 MESSAGE SIGN** (Digital LED) **STANDARD GammaSign** / 24" Active Screen Width	**MS-G-S**	$ 1,050.00	**$ 945.00**	**$ 8,505.00**	
SP8c	Ea	USDD	**1**	**G2 MESSAGE SIGN** (Digital LED) **EXTENDED GammaSign** / 36" Active Screen Width	**MS-G-E**	$ 1,575.00	**$ 1,417.50**	**$ 1,417.50**	
SP9a	Ea	USDD	**0**	MS-G Adapter Plate, SINGLE. VESA 100, joins (1) MS-G-S (or-E) to any standard mount with VESA 100 hole patterns (mount not included)	**MS-AP-S**	$ 55.00	**$ 49.50**	**$ -**	
SP9b	Ea	USDD	**3**	MS-G Adapter Plate, DOUBLE, VESA 100, joins (2) MS-G -S(or-E) to any standard mount with VESA 100 hole patterns (mount not included)	**MS-AP-D**	$ 65.00	**$ 58.50**	**$ 175.50**	
SP9c	Ea	USDD	**0**	MS-G Hanger Kit. Hangs single or double (back-to-back) Message Signs (Gamma Version) from Ceiling. Includes both suspended ceiling T-Bar Scissor Clips and Hard-Pan Flange Mounts.	**MS-HK**	$ 110.00	**$ 99.00**	**$ -**	
SP9d	Ea	TBD	**3**	MS Mount - Articulating, Long reach	MS-MNT-ART-L	$ 325.00	**$ 292.50**	**$ 877.50**	
SP10a	Ea	USDD	**11**	**G2 ROOM REMOTE 2** Module	**RR2**	$ 2,025.00	**$ 1,822.50**	**$ 20,047.50**	
SP10b	Ea	USDD	**0**	RR2 Adpater Plate, for Retrofit in RR1 Wall Cavity	RR2-AP	$ 75.00	**$ 67.50**	**$ -**	
SP10c	Ea	USDD	**0**	RR2 Surface Mount Box, for SURFACE MOUNT (hard wall) installation. Three (3) 3/4" conduit knock-outs.	RR2-SMB	$ 175.00	**$ 157.50**	**$ -**	
SP11a	Ea	USDD	**24**	**G2 SPEAKER - LED Illuminated** - FLUSH Mount, 70v	**SPK-LED-FM**	$ 325.00	**$ 292.50**	**$ 7,020.00**	
SP11b	Ea	USDD	**0**	**G2 SPEAKER - LED Illuminated** - SURFACE Mount (Metal Box), 70v	**SPK-LED-SM**	$ 325.00	**$ 292.50**	**$ -**	
SP12a	Ea	USDD	**2**	**G2 SPEAKER - OmniAlertStrobe -** Omnidirectional Alerting Speaker, optimized for high Vocal Intelligibility in large open indoor areas and **with High-Intensity LED Strobe Light Arrays** - includes Cable Hanging Kit (requires MR2 for power/signal/control)	**SPK-OAS**	$ 1,150.00	**$ 1,035.00**	**$ 2,070.00**	
SP12b	Ea	USDD	**0**	SPK-OAS/OmniStrobe Mounting Bracket / BEAM FLANGE CLIP- for mounting directly onto an exposed (1/8-14") I-Beam	SPK-OAS-BFC	$ 23.00	**$ 20.70**	**$ -**	
SP12c	Ea	USDD	**0**	SPK-OAS/OmniStrobe Mounting Bracket /DROP CEILING BRACKET- for mounting directly to T-Bar in Suspended Ceiling	SPK-OAS-DCB	$ 55.00	**$ 49.50**	**$ -**	
SP12d	Ea	USDD	**0**	SPK-OAS/OmniStrobe Mounting Bracket / SURFACE MOUNT - for mounting directly to hard ceiling	SPK-OAS-SMB	$ 55.00	**$ 49.50**	**$ -**	
SP13a	Ea	USDD	**0**	SPEAKER - STANDARD, FLUSH Mount, 70v	SPK-STD-FM	$ 105.00	**$ 94.50**	**$ -**	
SP13b	Ea	USDD	**0**	SPEAKER - STANDARD, SURFACE Mount (Metal Box), 70v	SPK-STD-SM	$ 105.00	**$ 94.50**	**$ -**	
SP14	Ea	USDD	**2**	SPEAKER - APP BAY/OUTDOOR - Weatherized, Surface Mount, 70v	SPK-W-SM	$ 325.00	**$ 292.50**	**$ 585.00**	
SP15	Ea	USDD	**4**	**G2 Strobe Light** / Red LED	**STR**	$ 575.00	**$ 517.50**	**$ 2,070.00**	
SP16	Ea	USDD	**1**	Transformer, 8ohm to 70V, External	XFMR	$ 53.00	**$ 47.70**	**$ 47.70**	
SP17	Ea	USDD	**0**	VIDEO DOOR STATION - Doorbell & Camera that ties into G2 FSAS (HDTV Remote) - Includes Power Injector	VDS	$ 1,700.00	**$ 1,530.00**	**$ -**	

STATION SYSTEM SERVICES

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SS1	Ea	USDD	**1**	**Station Installation** (TechElectric)	**ST-INST**	$ 62,421.67	**$ 56,179.50**	**$ 56,179.50**	
SS2	Ea	USDD	**0**	**Station Remediation** (Removal and Disposal of Legacy Equipment Not currently Assumed or Included, nor is any related Remediation to Paint, Drywall, etc.)	**ST-INST**	$ -	**$ -**	**$ -**	
SS3	Ea	USDD	**1**	**Station Configuration & Start-Up**	**ST-SU**	$ 3,481.56	**$ 3,133.40**	**$ 3,133.40**	
SS4	Ea	USDD	**1**	**Station Project Management**	**ST-PM**	$ 1,827.82	**$ 1,645.04**	**$ 1,645.04**	
SS5	Ea	USDD	**1**	**Station Engineering / Design Services**	**ST-ES**	$ 609.27	**$ 548.35**	**$ 548.35**	
SS6	Ea	USDD	**1**	**Station Documentation**	**ST-DM**	$ 52.22	**$ 47.00**	**$ 47.00**	
SS7a	Ea	USDD	**0**	**Station Training - Configuration and Equipment. On-Site @ Station.** 4 Hours, 1 Visit. (for Technical Services Staff)	**TRA-UT-O**	$ 4,425.00	**$ 3,982.50**	**$ -**	
SS7b	Ea	USDD	**0**	**Station Training - User/Technician** / Remote Refresh (2 Hours)	**TRA-UT-R**	$ 650.00	**$ 585.00**	**$ -**	
SS8a	Ea	USDD	**0**	**Training - Installation Contractor - On-Site** / USDD G2 Certification / 8 Hours (TBD - only needed if requied to use non-certified contractor)	**TRA-IC-O**	$ 6,125.00	**$ 5,512.50**	**$ -**	
SS8b	Ea	USDD	**0**	**Training - Installation Contractor - At Arizona Training Center** / USDD G2 Certification / 8 Hours (TBD - only needed if required to use non-certified contractor)	**TRA-IC-AZ**	$ 3,125.00	**$ 2,812.50**	**$ -**	
SS9	Ea	USDD	**0**	**Miscellaneous/TBD**	**MISC**	$ -	**$ -**	**$ -**	

STATION SYSTEM WARRANTY & OPTIONAL RECURRING ANNUAL SUPPORT

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SW1	YR	USDD	**1.5**	[**STANDARD**] **1st YEAR WARRANTY & SUPPORT** FOR THIS STATION SYSTEM (or component): Telephone / Remote Access Support (8:00 AM - 5:00 PM MST) PLEASE NOTE: An additional 6 months (for total of 18 months/1.5 years) of initial warranty has been offered by USDD for no additional cost so all stations can be installed and enjoy same warranty/support start/stop dates)	**RS-1YR-STD**	$ 8,703.90	**$ 7,833.51**	11750.265 but No Charge For Initial Warranty Period / Not Included in Subtotals	
SW2	YR	USDD	**2.5**	[**STANDARD**] EACH **ADDITIONAL YEAR** (12-Months) **WARRANTY & SUPPORT** FOR THIS STATION SYSTEM (or Component): Telephone / Remote Access Support (8:00 AM - 5:00 PM MST) IF QUANTITY '0' THEN NO ADDITIONAL SUPPORT IS ASSUMED OR AUTHORIZED BEYOND INITIAL WARRANTY PERIOD	**RS-AYR-STD**	$ 8,703.90	**$ 7,833.51**	**$ 19,583.78**	

STATION 24		
	System:	**$ 139,888.39**
	Shipping:	**$ 1,851.00**
	Warranty & Support:	**$ 19,583.78**
	Miscellaneous (if applicable)	**$ -**
	STATION SUBTOTAL:	**$ 161,323.16**

This quote does not include or assume any amounts for **sales or use tax**. Customer needs to contact its procurement department to determine if sales or use tax is payable, and if so, to make the determination of the amount to be paid. Per our contracts, Customer is responsible for the payment of any sales or use taxes owed from any purchase from USDD.

Warranty & Support Notes:
Customer must elect to choose any coverage they require beyond initial warranty period, or USDD will not be authorized to provide any service or support. Mobile Smart Phone Alerting App and Mapping Services only available to customer while under warranty or elected recurring annual support. Support Agreements subject to change if system design is modified. For additional details, please review current USDD Warranty Statement and Service Agreement. USDD cannot warrant nor support any system configuration that deviates from this specific proposal's documented station system design file number. USDD cannot warrant nor support any system not using USDD-approved UPS Battery Backup. USDD cannot warrant nor support any system not installed by G2 Trained & Certified Installation technician (installer). If customer intends to tie this system into any 3rd-party system or devices, USDD will be unable to warrant or support the sytem until we've had a chance to review documented engineering assumptions and approve system integrity, performance and reliability expectations. For FSAaaS Program: The cost of service and support beyond initial warranty period is included in the FSASaaS Program for a total of 5 years. The service and support includes Mobile Smart Phone Alerting App and Mapping Services. Please see the FSASaaS Subscription Agreement for more information concerning the service and support provided by USDD. USDD cannot warrant nor support any system not using USDD-approved UPS Battery Backup. USDD cannnot warrant nor support any system not installed by G2 Trained & Certified Installation technician (installer). If customer intends to tie this system into any 3rd-party system or devices, USDD will be unable to warrant or support the sytem until we've had a chance to review documented engineering assumptions and approve system integrity, performance and reliability expectations.

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04 - Installation warranted by installation contractor - G2 FSAS warranted, serviced and supported by USDD.
05 - Unless specifically detailed in this proposal, installation to be performed during normal working hours.
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11 - If applicable, Gas Control Shutoff Valve Addendum (to USDD and installation contractor) must be signed prior to installation.
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13 - All communications pathway infrastructure (network, radio, etc.) by others unless specifically detailed in this proposal.
14 - USDD cannot warrant nor support any owner-furbished (3rd-Party) system or component we are required to integrate with. USDD cannot warrant nor support any system or component it has not proofed engineering for and has not specifically authorized for use within public safety environments.
15 - Any misuse, unauthorized modification, improper installation, excessive shock, attempted repair, accident, or improper or negligent use, storage, transportation, or handling by any party other than USDD shall render this limited warranty null, void and of no further effect

US DIGITAL DESIGNS

1835 E. Sixth St. Suite #27
Tempe, Arizona 85281
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QUOTE

DATE: **6/6/23**
Expires: **9/4/23**

Quote SUBMITTED TO:
City of Rocklin, California
Rocklin Fire Department

REF PROPOSAL
CA_RKLN007 v1 **STATION-LEVEL**

STATION 25

Based from USDD G2 Fire Station Alerting System Design Drawing # **USDD.CA_RKLN.FS.ALLSTATIONS.FSA.2023.05.31.pdf**

STATION SYSTEM LICENSES

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SL1	Ea	USDD	**1**	**G2 VOICEALERT** - Single Station License.	**VA**	$ 1,030.00	**$ 927.00**	**$ 927.00**	
SL2	Ea/Yr	USDD	**24**	**G2 MOBILE FSAS APP** - Single Device License. Up to 24 Licenses-Per-ATX are **offered at $0.00 cost each** as long as system is currently under warranty or elected recurring annual support coverage. See 'Mobile' Section for more detail.	**G2-APP-DLI**	$ 108.00	**$ 97.20**	**N/A - Included**	

STATION SYSTEM CONTROLLER

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SC1	Kit	USDD	**1**	**G2 ATX STATION CONTROLLER -** Power/Signal/Control up to 8 peripheral Remote Options. 4 Unique Amps/Zones available.	**ATX**	$ 21,750.00	**$ 19,575.00**	**$ 19,575.00**	
SC2	Kit	USDD	**1**	**G2 EXPANSION KIT** - Allows ability to Power/Signal/Control up to 12 more peripheral Remote options per EXP.	**EXP**	$ 7,325.00	**$ 6,592.50**	**$ 6,592.50**	
SC3a	Kit	USDD	**0**	Rack Mount Ears for ATX or EXP	ATX-E	$ 64.00	**$ 57.60**	**$ -**	
SC3b	Kit	USDD	**0**	Base Plate for ATX or EXP	ATX-P	$ 64.00	**$ 57.60**	**$ -**	
SC4a	Ea	TBD	**2**	ATX UPS, Standard	UPS-STD	$ 923.00	**$ 830.70**	**$ 1,661.40**	
SC4b	Ea	TBD	**2**	Shelf/Bracket, Wall-Mount for UPS	UPS-WMB	$ 65.00	**$ 58.50**	**$ 117.00**	

STATION SYSTEM PERIPHERAL COMPONENTS

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SP1a	Ea	TBD	**0**	Audio Amplifier, External, Standard	AMP	$ 987.00	**$ 888.30**	**$ -**	
SP1b	Ea	TBD	**0**	Shelf, Under Table or Wall Mount, for 1U 1/2 Rack	AMP-S	$ 76.00	**$ 68.40**	**$ -**	
SP2	Ea	USDD	**0**	**G2 COLOR INDICATOR REMOTE** Module - Up to 8 unique colors	**CIR**	$ 825.00	**$ 742.50**	**$ -**	
SP3a	Ea	USDD	**1**	**G2 HDTV REMOTE** Module (TV & Electrical Outlet by Others; C.E.C. control subject to TV ability)	**TVR**	$ 975.00	**$ 877.50**	**$ 877.50**	
SP3b	Ea	TBD	**0**	Flat Panel Monitor / Smart HDTV 40-43" (Electrical Outlet/Provision By Others; C.E.C. control subject to TV ability)	**FP-43**	$ 1,100.00	**$ 990.00**	**$ -**	
SP3c	Ea	TBD	**0**	Flat Panel/TV Mount - Universal 23"-46" Tilt	**FPM-U**	$ 115.00	**$ 103.50**	**$ -**	
SP4	Ea	USDD	**0**	**G2 I/O REMOTE** Module w/ 8 In & 8 Out	**IOR**	$ 1,375.00	**$ 1,237.50**	**$ -**	
SP5	Ea	USDD	**1**	Push Button, Standard (Black)	PB-B	$ 110.00	**$ 99.00**	**$ 99.00**	
SP6	Ea	USDD	**0**	Push Button, Emergency (Red)	PB-R	$ 110.00	**$ 99.00**	**$ -**	
SP7	Ea	USDD	**1**	**G2 MESSAGE REMOTE 2** Module	**MR2**	$ 1,425.00	**$ 1,282.50**	**$ 1,282.50**	

SP8a	Ea	USDD	**0**	**G2 MESSAGE SIGN** (Digital LED) **MINI GammaSign** / 12" Active Screen Width / **Turn Out Timing ONLY**	**MS-G-M**	$ 915.00	**$ 823.50**	**$ -**	
SP8b	Ea	USDD	**5**	**G2 MESSAGE SIGN** (Digital LED) **STANDARD GammaSign** / 24" Active Screen Width	**MS-G-S**	$ 1,050.00	**$ 945.00**	**$ 4,725.00**	
SP8c	Ea	USDD	**0**	**G2 MESSAGE SIGN** (Digital LED) **EXTENDED GammaSign** / 36" Active Screen Width	**MS-G-E**	$ 1,575.00	**$ 1,417.50**	**$ -**	
SP9a	Ea	USDD	**0**	MS-G Adapter Plate, SINGLE. VESA 100, joins (1) MS-G-S (or-E) to any standard mount with VESA 100 hole patterns (mount not included)	**MS-AP-S**	$ 55.00	**$ 49.50**	**$ -**	
SP9b	Ea	USDD	**2**	MS-G Adapter Plate, DOUBLE, VESA 100, joins (2) MS-G -S(or-E) to any standard mount with VESA 100 hole patterns (mount not included)	**MS-AP-D**	$ 65.00	**$ 58.50**	**$ 117.00**	
SP9c	Ea	USDD	**0**	MS-G Hanger Kit. Hangs single or double (back-to-back) Message Signs (Gamma Version) from Ceiling. Includes both suspended ceiling T-Bar Scissor Clips and Hard-Pan Flange Mounts.	**MS-HK**	$ 110.00	**$ 99.00**	**$ -**	
SP9d	Ea	TBD	**2**	MS Mount - Articulating, Long reach	MS-MNT-ART-L	$ 325.00	**$ 292.50**	**$ 585.00**	
SP10a	Ea	USDD	**7**	**G2 ROOM REMOTE 2** Module	**RR2**	$ 2,025.00	**$ 1,822.50**	**$ 12,757.50**	
SP10b	Ea	USDD	**0**	RR2 Adpater Plate, for Retrofit in RR1 Wall Cavity	RR2-AP	$ 75.00	**$ 67.50**	**$ -**	
SP10c	Ea	USDD	**0**	RR2 Surface Mount Box, for SURFACE MOUNT (hard wall) installation. Three (3) 3/4" conduit knock-outs.	RR2-SMB	$ 175.00	**$ 157.50**	**$ -**	
SP11a	Ea	USDD	**16**	**G2 SPEAKER - LED Illuminated** - FLUSH Mount, 70v	**SPK-LED-FM**	$ 325.00	**$ 292.50**	**$ 4,680.00**	
SP11b	Ea	USDD	**0**	**G2 SPEAKER - LED Illuminated** - SURFACE Mount (Metal Box), 70v	**SPK-LED-SM**	$ 325.00	**$ 292.50**	**$ -**	
SP12a	Ea	USDD	**1**	**G2 SPEAKER - OmniAlertStrobe -** Omnidirectional Alerting Speaker, optimized for high Vocal Intelligibility in large open indoor areas and **with High-Intensity LED Strobe Light Arrays** - includes Cable Hanging Kit (requires MR2 for power/signal/control)	**SPK-OAS**	$ 1,150.00	**$ 1,035.00**	**$ 1,035.00**	
SP12b	Ea	USDD	**0**	SPK-OAS/OmniStrobe Mounting Bracket / BEAM FLANGE CLIP- for mounting directly onto an exposed (1/8-14") I-Beam	SPK-OAS-BFC	$ 23.00	**$ 20.70**	**$ -**	
SP12c	Ea	USDD	**0**	SPK-OAS/OmniStrobe Mounting Bracket /DROP CEILING BRACKET- for mounting directly to T-Bar in Suspended Ceiling	SPK-OAS-DCB	$ 55.00	**$ 49.50**	**$ -**	
SP12d	Ea	USDD	**0**	SPK-OAS/OmniStrobe Mounting Bracket / SURFACE MOUNT - for mounting directly to hard ceiling	SPK-OAS-SMB	$ 55.00	**$ 49.50**	**$ -**	
SP13a	Ea	USDD	**0**	SPEAKER - STANDARD, FLUSH Mount, 70v	SPK-STD-FM	$ 105.00	**$ 94.50**	**$ -**	
SP13b	Ea	USDD	**0**	SPEAKER - STANDARD, SURFACE Mount (Metal Box), 70v	SPK-STD-SM	$ 105.00	**$ 94.50**	**$ -**	
SP14	Ea	USDD	**2**	SPEAKER - APP BAY/OUTDOOR - Weatherized, Surface Mount, 70v	SPK-W-SM	$ 325.00	**$ 292.50**	**$ 585.00**	
SP15	Ea	USDD	**3**	**G2 Strobe Light** / Red LED	**STR**	$ 575.00	**$ 517.50**	**$ 1,552.50**	
SP16	Ea	USDD	**3**	Transformer, 8ohm to 70V, External	XFMR	$ 53.00	**$ 47.70**	**$ 143.10**	
SP17	Ea	USDD	**0**	VIDEO DOOR STATION - Doorbell & Camera that ties into G2 FSAS (HDTV Remote) - Includes Power Injector	VDS	$ 1,700.00	**$ 1,530.00**	**$ -**	

STATION SYSTEM SERVICES

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SS1	Ea	USDD	**1**	**Station Installation** (TechElectric)	**ST-INST**	$ 55,466.67	**$ 49,920.00**	**$ 49,920.00**	
SS2	Ea	USDD	**0**	**Station Remediation** (Removal and Disposal of Legacy Equipment Not currently Assumed or Included, nor is any related Remediation to Paint, Drywall, etc.)	**ST-INST**	$ -	**$ -**	**$ -**	
SS3	Ea	USDD	**1**	**Station Configuration & Start-Up**	**ST-SU**	$ 2,547.20	**$ 2,292.48**	**$ 2,292.48**	
SS4	Ea	USDD	**1**	**Station Project Management**	**ST-PM**	$ 1,337.28	**$ 1,203.55**	**$ 1,203.55**	
SS5	Ea	USDD	**1**	**Station Engineering / Design Services**	**ST-ES**	$ 445.76	**$ 401.18**	**$ 401.18**	
SS6	Ea	USDD	**1**	**Station Documentation**	**ST-DM**	$ 38.21	**$ 34.39**	**$ 34.39**	
SS7a	Ea	USDD	**0**	**Station Training - Configuration and Equipment. On-Site @ Station.** 4 Hours, 1 Visit. (for Technical Services Staff)	**TRA-UT-O**	$ 4,425.00	**$ 3,982.50**	**$ -**	
SS7b	Ea	USDD	**0**	**Station Training - User/Technician** / Remote Refresh (2 Hours)	**TRA-UT-R**	$ 650.00	**$ 585.00**	**$ -**	
SS8a	Ea	USDD	**0**	**Training - Installation Contractor - On-Site** / USDD G2 Certification / 8 Hours (TBD - only needed if requied to use non-certified contractor)	**TRA-IC-O**	$ 6,125.00	**$ 5,512.50**	**$ -**	
SS8b	Ea	USDD	**0**	**Training - Installation Contractor - At Arizona Training Center** / USDD G2 Certification / 8 Hours (TBD - only needed if required to use non-certified contractor)	**TRA-IC-AZ**	$ 3,125.00	**$ 2,812.50**	**$ -**	
SS9	Ea	USDD	**0**	**Miscellaneous/TBD**	**MISC**	$ -	**$ -**	**$ -**	

STATION SYSTEM WARRANTY & OPTIONAL RECURRING ANNUAL SUPPORT

Item	Unit	Mfr	Qty	Description	Part No.	US List Unit	QUOTE UNIT	QUOTE EXT	
SW1	YR	USDD	**1.5**	[**STANDARD**] **1st YEAR WARRANTY & SUPPORT** FOR THIS STATION SYSTEM (or component): Telephone / Remote Access Support (8:00 AM - 5:00 PM MST) PLEASE NOTE: An additional 6 months (for total of 18 months/1.5 years) of initial warranty has been offered by USDD for no additional cost so all stations can be installed and enjoy same warranty/support start/stop dates)	**RS-1YR-STD**	$ 6,368.00	**$ 5,731.20**	8596.8 but No Charge For Initial Warranty Period / Not Included in Subtotals	
SW2	YR	USDD	**2.5**	[**STANDARD**] EACH **ADDITIONAL YEAR** (12-Months) **WARRANTY & SUPPORT** FOR THIS STATION SYSTEM (or Component): Telephone / Remote Access Support (8:00 AM - 5:00 PM MST) IF QUANTITY '0' THEN NO ADDITIONAL SUPPORT IS ASSUMED OR AUTHORIZED BEYOND INITIAL WARRANTY PERIOD	**RS-AYR-STD**	$ 6,368.00	**$ 5,731.20**	**$ 14,328.00**	

STATION 25		
	System:	**$ 111,163.60**
	Shipping:	**$ 1,338.00**
	Warranty & Support:	**$ 14,328.00**
	Miscellaneous (if applicable)	**$ -**
	STATION SUBTOTAL:	**$ 126,829.60**

This quote does not include or assume any amounts for **sales or use tax**. Customer needs to contact its procurement department to determine if sales or use tax is payable, and if so, to make the determination of the amount to be paid. Per our contracts, Customer is responsible for the payment of any sales or use taxes owed from any purchase from USDD.

Warranty & Support Notes:
Customer must elect to choose any coverage they require beyond initial warranty period, or USDD will not be authorized to provide any service or support. Mobile Smart Phone Alerting App and Mapping Services only available to customer while under warranty or elected recurring annual support. Support Agreements subject to change if system design is modified. For additional details, please review current USDD Warranty Statement and Service Agreement. USDD cannot warrant nor support any system configuration that deviates from this specific proposal's documented station system design file number. USDD cannot warrant nor support any system not using USDD-approved UPS Battery Backup. USDD cannot warrant nor support any system not installed by G2 Trained & Certified Installation technician (installer). If customer intends to tie this system into any 3rd-party system or devices, USDD will be unable to warrant or support the sytem until we've had a chance to review documented engineering assumptions and approve system integrity, performance and reliability expectations. For FSAaaS Program: The cost of service and support beyond initial warranty period is included in the FSASaaS Program for a total of 5 years. The service and support includes Mobile Smart Phone Alerting App and Mapping Services. Please see the FSASaaS Subscription Agreement for more information concerning the service and support provided by USDD. USDD cannot warrant nor support any system not using USDD-approved UPS Battery Backup. USDD cannnot warrant nor support any system not installed by G2 Trained & Certified Installation technician (installer). If customer intends to tie this system into any 3rd-party system or devices, USDD will be unable to warrant or support the sytem until we've had a chance to review documented engineering assumptions and approve system integrity, performance and reliability expectations.

Station System Installation Notes:
01 - Unless specifically detailed in this proposal, no installation by USDD or it's subcontractors is assumed or provided.
02 - Because these are mission-critical systems, USDD can only warrant and support systems installed by G2 Trained and Certified Contractors.
03 - USDD can source, qualify, train and certify Local Licensed Regional Subcontrators where needed.
04 - Installation warranted by installation contractor - G2 FSAS warranted, serviced and supported by USDD.
05 - Unless specifically detailed in this proposal, installation to be performed during normal working hours.
06 - Unless specifically detailed in this proposal, no permit fees or material charges have been included.
07 - Unless specifically detailed in this proposal, no removal or remediation has been assumed or included.
08 - Unless specifically detailed in this proposal, no bonds of any type (performance, bid) have been assumed,included or budgeted for in this proposal.
09 - USDD FSAS Equipment to be made available by owner to Installation Contractor prior to on-site arrival.
10 - Structural backing for system devices and other millwork (not specifically detailed) by others.
11 - If applicable, Gas Control Shutoff Valve Addendum (to USDD and installation contractor) must be signed prior to installation.
12 - All electrical power, including (but not limited to) raceway,conduit, backboxes, service panels, high-voltage wiring and fixtures by others.
13 - All communications pathway infrastructure (network, radio, etc.) by others unless specifically detailed in this proposal.
14 - USDD cannot warrant nor support any owner-furbished (3rd-Party) system or component we are required to integrate with. USDD cannot warrant nor support any system or component it has not proofed engineering for and has not specifically authorized for use within public safety environments.
15 - Any misuse, unauthorized modification, improper installation, excessive shock, attempted repair, accident, or improper or negligent use, storage, transportation, or handling by any party other than USDD shall render this limited warranty null, void and of no further effect

US DIGITAL DESIGNS

1835 E. Sixth St. Suite #27
Tempe, Arizona 85281
877-551-8733 tel *480-290-7892 fax*

QUOTE

DATE: 6/6/23
Expires: 9/4/23

Quote SUBMITTED TO:
City of Rocklin, California
Rocklin Fire Department

REF PROPOSAL
CA_RKLN007 v1

Section Totals

SECTION TOTALS

[UNLESS OTHERWISE NOTED, ALL PRICES ARE $US]

PRIMARY-DISPATCH-LEVEL SUBTOTAL		**59,657.49**
Includes:	PRIMARY DISPATCH G2 FSA SYSTEM :	53,554.36
	PRIMARY DISPATCH MOBILE APP SERVICE :	-
	PRIMARY DISPATCH MAPPING SERVICE :	-
	PRIMARY DISPATCH WARRANTY & SUPPORT :	6,103.13
	PRIMARY DISPATCH G2 FSA SYSTEM MISC:	-

Notes: **One (1) Dispatch Center System** currently proposed/included. No backup/disaster-recovery dispatch systems have been requested or assumed/included in this proposal.

STATION-LEVEL SUBTOTAL		**450,095.48**
Includes:	STATION 23 SYSTEM:	142,288.51
	STATION 23 WARRANTY & SUPPORT:	19,654.20
	STATION 23 MISC.:	-
Includes:	STATION 24 SYSTEM:	141,739.39
	STATION 24 WARRANTY & SUPPORT:	19,583.78
	STATION 24 MISC.:	-
Includes:	STATION 25 SYSTEM:	112,501.60
	STATION 25 WARRANTY & SUPPORT:	14,328.00
	STATION 25 MISC.:	-

Notes: **Three (3) Station Systems** currently included in this proposal, with installation by Tech Electric. **Four (4) Total Years of Warranty/Support Currently Included.**

US Digital Designs System Total: $ 509,752.97

This quote does not include or assume any amounts for **sales or use tax**. Customer needs to contact its procurement department to determine if sales or use tax is payable, and if so, to make the determination of the amount to be paid. Per our contracts, Customer is responsible for the payment of any sales or use taxes owed from any purchase from USDD.

(TBD By Customer) Customer must elect to choose any coverage they require beyond initial warranty period, or USDD will not be authorized to provide any service or support. Mobile Smart Phone Alerting App and Mapping Services only available to customer while under warranty or elected recurring annual support. Support Agreements subject to change if system design is modified. For additional details, please review current USDD Warranty Statement and Service Agreement

TERMS AND CONDITIONS OF USDD PRODUCT SALES

These U.S. Digital Designs, Inc. terms and conditions of sale ("Terms and Conditions") are effective March 1, 2022 (the **"Terms and Conditions Effective Date"**), and supersede all prior versions covering the sale of products and related services (collectively, **"Products"**, as defined more specifically below) by U.S. Digital Designs, Inc. (**"USDD"**). References to **"Customer"**, **"you"**, or **"your"** all pertain to the purchaser of Products. These Terms and Conditions, together with any separate agreement you may have with USDD that specifically references these Terms and Conditions (collectively, the **"Agreement"**) set forth the entire agreement between the parties relating to your purchase of USDD Products. The Agreement may only be modified by an authorized representative of each party in a signed writing.

ORDERS. Orders (including any revised and follow-on orders) (each, an **"Order**") for USDD Products are non-cancelable, except as expressly set forth herein, and will be governed by the terms of the Agreement. All Orders are subject to acceptance by USDD and shall include the following information: purchase order number; customer's legal name and billing address; Customer's shipping address; and a list of the Products and quantities for each different type of Product Customer wishes to order. USDD's acknowledgment of its receipt of an Order shall not constitute acceptance of such Order. An Order is deemed to be accepted upon the earlier of (i) USDD's written acceptance or (ii) shipment of the Products specified in the Order.

Any conflicting, additional, and/or different terms or conditions on Customer's Order or any other similar instrument are deemed to be material alterations and are rejected and not binding upon USDD. USDD's acceptance of Customer's Order is expressly conditioned upon Customer's assent to the terms and conditions contained herein in their entirety. Customer's acceptance of delivery from USDD constitutes Customer's acceptance of these terms and conditions in their entirety.

REMITTANCES. All invoices shall be due and payable upon receipt in United States currency, free of exchange or any other charges, or as otherwise agreed in writing by USDD.

QUOTE PRICING. This proposal expires 30 days after its date. Prices are subject to correction for error. Prices, terms, conditions, and Product or Service specifications are subject to change without notice. Pricing is subject to immediate change upon announcement of Product discontinuance.

PAYMENT.

4.1. **Invoicing & Payment**. USDD reserves the right to invoice Customer monthly for all materials delivered. Invoices are due thirty (30) days from the date of the invoice, unless prepayment is required in the quote. If the Customer becomes overdue in any progress payment, USDD shall be entitled to suspend further shipments, shall be entitled to interest at the annual rate of 18%, or the maximum amount allowed by law, and shall also be entitled to avail itself of any other legal or equitable remedies. Customer agrees that it will pay and/or reimburse USDD for any and all reasonable attorneys' fees and costs which are incurred by USDD in the collection of amounts due and payable hereunder.

4.2. **Payment Disputes**. Any disputes must be provided to USDD as soon as possible and must be accompanied by detailed supporting information. Disputes as to invoices are deemed waived fifteen (15) days following the invoice date. In the event that any portion of an invoice is undisputed, such undisputed amount must be paid by no later than the invoice due date.

4.3. **No Set Off**. Neither Customer nor any related entities (or representatives or agents thereof) shall attempt to set off or recoup any invoiced amounts or any portion thereof against other amounts that are due or may become due from USDD, its parent, affiliates, subsidiaries or other legal entities, business divisions, or units.

4.4. **Credit Card Payments**. All USDD quotes are developed for the Customer with the understanding the eventualany purchase of the Products listed thereon willwould be facilitated usingsubject to USDD's standard Purchase Order and Invoice process. If Ccustomer would rather seek to use a Credit Card for purchase, then said order would be subject to a 4% credit card surcharge.

SURCHARGES.

5.1. In addition to any Product repricing under Section 8.2, USDD may, from time-to-time and in its sole discretion, issue surcharges on new and existing Orders in order to mitigate and/or recover increased operating costs arising out of or related to, without limitation: (a) foreign currency exchange variation, (b) increased cost of third-party content, labor and materials, (c) impact of government tariffs or other actions, and (d) any conditions that increase USDD's costs, including without limitation increased labor, freight, material or supply costs, or increased costs due to inflation (collectively, "**Surcharges**"). Such Surcharges will not be considered a "price increase" as contemplated hereunder and will be effective upon notice to Customer. For avoidance of doubt, Orders placed prior to the Terms and Conditions Effective Date which have not been delivered, including those on backlog or which requested delivery more than twelve (12) months from the date of Order, are subject to Surcharges.

5.2. USDD will invoice Customer, and Buyer agrees to pay for any Surcharges pursuant to the standard payment terms in these Terms and Conditions. If a dispute arises with respect to Surcharges and that dispute remains open for more than fifteen (15) days, USDD may, in its sole discretion, withhold performance or future shipments, or combine any other rights and remedies under this Agreement or permitted by law, until the dispute is resolved. The terms of this Section shall prevail in the event of inconsistency with any other terms in these Terms and Conditions. Any Surcharges, as well as the timing, effectiveness, and method of determination thereof, will be separate from and in addition to any changes to pricing that are affected by any other provisions in these Terms and Conditions.

CANCELLATION AND SUSPENSION. Any Order resulting from this proposal is subject to cancellation or instructions to suspend work by the Customer only upon agreement to pay USDD for all work in progress, all inventoried or ordered project parts and materials, and all other costs incurred by USDD related to the Order.

TAXES. USDD's pricing excludes all taxes (including but not limited to sales, use, excise, value-added, and other similar taxes), tariffs and duties (including, but not limited to, amounts imposed upon the Product(s) or bill of material thereof under any Trade Act, including, but not limited to, the Trade Expansion Act, section 232 and the Trade Act of 1974, section 301) and charges (collectively "**Taxes**"). All Taxes of any kind levied by any federal, state, municipal or other governmental authority, which tax USDD is required to collect or pay with respect to the production, sale, or delivery of products sold to Customer, shall be the responsibility of and be invoiced to Customer, unless, at the time of Order placement, Customer furnishes USDD with a valid exemption certificate or other documentation sufficient to verify exemption from Taxes, including, but not limited to, a direct pay permit. Customer agrees to pay all such Taxes and further agrees to reimburse USDD for any such payments made by USDD.

SHIPPING/DELIVERY/RISK OF LOSS.

8.1. **Delivery Liability**. Delivery and shipment dates for Products are estimates only. Deliveries may be made in partial shipments. USDD and its affiliated entities are not liable, either directly or indirectly, for delays of carriers or delays in connection with any Force Majeure Event (as defined in Section 17 below), and the estimated delivery date shall be extended accordingly.

8.2. **Future Delivery and Repricing**. USDD will schedule delivery in accordance with its standard lead times unless the Order states a later delivery date or the parties otherwise agree in writing. USDD will accept Orders with a future ship date of up to eighteen (18) months from the date of the entry of the Order. Customer agrees that in the event an Order is scheduled to be delivered more than six (6) months from the date of the entry of the Order, USDD may, in its sole determination and at each six (6) month anniversary of the date of the entry of the Order, adjust the pricing of the Order to conform to the then-current prices of the USDD Products included in the Order. USDD will include any repricing in its final invoice related to the Order.

8.3. **Storage Fees**. If delivery takes place more than six (6) months from the date of the entry of the Order, Customer agrees to pay USDD a storage fee (the "Storage Fee"), as set forth in the quote, for each month after six (6) months from the date of the entry of the Order Customer has not taken delivery of the Products in the Order. USDD will separately invoice any storage fees owed under this Section at the end of each month for which the storage fees are owed.

8.4. **Title & Risk of Loss**. Unless otherwise specifically detailed in this quote, delivery terms for Products (excluding software and services) are (i) EX Works (EXW Incoterms 2020) USDD's point of shipment ("USDD Dock") for all shipments (except that USDD is responsible for obtaining any export license), and (ii) F.O.B. USDD Dock for all domestic shipments. For shipments from a USDD Dock to a Buyer location within the same country, the import/export provisions of the INCOTERMS do not apply. USDD shall be responsible for obtaining insurance on each shipment to Customer for the full value of the shipment. Shipment shall be to a single point of delivery.

LIMITED WARRANTY. CUSTOMER'S EXCLUSIVE REMEDIES AND USDD'S SOLE LIABILITY AS TO ANY WARRANTY CLAIM ON ANY PRODUCT SOLD IN CONNECTION WITH THIS QUOTE IS AS SET FORTH IN THIS SECTION. SUCH REMEDIES ARE IN LIEU OF ANY OTHER LIABILITY OR OBLIGATION OF USDD, INCLUDING WITHOUT LIMITATION ANY LIABILITY OR OBLIGATION FOR DAMAGE, LOSS, OR INJURY (WHETHER DIRECT, INDIRECT, EXEMPLARY, SPECIAL, CONSEQUENTIAL, PUNITIVE OR INCIDENTAL) ARISING OUT OF OR IN CONNECTION WITH THE DELIVERY, USE, OR PERFORMANCE OF THE PRODUCTS. CREDIT, REPAIR OR REPLACEMENT (AT USDD'S OPTION) IS THE SOLE REMEDY PROVIDED HEREUNDER. NO EXTENSION OF THIS WARRANTY WILL BE BINDING UPON USDD UNLESS SET FORTH IN WRITING AND SIGNED BY A USDD AUTHORIZED REPRESENTATIVE.

9.1. **Product Warranty Terms**. Subject to the terms, conditions and limitations contained herein, and unless USDD has otherwise provided an alternative written warranty (in which case the terms of such warranty will control), USDD warrants and guarantees its products will be free from defects in workmanship and materials (collectively, "**Defects**") for 12 months from the date of shipment to Customer ("**Warranty Period**"). This limited warranty does not cover defects caused by normal wear and tear or maintenance.

9.2. **Product Defects**. If a Defect with a Product arises and a valid claim is made within the Warranty Period, Customer shall initiate the RMA process as described below. Upon approval, USDD, at its option, will either (1) repair the Product defect at no charge, using new parts or parts equivalent to new in performance and reliability or (2) exchange the Product with a Product that is new or equivalent to new in performance and reliability and is at least functionally equivalent to the original Product. Any replacement Product or part, including a user-installable part that has been installed in accordance with instructions provided by USDD, shall remain under warranty during the Warranty Period or for ninety (90) days from the date of repair, whichever is longer. When a Product or part is exchanged, any replacement item becomes the Customer's property and the replaced item becomes the property of USDD. Parts provided by USDD in fulfillment of its warranty obligation must be used in the same USDD Fire Station Alerting System for which the warranty claim is made.

9.3. **Procedure for Warranty Claim**s.

9.3.1. Prior to making a Warranty claim, Customer is encouraged to review USDD's online help resources. Thereafter, to make a valid claim hereunder, Customer must contact USDD technical support and describe the problem or defect with specificity. The first such contact must occur during the Warranty Period. USDD's technical support contact information can be found on USDD's web site at http://stationalerting.com/home/about-usdd/contact-usdd/. Customer must use its best efforts to assist in diagnosing defects, follow USDD's technical instructions, and fully cooperate in the diagnostic process. Failure to do so shall relieve USDD of any further obligation hereunder.

9.3.2. Customer shall be responsible for appropriately packing and shipping Products, to USDD for repair, and shall bear all risks and costs associated withof, shipping any Product to USDD for repairthe same. USDD shall be responsible for, and bear all risks and costs of, returning any Product to Customer after repair or replacement, but Customer will be responsible for paying any customs or import duties payable upon receipt of any repaired or replacement Products. A replacement Product will be returned to Customer configured as it was when the Product was originally purchased, subject to applicable updates.

9.4. **Return Material Authorization Process**. If a Customer makes a warranty claim for a Product during the Warranty Period, the Customer shall provide USDD with the Product model and serial number and failure information to initiate the RMA process. Upon USDD's issuance of the RMA, USDD will send the replacement Product, shipped postage paid ground shipping, to the address provided by Customer. RMA requests approved between 12:00 a.m. and 2:00 p.m. Mountain Standard Time are shipped on the same business day. After 2:00 p.m. Mountain Standard Time, the replacement Product is shipped on the next business day. All RMA requests are processed on the business day on which the request was received, excluding holidays. Included with the shipped package will be return shipment instructions and a pre-paid return shipping label for the Product that the Customer is returning. The original Product must be returned in the shipping box provided by USDD. No goods will be accepted for exchange or return without a pre-approved RMA number or which have not been properly packaged in USDD's shipping box to ensure that goods are not damaged due to improper packing and the shipping process. The original Product must be shipped back within 10 days of receiving the replacement. Failure to return the original Product, or failure to return in an appropriate manner, will cause Customer to incur a replacement charge equal to full market value of the replacement Product.

9.5. **No Fault Found**. USDD reserves the right to charge 50% of the standard repair price if the returned Product is found to have no defect covered by the Warranty. Customer understands that this fee is intended to discourage return of Products prior to proper troubleshooting or return because the product is "old." Product returns will not be allowed if, upon examination of the returned Product, it is determined that the Product was subjected to accident, misuse, neglect, alteration, improper installation, unauthorized repair, improper testing, or poor packaging upon return. In such event, USDD shall invoice Customer for the full market value of the replacement Product.

9.6. **WARRANTY EXCLUSIONS & DISCLAIMERS.**

9.6.1. USDD does not warrant that the operation of its Products or any related peripherals will be uninterrupted or error-free. USDD further does not warrant nor support any system configuration that deviates from this specific quote's documented station system design file number.

9.6.2. USDD does not warrant or support any system not installed by G2 Trained & Certified Installation technician (installer). If Customer intends to tie this system into any 3rd-party system or devices, USDD will be unable to warrant or support the Products unless USDD has had a chance to review documented engineering assumptions and approve system integrity, performance, and reliability expectations.

9.6.3. USDD is not responsible for damage arising from Customer's failure to follow instructions relating to the use of the Products. This Warranty does not apply to any Products, including the hardware or software, not used for its intended purpose.

9.6.4. USDD cannot warrant nor support any system not using USDD-approved Uninteruptable Power Supply Battery Backup. This Warranty does not apply to monitors or televisions manufactured by third parties. Repair or replacement of such components shall be subject exclusively to the manufacturer's warranty, if any. Recovery and reinstallation of hardware and user data (including passwords) are not covered under this Warranty.

9.6.5. This Warranty does not apply: (a) to consumable parts, such as batteries, unless damage has occurred due to a defect in materials or workmanship; (b) to cosmetic damage, including but not limited to scratches, dents and broken plastic on ports; (c) to damage caused by use with non-USDD products; (d) to damage caused by accident, abuse, misuse, flood, lightning, fire, earthquake or other external causes; (e) to damage caused by operating the Product outside the permitted or intended uses described by USDD; (f) to damage or failure caused by installation or service (including upgrades and expansions) performed by anyone who is not a representative of USDD or a USDD authorized installer or service provider; (g) to a Product or part that has been modified to alter functionality or capability without the written permission of USDD; (h) to Software (as defined below); (i) to any other damage caused by an event or action outside of USDD's control, including, without limitation, Customer's failure to apply required or recommended updatres or patches to any Software or Product; or (h) if any serial number has been removed or defaced.

LIMITATIONS OF LIABILITY. TO THE EXTENT PERMITTED BY LAW, THE LIMITED WARRANTY IN SECTION 9 OF THESE TERMS AND CONDITIONS AND ANY OTHER REMEDIES SET FORTH ABOVE ARE EXCLUSIVE AND IN LIEU OF ALL OTHER WARRANTIES, REMEDIES AND CONDITIONS, WHETHER ORAL OR WRITTEN, STATUTORY, EXPRESS OR IMPLIED. AS PERMITTED BY APPLICABLE LAW, **USDD SPECIFICALLY DISCLAIMS ANY AND ALL STATUTORY OR IMPLIED WARRANTIES, INCLUDING, WITHOUT LIMITATION, WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE AND WARRANTIES AGAINST HIDDEN OR LATENT DEFECTS**. If USDD cannot lawfully disclaim statutory or implied warranties, then to the extent permitted by law, all such warranties shall be limited in duration to the duration of this express Warranty and to repair or replacement service as determined by USDD in its sole discretion. No reseller, agent, or employee is authorized to make any modification, extension, or addition to this Warranty. If any term is held to be illegal or unenforceable, the legality or enforceability of the remaining terms shall not be affected or impaired.

EXCEPT AS PROVIDED IN THE LIMITED WARRANTY IN SECTION 9 OF THESE TERMS AND CONDITIONS, AND TO THE EXTENT PERMITTED BY LAW, USDD IS NOT RESPONSIBLE FOR DIRECT, SPECIAL, INCIDENTAL, PUNITIVE, OR CONSEQUENTIAL DAMAGES RESULTING FROM ANY BREACH OF WARRANTY OR CONDITION, OR UNDER ANY OTHER LEGAL THEORY, INCLUDING BUT NOT LIMITED TO LOSS OF USE; LOSS OF REVENUE; LOSS OF THE USE OF MONEY; LOSS OF ANTICIPATED SAVINGS; LOSS OF GOODWILL; LOSS OF REPUTATION; AND LOSS OF, DAMAGE TO OR CORRUPTION OF DATA. USDD IS NOT RESPONSIBLE FOR ANY INDIRECT LOSS OR DAMAGE HOWSOEVER CAUSED, INCLUDING THE REPLACEMENT OF EQUIPMENT AND PROPERTY, ANY COSTS OF RECOVERING PROGRAMMING OR REPRODUCING ANY PROGRAM OR DATA STORED OR USED WITH USDD PRODUCTS, AND ANY FAILURE TO MAINTAIN THE CONFIDENTIALITY OF DATA STORED ON THE PRODUCT.

ALL PRODUCT CLAIMS ARE LIMITED TO THOSE EXCLUSIVE REMEDIES SET FORTH IN THE LIMITED WARRANTY IN SECTION 9 OF THESE TERMS AND CONDITIONS. USDD'S AGGREGATE LIABILITY IN CONNECTION WITH THEREWITH SHALL NOT EXCEED THE PURCHASE PRICE OF THE PRODUCTS PAID BY CUSTOMER TO USDD FOR THE PRODUCTS GIVING RISE TO THE CLAIM. CUSTOMER SHALL NOT BRING A LEGAL OR EQUITABLE ACTION AGAINST USDD MORE THAN ONE YEAR AFTER THE FIRST EVENT GIVING RISE TO A CAUSE OF ACTION, UNLESS A SHORTER LIMITATIONS PERIOD IS PROVIDED BY APPLICABLE LAW. USDD disclaims any representation that it will be able to repair any Hardware under this Warranty or make a product exchange without risk to or loss of the programs or data stored thereon.

SERVICE AGREEMENT. The Product being purchased hereunder is not subject to any post-Warranty service agreement or maintenance program unless specifically contracted for between USDD and Customer. USDD offers a comprehensive post-Warranty Service Agreement at additional cost. Customer should contact USDD regarding its Service Agreement and costs associated therewith.

SOFTWARE PRODUCTS. All software Products delivered by USDD to Customer or for which USDD provides access, including, without limitation, USDD's mobile application software and Products with embedded software or firmware (collectively, "**Software**") are not sold and are licensed. At all times that Customer is in compliance with the terms of these Terms and Conditions and any other agreement between the parties, Customer shall have a non-exclusive, non-transferable, fully paid license to use the Software, but only in conjunction with the Products provided by USDD and Customer's fire station alerting system (the "**License**"). The terms of such Software License may be set forth in a separate software license agreement or end user license agreement provided by USDD with such Software. In no event shall Customer have any right to (or authorize or allow any third party to) distribute, sell, lend, rent, transfer, or convey the Software; grant any sublicense, lease, or other rights in the Software; decompile, disassemble, reverse engineer, or otherwise attempt to reconstruct, identify, or discover any source code, underlying user interface architecture or techniques, or algorithms of the Software by any means; or take any action that would cause the Software or any portion of it to be placed in the public domain. In the event of a conflict between the terms of any Software license terms provided upon download or purchase a purchase and these Terms and Conditions, the relevant Software license terms shall control solely with respect to such Software.

INTELLECTUAL PROPERTY: Customer hereby agrees and acknowledges that USDD owns all rights, title, and interest in and to the Intellectual Property (as defined below). Customer agrees to not remove, obscure, or alter USDD's or any third party's copyright notice, trademarks, or other proprietary rights notices affixed to or contained within or accessed in conjunction with or through USDD's Product (as defined below). Nothing herein shall be deemed to give, transfer, or convey to Customer any rights in the Intellectual Property other than the License, as set forth above. For purposes of this Section,**"Intellectual Property**" means any and all rights of USDD related to USDD's Products existing from time to time under patent law, copyright law, trade secret law, trademark law, unfair competition law, and any and all other proprietary rights, and any and all derivative works, work product, applications, renewals, extensions and restorations thereof, now or hereafter in force and effective worldwide.

REMOTE ACCESS TO THE SYSTEM.

14.1. **Remote Access**. USDD requires remote network access to the Customer's Products through Secure Shell (SSH) to perform implementation and support tasks under this Agreement. To enable remote network access, the Customer will provide USDD support personnel VPN or similar remote network access to the Products for USDD support personnel ("**Customer Support**") to effectively troubleshoot critical or complex problems and to expedite resolution of such issues. Remote network access is also used to install core software upgrades and customized software. USDD will only access Customer's Products with the knowledge and consent of Customer. USDD will not access any other systems or data.

14.2. **Alternative to Network Access**. If the Customer elects not to provide remote network access to the Products, then USDD may not be able to perform some support functions. Customers that elect not to routinely provide network access may temporarily reinstate this access to allow USDD to perform the above services. The following services will not be performed without this access: Product software upgrades; Product software customization; Network troubleshooting assistance including packet capture and network monitoring on USDD devices; Detailed log analysis; Bulk updates to certain Product database tables; Troubleshooting that requires low-level system access or large file transfer.

14.3. **Timely Access**. Customers much ensure that remote access is available prior to notifying USDD of a support request. In the event that the Customer is unable to provide remote access, USDD will not be required to provide support outside those tasks that do not require remote access, and any corresponding resolution response times will not apply.

14.4. **Physical Security Tokens**. USDD has multiple software engineers that provide after-hours support and these engineers do not typically take security tokens from the USDD office. If the customer requires the use of physical security tokens, this may delay after hours service.

GOVERNING LAW. This proposal and any contract or agreement resulting therefrom will be governed by and construed according to the laws of the State of Arizona without regard to its conflicts of law principles.

DISPUTE RESOLUTION/ARBITRATION. Before either USDD or Customer initiate any dispute resolution process related to the Agreement, they must schedule a mandatory executive resolution conference to be held within thirty (30) days of receipt of the other party's written request. The conference must be attended by at least one executive from each party. At the conference, each party will present its view of the dispute in detail and the executives will enter into good faith negotiations in an attempt to resolve the dispute. If the dispute is not resolved within fifteen (15) days of the end of the conference or if one party refuses to attend the executive resolution conference, then USDD and Customer further agree that any remaining dispute between them arising out of or relating to this Agreement will be settled by arbitration administered by the American Arbitration Association under its Commercial Arbitration Rules, to the extent such rules are not inconsistent with this Section, in the AAA's Phoenix regional office by a single, neutral arbitrator. Discovery may be conducted either upon mutual consent of the parties or by order of the arbitrator upon good cause being shown. In ruling on motions pertaining to discovery, the arbitrator shall consider that the purpose of arbitration is to provide for the efficient and inexpensive resolution of disputes, and the arbitrator shall limit discovery whenever appropriate to ensure that this purpose is preserved. The arbitrator shall permit dispositive motions and issue a written decision sufficient to explain the essential findings and conclusions and may award damages. Any award rendered by the arbitrator will be final and binding upon USDD and Customer, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof. USDD and Customer expressly and irrevocably consent to the jurisdiction of the Maricopa County Superior Court of Arizona for such purpose. In the event a dispute is submitted to arbitration pursuant to this Section, the prevailing party shall be entitled to the payment of its reasonable attorneys' fees and costs, as determined by the arbitrator. Each of the parties shall keep all disputes and arbitration proceedings strictly confidential, except for disclosures of information required by applicable law or regulation.

FORCE MAJEURE. Except for Customer's duty to pay sums due hereunder, neither party will be liable to the other for any failure to meet its obligations due to any Force Majeure Event. As used herein, a "Force Majeure Event" is one that is beyond the reasonable control of the non-performing party and may include, but is not limited to: (a) delays or refusals to grant an export license or the suspension or revocation thereof, (b) embargoes, blockages, seizure or freeze of assets, or any other acts of any government that would limit a party's ability to perform the Contract, (c) fires, earthquakes, floods, tropical storms, hurricanes, tornadoes, severe weather conditions, or any other acts of God, (d) quarantines, pandemics, or regional medical crises, (e) labor strikes, lockouts, or pandemic worker shortages, (f) riots, strife, insurrection, civil disobedience, landowner disturbances, armed conflict, terrorism or war, declared or not (or impending threat of any of the foregoing, if such threat might reasonably be expected to cause injury to people or property), and (g) shortages or inability to obtain materials or components. The party unable to fulfill its obligations due to Force Majeure will promptly (i) Notify the other in writing of the reasons for its failure to fulfill its obligations and the effect of such failure; and (ii) Use all reasonable efforts to avoid or remove the cause and perform its obligations.

If a Force Majeure Event results in a delay, then the date of performance will be extended by the period of time that the non-performing party is actually delayed or for any other period as the parties may agree in writing. In the event that a Force Majeure Event is ongoing for a period of time which is sixty (60) days or longer, USDD may provide notice to Customer that it is cancelling its Order.

ACCEPTANCE OF TERMS. This proposal shall become a binding contract between the Customer and USDD when accepted in writing by the Customer. Without limiting the foregoing, issuance by Customer of a purchase order to USDD for any of the goods or services herein described shall constitute acceptance. Any such acceptance shall be with the mutual understanding that these Terms and Conditions of this proposal are a part thereof with the same effect as though signed by both parties named herein and shall prevail over any inconsistent provision of said order. No waiver, alteration, or modification of these terms and conditions shall be binding unless in writing and signed by an authorized representative of USDD.

SEVERABILITY. In the event any provision or portion of a provision herein is determined to be illegal, invalid, or unenforceable, the validity and enforceability of the remaining provisions shall not be affected and, in lieu of such provision, a provision as similar in terms as may be legal, valid, and enforceable shall be added hereto.

WAIVER. The failure of either party to insist upon strict performance of any provision of these Terms and Conditions, or to exercise any right provided for herein, shall not be deemed to be a waiver for the future of such provision or right, and no waiver of any provision or right shall affect the right of the waiving party to enforce any provision or right herein.

NO JOINT VENTURE. The parties acknowledge that they are independent entities and nothing contained in these Terms and Conditions shall be construed to constitute either party hereto as the partner, joint venturer, employee, agent, servant, franchisee, or other representative of the other party hereto, and neither party has the right to bind or obligate the other, except as otherwise provided herein. Furthermore, nothing contained in these Terms and Conditions shall be construed to constitute Customer as an exclusive purchaser of the Products in any respect.

THIS QUOTE SUBJECT TO REVIEW FOR ERRORS AND OMISSIONS.

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Mow and Landscape Services in City Parks

Date: June 27, 2023

Submitted By: Jeff Scanlon, Administrative Analyst

Department: Parks and Recreation

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Awarding the Contract for Parks Mow and Landscape Services to Dominguez Landscape Services, Inc., and Authorizing the City Manager to Execute the Agreement.

Background:
In October 2022, the City issued an invitation for bids for Parks Mow and Landscape Services for the period of January 1, 2023 - December 31, 2025. Two (2) bids were received, with the lowest bid coming in at $3,718,818.00. The lowest bid significantly exceeded budgeted funds; therefore, the City rejected all bids received.

After the City rejected the bids, staff successfully negotiated a 12-month extension with the existing contractor, Dominguez Landscape Services Inc., for the current Park Mow Services Agreement. The extension is valid through December 31, 2023 (Resolution No. 2022-254). By securing this extension, staff gained additional time to research future options and costs for these services, while maintaining the City's current level of service.

Following additional research into City mow services, staff issued a revised invitation for bids for the Parks Mow and Landscape Services, covering the period from January 1, 2024 to December 31, 2026. The primary goal was to achieve cost reduction while ensuring the current department operating budget was maintained. To align with this objective, the revised invitation for bids excluded fertilization and aerification, and included three (3) alternate bid options. The adjusted invitation for bids was advertised on February 17, 2023, and February 24, 2023, with the submittal date of March 13, 2023. Two (2) bids were received (Attachment 3). The lowest bid for each alternate bid item is listed below.

Alternate Bid #1: Parks Mow & Landscape Services - **All Parks**
Dominguez Landscape: **$2,835,888.80**

Alternate Bid #2: Parks Mow & Landscape Services - **Neighborhood Parks**
Dominguez Landscape: **$1,765,850.80**

Alternate Bid #3: Parks Mow and Landscape Services - **Community Parks**
Brightview Landscape: **$1,181,175.00**

After the bids were received, staff conducted a comprehensive final estimated cost analysis for each alternate bid to determine the fiscal impact associated with each approach. Following a thorough review, it was determined that it would be more cost-effective to proceed with contracting out services using Alternate Bid #1: Parks Mow & Landscape Services - All Parks, as outlined in Attachment 4.

Staff has reviewed the bids for sufficiency and recommends the City Council award the bid to Dominguez Landscape Services, Inc., in the amount of $2,835,888.80.

Fiscal Impact:
The Fiscal Year 2023/24 Annual Budget includes resources for the final six (6) months of the current mow contract extension, July 2023 - December 2023, and the first six (6) months of the recommended mow contract, January 2024 - June 2024. Approximately 44% ($421,601) of the mow contract will be covered by the Community Facilities District No. 5 Fund, and the remaining cost will be covered by the General Fund ($541,719).

The Annual Budget also includes the cost for fertilization, aerification, and herbicide, as these services were brought in-house to reduce the overall cost of the contract.

ATTACHMENTS:
1. Resolution
2. Resolution Exhibit A - Contract with Dominguez Landscape Services, Inc.
3. Bid Summary
4. Sealed Bid

RESOLUTION NO. 2023 -

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
AWARDING THE CONTRACT FOR THE PARKS MOW AND LANDSCAPE SERVICES
TO DOMINGUEZ LANDSCAPE SERVICES, INC.
AND AUTHORIZING THE CITY MANAGER TO EXECUTE THE AGREEMENT

WHEREAS, The City issued an invitation for bids for Parks Mow and Landscape Services for the period of January 1, 2024 – December 31, 2026.

WHEREAS, the invitation of bids was advertised on February 17, 2023, and February 24, 2023, with bids opened on March 13, 2023, examined and found to be in compliance with all applicable specifications; and

WHEREAS, the lowest responsible bid was determined to be Alternate Bid #1 – Parks Mow and Landscape Services – All Parks for $2,835,888.80, submitted by Dominguez Landscape Services, Inc., and staff recommends the contract for services be awarded to Dominguez Landscape Services, Inc.; and

NOW THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The City Council awards the contract for Parks Mow and Landscape Services to Dominguez Landscape Services, Inc. in the amount of $2,835,888.80.

Section 2. The City Manager is authorized to execute the Agreement with Dominguez Landscape Services, Inc. in a form substantially similar to Exhibit “A,” attached hereto and incorporated herein.

Section 3. The City Manager’s change order authority under the contract is established to add/delete square footage as the City increases/decreases the landscape and park maintenance areas, to the extent Council has appropriated funds for the services.

PASSED AND ADOPTED this 27th day of June 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Mayor, Ken Broadway

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A

CONTRACT FOR SERVICES

THIS CONTRACT is made on _____, by and between the CITY OF ROCKLIN a municipal corporation ("City"), and DOMINGUEZ LANDSCAPE SERVICES, INC. "Contractor," who mutually agree as follows:

1. SCOPE OF SERVICES

Subject to the terms and conditions set forth in this agreement, Contractor shall provide to the City the services described in the Scope of Work, Exhibit A. Contractor shall provide the services at the time, place and in the manner specified in Exhibits A and/or B. Contractor shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of the services: (a) Contractor notifies the City and City agrees the services are outside the scope of Exhibit A; (b) Contractor estimates the additional compensation required for these additional services; and (c) City after notice, approves in writing a supplemental agreement specifying the additional services and the amount of compensation therefore. City shall have no obligation whatsoever under this agreement or any supplemental agreement, unless and until the agreement or supplemental agreement is approved by the City Manager, the City Manager's authorized designee, or by the Rocklin City Council, as required by the Rocklin Municipal Code or other local law or policy.

2. COMPENSATION

A. The City shall pay Contractor for the services rendered pursuant to this agreement at the times and in the manner set forth in the Scope of Services, Exhibit A, the Schedule for Performance, Exhibit B, and in accordance with the Schedule of Fees in Exhibit C, but in no event shall the total compensation exceed the **total sum of Two Million, Eight Hundred Thirty-Five Thousand, Eight Hundred Eighty-Eight Dollars and Eighty Cents ($2,835,888.80).** The payments specified in Exhibits A and/or B shall be the only payments to be made to Contractor for the services rendered pursuant to this Agreement unless pursuant to Section 1, above, City approves additional compensation for additional services.

B. Contractor shall furnish City with invoices for all expenses as well as for all materials authorized by this Contract. The invoices shall be submitted with the monthly billings. Reimbursable expenses shall be limited to actual expenditures of Contractor for expenses that are necessary for the proper completion of the services and shall only be payable if specifically authorized in advance by City.

C. Contractor shall submit all billings/invoices for services to City in the manner specified in Exhibit B. Contractor's fees shall be as specified in the Scope of Work, Exhibit A or the Schedule of Fees as set forth in Exhibit C. All invoices submitted by Contractor shall contain the following information:

(1) Job/project name or description;
(2) City's current purchase order and/or work order number (if applicable);
(3) Contractor's invoice number;
(4) Date of invoice issuance;

(5) Description of services billed under invoice, including the description of tasks performed and the corresponding rate charged for the completion of that task;
(6) Amount of invoice, itemizing all authorized reimbursable expenses; and
(7) Total billed to date under agreement.

D. City shall make payment to Contractor net 30 days from receipt of an acceptable invoice. If Contractor's performance is not in conformity with the Scope of Work, Exhibit A, or the Schedule of Performance, Exhibit B, or the provisions set forth above, payments may be delayed or denied, unless the Contractor's failure to perform is a documented result of the City's failure to conform with the Schedule of Performance, or if the Schedule of Performance is extended pursuant to Section 4. City shall not be responsible for delays in payment to Contractor resulting from Contractor's failure to comply with the invoice format described above, or as set forth in the Schedule of Performance.

E. During performance of the agreement and for a period of three (3) years after completing all services, Contractor shall maintain all accounting and financial records related to this Agreement, including but not limited to records of Contractor's costs for all services performed under this agreement and records of Contractor's reimbursable expenses, in accordance with generally accepted accounting practices, and shall keep and make the records available for inspection and audit by representatives of the City upon reasonable written notice.

F. Contractor shall pay when and as due, any and all taxes incurred as a result of Contractor's compensation hereunder, including estimated taxes, and shall provide City with proof of payment upon request. Contractor hereby agrees to indemnify City for any claims, losses, costs, fees, liabilities, damages or injuries suffered by City arising out of Contractor's breach of this section.

3. FACILITIES AND EQUIPMENT

Contractor shall at its sole cost and expense, furnish all facilities and equipment that may be required for Contractor to perform services pursuant to this agreement. City shall furnish to Contractor, only the facilities and equipment listed in Exhibit A (if any) according to any terms and conditions set forth in Exhibit A.

4. TERM OF CONTRACT

A. This agreement shall become effective on the date that it is approved by both parties, set forth on the first page of the agreement and shall continue in effect until **December 31, 2026**, unless sooner terminated as provided herein.

B. The services of Contractor are to commence upon execution of this Contract and shall be undertaken and completed in accordance with the Schedule of Performance attached hereto and incorporated herein by this reference as **Exhibit B.**

5. SUSPENSION/TERMINATION:

A. City shall have the right at any time to temporarily suspend Contractor's performance hereunder, in whole or in part, by giving written notice of suspension to Contractor. If City gives such notice, Contractor shall immediately suspend its activities under this agreement.

B. This Contract may be terminated by either party, provided that the other party is given not less than **thirty (30)** calendar days' written notice (delivered by certified mail, return receipt requested) of intent to terminate. If the agreement is terminated by the City:

i. Contractor shall immediately cease rendering services pursuant to this agreement;
ii. Contractor shall, not later than five days after such notice of termination, deliver to City copies of all information prepared pursuant to this agreement;
iii. City shall pay Contractor the reasonable value of services rendered by Contractor prior to termination; provided however, City shall not in any manner be liable for lost profits that might have been made by Contractor had the agreement not been terminated or had Contractor completed the services required by this agreement. Contractor shall furnish to City such financial information as in the judgment of the City is necessary for City to determine the reasonable value of the services rendered by Contractor. The foregoing is cumulative and does not affect any right or remedy that city may have in law or equity.

C. Notwithstanding any provisions of this Contract, Contractor shall not be relieved of liability to the City for damages sustained by the City by virtue of any breach of this Contract by Contractor, and the City may withhold any payments due to Contractor until such time as the exact amount of damages, if any, due the City from Contractor is determined.

6. INDEPENDENT CONTRACTOR

Contractor enters into this Contract as an independent contractor and not as an employee or agent of the City. Contractor shall have no power or authority by this Contract to act on behalf of City in any capacity whatsoever as an agent, or to bind the City in any respect or to any obligations whatsoever. Nothing in this Contract shall be construed to be inconsistent with this relationship or status. All employees, agents, contractors or subcontractors hired or retained by the Contractor are employees, agents, contractors or subcontractors of the Contractor and not of the City. The City shall not be obligated in any way to pay any wage claims or other claims made against Contractor by any such employees, agents, contractors or subcontractors, or any other person resulting from performance of this agreement.

7. AMENDMENTS, CHANGES OR MODIFICATIONS

Amendments, changes or modifications in the terms of this Contract may be made at any time by mutual written agreement between the parties hereto and shall be signed by the persons authorized to bind the parties hereto.

8. EXTENSIONS OF TIME

Contractor may, for good cause, request extensions of time to perform the services required hereunder. Such extensions shall be authorized in advance by the City in writing and shall be incorporated in written amendments to this Contract or the attached Work Program in the manner provided in Section 7.

9. PROPERTY OF CITY

It is mutually agreed that all materials prepared by the Contractor under this Contract shall become the property of the City, and the Contractor shall have no property right therein whatsoever. Immediately upon termination, the City shall be entitled to, and the Contractor shall deliver to the City, all data, drawings, specifications, reports, estimates, summaries and other such materials as may have been prepared or accumulated to date by the Contractor in performing this Contract which is not Contractor's privileged information, as defined by law, or Contractor's personnel information, along with all other property belonging exclusively to the City which is in the Contractor's possession.

10. COMPLIANCE WITH ALL LAWS:

Contractor shall keep itself fully informed of, shall observe and comply with, and shall cause any and all persons, firms or corporations employed by it or under its control to observe and comply with, all applicable laws, ordinances, and codes of federal, State and local governments, which in any manner affect those engaged or employed on the work described by this Contract or the materials used or which in any way affect the conduct of the work, and shall commit no trespass on any public or private property in performing any of the work authorized by this Contract.

For all leases and purchases of materials, equipment, supplies or other tangible personal property used to perform the agreement and shipped from outside California, the Contractor and any subcontractors leasing or purchasing such materials, equipment, supplies or other tangible personal property shall obtain a Use Tax Direct Payment Permit or Seller's Permit from the California State Board of Equalization, in accordance with the applicable SBE criteria and requirements. This provision applies in all instances unless prohibited by the funding source for the agreement.

11. WARRANTIES AND RESPONSIBILITIES - CONTRACTOR

A. Contractor agrees and represents that it is qualified to properly provide the services set forth in **Exhibit "A"** in a manner which is consistent with the generally accepted standards of Contractor's profession.

B. Contractor is duly licensed, qualified and experienced to perform the services set forth in the Scope of Services, Exhibit A. Contractor represents and warrants that Contractor has all licenses, permits, qualifications and approvals of whatsoever nature that are legally required for Contractor to practice its profession or provide any services under this agreement. Contractor represents and warrants that Contractor shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this agreement, any licenses, permits and approvals that are legally required for Contractor to practice its profession or provide such services. If Contractor is an out of state corporation, Contractor further warrants and represents that it possesses a valid certification of qualification to transact business in the State of California issued by the California Secretary of State.

C. Contractor shall perform all services required pursuant to this agreement in the manner and according to the standards currently observed by a competent practitioner of Contractor's profession in California. Contractor shall devote such time and effort to the performance of services pursuant to this agreement as is necessary for the satisfactory and timely performance of Contractor's obligations under this agreement. Neither party shall be considered

in default of this agreement to the extent that party's performance is prevented or delayed by any cause that is beyond the reasonable control of that party.

D. All products of whatsoever nature that Contractor delivers to City pursuant to this Agreement shall be prepared in a professional manner and conform to the standards of quality normally observed by a person currently practicing in Contractor's profession, and shall be provided in accordance with any scope of services or schedule of performance specified in Exhibits A or B.

E. Contractor shall assign only competent personnel to perform services pursuant to this agreement. Contractor shall designate a project manager who at all times shall represent the Contractor before the City on all matters relating to this Contract. The project manager shall continue in such capacity unless and until he or she is removed at the request of the City, is no longer employed by Contractor, or is replaced with the written approval of the City, which approval shall not be unreasonably withheld. Contractor shall notify City in writing, of any other changes in Contractor's staff assigned to perform the services required under this agreement, prior to any such performance. In the event the City desires the removal of any person assigned by Contractor to perform services pursuant to this agreement, because the City in its sole discretion, determines that such person is not performing in accordance with the standards required herein, Contractor shall remove such person immediately upon receiving notice from the City of the desire for the removal of such person.

F. Contractor agrees and represents that the work performed under this Contract shall be in accordance with applicable federal, State and local law.

G. Contractor shall provide corrective services without charge to the City for services which fail to meet the above professional and legal standards and which are reported to Contractor in writing within sixty (60) days of discovery. Should Contractor fail or refuse to perform promptly its obligations, the City may render or undertake performance thereof and the Contractor agrees it has satisfied itself by its own investigation and research regarding the conditions affecting the work to be done and labor and materials needed, and that its decision to execute this agreement is based on such independent investigation and research. Contractor shall be liable for any expenses thereby incurred**.**

12. SUBCONTRACTING

None of the services covered by this Contract shall be subcontracted without the prior written consent of the City, which will not be unreasonably withheld. Contractor shall be as fully responsible to the City for the negligent acts and omissions of its contractors and subcontractors, and of persons either directly or indirectly employed by them, as it is for the negligent acts and omissions of persons directly employed by Contractor.

13. ASSIGNABILITY

Contractor shall not assign or transfer any interest in this Contract whether by assignment or novation, without the prior written consent of the City. The City has a strong interest in the qualifications and capability of the persons and entities that will fulfill the obligations imposed on Contractor under this agreement. However, claims for money due or to become due Contractor from the City under this Contract may be assigned to a financial institution, or to a trustee in bankruptcy, without such approval. Notice of any assignment or transfer whether voluntary or involuntary shall be furnished promptly to the City.

14. INTEREST IN CONTRACT

Contractor covenants that neither it, nor any of its employees, agents, contractors, or subcontractors has any interest, nor shall they acquire any interest, direct or indirect, in the subject of the Contract, nor any other interest which would conflict in any manner or degree with the performance of its services hereunder. Contractor shall make all disclosures required by the City's conflict of interest code in accordance with the category designated by the City, unless the City Manager determines in writing that Contractor's duties are more limited in scope than is warranted by the category designated by the City code and that a narrower disclosure category should apply. Contractor also agrees to make disclosure in compliance with the City conflict of interest code if, at any time after the execution of this Contract, City determines and notifies Contractor in writing that Contractor's duties under this Contract warrant greater disclosure by Contractor than was originally contemplated. Contractor shall make disclosures in the time, place and manner set forth in the conflict of interest code and as directed by the City.

15. MATERIALS CONFIDENTIAL

To the extent permitted by law, all of the materials prepared or assembled by Contractor pursuant to performance of this Contract are confidential and Contractor agrees that they shall not be made available to any individual or organization without the prior written approval of the City, except by court order.

16. LIABILITY OF CONTRACTOR-NEGLIGENCE

Contractor shall be responsible for performing the work under this Contract in a manner which is consistent with the generally-accepted standards of the Contractor's profession and shall be liable for its own negligence and the negligent acts of its employees, agents, contractors and subcontractors. The City shall have no right of control over the manner in which the work is to be done but only as to its outcome, and shall not be charged with the responsibility of preventing risk to Contractor or its employees, agents, contractors or subcontractors.

17. INDEMNITY AND LITIGATION, COSTS

To the fullest extent permitted by law, Contractor shall hold harmless, defend at its own expense, and indemnify the City, its officers, officials, agents, employees and volunteers against any and all claims, damages, demands, liability, costs, losses and expenses, including without limitation court costs and reasonable attorneys' fees, arising from all acts or omissions of Contractor, or its officers, agents or employees in rendering services under this Agreement; excluding however, such liability, claims, losses, damages or expenses arising from the City's sole negligence, or willful acts. The provisions of this paragraph shall survive termination or suspension of this Contract. These Indemnification provisions are independent of and shall not in any way be limited by the Insurance requirements of this agreement. City approval of the Insurance required by this Agreement does not in any way relieve the Contractor from liability under this section.

18. CONTRACTOR TO PROVIDE INSURANCE

Contractor agrees to have and maintain the policies set forth below, against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, or employees. All policies, endorsements, certificates, and/or binders shall be subject to approval by the City as to form and content. A lapse in any required insurance coverage during this Agreement shall be a breach of

this Agreement.

A. **Minimum Scope and limit of Insurance**

1. ***Commercial General Liability (CGL)***: Insurance Services Office Form CG 00 01 covering CGL on an "occurrence" basis, including products and completed operations, property damage, bodily injury and personal & advertising injury with limits no less than **$2,000,000** per occurrence. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (ISO CG 25 03 or 25 04) or the general aggregate limit shall be twice the required occurrence limit.

2. ***Automobile Liability***: Insurance Services Office Form Number CA 00 01 covering any auto (Code 1), or if Contractor has no owned autos, covering hired (Code 8) and non-owned autos (Code 9), with limit no less than **$1,000,000** per accident for bodily injury and property damage.

3. ***Workers' Compensation*** as required by the State of California, with Statutory Limits and Employer's Liability Insurance with limit of no less than **$1,000,000** per accident for bodily injury or disease. If Contractor has no employees, Contractor must notify City if an employee is hired, verify proof of coverage for any subcontractors, and agrees to hold City harmless and defend City from claims arising from failure to provide workers' compensation benefits.

If the Contractor maintains broader coverage and/or higher limits than the minimums shown above, the City requires and shall be entitled to the broader coverage and/or the higher limits maintained by the contractor. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the City.

B. **OTHER INSURANCE PROVISIONS**

The insurance policies are to contain, or be endorsed to contain, the following provisions:

1. ***Additional Insured Status***. The City, its officers, officials, employees, and volunteers are to be covered as additional insureds on the CGL policy with respect to liability arising out of work or operations performed by or on behalf of the Contractor including materials, parts, or equipment furnished in connection with such work or operations. General liability coverage can be provided in the form of an endorsement to the Contractor's insurance (at least as broad as ISO Form CG 20 10 11 85 or both CG 20 10, CG 20 26, CG 20 33, or CG 20 38; and CG 20 37 forms if later revisions used).

2. ***Primary Coverage***. For any claims related to this contract, the Contractor's insurance coverage shall be primary insurance coverage at least as broad as ISO CG 20 01 04 13 as respects the City, its officers, officials, employees, or volunteers. Any insurance or self-insurance maintained by the City, its officers, officials, employees or volunteers shall be excess of the Contractor's insurance and shall not contribute with it.

3. ***Notice of Cancellation***. Each insurance policy required above shall provide that coverage shall not be canceled, except with 30 days' notice to the City, with ten days' for notice of cancellation due to non-payment.

4. ***Waiver of Subrogation***. Contractor hereby grants to City a waiver of any right to subrogation which any insurer of said Contractor may acquire against the City by virtue of the payment of any loss under such insurance. Contractor agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the City has received a waiver of subrogation endorsement from the insurer.

5. ***Self-Insured Retentions***. Self-insured retentions must be declared to and approved by the City. The City may require the Contractor to purchase coverage with a lower retention or provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or City.

6. ***Acceptability of Insurers***. Insurance is to be placed with insurers authorized to conduct business in the state with a current A.M. Best's rating of no less than A:VII, unless otherwise acceptable to the City.

7. ***Claims Made Policies***. If any of the required policies for professional liability insurance provide claims-made coverage:

i. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.

ii. Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of the contract of work.

iii. If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a Retroactive Date prior to the contract effective date, the Contractor must purchase "extended reporting" coverage for a minimum of five (5) years after completion of contract work.

8. ***Verification of Coverage***. Contractor shall furnish the City with original Certificate of Insurance including all required amendatory endorsements (or copies of the applicable policy language effecting coverage required by this clause) and a copy of the Declarations and Endorsement Page of the CGL policy listing all policy endorsements to the City before work begins. However, failure to obtain the required documents prior to the work beginning shall not waive the Contractor's obligation to provide them. The City reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these specifications, at any time.

9. ***Subcontractors***. Contractor shall require and verify that all subcontractors maintain insurance meeting all the requirements stated herein, and Contractor shall ensure that City is an additional insured on insurance required from subcontractors.

10. ***Special Risks or Circumstances***. City reserves the right to modify these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

19. GENERAL/MISCELLANEOUS PROVISIONS:

A**.** **Contract Documents**. This agreement and its exhibits shall be known as the "Contract Documents." Terms set forth in any Contract Document shall be deemed to be incorporated in all Contract Documents as if set forth in full therein. In the event of conflict between terms contained in these Contract Documents, the more specific term shall control. If any portion of the Contract Documents shall be in conflict with any other portion, provisions contained in the Contract shall govern over conflicting provisions contained in the exhibits to the Contract. In the event of any conflict between the terms or conditions of this written agreement and any terms or conditions of any document prepared or provided by Contractor and made a part of this agreement, including without limitation any document relating to the scope of services or payment therefor, the written terms of this document shall control over those terms or conditions.

B. **Non-Discrimination in Employment and Equal Employment Opportunity**. Contractor shall not engage in unlawful employment discrimination. Such unlawful employment discrimination includes, but is not limited to, employment discrimination based upon a person's race, color, ancestry, national origin, religious creed, sex (including pregnancy, childbirth breastfeeding or related medical condition), sexual orientation, gender, gender identity, gender expression and age (over 40), disability (mental and physical), medical condition, marital status, citizenship, and military and veteran status.

C. **Inspection of Records**. Contractor shall maintain and make available for inspection by the City and its auditors accurate records of all of its costs, disbursements and receipts with respect to any work under this Contract. Such inspections may be made during regular office hours at any time until six (6) months after the final payments under this Contract are made to the Contractor.

D. **Entire Agreement**. This Contract constitutes the entire agreement between the parties relative to the services specified herein and supersedes whatever oral or written understanding they may have had prior to the execution of this agreement. No alteration or modification of this agreement shall be valid or effective unless and until such modification is evidenced by a writing signed by both parties to this Contract, by persons authorized to act on behalf of the parties. There are no understandings, agreements, conditions, representations, warranties or promises, with respect to this Contract, except those contained in or referred to in the writing.

E. **Severability**. If any portion of this agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.

F. **Waiver**. Neither City's acceptance of, or payment for, any service performed by Contractor, nor any waiver by either party of any default, breach, or condition precedent, shall be construed as a waiver of any provision of this agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.

G. **Notice**. All notices that are required to be given by one party to the other under this Contract shall be in writing and shall be deemed to have been given if delivered personally or enclosed in a properly addressed envelope and deposited in a United States Post Office for delivery by registered or certified mail addressed to the parties at the following addresses:

City: City of Rocklin
Parks & Recreation Department
5460 5th Street
Rocklin, CA 95677

City of Rocklin
Office of the City Attorney
3970 Rocklin Road
Rocklin, CA 95677

Contractor: Dominguez Landscape Services
8376 Rovana Circle
Sacramento, CA 95828

H. **Enforcement of Agreement.** This Contract shall be interpreted, governed and enforced in accordance with the laws of the State of California. Venue of any action arising out of this Contract shall be brought and maintained in Placer County California, regardless of where else venue may lie. The parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such court, and consent to service of process issued by such courts.

I. **Attorney's Fees**. In any action brought by either party to enforce the terms of this Contract, each party shall bear responsibility for its attorney's fees and all costs regardless of whether one party is determined to be the prevailing party.

J. **Power and Authority to Enter into Agreement**. All parties to this Agreement warrant and represent that they have the power and authority to enter into this Agreement in the names, titles, and capacities herein stated and on behalf of any entities, persons, or firms represented or purported to be represented by such entity(ies), person(s), or firm(s) and that all formal requirements necessary or required by any state and/or federal law in order to enter into this Agreement have been fully complied with. Furthermore, by entering into this Agreement, Contractor hereby warrants that it shall not have breached the terms or conditions of any other contract or Agreement to which Contractor is obligated, which breach would have a material effect hereon.

K. **Counterparts**; **Electronic Signatures**. This Agreement may be executed in two or more counterparts, each of which when so executed shall be deemed to be an original and all of which when taken together shall constitute one and the same instrument. The words "execution," "signed," "signature," and words of like import in this Agreement or in any other certificate, agreement or document related to this Agreement, shall include digital electronic signatures (DocuSign). The use of digital electronic signatures and electronic records (including, without limitation, any contract or other record created, generated, sent, communicated, received, or stored by electronic means) shall be of the same legal effect, validity and enforceability as a manually executed signature or use of a paper-based record-keeping system to the fullest extent permitted by applicable law.

L. **Exhibits**. All exhibits referred to herein and attached hereto, are by this reference incorporated as if set forth fully herein.

CONTRACTOR

By: _____

By: _____

CITY OF ROCKLIN

By: _____
Aly Zimmermann, City Manager

ATTEST:

By: _____
Hope Ithurburn, City Clerk

APPROVED AS TO FORM:

By: _____
Daniel Cucchi, Interim City Attorney

EXHIBIT A

Contractor Proposal/Scope of Work

SPECIFICATIONS – All Parks

CITY OF ROCKLIN
MOW & LANDSCAPE MAINTENANCE SERVICES

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MOW & LANDSCAPE MAINTENANCE SPECIFICATIONS

1. SCOPE

A. Furnish all labor, materials, tools, equipment, and transportation required to perform mowing, edging, trash and debris removal**,** cleaning of sidewalks, care of shrub beds, care of ground cover plantings, fertilization, aerating, applications of pesticides, pruning, weed control in shrub beds, parking lots and where undeveloped meets grass or shrub bed (4’ of spray for separation), renovation of turf (Due to damage by contractor), and other items specified herein and listed on the proposal.

B. It is the responsibility of Contractor to assure that all turf and planting is kept in a healthy growing condition by cultivating, mowing, edging, fertilizing, and by performing any other necessary operations of maintenance. If the Contractor observes any issues that are not within their scope of work they shall immediately report them to the project manager.

2. CONTRACTOR EXPERIENCE

A. Contractor shall demonstrate through previous or existing contacts the ability and knowledge to manage a contract of this size. Contractor shall provide a list of experience and contact information, if requested, to the City within five (5) business days.

3. INTERFERENCE

A. Contractor shall conduct the work required in such manner as to cause the least amount of interference to the public.

B. Contractor recognizes that, during the course of this Agreement, other activities and operations may be conducted by City work forces and/or other contracted parties. These activities may include, but not be limited to, landscape refurbishment, irrigation system modification or repair, construction and/or storm related operations. Contractor may be required to modify the weekly schedule and shall promptly comply with any request made by the City Inspector. To the extent that any such modifications may alter the specifications in the agreement, City will evaluate the need to amend the contract.

4. DAMAGE

A. All landscaping covered under this contract will be evaluated on a regular basis by the City Inspector. Any damage identified will be noted and reviewed with Contractor at meetings to be scheduled by City.

B. Any damage to landscaping, equipment or any related structures (e.g., valve boxes, drains, tree stakes) due to Contractor's negligence during the performance of any provision of this agreement shall be the responsibility of the Contractor. Contractor shall notify the Inspector within four (4) days of the loss of plant material due to any cause. Contractor is responsible for cost of replacing trees, shrubs, turf or ground cover if loss was due to negligence as determined by the Inspector. Contractor will be responsible to flag all areas of concern, whether damage was caused by contractor or not so that City staff may be notified of areas of deficiency and/or in need of attention.

C. Contractor will have two (2) working days to repair and correct any damages once Contractor has been notified by the City Inspector and a determination has been made that the Contractor caused the damages in question. Any time extension or deviation will require the coordination and approval of the City Inspector. The damaged area will be restored to its original condition unless otherwise specified by the City Inspector. Contractor will notify the City in writing that the repairs and/or corrections have been completed no later than two (2) business days after they have been finished.

D. If sprinklers or Netafim drip lines are damaged by Contractor during the course of this contract by mowing and/or other construction, Contractor shall repair such damages at Contractor's sole expense. Repairs shall be completed within 24 hours of Contractor becoming aware of the damage or by notification by the City. Repairs not completed with 24 hours of notification will be completed by City and all costs incurred shall be withheld from the contracted monthly invoice. During times of drought or water conservation, all repairs should be flagged and noticed to the City immediately.

5. NON-PERFORMANCE

A. City will regularly inspect the Contractor(s) work. Contractor will be paid for work rated as "meets standards." Penalties as noted in detail below will incur for work rated as "unsatisfactory." City staff will work closely with the Contractor(s) representatives to achieve the results described in the standards and inspection criteria. However, responsibility for meeting standards rests solely with the Contractor.

B. During the first three (3) months of the contract, the Contractor will receive one hundred percent (100%) of the monthly contract payment. During this period, Contractor will inspect the sites at least monthly and will discuss inspections with the City. This process and timeframe will allow for the Contractor to understand the site issues enough to proceed. Following this period, Contractor performs quality inspections a minimum of once each month or as needed and submits inspection reports to the City. The Contractor will provide electronic versions of

the monthly schedule to the City by the 1st of each month.

C. For any site that fails to meet City standards as a result of some action that is the Contractor's responsibility, the Contractor shall notify the City immediately and develop a plan to bring the site back into compliance within ten (10) days. Should the City notify the Contractor of a site(s) that do not meet City standards, and the City has not been notified by the Contractor, the Contractor will be put on notice in writing to bring the site back into compliance in accordance with the progressive non-compliance schedule as outlined below.

1. Should non-compliance continue after written warning, the following penalties will result:
   a. The monthly payment for the specific site(s) will be withheld by the City until the site is back in conformance with City standards.
   b. If conformance is reached with fourteen (14) days of notice, the City will release one hundred percent (100%) of the payment.

2. If the City has to notice the Contractor a second time at the same site within six (6) months of the initial notice, the following penalty will occur:
   a. The City will withhold the total monthly payment for the specific site(s). If conformance is reached within 14 days, the City will release ninety-five (95%) of the total payment, retaining five (5%) as penalty. Any subsequent violations of non-performance within one year of notification will result in a ten (10%) monthly penalty with a 5% escalator per occurrence thereafter. Termination of the contract will be considered a remedy for continued non-performance.

3. If at any time during a notice by the City, the Contractor does not bring the site into conformance within fourteen (14) days, the following penalty will occur:
   a. The City will keep the monthly payment for the specific site(s) as penalty and withhold the next month's payment following the above-mentioned conditions until conformance is reached or the contract is terminated.

D. The parties will observe the following problem resolution process:
   - Verbal notice of the problem
   - Field conference with the inspector or assign to identify and agree upon a solution as presented by the Contractor
   - Written notice of the problem
   - Imposition of penalties and/or correcting the defect at Contractor's cost
   - Conference between City's representative and Contractor's principal
   - Termination of contract

E. Non-performance by Contractor that results in City personnel or outside contractor performing unnecessary work in preparation for Contractor, or completing work that should have been performed by Contractor will result in the actual cost being charged back to Contractor. Contractor will not be paid additional amounts for remedial work to improve unsatisfactory areas. These costs will be deducted from the monthly invoice.

F. If any scheduled use, (including but not limited to, special events or recreational programs) of City facilities are impacted by neglect on the Contractor's part. Any costs associated with rescheduling the event/activity/program will be the responsibility of the Contractor for time, staffing and costs, including but not limited to officials' salaries, part-time staff salaries, maintenance call-out person salaries (stand by), lighting costs, and preparation of the fields which can include a drag, watering and lining of the field.)

G. When the City Inspector discovers an area of landscape that is no longer reasonably kept free of weeds, he will communicate with the Contractor the area of concern and give one (1) business day for the application of herbicide or manual removal of the weeds. The Contractor shall communicate all mowing schedule changes with the inspector for approval. If park turf is uncut or only partially mowed, exceptions will be considered for weather (rain), equipment breakdown (verified onsite by City Inspector), unscheduled event on location or overwatering.

6. TRASH AND DEBRIS REMOVAL

A. Complete trash and litter pickup for the removal of paper, glass, trash, undesirable materials, and all accumulated debris within the park and landscaped areas is to be performed each time the site is serviced. This includes, but is not limited to, walkways, sidewalks, all turf and planted areas, planters, drains, catch basins, gutters and parking lots. Tree limbs, including limbs from Oak trees, will also be included in debris removal. If the limb is of significant size, Contractor will notify and coordinate removal with the City. This will require a thorough inspection of the areas at least once a week. The Contractor can unload the City of Rocklin's collected trash and debris at the appropriate location in the City's Corporation Yard. The City will be contracting trash and debris removal from the trash receptacles with City parks per the established City schedule.

B. Complete litter pickup and supplemental hand sweeping of parking lot corners and other parking lot areas along gutters/curbs inaccessible to power equipment shall be accomplished to ensure a neat appearance. Debris is not to be swept or blown into the street or other public spaces including parks or landscaped areas. It is Contractor's responsibility to remove debris and

dispose of it offsite.

C. Accumulation of leaves shall be removed from all landscaped areas including walls, gutters, beds, planters, tree perimeters, and parking lots, and removed from the site. The tree perimeter is defined as four feet (4') from the turf or planter edge to the tree trunk. If leaves are blown into the tree perimeter, they must be picked up. Some sites might require additional visits during leaf season. Contractor will remove leaves on a weekly basis and dispose of them offsite.

7. SIDEWALKS

A. Sidewalks and paved areas shall be blown off and cleaned of dirt or soil from maintenance equipment, as required. Turning equipment or dragging items across the sidewalks should be avoided in order to prevent the marking and staining of the concrete. In the event that the sidewalks are stained and/or have rubber tire marks, the Contractor will clean the area affected.

B. All plant growth shall be prevented in any cracks, in curbs, or in street gutters and parking lots.

8. MOW & LANDSCAPE MAINTENANCE SCHEDULE

A. Contractor shall establish with the Parks and Recreation Director, or designee, a set maintenance schedule for all Parks and landscape including, but not limited to, weekly mowing and monthly maintenance, hedging, trimming, pruning, weeding, and spray applications.

9. STAFFING HOURS AND DAYS FOR MAINTENANCE SERVICES

A. Contractor shall perform the required maintenance service as follows:

1. Six (6) Landscape Maintenance Laborers minimum for mowing, edging and blowing (not including management or administrator work) and Two (2) Landscape Maintenance Laborers minimum for hedging, trimming, pruning, weeding and spraying, for a total of Forty-Hours (40 hrs.) per laborer per week.

2. Maintenance in the park areas between the hours of 7:00a.m. and 6:00p.m. Monday through Friday, and on Saturday with approval of City Inspector.

3. When a holiday is observed by the contractor between the months of March through October, the weekly maintenance schedule shall be completed by working longer hours for the four remaining business days.

B. No maintenance functions that generate excess noise, which would cause annoyance to residents of the area, shall be commenced before 7:00 a.m., Monday through Saturday. The City has established a schedule for work to be followed in the performance of this contract. All Park scheduling will be coordinated with the Parks and Recreation Manager, or his designee. Any deviations to the schedule will be coordinated and approved by the City Inspector prior to any work commencing. Contractor shall conduct the work at all times in a manner that will not interfere with normal park use, pedestrian traffic on adjacent sidewalks, and vehicular traffic on adjacent streets.

C. Any modification in the hours and days of maintenance service as stated in the Contractor's Service Schedule is subject to approval by the City Inspector.

10. SIGNS/IMPROVEMENTS

A. Contractor shall not post signs or advertising material upon the site premises, unless prior approval is obtained from the Inspector. Flags to alert City staff of an area in need of maintenance or attention are exempt and encouraged.

11. SHRUBS

A. Shrubs shall be pruned 4 times per year or more frequently as needed to maintain established height and shape or to prevent encroachment into other areas.

1. Prune hedges and shrubs to maintain eight-foot (8') clearance above sidewalks and fourteen-foot (14') clearance overhanging beyond curb line into the paved sections of roadways to achieve safe vehicular and pedestrian visibility, clearance, and access.

2. Prune hedges to reveal all street and park signs.

3. Remove all dead, diseased, insect infested and unsightly branches. Remove all vines or other growth as it develops within any shrub/hedge. Any runners that start to climb buildings, shrubs or trees shall be pruned out of these areas. Vines that cover sound walls are not to be removed unless directed to do so by City Inspector.

4. At the Contractor’s expense, all dead shrubs shall be chipped, removed and disposed the same day at the City’s Corporation Yard, 4081 Alvis Court, Rocklin, CA. Notify Inspector prior to removal of shrubs/hedges. The chipped trimmings and debris shall be placed in the designated area provided by the City.

5. Notify City Inspector prior to removal of shrubs/hedges.

6. Restrict growth of hedges and shrubs to areas behind curbs and walkways and within planter beds by trimming. In no case shall hedge shears be used as a means of pruning, unless prior authorization from the Inspector has been obtained.

7. All pruning cuts shall be smooth, leaving no stubs exposed. Ragged or chewed appearance is not acceptable.

12. GROUND COVER

A. Ground cover shall be kept free of weeds, litter, debris and leaves. Ground cover beds shall be maintained within their intended bounds and shall not be permitted to encroach into shrub beds or adjacent areas, or in any manner deemed undesirable by the Inspector. Ground cover shall not exceed three inches (3") beyond the inside side edge of the curb or border.

B. Ground cover plantings shall be thinned and pruned for the health of the planting and the appearance of the site, and at such other times when directed by the City Inspector.

C. Notify City of all dead or dying landscape and mark with a flag.

13. TURF

A. Dwarf Tall Fescue Turf shall be mowed to maintain a uniform height of no more than two and one-half to three and one-half inches (2½”-3½”) unless otherwise specified by the City Inspector. This will require weekly mowing except for the sports fields which will require an additional (2nd) mowing per week. (Margaret Azevedo and Kathy Lund Soccer Fields are covered under the Celebration Bermuda Section). During the winter months (November 1 – February 28), weekly mowing will be reduced and the frequency of mowing will be determined by growing conditions and subject to review by the City Inspector.

B. Celebration / Latitude 36 Bermuda Turf shall be mowed to maintain a uniform height of no more than three-quarters of an inch to one inch (3/4”- 1”) unless otherwise specified by the City Inspector. A Reel Mower is required for all mowing of the Celebration/ Latitude 36 Bermuda Turf, no exceptions. This will require mowing three (3) times per week during the peak growing season. As the growing conditions change in the winter months, the mowing frequency will be reduced and subject to review by the City Inspector. Prior to dormancy in October, the over-seeding of Ryegrass will cause the continuation of the mowing throughout the winter months with a rotary style mower.

C. Mulching of cuttings is allowed. At the Contractor's expense, the Contractor shall separately bag, remove and dispose of all excessive grass clippings at the City's Corporation Yard, 4081 Alvis Court, Rocklin, CA. The bagged trimmings shall be placed in the designated area provided by the City.

D. Edges of lawn, ground cover, valve boxes, drains, and utility boxes shall be trimmed weekly for neat appearance. Clippings shall be vacuumed or blown off walks. The clippings are not to be blown into the tree perimeter area, onto sidewalks, or into the streets, curbs and gutters. Trimming will take place at the same time the turf is mowed unless otherwise directed by the City Inspector.

E. In no case shall string line trimmers be used around the base of trees. All turf within 12-inches of the tree base shall be chemically removed.

F. Before mowing operations begin the area will be physically walked and visually inspected and all debris including stones, limbs and trash shall be removed.

G. A 21-inch push mower will be used in areas where the likelihood of scalping and/or wet spots would prevent the use of a riding mower. If the area is unable to be mowed by a 21-inch push mower, then a weed eater will be used.

H. Renovation: Repair and replacement of turf damaged due to the negligence of Contractor shall be repaired by Contractor to the satisfaction of the City Inspector. Repairs to turf areas shall be made with sod or seeded as determined by the City Inspector. Depressions in the turf created by Contractor's equipment shall be brought level to grade with topsoil and sod or seed as determined by the City Inspector.

14. USE OF CHEMICALS

A. A pesticide program for the control of weeds shall be applied continuously throughout the year to keep the parks free of weeds. Contractor shall comply with the California Department of Pesticide Regulation and the Placer County Department of Agriculture's regulations governing the use of pesticides. The Inspector shall be notified one week prior to expected date of application of any pesticide.

B. The Contractor will be responsible for the pest control recommendations for all pesticides applied within the City of Rocklin. Should any unforeseen chemical application be necessary, the City shall be notified fourteen (14) days prior to the application.

1. All chemical applications shall be done with extreme care to avoid any hazard to any person, pet or damage to property in the area. All

pesticides shall be applied according to the Pesticide Control Advisor's written recommendation and the manufacture's label. Material, timing, rate of application, and application shall be applied by persons holding a Qualified Applicator Certificate issued by the California Department of Pesticide Regulation.

2. Records of all operations, stating dates, times, methods of application, chemical formulations, applicators names and weather conditions shall be made and retained in an active file for a minimum of two (2) years by the Contractor. These records must be available for review upon request from the Inspector, County, State or Federal Officers.

3. A State of California Monthly Summary Pesticide Use Report and a City of Rocklin Daily Pesticide Operation Report shall be turned into the City with monthly invoice.

4. All chemicals requiring a special permit for use must be registered with the Placer County Agricultural Commissioner's Office and a permit obtained with a copy to the City. A Pest Control Advisors recommendation must be on file with the Inspector prior to use of special permit chemicals.

5. Shrub beds, D.G. pathways, ball field warning track, infield 1st and 3rd base perimeters as well as backstops, perimeter areas, and undeveloped areas adjacent to lawns (2' spray barrier from turf to undeveloped) shall be kept reasonably free of weeds. Weeding may be done manually or by use of selective pesticides. If weeds are allowed to grow past 6" (inches) before an herbicide application is applied, it may be necessary for the contractor to mechanically or manually remove the weeds after the application of herbicide.

6. The following products have been approved for use on rights-of- way, parks and other properties owned by the City of Rocklin. Each application for weed control will include a pre-emergence and post-emergence. No other product may be applied without obtaining prior written approval from the City of Rocklin. The pre and post emergence products approved for use are:

Dimension 2EW
Roundup Pro Max

15. REPLACEMENT OF PLANT MATERIAL

A. Contractor shall notify the City Inspector within four (4) days of the loss of plant material due to any cause.

B. Contractor is responsible for cost of replacing trees, shrubs, turf or ground cover if loss was due to negligence as determined by the City Inspector.

All exceptions to the guidelines above will be at the City's discretion only.

Estimated Park and Facility Landscape Square Footages

General Fund Neighborhood Parks & Facilitites			
Park Name	Turf Sq. Ft.*	Planter Sq. Ft.*	Combined Sq. Ft.
Breen	156,454	65,442	221,896
Clover Valley	71,098	28,306	99,404
Coral Alva	54,695	37,738	92,433
Heritage	41,275	32,239	73,514
Mansion Oaks	63,531	31,929	95,460
Memorial	15,233	9,422	24,655
Monte Verde	42,573	10,412	52,985
Monument	62,949	13,817	76,766
Pebble Creek	179,681	968	180,649
Quarry Park	60,483	14,757	75,240
Sasaki	50,694	11,329	62,023
Sierra Meadows	118,027	3,362	121,389
Sunset East	16,298	20,396	36,694
Vista Grande	111,319	35,234	146,553
Wesley	147,083	78,023	225,106
Woodside	55,687	54,300	109,987
SWRA	56,687	22,267	78,954
Quarry Park Adventures	0	56,654	56,654
Facility Name			
Library	887	41,963	42,850
Corporation Yard	13,819	24,382	38,201
Admin/City Hall	0	3,685	3,685
Finn Hall	2,506	6,091	8,597
Fire Station #1	1,315	2,044	3,359
Fire Station #2	13,827	29,748	43,575
Fire Station #3	0	11,103	11,103
Police Station	0	56,707	56,707
Event Center	29,712	20,107	49,819
Subtotals	**1,365,833**	**722,425**	**2,088,258**

CFD #5 Neighborhood Parks			
Park Name	Turf Sq. Ft.*	Planter Sq. Ft.*	Combined Sq. Ft.
Boulder Ridge	184,048	64,404	248,452
Clark Dominguez	199,338	27,013	226,351
Gayaldo	36,587	42,170	78,757
Joe Hernandez	101,816	30,967	132,783
Night Ridge	124,325	18,443	142,768
Brigham-Hawes	31,355	22,421	53,776
Bolton	62,157	36,715	98,872
Pleasant Valley	99,610	22,620	122,230
Ruhkala	154,563	25,313	179,876
Sonora	162,788	462	163,250
Chris Anderson	18,926	19,251	38,177
Wickman	47,375	38,161	85,536
Willard Park	122,050	54,145	176,195
Pernu	37,914	83,738	121,652
Subtotals	**1,382,852**	**485,823**	**1,868,675**

General Fund Community Parks			
Park Name	Turf Sq. Ft.*	Planter Sq. Ft.*	Combined Sq. Ft.
Johnson Springview	535,772	25,207	560,979
Margaret Azevedo	354,585	65,419	420,004
Twin Oaks	714,686	78,165	792,851
Subtotals	**1,605,043**	**168,791**	**1,773,834**

CFD #5 Community Parks			
Park Name	Turf Sq. Ft.*	Planter Sq. Ft.*	Combined Sq. Ft.
Kathy Lund	551,068	49,673	600,741
Whitney Park	419,819	116,498	536,317
Subtotals	**970,887**	**166,171**	**1,137,058**

Alternate Bid #1

Grand Total - ALL Park Square Footage			
Estimated Totals	Turf Sq. Ft.*	Planter Sq. Ft.*	Total Sq. Ft.*
General Fund - ALL Parks	**2,970,876**	**891,216**	**3,862,092**
CFD #5 - ALL Parks	**2,353,739**	**651,994**	**3,005,733**
TotalSq. Ft.	**5,324,615**	**1,543,210**	**6,867,825**
Total Park Acreage	*122.24*	*35.43*	*157.66*

Alternate Bid #2

Total Neighborhood Park Square Footage			
Estimated Totals	**Turf Sq. Ft.***	**Planter Sq. Ft.***	**Total Sq. Ft.***
General Fund - NeighborhoodParks	**1,365,833**	**722,425**	**2,088,258**
CFD #5 - NeighborhoodParks	**1,382,852**	**485,823**	**1,868,675**
Total Neighborhood Park Sq. Ft.	**2,748,685**	**1,208,248**	**3,956,933**
Total Park Acreage	*63.10*	*27.74*	*90.84*

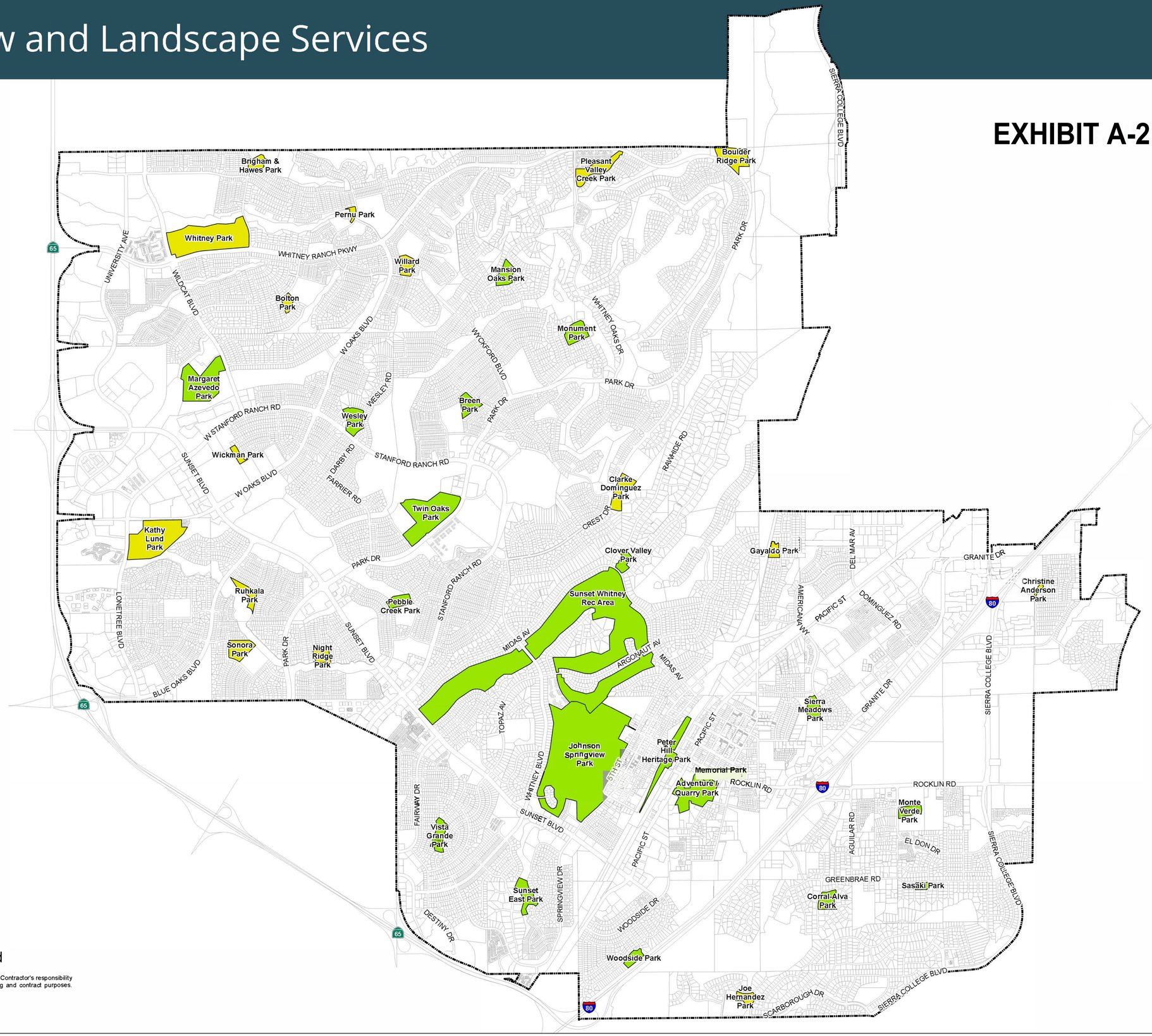
Alternate Bid #3

Total Community Park Square Footage			
Estimated Totals	Turf Sq. Ft.*	Planter Sq. Ft.*	Total Sq. Ft.*
General Fund - Community Parks	**1,605,043**	**168,791**	**1,773,834**
CFD #5 - Community Parks	**970,887**	**166,171**	**1,137,058**
Total Community Park Sq. Ft.	**2,575,930**	**334,962**	**2,910,892**
Total Park Acreage	*59.14*	*7.69*	*66.82*

Parks Mow and Landscape Services

ROCKLIN
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EXHIBIT A-2



All Parks

- CFD 5 Fund
- General Fund

Any quantities shown are estimates. It is the Contractor's responsibility to verify the square footage for both bidding and contract purposes.

NOT TO SCALE

EXHIBIT B

Schedule of Performance

This agreement is for three (3), twelve-month periods, January 1, 2024 – December 31, 2024, January 1, 2025 – December 31, 2025, and January 1, 2026 – December 31, 2026. The performance of this agreement shall be followed as identified in Exhibit A.

EXHIBIT C

Schedule of Fees

City shall pay Contractor for the full and complete performance of this contract in the sum of:

Item No. 1 (Period January 1, 2024 – December 31, 2024):

Cost to provide Parks Mow & Landscape Services in the sum of:

Nine Hundred Twenty-Six Thousand, Six Hundred Forty Dollars ($926,640.00), paid in twelve (12) equal payments, subject to adjustments as provided in the Contract.

Item No. 2 (Period January 1, 2025 – December 31, 2025):

Cost to provide Parks Mow & Landscape Services in the sum of:

Nine Hundred Forty-Five Thousand, One Hundred Seventy-Two Dollars and Eighty Cents ($945,172.80), paid in twelve (12) equal payments, subject to adjustments as provided in the Contract.

Item No. 3 (Period January 1, 2026 – December 31, 2026):

Cost to provide Parks Mow & Landscape Services in the sum of:

Nine Hundred Sixty-Four Thousand, Seventy-Six Dollars ($964,072.00), paid in twelve (12) equal payments, subject to adjustments as provided in the Contract.

EXHIBIT D

CERTIFICATE OF COMPLIANCE WITH LABOR CODE § 3700
[Labor Code § 1861]

I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.

CONTRACTOR

By: _____

ATTACHMENT D

LABOR CODE COMPLIANCE

A. City affirmatively identifies this project as a "public work" as that term is defined by Labor Code section 1720, and the project is, therefore, subject to prevailing wages under Labor Code section 1771.

B. Contractor and its subcontractors shall fully comply with all the provisions of the California Labor Code governing the performance of public works contracts including, but not limited to, payment of prevailing wages, limitations on time worked, compliance with apprentice requirements, maintenance of payroll records, posting of wages at job site and prohibitions against discrimination.

Name of Contractor

By: _____

Title: _____

Address: _____

Date: _____

ATTACHMENT E

WORKERS' COMPENSATION INSURANCE CERTIFICATION
(To be Submitted with Construction Agreement)

TO THE CITY OF ROCKLIN:

The undersigned does hereby certify that he is aware of the provisions of Section 3700 et seq. of the Labor Code which require every employer to be insured against liability for Workmen's compensation or to undertake self-insurance in accordance with the provisions of said Code, and that he will comply with such provisions before commencing the performance of work on this Contract.

Name of Contractor

By: _____

Title: _____

Address: _____

Date: _____

PLEASE READ CAREFULLY BEFORE SIGNING

To be signed by authorized corporate officer or partner or individual. If the Contractor is: (example)

1. An individual using a firm name, sign "John Doe, an individual doing business as "Blank Company."
2. An individual doing business under his own name, sign: your name only.
3. A co-partnership, sign" "John Doe, and Richard Doe, co-partners doing business as Blank Company, by John Doe, Co-Partner."
4. A corporation or limited liability company, sign: "Blank Company, by John Doe, Secretary." (or other title)
5. A joint venture, sign: "Blank Joint Venture, by John Doe, Managing Principal."

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CITY OF ROCKLIN BID SUMMARY

PROJECT: **Parks Mow and Landscape Services**	
DATE: March 13, 2023	TIME: 1:00pm

Name/Address/Phone of Bidder	Bid Amount	Alt. Bid Amt	Addendum(S) Acknowledged	Bid Bond / Cashier Check
1. Dominguez Landscape	(1) $2,835,888.80	$	OK	
8376 Rovana Circle	(2) 1,765,850.80			
Sacramento, CA 95828	(3) 1,421,115.33			
2. BrightView Landscape Services	(1) $2,896,791.00	$	OK	
825 Maboury Rd.	(2) 1,819,956.00			
San Jose, CA 95133	(3) 1,181,175.00			
3.	$	$		
4.	$	$		
5.	$	$		
6.	$	$		
7.	$	$		
8.	$	$		
9.	$	$		
10.	$	$		

Megan Bressem, Finance — *Date* 3/13/2023

Hope Ithurburn, City Clerk — *Date* 3/13/2023

CITY OF ROCKLIN
SEALED BID

(MUST BE SIGNED BY BIDDER)

Sealed Bids will be received not later than Time 1:00 p.m. on Monday, March 13, 2023, at the office of the City Clerk,3970 Rocklin Road, Rocklin, CA 95677 and opened at 1:00 p.m., or as soon thereafter as business allows in the office of the City Clerk, 3970 Rocklin Road, Rocklin, CA 95677.

TO THE HONORABLE CITY COUNCIL:
The undersigned hereby proposes and agrees to furnish any and all required labor, material, equipment transportation, and services for

CITY OF ROCKLIN PARKS MOW & LANDSCAPE SERVICES

in the City of Rocklin, County of Placer, California.

The work is to be done in strict conformity with the Contract Documents, at the following Lump Sum and/or Unit Prices:

Alternate Bid #1: Parks Mow & Landscape Services – **All Parks**

Item No.	Item Description	Unit	Estimated Quantity	Unit Price	Total Amount
1	January 1, 2024 – December 31, 2024 Parks Mow & Landscape Services	Sq. Ft.	6,867,825	0.13492	$ 926,640.00
2	January 1, 2025 – December 31, 2025 Parks Mow & Landscape Services	Sq. Ft.	6,867,825	0.13762	$ 945,172.80
3	January 1, 2026 – December 31, 2026 Parks Mow & Landscape Services	Sq. Ft.	6,867,825	0.14037	$ 964,076.00
	Total Bid Items 1 through 3 – **All Parks**			0.41292	$ 2835,888.80

Total Project Bid for **Alternate Bid #1 (All Parks)**, Item Nos. 1 through 3, shall be (spell out)

TWO MILLION EIGHT HUNDRED THIRTY FIVE THOUSAND EIGHT HUNDRED EIGHTY EIGHT AND EIGHTY CENTS. Dollars.

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Cooperative Agreements with Caltrans for the I-80/Rocklin Road Interchange and Acceleration Lane

Date: June 27, 2023

Submitted By: Justin Nartker, Public Services Director
Matt McClure, Public Services Manager

Department: Public Services

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin Approving the Cooperative Agreement with Caltrans to Improve Interstate 80/Rocklin Road Interchange and to Improve Pedestrian and Bicycle Access, Increase Movement of People and Goods, and Enhance Safety and Authorizing the City Manager to Execute the Cooperative Agreement.
B. Adopt a Resolution of the City Council of the City of Rocklin Approving the Cooperative Agreement with Caltrans to Add an Additional Westbound On-Ramp Acceleration Lane at Rocklin Road to Interstate 80 and Authorizing the City Manager to Execute the Cooperative Agreement.

Background:

On June 22, 2021, the City Council approved and adopted the 2022-2026 Capital Improvement Plan (CIP) along with the Fiscal Year (FY) 2021/22 Annual Budget, which included the Interstate 80 (I-80)/Rocklin Road Interchange Project, also known as the Rocklin Road/Sierra College Corridor Multimodal Enhancements. This is a multi-year project consists of improving pedestrian and multimodal facilities within the I80/Rocklin Road corridor to promote connectivity between Sierra College and the surrounding communities.

Staff has worked closely with the State Department of Transportation (Caltrans) and as the project progressed, Caltrans determined that a westbound auxiliary lane from Rocklin Road to State Route 65 was necessary and added it to the project scope. An auxiliary lane is also referred to as an acceleration lane. This change in scope requires additional preliminary engineering, public outreach, right-of-way support, and environmental clearance support for the auxiliary lane.

In order to incorporate the additional scope/work, two Cooperative Agreements have been developed. The first agreement is for improved pedestrian and bicycle access, increased movement of people and goods, and enhanced safety. The second agreement adds the

additional westbound on-ramp acceleration lane at Rocklin Road to Interstate 80. In addition, these agreements identify the responsibilities of the agencies regarding the Project Approval and Environmental Document. The agreements must be executed prior to the start of any additional work.

Fiscal Impact:
There are no additional costs associated with the staff recommendation. The cost of the Project Approval and Environmental Document associated with the design phase is currently funded from the South Placer Regional Transportation Authority funds (240) assigned to this project.

ATTACHMENTS:
1. Resolution A
2. Resolution A Exhibit A - Cooperative Agreement for Access, Movement and Safety
3. Resolution B
4. Resolution B Exhibit A - Cooperative Agreement for Acceleration Lane

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN APPROVING THE COOPERATIVE AGREEMENT WITH CALTRANS TO IMPROVE INTERSTATE 80/ROCKLIN ROAD INTERCHANGE AND TO IMPROVE PEDESTRIAN AND BICYCLE ACCESS, INCREASE MOVEMENT OF PEOPLE AND GOODS, AND ENCHANCE SAFETY AND AUTHORIZING THE CITY MANAGER TO EXECUTE THE COOPERATIVE AGREEMENT

WHEREAS, on June 22, 2021, the City Council of the City of Rocklin approved and adopted the 2022/26 Capital Improvement Plan (CIP) along with the Fiscal Year (FY) 2021/22 Budget which included the Interstate 80/Rocklin Road Interchange Project, also known as the Rocklin Road/Sierra College Corridor Multimodal Enhancements; and

WHEREAS, Caltrans has determined that a westbound auxiliary lane from Rocklin Road to State Route 65 was necessary and added it to the project scope which requires additional preliminary engineering, public outreach, right-of-way support, and environmental clearance; and

WHEREAS, this is one of the two Cooperative Agreements that have been developed and is provided to improve pedestrian and bicycle access, increase movement of people and goods, and enhance safety, as well as, identify agencies responsibilities; and

WHEREAS, this effort associated with the design is funded out of the South Placer Regional Transportation Authority Funds (SPRTA) (Fund 240) however, there is no additional cost associated with this resolution; and

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The Cooperative Agreement with Caltrans to Improve Interstate 80/Rocklin Road Interchange and to Improve Pedestrian and Bicycle Access, Increase Movement of People and Goods, and Enhance Safety is approved, and the City Manager is authorized to execute the Cooperative Agreement in substantially the form attached hereto as Exhibit "A."

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____

Hope Ithurburn, City Clerk

EXHIBIT A

COOPERATIVE AGREEMENT COVER SHEET

Work Description

TO MODIFY THE EXISTING INTERSTATE 80/ROCKLIN ROAD INTERCHANGE AND TO IMPROVE PEDESTRIAN AND BICYCLE ACCESS, INCREASE MOVEMENT OF PEOPLE AND GOODS, AND ENHANCE SAFETY

Contact Information

CALTRANS

Christopher Ladeas, Project Manager

703 B Street

Marysville, CA 95901

Mobile Phone: (530) 701-1305

Email: christopher.ladeas@dot.ca.gov

CITY OF ROCKLIN

Matt McClure, Public Services Manager

3970 Rocklin Road

Rocklin, CA 95677

Office Phone: (916) 625-5277

Email: matt.mcclure@rocklin.ca.us

COOPERATIVE AGREEMENT

This AGREEMENT, executed on and effective from _____, is between the State of California, acting through its Department of Transportation, referred to as CALTRANS, and:

City of Rocklin, a body politic and municipal corporation or chartered city of the State of California, referred to hereinafter as CITY.

An individual signatory agency in this AGREEMENT is referred to as a PARTY. Collectively, the signatory agencies in this AGREEMENT are referred to as PARTIES.

RECITALS

1. PARTIES are authorized to enter into a cooperative agreement for improvements to the State Highway System per the California Streets and Highways Code, Sections 114 and 130.

2. For the purpose of this AGREEMENT, to modify the existing Interstate 80/Rocklin Road Interchange and to improve pedestrian and bicycle access, increase movement of people and goods, and enhance safety will be referred to hereinafter as PROJECT. The PROJECT scope of work is defined in the Project Report.

3. All obligations and responsibilities assigned in this AGREEMENT to complete the following PROJECT COMPONENTS will be referred to hereinafter as WORK:

   - PLANS, SPECIFICATIONS, AND ESTIMATE (PS&E)
   - RIGHT-OF-WAY
   - CONSTRUCTION

   Each PROJECT COMPONENT is defined in the CALTRANS Workplan Standards Guide as a distinct group of activities/products in the project planning and development process.

4. The term AGREEMENT, as used herein, includes this document and any attachments, exhibits, and amendments.

   This AGREEMENT is separate from and does not modify or replace any other cooperative agreement or memorandum of understanding between the PARTIES regarding the PROJECT.

   PARTIES intend this AGREEMENT to be their final expression that supersedes any oral understanding or writings pertaining to the WORK. The requirements of this AGREEMENT will preside over any conflicting requirements in any documents that are made an express part of this AGREEMENT.

   If any provisions in this AGREEMENT are found by a court of competent jurisdiction to be, or are in fact, illegal, inoperative, or unenforceable, those provisions do not render any or all other AGREEMENT provisions invalid, inoperative, or unenforceable, and those provisions will be automatically severed from this AGREEMENT.

   Except as otherwise provided in the AGREEMENT, PARTIES will execute a written amendment if there are any changes to the terms of this AGREEMENT.

   PARTIES agree to sign a CLOSURE STATEMENT to terminate this AGREEMENT. However, all indemnification, document retention, audit, claims, environmental commitment, legal challenge, maintenance and ownership articles will remain in effect until terminated or modified in writing by mutual agreement or expire by the statute of limitations.

5. The following work associated with this PROJECT has been completed or is in progress:

   - CITY completed the Project Initiation Document (Cooperative Agreement No. 03-0491, executed on January 12, 2012).

   - CITY completed Project Approval and Environmental Document (PA&ED) (Cooperative Agreement No. 03-0520, executed on January 8, 2013).

6. Following completion of PROJECT, CITY will conduct traffic monitoring of queue lengths for the eastbound off ramp in October and April of each year. CITY will monitor both the AM and PM peak periods for three consecutive days starting on Tuesday of the week. A technical memo describing CITY's methodology and findings will be prepared and delivered to CALTRANS (Branch Chief, Office of Freeway Operations, First Floor, 3165 Gold Valley Drive, Ranch Cordova, CA 95742), by the end of October and April, respectively, following such traffic monitoring. When it is observed that traffic queuing for the eastbound off ramp reaches 455 feet of the storage length (measured from the left turn stop bar - eastbound storage length is 1,055 feet, please see attached ***stopping sight distance exhibit***) then CITY will immediately initiate the development of the PS&E package to provide the completion of the auxiliary lane along Westbound Interstate 80, from Rocklin Road to State Route 65 and immediately proceed to advertisement and award. The projected onramp volumes for design year 2048 peak hour for the WB I-80 onramp requires the entrance to be 2 general-purpose lanes plus 1 HOV lane per the Ramp Metering Design Manual 2022. There could be the possibility for queueing onto Rocklin Road, by agreeing to not include the HOVPL, CITY assumes risks of queueing on the local streets.

7. In this AGREEMENT capitalized words represent defined terms, initialisms, or acronyms.

8. PARTIES hereby set forth the terms, covenants, and conditions of this AGREEMENT.

RESPONSIBILITIES

Sponsorship

9. A SPONSOR is responsible for establishing the scope of the PROJECT and securing the financial resources to fund the WORK. A SPONSOR is responsible for securing additional funds when necessary or implementing PROJECT changes to ensure the WORK can be completed with the funds committed in this AGREEMENT.

   PROJECT changes, as described in the CALTRANS Project Development Procedures Manual, will be approved by CALTRANS as the owner/operator of the State Highway System.

10. CITY is the SPONSOR for the WORK in this AGREEMENT.

Implementing Agency

11. The IMPLEMENTING AGENCY is the PARTY responsible for managing the scope, cost, schedule, and quality of the work activities and products of a PROJECT COMPONENT.

    - CITY is the Plans, Specifications, and Estimate (PS&E) IMPLEMENTING AGENCY.

      PS&E includes the development of the plans, specifications, and estimate; obtaining any resource agency permits; and the advertisement/award of the construction contract.

    - CITY is the RIGHT OF WAY IMPLEMENTING AGENCY

      RIGHT OF WAY includes coordination with utility owners for the protection, removal, or relocation of utilities; the acquisition of right-of-way interests; and post-construction work such as right-of-way monumentation/recordation, relinquishments/vacations, and excess land transactions. The RIGHT OF WAY component budget identifies the cost of the capital costs of right-of-way acquisition (RIGHT-OF-WAY CAPITAL) and the cost of the staff work in support of the acquisition (RIGHT-OF-WAY SUPPORT).

    - CITY is the CONSTRUCTION IMPLEMENTING AGENCY.

      CONSTRUCTION includes construction contract administration, surveying/staking, inspection, quality assurance, and assuring regulatory compliance. The CONSTRUCTION component budget identifies the capital costs of the construction contract/furnished materials (CONSTRUCTION CAPITAL) and the cost of the staff work in support of the construction contract administration (CONSTRUCTION SUPPORT).

12. CITY will provide a Quality Management Plan (QMP) for the WORK in every PROJECT COMPONENT that they are the IMPLEMENTING AGENCY of. The QMP describes the IMPLEMENTING AGENCY's quality policy and how it will be used. The QMP will include a process for resolving disputes between the PARTIES at the team level. The QMP is subject to CALTRANS review and approval.

13. Any PARTY responsible for completing WORK will make its personnel and consultants that prepare WORK available to help resolve WORK-related problems and changes for the entire duration of the PROJECT including PROJECT work that may occur under separate agreements.

Funding

14. Funding sources, PARTIES committing funds, funding amounts, and invoicing/payment details are documented in the Funding Summary section of this AGREEMENT.

    PARTIES will amend this AGREEMENT by updating and replacing the Funding Summary, in its entirety, each time the funding details change. Funding Summary replacements will be executed by a legally authorized representative of the respective PARTIES. The most current fully executed Funding Summary supersedes any previous Funding Summary created for this AGREEMENT.

15. PARTIES will not be reimbursed for costs beyond the funding commitments in this AGREEMENT.

16. Unless otherwise documented in the Funding Summary, overall liability for project costs within a PROJECT COMPONENT, subject to program limitations, will be in proportion to the amount contributed to that PROJECT COMPONENT by each fund type.

17. Unless otherwise documented in the Funding Summary, any savings recognized within a PROJECT COMPONENT will be credited or reimbursed, when allowed by policy or law, in proportion to the amount contributed to that PROJECT COMPONENT by each fund type.

18. WORK costs, except those that are specifically excluded in this AGREEMENT, are to be paid from the funds obligated in the Funding Summary. Costs that are specifically excluded from the funds obligated in this AGREEMENT are to be paid by the PARTY incurring the costs from funds that are independent of this AGREEMENT.

CALTRANS' Quality Management

19. CALTRANS, as the owner/operator of the State Highway System (SHS), will perform quality management work including Quality Management Assessment (QMA) and owner/operator approvals for the portions of WORK within the existing and proposed SHS right-of-way.

20. CALTRANS' Quality Management Assessment (QMA) efforts are to ensure that CITY's quality assurance results in WORK that is in accordance with the applicable standards and the PROJECT's quality management plan (QMP). QMA does not include any efforts necessary to develop or deliver WORK or any validation by verifying or rechecking WORK.

    When CALTRANS performs QMA, it does so for its own benefit. No one can assign liability to CALTRANS due to its QMA.

21. CALTRANS, as the owner/operator of the State Highway System, will approve WORK products in accordance with CALTRANS policies and guidance and as indicated in this AGREEMENT.

22. CITY will provide WORK-related products and supporting documentation upon CALTRANS' request for the purpose of CALTRANS' quality management work.

CEQA/NEPA Lead Agency

23. CITY is the CEQA Lead Agency for the PROJECT.

24. CALTRANS is a CEQA Responsible Agency for the PROJECT.

25. CALTRANS is the NEPA Lead Agency for the PROJECT.

Environmental Permits, Approvals and Agreements

26. PARTIES will comply with the commitments and conditions set forth in the environmental documentation, environmental permits, approvals, and applicable agreements as those commitments and conditions apply to each PARTY's responsibilities in this AGREEMENT.

27. Unless otherwise assigned in this AGREEMENT, the IMPLEMENTING AGENCY for a PROJECT COMPONENT is responsible for all PROJECT COMPONENT WORK associated with coordinating, obtaining, implementing, renewing, and amending the PROJECT permits, agreements, and approvals whether they are identified in the planned project scope of work or become necessary in the course of completing the PROJECT.

28. The PROJECT requires the following environmental permits/approvals:

ENVIRONMENTAL PERMITS/REQUIREMENTS
National Pollutant Discharge Elimination System (NPDES), State Water Resources Control Board

Plans, Specifications, and Estimate (PS&E)

29. As the PS&E IMPLEMENTING AGENCY, CITY is responsible for all PS&E WORK except those activities and responsibilities that are assigned to another PARTY and those activities that are excluded under this AGREEMENT.

30. CALTRANS will be responsible for completing the following PS&E activities:

CALTRANS Work Breakdown Structure Identifier (If Applicable)	AGREEMENT Funded Cost
100.15.10.xx Quality Management	No

31. CITY will prepare Utility Conflict Maps identifying the accommodation, protection, relocation, or removal of any existing utility facilities that conflict with construction of the PROJECT or that violate CALTRANS' encroachment policy.

    CITY will provide CALTRANS a copy of Utility Conflict Maps for CALTRANS' concurrence prior to issuing the Notices to Owner and executing the utility agreement. All utility conflicts will be addressed in the PROJECT plans, specifications, and estimate.

32. CITY will determine the cost to positively identify and locate, accommodate, protect, relocate, or remove any utility facilities whether inside or outside the State Highway System right-of-way in accordance with federal and California laws and regulations, and CALTRANS' policies, procedures, standards, practices, and applicable agreements including but not limited to Freeway Master Contracts.

RIGHT-OF-WAY

33. As the RIGHT-OF-WAY IMPLEMENTING AGENCY, CITY is responsible for all RIGHT-OF-WAY WORK except those activities and responsibilities that are assigned to another PARTY and those activities that are excluded under this AGREEMENT.

34. CALTRANS will be responsible for completing the following RIGHT-OF-WAY activities:

CALTRANS Work Breakdown Structure Identifier (If Applicable)	AGREEMENT Funded Cost
100.25.10.xx Quality Management	No

35. The selection of personnel performing RIGHT-OF-WAY WORK will be in accordance with federal and California laws and regulations, and CALTRANS' policies, procedures, standards, practices, and applicable agreements.

36. CITY will make all necessary arrangements with utility owners for the timely accommodation, protection, relocation, or removal of any existing utility facilities that conflict with construction of the PROJECT or that violate CALTRANS' encroachment policy.

37. CITY will provide CALTRANS a copy of conflict maps, relocation plans, proposed notices to owner, reports of investigation, and utility agreements (if applicable) for CALTRANS' concurrence prior to issuing the notices to owner and executing the utility agreement. All utility conflicts will be fully addressed prior to Right-of-Way Certification and all arrangements for the protection, relocation, or removal of all conflicting facilities will be completed prior to construction contract award and included in the PROJECT plans, specifications, and estimate.

38. CITY will provide a land surveyor licensed in the State of California to be responsible for surveying and right-of-way engineering. All survey and right-of-way engineering documents will bear the professional seal, certificate number, registration classification, expiration date of certificate, and signature of the responsible surveyor.

39. CITY will hear and adopt Resolutions of Necessity when authorized to do so by law or will work with local agencies having jurisdiction and authorized under the law to hear and adopt Resolutions of Necessity.

    CITY will conduct and document Condemnation Evaluation Meetings and Condemnation Panel Review Meetings as required in accordance with CALTRANS policy and guidance. CALTRANS will be notified in advance of any Condemnation Evaluation Meetings and Condemnation Panel Review Meetings.

40. If CITY acquires any right-of-way to be incorporated into the State Highway System, CITY will first acquire in its own name.

    No right-of-way will be acquired in CALTRANS' name.

    Title to the State Highway System right-of-way will ultimately be vested in the State. CALTRANS' acceptance of title will occur after the Right-of-Way Closeout activities are complete.

41. CITY will utilize a public agency currently qualified by CALTRANS or a properly licensed consultant for all RIGHT-OF-WAY activities. A qualified right-of-way agent will administer all right-of-way consultant contracts.

    CITY will submit a draft Right-of-Way Certification to CALTRANS six weeks prior to the scheduled Right-of-Way Certification milestone date for review.

    CITY will submit a final Right-of-Way Certification to CALTRANS for approval prior to the advertising the construction contract.

42. Physical and legal possession of the right-of-way must be completed prior to advertising the construction contract, unless PARTIES mutually agree to other arrangements in writing.

43. CALTRANS' acceptance of right-of-way title is subject to review of an Updated Preliminary Title Report provided by CITY verifying that the title is free of all encumbrances and liens. Upon acceptance, CITY will provide CALTRANS with a Policy of Title Insurance in CALTRANS' name.

44. Right-of-way conveyances must be completed prior to WORK completion unless PARTIES mutually agree to other arrangements in writing.

CONSTRUCTION

45. As the CONSTRUCTION IMPLEMENTING AGENCY, CITY is responsible for all CONSTRUCTION WORK except those activities and responsibilities that are assigned to another PARTY and those activities that are excluded under this AGREEMENT.

46. CALTRANS will be responsible for completing the following CONSTRUCTION SUPPORT activities:

CALTRANS Work Breakdown Structure Identifier (If Applicable)	AGREEMENT Funded Cost
100.20.10.xx Quality Management	No

47. CITY will include a Disadvantaged Business Enterprise (DBE) utilization goal in the PROJECT construction contract(s) in accordance with the Local Assistance Procedures Manual. CITY will award the construction contract to the lowest responsive bidder who makes a Good Faith Effort to meet the DBE goal.

48. CALTRANS will not issue an encroachment permit to CITY for construction work until the following conditions are met:

    - CALTRANS accepts the final plans, specifications, and estimate
    - CALTRANS accepts the Right-of-Way Certification
    - Any new or amended maintenance agreements required for the WORK are executed.

49. CITY will require the construction contractor to furnish payment and performance bonds naming CITY as obligee, and CALTRANS as additional obligee, and to carry liability insurance in accordance with CALTRANS Standard Specifications.

50. CITY will advertise, open bids, award, and approve the construction contract in accordance with the California Public Contract Code and the California Labor Code. By accepting responsibility to advertise and award the construction contract, CITY also accepts responsibility to administer the construction contract.

51. If the lowest responsible construction contract bid is greater than the funding commitment to CONSTRUCTION CAPITAL, PARTIES must agree in writing on a course of action within fifteen (15) working days. If no agreement is reached within fifteen (15) work days the IMPLEMENTING AGENCY will not award the construction contract.

52. CALTRANS will not issue an encroachment permit to CITY's construction contractor until CALTRANS accepts:

    - The payment and performance bonds
    - The CONSTRUCTION Quality Management Plan

53. The CONSTRUCTION Quality Management Plan (QMP) will describe how construction material verification and workmanship inspections will be performed at manufacturing sources and the PROJECT job-site. The construction material and Source Inspection QMP (SIQMP) are subject to review and approval by the State Materials Engineer.

54. The CONSTRUCTION Quality Management Plan will address the radiation safety requirements of the California Code of Regulations 17 CCR § 30346 when the work requires Gamma-Gamma Logging acceptance testing for Cast in Drilled Hole (CIDH) pile or whenever else it is applicable. In accordance with these regulations CITY, as the "well operator", will have a written agreement with any consultant or external entity performing these tests.

55. CITY will provide a Resident Engineer and CONSTRUCTION SUPPORT staff that are independent of the construction contractor. The Resident Engineer will be a Civil Engineer, licensed in the State of California, who is responsible for construction contract administration activities.

56. CITY will implement changes to the construction contract through Change Orders. PARTIES will review and concur on all Change Orders over $50,000.

57. CALTRANS will review and concur with:

    - Change Orders affecting public safety, public convenience, protected environmental resources, the preservation of property, all design and specification changes, and all major changes as defined in the CALTRANS Construction Manual. These Change Orders must receive written concurrence by CALTRANS prior to implementation.

    - The Stormwater Pollution Prevention Plan (SWPPP) or the Water Pollution Control Plan (WPCP).

58. CITY will administer and process all construction contract claims pursuant to the requirements set forth under Public Contract Code, Section 9204. In addition, all public works claims of $375,000 or less shall be resolved in accordance with Public Contract Code Section 20104, et seq. and other applicable laws.

59. CITY is designated as the Legally Responsible Person pursuant to the Construction General Permit, State Water Resources Control Board (SWRCB) Order Number 2009-0009-DWQ, as defined in Appendix 5, Glossary, and assumes all roles and responsibilities assigned to the Legally Responsible Person as mandated by the Construction General Permit. CITY is required to comply with the CALTRANS MS4 National Pollutant Discharge Elimination System (NPDES) permit for all work within the State Highway System.

60. As the CONSTRUCTION IMPLEMENTING AGENCY, CITY is responsible for maintenance of the State Highway System (SHS) within the PROJECT limits as part of the construction contract until the following conditions are met:

    - Any required maintenance agreements are executed for the portions of SHS for which relief of maintenance is to be granted.
    - CALTRANS approves a request from CITY for relief from maintenance of the PROJECT or a portion thereof.

61. Upon WORK completion, ownership or title to all materials and equipment constructed or installed for the operations and/or maintenance of the State Highway System (SHS) within SHS right-of-way as part of WORK become the property of CALTRANS.

    CALTRANS will not accept ownership or title to any materials or equipment constructed or installed outside SHS right-of-way.

62. Within one hundred eighty (180) calendar days following the completion and acceptance of the PROJECT construction contract, CITY will furnish CALTRANS with a complete set of "As-Built" plans and Change Orders, including any changes authorized by CALTRANS, using an approved transfer mechanism, such as a CD ROM, flash drive, Filr. The submitted digital files must be completed in accordance with Caltrans specifications, policies, and manuals, including requirements in Sections 2 and 3, of Chapter 17 in the Project Development Procedures Manual, then current CADD User's Manual (Section 4.3), Plans Preparation Manual, and CALTRANS practice. The plans will have the Resident Engineer's name, contract number, and construction contract acceptance date printed on each plan sheet, and with the Resident Engineer's signature only on the title sheet. The As-Built plans will be in Microstation DGN format, version 8.0. When applicable, the digital submittal must also include high accuracy locating and underground facilities data. In addition, CITY will provide one set of As-Built plans and addenda in TIFF format.

    The submittal must also include all CALTRANS requested contract records, and land survey documents. The land survey documents include monument preservation documents and records of surveys prepared to satisfy the requirements of the California Professional Land Surveyors Act (Business and Professions Code, Sections 8700 – 8805). Copies of survey documents and Records of Surveys filed in accordance with Business & Professions Code, Sections 8762 and 8771, will contain the filing information provided by the county in which filed.

Schedule

63. PARTIES will manage the WORK schedule to ensure the timely use of committed funds and to ensure compliance with any environmental permits, right-of-way agreements, construction contracts, and any other commitments. PARTIES will communicate schedule risks or changes as soon as they are identified and will actively manage and mitigate schedule risks.

64. The IMPLEMENTING AGENCY for each PROJECT COMPONENT will furnish PARTIES with a final report of the WORK completed.

Additional Provisions

Standards

65. PARTIES will perform all WORK in accordance with federal and California laws, regulations, and standards; Federal Highway Administration (FHWA) standards; and CALTRANS standards. CALTRANS standards include, but are not limited to, the guidance provided in the:

- CADD Users Manual
- CALTRANS policies and directives
- Plans Preparation Manual
- Project Development Procedures Manual (PDPM)
- Workplan Standards Guide
- Standard Environmental Reference
- Highway Design Manual
- Right of Way Manual
- Stewardship and Oversight Agreement
- Construction Manual
- Construction Manual Supplement for Local Agency Resident Engineers
- Local Agency Structure Representative Guidelines
- Encroachment Permit Manual

Noncompliant Work

66. CALTRANS retains the right to reject noncompliant WORK. CITY agrees to suspend WORK upon request by CALTRANS for the purpose of protecting public safety, preserving property rights, and ensuring that all WORK is in the best interest of the State Highway System.

Qualifications

67. Each PARTY will ensure that personnel participating in WORK are appropriately qualified or licensed to perform the tasks assigned to them.

Consultant Selection

68. CITY will invite CALTRANS to participate in the selection of any consultants that participate in the WORK.

Encroachment Permits

69. CALTRANS will issue, upon proper application, the encroachment permits required for WORK within State Highway System (SHS) right-of-way. CITY, their contractors, consultants, agents, and utility owners will not work within the SHS right-of-way without an encroachment permit which specifically allows them to do so. CALTRANS will provide encroachment permits to CITY at no cost. CALTRANS will provide encroachment permits to utility owners at no cost. If the encroachment permit and this AGREEMENT conflict, the requirements of this AGREEMENT will prevail.

70. The IMPLEMENTING AGENCY for a PROJECT COMPONENT will coordinate, prepare, obtain, implement, renew, and amend any encroachment permits needed to complete the WORK.

Protected Resources

71. If any PARTY discovers unanticipated cultural, archaeological, paleontological, or other protected resources during WORK, all WORK in that area will stop and that PARTY will notify all PARTIES within 24 hours of discovery. WORK may only resume after a qualified professional has evaluated the nature and significance of the discovery and CALTRANS approves a plan for its removal or protection.

Disclosures

72. PARTIES will hold all administrative drafts and administrative final reports, studies, materials, and documentation relied upon, produced, created, or utilized for the WORK in confidence to the extent permitted by law and where applicable, the provisions of California Government Code, Section 7921.505(c)(5) will protect the confidentiality of such documents in the event that said documents are shared between PARTIES.

    PARTIES will not distribute, release, or share said documents with anyone other than employees, agents, and consultants who require access to complete the WORK without the written consent of the PARTY authorized to release them, unless required or authorized to do so by law.

73. If a PARTY receives a public records request pertaining to the WORK, that PARTY will notify PARTIES within five (5) working days of receipt and make PARTIES aware of any disclosed public records.

Hazardous Materials

74. HM-1 is hazardous material (including, but not limited to, hazardous waste) that may require removal and disposal pursuant to federal or state law, irrespective of whether it is disturbed by the PROJECT or not.

    HM-2 is hazardous material (including, but not limited to, hazardous waste) that may require removal and disposal pursuant to federal or state law only if disturbed by the PROJECT.

    The management activities related to HM-1 and HM-2, including and without limitation, any necessary manifest requirements and disposal facility designations are referred to herein as HM-1 MANAGEMENT and HM-2 MANAGEMENT respectively.

75. If HM-1 or HM-2 is found the discovering PARTY will immediately notify all other PARTIES.

76. CALTRANS, independent of the PROJECT, is responsible for any HM-1 found within the existing State Highway System right-of-way. CALTRANS will undertake, or cause to be undertaken, HM-1 MANAGEMENT with minimum impact to the PROJECT schedule.

    CALTRANS will pay, or cause to be paid, the cost of HM-1 MANAGEMENT for HM-1 found within the existing State Highway System right-of-way with funds that are independent of the funds committed in this AGREEMENT.

77. CITY, independent of the PROJECT, is responsible for any HM-1 found within the PROJECT limits and outside the existing State Highway System right-of-way. CITY will undertake, or cause to be undertaken, HM-1 MANAGEMENT with minimum impact to the PROJECT schedule.

    CITY will pay, or cause to be paid, the cost of HM-1 MANAGEMENT for HM-1 found within the PROJECT limits and outside of the existing State Highway System right-of-way with funds that are independent of the funds committed in this AGREEMENT.

78. The CONSTRUCTION IMPLEMENTING AGENCY is responsible for HM‑2 MANAGEMENT within the PROJECT limits.

    CITY and CALTRANS will comply with the Soil Management Agreement for Aerially Deposited Lead Contaminated Soils (Soil Management Agreement) executed between CALTRANS and the California Department of Toxic Substances Control (DTSC). Under Section 3.2 of the Soil Management Agreement, CALTRANS and CITY each retain joint and severable liability for noncompliance with the provisions of the Soil Management Agreement. CITY will assume all responsibilities assigned to CALTRANS in the Soil Management Agreement during PROJECT COMPONENTS for which they are the IMPLEMENTING AGENCY except for final placement and burial of soil within the State right-of-way, per Section 4.5 of the Soil Management Agreement, which is subject to CALTRANS concurrence and reporting to DTSC which will be performed by CALTRANS.

79. CALTRANS' acquisition or acceptance of title to any property on which any HM-1 or HM-2 is found will proceed in accordance with CALTRANS' policy on such acquisition.

Claims

80. Any PARTY that is responsible for completing WORK may accept, reject, compromise, settle, or litigate claims arising from the WORK without concurrence from the other PARTY.

81. PARTIES will confer on any claim that may affect the WORK or PARTIES' liability or responsibility under this AGREEMENT in order to retain resolution possibilities for potential future claims. No PARTY will prejudice the rights of another PARTY until after PARTIES confer on the claim.

82. If the WORK expends state or federal funds, each PARTY will comply with the Federal Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards of 2 CFR, Part 200. PARTIES will ensure that any for-profit consultant hired to participate in the WORK will comply with the requirements in 48 CFR, Chapter 1, Part 31. When state or federal funds are expended on the WORK these principles and requirements apply to all funding types included in this AGREEMENT.

Accounting and Audits

83. PARTIES will maintain and will ensure that any consultant hired by PARTIES to participate in WORK will maintain, a financial management system that conforms to Generally Accepted Accounting Principles (GAAP), and that can properly accumulate and segregate incurred PROJECT costs and billings.

84. PARTIES will maintain and make available to each other all WORK-related documents, including financial data, during the term of this AGREEMENT.

    PARTIES will retain all WORK-related records for three (3) years after the final voucher.

    PARTIES will require that any consultants hired to participate in the WORK will comply with this Article.

85. PARTIES have the right to audit each other in accordance with generally accepted governmental audit standards.

    CALTRANS, the State Auditor, FHWA (if the PROJECT utilizes federal funds), and CITY will have access to all WORK -related records of each PARTY, and any consultant hired by a PARTY to participate in WORK, for audit, examination, excerpt, or transcription.

    The examination of any records will take place in the offices and locations where said records are generated and/or stored and will be accomplished during reasonable hours of operation. The auditing PARTY will be permitted to make copies of any WORK-related records needed for the audit.

    The audited PARTY will review the draft audit, findings, and recommendations, and provide written comments within thirty (30) calendar days of receipt.

    Upon completion of the final audit, PARTIES have forty-five (45) calendar days to refund or invoice as necessary in order to satisfy the obligation of the audit.

    Any audit dispute not resolved by PARTIES is subject to mediation. Mediation will follow the process described in the General Conditions section of this AGREEMENT.

86. If the WORK expends state or federal funds, each PARTY will undergo an annual audit in accordance with the Single Audit Act in the Federal Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards as defined in 2 CFR, Part 200.

87. When a PARTY reimburses a consultant for WORK with state or federal funds, the procurement of the consultant and the consultant overhead costs will be in accordance with the Local Assistance Procedures Manual, Chapter 10.

Interruption of Work

88. If WORK stops for any reason, IMPLEMENTING AGENCY will place the PROJECT right-of-way in a safe and operable condition acceptable to CALTRANS.

89. If WORK stops for any reason, each PARTY will continue with environmental commitments included in the environmental documentation, permits, agreements, or approvals that are in effect at the time that WORK stops, and will keep the PROJECT in environmental compliance until WORK resumes.

Penalties, Judgements and Settlements

90. The cost of awards, judgements, fines, interest, penalties, attorney's fees, and/or settlements generated by the WORK are considered WORK costs.

91. The cost of legal challenges to the environmental process or documentation are considered WORK costs.

92. Any PARTY whose action or lack of action causes the levy of fines, interest, or penalties will indemnify and hold all other PARTIES harmless per the terms of this AGREEMENT.

Project Files

93. CITY will furnish CALTRANS with the Project History Files related to the PROJECT facilities on State Highway System within sixty (60) days following the completion of each PROJECT COMPONENT. CITY will assure that the Project History File is prepared and submitted in compliance with the Project Development Procedures Manual, Chapter 7. All material will be submitted neatly in a three-ring binder and in PDF format.

Environmental Compliance

94. If during performance of WORK additional activities or environmental documentation is necessary to keep the PROJECT in environmental compliance, PARTIES will amend this AGREEMENT to include completion of those additional tasks.

GENERAL CONDITIONS

95. All portions of this AGREEMENT, including the Recitals Section, are enforceable.

Venue

96. PARTIES understand that this AGREEMENT is in accordance with and governed by the Constitution and laws of the State of California. This AGREEMENT will be enforceable in the State of California. Any PARTY initiating legal action arising from this AGREEMENT will file and maintain that legal action in the Superior Court of the county in which the CALTRANS district office that is signatory to this AGREEMENT resides, or in the Superior Court of the county in which the PROJECT is physically located.

Exemptions

97. All CALTRANS' obligations and commitments under this AGREEMENT are subject to the appropriation of resources by the Legislature, the State Budget Act authority, programming and allocation of funds by the California Transportation Commission (CTC).

Indemnification

98. Neither CALTRANS nor any of its officers and employees, are responsible for any injury, damage, or liability occurring by reason of anything done or omitted to be done by CITY, its contractors, sub-contractors, and/or its agents under or in connection with any work, authority, or jurisdiction conferred upon CITY under this AGREEMENT. It is understood and agreed that CITY, to the extent permitted by law, will defend, indemnify, and save harmless CALTRANS and all of their officers and employees from all claims, suits, or actions of every name, kind, and description brought forth under, but not limited to, tortious, contractual, inverse condemnation, or other theories and assertions of liability occurring by reason of anything done or omitted to be done by CITY, its contractors, sub-contractors, and/or its agents under this AGREEMENT.

99. Neither CITY nor any of its officers and employees, are responsible for any injury, damage, or liability occurring by reason of anything done or omitted to be done by CALTRANS, its contractors, sub-contractors, and/or its agents under or in connection with any work, authority, or jurisdiction conferred upon CALTRANS under this AGREEMENT. It is understood and agreed that CALTRANS, to the extent permitted by law, will defend, indemnify, and save harmless CITY and all of their officers and employees from all claims, suits, or actions of every name, kind, and description brought forth under, but not limited to, tortious, contractual, inverse condemnation, or other theories and assertions of liability occurring by reason of anything done or omitted to be done by CALTRANS, its contractors, sub-contractors, and/or its agents under this AGREEMENT.

Non-parties

100. PARTIES do not intend this AGREEMENT to create a third party beneficiary or define duties, obligations, or rights for entities not signatory to this AGREEMENT. PARTIES do not intend this AGREEMENT to affect their legal liability by imposing any standard of care for fulfilling the WORK different from the standards imposed by law.

101. PARTIES will not assign or attempt to assign obligations to entities not signatory to this AGREEMENT without an amendment to this AGREEMENT.

Ambiguity and Performance

102. Neither PARTY will interpret any ambiguity contained in this AGREEMENT against the other PARTY. PARTIES waive the provisions of California Civil Code, Section 1654.

    A waiver of a PARTY's performance under this AGREEMENT will not constitute a continuous waiver of any other provision.

103. A delay or omission to exercise a right or power due to a default does not negate the use of that right or power in the future when deemed necessary.

Defaults

104. If any PARTY defaults in its performance of the WORK, a non-defaulting PARTY will request in writing that the default be remedied within thirty (30) calendar days. If the defaulting PARTY fails to do so, the non-defaulting PARTY may initiate dispute resolution.

Dispute Resolution

105. PARTIES will first attempt to resolve AGREEMENT disputes at the PROJECT team level as described in the Quality Management Plan. If they cannot resolve the dispute themselves, the CALTRANS District Director and the Executive Officer of CITY will attempt to negotiate a resolution. If PARTIES do not reach a resolution, PARTIES' legal counsel will initiate mediation. PARTIES agree to participate in mediation in good faith and will share equally in its costs.

    Neither the dispute nor the mediation process relieves PARTIES from full and timely performance of the WORK in accordance with the terms of this AGREEMENT. However, if any PARTY stops fulfilling its obligations, any other PARTY may seek equitable relief to ensure that the WORK continues.

    Except for equitable relief, no PARTY may file a civil complaint until after mediation, or forty-five (45) calendar days after filing the written mediation request, whichever occurs first.

PARTIES will file any civil complaints in the Superior Court of the county in which the CALTRANS District Office signatory to this AGREEMENT resides or in the Superior Court of the county in which the PROJECT is physically located.

106. PARTIES maintain the ability to pursue alternative or additional dispute remedies if a previously selected remedy does not achieve resolution.

Prevailing Wage

107. When WORK falls within the Labor Code § 1720(a)(1) definition of "public works" in that it is construction, alteration, demolition, installation, or repair; or maintenance work under Labor Code § 1771, PARTIES will conform to the provisions of Labor Code §§ 1720-1815, and all applicable provisions of California Code of Regulations, Title 8, Division 1, Chapter 8, Subchapter 3, Articles 1-7. PARTIES will include prevailing wage requirements in contracts for public work and require contractors to include the same prevailing wage requirements in all subcontracts.

    Work performed by a PARTY's own employees is exempt from the Labor Code's Prevailing Wage requirements.

    If WORK is paid for, in whole or part, with federal funds and is of the type of work subject to federal prevailing wage requirements, PARTIES will conform to the provisions of the Davis-Bacon and Related Acts, 40 U.S.C. §§ 3141-3148.

    When applicable, PARTIES will include federal prevailing wage requirements in contracts for public works. WORK performed by a PARTY's employees is exempt from federal prevailing wage requirements.

SIGNATURES

PARTIES are authorized to enter into this AGREEMENT and have delegated to the undersigned the authority to execute this AGREEMENT on behalf of the respective agencies and hereby covenants to have followed all the necessary legal requirements to validly execute this AGREEMENT. By signing below, the PARTIES each expressly agree to execute this AGREEMENT electronically.

The PARTIES acknowledge that executed copies of this AGREEMENT may be exchanged by facsimile or email, and that such copies shall be deemed to be effective as originals.

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION

Greg Wong
Deputy District Director
D3 Program, Project and Asset Management

Verification of funds and authority:

District Project Control Officer

Certified as to financial terms and policies:

Nadine Karavan
HQ Accounting Supervisor

CITY OF ROCKLIN

Aly Zimmermann
City Manager

Attest:

Hope Ithurburn
City Clerk

Approved as to form and procedure:

Sheri Chapman
City Attorney

FUNDING SUMMARY NO. 01

FUNDING TABLE

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IMPLEMENTING AGENCY→			CITY	CITY		CITY		
Source	Party	Fund Type	PS&E	R/W SUPPORT	R/W CAPITAL	CONST. SUPPORT	CONST. CAPITAL	Totals
STATE	CALTRANS	State ATP	0	0	0	0	12,000,000	12,000,000
STATE	CALTRANS	State LPP	0	0	0	0	5,150,000	5,150,000
LOCAL	CITY	Local	1,850,000	600,000	3,200,000	2,200,000	5,350,000	13,200,000
Totals			1,850,000	600,000	3,200,000	2,200,000	22,500,000	30,350,000

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SPENDING SUMMARY

Fund Type	PS&E		R/W Support		R/W CAPITAL	CONST. SUPPORT		CONST. CAPITAL	Totals
	CALTRANS	CITY	CALTRANS	CITY	CITY	CALTRANS	CITY	CITY	
State ATP	0	0	0	0	0	0	0	12,000,000	12,000,000
State LPP	0	0	0	0	0	0	0	5,150,000	5,150,000
Local	0	1,850,000	0	600,000	3,200,000	0	2,200,000	5,350,000	13,200,000
Totals	0	1,850,000	0	600,000	3,200,000	0	2,200,000	22,500,000	30,350,000

Funding

1. If there are insufficient funds available in this AGREEMENT to place the PROJECT right-of-way in a safe and operable condition, the appropriate IMPLEMENTING AGENCY will fund these activities until such time as PARTIES amend this AGREEMENT.

   That IMPLEMENTING AGENCY may request reimbursement for these costs during the amendment process.

2. If there are insufficient funds in this AGREEMENT to implement the obligations and responsibilities of this AGREEMENT, including the applicable commitments and conditions included in the PROJECT environmental documentation, permits, agreements, and/or approvals that are in effect at a time that WORK stops, each PARTY accepts responsibility to fund their respective WORK until such time as PARTIES amend this AGREEMENT.

   Each PARTY may request reimbursement for these costs during the amendment process.

3. The cost of any engineering support performed by CALTRANS includes all direct and applicable indirect costs. CALTRANS calculates indirect costs based solely on the type of funds used to pay support costs. State and federal funds administered by CALTRANS are subject to the current Program Functional Rate. All other funds are subject to the current Program Functional Rate and the current Administration Rate. The Program Functional Rate and Administration Rate are adjusted periodically.

4. If the WORK is funded with state or federal funds, any PARTY seeking CALTRANS reimbursement of indirect costs must submit an indirect cost rate proposal and central service cost allocation plan (if any) in accordance with Local Assistance Procedures Manual, 2 CFR, Part 200 and Chapter 5. These documents are to be submitted annually to CALTRANS' Audits and Investigations for review and acceptance prior to CALTRANS' reimbursement of indirect costs.

5. Travel, per diem, and third-party contract reimbursements for WORK are to be paid from the funds in this AGREEMENT only after the contractor performs the work and incurs said costs.

Payments for travel and per diem will not exceed the rates paid rank and file state employees under current California Department of Human Resources (CalHR) rules current at the effective date of this AGREEMENT.

If CITY invoices for rates in excess of CalHR rates, CITY will fund the cost difference and reimburse CALTRANS for any overpayment.

6. In accordance with the CALTRANS Federal-Aid Project Funding Guidelines, PARTIES must obtain approval from the Federal Highway Administration prior to any PROJECT funding changes that that will change the federal share of funds.

7. Notwithstanding the terms of this AGREEMENT, PARTIES agree to abide by the funding guidelines for all contributed funds that are programmed and allocated by the CTC.

Invoicing and Payment

8. PARTIES will invoice for funds where the SPENDING SUMMARY shows that one PARTY provides funds for use by another PARTY. PARTIES will pay invoices within forty-five (45) calendar days of receipt of invoice when not paying with Electronic Funds Transfer (EFT). When paying with EFT, CITY will pay invoices within five (5) calendar days of receipt of invoice.

9. If CITY has received EFT certification from CALTRANS, then CITY will use the EFT mechanism and follow all EFT procedures to pay all invoices issued from CALTRANS.

10. When a PARTY is reimbursed for actual cost, invoices will be submitted each month for the prior month's expenditures. After all PROJECT COMPONENT WORK is complete, PARTIES will submit a final accounting of all PROJECT COMPONENT costs. Based on the final accounting, PARTIES will invoice or refund as necessary to satisfy the financial commitments of this AGREEMENT.

11. If an executed Program Supplement Agreement (PSA) or STIP Planning, Programming, and Monitoring Program Fund Transfer Agreement (PPM) exists for this PROJECT then CITY will abide by the billing and payment conditions detailed for the fund types identified in the PSA or PPM.

12. If CALTRANS reimburses CITY for any costs later determined to be unallowable, CITY will reimburse those funds.

Plans, Specifications, and Estimate (PS&E)

13. No invoicing or reimbursement will occur for the PS&E PROJECT COMPONENT.

RIGHT-OF-WAY Support

14. No invoicing or reimbursement will occur for the R/W SUPPORT PROJECT COMPONENT.

RIGHT-OF-WAY Capital

15. No invoicing or reimbursement will occur for the R/W CAPITAL PROJECT COMPONENT.

CONSTRUCTION Support

16. No invoicing or reimbursement will occur for the CONSTRUCTION SUPPORT PROJECT COMPONENT.

CONSTRUCTION Capital

17. CITY will invoice and CALTRANS will reimburse for actual costs incurred and paid.

CLOSURE STATEMENT INSTRUCTIONS

1. Did PARTIES complete all scope, cost and schedule commitments included in this AGREEMENT and any amendments to this AGREEMENT?

YES / NO

2. Did CALTRANS accept and approve all final deliverables submitted by other PARTIES?

YES / NO

3. Did the CALTRANS HQ Office of Accounting verify that all final accounting for this AGREEMENT and any amendments to this AGREEMENT were completed?

YES / NO

4. If construction is involved, did the CALTRANS District Project Manager verify that all claims and third-party billings (utilities, etc.) have been settled before termination of the AGREEMENT?

YES / NO

5. Did PARTIES complete and transmit the As-Built Plans, Project History File, and all other required contract documents?

YES / NO

If ALL answers are “YES”, this form may be used to TERMINATE this AGREEMENT.

CLOSURE STATEMENT

PARTIES agree that they have completed all scope, cost, and schedule commitments included in Agreement 03-0803 and any amendments to the agreement. The final signature date on this document terminates agreement 03-0803 except survival articles. All survival articles in agreement 03-0803 will remain in effect until expired by law, terminated or modified in writing by the PARTIES' mutual agreement, whichever occurs earlier.

The people signing this agreement have the authority to do so on behalf of their public agencies. By signing below, the PARTIES each expressly agree to execute this AGREEMENT electronically.

The PARTIES acknowledge that executed copies of this AGREEMENT may be exchanged by facsimile or email, and that such copies shall be deemed to be effective as originals.

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION

_____

Deputy District Director

D3 Program, Project and Asset Management

Date: _____

Certified as to all financial obligations/terms and policies

_____

District Project Control Officer

Date: _____

CITY OF ROCKLIN

_____

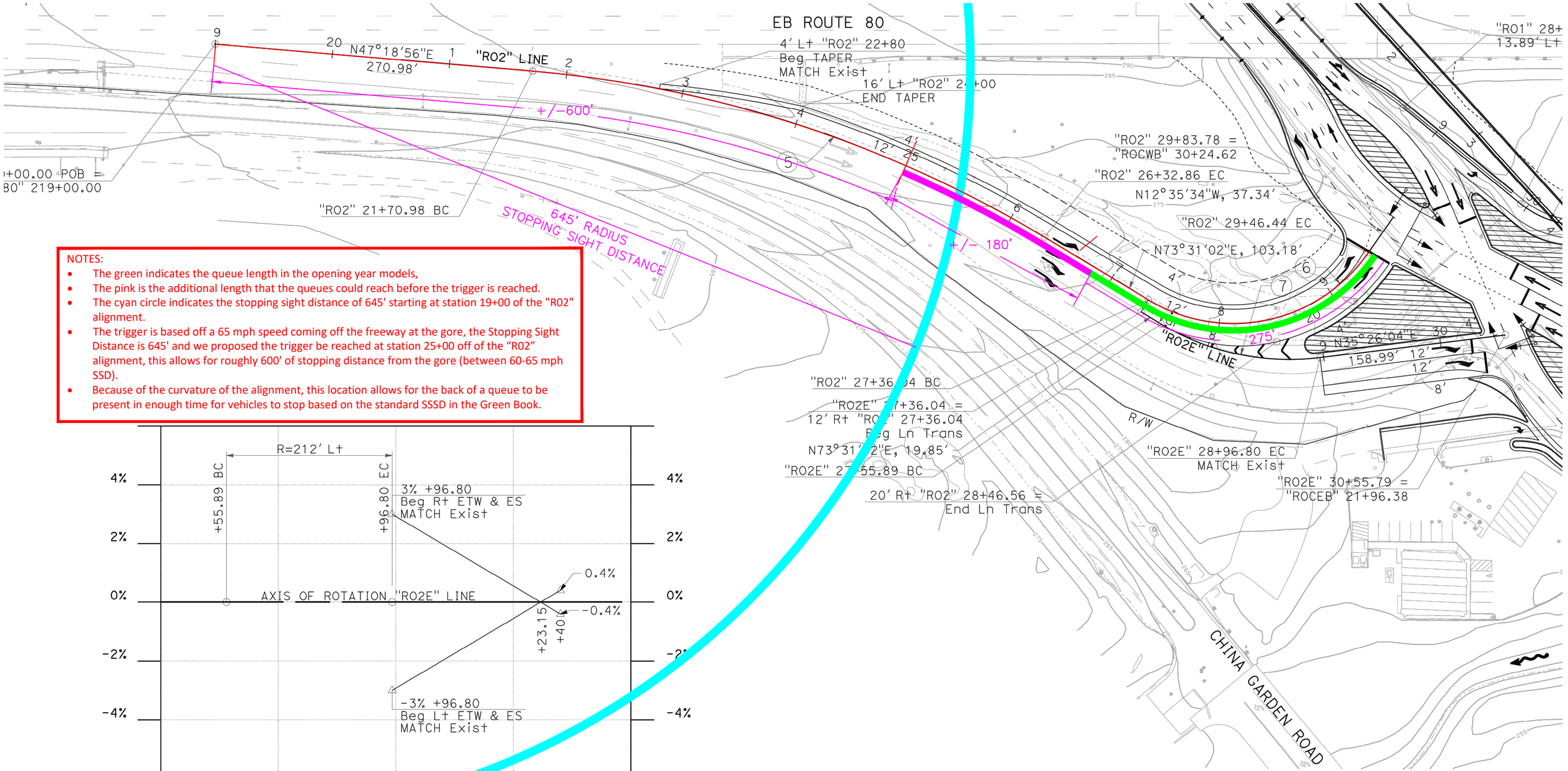
City Manager

Date: _____

Exhibit A - Stopping Sight Distance

NOTES:

- The green indicates the queue length in the opening year models,
- The pink is the additional length that the queues could reach before the trigger is reached.
- The cyan circle indicates the stopping sight distance of 645' starting at station 19+00 of the "R02" alignment.
- The trigger is based off a 65 mph speed coming off the freeway at the gore, the Stopping Sight Distance is 645' and we proposed the trigger be reached at station 25+00 off of the “R02” alignment, this allows for roughly 600' of stopping distance from the gore (between 60-65 mph SSD).
- Because of the curvature of the alignment, this location allows for the back of a queue to be present in enough time for vehicles to stop based on the standard SSSD in the Green Book.



INTERCHANG

1" = 100'

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
APPROVING THE COOPERATIVE AGREEMENT WITH CALTRANS TO ADD AN ADDITIONAL WESTBOUND ON-RAMP ACCELERATION LANE AT ROCKIN ROAD TO INTERSTATE 80 AND AUTHORIZING THE CITY MANAGER TO EXECUTE THE COOPERATIVE AGREEMENT

WHEREAS, on June 22, 2021, the City Council of the City of Rocklin approved and adopted the 2022/26 Capital Improvement Plan (CIP) along with the Fiscal Year (FY) 2021/22 Budget which included the Interstate 80/Rocklin Road Interchange Project, also known as the Rocklin Road/Sierra College Corridor Multimodal Enhancements; and

WHEREAS, Caltrans has determined that a westbound auxiliary (also known as acceleration) lane from Rocklin Road to State Route 65 was necessary and added it to the project scope which requires additional preliminary engineering, public outreach, right-of-way support, and environmental clearance; and

WHEREAS, this is one of the two Cooperative Agreements that have been developed and is provided to an add additional westbound on-ramp acceleration (also known as auxillary) lane at Rocklin Road to Interstate 80 and identifies responsibilities of the agencies; and

WHEREAS, this effort associated with the design is funded out of the South Placer Regional Transportation Authority Funds (SPRTA) (Fund 240) however, there is no additional cost associated with this resolution; and

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. the Cooperative Agreement with Caltrans to Add an Additional Westbound On-Ramp Acceleration Lane at Rocklin Road to Interstate 80 is approved, and the City Manager is authorized to execute the Cooperative Agreement substantially the form attached hereto as Exhibit "A."

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____

Hope Ithurburn, City Clerk

EXHIBIT A

COOPERATIVE AGREEMENT COVER SHEET

Work Description

TO ADD AN ADDITIONAL WESTBOUND ON-RAMP ACCELERATION LANE AT ROCKLIN ROAD TO INTERSTATE 80

Contact Information

CALTRANS

Christopher Ladeas, Project Manager

703 B Street

Marysville, CA 95901

Mobile Phone: (530) 701-1305

Email: christopher.ladeas@dot.ca.gov

CITY OF ROCKLIN

Matt McClure, Public Services Manager

3970 Rocklin Road

Rocklin, CA 95677

Office Phone: (916) 625-5277

Email: matt.mcclure@rocklin.ca.us

COOPERATIVE AGREEMENT

This AGREEMENT, executed on and effective from _____, is between the State of California, acting through its Department of Transportation, referred to as CALTRANS, and:

City of Rocklin, a body politic and municipal corporation or chartered city of the State of California, referred to hereinafter as CITY.

An individual signatory agency in this AGREEMENT is referred to as a PARTY. Collectively, the signatory agencies in this AGREEMENT are referred to as PARTIES.

RECITALS

1. PARTIES are authorized to enter into a cooperative agreement for improvements to the State Highway System per the California Streets and Highways Code, Sections 114 and 130.

2. For the purpose of this AGREEMENT, to add an additional westbound on-ramp acceleration lane at Rocklin Road to Interstate 80 will be referred to hereinafter as PROJECT. The PROJECT scope of work will be defined Project Report.

3. All obligations and responsibilities assigned in this AGREEMENT to complete the following PROJECT COMPONENT will be referred to hereinafter as WORK:

   - PROJECT APPROVAL AND ENVIRONMENTAL DOCUMENT (PA&ED)

   Each PROJECT COMPONENT is defined in the CALTRANS Workplan Standards Guide as a distinct group of activities/products in the project planning and development process.

4. The term AGREEMENT, as used herein, includes this document and any attachments, exhibits, and amendments.

   This AGREEMENT is separate from and does not modify or replace any other cooperative agreement or memorandum of understanding between the PARTIES regarding the PROJECT.

   PARTIES intend this AGREEMENT to be their final expression that supersedes any oral understanding or writings pertaining to the WORK. The requirements of this AGREEMENT will preside over any conflicting requirements in any documents that are made an express part of this AGREEMENT.

   If any provisions in this AGREEMENT are found by a court of competent jurisdiction to be, or are in fact, illegal, inoperative, or unenforceable, those provisions do not render any or all other AGREEMENT provisions invalid, inoperative, or unenforceable, and those provisions will be automatically severed from this AGREEMENT.

   Except as otherwise provided in the AGREEMENT, PARTIES will execute a written amendment if there are any changes to the terms of this AGREEMENT.

   PARTIES agree to sign a CLOSURE STATEMENT to terminate this AGREEMENT. However, all indemnification, document retention, audit, claims, environmental commitment, legal challenge, maintenance and ownership articles will remain in effect until terminated or modified in writing by mutual agreement or expire by the statute of limitations.

5. No PROJECT deliverables have been completed prior to this AGREEMENT.

6. In this AGREEMENT capitalized words represent defined terms, initialisms, or acronyms.

7. PARTIES hereby set forth the terms, covenants, and conditions of this AGREEMENT.

RESPONSIBILITIES

Sponsorship

8. A SPONSOR is responsible for establishing the scope of the PROJECT and securing the financial resources to fund the WORK. A SPONSOR is responsible for securing additional funds when necessary or implementing PROJECT changes to ensure the WORK can be completed with the funds obligated in this AGREEMENT.

   PROJECT changes, as described in the CALTRANS Project Development Procedures Manual, will be approved by CALTRANS as the owner/operator of the State Highway System.

9. CITY is the SPONSOR for the WORK in this AGREEMENT.

Implementing Agency

10. The IMPLEMENTING AGENCY is the PARTY responsible for managing the scope, cost, schedule, and quality of the work activities and products of a PROJECT COMPONENT.

    - CITY is the Project Approval and Environmental Document (PA&ED) IMPLEMENTING AGENCY.

      PA&ED includes the completion of the Final Environmental Document and the Project Report (documenting the project alternative selection).

11. CITY will provide a Quality Management Plan (QMP) for the WORK in every PROJECT COMPONENT that they are the IMPLEMENTING AGENCY of. The QMP describes the IMPLEMENTING AGENCY's quality policy and how it will be used. The QMP will include a process for resolving disputes between the PARTIES at the team level. The QMP is subject to CALTRANS review and approval.

12. Any PARTY responsible for completing WORK will make its personnel and consultants that prepare WORK available to help resolve WORK-related problems and changes for the entire duration of the PROJECT including PROJECT work that may occur under separate agreements.

Funding

13. The WORK does not use funds administered by CALTRANS. PARTIES will amend this AGREEMENT should this condition change.

14. Each PARTY is responsible for the costs they incur in performing the WORK.

CALTRANS' Quality Management

15. CALTRANS, as the owner/operator of the State Highway System (SHS), will perform quality management work including Quality Management Assessment (QMA) and owner/operator approvals for the portions of WORK within the existing and proposed SHS right-of-way.

16. CALTRANS' Quality Management Assessment (QMA) efforts are to ensure that CITY's quality assurance results in WORK that is in accordance with the applicable standards and the PROJECT's quality management plan (QMP). QMA does not include any efforts necessary to develop or deliver WORK or any validation by verifying or rechecking WORK.

    When CALTRANS performs QMA, it does so for its own benefit. No one can assign liability to CALTRANS due to its QMA.

17. CALTRANS, as the owner/operator of the State Highway System, will approve WORK products in accordance with CALTRANS policies and guidance and as indicated in this AGREEMENT.

18. CITY will provide WORK-related products and supporting documentation upon CALTRANS' request for the purpose of CALTRANS' quality management work.

19. CITY, including any employee, agent, consultant or sub-consultant retained by the CITY, shall implement uniform document control policies necessary to retain all records and electronically stored information associated with the WORK, including but not limited to those records identified in California Public Resources Code, Section 21167.6, and including email and attachments, in a manner consistent with the CALTRANS Uniform Filing System and the "Final Caltrans Environmental Records Retention Policy", available at https://dot.ca.gov/-/media/dot-media/programs/environmental-analysis/documents/nepa-recordretention-policy-final-a11y.pdf. These records, along with an index of the records, shall be provided to CALTRANS within 60 days of CALTRANS' written request.

20. The cost of CALTRANS' quality management work is to be borne by CALTRANS.

CEQA Lead Agency

21. CITY is the CEQA Lead Agency for the PROJECT.

22. CALTRANS is a CEQA Responsible Agency for the PROJECT.

Environmental Permits, Approvals and Agreements

23. CITY will comply with the commitments and conditions set forth in the environmental documentation, environmental permits, approvals, and applicable agreements as those commitments and conditions apply to CITY's responsibilities in this AGREEMENT.

24. Unless otherwise assigned in this AGREEMENT, the IMPLEMENTING AGENCY for a PROJECT COMPONENT is responsible for all PROJECT COMPONENT WORK associated with coordinating, obtaining, implementing, renewing, and amending the PROJECT permits, agreements, and approvals whether they are identified in the planned project scope of work or become necessary in the course of completing the PROJECT.

25. It is expected that the PROJECT requires the following environmental permits/approvals:

ENVIRONMENTAL PERMITS/REQUIREMENTS
National Pollutant Discharge Elimination System (NPDES), State Water Resources Control Board

Project Approval and Environmental Document (PA&ED)

26. As the PA&ED IMPLEMENTING AGENCY, CITY is responsible for all PA&ED WORK except those activities and responsibilities that are assigned to another PARTY and those activities that are excluded under this AGREEMENT.

27. CALTRANS will be responsible for completing the following PA&ED activities:

CALTRANS Work Breakdown Structure Identifier (If Applicable)
100.10.10.xx Quality Management

28. Any PARTY preparing environmental documentation, including studies and reports, will ensure that qualified personnel remain available to help resolve environmental issues and perform any necessary work to ensure that the PROJECT remains in environmental compliance.

California Environmental Quality Act (CEQA)

29. The CEQA Lead Agency will determine the type of CEQA documentation and will cause that documentation to be prepared in accordance with CEQA requirements.

30. Any PARTY involved in the preparation of CEQA documentation will prepare the documentation to meet CEQA requirements and follow the CEQA Lead Agency's standards that apply to the CEQA process.

31. CALTRANS is a CEQA Responsible Agency for the PROJECT and is responsible for review, comment, and concurrence on all environmental documentation (including, but not limited to, studies, reports, public notices, and public meeting materials, determinations, administrative drafts, and final environmental documents) at appropriate stages of development prior to approval and public availability.

32. Any PARTY preparing any portion of the CEQA documentation, including any studies and reports, will submit that portion of the documentation to the CEQA Lead Agency for review, comment, and approval at appropriate stages of development prior to public availability.

33. If the CEQA Lead Agency makes any changes to the CEQA documentation, the CEQA Lead Agency will allow CALTRANS to review, comment, and concur on those changes prior to the CEQA Lead Agency's approval at appropriate stages of development prior to public availability.

34. If the CEQA Lead Agency makes any changes to CEQA-related public notices, then the CEQA Lead Agency will allow CALTRANS to review, comment, and concur on those changes prior to publication and circulation.

35. The CEQA Lead Agency will attend all CEQA-related public meetings.

36. If a PARTY who is not the CEQA Lead Agency holds a public meeting about the PROJECT, that PARTY must clearly state its role in the PROJECT and the identity of the CEQA Lead Agency on all meeting publications. All meeting publications must also inform the attendees that public comments collected at the meetings are not part of the CEQA public review process.

    That PARTY will submit all meeting advertisements, agendas, exhibits, handouts, and materials to the CEQA Lead Agency for review, comment, and approval at least ten (10) working days prior to publication or use. If that PARTY makes any changes to the materials, it will allow the CEQA Lead Agency to review, comment on, and approve those changes at least three (3) working days prior to the public meeting date.

    The CEQA Lead Agency maintains final editorial control with respect to text or graphics that could lead to public confusion over CEQA-related roles and responsibilities.

Schedule

37. PARTIES will manage the WORK schedule to ensure the timely use of obligated funds and to ensure compliance with any environmental permits, right-of-way agreements, construction contracts, and any other commitments. PARTIES will communicate schedule risks or changes as soon as they are identified and will actively manage and mitigate schedule risks.

Additional Provisions

Standards

38. PARTIES will perform all WORK in accordance with federal and California laws, regulations, and standards; Federal Highway Administration (FHWA) standards; and CALTRANS standards. CALTRANS standards include, but are not limited to, the guidance provided in the:

    - CADD Users Manual
    - CALTRANS policies and directives
    - Plans Preparation Manual
    - Project Development Procedures Manual (PDPM)
    - Workplan Standards Guide
    - Standard Environmental Reference
    - Highway Design Manual

Qualifications

39. Each PARTY will ensure that personnel participating in WORK are appropriately qualified or licensed to perform the tasks assigned to them.

Consultant Selection

40. CITY will invite CALTRANS to participate in the selection of any consultants that participate in the WORK.

Encroachment Permits

41. CALTRANS will issue, upon proper application, the encroachment permits required for WORK within State Highway System (SHS) right-of-way. CITY, their contractors, consultants, agents and utility owners will not work within the SHS right-of-way without an encroachment permit issued in their name. CALTRANS will provide encroachment permits to CITY, their contractors, consultants, and agents at no cost. CALTRANS will provide encroachment permits to utility owners at no cost. If the encroachment permit and this AGREEMENT conflict, the requirements of this AGREEMENT will prevail.

42. The IMPLEMENTING AGENCY for a PROJECT COMPONENT will coordinate, prepare, obtain, implement, renew, and amend any encroachment permits needed to complete the WORK.

Protected Resources

43. If any PARTY discovers unanticipated cultural, archaeological, paleontological, or other protected resources during WORK, all WORK in that area will stop and that PARTY will notify all PARTIES within 24 hours of discovery. WORK may only resume after a qualified professional has evaluated the nature and significance of the discovery and CALTRANS approves a plan for its removal or protection.

Disclosures

44. PARTIES will hold all administrative drafts and administrative final reports, studies, materials, and documentation relied upon, produced, created, or utilized for the WORK in confidence to the extent permitted by law and where applicable, the provisions of California Government Code, Section 6254.5(e) will protect the confidentiality of such documents in the event that said documents are shared between PARTIES.

    PARTIES will not distribute, release, or share said documents with anyone other than employees, agents, and consultants who require access to complete the WORK without the written consent of the PARTY authorized to release them, unless required or authorized to do so by law.

45. If a PARTY receives a public records request pertaining to the WORK, that PARTY will notify PARTIES within five (5) working days of receipt and make PARTIES aware of any disclosed public records.

Hazardous Materials

46. HM-1 is hazardous material (including, but not limited to, hazardous waste) that may require removal and disposal pursuant to federal or state law, irrespective of whether it is disturbed by the PROJECT or not.

    HM-2 is hazardous material (including, but not limited to, hazardous waste) that may require removal and disposal pursuant to federal or state law only if disturbed by the PROJECT.

    The management activities related to HM-1 and HM-2, including and without limitation, any necessary manifest requirements and disposal facility designations are referred to herein as HM-1 MANAGEMENT and HM-2 MANAGEMENT respectively.

47. If HM-1 or HM-2 is found the discovering PARTY will immediately notify all other PARTIES.

48. CALTRANS, independent of the PROJECT, is responsible for any HM-1 found within the existing State Highway System right-of-way. CALTRANS will undertake, or cause to be undertaken, HM-1 MANAGEMENT with minimum impact to the PROJECT schedule.

    CALTRANS, independent of the PROJECT will pay, or cause to be paid, the cost of HM-1 MANAGEMENT related to HM-1 found within the existing State Highway System right-of-way.

49. CITY, independent of the PROJECT, is responsible for any HM-1 found within the PROJECT limits and outside the existing State Highway System right-of-way. CITY will undertake, or cause to be undertaken, HM-1 MANAGEMENT with minimum impact to the PROJECT schedule.

    CITY, independent of the PROJECT, will pay, or cause to be paid, the cost of HM-1 MANAGEMENT for HM-1 found within the PROJECT limits and outside of the existing State Highway System right-of-way.

50. The CONSTRUCTION IMPLEMENTING AGENCY is responsible for HM-2 MANAGEMENT within the PROJECT limits.

    CITY and CALTRANS will comply with the Soil Management Agreement for Aerially Deposited Lead Contaminated Soils (Soil Management Agreement) executed between CALTRANS and the California Department of Toxic Substances Control (DTSC). Under Section 3.2 of the Soil Management Agreement, CALTRANS and CITY each retain joint and severable liability for noncompliance with the provisions of the Soil Management Agreement. CITY will assume all responsibilities assigned to CALTRANS in the Soil Management Agreement during PROJECT COMPONENTS for which they are the IMPLEMENTING AGENCY except for final placement and burial of soil within the State right-of-way, per Section 4.5 of the Soil Management Agreement, which is subject to CALTRANS concurrence and reporting to DTSC which will be performed by CALTRANS.

51. CALTRANS' acquisition or acceptance of title to any property on which any HM-1 or HM-2 is found will proceed in accordance with CALTRANS' policy on such acquisition.

Claims

52. CITY may accept, reject, compromise, settle, or litigate claims of any consultants or contractors hired to complete WORK without concurrence from the other PARTY.

53. PARTIES will confer on any claim that may affect the WORK or PARTIES' liability or responsibility under this AGREEMENT in order to retain resolution possibilities for potential future claims. No PARTY will prejudice the rights of another PARTY until after PARTIES confer on the claim.

54. If the WORK expends state or federal funds, each PARTY will comply with the Federal Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards of 2 CFR, Part 200. PARTIES will ensure that any for-profit consultant hired to participate in the WORK will comply with the requirements in 48 CFR, Chapter 1, Part 31. When state or federal funds are expended on the WORK these principles and requirements apply to all funding types included in this AGREEMENT.

55. If the WORK expends state or federal funds, each PARTY will undergo an annual audit in accordance with the Single Audit Act in the Federal Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards as defined in 2 CFR, Part 200.

56. When a PARTY reimburses a consultant for WORK with state or federal funds, the procurement of the consultant and the consultant overhead costs will be in accordance with the Local Assistance Procedures Manual, Chapter 10.

Interruption of Work

57. If WORK stops for any reason, IMPLEMENTING AGENCY will place the PROJECT right-of-way in a safe and operable condition acceptable to CALTRANS.

58. If WORK stops for any reason, each PARTY will continue with environmental commitments included in the environmental documentation, permits, agreements, or approvals that are in effect at the time that WORK stops, and will keep the PROJECT in environmental compliance until WORK resumes.

Penalties, Judgements and Settlements

59. The cost of awards, judgements, fines, interest, penalties, attorney's fees, and/or settlements generated by the WORK are considered WORK costs.

60. The cost of legal challenges to the environmental process or documentation are considered WORK costs.

61. Any PARTY whose action or lack of action causes the levy of fines, interest, or penalties will indemnify and hold all other PARTIES harmless per the terms of this AGREEMENT.

Project Files

62. CITY will furnish CALTRANS with the Project History Files related to the PROJECT facilities on State Highway System within sixty (60) days following the completion of each PROJECT COMPONENT. CITY will assure that the Project History File is prepared and submitted in compliance with the Project Development Procedures Manual, Chapter 7. All material will be submitted neatly in a three-ring binder and in PDF format.

GENERAL CONDITIONS

63. All portions of this AGREEMENT, including the Recitals Section, are enforceable.

Venue

64. PARTIES understand that this AGREEMENT is in accordance with and governed by the Constitution and laws of the State of California. This AGREEMENT will be enforceable in the State of California. Any PARTY initiating legal action arising from this AGREEMENT will file and maintain that legal action in the Superior Court of the county in which the CALTRANS district office that is signatory to this AGREEMENT resides, or in the Superior Court of the county in which the PROJECT is physically located.

Exemptions

65. All CALTRANS' obligations under this AGREEMENT are subject to the appropriation of resources by the Legislature, the State Budget Act authority, programming and allocation of funds by the California Transportation Commission (CTC).

Indemnification

66. Neither CALTRANS nor any of its officers and employees, are responsible for any injury, damage, or liability occurring by reason of anything done or omitted to be done by CITY, its contractors, sub-contractors, and/or its agents under or in connection with any work, authority, or jurisdiction conferred upon CITY under this AGREEMENT. It is understood and agreed that CITY, to the extent permitted by law, will defend, indemnify, and save harmless CALTRANS and all of their officers and employees from all claims, suits, or actions of every name, kind, and description brought forth under, but not limited to, tortious, contractual, inverse condemnation, or other theories and assertions of liability occurring by reason of anything done or omitted to be done by CITY, its contractors, sub-contractors, and/or its agents under this AGREEMENT.

67. Neither CITY nor any of its officers and employees, are responsible for any injury, damage, or liability occurring by reason of anything done or omitted to be done by CALTRANS, its contractors, sub-contractors, and/or its agents under or in connection with any work, authority, or jurisdiction conferred upon CALTRANS under this AGREEMENT. It is understood and agreed that CALTRANS, to the extent permitted by law, will defend, indemnify, and save harmless CITY and all of their officers and employees from all claims, suits, or actions of every name, kind, and description brought forth under, but not limited to, tortious, contractual, inverse condemnation, or other theories and assertions of liability occurring by reason of anything done or omitted to be done by CALTRANS, its contractors, sub-contractors, and/or its agents under this AGREEMENT.

Non-parties

68. PARTIES do not intend this AGREEMENT to create a third party beneficiary or define duties, obligations, or rights for entities not signatory to this AGREEMENT. PARTIES do not intend this AGREEMENT to affect their legal liability by imposing any standard of care for fulfilling the WORK different from the standards imposed by law.

69. PARTIES will not assign or attempt to assign obligations to entities not signatory to this AGREEMENT without an amendment to this AGREEMENT.

Ambiguity and Performance

70. Neither PARTY will interpret any ambiguity contained in this AGREEMENT against the other PARTY. PARTIES waive the provisions of California Civil Code, Section 1654.

    A waiver of a PARTY's performance under this AGREEMENT will not constitute a continuous waiver of any other provision.

71. A delay or omission to exercise a right or power due to a default does not negate the use of that right or power in the future when deemed necessary.

Defaults

72. If any PARTY defaults in its performance of the WORK, a non-defaulting PARTY will request in writing that the default be remedied within thirty (30) calendar days. If the defaulting PARTY fails to do so, the non-defaulting PARTY may initiate dispute resolution.

Dispute Resolution

73. PARTIES will first attempt to resolve AGREEMENT disputes at the PROJECT team level as described in the Quality Management Plan. If they cannot resolve the dispute themselves, the CALTRANS District Director and the Executive Officer of CITY will attempt to negotiate a resolution. If PARTIES do not reach a resolution, PARTIES' legal counsel will initiate mediation. PARTIES agree to participate in mediation in good faith and will share equally in its costs.

    Neither the dispute nor the mediation process relieves PARTIES from full and timely performance of the WORK in accordance with the terms of this AGREEMENT. However, if any PARTY stops fulfilling its obligations, any other PARTY may seek equitable relief to ensure that the WORK continues.

    Except for equitable relief, no PARTY may file a civil complaint until after mediation, or forty-five (45) calendar days after filing the written mediation request, whichever occurs first.

    PARTIES will file any civil complaints in the Superior Court of the county in which the CALTRANS District Office signatory to this AGREEMENT resides or in the Superior Court of the county in which the PROJECT is physically located.

74. PARTIES maintain the ability to pursue alternative or additional dispute remedies if a previously selected remedy does not achieve resolution.

Prevailing Wage

75. When WORK falls within the Labor Code § 1720(a)(1) definition of "public works" in that it is construction, alteration, demolition, installation, or repair; or maintenance work under Labor Code § 1771, PARTIES will conform to the provisions of Labor Code §§ 1720-1815, and all applicable provisions of California Code of Regulations, Title 8, Division 1, Chapter 8, Subchapter 3, Articles 1-7. PARTIES will include prevailing wage requirements in contracts for public work and require contractors to include the same prevailing wage requirements in all subcontracts.

    Work performed by a PARTY's own employees is exempt from the Labor Code's Prevailing Wage requirements.

    If WORK is paid for, in whole or part, with federal funds and is of the type of work subject to federal prevailing wage requirements, PARTIES will conform to the provisions of the Davis-Bacon and Related Acts, 40 U.S.C. §§ 3141-3148.

When applicable, PARTIES will include federal prevailing wage requirements in contracts for public works. WORK performed by a PARTY's employees is exempt from federal prevailing wage requirements.

SIGNATURES

PARTIES are authorized to enter into this AGREEMENT and have delegated to the undersigned the authority to execute this AGREEMENT on behalf of the respective agencies and hereby covenants to have followed all the necessary legal requirements to validly execute this AGREEMENT. By signing below, the PARTIES each expressly agree to execute this AGREEMENT electronically.

The PARTIES acknowledge that executed copies of this AGREEMENT may be exchanged by facsimile or email, and that such copies shall be deemed to be effective as originals.

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION

Greg Wong
Deputy District Director
D3 Program, Project and Asset Management

Verification of funds and authority:

District Project Control Manager

CITY OF ROCKLIN

Aly Zimmermann
City Manager

Attest:

Hope Ithurburn
City Clerk

Approved as to form and procedure:

Daniel Cucchi
Interim City Attorney

CLOSURE STATEMENT INSTRUCTIONS

1. Did PARTIES complete all scope, cost and schedule commitments included in this AGREEMENT and any amendments to this AGREEMENT?

   YES / NO

2. Did CALTRANS accept and approve all final deliverables submitted by other PARTIES?

   YES / NO

3. Did the CALTRANS HQ Office of Accounting verify that all final accounting for this AGREEMENT and any amendments to this AGREEMENT were completed?

   YES / NO

4. If construction is involved, did the CALTRANS District Project Manager verify that all claims and third party billings (utilities, etc.) have been settled before termination of the AGREEMENT?

   YES / NO

5. Did PARTIES complete and transmit the As-Built Plans, Project History File, and all other required contract documents?

   YES / NO

If ALL answers are “YES”, this form may be used to TERMINATE this AGREEMENT.

CLOSURE STATEMENT

PARTIES agree that they have completed all scope, cost, and schedule commitments included in Agreement 03-0811 and any amendments to the agreement. The final signature date on this document terminates agreement 03-0811 except survival articles. All survival articles in agreement 03-0811 will remain in effect until expired by law, terminated or modified in writing by the PARTIES' mutual agreement, whichever occurs earlier.

The people signing this agreement have the authority to do so on behalf of their public agencies. By signing below, the PARTIES each expressly agree to execute this AGREEMENT electronically.

The PARTIES acknowledge that executed copies of this AGREEMENT may be exchanged by facsimile or email, and that such copies shall be deemed to be effective as originals.

STATE OF CALIFORNIA **DEPARTMENT OF TRANSPORTATION**	**CITY OF ROCKLIN**
_____	_____
Deputy District Director D3 Program, Project and Asset Management	City Manager
Date: _____	Date: _____

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Updated Quality Assurance Program Manual for use on Federally Funded Project

Date: June 27, 2023

Submitted By: Justin Nartker, Director of Public Services

Department: Public Services

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Adopting the Quality Assurance Program (QAP) Manual for Use on Federally Funded Capital Improvement Projects.

Background:
California Department of Transportation (Caltrans) requires that local agencies use an approved QAP on all Federally Funded projects. The QAP is a sampling and testing program that provides assurance that the workmanship and materials incorporated into the City's Capital Improvement Projects are in conformance with the contract specifications. Caltrans requires that local agencies update, approve and/or re-adopt their QAP manual every 5 years. The QAP has been updated to include current Caltrans Standards.

On June 26, 2018, the City Council adopted Resolution No. 2018-161, adopting the QAP manual currently being used on all Federally Funded projects. The proposed updated QAP (Attachment 2) is presented for City Council consideration, and will be in effect from Jul 1, 2023, to June 30, 2028.

Fiscal Impact:
There is no fiscal impact to the staff recommendation, as testing efforts are included in all roadway projects.

ATTACHMENTS:
1. Resolution
2. Resolution - Exhibit A (QAP Manual)

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
APPROVING AND ADOPTING THE UPDATED CONSTRUCTION QUALITY ASSURANCE PROGRAM (QAP) FOR USE ON ALL FEDERALLY FUNDED PROJECTS

The City Council of the City of Rocklin does resolve as follows:

WHEREAS, Caltrans requires local agencies to update, approve and/or re-adopt their Quality Assurance Program (QAP) manual every 5 years; and

WHEREAS, The City of Rocklin previously updated and approved its QAP manual on June 26, 2018, Resolution 2018-161; and

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The City Council of the City of Rocklin hereby approves and re-adopts the Quality Assurance Program (QAP) attached hereto as "Exhibit A" and by their reference incorporated herein.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A









Construction Quality Assurance Program

City of Rocklin
State of California

July
2023-2028

ROCKLIN
CALIFORNIA

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CONSTRUCTION QUALITY ASSURANCE PROGRAM

1.0 INTRODUCTION

This document presents the Quality Assurance Program guidelines for the City of Rocklin (City).

1.1 PURPOSE AND SCOPE

This Quality Assurance Program (QAP) is a sampling and testing program that will provide assurance that the materials and workmanship incorporated into the City's construction projects are in conformance with the contract specifications. This program should be updated every five years or more frequent if there are changes of the testing frequencies or to the tests themselves. The following elements of the QAP are procedures for:

- Acceptance Testing (AT)
- Independent Assurance Sampling and Testing (IAST)
- Testing of Manufactured Materials

2.0 APPLICABILITY

This QAP applies to City projects off the National Highway System (NHS) and off the State Highway System (SHS).

On-NHS Projects

On-NHS projects are governed by Caltrans' FHWA-approved QAP, found in Section 16.11 of the 2023 Local Assistance Procedures Manual (LAPM), Chapter 16.

Off-NHS Projects

Off-NHS Federally funded projects are governed by the procedures of this City of Rocklin QAP. Its use is mandatory for Federal-aid projects and is recommended for other City street and highway projects. This local QAP is based upon the requirements for local QAP's contained in the aforementioned Section 16.11 of the LAPM and the Caltrans QAP Manual.

3.0 APPROVAL

This local QAP has been approved by the City Engineer, who is a registered Civil Engineer. It shall be kept on file and available for review.

4.0 TESTING REQUIRED

General

This local QAP describes procedures for three types of required testing.

4.1 ACCEPTANCE TESTING

This Section provides procedures for regular testing of materials entering a construction project to verify that the materials, or products, comply with contract specifications or standards.

4.2 TIMING

Sampling should begin as soon as materials are placed on a project. Testing should be performed promptly to enable data evaluation and necessary measures to be taken by the Resident Engineer (RE) and contractor.

4.3 TEST METHODS

Both California and American Society of Testing and Materials (ASTM) test methods are acceptable.

4.4 FREQUENCY

Sampling and testing shall occur in accordance with the attached frequency tables (Appendix A). The tables are intended as a guide: the actual quality of materials tested may justify decreasing or increasing the frequency of subsequent similar samples and tests.

4.5 TESTS TO BE PERFORMED

The tests to be performed shall be in accordance with Caltrans Standard Specifications as modified by the project Special Provisions, except as modified in writing by the City Engineer. As a guideline, the following tests comprise a minimum scope for commonly used materials:

- Aggregate Base: Sieve Analysis, Sand Equivalent, R-Value, and Durability for each new source.
- Aggregate Sub-base: Sieve Analysis, Sand Equivalent, and R-Value
- Asphalt Concrete: Maximum Density, In-Place Density (relative compaction) at the site.
- Concrete: Compressive Strength, minimum of three cylinders per sample.

4.6 TEST RESULT REPORTING GUIDELINES

Results should be submitted to the RE within five (5) working days of sampling, or as dictated by the construction schedule. Results may be expedited by transmitting via fax, telephone, or email.

4.7 SUMMARY LOGS

Materials Testing Summary Logs shall be maintained by the Resident Engineer (RE) for each material requiring multiple sampling and testing. Log data shall include date, time, station location, test sample depth, approximate quantity of sample material, test result, and tester.

4.8 MINOR QUANTITIES

Relatively minor quantities of materials from a known reliable source may be accepted with testing if:

- The RE performs visual examination of materials, or
- The manufacturer or supplier certifies that the material furnished complies with specification requirements.
- The manufacturer or supplier has recently furnished similar materials found to be satisfactory using normal sampling and testing requirements.

Such records of acceptance shall be placed in the RE's project files with related inspection notes.

Examples of maximum "minor" quantities" include (From LAPM 16.11):

- Bituminous material: 100 gallons per project
- Aggregates used for other than Portland cement concrete: 100 tons per day or 500 tons per project.
- Bituminous mixtures: 50 tons per day or 500 tons per project

4.9 RE-TESTING

Failing test results require re-testing to isolate the failed area. The log summary shall cross reference the re-test to the initial failed test.

5.0 INDEPENDENT ASSURANCE SAMPLING AND TESTING (IAST)

Definition

The purpose of these procedures is to verify that Acceptance Testing is being performed correctly and reliably, and to ensure that equipment is properly calibrated.

5.1 APPLICABILITY

IAST procedures are required for federally funded projects on and off the National Highway System (NHS). For on-NHS projects LAPM 16.11 procedures apply. For off-NHS projects, the City will verify that its consultant laboratory's QAP includes IAST procedures for "testing the testers". IAST procedures are optional, and may be required at the discretion of the City Engineer, for non-federally funded projects.

5.2 IAST TESTERS

Only persons holding an Independent Assurance Sampler Tester Certificate may perform IAST. These may include individually certified Caltrans or City Resident Engineer (RE) or laboratory personnel of consultant testers. Testers shall be free of conflict of interest if also performing other testing work.

5.3 FREQUENCY OF IAST

The IAST frequency shall be as specified in the consultant laboratory's QAP for each project where IAST is required.

6.0 TESTING OF MANUFACTURED AND ASSEMBLED MATERIALS

Definition

This section provides methods for inspecting, accepting and testing materials that are manufactured or prefabricated off the project site.

6.1 CERTIFICATE OF COMPLIANCE

The City may accept manufactured products, materials, or assemblies if accompanied by a Certificate of Compliance (COC), provided they do not involve structural integrity or safety to the public. Such Certificate shall be signed by the manufacturer and shall state that materials and workmanship conform in all respects to the project specifications for the material.

6.2 APPLICABLE MATERIALS

Contract documents shall specify that materials require a Certificate of Compliance (or optional Source Inspection). Materials Accepted by Certificate of Compliance are listed in Appendix B of this QAP.

6.3 SOURCE INSPECTION

As an alternative to a Certificate of Compliance, the City or its consultant, may perform a Source Inspection in accordance with LAPM 16.11. Caltrans no longer provides source inspection for projects off the State Highway System (SHS).

6.4 DOCUMENTATION

The COC must be furnished before the material is incorporated into the work and must include:

- Project Number
- Certified material lot number matching lot tags affixed or stenciled to the released materials
- Manufacturer's signature
- A statement that the material complies with the specifications of the contract

All materials accepted on the basis of a signed COC shall be documented in the inspector's daily reports. The Resident Engineer shall ensure that COC's are furnished with material deliveries and are kept in the RE's project file.

6.5 RE-TESTING

Manufactured products, materials or assemblies used on the basis of a Certificate of compliance may be sampled again at the job site and tested at any time during the life of the contract. Items found not in conformance with contract requirements must be rejected whether in place or not.

7.0 COORDINATING SAMPLING AND MATERIAL TESTING

7.1 CONSTRUCTION DOCUMENTS

The City does not provide its own testing and inspection of materials. Provisions for such services shall be arranged prior to advertising a project and addressed appropriately in the construction documents.

7.2 SAMPLING AND TESTING OPTIONS

The City may select from the following sources to perform sampling and testing:

- Another agency's laboratory
- Caltrans' laboratory
- Private consultant laboratory

7.3 ENGINEER IN CHARGE

All laboratories shall be under the responsible engineering management of a California registered professional engineer who shall verify results of tests performed under their supervision.

7.4 CONTRACTOR INFLUENCE

The contractor shall not select of exercise any authority over the laboratory utilized.

7.5 CERTIFICATION OF LABORATORY PERSONNEL

The following certification requirements shall apply:

- Current certification is required for the following sampling and testing personnel: construction management/inspection, local agency, consultant laboratory and their sub-consultants.
- For off-NHS projects, certification of personnel for AT and IAST shall be either Caltrans or similar certification acceptable to the City Engineer.
- Certificates for personnel on a project shall be retained in the Resident Engineer's (RE) project files.
- Sampling and testing by an uncertified person is acceptable upon assurance by the RE that the uncertified person is competent to perform the work.

7.6 LABORATORY EQUIPMENT CALIBRATION

The City shall obtain documentation of consultant laboratory's calibration of its equipment in accordance with LAPM 16.11 and nationally recognized calibration standards. The laboratory is responsible for performing the calibrations and providing such records to the City. Calibration of laboratory equipment (E.g. sand cones, scales, moisture tests, slump cones, air meters) shall occur on regular, appropriate intervals not exceeding one year.

7.7 RECORDS

City's QAP and consultant's QAP material record of samples and tests, material releases, and certificates of compliance for a project shall be incorporated into the RE's project files. For Federally funded projects, records shall be retained for a period of three (3) years.

7.8 PROJECT CERTIFICATION

Upon project completion, the RE shall complete and sign a "Materials Certificate" (Caltrans LAPM Exhibit 17-G). The Certificate shall be retained in the project construction files. All non-conforming materials must be explained and justified on the Certificate.

APPENDIX A

ACCEPTANCE SAMPLING AND TESTING FREQUENCIES

Portland Cement Concrete (PCC)				
Materials to be Sampled or Tested	**Sample Size**	**Sampling/Testing Frequency**	**Typical Test Methods**	**Description or Comments**
Freshly Mixed Concrete (Sampling)	Approx. 150 ob. (or 1 cu. Ft.) near mixer discharge	When tests are required, take at least one sample for each 500 to 1000 cubic yards (CY) of PCC	ASTM C172, C685 CTM 539	
Freshly Mixed Concrete (Testing)	Approx. 150 ob. (or 1 cu. Ft.) near mixer discharge	On projects with 500 CY or more test at least on sample per job.	ASTM C143	**Slump Test**
Freshly Mixed Concrete (Testing)	Approx. 150 ob. (or 1 cu. Ft.) near mixer discharge	On projects with 500 CY or more test at least on sample per job.	ASTM C360	**Ball Penetration**
Freshly Mixed Concrete (Testing)	Approx. 150 ob. (or 1 cu. Ft.) near mixer discharge	On projects with 500 CY or more test at least on sample per job.	ASTM C231 CTM 504	**Air content**
Freshly Mixed Concrete (Testing)	Approx. 150 ob. (or 1 cu. Ft.) near mixer discharge	Fabricate at least three (3) concrete cylinders per sample. Test for compressive strength at least once for each 500 to 1000 CY of structural concrete.	ASTM C39 CTM 521	Fabricate **6"x12"** cylinders. Test at **7** days, and **28** days.
Soils and Aggregates				
Materials to be Sampled or Tested	**Sample Size**	**Sampling/Testing Frequency**	**Typical Test Methods**	**Description or Comments**
Aggregate (Sampling)	One 50 lb. sample	Take one sample for every 500 to 1000 tons of material	ASTM D75 CTM 125	**Random sampling**
Fine Aggregates (Testing)	One 50 lb. sample	Take one sample for every 500 to 1000 tons of material	ASTM C128 CTM 208	**Specific Gravity**
Fine Aggregates (Testing)	One 50 lb. sample	Take one sample for every 500 to 1000 tons of material	ASTM C128 CTM 207	**Bulk Specific Gravity and absorption**
Course Aggregate (Testing)	One 50 lb. sample	Take one sample for every 500 to 1000 tons of material	CTM 206	**Cleanness**
Course Aggregate (Testing)	One 50 lb. sample	Take one sample for every 500 to 1000 tons of material	ASTM C127 CTM 227	**Specific Gravity and Absorption**
Soils and Aggregates (Testing)	One 50 lb. sample	Take one sample for every 500 to 1000 tons of material	ASTM C136 CTM 202	**Sieve analysis**
Soils and Aggregates (Testing)	One 50 lb. sample	Take one sample for every 500 to 1000 tons of material	ASTM D2419 CTM 217	**Sand Equivalent**
Soils and Aggregates (Testing)	One 50 lb. sample	Take one sample for every 500 to 1000 tons of material	ASTM D3744 CTM 229	**Durability Index**
Soils and Aggregates (Testing)	One 50 lb. sample	Take one sample for every 500 to 1000 tons of material	ASTM D2844 CTM 301	**R – Value**
Soils and Aggregates (Testing)	One random location for every 2500 sq. ft.	Take one sample for every 500 to 1000 tons of material	ASTM D2922 CTM 231	**Field Density** using a nuclear gage
Soils and	One random	Take one sample for every 500 to 1000	ASTM D3017	**Water content**

Aggregates (Testing)	location for every 2500 sq. ft.	tons of material	CTM 231	using a nuclear gage
Soils and Aggregates (Testing)	One (1) 50 lb. sample	Take one sample for every 500 to 1000 tons of material	ASTM D1557	Maximum **density** and optimum **moisture** content
Asphalt Concrete				
Materials to be Sampled or Tested	**Sample Size**	**Sampling/Testing Frequency**	**Typical Test Methods**	**Description or Comments**
Asphalt Concrete (Sampling)	Obtain one (1) 30-lb. sample each day of production	Obtain one sample at the asphalt concrete plant for each 5000 tons of asphalt concrete placed	ASTM D75, D140, D979 CTM 125	---
Asphalt Concrete (Testing)	Obtain one (1) 30-lb. sample each day of production	At least one sample for each 5000 tons of asphalt concrete placed	ASTM D1188, D1560, D1561, D5361 CTM 304	**Maximum density and relative compaction**
Asphalt Concrete (Testing)	Obtain one (1) 30-lb. sample each day of production	At least one sample for each 5000 tons of asphalt concrete placed	ASTM C117, D2172	**Gradation**
Asphalt Concrete	Sample any test location (random)	One test for every 1000 tons of asphalt concrete placed	ASTM D2950 CTM 375	**Field density** by nuclear gage
Asphalt Concrete	One (1) 10-lb. sample	One sample per day	ASTM D1560, D1561 CTM 366	**Stability**

EXHIBIT 16-T1: CONSTRUCTION MATERIALS REQUIRING A CERTIFICATE OF COMPLIANCE PER CALTRANS STANDARD SPECIFICATIONS

Exhibit 16-T1: Materials Requiring a Certificate of Compliance per Caltrans Standard Specifications

Caltrans 2018 Standard Specifications	Material	Additional Info and/or Attachments Required*
6-1.04 BUY AMERICA		
6-1.04B	Crumb rubber	COC
6-1.04C	Steel and iron materials	COC + cert. mill test reports
11-2 WELDING QUALITY CONTROL		
11-2.03D	Welding	COC
12-3 TEMP. TRAFFIC CONTROL DEVICES		
12-3.03A(3)	Plastic traffic drums	COC
12-3.20A(3)	Type K temporary railing	COC
12-3.23A(3)	Attenuator	COC
12-3.32A(3)	Portable CMS	COC
13-2 WATER POLLUTION CONTROL PROGRAM		
13-9 TEMP. CONCRETE WASHOUTS		
13-9.01C	Fabric bags for gravel-filled bags	COC
	Plastic liner	COC
13-10 TEMP. LINEAR SEDIMENT BARRIERS		
13-10.01C	Fiber rolls	COC
	Silt fence fabrics	COC
	Sediment filter bags	COC
	Foam barriers	COC
	Fabric for gravel-filled bags	COC
16-2.03 TEMP. HIGH-VISIBILITY FENCES		
16-2.03A(3)	High-visibility fabric	COC
18 DUST PALLIATIVES		
18-1.01C	Dust suppressant	COC
	Dust control binders	COC
	Fibers	COC
20 LANDSCAPE		
20-2 IRRIGATION		
20-2.08A(3)	Polyethylene pipe	COC
	Plastic pipe supply line	COC

* For those materials requiring additional information on or with the COC, see specification.

Caltrans 2018 Standard Specifications	Material	Additional Info and/or Attachments Required*
20-3 PLANTING		
20-2.08A(3)	Sod	COC
	Soil amendment	COC
20-5 LANDSCAPE ELEMENTS		
20-5.03A(1)(c)	Filter fabric	COC + product data
20-5.03D(1)(c)	Solidifying emulsion	COC + product data & samples
20-5.04A(3)	Wood mulch	COC + sample & authorization
21-2 EROSION CONTROL WORK		
21-2.01C(1)	Straw	COC
	Weed-free straw	COC + cert. of quarantine
	Fiber	COC
	RECP	COC
	Fasteners	COC
	Hydraulically applied erosion control materials	Submit records
21-2.01C(2)	Compost	Submit reports
21-2.01C(3)	Seed	Submit reports
21-2.01C(4)	Tackifier	COC
	Bonded fiber matrix	COC
24 STABILIZED SOILS		
24-1.01C(1)	Stabilizing agent	COC + sample
24-3 CEMENT STABILIZED SOIL		
24-3.01C	Cement	COC + sample
36-2 BASE BOND BREAKER		
36-2.01C	Base bond breaker	COC
37 BITUMINOUS SEALS		
37-1.01C	Asphalt binder	COC + test results
	Asphalt emulsion	COC + test results
37-3 SLURRY SEALS AND MICRO-SURFACINGS		
37-3.01A(3)	Asphaltic emulsion	COC + samples & test results
	Polymer modified asphaltic emulsion	COC + samples & test results
	Micro-surfacing emulsion	COC + sample & test results
37-2.04 ASPHALT RUBBER BINDER CHIP SEALS		
37-2.04A(3)	Asphalt rubber binder ingredients	COC + permits & submittals

* For those materials requiring additional information on or with the COC, see specification.

Caltrans 2018 Standard Specifications	Material	Additional Info and/or Attachments Required*
37-5 PARKING AREA SEALS		
37-5.01C	Parking area seal material	COC + sample & test results
37-6 CRACK TREATMENTS		
37-6.01C	Crack treatment materials	COC or sample & test results
39-2 HOT MIX ASPHALT		
39-2.01A(3)(f)	Liquid antistrip	COC + sample & production data
39-2.03A(3)(c)	Crumb rubber modifier	COC + test results
	Asphalt modifier	COC + test results
39-2.05A(1)(c)	Asphaltic emulsion	COC + test results
40 CONCRETE PAVEMENT		
40-1.01C(2)	Tie bars	COC
	Splice couplers for threaded bars	COC
	Dowel bars	COC
	Tie bar baskets	COC
	Joint filler	COC
	Epoxy-powder coating	COC
41 EXISTING CONCRETE PAVEMENT		
41-5 JOINT SEALS		
41-5.01C	Liquid joint sealant	COC + SDS & instructions
	Backer rods	COC + SDS & instructions
	Compression joint seal	COC + SDS & instructions
	Lubricant adhesives	COC + SDS & instructions
41-10 DRILL AND BOND BARS		
41-10.01C	Tie bars	COC
	Dowel bars	COC
	Dowel bar lubricant	COC
	Chemical adhesive	COC
	Epoxy powder coating	COC
48-2 FALSEWORK		
48-2.01C(1)	Structural composite lumber	COC + submittals
49-2 DRIVEN PILING		
49-2.02A(3)(d)	Steel pipe piles	COC + tests & mill reports
49-2.03A(3)	Structural shape steel piling	COC + test reports

* For those materials requiring additional information on or with the COC, see specification.

Caltrans 2018 Standard Specifications	Material	Additional Info and/or Attachments Required*
51 CONCRETE STRUCTURES		
51-1.01C(3)	Bonding materials	COC or sample & authorization
51-2 JOINTS		
51-2.01A(3)	Polyethylene material for snowplow deflectors	COC
51-2.02B(1)(c)	Sealant	COC + test reports & samples
51-2.02C(1)(c)	Elastomeric joint seal	COC + test reports
	Lubricant-adhesive	COC + test reports
51-2.02D(1)(c)	Joint seal materials	COC + authorization
51-2.02E(1)(c)(iii)	Joint seal assembly materials	COC
51-2.02F(1)(c)(iv)	Material used in the joint seals	COC + test reports
51-2.04A(3)	Waterstop material	COC + a statement
51-3 BEARINGS		
51-3.02A(3)(c)	Elastomer for bearing pads	COC + test reports
51-4 PRECAST CONCRETE MEMBERS		
51-4.01C(1)	Concrete box culvert	COC
52 REINFORCEMENT		
52-1.01C(3)	Reinforcement (rebar)	COC + mill test report
52-2 EPOXY-COATED REINFORCEMENT		
52-2.02A(3)(c)	Epoxy-coated reinforcement	COC + submittals
	Patching material	COC + a statement
52-5.01C(4)	Headed bar reinforcement	COC + test reports
52-6 SPLICING		
52-6.01C(5)	Service or butt splice material	COC + submittals
54 WATERPROOFING		
54-3 PREFORMED MEMBRANE WATERPROOFING		
54-3.01C	Preformed membrane sheet	COC + report
54-5 DECK SEAL		
54-5.01C	Preformed membrane sheet	COC + report
57-2 WOOD STRUCTURES		
57-2.01A(3)	Timber and lumber	COC + report
	Glued laminated timbers/decking	COC
57-3 PLASTIC LUMBER STRUCTURES		
57-3.01C(1)	Plastic lumber	COC + test report & sample

* For those materials requiring additional information on or with the COC, see specification.

Caltrans 2018 Standard Specifications	Material	Additional Info and/or Attachments Required*
58-2 MASONRY BLOCK		
58-2.01C(7)	CMUs	COC
	Aggregate for grout	COC
	Grout	COC
59 STRUCTURAL STEEL COATINGS		
59-1.01C	Blast cleaning material	COC + SDS
59-5 THERMAL SPRAY COAT STRUCTURAL STEEL		
59-5.01C(1)	Wire feedstock	COC
60-3.04B POLYESTER CONCRETE OVERLAYS		
60-3.04B(1)(c)	Methacrylate resins	COC + samples & test report
	Polyester resins	COC + samples & test report
	Aggregates	COC + samples & test report
61-2 CULVERT AND DRAINAGE PIPE JOINTS		
61-2.01C	Joint systems	COC + test results & reports
	Couplers	COC
64 PLASTIC PIPE		
64-1.01C	Plastic pipe	COC + report
65-2 REINFORCED CONCRETE PIPE		
65-2.01C	RCP, direct design method	COC + report
66 CORRUGATED METAL PIPE		
66-1.01C	Corrugated steel materials	COC
	Corrugated aluminum materials	COC
67-3 METAL LINE PLATE PIPE		
67-3.01C	Metal liner plate pipe	COC + mill test reports
68 SUBSURFACE DRAINS		
68-1.01C	Subsurface drain	COC
68-2 UNDERDRAINS		
68-2.01C	Pipe	COC
	Tubing	COC
	Fittings	COC
68-7 GEOCOMPOSITE DRAIN SYSTEMS		
68-7.01C	Geocomposite drain	COC + flow capability graph

* For those materials requiring additional information on or with the COC, see specification.

Caltrans 2018 Standard Specifications	Material	Additional Info and/or Attachments Required*
69 OVERSIDE DRAINS		
69-1.01C	Steel pipe piles	COC
	Aluminum	COC
	Plastic	COC
70-6 GRATED LINE DRAINS		
70-6.01C	Grated line drains	COC + docu. & inspec. report
71-3.09 MACHINE SPIRAL WOUND PVC PIPELINERS		
71-3.09A(1)(c)	Reel of PVC strip	COC + report
72-16 GABIONS		
72-16.01C	Gabion basket	COC
	PVC coating	COC + identify
75-3 MISCELLANEOUS BRIDGE METAL		
75-3.01C(1)	Anchorage devices	COC
75-3.01C(2) BRIDGE DECK DRAINAGE SYSTEM		
75-3.01C(2)	Fiberglass pipe and fittings	COC
80-3 CHAIN LINK FENCES		
80-3.01C	Protective coating system	COC
	Posts and braces	COC + test results
81 MISCELLANEOUS TRAFFIC CONTROL DEVICES		
81-2 DELINEATORS		
81-2.01C	Metal target plates	COC
	Enamel coating	COC
81-3 PAVEMENT MARKERS		
81-3.01C	Pavement markers	COC
82 SIGNS AND MARKERS		
82-2 SIGN PANELS		
82-2.01C	Aluminum sheeting	COC
	Retroreflective sheeting	COC
	Screened-process colors	COC
	Nonreflective, opaque, black film	COC
	Protective overlay film	COC

* For those materials requiring additional information on or with the COC, see specification.

Caltrans 2018 Standard Specifications	Material	Additional Info and/or Attachments Required*
82-5 MARKERS		
82-5.01C	Metal target plates	COC
	Enamel coating	COC
	Retroreflective sheeting	COC
83-3 CONCRETE BARRIERS		
83-3.01C	Type 60K portable concrete barrier	COC or test reports
84-2 TRAFFIC STRIPES AND PAVEMENT MARKINGS		
84-2.01C	Thermoplastic	COC + autho., SDS & data sheet
	Paint	COC + autho., SDS & data sheet
	Glass beads	COC + autho., SDS & data sheet
	Thermoplastic primer	COC + test results
DIVISION X ELECTRICAL WORK		
86-1.01C(6)	Signal heads	COC + test data
	Visors	COC + test data
87-2 LIGHTING SYSTEMS		
87-2.01C	High mast lighting luminaires	COC + test data
90 CONCRETE		
90-1.01C(3)	Cementitious materials	COC + app. signature
	Blended cement	COC + app. signature
90-1.01C(4)	Admixture	COC + authorization
90-1.01C(5)	Curing compound	COC + test samples
90-2 MINOR CONCRETE		
90-2.01C	Minor concrete	COC + weighmaster cert
90-3 RAPID STRENGTH CONCRETE		
90-3.01C(3)	Aggregate	COC + certified weight
	Cementitious materials	COC + certified weight
	Admixtures	COC + certified weight
90-4 PRECAST CONCRETE		
90-4.01C(2) and 90-4.01D(2)(a)	Cementitious materials	COC + app. signature
	Precast members (each)	COC + app. signature
	Curing compound	COC + test samples
94 ASPHALTIC EMULSIONS		
94-1.01C	Asphaltic emulsion	COC + reports

* For those materials requiring additional information on or with the COC, see specification.

Caltrans 2018 Standard Specifications	Material	Additional Info and/or Attachments Required*
95 EPOXY		
95-1.01C	Epoxy	COC
96 GEOSYNTHETICS		
95-1.01C(1)	Geosynthetic	COC + test samples

* For those materials requiring additional information on or with the COC, see specification.

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Notice of Exemption and Agreement for Fire Station No. 24 & Fire Station No. 25 Security

Date: June 27, 2023

Submitted By: Justin Nartker, Director of Public Services
Matt McClure, Public Services Manager

Department: Public Services

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin approving a Notice of Exemption for the Fire Station No. 24 & Fire Station No. 25 Security.
B. Adopt a Resolution of the City Council of the City of Rocklin Awarding the Contract for the Fire Station No. 24 & Fire Station No. 25 Security to Swierstok Enterprise Inc. DBA Pro Builders and Authorizing the City Manager to Execute an Agreement with Swierstok Enterprise Inc. DBA Pro Builders.

Background:

The Fire Station No. 24 & Fire Station No. 25 Security projects consist of installing approximately 675 linear feet of security fencing and gates to secure the properties surrounding Fire Station No. 24 & Fire Station No. 25. Both projects are included in the adopted 2023-2027 Capital Improvement Plan.

The bid for Fire Station No. 24 & Fire Station No. 25 was advertised on March 23, 2023, and March 30, 2023, with the bid opening Monday, April 17, 2023. Four (4) bids were received from the following (Attachment 4).

- Swierstok Enterprise Inc. DBA Pro Builders (Orangevale) - $288,000
- PBM Construction Inc. (Rocklin) - $322,417
- Pain Construction Inc. (Rio Linda) - $380,000
- Pisor Fence Division (Citrus Heights) - $565,755

After the bids were opened, staff received a Bid Advisory from the Foundation For Fair Contracting regarding the bids submitted by Swierstock, PBM, and Paine (Attachment 5). Staff reviewed and verified the bidding process and that these bidders met the compliance requirements. In addition, it was determined that Swierstok Enterprise, Inc. DBA Pro Builders submitted a responsible bid. Staff recommends that the City Council award the contract to Swierstok Enterprise, Inc. DBA Pro Builders. Pursuant to the Rocklin Municipal Code

3.04.050, the City Manager has change order authority of fifteen (15) percent of the contract amount $43,200. The amount for this project including fifteen (15) percent change order authority brings the total cost to $331,200.

Environmental Analysis:

The City of Rocklin's Environmental Coordinator has reviewed the Fire Station No. 24 & Fire Station No. 25 Security Project and determined that it is exempt from review under the California Environmental Quality Act (CEQA) pursuant to California Code of Regulations Section 15301 and has prepared a Notice of Exemption.

Fiscal Impact:

The Fiscal Year 2023/24 Annual Budget includes $204,100 for these projects in the General Fund. The additional funding needed for the project will be provided through savings from other projects. A budget amendment will be requested to redirect the identified savings to support the recommendations as part of the quarterly financial report.

ATTACHMENTS:

1. Resolution A - Notice of Exemption
2. Resolution B - Contract Award
3. Project Location Maps
4. Bid Summary
5. Bid Advisory

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL
OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
APPROVING A NOTICE OF EXEMPTION
(FIRE STATION NO. 24 & FIRE STATION NO. 25 SECURITY)

WHEREAS, the City of Rocklin's Environmental Coordinator has reviewed the Fire Station No. 24 & Fire Station No. 25 Security Project ("Project") and determined that it is exempt from review under the California Environmental Quality Act pursuant to California Code of Regulations Section 15301 – Existing Facilities and 15311 – Accessory Structures; and

WHEREAS, a Notice of Exemption has been prepared for the Project.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. Based on the review and determination of the Environmental Coordinator, the City Council of the City of Rocklin finds that the Project is exempt from review under the California Environmental Quality Act.

Section 2. A Notice of Exemption is approved for the Project.

Section 3. Upon approval of the Project by the City Council, the Environmental Coordinator may file the Notice of Exemption with the County Clerk of Placer County and, if the Project requires a discretionary approval from any state agency, with the State Office of Planning and Research, pursuant to the provisions of Section 21152(b) of the Public Resources Code and the State EIR Guidelines adopted pursuant thereto.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

NOTICE OF EXEMPTION

TO: ☐ County Clerk, County of Placer
2954 Richardson Blvd.
Auburn, CA 95604-5228

FROM: City of Rocklin
Planning Department
3970 Rocklin Road
Rocklin, CA 95677

Project Title: Fire Station No. 24 & Fire Station No. 25 Security

Project Location - Specific: The two proposed project locations are 3401 Crest Dr; APN 016-450-010-000 and 2001 Wildcat Blvd; APN 373-030-057-000

Project Location - City: Rocklin, CA; County: Placer

Description of Nature, Purpose and Beneficiaries of Project: The project is adding security fencing and gates to Fire Station No. 24 & Fire Station No. 25. The project will consist of installing security fencing and gates to secure the property surrounding the Fire Stations. There will be no expansion of the existing buildings or change in the uses.

Name of Public Agency Approving Project: City of Rocklin

Name of Person or Agency Carrying Out Project: City of Rocklin, Public Services Department, Attn: Justin Nartker, 4081 Alvis Court, Rocklin, CA, 95677, (916) 625-5277.

Exempt Status (Check one)

_X_ Categorical Exemption (California Code of Regulations Sec. 15300 et seq.): Section 15301 (a)(f) – Existing Facilities and Section 15311 – Accessory Structures.

Reasons why the project is exempt:
The project will consist of of installing security fencing and gates to secure the property surrounding Fire Station No. 24 & Fire Station No. 25. Class 1 exemptions consist of the minor alteration of existing facilities involving negligible or no expansion of existing or former use. Examples include but are not limited to: (a) Interior or exterior alterations involving such things as interior partitions, plumbing, and electrical conveyances, and (f) addition of safety or health protection devices for use during construction of or in conjunction with existing structures, facilities, or mechanical equipment, or topographical features including navigational devices. Class 11 exemptions consist of construction, or placement of minor structures accessory to (appurtenant to) existing commercial, industrial, or institutional facilities. The project as proposed is consistent with the exemption class descriptions noted above and is exempt pursuant to Classes 1 and 11 of the CEQA Guidelines.

Contact Person: Justin Nartker, Director of Public Services

Date received for Filing: _____

_____
Signature: Justin Nartker, Director of Public Services

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN AWARDING THE CONTRACT FOR FIRE STATION NO. 24 & FIRE STATION NO. 25 SECURITY TO SWIERSTOK ENTERPRISE INC. DBA PRO BUILDERS AND AUTHORIZING THE CITY MANAGER TO EXECUTE AN AGREEMENT WITH SWIERSTOK ENTERPRISE INC. DBA PRO BUILDERS

WHEREAS, the Fire Station No. 24 & Fire Station No. 25 project consists of installing security fencing and gates to secure the property surrounding Fire Station No. 24 & Fire Station No. 25 at 3401 Crest Dr and 2001 Wildcat Blvd respectively; and

WHEREAS, the invitation for bids was advertised March 23, 2023, and March 30, 2023, with the bid opening Monday, April 17, 2023, the bids were examined and found to be in compliance with all applicable specifications; and

WHEREAS, the lowest responsible bid was determined to be $288,000 submitted by Swierstock Enterprise Inc. DBA Pro Builders; and

WHEREAS, pursuant to the Rocklin Municipal Code, section 3.04.050, a contingency amount of fifteen percent of the contract $43,200, is automatically authorized for all public works project contracts awarded by the City Council or the City Manager, as long as adequate funds have been appropriated, bringing the total authorized Project amount to $331,200; and

WHEREAS, this project is included in the Fiscal Year (FY) 2022/23 budget approved by the City Council of the City of Rocklin, is funded using General Funds (100), and there is no fiscal impact.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council awards the contract for the Fire Station No. 24 & Fire Station No. 25 Security to Swierstok Enterprise Inc. DBA Pro Builders.

Section 2. The City Manager is authorized to execute an Agreement with Swierstok Enterprise Inc. DBA Pro Builders for the Project, in substantially the form attached hereto as Exhibit "A."

PASSED AND ADOPTED this 13th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

CONSTRUCTION AGREEMENT

THIS AGREEMENT, dated for identification as of June 27, 2023, is between the **CITY OF ROCKLIN**, a municipal corporation, (hereinafter called "City"), and **Swierstok Enterprise Inc. DBA Pro Builders,** a coporation (hereinafter called "Contractor").

The parties hereto mutually agree to the terms and condition set forth herein.

I. CONTRACT DOCUMENTS

A. The Contract Documents referred to herein are incorporated herein by reference as if set forth in full in this Agreement.

B. Work called for in any one Contract Document and not mentioned in another is to be performed and executed as if mentioned in all Contract Documents. The table of contents, titles and headings contained herein and in said documents are solely to facilitate reference to various provisions of the Contract Documents and in no way affect or limit the interpretations of the provisions to which they refer.

C. The Contract Documents shall include the Notice to Contractors, Addenda, Bid Form, Agreement, Plans, General Provisions, Special Provisions, General Requirements (Division 1), Technical Specifications (Divisions 2 through 16), Permits from other agencies as may be required by law, City of Rocklin Construction Specifications, Improvement Standards and Standard Drawings, Caltrans Standard Plans and Specifications as applicable, Standard Forms, Supplemental Drawings, all required bonds, Exhibits, the Contract Schedule, Storm Water Pollution Prevention Plan (whether prepared by the City or the Contractor) and any and all supplemental agreements amending or extending the Work contemplated and which may be required to complete the Work in an acceptable manner. Supplemental agreements are written agreements covering alterations, amendments or extensions to the Contract Documents and include Change Orders, Construction Change Directives, Field Directives, Field Orders and Supplemental Drawings.

D. The General Provisions shall mean and refer to the current General Provisions of the City of Rocklin, which are incorporated herein by this reference as if set forth herein.

II. DEFINITIONS

Unless otherwise specifically provided herein, all works and phrases defined in the General Provisions shall have the same meaning and intent in this Agreement.

III. AGREEMENT CONTROLS

In the event of a conflict between the terms and conditions as set forth in this Agreement and the terms and conditions set forth in other Contract Documents, the terms and conditions set forth in this Agreement shall prevail.

IV. SCOPE OF CONTRACT

Contractor agrees to furnish all tools, equipment, apparatus, facilities, labor and material and transportation necessary to perform and complete in a good and workman like manner to the satisfaction of City, all the work required for the Contract for the Project entitled: Fire Station No. 24 & Fire Station No. 25 Security

V. CONTRACT AMOUNT AND PAYMENTS

City agrees to pay and Contractor agrees to accept, in full payment for the above work, **Two Hundred Eighty-Eight Thousand Dollars ($288,000)** as the stipulated sum price which Contractor bid in its Bid Form, subject to additions and deductions by Change Order(s) as provided in the General Conditions.

IIII. PROGRESS AND FINAL PAYMENTS

Progress and final payments shall be in accordance with the General Provisions.

IVII. RETENTION OF SUMS CHARGED AGAINST CONTRACTOR

When, under the provisions of this contract, City shall charge any sum of money against Contractor, City shall deduct and retain the amount of such charge from the amount of the next succeeding progress estimate, or from any other moneys due or that may become due to the Contractor from City. If, on completion or termination of the Contract, sums due contractor are insufficient to pay City's charges against him, City shall have the right to recover the balance from Contractor or his sureties.

VII. TIME OF COMPLETION

A. The entire Work shall be brought to completion in the manner and within the time period provided for in the Contract Documents, commencing on the date of issuance of the Notice to Proceed.

B. Failure to complete the Work by the completion date and in the manner provided for by the Contract Documents shall subject Contractor to liquidated damages as hereinafter provided in this Agreement. Time is of the essence in these Contract Documents.

IX. INSURANCE

Contractor shall maintain in full force and effect at all times during the term of the Agreement, at its sole expense, policies of insurance in accordance with the General Provisions.

X. NO WAIVER OF REMEDIES

A. Neither the inspection by City or its agents, nor any order or certificate for the payment of money, nor any payment for, nor acceptance of the whole or any part of the Work by City, nor any extensions of time, nor any position taken by City or its agents shall operate as a waiver of any provision of this Agreement or of any power herein reserved to City or any right to damages herein provided, nor shall any waiver of any breach of the Agreement be held to be a waiver of any other or subsequent breach.

B. All remedies provided in this Agreement shall be taken and construed as cumulative; that is, in addition to each and every other remedy herein provided, and City shall have any and all equitable and legal remedies which it would in any case have.

XI. DETERMINATION OF DAMAGES

A. The actual fact of the occurrences of damages and the actual amount of the damages which City would suffer if the Work were not completed within the specified times set forth are dependent upon many circumstances and conditions which could prevail in various combinations, and, from the nature of the case, it is impracticable and extremely difficult to fix the actual damages.

B. Damages which City would suffer in the event of delay include loss of the use of the Project, and, in addition, expenses of prolonged employment of an architectural and engineering staff; costs of administration, inspection, and supervision; and the loss suffered by the public within the City of Rocklin by reasons of the delay in the completion of the Project to serve the public at the earliest possible time.

C. Accordingly, the parties hereto agree, and by execution of this Agreement, Contractor acknowledges that it understands, has ascertained and agrees, that the amounts set forth herein as liquidated damages shall be presumed to be that amount of damages sustained by the failure of Contractor to complete the entire work within the times specified.

XII. LIQUIDATED DAMAGES

A. The amount of the liquidated damages to be paid by Contractor to City for failure to

complete the entire work in the specified number of Working or Calendar Days (as extended, if applicable) will be Seven Hundred Fifty Dollars **($750.00)** for each **Calendar day**, continuing to the time at which the Work is completed.

B. Such amount is the actual cash value agreed upon as the loss to City resulting from Contractor's delay.

VIIII. TERMINATION AFTER ALLOTTED WORKING OR CALENDAR DAYS

A. In addition to any rights it may have, City may terminate this Contract or the Contractor's right to proceed at any time after the allotted number of Working or Calendar Days as adjusted by any extensions of time for excusable delays that may have been granted.

B. Upon such termination Contractor any compensation shall be in accordance with Section 5.23 of the General Provisions, and it shall be liable to City for liquidated damages for all periods of time beyond such termination date until the Work is completed.

XIV. CONTRACTOR BANKRUPT

A. If Contractor should commence any proceeding under the Bankruptcy Act, or if contractor be adjudged a bankrupt, or if Contractor should make any assignment for the benefit of creditors, or if a receiver should be appointed on account of Contractor's insolvency, then the City Council may, without prejudice to any other right or remedy, terminate the Contract and complete the work by giving notice to Contractor and his surety according to the provisions of Article 5 of the General Provisions.

B. City shall have the right to complete, or cause completion of the work, all as specified in the General Provisions of the General Provisions.

XV.PERFORMANCE AND PAYMENT BONDS

A. The Contractor shall, before beginning said work, file two bonds with the City, each made payable to the City. These bonds shall be issued by a Surety Company authorized to do business in the State of California, and shall be maintained during the entire life of the Contract at the expense of the Contractor.

1. One bond shall be in the amount of one hundred percent (100%) of the Contract and shall guarantee the Faithful Performance of the Contract.
2. The second bond shall be the Payment Bond required by Part 4, Title 15, Chapter 7, Division Three of the Civil Code of the State of California and shall be in the amount of one hundred percent (100%) of the Contract.

B. Any alteration or alterations made in any provision of this Contract shall not operate to release any surety from liability on any bond required hereunder and the consent to make such alterations is hereby given, and any surety on said bonds hereby waives the provisions of Section 2819 of the Civil Code.

C. Bonds shall only be accepted from an *"Admitted surety insurer"*, which means an insurer to which the Insurance Commissioner has issued a certificate of authority to transact surety insurance in this state. ***Contractor must submit all of the following with the bonds***:

- The original, or a certified copy, of the unrevoked appointment, power of attorney, bylaws or other instrument entitling or authorizing the person who executed the bond to do so.

XVI. SUBSTITUTION OF SECURITIES OF MONEY WITHHELD

A. At any time prior to final payment, Contractor may request substitution of securities for any money withheld by the City to ensure performance of the Contract.

B. At the expense of the Contractor, securities equivalent to the money withheld may be deposited with the City or with an approved financial institution as escrow agent

according to a separate Security Agreement.

C. Securities eligible for substitution shall include those listed in Section 16430 of the Government Code or bank or savings and loan certificates of deposit. A fee set by the City Council shall be charged for such substitution.

XVII. LABOR CODE COMPLIANCE

A. City affirmatively identifies this project as a "public work" as that term is defined by Labor Code section 1720, and the project is, therefore, subject to prevailing wages under Labor Code section 1771.

B. Contractor and its subcontractors shall fully comply with all the provisions of the California Labor Code governing the performance of public works contracts including, but not limited to, payment of prevailing wages, limitations on time worked, compliance with apprentice requirements, maintenance of payroll records, posting of wages at job site and prohibitions against discrimination.

XVIII. UNFAIR COMPETITION

A. The following provision is included in this agreement pursuant to California Public Contract Code §7103.5.

> "In entering into a public works contract or a subcontract to supply goods, services, or materials pursuant to a public works contract, the contractor or subcontractor offers and agrees to assigning to the awarding body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, services, or materials pursuant to the public works contract or the subcontract. This assignment shall be made and become effective at the time the awarding body tenders final payment to the contractor, without further acknowledgment by the parties."

XIX. ASSIGNMENT

Neither this Agreement nor any rights herein of Contractor shall be assigned without the written consent of City first obtained.

XIX. NO THIRD PARTY BENEFICIARIES

This Agreement is entered into solely between the City and Contractor. There are no third party beneficiaries, intended, unintended, or otherwise to this Agreement.

/////SIGNATURE PAGE FOLLOWS/////

IN WITNESS WHEREOF, the parties hereto have signed the Agreement on the date set forth opposite their names.

CONTRACTOR:	**(California Corporations: must be signed by company's CEO, President, or Vice President *as well as* the Secretary or CFO.)**
Date	Tax I.D. Number
Signature	Signature
Print Name	Print Name
Title	Title

CITY OF ROCKLIN, A Municipal Corporation:

Aly Zimmermann, City Manager

APPROVED AS TO FORM:

Sheri Chapman, City Attorney

ATTEST:

Hope Ithurburn, City Clerk

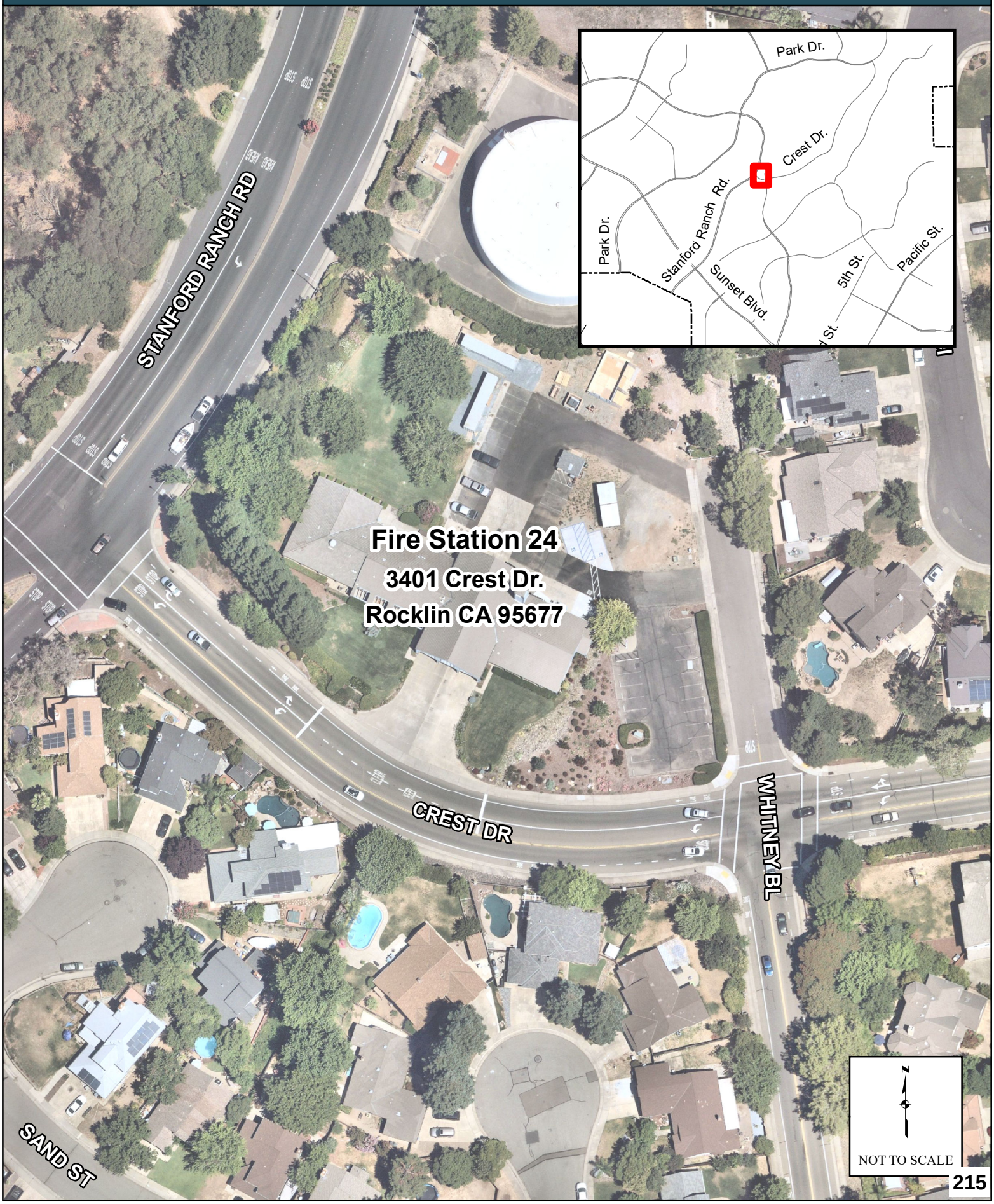
CERTIFICATE OF ACKNOWLEDGMENT pursuant to Civil Code, Section 1189, must be provided for Contractor's signature.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

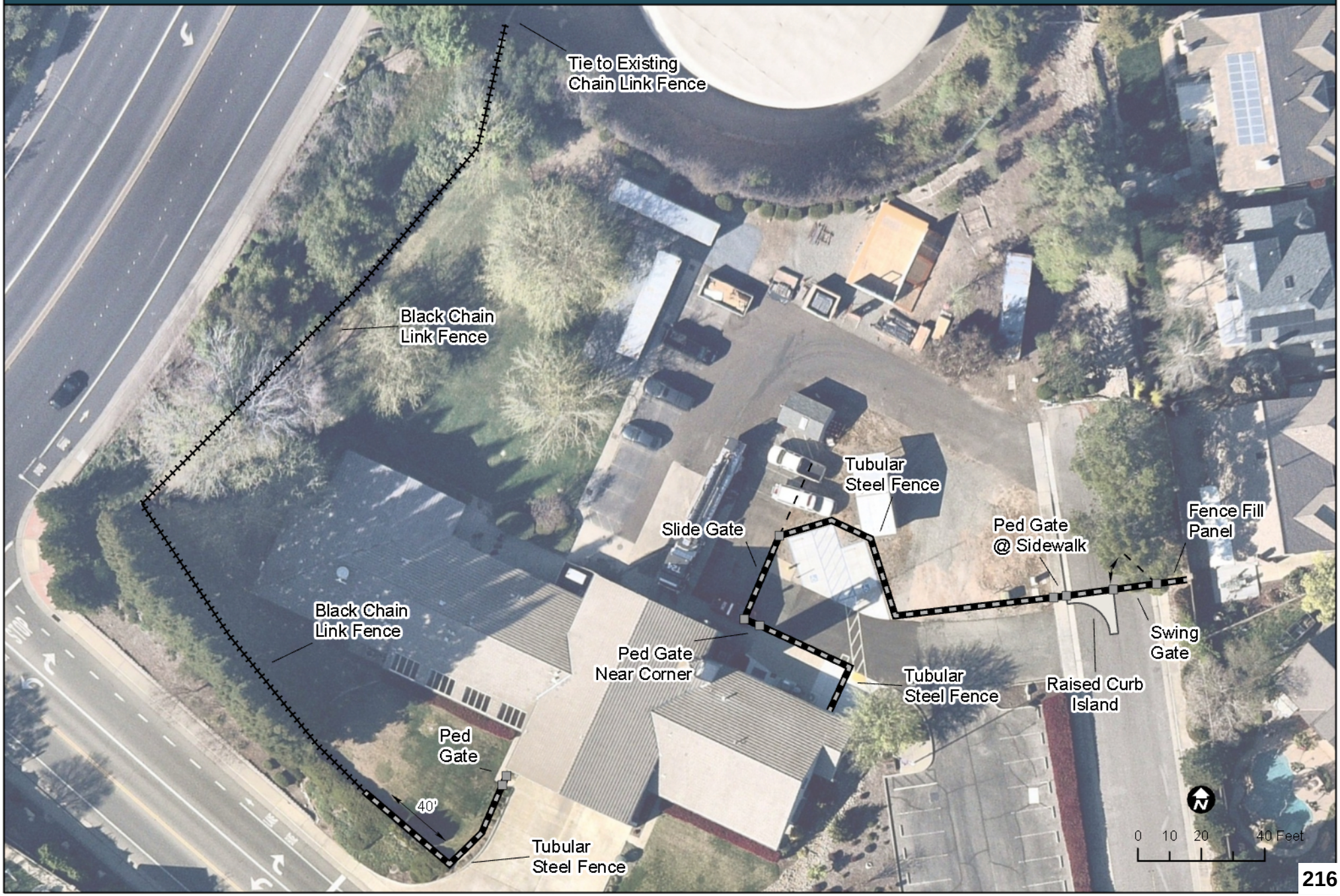
NOTARY TO ATTACH CURRENT ACKNOWLEDGMENT

Location Map

ROCKLIN
CALIFORNIA



Fence - Station 24



Location Map

ROCKLIN
CALIFORNIA



Fence - Station 25



CITY OF ROCKLIN BID SUMMARY

Project: Fire Station No. 24 & Fire Station No. 25 Security

Date: April 17, 2023 Time: 1 P.M.

Name/Address/Phone of Bidder	Bid Amount	Alt. Bid Amt	Addendum(s) Acknowledged	Bid Bond/ Cashier Check
PBM Construction Inc	$322,417		✓	✓
4500 Yankee Hill Ct., Suite A				
Rocklin CA 95677				
Pain Construction Inc.	$380,000		✓	✓
7212 8th St.				
Rio Linda, CA 95673				
Pisor Fence Division	$565,755		✓	✓
P.O. Box 7213				
Citrus Heights, CA 95621				
Swierstock Enterprise Inc.	$288,000		✓	✓
7030 Drywood Way				
Orangevale, CA 95662				

Finance

04/17/2023
Date

Haley Reid

4/17/2023
Date

VIA EMAIL – charles.noah@rocklin.ca.us

May 5, 2023

Andy Noah
City of Rocklin
3970 Rocklin Road
Rocklin, CA 95677

RE: BID ADVISORY

Bidder(s):	**Swierstok Enterprise Inc.**
	PBM Construction Inc.
	Paine Construction Inc.
Awarding Agency:	**City of Rocklin**
Project:	**Fire Station No. 24 & Fire Station No. 25 Security**
FFC Case No.:	**1571SAC**

Dear Mr. Noah:

The Foundation for Fair Contracting (FFC) is a nonprofit organization which has been serving the public interest since 1985. The objective of the FFC is to monitor compliance with prevailing wage and other public contracting laws pertaining to the public works construction industry. As part of this effort, we focus on informing and educating industry stakeholders when issues are identified. Unbalanced bids raise questions in regard to performance and compliance with the rules and regulations for the payment of prevailing wages, and the safety and well-being of the workforce. It further opens the question of excessive future change orders, the fairness to and rights of other bidders in the bidding process, and the intent of the competitive bidding process in general.

In deference to all bidders and in order for the public interest to best be served, please enter this formal bid advisory against the above-noted contractors as a matter of public record. We respectfully request that Swierstok Enterprise Inc. (Swierstok), PBM Construction Inc. (PBM), Paine Construction Inc. (Paine) bids be rejected for the following reasons:

- **FAILURE TO COMPLY WITH BID SPECIFICATIONS/UNFAIR COMPETITIVE ADVANTAGE**
  Swierstok, PBM, and Paine all submitted bids significantly below the Engineer's Estimate and in excess of 49%, 43%, and 32%, lower than all other bidders on this project, respectively. A large bid variance commonly indicates a failure to account for the proper classifications and prevailing wage rates – including travel and subsistence. If awarded the project, these contractors would need to submit change orders to complete the project in accordance with the specifications and/or compromise prevailing wage laws/standards. This gives Swierstok, PBM, and Paine an unfair advantage in their bidding practices against its competitors and puts the City of Rocklin into a precarious legal position.

- **FAILURE TO COMPLY WITH WORKFORCE DEVELOPMENT AND FORMAL APPRENTICESHIP PROGRAMS**
  Swierstok, PBM, and Paine have not made a good faith effort to participate and invest in Local Workforce Development, nor have they participated in local hiring of workers in the community through formal and recognized pre-apprenticeship programs and formal apprenticeship programs for specific apprenticeable crafts. They have failed to request, employ, train, and/or pay the proper prevailing wages to apprentices.

Please contact our office with questions, comments, or clarifications.

Sincerely,



Jesse Jimenez
Executive Director

Case: 1571SAC

cc: City of Rocklin – Mayor and Councilmembers
Ken Broadway – Email: ken.broadway@rocklin.ca.us
Greg Janda – Email: greg.janda@rocklin.ca.us
David Bass – Email: david.bass@rocklin.ca.us
Jill Gayaldo – Email: jill.gayaldo@rocklin.ca.us
Bill Halldin – Email: bill.halldin@rocklin.ca.us

CITY OF ROCKLIN BID SUMMARY

Project: Fire Station No. 24 & Fire Station No. 25 Security

Date: April 17, 2023 Time: 1 P.M.

Name/Address/Phone of Bidder	Bid Amount	Alt. Bid Amt	Addendum(s) Acknowledged	Bid Bond/ Cashier Check
PBM Construction Inc	$322,417		✓	✓
4500 Yankee Hill Ct., Suite A				
Rocklin CA 95677				
Pain Construction Inc.	$380,000		✓	✓
7212 8th St.				
Rio Linda, CA 95673				
Pisor Fence Division	$565,755		✓	✓
P.O. Box 7213				
Citrus Heights, CA 95621				
Swierstock Enterprise Inc.	$288,000		✓	✓
7030 Drywood Way				
Orangevale, CA 95662				

Finance

04/17/2023
Date

Haley Reid

4/17/2023
Date

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Department of California Highway Patrol Grant Award

Date: June 27, 2023

Submitted By: Karen Smith, Administrative Analyst

Department: Police

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Accepting the Department of California Highway Patrol Cannabis Tax Fund Grant and Authorizing the City Manager to Execute a Grant Agreement.

Background:
Like all communities, the City of Rocklin is impacted by driving under the influence (DUI) collisions and arrests, with arrests increasing by nearly 10% year-over-year in past three years. This data reflects that more education is needed to discourage drivers from engaging in the dangers of DUI.

The Rocklin Police Department has been awarded a $336,225 grant for education and enforcement of driving under the influence from the Department of California Highway Patrol (CHP). The grant award notice is included as Attachment 2. The primary focus is to prevent DUI and preserve human life. The Rocklin Police Department will provide education programs and conduct periodic enforcement operations across the community as it relates to the dangers of impaired driving in our community.

The goal is to educate the public, focusing on adolescents, on the dangers of driving under the influence, or being a passenger in a vehicle with a driver who is under the influence of drugs and/or alcohol. The award will be used to purchase pedal carts and goggles for simulations, public education supplies, and a vehicle/trailer to transport equipment and conduct enforcement activities. Police Officers trained as Drug Recognition Experts (DREs) will utilize DUI education pedal carts and goggles to simulate the effects of various levels of intoxication of alcohol and drugs on the human body. This education would be designed for middle school and high school aged students to deter future abuse of drugs, alcohol and DUI activity. The grant will also fund efforts to enforce violations of DUI laws by conducting DUI checkpoints and joint agency DUI saturation patrols.

The Department of California Highway Patrol requires the Rocklin City Council obtain an authorizing resolution prior to accepting the grant funding. The Grant Agreement is forthcoming from CHP.

Fiscal Impact:

The total grant award is $336,225 and funds will be spent in accordance to the rules of the grantor agency and program. The grant has no matching requirement. A budget amendment will be necessary to account for and administer the grant award in the Traffic Safety/PD Grants Fund (Fund 244) in the Fiscal Year 2023/24 Annual Budget.

ATTACHMENTS:

1. Resolution
2. Letter FY23-24 CTFGP Intent to Award Rocklin PD
3. 2023 Request for Application - Law Enforcement Small and Mid-Size

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
ACCEPTING THE DEPARTMENT OF CALIFORNIA HIGHWAY PATROL
CANNABIS TAX FUND GRANT AND AUTHORIZING THE CITY MANAGER TO EXECUTE A
GRANT AGREEMENT

WHEREAS, the Rocklin Police Department applied for and was awarded a one-year grant in the amount of three hundred thirty-six thousand two hundred twenty-five ($336,225) dollars, starting July 1, 2023 and concluding June 30, 2024; and

WHEREAS, the grant will fund partial personnel costs of police officers from the Rocklin Police Department to be assigned for enforcement and education of the dangers of impaired driving in our community, the purchase of a DUI Enforcement Trailer, and as public education equipment and supplies; and

WHEREAS, the Rocklin Police Department will be providing education and conducting periodic driving under the influence (DUI) enforcement across the city, as it relates to the increasing public awareness surrounding the dangers of impaired driving and reducing impaired driving accidents making our roadways a safer place to travel; and

WHEREAS, prior to execution of a grant agreement, the California Highway Patrol Cannabis Tax Fund Grant Program (CTFGP) mandates that the acceptance and receipt of CTFGP funding must be approved by the Rocklin City Council.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The City Council of the City of Rocklin hereby authorizes the City Manager to execute a Grant Agreement with the California Highway Patrol accepting the California Highway Patrol Cannabis Tax Fund Grant.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____

Hope Ithurburn, City Clerk

DEPARTMENT OF CALIFORNIA HIGHWAY PATROL
601 North 7th Street
Sacramento, CA 95811
(916) 843-3330
(800) 735-2929 (TT/TDD)
(800) 735-2922 (Voice)

June 7, 2023

File No.: 060.15426.14597.066.2023.06004

Officer Alex Flanders
Rocklin Police Department
4080 Rocklin Road
Rocklin, CA 95677

Dear Officer Flanders:

On behalf of the California Highway Patrol (CHP), it is my pleasure to inform you, the Rocklin Police Department, is conditionally approved for Cannabis Tax Fund Grant Program (CTFGP) funding in the amount of $336,225.00. The purpose of this grant funding is to help your agency reduce and mitigate the impacts of impaired driving in your community.

The official Grant Agreement for signature is forthcoming. In order to execute your Grant Agreement, please provide documentation from a local governing body, authorizing your organization to receive this grant funding, to the Cannabis Grants Unit, by email at CGUGrants@chp.ca.gov, as soon as possible. Refer to California Code of Regulations Title 13, Division 2, Chapter 13, Section 1890.13(g) for additional information.

The CHP looks forward to partnering with you and your agency on this project in an effort to make California's roadways a safer place to travel. If you have any questions, please feel free to contact the Cannabis Grants Unit at (916) 843-4360.

Sincerely,

K. M. DAVIS, Chief
Enforcement and Planning Division



CANNABIS TAX FUND GRANT PROGRAM
REQUEST FOR APPLICATION

Opportunity
Law Enforcement

Eligible Applicants
Small and Mid-Size Law Enforcement Organizations/Agencies

Project Performance Period
July 1, 2023 through June 30, 2024

This Request for Application (RFA) provides specific Grant Opportunity information for the Cannabis Tax Fund Grant Program (CTFGP) 2023/2024 Grant Cycle, including important deadlines and details. To ensure all eligibility requirements are met for successful participation in the CTFGP, please review the **California Code of Regulations (Title 13, Division 2, Chapter 13) and other Grant Program information posted on the California Highway Patrol's (CHP) Web site.**

Important Dates

Activity	Date
Grant Workshop	January 5, 2023
Grant Application Submission Period	January 6, 2023 – February 23, 2023
Grant Application Submission Deadline	February 23, 2023, 5:00pm Pacific Time
Grant Application Review	February – May 2023
Award Announcements	May – June 2023
Start of Project Performance Period	July 1, 2023

Funding Requirements

A Grant Applicant may only submit one Grant Application per Grant Opportunity and shall only request the amount of grant funds necessary to complete the project within the Project Performance Period.

Grant funds shall be used to implement new activities or augment existing funds, which expand current activities. Grant funds shall not replace (supplant) any federal, state and/or local funds that are appropriated or earmarked for the same purpose and are routine and/or existing state and local expenditures. Supplanting is the deliberate reduction in the amount of federal, state, or local funding appropriated to an existing program or activity because grant funds are awarded for the same purpose. It is the responsibility of the Grant Applicant to ensure supplanting does not occur.

Match Requirements

This Grant Opportunity does not require matching funds.

Project Costs

Estimated project costs shall support grant-funded project activities that help address impaired driving and are directly related to the scope of work identified in the Grant Application. To be permissible, project costs must be reasonable, necessary, and expended according to the CTFGP policies, procedures, and regulations. Projects are required to have a realistic and prudent budget that avoids unnecessary expenditures unrelated to the project activities. Final determination of eligibility will be made by the Cannabis Grants Unit (CGU) and approved within the Grant Agreement. Please refer to Annex A for ineligible expenses.

The information below includes the approximate grant funding amount, project requirements, and project cost guidelines.

Project Performance Period

One Year (July 1, 2023 through June 30, 2024)

Eligible Applicants

Small and Mid-Size Law Enforcement Organizations/Agencies, with the primary function of enforcing traffic laws, which includes responding to calls, making arrests, and issuing citations, pursuant to the California Penal Code, California Vehicle Code, and California Health and Safety Code

Small-Size Law Enforcement Organizations/Agencies: 100 employees or less
Mid-Size Law Enforcement Organizations/Agencies: 500 employees or less

Project Funding

Available project funding is approximately $13 million, with a $500,000 cap per Grant Application. Grant Applicant must provide strong justification, measurable goals, and an immediate need for all requested budget items as part of the project.

Project Requirements

Requested services, equipment, and supplies shall be in direct support of grant-funded project activities. Law Enforcement projects shall include at least one activity and focus on one or more of the following project activities:

- Increase driving under the influence (DUI)/driving under the influence of drugs (DUID) enforcement efforts (ex: DUI Saturation Patrols, DUI Checkpoints)
- Drug Evaluation and Classification (DEC) courses (ex: SFST Training, ARIDE Training, DRE Training), and other approved trainings to help increase the knowledge of law enforcement personnel in detecting and removing impaired drivers from the roadway
- General prevention education and community outreach designed to educate the public about the dangers of impaired driving (ex: presentations to high school and/or college students, with a direct focus on Drive High = DUI, Designate a Sober Driver, or another campaign message against impaired driving)

If the project includes limited, education-based activities, in conjunction with other enforcement-related activities/efforts, then Law Enforcement Organizations/Agencies should apply for this Law Enforcement Grant Opportunity.

If the project, in its entirety, solely focuses on an innovative, education-based program for local communities, then Law Enforcement Organizations/Agencies should apply for the Education Grant Opportunity.

Eligible Project Cost Categories

Personnel: Costs may include compensation for salaries and fringe benefits, such as annual leave and sick leave, for work directly related to the project. Personnel salaries must be consistent with project activities. To be eligible for reimbursement, Personnel Services must occur within the Project Performance Period.

Eligible expenses may include, but are not limited to:

- Hours conducted on project activities above and beyond normal work duties, including DUI Saturation Patrols and DUI Checkpoints
- Attend or instruct approved trainings related to impaired driving
- Backfill for staff attending approved trainings

Travel: Costs may include travel associated with approved in-state and out-of-state project-related conferences, seminars, workshops, and trainings. The most economical mode of travel, in terms of direct expenses to the project, must be used. To be eligible for reimbursement, Travel must occur within the Project Performance Period. Out-of-state travel is only allowed in exceptional situations. For additional information on travel restrictions, please refer to https://oag.ca.gov/ab1887.

Eligible expenses may include, but are not limited to:

- Mileage (based on current State rate) or fuel expenses
- Hotel accommodations
- Commercial airline fares
- Parking fees
- Bridge tolls and/or taxi or bus fares

Equipment: Costs may include non-expendable, tangible, personal property having a normal useful life of more than one year, and an acquisition cost of at least $5,000 (ex: four identical assets, which cost $1,250 each, for a total of $5,000, are not considered an equipment purchase). Equipment shall be directly related and necessary to complete grant-funded activities included in the project. Equipment should be purchased at the beginning of the Project Performance Period to ensure it is utilized when completing corresponding project activities. To be eligible for reimbursement, equipment must be purchased and received within the Project Performance Period.

Consultants/Contracts: Costs may include professional services necessary to carry out the project goals and deliverables that are clearly outlined in the Grant Application. A copy of the contract and/or scope of work must be submitted to and approved by the CGU prior to commencement of work. To be eligible for reimbursement, Consultants/Contracts Services must occur within the Project Performance Period.

Other Direct Costs: Costs may include operational costs. Other Direct Costs shall be directly related and necessary to complete grant-funded activities included in the project. Other Direct Costs should be purchased at the beginning of the Project Performance Period to ensure they are utilized when completing corresponding project activities. To be eligible for reimbursement, Other Direct Costs must be purchased and received within the Project Performance Period.

Eligible expenses may include, but are not limited to:

- Equipment with an acquisition cost under $5,000 (ex: Preliminary Alcohol Screening [PAS] devices)
- Registration Fees for approved conferences
- Educational/outreach materials (ex: brochures, pamphlets)
- Social media costs

Additional Information
References: • Revenue and Taxation Code Section 34019 • California Code of Regulations, Title 13, Division 2, Chapter 13 For additional questions, please contact the Cannabis Grants Unit at (916) 843-4360 or via email at CGUGrants@chp.ca.gov.

ANNEX A

Ineligible Expenses for Law Enforcement Grant Opportunity

Items
All Weapons
Consoles, Cages
Entertainment
Facility Rental
Food During Enforcement Efforts (ex: DUI Checkpoint)
Frozen Positions
Full Salary Positions
Gifts
Highway Construction, Maintenance, Design
Land
Law Enforcement Items (Spike Strips, Sirens, Station-Related Software, License Plate Readers)
Liability Insurance
Lobbying Costs
Office Furniture and Fixtures
Overhead Costs (ex: Microsoft Office Software, Cellular Plans, Vehicle Insurance and Maintenance)
Supplanting
SWAT/Armored Vehicles
Traffic Investigation Equipment
Uniforms
Utilities

The items listed above are provided as examples and do not constitute all ineligible costs

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Objective Design Standards / Zoning Ordinance Amendment, ZOA2023-0001 (Second Reading)

Date: June 27, 2023

Submitted By: David Mohlenbrok, Director of Community Development
Bret Finning, Planning Services Manager

Department: Community Development

Recommendation:
Adopt an Ordinance of the City Council of the City of Rocklin to Amend Section 17.72.020 of the Rocklin Municipal Code to Address Objective Design Standards (Objective Design Standards / ZOA2023-0001).

Background:
On June 13, 2023, the City Council considered the adoption of an amendment to the Rocklin Municipal Code to address Objective Design Standards (ZOA2023-0001) and approval of a design review entitlement to establish city-wide objective design standards and standard conditions of approval for qualifying residential projects (DR2023-0007). There being no public comments and with no discussion or deliberations, the City Council voted 4-0 (one member absent) to introduce, waive the full reading and read by title only, an ordinance of the City Council of the City of Rocklin amending Section 17.72.020 of the Rocklin Municipal Code to address objective design standards. To confirm its adoption and passage, the City Council would need to approve the second reading (title only) of the ordinance.

For further information regarding project details, see the June 13, 2023 City Council Staff Report for this project (Attachment 2).

Applicant:

The project applicant is the City of Rocklin.

ATTACHMENTS:
1. Ordinance
2. Staff Report - June 13, 2023

ORDINANCE NO.

ORDINANCE OF THE CITY COUNCIL OF THE CITY OF ROCKLIN TO AMEND SECTION 17.72.020 OF THE ROCKLIN MUNICIPAL CODE TO ADDRESS OBJECTIVE DESIGN STANDARDS
(OBJECTIVE DESIGN STANDARDS / ZOA2023-0001)

The City Council of the City of Rocklin does ordain as follows:

Section 1. Purpose. The purpose of this ordinance is to amend the Rocklin Municipal Code (RMC) to address the State requirement for, and allow the City Council via resolution to adopt, Objective Design Standards and standard conditions of approval that would be applicable to qualifying projects.

Section 2. Authority. The City Council enacts this ordinance under the authority granted to cities by Article XI, Section 7 of the California Constitution and Government Code section 37100.

Section 3. Amendment to Code. Section 17.72.020 B of the Rocklin Municipal Code is hereby amended to read as follows:

B. Notwithstanding subsection A, the following shall not be subject to design review unless otherwise specified by the city council:

1. All new single-family residential units on lots greater than six thousand square feet in area that are not located within the University, Quarry, Granite, and College Architectural Districts.
2. All new single-family residential units on lots less than six thousand square feet in area that are located in the R1-5 zone district and are not located within the University, Quarry, Granite, and College Architectural Districts, regardless of lot size.
3. General maintenance of existing structures, parking lots, and landscaping which do not require permits or substantively deviate from a prior design review approval.
4. Repainting where the color of the paint substantially complies with the approved paint color, unless specifically stated in the design review approval.
5. Repair, cleaning, or refurbishing of an existing permanent building, structure, or sign.
6. Temporary signs.
7. Resurfacing and/or re-striping of existing paved parking lot areas. However, property owners are urged to ensure that such activities are completed in a manner that conforms to all applicable accessibility requirements.

8. Qualified housing projects, as defined in a) below, which elect to utilize adopted Objective Design Standards through a ministerial building permit process in lieu of the City's discretionary Design Review Permit process are subject only to ministerial review by City staff; said Objective Design Standards and accompanying Standard Conditions of Approval shall be adopted by resolution of the City Council.

   a) Qualified housing projects: A qualified affordable housing project is defined as either: i) a residential multi-unit (three or more units) mixed use housing project that provides for a minimum of 20 percent of the units as affordable for low, very-low, or extremely low income households; complies with the City's Objective Design Standards; and agrees to enter into an Affordable Housing Agreement prior to issuance of building permits; or ii) a residential project consistent with Government Code Section 65913.4, as it now exists or may hereafter be amended.

Section 4. Severability. If any section, sub-section, sentence, clause, phrase or portion of this ordinance is for any reason held to be invalid or unconstitutional by the decision of any court of competent jurisdiction, such decision shall not affect the validity of the remaining portions of this ordinance. The City Council hereby declares that it would have adopted this ordinance and each section, sub-section, sentence, clause, phrase or portion thereof, irrespective of the fact that any one or more sections, sub-sections, sentences, clauses, phrases or portions to be declared invalid or unconstitutional.

Section 5. The proposed Objective Design Standards and Standard Conditions of Approval project was analyzed as required by the California Environmental Quality Act (CEQA) in consideration of the Rocklin General Plan Update Environmental Impact Report, approved and certified by City Council Resolution No. 2012-170. Pursuant to Section 15162 of the CEQA Guidelines, no further environmental review of the Objective Design Standards and Standard Conditions of Approval project is required, nor should be conducted, since the project is within the scope of the previously approved and certified Environmental Impact Report, which adequately describes these activities for purposes of CEQA for the following reasons:

A. No substantial changes are proposed in the project which will require major revisions of the previous Environmental Impact Report due to the involvement of new significant environmental effects or a substantial increase in the severity of previously identified significant effects;

B. No substantial changes occur with respect to the circumstances under which the project will be undertaken which will require major revisions of the previous Environmental Impact Report due to the involvement of new significant environmental effects or a substantial increase in the severity of previously identified significant effects; or

C. No new information of substantial importance, which was not known and could not have been known with the exercise of reasonable diligence at the time the previous Environmental Impact Report was adopted, shows any of the following:

i) The project will have one or more significant effects not discussed in the previous Environmental Impact Report;

ii) Significant effects previously examined will be substantially more severe than shown in the previous Environmental Impact Report;

iii) Mitigation measures or alternatives previously found not to be feasible would in fact be feasible and would substantially reduce one or more significant effects of the project, but the project proponents decline to adopt the mitigation measure or alternative; or

iv) Mitigation measures or alternatives which are considerably different from those analyzed in the previous Environmental Impact Report would substantially reduce one or more significant effects on the environment, but the project proponents declined to adopt the mitigation measure or alternative.

Section 6. Effective Date. This ordinance shall take effect thirty (30) days after the day of its adoption.

Section 7. Publication. Within fifteen days of passage of this ordinance, the City Clerk shall cause the full text of the ordinance, with the names of those City Council members voting for and against the ordinance, to be published in the Placer Herald. In lieu of publishing the full text of the ordinance, the City Clerk, if so directed by the City Attorney and within fifteen days, shall cause a summary of the ordinance, prepared by the City Attorney and with the names of the City Council members voting for and against the ordinance, to be published in the Placer Herald, and shall post in the office of the City Clerk a certified copy of the City Council members voting for and against the ordinance. The publication of a summary of the ordinance in lieu of the full text of the ordinance is authorized only where the requirements of Government Code section 36933(c)(1) are met.

INTRODUCED at a regular meeting of the City Council of the City of Rocklin held on June 13, 2023, by the following vote:

AYES:	Councilmembers:	Bass, Broadway, Gayaldo, Halldin
NOES:	Councilmembers:	None
ABSENT:	Councilmembers:	Janda
ABSTAIN:	Councilmembers:	None

PASSED AND ADOPTED at a regular meeting of the City Council of the City of Rocklin held on _____, 2023, by the following vote:

AYES: Councilmembers:

NOES: Councilmembers:

ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

First Reading: 06/13/2023

Second Reading: 06/27/2023

Effective Date: 07/27/2023

ROCKLIN
CALIFORNIA

City Council
Staff Report

Subject: Objective Design Standards / Zoning Ordinance Amendment, ZOA2023-0001 and Design Review, DR2023-0007

Date: June 13, 2023

Submitted By: David Mohlenbrok, Director of Community Development
Bret Finning, Planning Services Manager

Department: Community Development

Recommendation:

A. Conduct a Public Hearing to Consider Testimony.
B. Introduce, Waive the Full Reading and Read by Title Only an Ordinance of the City Council of the City of Rocklin to Amend Section 17.72.020 of the Rocklin Municipal Code to Address Objective Design Standards (Objective Design Standards / ZOA2023-0001).
C. Adopt a Resolution of the City Council of the City of Rocklin Approving a Design Review to Establish City-Wide Objective Design Standards and Standard Conditions of Approval For Qualifying Residential Projects (Citywide Residential Objective Design Standards and Standard Conditions of Approval (DR2023-0007).

Background:

As the entitlement request includes an Ordinance amendment, a two-meeting process is required. At the first meeting the City Council shall conduct a public hearing, complete deliberation, provide staff with direction (if appropriate) as to any desired modification(s) to the draft entitlement documents and, if approving, introduce the ordinance and continue the item to the next feasible City Council meeting as indicated below:

The Planning Commission and Staff recommend the following:

June 13, 2023 (first reading of the ordinance and final action on all project entitlements)

Move to Introduce, Waive the Full Reading and Read by Title Only an Ordinance of the City Council of the City of Rocklin to Amend Section 17.72.020 of the Rocklin Municipal Code to Address Objective Design Standards (Objective Design Standards / ZOA2023-0001).

Adopt a Resolution of the City Council of the City of Rocklin Approving a Design Review to Establish City-Wide Objective Design Standards and Standard Conditions of Approval For Qualifying Residential Projects (Citywide Residential Objective Design Standards and Standard Conditions of Approval (DR2023-0007).

June 27, 2023 (final action on Ordinance)

Move to Adopt an Ordinance of the City Council of the City of Rocklin to Amend Section 17.72.020 of the Rocklin Municipal Code to Address Objective Design Standards (Objective Design Standards / ZOA2023-0001).

Proposal:
This proposal is to modify the Rocklin Municipal Code (RMC) to clearly address and authorize adoption and potential later modification of Objective Design Standards and standard conditions of approval that would be applicable to qualifying residential projects in all areas of the City, and to adopt said standards.

Proponent:
The proposed Zoning Ordinance Amendment, Objective Design Standards, and Standard Conditions of Approval was initiated by the City of Rocklin.

Location:
The proposed Zoning Ordinance Amendment, Objective Design Standards, and Standard Conditions of Approval and would apply Citywide.

Summary of Planning Commission Hearing and Action:
On May 16, 2023, the Planning Commission considered the proposed Zoning Ordinance Amendment, Objective Design Standards, and Standard Conditions of Approval. Following Staff's presentation, the Planning Commission asked questions to clarify the need for, and intent of the standards. The Planning Commission then opened the hearing for public input, and there being no one in the audience or on line who wished to comment, then closed the public comment period.

The Planning Commission then voted unanimously 4 to 0 (one Commissioner absent) to recommend approval of the project to the City Council.

Background / Analysis:
Several years ago, the State adopted Senate Bill (SB) 35, codified in Government Code section 65913.4, in an attempt to address the state's housing shortage and high housing costs. The intent of the legislation is to facilitate and expedite the construction of housing. Specifically, it requires the availability of a streamlined ministerial approval process for residential developments. Qualifying housing projects must be approved administratively subject only to "Objective Design Standards", defined as standards that involve no personal or subjective judgment by a public official, and are uniformly verifiable by reference to an external and uniform bench mark or criterion available and knowable by both the development applicant or proponent and public official before submittal of an application. With few exceptions, cities and counties may not deny a qualified housing project if it complies with applicable, objective general plan, zoning, and other development standards and criteria, including objective design review standards.

Additionally, Program 18, Development Streamlining, in the City of Rocklin 2021-2029 Housing Element, established an objective for the City to adopt policies and procedures as appropriate, to enact the SB 35 streamlining approval process and standards for eligible projects, as set forth under California Government Code, Section 65913.4.

Use of the ministerial process is currently elective, meaning that applicants of qualified housing projects may use either the ministerial process or the City's standard Design Review process. The scope of projects that could qualify for use of these standards started as projects that were affordable, but has been evolving, via new legislation and court actions, to be steadily broader and more inclusive. It is very possible that this requirement could be extended to the approval of all residential projects, regardless of affordability.

Rocklin's existing standards (Design Guidelines and criteria, and, Architectural Districts) were drafted with largely subjective standards. Additionally, many of the other development standards and requirements relative to grading, drainage, noise, etc. are applied as conditions of approval. However, as noted, under the provisions of SB 35, the City does not have the opportunity for a discretionary approval wherein conditions of approval could be applied to a project. In the event that a qualifying housing project came forward today, the City would have minimal development criteria to hold it to, beyond the basic zoning standards of building height, setback and lot coverage.

To best comply with this legislation, Citywide Objective Design Standards and Standard Conditions of Approval have been drafted that would apply to all qualifying housing projects electing to use the ministerial approval process. The Objective Design Standards were developed by converting, where possible, the City's existing standards to be objective rather than subjective. Likewise, a standard set of conditions of approval has been developed to accompany the Objective Standards. If approved by the City Council, qualifying housing projects that elected to utilize this approval method would be required to comply with the adopted Objective Design Standards and associated standard conditions of approval to ensure that those projects meet at least the minimum design standards established by the City Council.

The Planning Commission and Staff recommend that the City Council approve an amendment to Rocklin Municipal Code (RMC) Section 17.72.020 *Design Review – When Required* to add language addressing the use of Objective Design Standards and providing for adoption, by resolution, of Objective Design Standards and standard conditions of approval. Specific proposed language can be found in strike out / underline format in Attachment 1. The advantage of having the Objective Design Standards and standard conditions of approval be adopted by resolution is that it allows for more nimble revisions and updates, if and when they become necessary, as Staff finds what is working and what is not, and also as necessary to respond to evolving State regulations.

Environmental Analysis:

An Environmental Impact Report for the 2012 Rocklin General Plan Update was approved and certified by the Rocklin City Council via Resolution No. 2012-170, on October 9, 2012. The proposed changes to the RMC, adoption of Objective Design Standards, and adoption of standard conditions of approval do not result in any uses of land or environmental impacts beyond those that were previously identified and therefore, the changes to the RMC, adoption of Objective Design Standards, and adoption of standard conditions of approval can rely upon the previously approved and certified 2012 Rocklin General Plan Update Environmental Impact Report.

ATTACHMENTS:

1. RMC Section 17.72.020 Design Review - When Required, with proposed revisions in strike out and underline format

~~2. Ordinance - Amending RMC Section 17.72.020~~

~~3. Resolution - Objective Design & Design Review~~

ATTACHMENT A

Proposed revisions to Rocklin Municipal Code (RMC) Section 17.72.020 B

17.72.020 Design review—When required.

B. Notwithstanding subsection A, the following shall not be subject to design review unless otherwise specified by the city council:

1. All new single-family residential units on lots greater than six thousand square feet in area that are not located within the University, Quarry, Granite, and College Architectural Districts.

2. All new single-family residential units on lots less than six thousand square feet in area that are located in the R1-5 zone district and are not located within the University, Quarry, Granite, and College Architectural Districts, regardless of lot size.

3. General maintenance of existing structures, parking lots, and landscaping which do not require permits or substantively deviate from a prior design review approval.

4. Repainting where the color of the paint substantially complies with the approved paint color, unless specifically stated in the design review approval.

5. Repair, cleaning, or refurbishing of an existing permanent building, structure, or sign.

6. Temporary signs.

7. Resurfacing and/or re-striping of existing paved parking lot areas. However, property owners are urged to ensure that such activities are completed in a manner that conforms to all applicable accessibility requirements.

8. Qualified housing projects, as defined in a) below, which elect to utilize adopted Objective Design Standards through a ministerial building permit process in lieu of the City's discretionary Design Review Permit process are subject only to ministerial review by City staff; said Objective Design Standards and accompanying Standard Conditions of Approval shall be adopted by resolution of the City Council.

   a) Qualified housing projects: A qualified affordable housing project is defined as either: i) a residential multi-unit (three or more units) mixed use housing project that provides for a minimum of 20 percent of the units as affordable for low, very-low, or extremely low income households; complies with the City's Objective Design Standards; and agrees to enter into an Affordable Housing Agreement prior to issuance of building permits; or ii) a residential project consistent with Government Code Section 65913.4, as it now exists or may hereafter be amended.

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Grant Agreement with The Gathering Inn for Homelessness Prevention and Rapid Rehousing Services (FY 2023/24)

Date: June 27, 2023

Submitted By: David Mohlenbrok, Director of Community Development
Laura Webster, Director of Long Range Planning & Housing

Department: Community Development

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Authorizing the City Manager to Execute a Grant Agreement with The Gathering Inn for Homelessness Prevention and Rapid Rehousing Services (FY 2023/24).

Background:
The City of Rocklin has provided annual funding to The Gathering Inn (TGI) over the course of many years. Initial funding for TGI was through the City's Community Development Block Grant (CDBG) Program. However, since the amount of funding available from that source is extremely limited for services, the City has gradually transitioned TGI to other sources. Typical funding requests in 2018 and 2019 have been for $50,000 per year, which has been budgeted and paid most recently from the City's Low Moderate Income Housing Asset (LMIHA) Fund. Due to increased demand for homeless related services, TGI requested funding in the amount of $60,000 for these activities in fiscal years (FYs) 2020/21, 2021/22 and 2022/23.

For FY 2023/24, a total of $65,000 was requested. The funding amount is anticipated to be approved as part of the City's FY 2023/24 Budget. City Council approval is required for all contracts that exceed $50,000.

The Gathering Inn provides a variety of services and activities for the homeless in Rocklin and throughout Placer County. Expenditure of these funds is required to benefit Rocklin homeless and residents in Rocklin under the threat of homelessness through Homelessness Prevention Rapid Rehousing (HPRR) activities.

The Gathering Inn will use the funds only to provide the services contemplated by Health and Safety Code section 34176.1. As noted in the attached proposed grant agreement, TGI will specifically support direct services program staff including associated taxes and benefits for the Housing Specialist who maintains a list of housing options, manages HPRR funds, coordinates interviews with landlords, and accompanies guests on housing inspections prior to

move-in. In addition, funds will be used for Rapid Rehousing to support security and utility deposits; application fees; acquiring required documentation; and landlord incentives.

Fiscal Impact:
The total cost associated with this grant agreement is not to exceed $65,000.

Consistent with prior years, the majority of the funds ($48,000) will be made available to TGI upon City Council approval of the contract and execution of the agreement by both parties. The remaining $17,000 will be issued to TGI following the submittal of required Annual Reports following the end of the 2023/24 fiscal year.

Adequate funds to cover this item are included in the City's proposed FY 2023/24 Budget in the Low Moderate Income Housing Asset Fund.

ATTACHMENTS:
1. Resolution
2. Resolution Exhibit A - Grant Agreement
3. Resolution Exhibit 1 to Exhibit A - Accomplish Data

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN AUTHORIZING THE CITY MANAGER TO EXECUTE A GRANT AGREEMENT WITH THE GATHERING INN FOR HOMELESSNESS PREVENTION AND RAPID REHOUSING SERVICES (FY 2023/24)

WHEREAS, the City, as the housing successor to a redevelopment agency, is authorized to expend funds for homeless prevention and rapid rehousing services for individuals and families who are homeless, or would be homeless but for this assistance, under California Health and Safety Code section 34176.1; and,

WHEREAS, the Grantee is a 501(c)(3) non-profit charity that provides services to the homeless in Rocklin, such as sheltering, employment services, case management, substance abuse recovery programs, and life skills training; and,

WHEREAS, the Grantee performs the homeless prevention, assistance and rapid rehousing services contemplated under Health and Safety Code section 34176.1; and,

WHEREAS, the City desires to allocate a portion of its authorized Low and Moderate Income Housing Asset Funds to the Grantee for the purposes of providing the services contemplated under the statute.

NOW THEREFORE BE IT RESOLVED BY the City Council of the City of Rocklin as follows:

Section 1. The City Council of the City of Rocklin hereby authorizes the City Manager to execute a Grant Agreement with The Gathering Inn to perform services associated with Homelessness Prevention and Rapid Rehousing as described in the agreement attached to hereto as Exhibit A.

PASSED AND ADOPTED ON June 27, 2023, by the City Council of the City of Rocklin by the following vote:

AYES: Councilmembers:

NOES: Councilmembers:

ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A

GRANT AGREEMENT BETWEEN
THE CITY OF ROCKLIN AND
THE GATHERING INN
(LMIHA FUNDS FY 23/24)

EXHIBIT A

GRANT AGREEMENT
between
THE CITY OF ROCKLIN AND
THE GATHERING INN
(LMIHA FUNDS FY 23/24)

This Grant Agreement (hereinafter referred to as the "Agreement') is entered into on June 27, 2023, by and between the City of Rocklin (hereinafter referred to as the "City"), and the Gathering Inn, a 501(c)(3) non-profit charity, (EIN: 84-1657746) domiciled in California (hereinafter referred to as the "Grantee"). The City and the Grantee may be referred to individually as "Party" or collectively as the "Parties."

WITNESSETH

WHEREAS, the City, as the housing successor to a redevelopment agency, is authorized to expend funds for homeless prevention and rapid rehousing services for individuals and families who are homeless, or would be homeless but for this assistance, under California Health and Safety Code section 34176.1; and,

WHEREAS, the Grantee is a 501(c)(3) non-profit charity that provides services to the homeless in Rocklin, such as sheltering, employment services, case management, substance abuse recovery programs, and life skills training; and,

WHEREAS, the Grantee performs the homeless prevention, assistance and rapid rehousing services contemplated under Health and Safety Code section 34176.1; and,

WHEREAS, the City desires to allocate a portion of its authorized funds to the Grantee for the purposes of providing the services contemplated under the statute.

TERMS

NOW, THEREFORE, in consideration of the mutual promises and terms set forth herein, the City and the Grantee agree as follows:

1. Term. The term of this Agreement (the "Term") shall begin on the date listed above and continue for one year thereafter.

2. Grant. In consideration for the Services, the City shall convey to the Grantee the total amount of $65,000 (the "Funds") over the course of the 2023/2024 fiscal year. The Funds shall be conveyed to Grantee in two installments: the first installment of $48,000 shall be conveyed to the Grantee following execution of this agreement. The second and last installment of $17,000 shall be conveyed to the Grantee immediately following receipt of the 2023/2024 annual reports, as set forth in Section 4 of this agreement, but not sooner than June 30, 2024. If annual reports are

not received by the City on or before July 31, 2024, Grantee shall not be eligible for and shall not receive the second installment of $17,000.

3. Services. The Grantee will use the Funds only to provide the services to homeless persons or persons who would be homeless but for the services contemplated by Health and Safety Code section 34176.1. Specifically, support direct services program staff including associated taxes and benefits for the Housing Specialist who maintains a list of housing options, manages HPRR funds, coordinates interviews with landlords, and accompanies guests on housing inspections prior to move-in. In addition, funds will be used for Rapid Rehousing to support security and utility deposits; application fees; acquiring required documentation; and landlord incentives.

4. Reporting. The Grantee must complete and submit a report documenting the accomplishments of overall HPRR program activities and outcomes for the time period of July 2023 through June 2024 (the "Report"). The Report shall be in substantial conformance with the sample documents attached hereto as Exhibit 1 to the City. The Report shall be due to the City by July 31, 2024.

5. Termination.

(a) In the event that the City no longer receives funding as a housing successor pursuant to Health and Safety Code section 34176.1, the City may immediately terminate this Agreement in its discretion.

(b) **If,** through any cause, the Grantee fails to fulfill in a timely and proper manner its obligations under this agreement, or if the Grantee violates any of the covenants, requirements or stipulations of this Agreement, including, but not limited to, the expenditure of the Funds for purposes not specified in Section 3, or the failure to submit the documentation log described in Section 4, the City will thereupon have the right to terminate this Agreement by giving at least five (5) days written notice to the Grantee of such termination, specifying the effective date of such termination. The Grantee will not be relieved of liability to the City for damages sustained by the City or others by virtue of any breach of this Agreement by the Grantee.

6. Independent Entities. The Grantee, and the agents or employees of the Grantee, shall act in an independent capacity and not as officers, employees or agents of the City in performance of this Agreement.

7. Indemnification. The Grantee shall indemnify, hold harmless, and defend the City, each of its officers, members, officials, employees, agents and volunteers from any and all loss, liability, fines, penalties, forfeitures, costs and damages (whether in contract, tort or strict liability, including but not limited to personal injury, death at any time and property damage) incurred by the Grantee, the City or any other person, and from any and all claims, demands and actions in law or equity (including attorney's fees and litigation expenses), which shall in any way arise out of or be connected with the Grantee's operation hereunder and pursuant to this Agreement, unless damage, loss, injury, or death is caused by the gross negligence or willful misconduct of the City.

8. Insurance. During the term of this Agreement, the Grantee shall maintain in full force and effect the following policies of insurance: Commercial General Liability, Worker's Compensation, and Automobile liability (including endorsements for owned, hired, and non-owned vehicles) as specified in Exhibit INS-A.

9. Notices. Any notice required or intended to be given to either Party under the terms of this Agreement shall be in writing and shall be deemed to be duly given if delivered by Registered, Certified, or Return Receipt Requested mail, with postage prepaid, addressed to the Party to which notice is to be given at the Party's address below. Notice may also be given by personal delivery or by facsimile with proof of transmission.

To City:
David Mohlenbrok, Director
Community Development
3970 Rocklin Road
Rocklin, CA 95677

To Grantee:
The Gathering Inn
Rolande Tellier, Chief Operating Officer
201 Berkeley Avenue
Roseville, CA 95678

10. Venue. Any action arising out of this Agreement shall be brought in Placer County Court in California, regardless of where else venue may lie.

11. Effective Date. This Agreement shall take effect upon the approval of both governing bodies of the Parties hereto.

12. Binding. This Agreement shall be binding upon, and shall inure to the benefit of, the Parties and each Party's respective heirs, successors, assigns, transferees, agents, servants, employees and representatives.

13. Assignment. Grantee may not assign or transfer, by operation of law or otherwise, all or any of its rights or obligations under this Agreement without the prior written consent of the City.

14. Waiver. The waiver by either Party of a breach by the other of any provision of this Agreement shall not constitute a continuing waiver or waiver of any subsequent breach of either the same or a different provision of this Agreement. No provisions of this Agreement may be waived unless in writing and signed by all Parties to this Agreement. Waiver of any one provision herein shall not be deemed to be a waiver of any other provision herein.

15. Headings. The section headings in this Agreement are for convenience and reference only and shall not be construed or held in any way to explain, modify or add to the interpretation or meaning of the provisions of this Agreement.

16. Severability. If any provision of this Agreement shall be held invalid or unenforceable by a court of competent jurisdiction, such holding shall not invalidate or render unenforceable any other provision of this Agreement.

17. No Third Party Beneficiaries. The rights, interests, duties and obligations defined within this Agreement are intended for the specific Parties hereto as identified in the preamble of this Agreement. Notwithstanding anything stated to the contrary in this Agreement, it is not intended that any rights or interests in this Agreement benefit or flow to the interest of any third parties.

18. Entire Agreement. Each Party acknowledges that they have read and fully understand the contents of this Agreement. This Agreement represents the entire and integrated Agreement between the Parties with respect to the subject matter hereof and supersedes all prior negotiations, representations or Agreements, either written or oral. This Agreement may be modified only by written instrument duly authorized and executed by both the City and Grantee.

19. Amendment. This Agreement may only be modified and/or amended upon the written mutual agreement of the Parties hereto.

20. Execution in Counterparts; Copies. The Parties may execute this Agreement in any number of counterparts with the same effect as if each Party had signed the same physical document. Each Party may transmit the executed copies in an imaged format to the other Party by facsimile or electronic mail, and the imaged copies shall have the same effect as if each Party had signed the same physical document. All executed counterparts, whether originals or copies sent by facsimile, electronic mail, or a combination, shall be construed together and shall constitute one and the same Agreement.

IN WITNESS WHEREOF, the Parties hereto, by and through their respective duly authorized representatives, have executed this Agreement on the dates written below.

THE GATHERING INN

_____

Keith Diederich, Chief Executive Officer

Date: _____

_____

Rolande Tellier, Chief Operating Officer

Date: _____

CITY OF ROCKLIN

_____

Aly Zimmermann, City Manager

Date: _____

ATTEST

_____

Hope Ithurburn, City Clerk

APPROVED AS TO FORM

_____

Daniel Cucchi, Interim City Attorney

INS A

During the term of this Agreement Grantee shall maintain in full force and effect the following policies of insurance:

COVERAGE	LIMITS OF LIABILITY
Workers Compensation	$1,000,000
Commercial General Liability	Bodily Injury: $2,000,000 each occurrence $2,000,000 aggregate
	Property Damage: $2,000,000 each occurrence
	Personal Injury: $2,000,000 each occurrence $2,000,000 aggregate
Automobile liability including endorsements for owned, hired and non-owned vehicles	Bodily Injury: $1,000,000 each occurrence $1,000,000 aggregate
	Property Damage: $1,000,000 each occurrence

The insurance policies are to contain, or be endorsed to contain, the following provisions:

1. ***Additional Insured Status.*** The City, its officers, officials, employees, and volunteers are to be covered as additional insureds on the CGL policy with respect to liability arising out of work or operations performed by or on behalf of the Grantee including materials, parts, or equipment furnished in connection with such work or operations. General liability coverage can be provided in the form of an endorsement to the Grantee's insurance (at least as broad as ISO Form CG 20 10 11 85 or both CG 20 10, CG 20 26, CG 20 33, or CG 20 38; and CG 20 37 forms if later revisions used).

2. ***Primary Coverage.*** For any claims related to this contract, the Grantee's insurance coverage shall be primary with coverage at least as broad as ISO CG 20 01 04 13 as

respects the City, its officers, officials, employees, or volunteers, and shall be excess of the Contractor's insurance and shall not contribute to it.

3. ***Notice of Cancellation*.** Each insurance policy required above shall not be canceled, except with notice to the City.

4. ***Waiver of Subrogation.*** Grantee hereby grants to City a waiver of any right to subrogation which any insurer of said Grantee may acquire against the City by virtue of the payment of any loss under such insurance. Grantee agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the City has received a waiver of subrogation endorsement from the insurer.

5. ***Self-Insured Retentions****.* Self-insured retentions must be declared to and approved by the City. The City may require the Grantee to provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or City.

6. ***Acceptability of Insurers.*** Insurance is to be placed with insurers authorized to conduct business in the state with a current A.M. Best's rating of no less than A:VII, unless otherwise acceptable to the City.

7. ***Claims Made Policies.*** If any of the required policies provide coverage on a claims made basis:

i. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.

ii. Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of the contract of work.

iii. If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a Retroactive Date prior to the contract effective date, the Grantee must purchase "extended reporting" coverage for a minimum of five (5) years after completion of contract work.

8. ***Verification of Coverage*.** Grantee shall furnish the City with original Certificate of Insurance including all required amendatory endorsements (or copies of the applicable policy language effecting coverage required by this clause) and a copy of the Declarations and Endorsement Page of the CGL policy listing all policy endorsements to the City before work begins. However, failure to obtain the required documents prior to the work beginning shall not waive the Contractor's obligation to provide them. The City

reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these specifications, at any time.

9. ***Special Risks or Circumstances.*** City reserves the right to modify these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

EXHIBIT 1

TGI HPRR / LMIHA FUNDS
City of Rocklin Accomplishments Data
FY 23/24

Exhibit 1 - The Gathering Inn HPRR / LMIHA Funds
City of Rocklin Accomplishments Data
July 2023 - June 2024

Demographic Data	Number Served	
Total Households Assisted OR Total Number of Persons Assisted		
Total 30% Income Families		
Total 50% Income Families		
Total 80% Income Families		
Total Number Famale Heads of Household		
Total Disabled		
Total Mental Health Conditions (self-reported)		
Total Bednights		
Total Veterans		
Total Individuals Who Secured Permanent Housing		
Total Individuals Who Secured Employment		
Total Female		
Total Male		
Breakdown by Age		
<18		
19-25		
26-35		
36-45		
46-55		
56-65		
66+		
Total		
Breakdown by Ethnicity		**Hispanic/Latino**
Total White		
Total African American		
Total Asian		
Total American Indian or Alaskan Native		
Total Native Hawaiian or Other Pacific Islander		
Total American Indian/Alaskan Native and White		
Total African American and White		
Total Asian and White		
Total African American and White		
Total American Indian/Alaskan Native and African American		
Other Multiracial		
Total		

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Final Map Time Extensions / Subdivision Ordinance Amendment, ZOA2023-0002 (Second Reading)

Date: June 27, 2023

Submitted By: David Mohlenbrok, Director of Community Development
Bret Finning, Planning Services Manager
Dara Dungworth, Senior Planner

Department: Community Development

Recommendation:
Adopt an Ordinance of the City Council of the City of Rocklin Amending Title 16 of the Rocklin Municipal Code to Repeal and Re-Enact Sections 16.20.060 - Extension of Deadline and 16.24.040 - Failure to File – Extensions (ZOA2023-0002).

Background:
On June 13, the City Council considered the proposed Ordinance Amendment. There being no public comments and with no discussion or deliberations, the City Council voted 4-0 (one member absent) to introduce, waive the full reading and read by title only, an ordinance of the City Council of the City of Rocklin amending Title 16 of the Rocklin Municipal Code to repeal and re-enact Sections 16.20.060 - Extension of Deadline and 16.24.040 - Failure to File – Extensions. To confirm its adoption and passage, the City Council would need to approve the second reading (title only) of the ordinance.

For further information regarding project details, see the June 13, 2023 City Council Staff Report (Attachment 2).

Applicant:

The applicant is the City of Rocklin. The proposed Ordinance Amendment would apply Citywide.

ATTACHMENTS:
1. Ordinance
2. Staff Report - June 13, 2023

ORDINANCE NO.

ORDINANCE OF THE CITY COUNCIL OF THE CITY OF ROCKLIN AMENDING TITLE 16 OF THE ROCKLIN MUNICIPAL CODE TO REPEAL AND RE-ENACT SECTIONS 16.20.060 - EXTENSION OF DEADLINE AND 16.24.040 - FAILURE TO FILE—EXTENSIONS

WHEREAS, recent legislative changes to the California Subdivision Map Act, Government Code section 66410, et seq., require revision to the Rocklin Municipal Code.

NOW THEREFORE, THE CITY COUNCIL OF THE CITY OF ROCKLIN DOES ORDAIN AS FOLLOWS:

Section 1. Purpose. The purpose of this ordinance is to amend Chapter 16.20 and Chapter 16.24 of the Rocklin Municipal Code to repeal and re-enact section 16.20.060 pertaining to deadline extensions to file a parcel map and section 16.24.040 pertaining to the failure to timely file a final map and extensions.

Section 2. Authority. This ordinance is enacted under the authority granted to cities by Article XI, Section 7 of the California Constitution and Government Code section 37100.

Section 3. Repeal and Re-enact. Section 16.20.060 of Chapter 16.20 – Parcel Maps of the Rocklin Municipal Code is repealed in its entirety, and re-enacted, as follows:

16.20.060 – Extension of deadline.

A. The commission may grant one or more extensions of time up to, but not exceeding, a total of an additional six years beyond the initial thirty-six months allowed for the filing of a parcel map. Applications for time extensions shall be made in writing to the planning department prior to the expiration of the tentative map. The application shall include a justification for approval of the extension, an explanation stating the reason the map was not finalized within the initial map life, and an anticipated project activity timeline. A representative for the project shall also be required to be present at any/all public hearings for the extension. Upon submittal of a complete application to extend the map, the map shall be automatically extended for sixty days or until the application for the extension is approved, conditionally approved or denied, whichever occurs first. A fee for processing any such extension shall be required in an amount to be determined by resolution of the council.

Section 4. Repeal and Re-enact. Section 16.24.040 of Chapter 16.24 – Final Map of the Rocklin Municipal Code is repealed in its entirety, and re-enacted, as follows:

16.24.040 – Failure to File—Extensions.

A. Failure to file a final map within the time specified in Section 16.24.030 shall terminate all proceedings, unless an extension of time to file has been granted by the council. The council may grant one or more extensions of time up to, but not exceeding, a total of an additional six years beyond the initial thirty-six months allowed for filing a final map. Applications for time extensions shall be made in writing to the planning department prior to the expiration of the tentative map. The application shall include a justification for approval of the extension, an explanation stating the reason the map was not finalized within the initial map life, and an anticipated project activity timeline. A representative for the project shall also be required to be present at any/all public hearings for the extension. Upon submittal of a complete application to extend the map, the map shall be automatically extended for sixty days or until the application for the extension is approved, conditionally approved or denied, whichever occurs first. A fee for processing any such extension shall be required in an amount to be determined by resolution of the council.

Section 5. Environmental. The City Council hereby finds that this ordinance is not subject to review under the California Environmental Quality Act (CEQA) pursuant to CEQA Guidelines sections 15060, subdivision (c)(2) (the activity will not result in a direct or reasonably foreseeable indirect physical change in the environment) and 15061, subdivision (b)(3) (there is no possibility the activity in question may have a significant effect on the environment).

Section 6. Severability. If any section, sentence, clause, phrase or portion of this ordinance is for any reason held to be invalid or unconstitutional b the decision of any court of competent jurisdiction, such decision shall not affect the validity of the remaining portions of this ordinance. City Council hereby declares that it would have adopted this ordinance and each section, subsection, sentence, clause, phrase or portion thereof, irrespective of the fact that ay one of more section, subsection, sentence, clauses, phrases or portions to the declared invalid or unconstitutional.

Section 7. Publication. Within fifteen days of passage of this ordinance, the City Clerk shall cause the full text of the ordinance, a summary of the ordinance, or an advertisement of the ordinance to be published in a newspaper of general circulation, with the names of those City Councilmembers voting for and against the ordinance, in accordance with Government Code section 36933.

INTRODUCED at a regular meeting of the City Council of the City of Rocklin held on June 13, 2023, by the following vote:

AYES:	Councilmembers:	Bass, Broadway, Gayaldo, Halldin
NOES:	Councilmembers:	None
ABSENT:	Councilmembers:	Janda
ABSTAIN:	Councilmembers:	None

PASSED AND ADOPTED at a regular meeting of the City Council of the City of Rocklin held on June 27, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

First Reading: June 13, 2023
Second Reading:
Effective Date:

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: **Final Map Time Extensions**
Subdivision Ordinance Amendment, ZOA2023-0002

Date: June 13, 2023

Submitted By: David Mohlenbrok, Director of Community Development
Bret Finning, Planning Services Manager
Dara Dungworth, Senior Planner

Department: Community Development

Recommendation:
As the entitlement request includes an Ordinance amendment, a two-meeting process is required. At the first meeting the City Council shall conduct a public hearing, complete deliberation, provide staff with direction (if appropriate) as to any desired modification(s) to the draft entitlement documents and, if approving, introduce the ordinance and continue the item to the next feasible City Council meeting as indicated below:

Staff and Planning Commission recommend approval of the following:

June 13, 2023 (first reading of the ordinance)

Move to Introduce, Waive the Full Reading and Read by Title Only an Ordinance of the City Council of The City of Rocklin Amending Title 16 of the Rocklin Municipal Code to Repeal and Re-Enact Sections 16.20.060 - Extension of Deadline and 16.24.040 - Failure to File – Extensions (ZOA2023-0002)

August 8, 2023 (final action on Ordinance)

Move to Adopt an Ordinance of the City Council of the City of Rocklin Amending Title 16 of the Rocklin Municipal Code to Repeal and Re-Enact Sections 16.20.060 - Extension of Deadline and 16.24.040 - Failure to File – Extensions (ZOA2023-0002)

Proponent:

The proposed Subdivision Ordinance Amendment was initiated by the City of Rocklin.

Location:

The proposed Subdivision Ordinance Amendment would apply Citywide.

Summary of Planning Commission Hearing and Action:

On May 16, 2023, the Planning Commission considered the proposed Subdivision Ordinance Amendment. Following Staff's presentation, the Planning Commission asked questions regarding the ability to deny time extension requests and any potential downsides to granting longer time extensions.

The Commission then opened the hearing for public comment. There being no public comment, the hearing was closed.

The Commissioners had no further discussion or deliberation, and proceeded to vote unanimously 4 to 0 (one Commissioner absent) to recommend approval of the amendment to the City Council.

Background:

In 1958 the City Council adopted an ordinance (No. 149) establishing regulations and standards in the Rocklin Municipal Code (RMC) for the subdivision of land and the filing and approval of subdivision maps consistent with the California Subdivision Map Act, the enabling State legislation. Over the ensuing years this section of the RMC has been amended a number of times to reflect changes in the Subdivision Map Act, most recently in 2018 when, among other things the RMC was amended to: 1) Allow applicants 36 months rather than 24 months, after approval of a tentative subdivision map, in which to file a final map; and 2) Allow the City Council to grant one or more extensions of time up to, but not exceeding, a total of an additional four years beyond the initial thirty-six months allowed for filing a parcel map or a final map; provided that no single extension could be granted for longer than a twenty-four month period.

Recently, the City Council approved a four-year time extension for the Granite Lakes Estates' tentative subdivision map at the applicant's request. Although the action was not consistent with the language of the RMC, it was deemed permissible as the request was consistent with the enabling legislation, the California Subdivision Map Act. The City Attorney recommended that the RMC be amended to codify the longer extension option so as to ensure that all applicants going forward have equal opportunity.

Analysis:

Government Code section 66452.6(e) provides that a tentative map expiration may be extended for a period or periods not exceeding a total of six years. This period of extension is in addition to the period of the original approval. There is no maximum period for any single extension, provided the total extension(s) does not exceed six years. Because the Subdivision Map Act does not give local jurisdictions discretion as to the maximum number of years that can be granted, the City must allow applicants the opportunity to request up to a total of six years time extensions.

Therefore, as discussed above, to ensure that all applicants going forward have equal opportunity to request time extensions, and consistent with Section 66452.6(e) of the Government Code, Staff is proposing the following amendments to Title 16 – Subdivisions in ~~strike~~/add format:

16.20.060 – Extension of deadline.

A. The commission may grant one or more extensions of time up to, but not exceeding, a total of an additional ~~four~~ six years beyond the initial thirty-six months allowed for the filing of a parcel map. ~~No single extension shall be granted for longer than a twenty-four-month period.~~ Applications for time extensions shall be made in writing to the planning department prior to the expiration of the tentative map. The application shall include a justification for approval of the extension, an explanation stating the reason the map was not finalized within the initial map life, and an anticipated project activity timeline. A representative for the project shall also be required to be present at any/all public hearings for the extension. Upon submittal of a complete application to extend the map, the map shall be automatically extended for sixty days or until the application for the extension is approved, conditionally approved or denied, whichever occurs first. A fee for processing any such extension shall be required in an amount to be determined by resolution of the council.

16.24.040 – Failure to File—Extensions.

A. Failure to file a final map within the time specified in Section 16.24.030 shall terminate all proceedings, unless an extension of time to file has been granted by the council. The council may grant one or more extensions of time up to, but not exceeding, a total of an additional ~~four~~ six years beyond the initial thirty-six months allowed for filing a final map. ~~No single extension shall be granted for longer than a twenty-four month period.~~ Applications for time extensions shall be made in writing to the planning department prior to the expiration of the tentative map. The application shall include a justification for approval of the extension, an explanation stating the reason the map was not finalized within the initial map life, and an anticipated project activity timeline. A representative for the project shall also be required to be present at any/all public hearings for the extension. Upon submittal of a complete application to extend the map, the map shall be automatically extended for sixty days or until the application for the extension is approved, conditionally approved or denied, whichever occurs first. A fee for processing any such extension shall be required in an amount to be determined by resolution of the council.

These revisions would provide the City with the ability to approve one or more time extensions for a tentative parcel map or a tentative subdivision map for up to six years maximum to be consistent with the Subdivision Map Act requirements. Any approval of an extension of time would be at the discretion of the Planning Commission for parcel maps or the City Council for subdivision maps. Therefore, an applicant applying for an

extension could request their desired extension length, up to a maximum of six years, and provide justification for this request. The Planning Commission or Council would have the option to grant the extension for the full length of the requested period, grant an extension for a shorter period of time, or deny the extension request subject to making appropriate findings.

Environmental Determination:

The California Environmental Quality Act (Section 21000, et. seq. of the California Public Resources Code, hereafter CEQA) requires analysis of agency approvals of discretionary "projects." A "project," under CEQA, is defined as "the whole of an action, which has a potential for resulting in either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment."

The proposed revision to the Rocklin Municipal Code is not a "project" under CEQA because it does not result in a direct or reasonably foreseeable indirect physical change in the environment, nor does it authorize the construction of any new structures or other physical changes to the environment. Therefore, this action is exempt under sections 15060(c)(2) and (3) and 15061(b)(3) of the State CEQA Guidelines.

Recommendation:

The Planning Commission and Staff recommend that the City Council approve the proposed amendments to Title 16 of the Rocklin Municipal Code.

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Properties Along Outlook Drive

Date: June 27, 2023

Submitted By: David Mohlenbrok, Community Development Director

Department: Community Development

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Directing the City Manager to Negotiate and Execute Purchase and Sale Agreements for Portions of that Certain Real Property Designated as APN 017-350-057 within the Stanford Ranch Phase II Subdivision that are Adjacent to APNs 369-040-013, -14, -015, -016, -017, -018, -019, -020, -021 and -022 and APNs 369-050-001 and -003.

Background:

For a substantial period of time, multiple property owners along Outlook Drive have made use of portions of the real property designated as Assessors Parcel Number (APN) 017-350-057 that are owned by the City (the "Transfer Property"). The general plan and zoning designations of the Transfer Property is Recreation/Conservation and open space, respectively. The real property is not improved with homes; any improvements existing on the real property were previously installed by the adjacent property owners.

On October 25, 2022, the City Council adopted Resolution No. 2022-203, which declared the Transfer Property as “exempt surplus land” and not necessary for the City’s use. On November 22, 2023, the California Department of Housing and Community Development (HCD) responded to the City of Rocklin’s request for determination of the Transfer Property identified as a 10,838 square foot portion of APN 017-350-057 as “exempt surplus land.” HCD reviewed Resolution No. 2022-203 pursuant to Section 400I of the Surplus Land Act Guidelines and found that the property qualifies as “exempt surplus land” under Government Code section 54221, subdivision (f)(1)(B)(i). According to the Resolution and communication between the City and HCD, the Transfer Property is 10,838 square feet in area and not contiguous to land owned by a state or local agency (other than City) that is used for open space. Resolution No. 2022-203 further provides that the City intends to sell the Transfer Property in twelve (12) distinct portions with areas ranging from 90-square feet to 3,091-square feet to the twelve (12) directly contiguous property owners of APNs 369-040-013, –014, –015, –016, –017, –018, –019, –020, –021, and – 022, and APNs 369-050-001 and –003 due to encroachments into City property. HCD found that the Transfer Property qualifies as “exempt surplus land” under Government Code section 54221, subdivision (f)(1)(B)(i) because

each portion of land is under 5,000 square feet in area and will be sold to owners of contiguous land. Therefore, HCD concluded that the City is permitted to proceed with the disposition of the Transfer Property as described in Resolution No. 2022-203.

The Draft Purchase and Sale Agreement (PSA) has been provided to the property owners, and sets forth the basic terms of the sale. The PSA is included as Attachment 2. The interested parties will pay to the City of Rocklin, a purchase price of one dollar per square foot or $500, whichever is greater, based on an appraisal conducted on October 31, 2022 (Attachment 3). The Transfer Property acquired will remain open space, with no additional development permitted. It will remain a condition of the PSA that the buyer's real property and the adjacent area of the Transfer Property be held under common ownership by the buyer and neither the buyer's real property nor the adjacent area of the Transfer Property may be sold unless both are sold to the same purchaser.

If the City Council approves the staff recommendation, final customized versions of the Purchase and Sale Agreement will be prepared for each interested parties for execution. Once each Purchase and Sale Agreement has been fully executed, the City will open an escrow account and take all necessary steps to complete each transfer.

Environmental Analysis:

Pursuant to § 15060 (c) (3) of the California Environmental Quality Act (the "CEQA") Guidelines (i.e., California Code of Regulations, Title 14, Division 6, Chapter 3, §§ 15000-15387) (the "CEQA Guidelines"), approval of this Resolution is exempt from CEQA because the actions described herein will not result in a direct or indirect physical change in the environment and the actions described herein are not a "Project", as defined within § 15378 of the CEQA Guidelines.

Fiscal Impact:

Per the appraisal, the City will realize revenues from each of the twelve PSAs at a rate of one dollar per square foot or $500, whichever is greater. There will be expenses for various closing costs that are currently unknown, but are not anticipated to exceed $50,000 in total.

ATTACHMENTS:

1. Resolution
2. Purchase and Sale Agreement
3. October 31, 2022 Appraisal

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN DIRECTING THE CITY MANAGER TO NEGOTIATE AND EXECUTE PURCHASE AND SALE AGREEMENTS FOR PORTIONS OF THAT CERTAIN REAL PROPERTY DESIGNATED AS APN 017-350-050 WITHIN THE STANFORD RANCH PHASE II SUBDIVISION THAT ARE ADJACENT TO APNS 369-040-013, -014, -015, -016, -017, -018, -019, -020, -021 and -022 and APNs 369-050-001 and -003

WHEREAS on October 25, 2022, the City Council of the City of Rocklin adopted Resolution No. 2022-203 declaring pursuant to California Government Code 564221 that twelve portions of that certain real property designated as APN 017-350-057 within the Stanford Ranch Phase II subdivision that are adjacent to APNs: 369-040-013,014,015,016,017,018,019,020,021, and 022 and APNS 369-050-001 and 003 (the "Transfer Property") are designated as exempt surplus land and are not necessary for the City's use and

WHEREAS on November 22, 2023, the City received a letter from HCD concluding that the Transfer Property qualifies as "Exempt Surplus Land" under Government Code 54221; and

WHEREAS, a professional appraisal was received on October 31, 2022 that estimated the value of the properties to be one dollar per square foot or $500, whichever is greater; and

WHEREAS, the Transfer Property is zoned Open Area and will remain as such; and

WHEREAS, the City Council wishes to proceed with the sales of the Transfer Property;

NOW THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin has reviewed and approved the terms of the Purchase and Sale Agreement and Joint Escrow Instructions;

Section 2. The City Council of the City of Rocklin hereby authorizes the City Manager to execute the Purchase and Sale Agreement and Joint Escrow Instructions for the sale of the Transfer Property in substantially the form attached hereto as Exhibit A, and to take all necessary action to carry out the terms of such Agreement.

PASSED AND ADOPTED THIS 27th day of June, 2023, by the following vote:

AYES: Councilmembers

NOES: Councilmembers

ABSENT: Councilmembers

ABSTAIN: Councilmembers

PURCHASE AND SALE AGREEMENT AND JOINT ESCROW INSTRUCTIONS

SELLER: **City of Rocklin**

BUYER: **[Name of Owner of Adjacent Area]**

DATED: **[June 27, 2023]**

BASIC TERMS

Adjacent Area:	That certain property known as ____ Outlook Drive, Rocklin; such property has been designated as APN _____.
Agreement Affecting Property:	An instrument substantially in the form of Exhibit "C" hereto which is to be recorded as to the Real Property and the Adjacent Area
Buyer:	[Name of Owner of Adjacent Area], an unmarried woman
Buyer's Address:	[Name of Owner of Adjacent Area] ____ Outlook Drive Rocklin, California 95677
City:	The City of Rocklin, a municipal corporation
City Manager:	The City Manager of the City or his or her designee
Closing:	The conveyance of the Real Property by Seller to Buyer in accordance with this Agreement.
Closing Date:	[XXXXX, 2023]
Contingency Date:	Thirty (30) days after the Effective Date.
Deed:	A grant deed as to the Real Property from Seller to Buyer as described more particularly in Section 8(a). The Deed shall be substantially in the form of Exhibit "D" hereto.
Effective Date:	The date (i) the [Name of Owner of Adjacent Area] or (ii) City of Rocklin approve this Agreement, whichever should later occur.
Escrow Holder:	First American Title Company 915 Highland Pointe Drive, Suite 140 Roseville, California 95678 Attention: _____ Telephone No.: (916) 788-1700 Fax No.: (916) ___-____; efax: (916) ___-____
Official Records:	The official land records of the County Recorder of the County of Placer
Purchase Price:	[to come: the greater of (i) One Dollar ($1.00) per square foot [per City records as to size of Real Property) or (ii) Five Hundred Dollars ($500.00)].
Real Property:	That property described in Exhibit "A" hereto; the subject property is sometimes referred to as APN ___-___-___.

Seller:	City of Rocklin
Seller's Address:	3970 Rocklin Road, Rocklin, California 95677
Title Company:	First American Title Company 915 Highland Pointe Drive, Suite 140 Roseville, California 95678 Attention: _____ Telephone No.: (916) 788-1700 Fax No.: (916) ___-____

PURCHASE AND SALE AGREEMENT
AND
JOINT ESCROW INSTRUCTIONS

This **PURCHASE AND SALE AGREEMENT AND JOINT ESCROW INSTRUCTIONS** ("Agreement") is made and entered into as of the Effective Date by and between Seller and Buyer.

RECITALS

A. Seller is the fee owner of that real property which is legally described on Exhibit "A" attached hereto and made a part hereof (the "Real Property"). The Real Property is not improved with a residence; any improvements existing on the Real Property were previously installed by the Buyer.

B. For a substantial period of time prior to the Effective Date, Buyer has made use of the Real Property notwithstanding that title to the Real Property has been held by the City, and the general plan and zoning designations of the Real Property are for open space.

C. In an effort to achieve a practical solution to problems associated with the facts described in Recitals A and B, the Seller has undertaken a process to have the Real Property designated as surplus and has obtained the consent and approval of the State of California to treat the Real Property as surplus property which may be sold so long as the use of the Real Property continues to be consistent with use of the Real Property as open space.

D. Buyer is the owner of land adjacent to the Real Property (which land as already owned by Buyer is referred to herein as the Adjacent Area). It is a condition of this Agreement and the willingness of Seller to sell the Real Property that the Buyer, as owner of the Adjacent Area as well as Buyer of the Real Property, execute a covenant, enforceable by Seller, which requires that: (i) the Real Property and the Adjacent Area be held under common ownership by Buyer and (ii) neither the Real Property nor the Adjacent Area may be sold unless both are sold to the same purchaser, all subject to the covenant as described above.

E. Owner and Buyer agree and acknowledge that: (i) the existing general plan designation and zoning of the Real Property is for open space use, only; (ii) it is not contemplated that there will be a rezone or change in the general plan designation of the Real Property that would broaden uses beyond use as open space; (iii) the City does not have any duty to consider or approve any change to the general plan and zoning designations for the Real Property as in effect as of the date the Real Property is conveyed to Buyer and any requests for changes to a general plan and zoning designation would be subject to the land use processes of the City, including without limitation public hearings; (iv) the use of the Real Property prior to and as of the date of conveyance of the Real Property, which is depicted on Exhibit "A" attached hereto, to Buyer may constitute a nonconforming land use and consequently the undertaking of any improvements to the Real Property shall be subject to the prior written approval of City based upon whether the proposed improvements would expand a nonconforming use or are otherwise inconsistent with the provisions of the Rocklin Municipal Code as in effect from time to time; (v) the Real Property and the Adjacent Area must be held in common ownership and neither the Real Property nor the Adjacent Area may be conveyed without the other being conveyed at the same time and under the same conveyance;

F. Buyer shall be responsible for the following, whether occurring prior to or after the conveyance of the Real Property to Buyer: (i) any property tax liability and/or assessments associated with the Real Property, including without limitation any liability for property tax based upon a possessory interest; (ii) any liability associated with the use of the Real Property; (iii) the condition of the Real Property, including without limitation subsurface conditions. Buyer agrees to hold harmless and indemnify City, as well as City's officials, employees, and agents from any claims, losses, suits, or judgments associated with the use of the Real Property whether such claims, possess, suits, or judgments arise from or involve facts occurring before or after the conveyance of the Real Property to Buyer.

G. Each of Buyer and Owner has had the opportunity to review the contents of this Agreement Affecting Property with legal counsel of its choosing.

H. Seller has offered to sell to Buyer the Real Property described herein for the price and subject to the terms set forth below. Buyer acknowledges that: (i) Buyer is highly familiar with the Real Property and has made use of the Real Property for a substantial period of time; and (ii) the Real Property is being sold without warranty on a where is/as is basis. Buyer has considered the offer by Seller and agrees to buy from Seller the Real Property on the terms and conditions as more particularly set forth below.

NOW, THEREFORE, in consideration of the mutual covenants and agreements contained herein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Seller and Buyer agree as follows:

1. Purchase and Sale. Seller hereby agrees to sell the Real Property to Buyer, and Buyer hereby agrees to purchase the Real Property from Seller, on the terms and conditions set forth in this Agreement. The term Real Property is defined collectively as the following:

(a) The fee interest in the Real Property;

(b) All rights, privileges, easements, licenses and interests appurtenant to the Real Property. Such rights shall be deemed to include, without limitation, all royalties, minerals, oil and gas rights and profits, water and water rights (whether or not appurtenant) owned by Seller; and

(c) All personal property, equipment, supplies, and fixtures owned by Seller and located at the Real Property;

(d) All of Seller's interest under any contracts, leases, and other agreements associated with the Real Property.

2. Payment of Consideration. As consideration for the sale of the Real Property from Seller to Buyer, Buyer shall, prior to Closing (as defined below), deposit with Escrow Holder: (i) immediately available funds in the amount of the Purchase Price, and (ii) the Agreement Affecting Property, duly executed by Buyer as purchaser of the Real Property and as fee owner of the Adjacent Area.

3. Escrow and Deposit.

(a) Opening of Escrow. For the purposes of this Agreement, the escrow ("Escrow") shall be deemed opened ("Opening of Escrow") on the date that Escrow Holder receives

a copy of this Agreement fully executed by Buyer and Seller. Buyer and Seller shall use their best efforts to cause the Opening of Escrow to occur on or before two (2) business days after the Effective Date. Escrow Holder shall promptly notify Buyer and Seller in writing of the date of the Opening of Escrow. Buyer and Seller agree to execute, deliver and be bound by any reasonable or customary supplemental escrow instructions or other instruments reasonably required by Escrow Holder to consummate the transaction contemplated by this Agreement; provided, however, that no such instruments shall be inconsistent or in conflict with, amend or supersede any portion of this Agreement. If there is any conflict or inconsistency between the terms of such instruments and the terms of this Agreement, then the terms of this Agreement shall control. Without limiting the generality of the foregoing, no such instruments shall extinguish any obligations imposed by this Agreement or any other agreement between Seller and Buyer.

(b) Closing. For purposes of this Agreement, the Closing or "Closing Date" shall be the date the Deed is recorded pursuant to applicable law in the county in which the Real Property is located. Unless changed in writing by Buyer and Seller, the Closing shall occur on the Closing Date, [XXX] (the "Closing Date") or as soon thereafter as the conditions precedent to closing are satisfied pursuant to Sections 6 and 7 of this Agreement. If the Closing has not, for any reason, occurred by the Closing Date, then either Buyer or Seller may terminate this Agreement by delivering written notice to the other at any time after the outside Closing Date; provided, however, that if either party is in default under this Agreement at the time of such termination, then such termination shall not affect the rights and remedies of the non-defaulting party against the defaulting party.

Seller and Buyer agree that Escrow Holder shall have authority to assist in filling out the Special Disclosures and in inserting dates, names, and recording information as necessary and customary.

4. Seller's Delivery of Real Property and Formation Documents. Within ten (10) days after the Effective Date, Seller shall deliver to Buyer the following items (collectively, the Property Documents"): such proof of Sellers' authority and authorization to enter into this Agreement and to consummate this transaction as may be reasonably requested by Buyer and the Title Company.

5. Buyer's Right of Entry. From and after the Opening of Escrow through the earlier to occur of the termination of this Agreement or the Closing, Buyer and Buyer's employees, agents, consultants and contractors shall have the right to enter upon the Real Property during normal business hours, provided reasonable prior notice has been given to Seller.

6. Buyer's Conditions Precedent and Termination Right.

(a) Conditions Precedent. The Closing and Buyer's obligation to consummate the transaction contemplated by this Agreement are subject to the timely satisfaction or written waiver of the following conditions precedent (collectively, "Buyer's Contingencies"), which are for Buyer's benefit only.

(i) Title Review. Seller has obtained an preliminary title report as to the Real Property from the Title Company; the preliminary title report is designated as Order No. _____, dated as of _____ __, 2023 (the "Prelim"). Within five (5) calendar days after the Opening of Escrow, Seller shall cause the Title Company to deliver to Buyer a copy of the Prelim, together with copies of the exceptions (the "Exceptions") set forth in the Prelim;

provided that the cost of the Prelim shall be borne by Seller. Buyer has been in possession of the Real Property for a substantial period of time and has made certain improvements to the Real Property; consequently, if the Title Policy is to include any endorsement against mechanics' liens, the cost of any such endorsement shall be borne by Buyer. On or before the Contingency Date, Buyer shall have approved in writing, in Buyer's sole discretion, any matters of title disclosed by the following (collectively, the "Title Documents"): (i) the Prelim including the following documents which are not of record as of the date of the Prelim but which will be recorded in connection with the sale of the Property to the Buyer: (a) the Agreement Affecting Property (which shall be recorded as to both the Real Property and the Adjacent Area concurrent with the recording of the Deed) ; and (b) the provisions contained in the Deed; (ii) the legal description of the Real Property, (iii) confirmation that title to the Real Property shall be held in the same manner as title to the Adjacent Area; and (iv) any survey Buyer desires to obtain at Buyer's sole cost and expense. Buyer shall have the same rights to approve or disapprove any exceptions to title that are not created by Buyer and that come into existence after issuance of the Report but prior to Closing. Seller shall, on or before the Closing, remove all deeds of trust, mortgages and delinquent taxes (but not the lien for any real property taxes or assessments not yet delinquent).

(ii) Buyer's Title Policy. On or before the Closing, the Title Company shall, upon payment (by Seller) of the Title Company's premium, have agreed to issue to Buyer, a standard ALTA owner's policy of title insurance ("Buyer's Title Policy") in the amount of the Purchase Price, showing fee title to the Real Property vested solely in Buyer and subject only to the (i) the standard, preprinted exceptions to Buyer's Title Policy; (ii) liens to secure payment of real estate taxes or assessments not yet delinquent; (iii) matters affecting the Real Property created by or with the written consent of Buyer; (iv) matters disclosed in the Prelim or the Pro Forma, (v) the Agreement Affecting Property (which shall be recorded as to both the Real Property and the Adjacent Area); (vi) the provisions contained in the Deed; and (vii) those additional matters specifically approved in writing by Buyer. Buyer shall have the right, at its sole cost and expense, to obtain coverage beyond that offered by a standard ALTA policy; provided, however, that Buyer's ability to obtain such extended coverage shall not be a Buyer's Contingency and Buyer's obligations hereunder shall in no way be conditioned or contingent upon obtaining such extended coverage. Buyer shall have sole responsibility for obtaining, and bearing the cost of, any endorsements and for any survey or other matters required by the Title Company for such extended coverage.

(iii) Physical and Legal Inspections and Studies. On or before the Contingency Date, Buyer may undertake as to the Real Property, at Buyer's cost, physical and legal inspections, investigations, tests and studies Buyer elects to make or obtain, including, but not limited to, investigations with regard to zoning, building codes and other governmental regulations; engineering tests; soils, seismic and geologic reports; environmental audits, inspections and studies; environmental investigation or other invasive or subsurface testing; and any other physical or legal inspections and/or investigations as Buyer may elect to make or obtain. Buyer shall give prior notice to Seller a reasonable time prior to conducting any such activities and shall seek to conduct any such activities within normal working hours or at other times approved by Seller.

(iv) Natural Hazard Report. Seller shall cause the Escrow Holder to provide to Buyer prior to the Contingency Date, at Seller's cost, the National Hazard Report described at Section 8(a)(iii) of this Agreement.

(v) Delivery of Documents. Seller's delivery of all documents described in Section 8, below.

(vi) Representations and Warranties. All representations and warranties of Seller contained in this Agreement shall be materially true and correct as of the date made and as of the Closing.

(vii) No Default. As of the Closing, Seller shall not be in default in the performance of any material covenant or agreement to be performed by Seller under this Agreement.

(b) Termination Right. Should any of Buyer's Contingencies not be met, Buyer may, by written notice to Seller, terminate this Agreement. If this Agreement is so terminated, then (except to the extent expressly allocated to one party hereto by this Agreement) any escrow, title or other cancellation fees shall be paid by Buyer, unless Seller is in default hereunder, in which case Seller shall pay all such fees. If Buyer has neither terminated this Agreement in writing ("Termination Notice") on or before 5:00 p.m. on the Contingency Date as to the items set forth in Sections 6(a)(i)-(vi) inclusive, nor provided a written satisfaction or waiver notice to Seller of each Buyer's Contingency to be satisfied as of the Contingency Date, then all such Buyer's Contingencies shall be deemed to have been satisfied and this Agreement shall continue pursuant to its terms. If Buyer has not delivered a Termination Notice as the items set forth in Sections 6(a)(vii)-(viii) inclusive, prior to the Closing, such Buyer's Contingencies shall be deemed to have been satisfied.

(c) Seller's Cure Right. Buyer shall notify Seller, in Buyer's Termination Notice, of Buyer's disapproval or conditional approval of any Title Documents. Seller shall then have the right, but not the obligation, to (i) remove from title any disapproved or conditionally approved Exception(s) (or cure such other title matters that are the basis of Buyer's disapproval or conditional approval of the Title Documents) within five (5) business days after Seller's receipt of Buyer's Termination Notice, or (ii) provide assurances reasonably satisfactory to Buyer that such Exception(s) will be removed (or other matters cured) on or before the Closing. With respect to any such Exception, it shall be sufficient for purposes hereof for Seller to commit in writing, within the applicable period, to remove such Exception at or before the Closing. Seller's failure to remove such Exception after committing to do so shall be a default hereunder. An Exception shall be deemed removed or cured if Seller furnishes Buyer with evidence that the Title Company will issue the Buyer's Title Policy, as defined herein, at the Closing deleting such Exception or providing an endorsement (at Seller's expense) reasonably satisfactory to Buyer concerning such Exception. If Seller cannot or does not remove or agree to remove any of the disapproved Exception(s) (or cure other matters) within such five (5) business day period, Buyer shall have three (3) business days after the expiration of such five (5) business day period to give Seller written notice that Buyer elects to proceed with the purchase of the Real Property subject to the disapproved Title Document(s), it being understood that Buyer shall have no further recourse against Seller for such disapproved Title Exception(s).

7. Seller's Condition Precedent. The Closing and Seller's obligations with respect to the transaction contemplated by this **Agreement** are subject to the timely satisfaction or written waiver of the following condition precedent ("Seller's Contingency"), which is for Seller's benefit only: Buyer's delivery or counter-signing of the Deed and the Agreement Affecting Property.

8. Seller's Deliveries to Escrow Holder.

(a) Seller's Delivered Documents. At least one (1) business day prior to the Closing Date, Seller shall deposit or cause to be deposited with Escrow Holder the following items, duly executed and, where appropriate, acknowledged ("Seller's Delivered Items"):

(i) Deed. A grant deed substantially in the form attached hereto as Exhibit "D" (the "Deed"); vesting shall match that for the Adjacent Area.

(ii) FIRPTA/Tax Exemption Forms. The Transferor's Certification of Non-Foreign Status which may be in the form attached hereto as Exhibit "E" or a format provided by the Title Company (the "FIRPTA Certificate"), together with any necessary tax withholding forms, and a duly executed California Form 593-C, as applicable (the "California Exemption Certificate").

(iii) Agreement Affecting Property. Agreement Affecting Property substantially in the form set froth as Exhibit "C" hereto. The Agreement Affecting Property shall be executed by Buyer as the purchaser of the Real Property and the fee owner of the Adjacent Area; Seller shall also execute the Agreement Affecting Property.

(iv) Hazard Disclosure Report. Seller shall cause Escrow Holder to obtain and deliver to Buyer, at Seller's cost, a National Hazard Report as provided for under Sections 1102 and 1103 of the California Civil Code (the "National Hazard Report") on or before the Contingency Date.

(v) Possession of Real Property. Rights of possession of the Real Property free of any tenancies or occupancy.

(vi) Authority. Such proof of Seller's authority and authorization to enter into this Agreement and to consummate this transaction as may be reasonably requested by Buyer and the Title Company.

(vii) Further Documents or Items. Any other documents or items reasonably required to close the transaction contemplated by this Agreement as determined by the Title Company.

(b) Failure to Deliver. Should any of Seller's Delivered Items not be timely delivered to Escrow, Buyer may, by written notice to Seller, terminate this Agreement; provided, however, that Buyer may (but shall not be obligated to) in such notice provide Seller with five (5) business days to deliver all of Seller's Delivered Items. If Buyer's notice provides Seller such five (5) business days to deliver Seller's Delivered Items, and if Seller's Delivered Items are not delivered within such period, then this Agreement shall automatically terminate without further action or notice. In the event of any such termination, any cash deposited by Buyer shall immediately be returned to Buyer. Under no circumstances shall Buyer have any responsibility to or duty to pay consultants or real estate brokers retained by Seller, Seller being solely responsible in connection with any such contractual arrangements of Seller.

9. Buyer's Deliveries to Escrow. At least one (1) business day prior to the Closing Date, Buyer shall deposit or cause to be deposited with Escrow Holder the following, each duly executed and acknowledged, by Buyer as appropriate ("Buyer's Delivered Items"):

(a) Money from Buyer. The Down Payment, together with additional funds necessary to pay Buyer's closing costs set forth in Section 10(b) herein.

(b) Change of Ownership Report. One (1) original Preliminary Change of Ownership Report.

(c) Final Escrow Instructions. Buyer's final written escrow instructions to close escrow in accordance with the terms of this Agreement.

(d) Authority. Such proof of Buyer's authority and authorization to enter into this Agreement and to consummate the transaction contemplated hereby as may be reasonably requested by Seller or the Title Company.

(e) Agreement Affecting Property. Buyer shall execute, both as purchaser of the Real Property and as fee owner of Adjacent Area, and deliver to the Escrow Holder the Agreement Affecting Property.

(f) Further Documents or Items. Any other documents or items reasonably required to close the transaction contemplated by this Agreement as determined by the Title Company.

10. Costs and Expenses.

(a) Seller's Costs. - . If the transaction contemplated by this Agreement is consummated, then Seller shall be debited for and bear the following costs: (i) all (100%) of prorations; (ii) the cost of the Natural Hazard Report; (iii) the premium for a standard ALTA owner's policy of title insurance based upon the Purchase Price (the "Owner's Policy"); (iv) all (100%) of the Escrow Holder's fee; (v) documentary transfer taxes, if any; (vii) all (100%) of recording fees and charges other closing costs not otherwise enumerated in this subsection (a); and (viii) costs, if any, allocable to Seller under this Agreement and costs for such services as Seller may additionally request that Escrow perform on its behalf (which foregoing items collectively constitute "Seller's Costs and Debited Amounts").

(b) Buyer's Costs. If the transaction contemplated by this Agreement is consummated, then Buyer shall bear the following costs and expenses: costs, if any, for such services as Buyer may additionally request that Escrow Holder perform on its behalf (collectively, "Buyer's Costs and Debited Amounts").

(c) Generally. Each party shall bear the costs of its own attorneys, consultants, and real estate brokers in connection with the negotiation and preparation of this Agreement and the consummation of the transaction contemplated hereby. Buyer represents to each Seller and the Authority that Buyer has not engaged the services of any consultants, finders or real estate brokers in connection with the purchase of the Real Property from the Seller. Seller represents to each Buyer and the Authority that Seller has not engaged the services of any consultants, finders or real estate brokers in connection with the sale of the Real Property to the Buyer.

11. Prorations; Withholding.

(a) Expenses relating to the Real Property (including, but not limited to, property taxes, utility costs and expenses, water charges and sewer rents and refuse collection charges) shall be the responsibility of Buyer (whether incurred before or after the Effective Date).

(b) Seller, as a governmental agency, is exempt from withholding under Section 18662 of the California Revenue and Taxation Code and will submit to Escrow Holder a writing which sets forth such governmental status and exemption; such writing may be substantially in the form of Exhibit "E" hereto.

12. Closing Procedure. When the Title Company is ready to issue the Buyer's Title Policy and all required documents and **funds** have been deposited with Escrow Holder, Escrow Holder shall immediately close Escrow in the manner and order provided below.

(a) Recording. Escrow Holder shall cause the Deed and the Agreement Affecting Property to be recorded among the official land records of the County Recorder of the County of Placer ("Official Records") and obtain conformed copies thereof for distribution to each Buyer, Seller and the Authority.

(b) Disburse Funds. Escrow Holder shall debit or credit (as provided herein) all Buyer's Costs and Debited Amounts, Seller's Costs and Debited Amounts and General Expenses, prorate matters and withhold funds as provided herein. Seller agrees that, notwithstanding any other provision of this Agreement to contrary effect, the total moneys to be disbursed to Seller upon Closing in connection with the sale of the Real Property under this Agreement shall be the sum of equal to the Purchase Price (inclusive of any moneys disbursed to Seller as the Down Payment).

(c) Documents to Seller. Escrow Holder shall deliver to Seller a conformed copy of the Deed, a conformed copy of the Agreement Affecting Property, and a copy of each other document (or copies thereof) deposited into Escrow by Buyer pursuant hereto. Escrow Holder shall also provide to Authority a copy of each document provided to Seller. Escrow Holder shall also provide for the original Agreement Affecting Property to be delivered to Seller following the recording of the Agreement Affecting Property.

(d) Documents to Buyer. Escrow Holder shall deliver to Buyer the original FIRPTA Certificate, the original California Exemption Certificate (as applicable), a conformed copy of the Deed, the Natural Hazard Report, and each other document (or copies thereof) deposited into Escrow by Seller pursuant hereto, including, without limitation, those documents referenced in Section 8. Escrow Holder shall also provide to Authority a copy of each document provided to Buyer.

(e) Title Company. Escrow Holder shall cause the Title Company to issue the Buyer's Title Policy to Buyer.

(f) Closing Statement. Escrow Holder shall forward to both Buyer and Seller a separate accounting of all funds received and disbursed for each party with a copy to the Authority.

(g) Informational Reports. Escrow Holder shall file any information reports required by Internal Revenue Code Section 6045(e), as amended.

13. Representations and Warranties.

(a) Seller's Representations and Warranties. In consideration of Buyer entering into this Agreement and as an inducement to Buyer to purchase the Real Property, Seller makes the following representations and warranties as of the Effective Date and as of the Closing, each of which is material and is being relied upon by Buyer (and the truth and accuracy of which shall constitute a condition precedent to Buyer's obligations hereunder), and all of which are material inducements to Buyer to enter into this Agreement (and but for which Buyer would not have entered into this Agreement) and shall survive Closing:

(i) Seller has the legal power, right and authority to enter into this Agreement and the instruments referenced herein, and to consummate the transaction contemplated hereby.

(ii) All requisite action (corporate, trust, partnership or otherwise) has been taken by Seller in connection with entering into this Agreement and the instruments referenced herein; and, by the Closing, all such necessary action will have been taken to authorize the consummation of the transaction contemplated hereby. By the Closing no additional consent of any individual, director, manager, shareholder, partner, member, trustee, trustor, beneficiary, creditor, investor, judicial or administrative body, governmental authority or other party shall be required for Buyer to consummate the transaction contemplated by this Agreement.

(iii) The individual executing this Agreement and the instruments referenced herein on behalf of Seller has the legal power, right and actual authority to bind Seller to the terms and conditions hereof and thereof.

(iv) Neither the execution or delivery of this Agreement or the documents or instruments referenced herein, nor incurring the obligations set forth herein, nor the consummation of the transaction contemplated herein, nor compliance with the terms of this Agreement or the documents or instruments referenced herein or therein conflict with or result in the breach of any terms, conditions or provisions of, or constitute a default under, any note, contract, mortgage, deed of trust, loan, lease or other agreement or instrument to which Seller is a party or that affect the Real Property, including, but not limited to, any of the Title Documents or the Property Documents.

(v) Seller has made no written or oral commitments to or agreements with any governmental authority or agency materially and adversely affecting the Real Property, or any part hereof, or any interest therein, which will survive the Closing. Seller has entered into no understanding or agreement with any taxing or assessing authority respecting the imposition or deferment of any taxes or assignments respecting the Real Property. For purposes of this Agreement, "best of Seller's knowledge" means the actual knowledge of the City Manager, no investigation having been made.

(vi) To the best of the Seller's knowledge, no document supplied to Buyer by Seller contains any untrue statement of a material fact, and no document omits any facts that would be necessary, in the circumstances, to make the document supplied not misleading.

(b) Subsequent Changes to Seller's Representations and Warranties. If, prior to the Closing, Buyer or Seller should learn, discover or become aware of any existing or new item, fact or circumstance which renders a representation or warranty of Seller set forth herein incorrect

or untrue in any respect (collectively, the "Seller Representation Matter"), then the party who has learned, discovered or become aware of such Representation Matter shall promptly give written notice thereof to the other party and Seller's representations and warranties shall be automatically limited to account for the Representation Matter. Buyer shall have the right to approve or disapprove any such change and to terminate this Agreement by written notice to Seller if Buyer reasonably disapproves any such change. If Buyer does not elect to terminate this Agreement, Seller's representation shall be qualified by such Seller Representation Matter and Seller shall have no obligation to Buyer for such Seller Representation Matter.

(c) Buyer's Representations and Warranties. In consideration of Seller entering into this Agreement and as an inducement to Seller to sell the Real Property, Buyer makes the following representations and warranties as of the date hereof and at and as of the Closing, each of which is material and is being relied upon by Seller (and the truth and accuracy of which shall constitute a condition precedent to Seller's obligations hereunder), and all of which shall survive Closing:

(i) Buyer has the legal power, right and authority to enter into this Agreement and the instruments referenced herein, and to consummate the transaction contemplated hereby.

(ii) All requisite action has been taken by Buyer in connection with entering into this Agreement and the instruments referenced herein; and, by the Closing, all such necessary action will have been taken to authorize the consummation of the transaction contemplated hereby. By the Closing no additional consent of any individual, judicial or administrative body, governmental authority or other party shall be required for Seller to consummate the transaction contemplated by this Agreement.

(iii) The individuals executing this Agreement and the instruments referenced herein on behalf of Buyer have the legal power, right and actual authority to bind Buyer to the terms and conditions hereof and thereof.

(iv) Buyer agrees, acknowledges, and represents that the use of the Real Property prior to the Effective Date and continuing to the conveyance of the Real Property to Buyer has been a nonconforming use and that any improvements may not be made to the Real Property without the prior written approval of the City of Rocklin, a municipal corporation; this provisions hall survive the close of escrow.

(v) Buyer agrees and acknowledges that as purchaser of the Real Property and as the fee owner of the Adjacent Area, the Real Property and the Adjacent Area shall be held in common ownership and neither the Real Property nor the Adjacent Area may be conveyed without the other being concurrently conveyed.

(vi) Neither the execution and delivery of this Agreement and the documents and instruments referenced herein, nor incurring the obligations set forth herein, nor the consummation of the transaction contemplated herein, nor compliance with the terms of this Agreement and the documents and instruments referenced herein conflict with or result in the material breach of any terms, conditions or provisions of, or constitute a default under, any bond, note or other evidence of indebtedness or any contract, indenture, mortgage, deed of trust, loan,

partnership agreement, lease or other agreement or instrument to which Buyer is a party or by which any of Buyer's properties are bound.

(d) Subsequent Changes to Buyer's Representations and Warranties. If, prior to the Closing, Seller or Buyer should learn, discover or become aware of any existing or new item, fact or circumstance which renders a representation or warranty of Buyer set forth herein incorrect or untrue in any respect (collectively, the "Buyer's Representation Matter"), then the party who has learned, discovered or become aware of such Buyer's Representation Matter shall promptly give written notice thereof to the other party and Buyer's representations and warranties shall be automatically limited to account for the Buyer's Representation Matter. Seller shall have the right to approve or disapprove any such change and to terminate this Agreement by written notice to Buyer if Seller reasonably disapproves any such change. If Seller does not elect to terminate this Agreement, Buyer's representation shall be qualified by such Buyer's Representation Matter and Buyer shall have no obligation to Seller for such Buyer's Representation Matter.

14. Provisions that Shall Survive Closing. (a) Buyer (as purchaser of the Real Property and as owner of the Adjacent Area) agrees and acknowledges that: (i) the existing general plan designation and zoning of the Real Property is for open space use, only; (ii) it is not contemplated that there will be a rezone or change in the general plan designation of the Real Property that would broaden uses beyond use as open space; (iii) the City does not have any duty to consider or approve any change to the general plan and zoning designations for the Real Property as in effect as of the date the Real Property is conveyed to Buyer and any requests for changes to a general plan and zoning designation would be subject to the land use processes of the City, including without limitation public hearings; (iv) the use of the Real Property prior to and as of the date of conveyance of the Real Property to Buyer may constitute a nonconforming land use and consequently the undertaking of any improvements to the Real Property shall be subject to the prior written approval of City based upon whether the proposed improvements would expand a nonconforming use or are otherwise inconsistent with the provisions of the Rocklin Municipal Code as in effect from time to time; (v) the Real Property and the Adjacent Area must be held in common ownership and neither the Real Property nor the Adjacent Area may be conveyed without the other being conveyed at the same time and under the same conveyance.

(b) Buyer shall be responsible for the following, whether occurring prior to or after the conveyance of the Real Property to Buyer: (i) any property tax liability and/or assessments associated with the Real Property, including without limitation any liability for property tax based upon a possessory interest; (ii) any liability associated with the use of the Real Property; (iii) the condition of the Real Property, including without limitation subsurface conditions. Buyer agrees to hold harmless and indemnify City, as well as City's officials, employees, and agents from any claims, losses, suits, or judgments associated with the use of the Real Property whether such claims, possess, suits, or judgments arise from or involve facts occurring before or after the conveyance of the Real Property to Buyer.

(c) The provisions of subsection (c) of Section 13 hereof, including without limitation parts (iv) and (v) as well as the provisions of subsection (c) of Section 15 shall survive Closing.

15. General Provisions.

(a) Condemnation. If any material portion of the Real Property shall be taken or appropriated by a public or quasi-public authority exercising the power of eminent domain, Buyer

shall have the right, at its option, to (i) terminate this Agreement or (ii) proceed with the purchase of the Real Property and receive all of the award or payment made in connection with such taking.

(b) Notices. All notices, demands, requests or other communications required or permitted hereunder (collectively, "Notices") shall be in writing, shall be addressed to the receiving party as provided in the Basic Term section above, and shall be personally delivered, sent by overnight mail (Federal Express or another carrier that provides receipts for all deliveries), sent by certified mail, postage prepaid, return receipt requested, or sent by facsimile transmission (provided that a successful transmission report is received). All Notices shall be effective upon receipt at the appropriate address. Notice of change of address shall be given by written notice in the manner detailed in this Section. Rejection or other refusal to accept or the inability to deliver because of changed address of which no Notice in accordance with this Section was given shall be deemed to constitute receipt of such Notice. The providing of copies of Notices to the parties' respective counsels is for information only, is not required for valid Notice and does not alone constitute Notice hereunder.

(c) Brokers. Seller assumes sole responsibility for any consultants or brokers ("Seller's Agents") it may have retained in connection with the sale of the Real Property. Seller represents to Buyer that Seller has engaged no finders or real estate brokers in connection with the sale of the Real Property to the Buyer , and there are no brokerage commission, finder's fee or other compensation of any kind is due or owing to any person or entity in connection with this Agreement. Seller agrees to and does hereby indemnify and hold Buyer free and harmless from and against any and all costs, liabilities or causes of action or proceedings which may be instituted by any broker, agent or finder, licensed or otherwise, claiming through, under or by reason of the conduct of the Seller in connection with this Agreement.

Buyer assumes sole responsibility for any consultants or brokers ("Buyer's Agents") it may have retained in connection with the purchase of the Real Property. Buyer represents to Seller that Buyer has engaged no finders or real estate brokers in connection with the purchase of the Real Property by the Buyer, and there are no brokerage commission, finder's fee or other compensation of any kind is due or owing to any person or entity in connection with this Agreement. Buyer agrees to and does hereby indemnify and hold Seller free and harmless from and against any and all costs, liabilities or causes of action or proceedings which may be instituted by any broker, agent or finder, licensed or otherwise, claiming through, under or by reason of the conduct of the Buyer in connection with this Agreement.

(d) Waiver, Consent and Remedies. Each provision of this Agreement to be performed by Buyer and Seller shall be deemed both a covenant and a condition and shall be a material consideration for Seller's and Buyer's performance hereunder, as appropriate, and any breach thereof by Buyer or Seller shall be deemed a material default hereunder. Either party may specifically and expressly waive in writing any portion of this Agreement or any breach thereof, but no such waiver shall constitute a further or continuing waiver of a preceding or succeeding breach of the same or any other provision. A waiving party may at any time thereafter require further compliance by the other party with any breach or provision so waived. The consent by one party to any act by the other for which such consent was required shall not be deemed to imply consent or waiver of the necessity of obtaining such consent for the same or any similar acts in the future. No waiver or consent shall be implied from silence or any failure of a party to act, except as otherwise specified in this Agreement. All rights, remedies, undertakings, obligations, options, covenants, conditions and agreements contained in this Agreement shall be cumulative and no one of them shall

be exclusive of any other. Except as otherwise specified herein, either party hereto may pursue any one or more of its rights, options or remedies hereunder or may seek damages or specific performance in the event of the other party's breach hereunder, or may pursue any other remedy at law or equity, whether or not stated in this Agreement.

(e) Cooperation. Buyer and Seller agree to execute such instruments and documents and to diligently undertake such actions as may be required in order to consummate the purchase and sale herein contemplated and shall use all reasonable efforts to accomplish the Closing in accordance with the provisions hereof.

(f) Remedies. Without limitation as to the availability of other remedies, this Agreement may be enforced by an action for specific enforcement.

(g) Time. Time is of the essence of every provision herein contained. In the computation of any period of time provided for in this Agreement or by law, the day of the act or event from which said period of time runs shall be excluded, and the last day of such period shall be included, unless it is a Saturday, Sunday, or legal holiday, in which case the period shall be deemed to run until 5:00 p.m. of the next day that is not a Saturday, Sunday, or legal holiday. Except as otherwise expressly provided herein, all time periods expiring on a specified date or period herein shall be deemed to expire at 5:00 p.m. on such specified date or period.

(h) Counterparts; Facsimile Signatures. This Agreement may be executed in multiple counterparts, each of which shall be deemed an original, but all of which, together, shall constitute but one and the same instrument. A facsimile signature shall be deemed an original signature.

(i) Captions; Interpretation. Any captions to, or headings of, the sections or subsections of this Agreement are solely for the convenience of the parties hereto, are not a part of this Agreement, and shall not be used for the interpretation or determination of the validity of this Agreement or any provision hereof. This Agreement shall be interpreted as if prepared jointly and equally by both of the Seller and the Buyer.

(j) No Third Party Beneficiaries. The execution and delivery of this Agreement shall not be deemed to confer any rights upon, nor obligate any of the parties to this Agreement to, any person or entity other than the parties hereto.

(k) Amendment to this Agreement. The terms of this Agreement may not be modified or amended except by an instrument in writing executed by each of the parties hereto.

(l) Waiver. The waiver or failure to enforce any provision of this Agreement shall not operate as a waiver of any future breach of any such provision or any other provision hereof.

(m) Applicable Law. This Agreement shall be governed by and construed in accordance with the local law of the State of California.

(n) Exhibits and Schedules. The exhibits and schedules attached hereto are incorporated herein by this reference for all purposes.

(o) Entire Agreement. This Agreement supersedes any prior agreements, negotiations and communications, oral or written, and contains the entire agreement between, and

the final expression of, Buyer and Seller with respect to the subject matter hereof. The parties hereto expressly agree and confirm that this Agreement is executed without reliance on any oral or written statements, representations or promises of any kind which are not expressly contained in this Agreement. No subsequent agreement, representation or promise made by either party hereto, or by or to an employee, officer, agent or representative of either party hereto shall be of any effect unless it is in writing and executed by the party to be bound thereby.

(p) Successors and Assigns. This Agreement shall be binding upon and shall inure to the benefit of the permitted successors and assigns of the parties hereto.

(q) Assignment. This Agreement may not be assigned without the prior written consent of the other party hereto and the prior written consent of the Authority; such consent may be granted, conditionally granted, or refused at the sole discretion of each party.

WITNESS WHEREOF, the parties hereto have executed this Agreement as of the day and year first written above.

"SELLER"

CITY OF ROCKLIN

By: _____
Mayor, City of Rocklin

"BUYER"

[NAME OF OWNER OF ADJACENT AREA],
_____

By: _____
[Name of Owner of Adjacent Area]

Acceptance by Escrow Holder:

First American Title Company hereby acknowledges that it has received a fully executed copy of the foregoing Purchase and Sale Agreement and Joint Escrow Instructions by and between City of Rocklin ("Seller"), and the [Name of Owner of Adjacent Area], an unmarried woman, as Buyer, and agrees to act as Escrow Holder thereunder and to be bound by and strictly perform the terms thereof as such terms apply to Escrow Holder.

Dated: _____, 2023

FIRST AMERICAN TITLE COMPANY

By:_____
Name:_____
Its:_____

EXHIBIT A

LEGAL DESCRIPTION OF THE REAL PROPERTY

EXHIBIT B

LEGAL DESCRIPTION OF THE ADJACENT AREA

[to come]

EXHIBIT C

AGREEMENT AFFECTING REAL PROPERTY

RECORDING REQUESTED BY:

WHEN RECORDED RETURN TO:

City of Rocklin
3970 Rocklin Road
Rocklin, California 95677
Attn: City Manager

(Space above for Recorder's Use.)

This document is exempt from the payment of a recording fee pursuant to Government Code Section 27383.

AGREEMENT AFFECTING REAL PROPERTY

This Agreement Affecting Property ("Agreement Affecting Real Property"), Conditions and Restrictions, herein sometimes referred to as these "CC&Rs" or "Declaration" or "Regulatory Agreement" are made by the signatories hereto.

R E C I T A L S

A. _____ ("Owner") is and for a substantial period of time has been the owner in fee of that certain property (the "Adjacent Area") as described in Attachment No. 2 hereto. The City of Rocklin, a municipal corporation ("City" and together with Owner, "Declarants") is conveying to Owner that certain property (the "Real Property") described in Attachment No. 1 hereto of even date with the recording of this Agreement Affecting Real Property.

Each of Buyer and Owner has had the opportunity to review the contents of this Agreement Affecting Property/Agreement Affecting Property with legal counsel of its choosing.

NOW, THEREFORE, the City and the Owner (the latter as owner of real property interests described hereinabove located within the corporate limits of the City), declare that the Real Property shall be held, transferred, encumbered, used, sold, conveyed, leased and occupied subject to the Agreement Affecting Property hereinafter set forth expressly and exclusively for the use and benefit of said property, and the City. Each and all of the restrictions, limitations, conditions, covenants, liens, reservations and charges herein contained shall run with the land and be recorded on the property title and shall be binding on Declarants, their grantees, successors, heirs, executors, administrators, devisees or assigns, and all subsequent owners of all or any part of the Real Property.

ARTICLE I
DEFINITIONS

The definitions provided herein shall be applicable to this Declaration and also to any amendment or supplemental Declaration (unless the context implicitly or explicitly shall prohibit), recorded against the Real Property pursuant to the provision of this Declaration.

Section 1. "Adjacent Area" means that land described in Attachment No. 2 including without limitation any appurtenances or improvements thereto.

Section 2. "City Code" means and refers to the City of Rocklin Municipal Code as revised from time to time.

Section 3. "Real Property" means that land described in Attachment No. 2 including without limitation any appurtenances or improvements thereto.

Section 4. "Required Period" means the period commencing on the date this Regulatory Agreement is recorded and ending as of July 1, 2071.

ARTICLE II
LAND USE RESTRICTIONS; IMPROVEMENTS

Section 1. Limitations as to Use; Limitation as to Improvements; Covenant to Hold in Common Ownership. Owner and Buyer agree and acknowledge that: (i) the existing general plan designation and zoning of the Real Property is for open space use, only; (ii) it is not contemplated that there will be a rezone or change in the general plan designation of the Real Property that would broaden uses beyond use as open space; (iii) the City does not have any duty to consider or approve any change to the general plan and zoning designations for the Real Property as in effect as of the date the Real Property is conveyed to Buyer and any requests for changes to a general plan and zoning designation would be subject to the land use processes of the City, including without limitation public hearings; (iv) the use of the Real Property prior to and as of the date of conveyance of the Real Property to Buyer may constitute a nonconforming land use and consequently the undertaking of any improvements to the Real Property shall be subject to the prior written approval of City based upon whether the proposed improvements would expand a nonconforming use or are otherwise inconsistent with the provisions of the Rocklin Municipal Code as then in effect; (v) the Real Property and the Adjacent Area must be held in common ownership and neither the Real Property nor the Adjacent Area may be conveyed without the other being conveyed at the same time and under the same conveyance;

Section 2. Certain Responsibilities of Buyer. Buyer shall be responsible for the following, whether occurring prior to or after the conveyance of the Real Property to Buyer: (i) any property tax

liability and/or assessments associated with the Real Property, including without limitation any liability for property tax based upon a possessory interest; (ii) any liability associated with the use of the Real Property; (iii) the condition of the Real Property, including without limitation subsurface conditions. Buyer agrees to hold harmless and indemnify City, as well as City's officials, employees, and agents from any claims, losses, suits, or judgments associated with the use of the Real Property whether such claims, possess, suits, or judgments arise from or involve facts occurring before or after the conveyance of the Real Property to Buyer.

The Real Property shall be used, maintained and operated in accordance with this Regulatory Agreement for the Required Period.

Section 3. Nondiscrimination. The Owner shall refrain from restricting the rental, sale or lease of the Real Property and Adjacent Area, or any portion thereof, on the basis of race, color, creed, religion, sex, marital status, national origin or ancestry of any person. All such deeds, leases or contracts shall contain or be subject to substantially the following nondiscrimination or nonsegregation clauses:

(1) In deeds: "The grantee herein covenants by and for himself or herself, his or her heirs, executors, administrators, and assigns, and all persons claiming under or through them, that there shall be no discrimination against or segregation of, any person or group of persons on account of any basis listed in subdivision (a) or (d) of Section 12955 of the Government Code, as those bases are defined in Sections 12926, 12926.1, subdivision (m) and paragraph (1) of subdivision (p) of Section 12955, and Section 12955.2 of the Government Code, in the sale, lease, sublease, transfer, use, occupancy, tenure, or enjoyment of the premises herein conveyed, nor shall the grantee or any person claiming under or through him or her, establish or permit any practice or practices of discrimination or segregation with reference to the selection, location, number, use or occupancy of tenants, lessees, subtenants, sublessees, or vendees in the premises herein conveyed. The foregoing covenants shall run with the land."

(2) In leases: "The lessee herein covenants by and for himself or herself, his or her heirs, executors, administrators, and assigns, and all persons claiming under or through him or her, and this lease is made and accepted upon and subject to the following conditions:

That there shall be no discrimination against or segregation of any person or group of persons, on account of any basis listed in subdivision (a) or (d) of Section 12955 of the Government Code, as those bases are defined in Sections 12926, 12926.1, subdivision (m) and paragraph (1) of subdivision (p) of Section 12955, and Section 12955.2 of the Government Code, in the leasing, subleasing, transferring, use, occupancy, tenure, or enjoyment of the premises herein leased nor shall the lessee himself or herself, or any person claiming under or through him or her, establish or permit any such practice or practices of discrimination or segregation with reference to the selection, location, number, use, or occupancy, of tenants, lessees, sublessees, subtenants, or vendees in the premises herein leased. The foregoing covenants shall run with the land."

(3) In contracts: "There shall be no discrimination against or segregation of any person or group of persons, on account of any basis listed in subdivision (a) or (d) of Section 12955 of the Government Code, as those bases are defined in Sections 12926, 12926.1, subdivision (m) and paragraph (1) of subdivision (p) of Section 12955, and Section 12955.2 of the Government Code, in the sale, lease, sublease, transfer, use, occupancy, tenure, or enjoyment of the premises subject to this agreement nor shall the lessee himself or herself, or any person claiming under or through him or her,

establish or permit any such practice or practices of discrimination or segregation with reference to the selection, location, number, use, or occupancy, of tenants, lessees, sublessees, subtenants, or vendees in the premises. The foregoing covenants shall run with the land."

The covenants established in this Declaration (as to both the Real Property and the Adjacent Area) and the deed of conveyance for the Real Property to Owner Area shall, without regard to technical classification and designation, be binding for the benefit and in favor of each of the City and any successor in interest to the Real Property and Adjacent Area, together with any property acquired by the Owner pursuant to this Agreement, or any part thereof. The covenants against discrimination as set forth in this Section 3 of Article II shall remain in effect in perpetuity.

Section 10. Improvements. Nothing shall be done on the Real Property including any improvements thereto without the prior written consent of City. In determining whether to grant such approval(s), the City may consider the general plan and zoning designations applicable to the Real Property, the nonconforming use status of the Real Property and any improvements thereto, and the principle and laws to the effect that nonconforming uses are not to be extended or expanded. This Section 10 shall not be deemed to apply to the Adjacent Area.

Section 11. Compliance with Laws; Limitation on Use of the Real Property. The Owner shall comply with all applicable laws in connection with the use of the Real Property and the Adjacent Area. In addition, use of the Real Property is strictly limited to use as open space benefiting the owner of the Adjacent Area.

ARTICLE III
MAINTENANCE RESPONSIBILITIES

Section 1. Maintenance. The Real Property, any improvements thereto, shall be maintained by Owner in a safe and clean condition and in conformity with all Governmental Requirements (as defined below).

For purposes of this Section, "Governmental Requirements" means all laws, ordinances, statutes, codes, rules, regulations, orders and decrees of the United States, the state, the county, the City, or any other political subdivision in which the Real Property is located, and of any other political subdivision, agency or instrumentality exercising jurisdiction over the Owner or the Real Property.

Section 2. Compliance With Laws. Owner shall maintain the Real Property, including without limitation the use thereof, in conformity with all applicable Governmental Requirements.

Section 2. Damage and Destruction Affecting Project - Owner's Duty to Rebuild. If all or any portion of the Real Property and the improvements thereon is damaged or destroyed by fire or other casualty, it shall be the duty of the Owner to rebuild, repair or reconstruct said portion of the Real Property and/or the improvements in a timely manner which will restore it to Code compliance condition.

ARTICLE IV
ENFORCEMENT

Section 1. Remedies. Breach of the covenants contained in the Declaration may be enjoined, abated or remedied by appropriate legal proceeding by the City.

This Declaration does not in any way infringe on the right or duties of the City of Rocklin to enforce any of the provisions of the Rocklin Municipal Code including, but not limited to, the abatement of dangerous buildings.

Section 2. Nuisance. The result of every act or omission whereby any of the covenants contained in this Declaration are violated in whole or in part is hereby declared to be and constitutes a nuisance, and every remedy allowable at law or equity, against a nuisance, either public or private, shall be applicable against every such result and may be exercised by any owner or its successors in interest, without derogation of the City's rights under law.

Section 3. Right of Entry. In addition to the above general rights of enforcement, the City shall have the right through its agents and employees, to enter upon any part of the Real Property for the purpose of enforcing the ordinances and other regulations of the City. In addition, the City has the right of entry at reasonable hours and upon and after reasonable attempts to contact Owner, on the Real Property to effect emergency repairs or maintenance which the Owner has failed to perform. Subsequent to sixty (60) days written notice to the Owner specifically outlining the Owner's noncompliance, the City shall have the right of entry on the Real Property at reasonable hours to enforce compliance with this Declaration which the Owner has failed to perform.

Section 4. Costs of Repair. The costs borne by the City of any such repairs or maintenance emergency and/or non-emergency, shall become a charge for which Owner shall be responsible.

Section 5. Cumulative Remedies. The remedies herein provided for breach of the covenants contained in this Declaration shall be deemed cumulative, and none of such remedies shall be deemed exclusive.

Section 6. Failure to Enforce. The failure to enforce any of the covenants contained in this Declaration shall not constitute a waiver of the right to enforce the same thereafter.

Section 7. Enforcement and Nonliability. The City may from time to time make such efforts, if any, as it shall deem appropriate enforce and/or assist in enforcing this Declaration. However, the City will not be subject to any liability for failure to affirmatively enforce any provision of this Declaration.

ARTICLE V
GENERAL PROVISIONS

Section 1. Agreement Affecting Property Against Partition. By acceptance of its interest in the Real Property, the Owner shall be deemed to covenant for itself and for its heirs, representatives, successors and assigns, that it will not institute legal proceedings or otherwise seek to effect partition of its right and interest in the interest being conveyed to the Owner, or the burdens running with the land as a result of this Regulatory Agreement.

Section 2. Severability. Invalidation of any one of these covenants or restrictions by judgment or court order shall in no way affect any other provisions which shall remain in all force and effect.

Section 3. Term. This Declaration shall run with and bind the interest of the Owner in the Real Property, and shall inure to the owner(s) of any property subject to this Declaration, his legal representatives, heirs, successors and assigns, and Article II, Sections 1 and 2, be enforceable by the City, for a term equal to the Required Period; however, that the covenants regarding nondiscrimination set forth in Section 3 of Article II of this Declaration shall remain in effect for perpetuity.

Section 4. Construction. The provisions of this Declaration shall be liberally construed to effectuate its purpose of causing the Real Property and the Adjacent Area to be held as one unit under common ownership.

Section 5. Amendments. This Declaration may be amended only by the written agreement of the Owner and the City.

Section 7. Notices. Any notice permitted or required to be delivered as provided herein to Owner shall be in writing and may be delivered either personally or by certified mail. Notice to the City shall be made by certified mail to the City Manager or his designee at 3970 Rocklin Road, Rocklin, California 95677, and shall be effective upon receipt. Notice to Owner shall be made by certified mail to _____, _____ Outlook Drive, Rocklin, California 95677, and shall be effective upon receipt. Such address may be changed from time to time by notice in writing.

CITY:

CITY OF ROCKLIN,
a municipal corporation

By: _____
City Manager

OWNER:

OWNER

_____

Name:

ATTACHMENT NO. 1

LEGAL DESCRIPTION OF THE REAL PROPERTY

[to come]

APN: _____

ATTACHMENT NO. 2

LEGAL DESCRIPTION OF THE ADJACENT AREA

[to come]

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA)
) ss.
COUNTY OF _____)

On _____, before me, _____ , Notary Public,
(Print Name of Notary Public)

personally appeared _____

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

_____
Signature of Notary Public

OPTIONAL

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

☐ Individual
☐ Corporate Officer

_____
Title(s)

☐ Partner(s) ☐ Limited ☐ General
☐ Attorney-In-Fact
☐ Trustee(s)
☐ Guardian/Conservator
☐ Other:_____

Signer is representing:
Name Of Person(s) Or Entity(ies)

_____

_____

DESCRIPTION OF ATTACHED DOCUMENT

_____
Title Or Type Of Document

_____
Number Of Pages

_____
Date Of Documents

_____
Signer(s) Other Than Named Above

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA)
) ss.
COUNTY OF _____)

On _____, before me, _____ , Notary Public,
(Print Name of Notary Public)

personally appeared _____

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

_____
Signature of Notary Public

OPTIONAL

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

☐ Individual
☐ Corporate Officer

_____
Title(s)

☐ Partner(s) ☐ Limited ☐ General
☐ Attorney-In-Fact
☐ Trustee(s)
☐ Guardian/Conservator
☐ Other:_____

Signer is representing:
Name Of Person(s) Or Entity(ies)

_____

_____

DESCRIPTION OF ATTACHED DOCUMENT

_____
Title Or Type Of Document

_____
Number Of Pages

_____
Date Of Documents

_____
Signer(s) Other Than Named Above

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA)
) ss.
COUNTY OF _____)

On _____, before me, _____ , Notary Public,
(Print Name of Notary Public)

personally appeared _____

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

_____
Signature of Notary Public

OPTIONAL

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

☐ Individual
☐ Corporate Officer

_____
Title(s)

☐ Partner(s) ☐ Limited ☐ General
☐ Attorney-In-Fact
☐ Trustee(s)
☐ Guardian/Conservator
☐ Other:_____

Signer is representing:
Name Of Person(s) Or Entity(ies)

_____

_____

DESCRIPTION OF ATTACHED DOCUMENT

_____
Title Or Type Of Document

_____
Number Of Pages

_____
Date Of Documents

_____
Signer(s) Other Than Named Above

EXHIBIT D

CITY DEED

RECORDING REQUESTED BY AND WHEN RECORDED MAIL TO:	
Documentary Transfer Tax: $_____ Based on Full Value of Real Property Conveyed	This document is exempt from payment of a recording fee pursuant to government Code Section 27383.

GRANT DEED

For valuable consideration, receipt of which is hereby acknowledged,

The **CITY OF ROCKLIN**, a municipal corporation (the "City"), hereby grants to **_____,** _____ ("Grantee"), the real property described in Attachment No. 1 attached hereto and incorporated herein (the "Real Property"), subject to existing easements, restrictions and covenants of record, and the provisions set forth in Sections 1, 2, and 3 hereof.

1. **Requirement to Hold in Common Ownership**. Grantee has been the owner of that certain property described in Attachment No. 2 hereto (the "Adjacent Area") and is continuing in such ownership. The Adjacent Area is improved with a single family residence. As an ongoing condition, enforceable by City, the Real Property and the Adjacent Area shall be held in common ownership and neither the Real Property nor the Adjacent Area may be conveyed without the other. Any conveyance of the Real Property shall be made with a concurrent conveyance of the Adjacent Area to the same purchaser. The requirement and limitation of this Section 1 is further set forth in that certain instrument entitled "Agreement Affecting Real Property" (the "Agreement Affecting Property"), to be recorded of even date hereof, duly executed by Grantee as Grantee of the Real Property and as fee owner of the Adjacent Area. An unrecorded copy of the Agreement Affecting Property shall be maintained on file with City as a public record. The Agreement Affecting Property shall be substantially in the form set forth as Exhibit "C" in that certain unrecorded agreement entitled "Purchase and Sale Agreement" by and between City and Grantee dated as of _____ (the "Purchase and Sale Agreement"), a copy of which shall be maintained on file with City as a public record.

2. **Limitation on Use of the Real Property**. Use of the Real Property is limited to only those uses which are consistent with uses permitted by City (acting in its governmental capacity) for land zoned for open space use. Use of the Real Property shall be limited to use by Grantee. Any improvements to the Real Property or operation of other uses shall require the prior written approval of City.

3. **Nondiscrimination**. The Grantee herein covenants by and for himself or herself, his or her heirs, executors, administrators, and assigns, and all persons claiming under or through them, that there shall be no discrimination against or segregation of, any person or group of persons on account of any basis listed in subdivision (a) or (d) of Section 12955 of the Government Code, as those bases are defined in Sections 12926, 12926.1, subdivision (m) and paragraph (1) of subdivision (p) of Section 12955, and Section 12955.2 of the Government Code, in the sale, lease, sublease, transfer, use, occupancy, tenure, or enjoyment of the premises herein conveyed, nor shall the grantee or any person claiming under or through him or her, establish or permit any practice or practices of discrimination or segregation with reference to the selection, location, number, use or occupancy of tenants, lessees, subtenants, sublessees, or vendees in the premises herein conveyed. The foregoing covenants shall run with the land.

CITY:

CITY OF ROCKLIN, a municipal corporation

_____

City Manager

ACKNOWLEDGMENT BY GRANTEE

Grantee acknowledges and concurs in the foregoing, including the provisions of Sections 1, 2 and 3.

_____

By: _____
Name:

ATTACHMENT NO. 1

LEGAL DESCRIPTION OF REAL PROPERTY

[to come]

APN: _____

ATTACHMENT NO. 2

LEGAL DESCRIPTION OF THE ADJACENT AREA

[to come]

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA)
) ss.
COUNTY OF _____)

On _____, before me, _____ , Notary Public,
(Print Name of Notary Public)

personally appeared _____

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

_____
Signature of Notary Public

OPTIONAL

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

☐ Individual
☐ Corporate Officer

_____
Title(s)

☐ Partner(s) ☐ Limited ☐ General
☐ Attorney-In-Fact
☐ Trustee(s)
☐ Guardian/Conservator
☐ Other:_____

Signer is representing:
Name Of Person(s) Or Entity(ies)

_____

_____

DESCRIPTION OF ATTACHED DOCUMENT

_____
Title Or Type Of Document

_____
Number Of Pages

_____
Date Of Documents

_____
Signer(s) Other Than Named Above

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA)
) ss.
COUNTY OF _____)

On _____, before me, _____ , Notary Public,
(Print Name of Notary Public)

personally appeared _____

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

_____
Signature of Notary Public

OPTIONAL

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER

☐ Individual
☐ Corporate Officer

_____
Title(s)

☐ Partner(s) ☐ Limited ☐ General
☐ Attorney-In-Fact
☐ Trustee(s)
☐ Guardian/Conservator
☐ Other:_____

Signer is representing:
Name Of Person(s) Or Entity(ies)

_____

_____

DESCRIPTION OF ATTACHED DOCUMENT

_____
Title Or Type Of Document

_____
Number Of Pages

_____
Date Of Documents

_____
Signer(s) Other Than Named Above

EXHIBIT E

FIRPTA CERTIFICATE

SELLER'S AFFIDAVIT OF NONFOREIGN STATUS
AND/OR CALIFORNIA WITHHOLDING EXEMPTION
FOREIGN INVESTMENT IN REAL PROPERTY TAX ACT (FIRPTA)
AND CALIFORNIA WITHHOLDING LAW
(Use a separate form for each Transferor)

Internal Revenue Code ("IRC") Section 1445 provides that a transferee of a U.S. real property interest must withhold tax if the transferor is a "foreign person." California Revenue and Taxation Code Section 18662 provides that a transferee of a California real property interest must withhold tax unless an exemption applies.

I understand that this affidavit may be disclosed to the Internal Revenue Service and to the California Franchise Tax Board by the transferee, and that any false statement I have made herein may result in a fine, imprisonment or both.

1. **PROPERTY ADDRESS** (property being transferred):_____ ("Property")
2. **TRANSFEROR'S INFORMATION:**
   Full Name_____("Transferor")
   Telephone Number_____
   Address_____
   (Use HOME address for individual transferors. Use OFFICE address for an "Entity" i.e.: corporations, partnerships, limited liability companies, trusts and estates.)
   Social Security No., or Federal Employer Identification No. _____
   For a corporation qualified to do business in California, California Corporation No. _____
   Note: In order to avoid withholding, IRC Section 1445 (b) requires that the Seller (a) provides this affidavit to the Buyer with the Seller's taxpayer identification number ("TIN"), or (b) provides this affidavit, including Seller's TIN, to a "qualified substitute" who furnishes a statement to the Buyer under penalty of perjury that the qualified substitute has such affidavit in their possession. A qualified substitute may be (i) an attorney, title company, or escrow company (but not the Seller's agent) responsible for closing the transaction, or (ii) the Buyer's agent.
3. **AUTHORITY TO SIGN:** If this document is signed on behalf of an Entity Transferor, THE UNDERSIGNED INDIVIDUAL DECLARES THAT HE/SHE HAS AUTHORITY TO SIGN THIS DOCUMENT ON BEHALF OF THE TRANSFEROR.
4. **FEDERAL LAW:** I, the undersigned, declare under penalty of perjury that, for the reason checked below, if any, I am exempt (or if signed on behalf of an Entity Transferor, the Entity is exempt) from the federal withholding law (FIRPTA):
   - ☐ (For individual Transferors) I am not a nonresident alien for purposes of U.S. income taxation.
   - ☐ (For corporation, partnership, limited liability company, trust and estate Transferors) The Transferor is not a foreign corporation, foreign partnership, foreign limited liability company, foreign trust or foreign estate, as those terms are defined in the Internal Revenue Code and Income Tax Regulations.
5. **CALIFORNIA LAW:** I, the undersigned, declare under penalty of perjury that, for the reason checked below, if any, I am exempt (or if signed on behalf of an Entity Transferor, the Entity is exempt) from the California withholding law.

   Certifications which fully exempt the sale from withholding:
   - ☐ The total sales price for the Property is $100,000 or less.
   - ☐ The Property qualifies as my principal residence (or the decedent's, if being sold by the decedent's estate) within the meaning of IRC Section 121 (owned and occupied as such for two of the last five years).
   - ☐ The Property was last used as my principal residence (or the decedent's, if being sold by the decedent's estate) within the meaning of IRC Section 121 without regard to the two-year time period.
   - ☐ The transaction will result in a loss or zero gain for California income tax purposes. (Complete FTB Form 593-E.)
   - ☐ The Property has been compulsorily or involuntarily converted (within the meaning of IRC Section 1033) and Transferor intends to acquire property similar or related in service or use to be eligible for non-recognition of gain for California income tax purposes under IRC Section 1033.
   - ☐ Transferor is a corporation (or an LLC classified as a corporation) that is either qualified through the California Secretary of State or has a permanent place of business in California.
   - ☐ Transferor is a partnership (or an LLC that is not a disregarded single member LLC, classified as a partnership) and recorded title to the Property is in the name of the partnership or LLC. If so, the partnership or LLC must withhold from nonresident partners or members as required.
   - ☐ Transferor is exempt from tax under California or federal law.
   - ☐ Transferor is an insurance company, qualified pension/profit sharing plan, IRA or charitable remainder trust.

   Certifications which may partially or fully exempt the sale from withholding:
   - ☐ The Property is being, or will be, exchanged for property of like kind within the meaning of IRC Section 1031.
   - ☐ Payments for the Property are being made in installments, the transferor is a non-resident seller and withholding will be applied to each principal payment.
   - ☐ As a result of the sale of the Property, Seller's tax liability, calculated at the maximum tax rate regardless of Seller's actual rate, will be less than the 3 1/3% withholding otherwise required. Seller will be required to sign a certification, under penalty of perjury, specifying the amount to be withheld. (Not to be used for sales closing prior to January 1, 2007)

By_____ Date _____
(Transferor's Signature) (Indicate if you are signing as the grantor of a revocable/grantor trust)

_____ _____
Typed or printed name Title (if signed on behalf of Entity Transferor)

Buyer's unauthorized use or disclosure of Seller's TIN could result in civil or criminal liability.

Buyer_____ Date _____
(Buyer acknowledges receipt of a Copy of this Seller's Affidavit)
Buyer_____ Date _____
(Buyer acknowledges receipt of a Copy of this Seller's Affidavit)

Reviewed by _____ Date _____

SELLER'S AFFIDAVIT OF NONFOREIGN STATUS AND/OR CALIFORNIA WITHHOLDING EXEMPTION (AS PAGE 1 OF 2)

Agent:	**Phone:**	**Fax:**

IMPORTANT NOTICE: An Affidavit should be signed by each individual or entity Transferor to whom or to which it applies. Before you sign, any questions relating to the legal sufficiency of this form, or to whether it applies to you or to a particular transaction, or about the definition of any of the terms used, should be referred to an attorney, certified public accountant, or other professional tax advisor, the Internal Revenue Service, or the California Franchise Tax Board. For further information on federal guidelines, see C.A.R. Legal Q & A "*Federal Withholding: The Foreign Investment in Real Property Tax Act*," and/or IRS Publication 515 or 519. For further information on state guidelines, see C.A.R. Legal Q & A "*California Nonresident Withholding*," and/or California FTB Pub. 1016.

FEDERAL GUIDELINES

FOREIGN PERSONS DEFINED. The following general information is provided to assist sellers in determining whether they are "foreign persons" for purposes of the Foreign Investment in Real Property Tax Act (FIRPTA), IRC §1445. FIRPTA requires a buyer to withhold and send to the Internal Revenue Service 10% of the gross sales price of a United States (U.S.) real property interest if the seller is a foreign person. No withholding is required for a seller who is a U.S. person (that is, not a foreign person). In order for an individual to be a U.S. person, he/she must be either a U.S. citizen or a U.S. resident alien. The test must be applied separately to each seller in transactions involving more than one seller. Even if the seller is a foreign person, withholding will not be required in every circumstance.

NONRESIDENT ALIEN INDIVIDUAL. An individual whose residence is not within the U.S. and who is not a U.S. citizen is a nonresident alien. The term includes a nonresident alien fiduciary. An alien actually present in the U.S. who is not just staying temporarily (i.e., not a mere transient or sojourner), is a U.S. resident for income tax purposes. An alien is considered a U.S. resident and not subject to withholding under FIRPTA if the alien meets either the green card test or the substantial presence test for the calendar year.

GREEN CARD TEST. An alien is a U.S. resident if the individual was a lawful permanent resident of the U.S. at any time during the calendar year. This is known as the "green card test."

SUBSTANTIAL PRESENCE TEST. An alien is considered a U.S. resident if the individual meets the substantial presence test for the calendar year. Under this test, the individual must be physically present in the U.S. on at least: (1) 31 days during the current calendar year; and (2) 183 days during the current year and the two preceding years, counting all the days of physical presence in the current year but only 1/3 the number of days present in the first preceding year, and 1/6 the number of days present in the second preceding year.

DAYS OF PRESENCE IN THE U.S. TEST. Generally, a person is treated as physically present in the country at any time during the day. However, if a person regularly commutes to work in the U.S. from a residence in Canada or Mexico, or is in transit between two points outside the U.S. and is physically present in the country for less than 24 hours, he/she is not treated as present in the U.S. on any day during the transit or commute. In addition, the individual is not treated as present in the U.S. on any day during which he/she is unable to leave the U.S. because of a medical condition which arose while in the U.S.

EXEMPT INDIVIDUAL. For the substantial presence test, do not count days for which a person is an exempt individual. An exempt individual is anyone in the following categories:

(1) An individual temporarily present in the U.S. because of (a) full-time diplomatic or consular status, (b) full-time employment with an international organization or (c) an immediate family member of a person described in (a) or (b).

(2) A teacher or trainee temporarily present in the U.S. under a "J" visa (other than as a student) who substantially compiles with the requirements of the visa. An individual will not be exempt under this category for a calendar year if he/she was exempt as a teacher or trainee or as a student for any two calendar years during the preceding six calendar years.

(3) A student temporarily present in the U.S. under an "F" or "J" visa who substantially complies with the requirements of the visa. Generally, a person will not be exempt as a student for any calendar year after the fifth calendar year for which he/she was exempt as a student, teacher or trainee. However, the individual may continue to be exempt as a student beyond the fifth year if he/she is in compliance with the terms of the student visa and does not intend to permanently reside in the U.S.

CLOSER CONNECTION TO A FOREIGN COUNTRY. Even if an individual would otherwise meet the substantial presence test, that person is not treated as meeting the test for the current calendar year if he/she:

(1) Is present in the U.S. on fewer than 183 days during the current year, and

(2) Has a tax home in a foreign country and has a closer connection to that country than to the U.S.

SPECIAL RULES. It is possible to be both a nonresident alien and a resident alien during the same tax year. Usually this occurs for the year a person arrives in or departs from the U.S. Other special provisions apply to individuals who were U.S. residents for at least three years, cease to be U.S. residents, and then become U.S. residents again.

NONRESIDENT ALIEN INDIVIDUALS MARRIED TO U.S. CITIZENS OR RESIDENT ALIENS may choose to be treated as resident aliens for most income tax purposes. However, these individuals are considered nonresidents for purposes of withholding taxes.

A FOREIGN PERSON OR PARTNERSHIP is one that does not fit the definition of a domestic corporation or partnership. A domestic corporation or partnership is one that was created or organized in the U.S., or under the laws of the U.S., or of any U.S. state or territory.

GUAM AND U.S. VIRGIN ISLANDS CORPORATIONS. A corporation created or organized in or under the laws of Guam or the U.S. Virgin Islands is not considered a foreign corporation for the purpose of withholding tax for the tax year if:

(1) at all times during the tax year, less than 25% in value of the corporation's stock is owned, directly or indirectly, by foreign persons, and

(2) at least 20% of the corporation's gross income is derived from sources within Guam or at least 65% of the corporation's income is effectively connected with the conduct of a trade or business in the U.S. Virgin Islands or the U.S. for the 3-year period ending with the dose of the preceding tax year of the corporation, or the period the corporation has been in existence if less.

A NONRESIDENT ALIEN TRUSTEE, ADMINISTRATOR OR EXECUTOR of a trust or an estate is treated as a nonresident alien, even though all the beneficiaries of the trust or estate are citizens or residents of the U.S.

Integra Realty Resources
Sacramento

Appraisal of Real Property

Open Space Encroachments
Outlook Drive
Rocklin, Placer County, California 95677

Prepared For:
City of Rocklin

Effective Date of the Appraisal:
October 31, 2022

Report Format:
Appraisal Report – Standard Format

IRR - Sacramento
File Number: 193-2022-0546







Open Space Encroachments
Outlook Drive
Rocklin, California

Integra Realty Resources
Sacramento

590 Menlo Drive
Suite 1
Rocklin, CA 95765

T 916.435.3883
F 916.435.4774
www.irr.com

November 7, 2022

David Mohlenbrok
Community Development Director
City of Rocklin
3970 Rocklin Road
Rocklin, CA 95677

SUBJECT: Market Value Appraisal
Open Space Encroachments
Outlook Drive
Rocklin, Placer County, California 95677
IRR - Sacramento File No. 193-2022-0546

Dear Mr. Mohlenbrok:

Integra Realty Resources – Sacramento is pleased to submit the accompanying appraisal of the referenced property. The purpose of the appraisal is to develop an opinion of the market value of the fee simple interest in the property. The client for the assignment is City of Rocklin, and the intended use is for property disposition purposes.

In recent years homeowners have constructed decks and other home improvements which are encroaching on land owned by the City. As an alternative to requiring that the owners remove the improvements, the City is considering selling the encroachment areas to the homeowners for assemblage with the homeowners' adjacent individual home lots. The subject comprises twelve encroachment areas ranging in size from 90 to 3,091 square feet. The encroachment areas represent a portion of a larger parcel of vacant land containing a total area of 23.10 acres. The property is zoned OA (Open Area).

The appraisal is intended to conform with the Uniform Standards of Professional Appraisal Practice (USPAP), the Code of Professional Ethics and Standards of Professional Appraisal Practice of the Appraisal Institute, and applicable state appraisal regulations.

To report the assignment results, we use the Appraisal Report option of Standards Rule 2-2(a) of USPAP. As USPAP gives appraisers the flexibility to vary the level of information in an Appraisal Report depending on the intended use and intended users of the appraisal, we

adhere to the Integra Realty Resources internal standards for an Appraisal Report – Standard Format. This format summarizes the information analyzed, the appraisal methods employed, and the reasoning that supports the analyses, opinions, and conclusions.

Based on the valuation analysis in the accompanying report, and subject to the definitions, assumptions, and limiting conditions expressed in the report, our opinion of value is as follows:

Concluded Value of Enchroachments

Encroachment No.	Adjacent Lot No.	Adjacent Lot APN	Adjacent Lot Address	Encroachment Size (SF)	Value Conclusion (Nominal)
1	50	369-040-013	3259 Outlook Dr.	592	$500
2	51	369-040-014	3257 Outlook Dr.	1,226	$500
3	52	369-040-015	3255 Outlook Dr.	1,551	$500
4	53	369-040-016	3253 Outlook Dr.	3,091	$500
5	54	369-040-017	3251 Outlook Dr.	374	$500
6	55	369-040-018	3249 Outlook Dr.	544	$500
7	56	369-040-019	3247 Outlook Dr.	1,319	$500
8	57	369-040-020	3245 Outlook Dr.	779	$500
9	58	369-040-021	3243 Outlook Dr.	666	$500
10	59	369-040-022	3241 Outlook Dr.	396	$500
11	65	369-050-001	3229 Outlook Dr.	90	$500
12	66	369-050-003	3225 Outlook Dr.	210	$500

Extraordinary Assumptions and Hypothetical Conditions

The value conclusions are subject to the following extraordinary assumptions. An extraordinary assumption is an assignment-specific assumption as of the effective date regarding uncertain information used in an analysis which, if found to be false, could alter the appraiser's opinions or conclusions.

1. None

The value conclusions are based on the following hypothetical conditions. A hypothetical condition is a condition, directly related to a specific assignment, which is contrary to what is known by the appraiser to exist on the effective date of the assignment results, but is used for the purpose of analysis.

1. None

The use of any extraordinary assumption or hypothetical condition may have affected the assignment results.

If you have any questions or comments, please contact the undersigned. Thank you for the opportunity to be of service.

Respectfully submitted,

INTEGRA REALTY RESOURCES - SACRAMENTO

Noah Kauffman, MAI, R/W-AC
Certified General Real Estate Appraiser
California Certificate # 3004618
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Email: nkauffman@irr.com

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California Certificate # AG013567
Telephone: 916-435-3883, ext. 224
Email: kziegenmeyer@irr.com

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Executive Summary

Property Name	Open Space Encroachments
Address	Outlook Drive Rocklin, Placer County, California 95677
Property Type	Land - Open Space
Owner of Record	City of Rocklin
Tax ID	017-350-057 (Larger Parcel)
Land Area (larger parcel)	23.10 acres; 1,006,236 SF
Zoning Designation	OA, Open Area
Highest and Best Use	Recreational Land
Exposure Time; Marketing Period	12 months; 12 months
Effective Date of the Appraisal	October 31, 2022
Date of the Report	November 7, 2022
Property Interest Appraised	Fee Simple

Concluded Value of Enchroachments

Encroachment No.	Adjacent Lot No.	Adjacent Lot APN	Adjacent Lot Address	Encroachment Size (SF)	Value Conclusion (Nominal)
1	50	369-040-013	3259 Outlook Dr.	592	$500
2	51	369-040-014	3257 Outlook Dr.	1,226	$500
3	52	369-040-015	3255 Outlook Dr.	1,551	$500
4	53	369-040-016	3253 Outlook Dr.	3,091	$500
5	54	369-040-017	3251 Outlook Dr.	374	$500
6	55	369-040-018	3249 Outlook Dr.	544	$500
7	56	369-040-019	3247 Outlook Dr.	1,319	$500
8	57	369-040-020	3245 Outlook Dr.	779	$500
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Extraordinary Assumptions and Hypothetical Conditions

The value conclusions are subject to the following extraordinary assumptions. An extraordinary assumption is an assignment-specific assumption as of the effective date regarding uncertain information used in an analysis which, if found to be false, could alter the appraiser's opinions or conclusions.

1. None

The value conclusions are based on the following hypothetical conditions. A hypothetical condition is a condition, directly related to a specific assignment, which is contrary to what is known by the appraiser to exist on the effective date of the assignment results, but is used for the purpose of analysis.

1. None

The use of any extraordinary assumption or hypothetical condition may have affected the assignment results.

General Information

Identification of Subject

In recent years homeowners have constructed decks and other home improvements which are encroaching on land owned by the City. As an alternative to requiring that the owners remove the improvements, the City is considering selling the encroachment areas to the homeowners for assemblage with the homeowners' adjacent individual home lots. The subject comprises twelve encroachment areas ranging in size from 90 to 3,091 square feet. The encroachment areas represent a portion of a larger parcel of vacant land containing a total area of 23.10 acres. The property is zoned OA (Open Area). A legal description of the property was not provided.

Property Identification	
Property Name	Open Space Encroachments
Address	Outlook Drive
	Rocklin, California 95677
Tax ID	017-350-057 (Larger Parcel)
Owner of Record	City of Rocklin

Encroachment Areas

The subject encroachment areas and adjacent home lots are summarized in the following table. Details of the encroachment areas were provided for use in the appraisal.

Lots and Enchroachments

Encroachment No.	Encroachment Size (SF)	Adjacent Lot No.	Adjacent Lot APN	Adjacent Lot Address
1	592	50	369-040-013	3259 Outlook Dr.
2	1,226	51	369-040-014	3257 Outlook Dr.
3	1,551	52	369-040-015	3255 Outlook Dr.
4	3,091	53	369-040-016	3253 Outlook Dr.
5	374	54	369-040-017	3251 Outlook Dr.
6	544	55	369-040-018	3249 Outlook Dr.
7	1,319	56	369-040-019	3247 Outlook Dr.
8	779	57	369-040-020	3245 Outlook Dr.
9	666	58	369-040-021	3243 Outlook Dr.
10	396	59	369-040-022	3241 Outlook Dr.
11	90	65	369-050-001	3229 Outlook Dr.
12	210	66	369-050-003	3225 Outlook Dr.

Sale History

To the best of our knowledge, no sale or transfer of ownership has taken place within a three-year period prior to the effective appraisal date and the subject property is not subject to an agreement of sale or an option to buy, nor is it listed for sale, as of the effective appraisal date.

Purpose of the Appraisal

The purpose of the appraisal is to develop an opinion of the market value of the fee simple interest in the encroachment areas as of the effective date of the appraisal, October 31, 2022. The date of the report is November 7, 2022. The appraisal is valid only as of the stated effective date or dates.

Definition of Market Value

Market value is defined as:

"The most probable price which a property should bring in a competitive and open market under all conditions requisite to a fair sale, the buyer and seller each acting prudently and knowledgeably, and assuming the price is not affected by undue stimulus. Implicit in this definition is the consummation of a sale as of a specified date and the passing of title from seller to buyer under conditions whereby:

- Buyer and seller are typically motivated;
- Both parties are well informed or well advised, and acting in what they consider their own best interests;
- A reasonable time is allowed for exposure in the open market;
- Payment is made in terms of cash in U.S. dollars or in terms of financial arrangements comparable thereto; and
- The price represents the normal consideration for the property sold unaffected by special or creative financing or sales concessions granted by anyone associated with the sale."

(Source: Code of Federal Regulations, Title 12, Chapter I, Part 34.42[g]; also Interagency Appraisal and Evaluation Guidelines, Federal Register, 75 FR 77449, December 10, 2010, page 77472)

Definition of Property Rights Appraised

Fee simple estate is defined as, "Absolute ownership unencumbered by any other interest or estate, subject only to the limitations imposed by the governmental powers of taxation, eminent domain, police power, and escheat."

Source: Appraisal Institute, *The Dictionary of Real Estate Appraisal*, 7th ed. (Chicago: Appraisal Institute, 2022)

Intended Use and User

The intended use of the appraisal is for property disposition purposes. The client and intended user is the City of Rocklin. The appraisal is not intended for any other use or user. No party or parties other than the City of Rocklin may use or rely on the information, opinions, and conclusions contained in this report.

Applicable Requirements

This appraisal is intended to conform to the requirements of the following:

- Uniform Standards of Professional Appraisal Practice (USPAP);
- Code of Professional Ethics and Standards of Professional Appraisal Practice of the Appraisal Institute;
- Applicable state appraisal regulations.

Report Format

This report is prepared under the Appraisal Report option of Standards Rule 2-2(a) of USPAP. As USPAP gives appraisers the flexibility to vary the level of information in an Appraisal Report depending on the intended use and intended users of the appraisal, we adhere to the Integra Realty Resources internal standards for an Appraisal Report – Standard Format. This format summarizes the information analyzed, the appraisal methods employed, and the reasoning that supports the analyses, opinions, and conclusions.

Prior Services

USPAP requires appraisers to disclose to the client any other services they have provided in connection with the subject property in the prior three years, including valuation, consulting, property management, brokerage, or any other services. We have previously appraised the property that is the subject of this report for the current client. We have provided no other services, as an appraiser or in any other capacity, regarding the property that is the subject of this report within the three-year period immediately preceding the agreement to perform this assignment.

Scope of Work

To determine the appropriate scope of work for the assignment, we considered the intended use of the appraisal, the needs of the user, the complexity of the property, and other pertinent factors. Our concluded scope of work is described below.

Valuation Methodology

Appraisers usually consider the use of three approaches to value when developing a market value opinion for real property. These are the cost approach, sales comparison approach, and income capitalization approach. Use of the approaches in this assignment is summarized as follows:

Approaches to Value

Approach	Applicability to Subject	Use in Assignment
Cost Approach	Not Applicable	Not Utilized
Sales Comparison Approach	Applicable	Utilized
Income Capitalization Approach	Not Applicable	Not Utilized

The land area to be appraised comprises twelve areas within a larger parcel where homeowners have encroached on City-owned land. Based on the information provided, the twelve encroachment areas (subject), range in size from 90 to 3,091 square feet. The City is considering selling the encroachment areas to the adjacent homeowners as an alternative to requiring that the owners remove the encroaching improvements. We have been requested to provide a market value for the encroachment

areas. It should be noted from the outset that due to the characteristics of the encroachment areas (size, topography, potential uses) recent sales of highly similar properties in the subject's area are nearly non-existent and therefore any valuation undertaken is expected to be challenging.

Due to the lack of sales of highly similar properties, in valuing the encroachment areas, one might begin by considering the question "what is the amount the adjacent owners risk losing if they no longer have access to the encroachment areas?" However, as paraphrased from the previous definition, Market Value, is the most probable price in a "competitive and open market" … and assumes the price is not affected by "undue stimulus." In the case of the subject encroachment areas, a threat of the individual adjacent owners bearing the cost of removing the encroaching improvements as well as the threat of potential diminution in property value from a loss of access to these areas would be considered an undue stimulus. Each adjacent owner is considered to be a captive buyer for each encroachment area as the City is under no obligation to sell but could essentially force each owner to purchase each area or face alternative losses. When considering the market value of these land areas, it would not be appropriate to consider either the cost of removing or reproducing improvements within the encroachment areas elsewhere on the adjacent parcels, nor would it be appropriate to consider a potential diminution in value of the adjacent parcels. These indicators would reflect a forced purchase scenario and likely result in figures well above a market value due to the undue stimulus (threat of losses faced by the adjacent property owners).

The definition of Market Value also reflects the most probable price in a "competitive and open market." The City is considering selling each encroachment area only to a single adjacent property owner, which does not represent a competitive and open market. Although the valuation scenario requested does not represent a typical valuation premise, we have employed market logic in concluding a value for the subject land areas. Based on the larger property's Open Area zoning, the minimum divisible parcel size is one acre. Considering the larger property's size and shape, up to 23 one-acre parcels could ultimately be subdivided and as there are more than 50 adjacent property owners, each one-acre parcel could be offered in a competitive and open market for use by one of multiple potential adjacent owners. This represents the best corollary for analyzing portions of the subject larger property (subject encroachment areas) for sale to adjacent owners and forms the basis of our valuation analysis.

The subject larger Open Area-zoned property does not allow for construction of single-family homes but offers utility for recreational uses. The appraisal utilizes the sales comparison approach, based on sales of land offering recreational uses. This approach is applicable to the subject because there is an active market for similar properties, and sufficient sales data is available for analysis.

The cost approach is not applicable because the value of the subject is premised upon the land value only, and the income approach is not applicable because the subject is not likely to generate rental income commensurate with its value in its current state.

Research and Analysis

The type and extent of our research and analysis is detailed in individual sections of the report. Although we make an effort to confirm the arms-length nature of each sale with a party to the transaction, it is sometimes necessary to rely on secondary verification from sources deemed reliable.

Inspection

Noah Kauffman, MAI, R/W-AC, conducted an on-site inspection of the larger parcel at the time of our original appraisal (September 7, 2021). Kevin Ziegenmeyer, MAI, has not inspected the subject.

Economic Analysis

Area Analysis – Placer County

Introduction

Placer County is part of the four-county Sacramento Metropolitan Area, along with the counties of Sacramento, Yolo and El Dorado. The county is located in the north-central part of California, approximately 420 miles north of Los Angeles, 250 miles south of Oregon, 100 miles northeast of San Francisco, 80 miles west of Lake Tahoe and 100 miles southwest of Reno. The southernmost part of Placer County consists of a valley commonly referred to as South Placer, while the remainder of the county is divided into the Gold Country, where parts of Auburn and Colfax are located, and the High Country, which encompasses Tahoe City and Kings Beach along Lake Tahoe. Placer's largest cities are Roseville, Rocklin and Lincoln. Elevations in the county range from 165 feet above sea level in Roseville to 10,000 feet above sea level in the Sierra Nevada Mountains.

Placer County is developed with a mix of urban and rural uses. The larger cities, namely Roseville and Rocklin, are mostly urban, while the smaller communities, such as Loomis, Newcastle and Colfax, have remained rural. Auburn and Lincoln both exhibit a combination of urban and rural settings. However, in recent years the city of Lincoln has experienced dramatic growth and development and has become one of the fastest-growing cities in California.

Population

Placer County has experienced population growth in recent years, primarily in the southern part of the county. The main origin for in-migration to the region are the Bay Area, other parts of the Sacramento region and Southern California. The state's population data indicate a strong pattern of movement by residents from the high-cost, high-density Bay Area to inland areas in Northern California.

The following table depicts the population change in Placer County and its component cities over the past five years.

Population Trends

City	2017	2018	2019	2020	2021	2022	%/Yr
Auburn	14,162	14,265	14,353	13,781	13,795	13,608	-0.8%
Colfax	2,113	2,131	2,139	2,001	2,016	2,042	-0.7%
Lincoln	47,564	48,044	48,430	49,731	50,422	51,252	1.6%
Loomis	6,753	6,775	6,796	6,814	6,833	6,739	0.0%
Rocklin	64,274	66,476	68,869	71,290	71,644	71,663	2.3%
Roseville	135,300	137,824	141,097	147,245	148,794	151,034	2.3%
Unincorporated	113,092	113,357	113,661	113,877	114,013	112,687	-0.1%
Total	**383,258**	**388,872**	**395,345**	**404,739**	**407,517**	**409,025**	**1.3%**

Source: California Department of Finance

Placer County has experienced an average annual growth rate of 1.3% over the past five years. The cities of Rocklin, Roseville and Lincoln are the fastest growing in the region. Loomis and the

unincorporated areas have had relatively little growth. Over the past decade, Placer County has been the fastest-growing county within the four-county Sacramento MSA (which also includes Sacramento, El Dorado and Yolo Counties). It is projected this trend will continue for the near future.

Employment & Economy

The California Employment Development Department has reported the following employment data for Placer County over the past five years.

Employment Trends

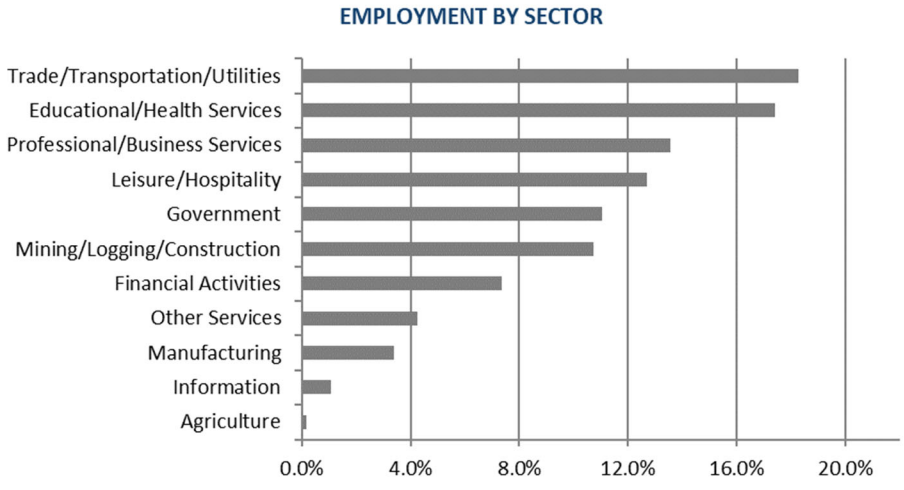
	2016	2017	2018	2019	2020	2021
Labor Force	179,600	181,200	185,600	188,900	186,700	188,000
Employment	171,500	174,200	179,600	182,900	172,600	178,700
Annual Employment Change	4,100	2,700	5,400	3,300	(10,300)	6,100
Unemployment Rate	4.5%	3.9%	3.2%	3.2%	7.6%	4.9%

Source: California Employment Development Department

Most areas within the state and nation, including Placer County, saw declining unemployment rates from 2004 through 2006, increases from 2007 to 2010, declines during 2011-2019, a significant increase in 2020 due to the pandemic and improvement in 2021.

The California Employment Development Department reported an unemployment rate of 2.8% in Placer County in August 2022, below the rate of 5.0% a year prior. This compares to the average rates of 4.1% for California and 3.8% for the nation.

The following chart indicates the percentage of total employment for each sector within the county based on the 2021 annual average.



Source: California Employment Development Department

The area's largest employment sectors are Trade/Transportation/Utilities, which includes retail and wholesale trade, accounting for 18.3% of total employment; Educational and Health Services (17.4%); and Professional/Business Services (13.6%). Leisure and Hospitality accounts for 12.7% of total employment; this sector was the most significantly impacted by the pandemic and although employment in this industry has since improved substantially, it remains below its pre-pandemic levels.

Although many residents commute to employment centers in Sacramento, Placer County offers thousands of jobs and attracts workers from the local area as well as "reverse commuters" from Sacramento and residents of outlying areas such as Marysville/Yuba City to the north. The largest employers in the county, according to the Sacramento Business Journal, are highlighted as follows:

Largest Employers

	Employer	Industry	Employees
1	Sutter Health	Healthcare	7,320
2	Kaiser Permanente	Healthcare	6,367
3	Palisades Tahoe	Leisure/Hospitality	2,600
4	Placer County	Government	2,530
5	Sierra Joint Community College District	Education	2,100
6	Thunder Valley Casino Resort*	Leisure/Hospitality	1,712
7	Safeway	Grocery Store	1,288
8	City of Roseville	Government	1,260
9	Roseville City School District	Education	1,260
10	Pacific Gas & Electric	Utilities	1,151

Source: Sacramento Business Journal, The List: Placer County Employers, June 8, 2022

Household Income

Median household income represents a broad statistical measure of well-being or standard of living in a community. The median income level divides households into two equal segments with one half of households earning less than the median and the other half earning more. The median income is considered to be a better indicator than the average household income as it is not dramatically affected by unusually high or low values. According to Claritas Spotlight data reporting service, the median household income for Placer County in 2022 is $104,027, which is higher than the state of California's median income of $88,967.

Transportation

Interstate 80, State Highway 65 and State Highway 193 are the major routes traversing Placer County. Major urban arterials in the southern part of the county include Douglas Boulevard, Roseville Parkway, Pleasant Grove Boulevard, Foothills Boulevard, Sunrise Avenue, Auburn-Folsom Road, Sierra College Boulevard, Sunset Boulevard, Rocklin Road and Stanford Ranch Road.

In addition to roadways within the county limits, South Placer County enjoys proximity to many of the Sacramento region's freeways that provide access to the San Francisco Bay Area to the west, Central and Southern California to the south, Northern California and Oregon to the north, and Nevada to the

east. Sacramento International Airport is situated about 10 miles west of the county border. The county is also home to a couple of small private airports. The region has good railroad service, including the transcontinental Union Pacific Railroad and Amtrak. The Capitol Corridor system provides high-speed commuter rail service from Roseville to San Jose and Placer County Transit provides seven fixed routes servicing areas from Colfax down to Watt Avenue in Sacramento.

Recreation & Community Services

Placer County has ample community services and recreational opportunities. The County, cities and various park districts operate numerous public parks, golf courses, aquatic centers, libraries and community centers. Many private golf courses are located in the region, and several ski resorts are located in the mountains. Within the county lies a portion of the Folsom Lake State Recreation Area, a boating, fishing, and swimming retreat.

In terms of higher education, Placer County is home to Sierra College in Rocklin, a two-year community college offering a wide range of day and evening classes serving over 25,000 students. Sierra College also has an extension campus located in the Vernon District in Roseville and two additional campuses – the Nevada County and Tahoe-Truckee campuses. In 2004, William Jessup University, a private Christian college, moved from San Jose to a new facility in Rocklin. Additional university campuses within the county include Brandman University, National University and University of Phoenix, all located in Roseville.

The region offers good health services, including hospitals and medical office facilities. Two hospitals are located in Roseville – the Sutter Roseville Medical Center and Kaiser Permanente. In addition to the hospital, construction was completed at the end of 2019 for a new state-of-the-art, 194,000 square foot Kaiser Permanente medical campus to replace their existing campus on Riverside Avenue. The city of Auburn is home to Sutter Auburn Faith Hospital, Sutter Medical Center-Auburn, UC Davis Medical Center, Foundation Medical Clinic and Heritage Medical Center Complex. The city of Lincoln contains medical offices/clinics operated by Sutter, UC Davis, Kaiser and Catholic Healthcare West. In addition to these institutional health care facilities, the county is home to a large and growing number of private physicians, dentists, clinics and other medical specialists.

The city of Roseville is South Placer's hub for fine dining and entertainment. Several upscale restaurants are situated along Eureka Road, Roseville Parkway and Galleria Boulevard. Roseville and Rocklin both offer two multi-screen movie theatres, and Auburn has one theatre. Shopping centers are widespread, the largest of which are the Galleria at Roseville, a regional shopping mall that opened in 2000 and was expanded in 2008-2009, and the Fountains at Roseville, an outdoor lifestyle center that opened in June 2008.

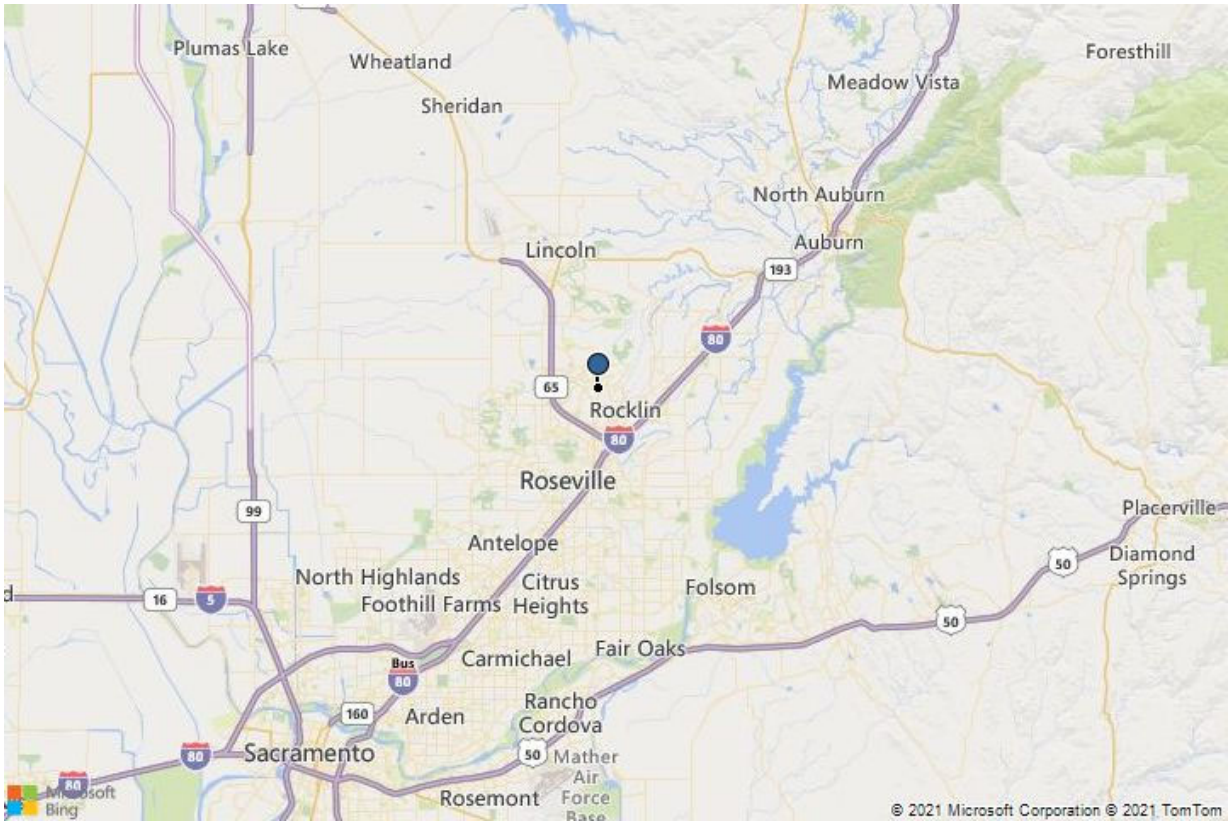
Conclusion

Placer County encompasses a diverse area, with growing cities, small towns and rural areas, and an abundance of open space. The cities of Roseville, Rocklin and Lincoln have experienced strong growth in population and development over the past several years. Placer County is one of the most affluent in the greater Sacramento region in terms of household income levels. The area has a number of positive attributes, including seismic stability, a well-educated work force, good transportation

systems, relative affordability and availability of housing compared to the Bay Area, and an excellent level of community services.

After a period of strong market and economic conditions, employment conditions declined sharply following the onset of the COVID-19 pandemic. Market and economic conditions have since improved, especially following the re-opening of the economy in June 2021. In the near term, macroeconomic factors, particularly high inflation and rising interest rates, are reintroducing uncertainty in the market, however, the long-term outlook for the region is positive given the historical stability of the local economy.

Area Map


Plumas Lake
Wheatland
Sheridan
Meadow Vista
Foresthill
North Auburn
Auburn
Lincoln
193
80
65
Rocklin
80
Roseville
99
Placerville
Antelope
50
Diamond Springs
North Highlands
Foothill Farms
Citrus Heights
Folsom
16
5
50
Carmichael
Fair Oaks
Bus
80
160
Arden
Rancho Cordova
Sacramento
80
50
Mather Air Force Base
Rosemont
Microsoft Bing
© 2021 Microsoft Corporation © 2021 TomTom

Surrounding Area Analysis

Location

The boundaries of a neighborhood identify the physical area that influences the value of the subject property. These boundaries may coincide with observable changes in prevailing land use or occupant characteristics. Physical features such as the type of development, street patterns, terrain, vegetation and parcel size tend to identify neighborhoods. Roadways, waterways and changing elevations can also create neighborhood boundaries.

The subject property is located within the City of Rocklin, Placer County, California. The subject's neighborhood boundaries can generally be described as State Highway 65 to the west, Interstate 80 to the east, and the Rocklin city limits to the north and south.

Access and Linkages

The subject property is situated north of Outlook Drive, South of Park Drive, and east of Sunset Boulevard. Sunset Boulevard intersects with Blue Oaks Boulevard and Stanford Ranch Road. Each of these roadways, including Park Drive connect with State Highway 65, west or south of the subject. Highway 65 is a north-south route that provides access to Lincoln, Wheatland and Yuba City to the north and Interstate 80 to the south. Interstate 80 is a primary east-west corridor for the Sacramento Metropolitan Area. To the east, Interstate 80 provides access to the outlying portions of Placer County and continues toward Lake Tahoe and Reno, Nevada. To the west, Interstate 80 connects the subject neighborhood to Sacramento's Central Business District before continuing on towards Davis and San Francisco.

Public transportation in the neighborhood is available via Placer County Transit (PCT), a regional bus system that serves Roseville, Granite Bay, Loomis, Rocklin, Auburn, and Colfax. Currently, the PCT has a free transfer agreement with all of the Sacramento Regional Transit buses and trains.

Demographics

A demographic profile of the surrounding area, including population, households, and income data, is presented in the following table.

Surrounding Area Demographics

2022 Estimates	1-Mile Radius	3-Mile Radius	5-Mile Radius	Rocklin	Placer County	Sacramento MSA
Population 2010	16,283	75,058	167,671	56,974	348,432	2,149,127
Population 2022	19,616	93,519	201,644	71,749	408,490	2,404,350
Population 2027	20,680	98,548	211,234	75,429	424,962	2,479,334
Compound % Change 2010-2022	1.6%	1.8%	1.5%	1.9%	1.3%	0.9%
Compound % Change 2022-2027	1.1%	1.1%	0.9%	1.0%	0.8%	0.6%
Households 2010	6,295	27,090	63,693	20,761	132,627	787,667
Households 2022	7,561	33,504	76,323	26,098	157,118	873,750
Households 2027	7,967	35,279	79,952	27,460	163,995	899,826
Compound % Change 2010-2022	1.5%	1.8%	1.5%	1.9%	1.4%	0.9%
Compound % Change 2022-2027	1.1%	1.0%	0.9%	1.0%	0.9%	0.6%
Median Household Income 2022	$111,323	$117,079	$106,814	$114,767	$104,027	$84,628
Average Household Size	2.6	2.8	2.6	2.7	2.6	2.7
College Graduate %	48%	48%	44%	47%	41%	34%
Median Age	40	39	42	40	43	38
Owner Occupied %	52%	67%	69%	68%	71%	61%
Renter Occupied %	48%	33%	31%	32%	29%	39%
Median Owner Occupied Housing Value	$621,879	$636,145	$626,306	$644,555	$643,036	$518,212
Median Year Structure Built	1999	2002	2000	2000	1994	1984
Average Travel Time to Work in Minutes	30	30	29	30	30	30

Source: Claritas

As shown above, the current population within a 3-mile radius of the subject is 93,548 and the average household size is 2.8. Population in the area has grown since the 2010 census, and this trend is projected to continue over the next five years. Compared to the City of Rocklin, the population within a 3-mile radius is projected to grow at a similar rate.

Median household income is $117,079, which is similar to the household income for the city. Residents within a 3-mile radius have a similar level of educational attainment as those of the wider city, and median owner occupied home values are also generally similar.

Land Use

Land uses within the subject's neighborhood include mostly residential development, with supporting commercial and office/flex (light industrial) development. The immediate area of the subject is surrounded by residential development with a park located along the north side of Park drive.

The neighborhood includes supporting retail uses. To the southwest of the subject, the Safeway anchored, Rock Creek Plaza is located at the intersection of Park Drive and Stanford Ranch Road. Additional tenants include Walgreens, Chase Bank, Wells Fargo Bank, an Arco gas station, and numerous inline retail tenants and restaurants. Strikes Family Entertainment Center is located along the east side of Lonetree Boulevard, just south of Sunset Boulevard. Several retail buildings are located at the intersection of West Oaks and Sunset Boulevards, as well as Sunset and Lonetree Boulevards. At the northeast quadrant of Sunset and West Oaks Boulevards, there is a commercial complex housing restaurant options including Mariachi Taqueria, Villa Roma and Mongolian BBQ as well as additional facilities including dental, spa, and veterinary services. The southeast quadrant of this intersection is home to Starbucks and Chevron.

The Blue Oaks Town Center, located along Highway 65 at the northeast corner of Blue Oaks and Lonetree Boulevards, is comprised of a mix of retail shops, restaurants, and neighborhood services including Chevy's, Starbucks, Carl's Jr., RC Wiley, Petco, Dollar Tree, Blue Oaks Cinema and Crunch Fitness. Also in this area, at the southwest quadrant of Blue Oaks and Lone Tree Boulevards, is Chili's Bar & Grill, Spring Hill Suites by Marriot and Chevron.

Development of retail projects in the Roseville/Rocklin area has intensified as new homes have been built and since the opening of the Galleria at Roseville, the first regional mall built in the Sacramento area in the last 25 years. This 1.1 million square foot regional shopping mall opened for business in August 2000 and is located at Galleria Boulevard and State Highway 65. The mall completed a major expansion project, which added approximately 100 new tenants and approximately a half-million square feet of retail space.

The neighborhood also includes office and light industrial uses. At the northwest corner of Sunset and Lonetree Boulevards is an office campus occupied by Oracle Corporation. On Sunset Boulevard, just south of Lonetree Boulevard, is an industrial facility occupied by United Natural Foods, Inc. UNFI purchased this former Ace Hardware distribution center in 2005 and employs about 500 people at the Rocklin facility. Continuing west, several other office buildings have been constructed on Lonetree Boulevard, including four two-story buildings in the Lonetree Professional Center, and four two-story buildings containing 28 condo units in the Venture Commerce Center. The Rocklin Corporate Center is a multi-building office project being developed on 125 acres along West Oaks Boulevard. Further south, the Rocklin 65 Corporate Center has been developed over the past few years along the west side of Lonetree Boulevard, just east of Highway 65 and south of West Oaks Boulevard. The Rocklin 65 development includes 29 office and retail buildings.

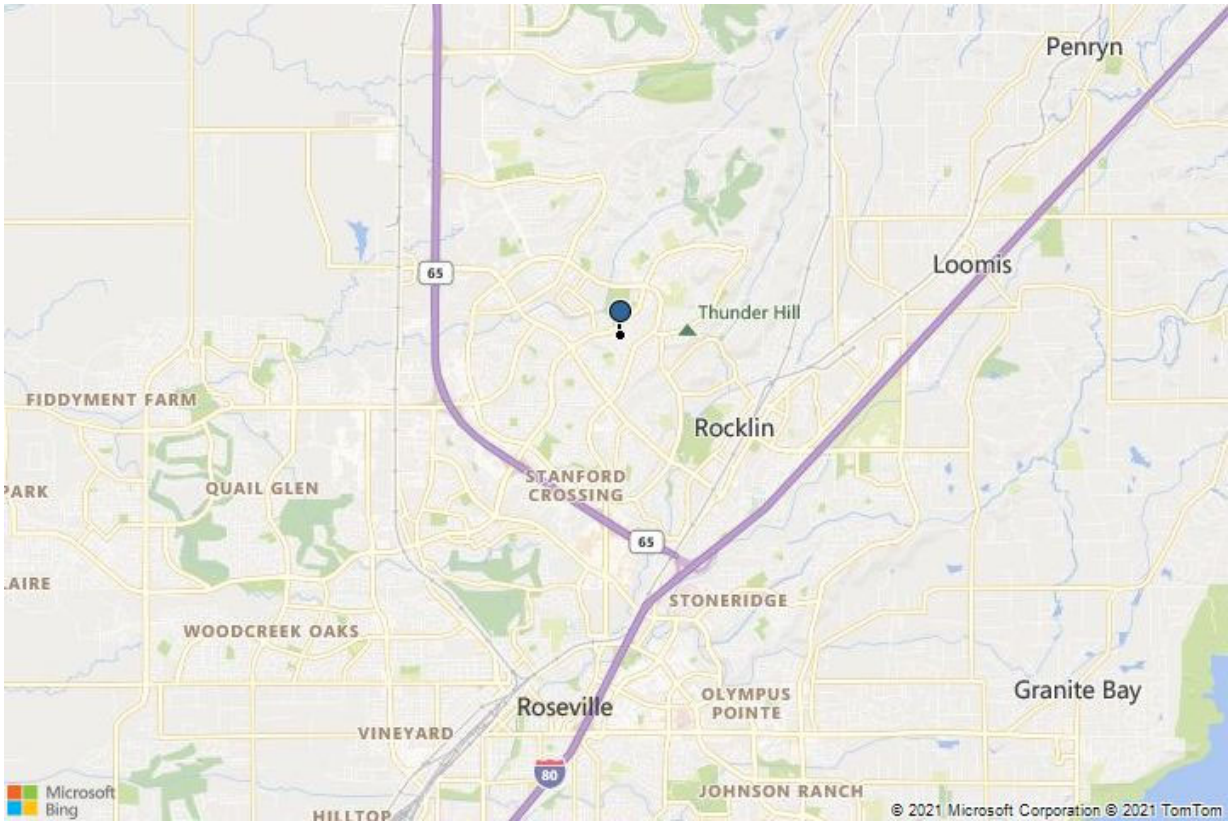
Market Conditions

Between approximately November 2021 and October 2022, mortgage interest rates for 30-year loans have increased from approximately 3.1% to 7.0% and mortgage interest rates are expected to continue to increase in the near term. Portions of both the residential and commercial real estate market are anticipated to experience reduced demand due to rising interest rates through the balance of this year as well as 2023. Recreational use properties typically sell for cash or seller financing and conventional loans are less typical, thereby insulating this sector of the real estate market somewhat from direct impacts of changes in mortgage interest rates. According to market participants, recreational properties often require a lengthy marketing period as the pool of buyers is relatively small compared to other property types and purchasers tend to look for particular characteristics such as water features.

Outlook and Conclusions

In summary, the subject's immediate area is developed primarily with residential uses along with supporting commercial and office/flex (light industrial) properties. The subject property benefits from good transportation characteristics.

Surrounding Area Map



Property Analysis

Land Description and Analysis

Land Description	
Land Area (Larger Parcel)	23.10 acres; 1,006,236 SF
Source of Land Area	Public Records
Primary Street Frontage	Outlook Drive
Shape	Irregular
Corner	No
Topography	Gently Sloping
Drainage	No problems reported or observed
Environmental Hazards	None reported or observed
Ground Stability	No problems reported or observed
Flood Area Panel Number	06061C0942H
Date	November 2, 2018
Zone	X
Description	Outside of 500-year floodplain
Insurance Required?	No
Zoning; Other Regulations	
Zoning Jurisdiction	City of Rocklin
Zoning Designation	OA
Description	Open Area
Legally Conforming?	Appears to be legally conforming
Zoning Change Likely?	No
Permitted Uses	Parks, playgrounds, golf courses, swimming pools, country clubs, museums, etc. (with a conditional use permit)
Minimum Lot Area	1 Acre
Utilities (at roadway)	
Service	Provider
Water	City of Rocklin
Sewer	City of Rocklin
Electricity	Pacific Gas & Electric (PG&E)
Natural Gas	Pacific Gas & Electric (PG&E)
Local Phone	Various

We are not experts in the interpretation of zoning ordinances. An appropriately qualified land use attorney should be engaged if a determination of compliance is required.

Encroachment Areas

The subject encroachment areas and adjacent home lots are summarized in the following table.

Lots and Enchroachments

Encroachment No.	Encroachment Size (SF)	Adjacent Lot No.	Adjacent Lot APN	Adjacent Lot Address
1	592	50	369-040-013	3259 Outlook Dr.
2	1,226	51	369-040-014	3257 Outlook Dr.
3	1,551	52	369-040-015	3255 Outlook Dr.
4	3,091	53	369-040-016	3253 Outlook Dr.
5	374	54	369-040-017	3251 Outlook Dr.
6	544	55	369-040-018	3249 Outlook Dr.
7	1,319	56	369-040-019	3247 Outlook Dr.
8	779	57	369-040-020	3245 Outlook Dr.
9	666	58	369-040-021	3243 Outlook Dr.
10	396	59	369-040-022	3241 Outlook Dr.
11	90	65	369-050-001	3229 Outlook Dr.
12	210	66	369-050-003	3225 Outlook Dr.

Environmental Hazards

An environmental assessment report was not provided for review, and during our inspection, we did not observe any obvious signs of contamination on or near the subject. However, environmental issues are beyond our scope of expertise. It is assumed that the property is not adversely affected by environmental hazards.

Seismic Hazards

According to the Seismic Safety Commission, the subject property is located within Zone 3, which is considered to be the lowest risk zone in California. There are only two zones in California: Zone 4, which is assigned to areas near major faults; and Zone 3, which is assigned to all other areas of more moderate seismic activity. In addition, the subject is not located in a Fault-Rupture Hazard Zone (formerly referred to as an Alquist-Priolo Special Study Zone), as defined by Special Publication 42 (revised January 1994) of the California Department of Conservation, Division of Mines and Geology.

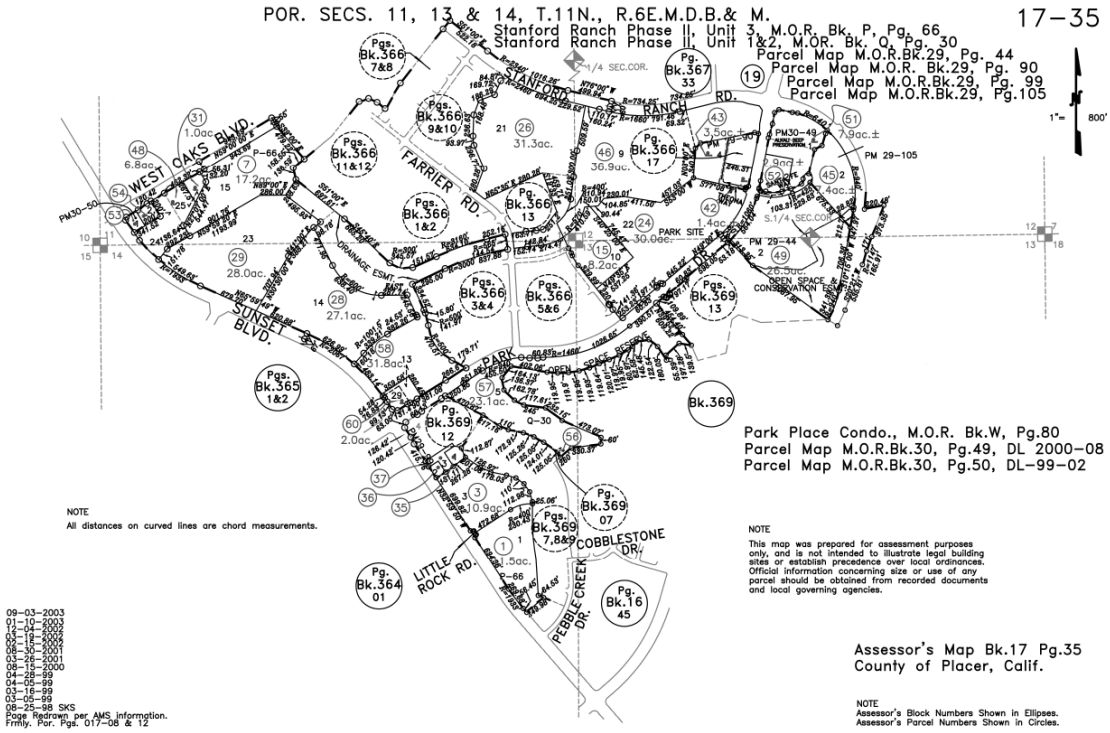
Easements, Encroachments and Restrictions

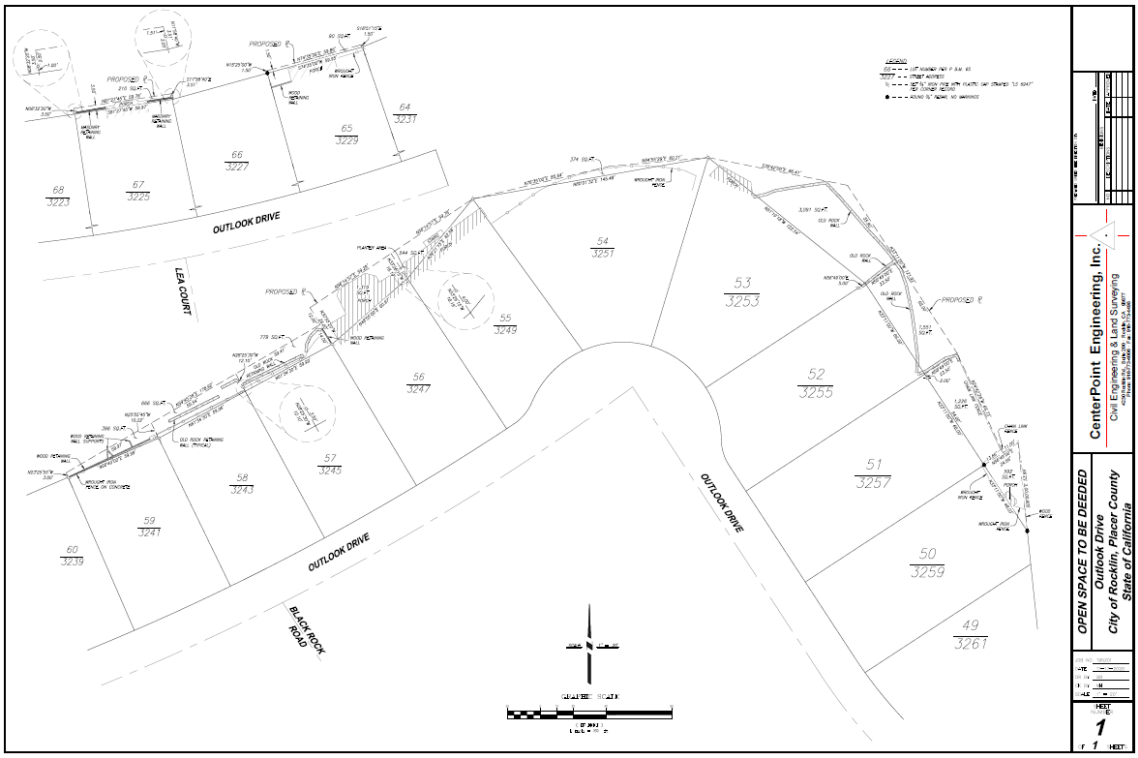
We were not provided a current title report to review. We are not aware of any easements or restrictions that would adversely affect value. Our valuation assumes no adverse impacts from easements or restrictions, and further assumes that the subject has clear and marketable title.

Conclusion of Site Analysis

Overall, the physical characteristics of the site result in functional utility suitable for uses including permitted by zoning. Uses permitted by zoning are primarily recreational in nature and include parks, playgrounds, golf courses, swimming pools, country clubs, museums, etc. (with a conditional use permit).

Parcel Map


POR. SECS. 11, 13 & 14, T.11N., R.6E.M.D.B.& M.
17-35
Stanford Ranch Phase II, Unit 3, M.O.R. Bk. P, Pg. 66
Stanford Ranch Phase II, Unit 1&2, M.O.R. Bk. Q, Pg. 30
Parcel Map M.O.R.BK.29, Pg. 44
Parcel Map M.O.R. Bk.29, Pg. 90
Parcel Map M.O.R.Bk.29, Pg. 99
Parcel Map M.O.R.Bk.29, Pg.105
1"= 800'
STANFORD RANCH RD.
WEST OAKS BLVD.
FARRIER RD.
SUNSET BLVD.
LITTLE ROCK RD.
COBBLESTONE DR.
PEBBLE CREEK DR.
PARK SITE
OPEN SPACE CONSERVATION
Park Place Condo., M.O.R. Bk.W, Pg.80
Parcel Map M.O.R.Bk.30, Pg.49, DL 2000-08
Parcel Map M.O.R.Bk.30, Pg.50, DL-99-02
NOTE
All distances on curved lines are chord measurements.
NOTE
This map was prepared for assessment purposes only, and is not intended to illustrate legal building sites or establish precedence over local ordinances. Official information concerning size or use of any parcel should be obtained from recorded documents and local governing agencies.
09-03-2003
01-10-2003
12-04-2002
05-14-2002
02-18-2002
08-30-2001
08-30-2001
03-26-2001
08-15-2000
04-28-99
04-05-99
03-16-99
03-05-99
08-25-98 SKS
Page Redrawn per AMS information.
Frmly. Por. Pgs. 017-08 & 12
Assessor's Map Bk.17 Pg.35
County of Placer, Calif.
NOTE
Assessor's Block Numbers Shown in Ellipses.
Assessor's Parcel Numbers Shown in Circles.


OUTLOOK DRIVE
LEA COURT
OUTLOOK DRIVE
OUTLOOK DRIVE
BLACK ROCK ROAD
CenterPoint Engineering, Inc.
Civil Engineering & Land Surveying
OPEN SPACE TO BE DEEDED
Outlook Drive
City of Rocklin, Placer County
State of California


Subject
Larger Parcel
Encroaching Lots
Park Dr
Ayon Rd
Outlook Dr
Sandalwood Rd
Pebble Creek Dr
Stanford Ranch Rd
Boardwalk Way



Subject larger parcel



Subject larger parcel



Subject larger parcel



Subject larger parcel



Subject larger parcel



Subject larger parcel



View from subject encroachment areas (approximately)



View from subject encroachment areas (approximately)



Homes at lots adjacent to subject encroachment areas



Homes at lots adjacent to subject encroachment areas



Homes at lots adjacent to subject encroachment areas



Homes at lots adjacent to subject encroachment areas

Real Estate Taxes

The property tax system in California was amended in 1978 by Article XIII to the State Constitution, commonly referred to as Proposition 13. It provides for a limitation on property taxes and for a procedure to establish the current taxable value of real property by reference to a base year value, which is then modified annually to reflect inflation (if any). Annual increases cannot exceed 2% per year.

The base year was set at 1975-76 or any year thereafter in which the property is substantially improved or changes ownership. When either of these two conditions occurs, the property is to be re-appraised at market value, which becomes the new base year assessed value. Proposition 13 also limits the maximum tax rate to 1% of the value of the property, exclusive of bonds and direct charges. Bonded indebtedness approved prior to 1978, and any bonds subsequently approved by a two-thirds vote of the district in which the property is located, can be added to the 1% tax rate.

Ad Valorem Taxes

The subject property is owned by a public entity and is not currently assessed for property taxes.

Note: Tax information is provided for compliance purposes to meet regulatory requirements for appraisals. It is not germane to this valuation analysis.

Highest and Best Use

Process

Before a property can be valued, an opinion of highest and best use must be developed for the subject site, both as if vacant, and as improved or proposed. By definition, the highest and best use must be:

- Legally permissible under the zoning regulations and other restrictions that apply to the site.
- Physically possible.
- Financially feasible.
- Maximally productive, i.e., capable of producing the highest value from among the permissible, possible, and financially feasible uses.

Highest and Best Use As If Vacant

Legally Permissible

The site is zoned OA, Open Area. Permitted uses include parks, playgrounds, golf courses, swimming pools, country clubs, museums, etc. (with a conditional use permit). To our knowledge, there are no legal restrictions such as easements or deed restrictions that would effectively limit the use of the property. According to the municipal code, the minimum parcel size within the OA zone is one acre, though we understand the City is considering selling only the smaller encroachment areas ranging from 90 to 3,091 square feet.

Physically Possible

Considering the larger property's size and shape, up to 23 one-acre parcels could ultimately be subdivided and as there are more than 50 adjacent property owners, each one-acre parcel could be offered in a competitive and open market for use by one of multiple potential adjacent owners. The twelve encroachment areas (subject) do not have direct access from a roadway but are accessible from adjacent home lots and could be assembled with adjacent home lots. Overall, the physical characteristics of the sites result in suitability only for assemblage with adjacent home lots for use as recreational land.

Financially Feasible

According to market participants, recreational properties often require a lengthy marketing period as the pool of buyers is relatively small compared to other property types and purchasers tend to look for particular characteristics such as water features. Based on our analysis of the market, there is currently adequate demand for recreational land within the region and recreational use is considered to be financially feasible.

Maximally Productive

Assembling portions of the larger property with adjacent home lots, allowing for recreational uses adjacent to existing homes, is concluded to be the maximally productive use.

Conclusion

Assembly via lot line adjustment of portions of the subject larger parcel with adjacent home lots for recreational use is the only use that meets the four tests of highest and best use. Therefore, it is concluded to be the highest and best use of the property as if vacant.

As Improved

As noted, homeowners have constructed decks and other home improvements within the subject encroachment areas (City owned land). Assemblage of the encroachment areas with adjacent home lots for continuation of the existing use is concluded to be the highest and best use as improved.

Most Probable Buyer

Taking into account the characteristics of the property, the probable buyers of portions of the subject larger parcel are adjacent owners.

Valuation

Valuation Methodology

Appraisers usually consider three approaches to estimating the market value of real property. These are the cost approach, sales comparison approach and the income capitalization approach.

The **cost approach** assumes that the informed purchaser would pay no more than the cost of producing a substitute property with the same utility. This approach is particularly applicable when the improvements being appraised are relatively new and represent the highest and best use of the land or when the property has unique or specialized improvements for which there is little or no sales data from comparable properties.

The **sales comparison approach** assumes that an informed purchaser would pay no more for a property than the cost of acquiring another existing property with the same utility. This approach is especially appropriate when an active market provides sufficient reliable data. The sales comparison approach is less reliable in an inactive market or when estimating the value of properties for which no directly comparable sales data is available. The sales comparison approach is often relied upon for owner-user properties.

The **income capitalization approach** reflects the market's perception of a relationship between a property's potential income and its market value. This approach converts the anticipated net income from ownership of a property into a value indication through capitalization. The primary methods are direct capitalization and discounted cash flow analysis, with one or both methods applied, as appropriate. This approach is widely used in appraising income-producing properties.

Additional analyses often undertaken in the valuation of subdivisions include **extraction**, **land residual analysis,** and the **subdivision development method**.

Reconciliation of the various indications into a conclusion of value is based on an evaluation of the quantity and quality of available data in each approach and the applicability of each approach to the property type.

The methodology employed in this assignment is summarized as follows:

Approaches to Value

Approach	Applicability to Subject	Use in Assignment
Cost Approach	Not Applicable	Not Utilized
Sales Comparison Approach	Applicable	Utilized
Income Capitalization Approach	Not Applicable	Not Utilized

The definition of Market Value reflects the most probable price in a "competitive and open market." The City is considering selling each encroachment area only to a single adjacent property owner, which does not represent a competitive and open market. Although the valuation scenario requested

does not represent a typical valuation premise, we have employed market logic in concluding a value for the subject land areas. Based on the larger property's Open Area zoning, the minimum divisible parcel size is one acre. Considering the larger property's size and shape, up to 23 one-acre parcels could ultimately be subdivided and as there are more than 50 adjacent property owners, each one-acre parcel could be offered in a competitive and open market for use by one of multiple potential adjacent owners. This represents the best corollary for analyzing portions of the subject larger property (subject encroachment areas) for sale to adjacent owners and forms the basis of our valuation analysis.

Sales Comparison Approach

To develop an opinion of the subject's land value we utilize the sales comparison approach. This approach develops an indication of value by researching, verifying, and analyzing sales of similar properties.

Our sales research focused on transactions within the following parameters:

- Location: Northern California (Sacramento surrounding region)
- Use: Recreational Land
- Transaction Date: Within the last three years

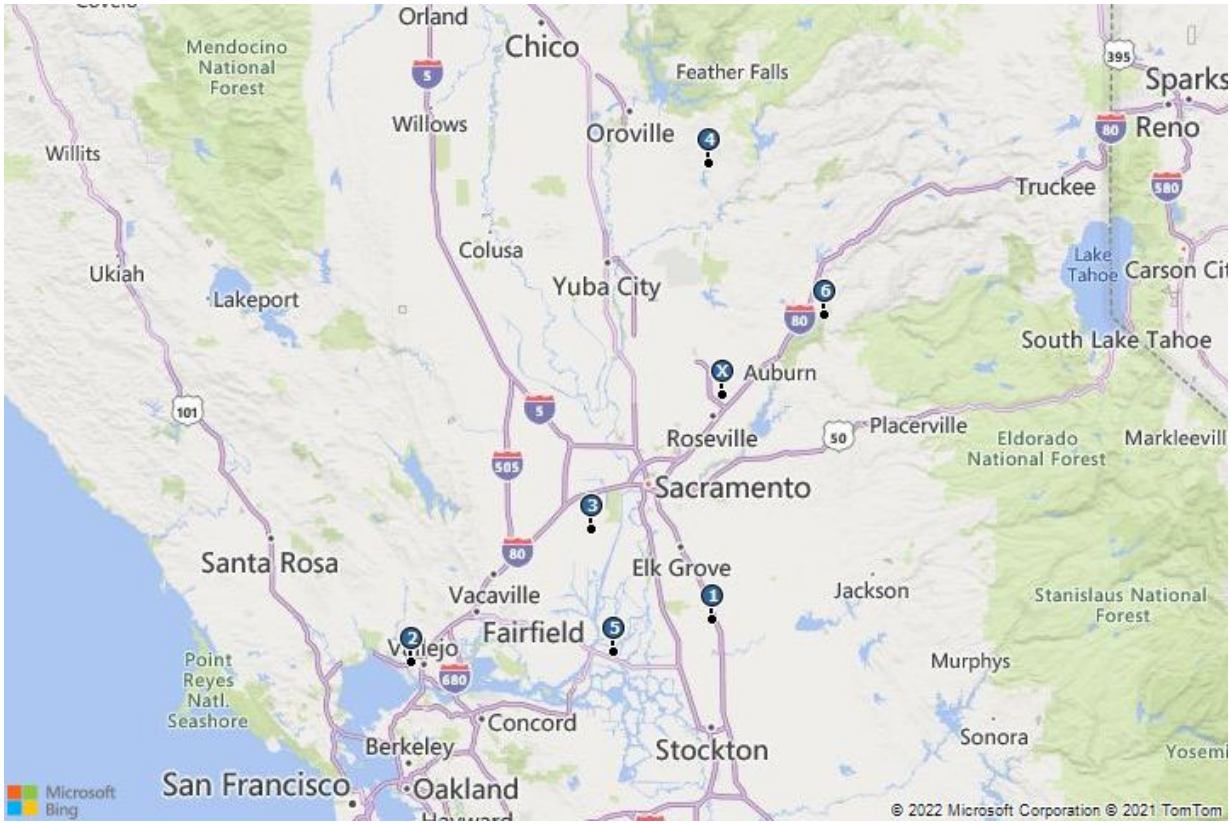
Due to a limited number of recent transactions, we expanded our search to include older transactions. The subject encroachments represent portions of a larger parcel. For purposes of analysis we have considered a one-acre parcel size (consistent with the minimum parcel size for the subject's OA zoning area). We attempted to identify sales of properties sized similar to the subject and ultimately had to consider significantly larger properties.

For this analysis, we use price per square foot as the appropriate unit of comparison. We considered using total sale price but the calculated coefficient of variation for the price per square foot of the sales was lower than the coefficient of variation for the total sales price, supporting that it is the appropriate unit of comparison for the analysis. The sales most relevant to analyzing the subject's land value are summarized in table on the following page.

Summary of Comparable Land Sales

No.	Name/Address	Sale Date; Status	Sale Price	SF; Acres	Zoning	$/SF Land
1	W/S Lincoln Way Galt Sacramento County Tax ID: 150-0700-001 Grantor: Tejeda Family Trust Grantee: Charles Sun Hong Trustee of the Truth Church Of SDA *Comments: This Open Space zoned parcel is located within the City of Galt. The parcel is crossed by a creek and the entirety of the parcel is located within a flood zone (AE designation). The open space designation does not allow for use as a residential home site.*	Jul-22 Closed	$80,000	894,287 20.53	Open Space	$0.09
2	W/S Hwy 37 at White Slough Vallejo Solano County Tax ID: 0067-220-010 & -012 Grantor: Arsalan & Laila Darmal Grantee: Ukyo Duong *Comments: This is the sale of two parcels totaling 23 acres of marshland. Although the zoning allows for construction of a single-family residence, the property's location within a flood zone may ultimately limit use of the property to recreational uses.*	Aug-21 Closed	$103,000	1,001,880 23.00	Marsh Preservation	$0.10
3	E/O County Road 104, S/O Maxwell Ln. Davis Yolo County Tax ID: 033-150-003 Grantor: William Beal TTE Grantee: H. David Moehring TTE *Comments: This 40-acre parcel has previously been used for camping and duck hunting. According to the listing broker the property is a designated wetlands and includes six duck blinds. Water for the property is accessible from Reclamation District 2068 from March through November.*	Jun-20 Closed	$140,000	1,742,400 40.00	Agricultural Intensive	$0.08
4	Ponderosa Parcel 031 S/S Sunset Rd. Brownsville Yuba County Tax ID: 056-290-031 Grantor: Sundown LLC Grantee: Vilayvone Norasing *Comments: This is the 2020 sale of a 2.5-acre rural parcel.*	Feb-20 Closed	$15,000	108,900 2.50	Agricultural / Rural Residential	$0.14
5	16699 Jackson Slough Rd. Isleton Sacramento County Tax ID: 157-0100-033 Grantor: Man Pham Grantee: Rakesh Cidda & Karen Maxwell *Comments: This is the sale of seven acres in Isleton. The property has a narrow shape, partially following a railroad bed, which offers limited utility overall. According to one of the brokers involved in the sale, the buyers planned to use the property for a hobby farm.*	Nov-19 Closed	$75,000	304,920 7.00	Agricultural	$0.25
6	Ponderosa Way Foresthill Placer County Tax ID: 073-261-023 Grantor: Patritia Stinton Grantee: Riggs Lonnie *Comments: This is the sale of Open Space-zoned land within Placer County.*	Oct-18 Closed	$14,500	217,800 5.00	Open Space	$0.07
	Subject Rocklin, CA			43,560 1.00	Open Area	

Comparable Land Sales Map



Adjustment Factors

The sales are compared to the subject and adjusted to account for material differences that affect value. Adjustments are considered for the following factors, in the sequence shown below.

Adjustment Factor	Accounts For	Comments
Real Property Rights	Fee simple, leased fee, leasehold, partial interest, etc.	No adjustments required.
Financing Terms	Seller financing, or assumption of existing financing, at non-market terms.	No adjustments are required.
Conditions of Sale	Extraordinary motivation of buyer or seller, assemblage, forced sale.	The sales represent market transactions and no adjustments are required for conditions of sale.
Market Conditions	Changes in the economic environment over time that affect the appreciation and depreciation of real estate.	The sales transferred between October 2018 and July 2022. The date of value is October 31, 2022. An upward adjustment is applied to Comparables 3 through 6 due to changes in market conditions since the date of these sales. No additional adjustments for market conditions are warranted.
Location	Market or submarket area influences on sale price; surrounding land use influences.	Comparables 1, 4, 5, and 6 are adjusted upward for inferior locational characteristics. Comparables 2 and 3 do not require adjustment for this characteristic as positive and negative location factors are concluded to be roughly in balance compared to the subject property.
Accessibility	Convenience to transportation facilities; ease of site access; visibility; traffic counts.	Comparables 4 and 6 are offer inferior accessibility and are adjusted slightly downward. The remaining comparables have generally similar accessibility compared to the subject and no additional adjustments for this characteristic are necessary.
Size	Relationship that typically exists between parcel size and sales price.	The subject's minimum parcel size is one acre, though we understand the City is considering selling smaller

Adjustment Factor	Accounts For	Comments
		portions of the larger parcel to adjacent property owners. For purposes of analysis we have considered a one-acre parcel size. Each of the comparables is larger than the minimum parcel size and each is adjusted upward for size.
Site Utility	Shape, topography, and other characteristics may enhance or detract from a property's utility and desirability.	Comparable 5 was reportedly purchased for use as a hobby farm and offers slightly superior site utility compared to the subject. The comparable is adjusted downward for superior site utility.
Zoning	A property's allowed uses or limitations on uses can directly impact its desirability and value.	Comparables 3, 4, and 5 allow for construction of improvements such as a residence and are adjusted downward for superior zoning.

The table on the following page summarizes the adjustments applied to the comparable sales.

Land Sales Adjustment Grid

	Subject	Comparable 1	Comparable 2	Comparable 3	Comparable 4	Comparable 5	Comparable 6
Address	Outlook Drive	W/S Lincoln Way	W/S Hwy 37 at White Slough	E/O County Road 104, S/O Maxwell Ln.	S/S Sunset Rd.	16699 Jackson Slough Rd.	Ponderosa Way
City	Rocklin	Galt	Vallejo	Davis	Brownsville	Isleton	Foresthill
Sale Date		Jul-22	Aug-21	Jun-20	Feb-20	Nov-19	Oct-18
Sale Status		Closed	Closed	Closed	Closed	Closed	Closed
Sale Price		$80,000	$103,000	$140,000	$15,000	$75,000	$14,500
Square Feet	43,560	894,287	1,001,880	1,742,400	108,900	304,920	217,800
Acres	1.00	20.53	23.00	40.00	2.50	7.00	5.00
Price per Square Foot		**$0.09**	**$0.10**	**$0.08**	**$0.14**	**$0.25**	**$0.07**
Property Rights Adjustment		Fee Simple	Fee Simple	Fee Simple	Fee Simple	Fee Simple	Fee Simple
Financing Terms Adjustment		Cash to seller	Cash to seller	Cash to seller	Cash to seller	Cash to seller	Cash to seller
Conditions of Sale Adjustment		Market	Market	Market	Market	Market	Market
Market Conditions Adjustment	10/31/2022	Jul-22	Aug-21	Jun-20 +	Feb-20 +	Nov-19 +	Oct-18 +
Location		+			+	+	+
Accessibility					+		+
Size		+	+	+	+	+	+
Site Utility						-	
Zoning				--	--	--	
Overall Adjustment		**+**	**+**	**+**	**+**	**+/-**	**+**

Indicated Value	**$0.25**

Land Value Conclusion

The market data set consists of land sales that are considered to be reasonable indicators of market value. Adjustments were required to the sales for differing characteristics. The comparable sales reflect a price range of $0.07 - $0.25. The comparables are deemed reliable and applicable to produce a credible estimate of the land value for the property. The following table arrays the indicators from the Sales Comparison Approach along with the subject.

Sales Ranking Summary

Property	Sale Date	Price (Unadjusted)	Net Adjustment
Comparable 5	Nov-19	$0.25	Similar
Subject Property		**$0.25**	
Comparable 4	Feb-20	$0.14	Inferior
Comparable 2	Aug-21	$0.10	Inferior
Comparable 1	Jul-22	$0.09	Inferior
Comparable 3	Jun-20	$0.08	Inferior

In general, a market value estimate for the subject's land is concluded to be close to the indicator provided by Comparable 5 and greater than the balance of the data set.

As previously discussed, the definition of Market Value reflects the most probable price in a "competitive and open market." The City is considering selling each encroachment area only to a single adjacent property owner, which does not represent a competitive and open market. Although the valuation scenario requested does not represent a typical valuation premise, we have employed market logic in concluding a value for the subject land areas. Based on the larger property's Open Area zoning, subdivision of portions one-acre or larger could be offered in a competitive and open market for use by one of multiple potential adjacent owners. This represents the best corollary for analyzing portions of the subject larger property (subject encroachment areas) for sale to adjacent owners.

The indicated value for each encroachment area based upon the concluded value per square foot ($0.25) is displayed in the following table.

Indicated Value

Encroachment No.	Encroachment Size (SF)	Concluded $/SF	Indicated Value
1	592	$0.25	$148.00
2	1,226	$0.25	$306.50
3	1,551	$0.25	$387.75
4	3,091	$0.25	$772.75
5	374	$0.25	$93.50
6	544	$0.25	$136.00
7	1,319	$0.25	$329.75
8	779	$0.25	$194.75
9	666	$0.25	$166.50
10	396	$0.25	$99.00
11	90	$0.25	$22.50
12	210	$0.25	$52.50

Reconciliation and Conclusion of Value

The appraised property comprises twelve areas within a larger parcel where homeowners have encroached on City-owned land. Based on the information provided, the twelve encroachment areas (subject), range in size from 90 to 3,091 square feet. The City is considering selling the encroachment areas to the adjacent homeowners as an alternative to requiring that the owners remove the encroaching improvements. We have been requested to provide a market value for the encroachment areas. As previously noted, due to the characteristics of the encroachment areas (size, topography, potential uses) recent sales of highly similar properties in the subject's area are nearly non-existent and therefore any valuation undertaken would be challenging.

As previously discussed, we have employed market logic in concluding a value for the subject land areas. Portions of the larger parcel comprising one-acre or larger represents the best corollary for analyzing portions of the subject property (subject encroachment areas) for sale to adjacent owners. There is a reasonably active market for comparable properties offering recreational uses, and this approach most closely reflects buyer behavior.

Due to their relatively small size, the encroachment areas are concluded to contribute nominally to the value of the larger parcel. In instances where a conclusion of value is calculated to be nominal, the tendency is toward a minimum price. As an example, in discussing appraisal value conclusions, the Caltrans Right of Way Manual Section 7.02.14.00 (July 2021) notes "… if the amount of all property rights or interests is $2,500 or less, the value of the required property is considered to be nominal." In addition, a minimum compensation amount of $500 is noted. Although the purpose of the valuations discussed in the Caltrans Manual are different than the subject, the notion that a minimum value amount would be applicable for the subject is still reasonable. Therefore, instead of the previously indicated values, as each is considered to be nominal overall, the market value for each encroachment area is concluded to be $500.

The following table displays the conclusion of value for each encroachment area.

Concluded Value of Enchroachments

Encroachment No.	Adjacent Lot No.	Adjacent Lot APN	Adjacent Lot Address	Encroachment Size (SF)	Value Conclusion (Nominal)
1	50	369-040-013	3259 Outlook Dr.	592	$500
2	51	369-040-014	3257 Outlook Dr.	1,226	$500
3	52	369-040-015	3255 Outlook Dr.	1,551	$500
4	53	369-040-016	3253 Outlook Dr.	3,091	$500
5	54	369-040-017	3251 Outlook Dr.	374	$500
6	55	369-040-018	3249 Outlook Dr.	544	$500
7	56	369-040-019	3247 Outlook Dr.	1,319	$500
8	57	369-040-020	3245 Outlook Dr.	779	$500
9	58	369-040-021	3243 Outlook Dr.	666	$500
10	59	369-040-022	3241 Outlook Dr.	396	$500
11	65	369-050-001	3229 Outlook Dr.	90	$500
12	66	369-050-003	3225 Outlook Dr.	210	$500

The subject encroachment areas are adjacent to individual home lots and therefore the most likely buyer of each encroachment area is the adjacent homeowner. We considered whether a discount was warranted as the seller (the City) is effectively a captured seller in this instance (i.e. there are likely no other buyers for the subject encroachment areas apart from each individual owner). Transactions involving a captive seller often reflect discounting due to the limited buyer pool. In the case of the subject encroachment areas, we concluded that discounting for this factor is not warranted as the seller is under no particular motivation to sell the encroachment areas.

Final Opinion of Value

Based on the preceding valuation analysis and subject to the definitions, assumptions, and limiting conditions expressed in the report, our opinion of market value of the subject encroachment areas as of the effective date of October 31, 2022, is as follows:

Concluded Value of Enchroachments

Encroachment No.	Adjacent Lot No.	Adjacent Lot APN	Adjacent Lot Address	Encroachment Size (SF)	Value Conclusion (Nominal)
1	50	369-040-013	3259 Outlook Dr.	592	$500
2	51	369-040-014	3257 Outlook Dr.	1,226	$500
3	52	369-040-015	3255 Outlook Dr.	1,551	$500
4	53	369-040-016	3253 Outlook Dr.	3,091	$500
5	54	369-040-017	3251 Outlook Dr.	374	$500
6	55	369-040-018	3249 Outlook Dr.	544	$500
7	56	369-040-019	3247 Outlook Dr.	1,319	$500
8	57	369-040-020	3245 Outlook Dr.	779	$500
9	58	369-040-021	3243 Outlook Dr.	666	$500
10	59	369-040-022	3241 Outlook Dr.	396	$500
11	65	369-050-001	3229 Outlook Dr.	90	$500
12	66	369-050-003	3225 Outlook Dr.	210	$500

Extraordinary Assumptions and Hypothetical Conditions

The value conclusions are subject to the following extraordinary assumptions. An extraordinary assumption is an assignment-specific assumption as of the effective date regarding uncertain information used in an analysis which, if found to be false, could alter the appraiser's opinions or conclusions.

1. None

The value conclusions are based on the following hypothetical conditions. A hypothetical condition is a condition, directly related to a specific assignment, which is contrary to what is known by the appraiser to exist on the effective date of the assignment results, but is used for the purpose of analysis.

1. None

The use of any extraordinary assumption or hypothetical condition may have affected the assignment results.

Exposure Time

Exposure time is the length of time the subject property would have been exposed for sale in the market had it sold on the effective valuation date at the concluded market value. Exposure time is always presumed to precede the effective date of the appraisal. Based on our review of recent sales

transactions for similar properties and our analysis of supply and demand in the local land market, it is our opinion that the probable exposure time for the subject portions at the concluded market value stated previously is 12 months.

Marketing Time

Marketing time is an estimate of the amount of time it might take to sell a property at the concluded market value immediately following the effective date of value. As we foresee no significant changes in market conditions in the near term, it is our opinion that a reasonable marketing period for the subject portions is likely to be the same as the exposure time. Accordingly, we estimate the subject's marketing period at 12 months.

Certification

We certify that, to the best of our knowledge and belief:

1. The statements of fact contained in this report are true and correct.
2. The reported analyses, opinions, and conclusions are limited only by the reported assumptions and limiting conditions, and are our personal, impartial, and unbiased professional analyses, opinions, and conclusions.
3. We have no present or prospective interest in the property that is the subject of this report and no personal interest with respect to the parties involved.
4. We have previously appraised the property that is the subject of this report for the current client. We have provided no other services, as an appraiser or in any other capacity, regarding the property that is the subject of this report within the three-year period immediately preceding the agreement to perform this assignment.
5. We have no bias with respect to the property that is the subject of this report or to the parties involved with this assignment.
6. Our engagement in this assignment was not contingent upon developing or reporting predetermined results.
7. Our compensation for completing this assignment is not contingent upon the development or reporting of a predetermined value or direction in value that favors the cause of the client, the amount of the value opinion, the attainment of a stipulated result, or the occurrence of a subsequent event directly related to the intended use of this appraisal.
8. Our analyses, opinions, and conclusions were developed, and this report has been prepared, in conformity with the Uniform Standards of Professional Appraisal Practice as well as applicable state appraisal regulations.
9. The reported analyses, opinions, and conclusions were developed, and this report has been prepared, in conformity with the Code of Professional Ethics and Standards of Professional Appraisal Practice of the Appraisal Institute.
10. The use of this report is subject to the requirements of the Appraisal Institute relating to review by its duly authorized representatives.
11. Noah Kauffman, MAI, R/W-AC, made a personal inspection of the property that is the subject of this report. Kevin Ziegenmeyer, MAI, has not personally inspected the subject.
12. No one provided significant real property appraisal assistance to the person(s) signing this certification.
13. We have experience in appraising properties similar to the subject and are in compliance with the Competency Rule of USPAP.
14. As of the date of this report, Kevin Ziegenmeyer, MAI, has completed the continuing education program for Designated Members of the Appraisal Institute.

15. As of the date of this report, Noah Kauffman, MAI, R/W-AC, has completed the Standards and Ethics Education Requirements for Candidates of the Appraisal Institute.



Noah Kauffman, MAI, R/W-AC
Certified General Real Estate Appraiser
California Certificate # 3004618



Kevin Ziegenmeyer, MAI
Certified General Real Estate Appraiser
California Certificate # AG013567

Assumptions and Limiting Conditions

This appraisal and any other work product related to this engagement are limited by the following standard assumptions, except as otherwise noted in the report:

1. The title is marketable and free and clear of all liens, encumbrances, encroachments, easements and restrictions. The property is under responsible ownership and competent management and is available for its highest and best use.
2. There are no existing judgments or pending or threatened litigation that could affect the value of the property.
3. There are no hidden or undisclosed conditions of the land or of the improvements that would render the property more or less valuable. Furthermore, there is no asbestos in the property.
4. The revenue stamps placed on any deed referenced herein to indicate the sale price are in correct relation to the actual dollar amount of the transaction.
5. The property is in compliance with all applicable building, environmental, zoning, and other federal, state and local laws, regulations and codes.
6. The information furnished by others is believed to be reliable, but no warranty is given for its accuracy.

This appraisal and any other work product related to this engagement are subject to the following limiting conditions, except as otherwise noted in the report:

1. An appraisal is inherently subjective and represents our opinion as to the value of the property appraised.
2. The conclusions stated in our appraisal apply only as of the effective date of the appraisal, and no representation is made as to the effect of subsequent events.
3. No changes in any federal, state or local laws, regulations or codes (including, without limitation, the Internal Revenue Code) are anticipated.
4. No environmental impact studies were either requested or made in conjunction with this appraisal, and we reserve the right to revise or rescind any of the value opinions based upon any subsequent environmental impact studies. If any environmental impact statement is required by law, the appraisal assumes that such statement will be favorable and will be approved by the appropriate regulatory bodies.
5. Unless otherwise agreed to in writing, we are not required to give testimony, respond to any subpoena or attend any court, governmental or other hearing with reference to the property without compensation relative to such additional employment.
6. We have made no survey of the property and assume no responsibility in connection with such matters. Any sketch or survey of the property included in this report is for illustrative purposes only and should not be considered to be scaled accurately for size. The appraisal

covers the property as described in this report, and the areas and dimensions set forth are assumed to be correct.

7. No opinion is expressed as to the value of subsurface oil, gas or mineral rights, if any, and we have assumed that the property is not subject to surface entry for the exploration or removal of such materials, unless otherwise noted in our appraisal.

8. We accept no responsibility for considerations requiring expertise in other fields. Such considerations include, but are not limited to, legal descriptions and other legal matters such as legal title, geologic considerations such as soils and seismic stability; and civil, mechanical, electrical, structural and other engineering and environmental matters. Such considerations may also include determinations of compliance with zoning and other federal, state, and local laws, regulations and codes.

9. The distribution of the total valuation in the report between land and improvements applies only under the reported highest and best use of the property. The allocations of value for land and improvements must not be used in conjunction with any other appraisal and are invalid if so used. The appraisal report shall be considered only in its entirety. No part of the appraisal report shall be utilized separately or out of context.

10. Neither all nor any part of the contents of this report (especially any conclusions as to value, the identity of the appraisers, or any reference to the Appraisal Institute) shall be disseminated through advertising media, public relations media, news media or any other means of communication (including without limitation prospectuses, private offering memoranda and other offering material provided to prospective investors) without the prior written consent of the persons signing the report.

11. Information, estimates and opinions contained in the report and obtained from third-party sources are assumed to be reliable and have not been independently verified.

12. Any income and expense estimates contained in the appraisal report are used only for the purpose of estimating value and do not constitute predictions of future operating results.

13. If the property is subject to one or more leases, any estimate of residual value contained in the appraisal may be particularly affected by significant changes in the condition of the economy, of the real estate industry, or of the appraised property at the time these leases expire or otherwise terminate.

14. Unless otherwise stated in the report, no consideration has been given to personal property located on the premises or to the cost of moving or relocating such personal property; only the real property has been considered.

15. The current purchasing power of the dollar is the basis for the values stated in the appraisal; we have assumed that no extreme fluctuations in economic cycles will occur.

16. The values found herein are subject to these and to any other assumptions or conditions set forth in the body of this report but which may have been omitted from this list of Assumptions and Limiting Conditions.

17. The analyses contained in the report necessarily incorporate numerous estimates and assumptions regarding property performance, general and local business and economic

conditions, the absence of material changes in the competitive environment and other matters. Some estimates or assumptions, however, inevitably will not materialize, and unanticipated events and circumstances may occur; therefore, actual results achieved during the period covered by our analysis will vary from our estimates, and the variations may be material.

18. The Americans with Disabilities Act (ADA) became effective January 26, 1992. We have not made a specific survey or analysis of the property to determine whether the physical aspects of the improvements meet the ADA accessibility guidelines. We claim no expertise in ADA issues, and render no opinion regarding compliance of the subject with ADA regulations. Inasmuch as compliance matches each owner's financial ability with the cost to cure the non-conforming physical characteristics of a property, a specific study of both the owner's financial ability and the cost to cure any deficiencies would be needed for the Department of Justice to determine compliance.

19. The appraisal report is prepared for the exclusive benefit of the Client, its subsidiaries and/or affiliates. It may not be used or relied upon by any other party. All parties who use or rely upon any information in the report without our written consent do so at their own risk.

20. No studies have been provided to us indicating the presence or absence of hazardous materials on the subject property or in the improvements, and our valuation is predicated upon the assumption that the subject property is free and clear of any environment hazards including, without limitation, hazardous wastes, toxic substances and mold. No representations or warranties are made regarding the environmental condition of the subject property. Integra Realty Resources – Sacramento, Integra Realty Resources, Inc., Integra Strategic Ventures, Inc. and/or any of their respective officers, owners, managers, directors, agents, subcontractors or employees (the "Integra Parties"), shall not be responsible for any such environmental conditions that do exist or for any engineering or testing that might be required to discover whether such conditions exist. Because we are not experts in the field of environmental conditions, the appraisal report cannot be considered as an environmental assessment of the subject property.

21. The persons signing the report may have reviewed available flood maps and may have noted in the appraisal report whether the subject property is located in an identified Special Flood Hazard Area. We are not qualified to detect such areas and therefore do not guarantee such determinations. The presence of flood plain areas and/or wetlands may affect the value of the property, and the value conclusion is predicated on the assumption that wetlands are non-existent or minimal.

22. Integra Realty Resources – Sacramento is not a building or environmental inspector. Integra Sacramento does not guarantee that the subject property is free of defects or environmental problems. Mold may be present in the subject property and a professional inspection is recommended.

23. The appraisal report and value conclusions for an appraisal assume the satisfactory completion of construction, repairs or alterations in a workmanlike manner.

24. It is expressly acknowledged that in any action which may be brought against any of the Integra Parties, arising out of, relating to, or in any way pertaining to this engagement, the

appraisal reports, and/or any other related work product, the Integra Parties shall not be responsible or liable for any incidental or consequential damages or losses, unless the appraisal was fraudulent or prepared with intentional misconduct. It is further acknowledged that the collective liability of the Integra Parties in any such action shall not exceed the fees paid for the preparation of the appraisal report unless the appraisal was fraudulent or prepared with intentional misconduct. Finally, it is acknowledged that the fees charged herein are in reliance upon the foregoing limitations of liability.

25. Integra Realty Resources – Sacramento, an independently owned and operated company, has prepared the appraisal for the specific intended use stated elsewhere in the report. The use of the appraisal report by anyone other than the Client is prohibited except as otherwise provided. Accordingly, the appraisal report is addressed to and shall be solely for the Client's use and benefit unless we provide our prior written consent. We expressly reserve the unrestricted right to withhold our consent to your disclosure of the appraisal report or any other work product related to the engagement (or any part thereof including, without limitation, conclusions of value and our identity), to any third parties. Stated again for clarification, unless our prior written consent is obtained, no third party may rely on the appraisal report (even if their reliance was foreseeable).

26. The conclusions of this report are estimates based on known current trends and reasonably foreseeable future occurrences. These estimates are based partly on property information, data obtained in public records, interviews, existing trends, buyer-seller decision criteria in the current market, and research conducted by third parties, and such data are not always completely reliable. The Integra Parties are not responsible for these and other future occurrences that could not have reasonably been foreseen on the effective date of this assignment. Furthermore, it is inevitable that some assumptions will not materialize and that unanticipated events may occur that will likely affect actual performance. While we are of the opinion that our findings are reasonable based on current market conditions, we do not represent that these estimates will actually be achieved, as they are subject to considerable risk and uncertainty. Moreover, we assume competent and effective management and marketing for the duration of the projected holding period of this property.

27. All prospective value opinions presented in this report are estimates and forecasts which are prospective in nature and are subject to considerable risk and uncertainty. In addition to the contingencies noted in the preceding paragraph, several events may occur that could substantially alter the outcome of our estimates such as, but not limited to changes in the economy, interest rates, and capitalization rates, behavior of consumers, investors and lenders, fire and other physical destruction, changes in title or conveyances of easements and deed restrictions, etc. It is assumed that conditions reasonably foreseeable at the present time are consistent or similar with the future.

28. The appraisal is also subject to the following:

Extraordinary Assumptions and Hypothetical Conditions

The value conclusions are subject to the following extraordinary assumptions. An extraordinary assumption is an assignment-specific assumption as of the effective date regarding uncertain information used in an analysis which, if found to be false, could alter the appraiser's opinions or conclusions.

1. None

The value conclusions are based on the following hypothetical conditions. A hypothetical condition is a condition, directly related to a specific assignment, which is contrary to what is known by the appraiser to exist on the effective date of the assignment results, but is used for the purpose of analysis.

1. None

The use of any extraordinary assumption or hypothetical condition may have affected the assignment results.

Addendum A

Appraiser Qualifications

Noah Kauffman, MAI, R/W-AC

Experience

Mr. Kauffman is a Certified General real estate appraiser with Integra Realty Resources, a real estate appraisal firm that engages in a wide variety of real estate valuation and consultation assignments. After completing his bachelor's degree at the University of California, Davis, he began his career in real estate appraisal with House Agricultural Consultants on agricultural and rural property appraisals. He subsequently joined the firm in 2014 and has been writing narrative appraisal reports for a variety of commercial and agricultural properties. He is now involved in appraisal assignments covering right of way, land, multifamily residential, industrial, office, retail and mixed use properties. Mr. Kauffman has developed the experience and background necessary to deal with complex assignments covering an array of property types. Noah Kauffman holds the Appraisal Institute's MAI designation and the Right of Way – Appraisal Certification (R/W-AC) from the International Right of Way Association.

Licenses

California, Certified General Real Estate Appraiser, 3004618, Expires June 2023

Education

Academic:
Bachelor of Science in Agricultural Management and Rangeland Resources, University of California, Davis

Appraisal and Real Estate Courses:
Advanced Income Capitalization
Advanced Market Analysis & Highest and Best Use
Advanced Concepts & Case Studies
Quantitative Analysis
The Valuation of Partial Acquisitions
Farm and Rural Resources Appraisal
Laws and Regulations for California Appraisers
Uniform Standards of Professional Appraisal Practice
General Appraiser Sales Comparables Approach
General Appraiser Site Valuation and Cost Approach
General Appraiser Market Analysis and Highest and Best Use
General Appraiser Report Writing and Case Studies
General Appraiser Income Capitalization Approach Part I & II
Residential Sales Comparables and Income Approach
Residential Site Valuation and Cost Approach
Residential Market Analysis and Highest and Best Use
Residential Report Writing and Case Studies
Basic Appraisal Principles
Basic Appraisal Procedures
Problems in the Valuation of Partial Acquisitions

nkauffman@irr.com - 916.435.3981

Integra Realty Resources - Sacramento

590 Menlo Drive
Suite 1
Rocklin, CA 95765

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F 916.435.4774

irr.com

Business, Consumer Services & Housing Agency

BUREAU OF REAL ESTATE APPRAISERS

REAL ESTATE APPRAISER LICENSE

COPY

Noah J. Kauffman

has successfully met the requirements for a license as a residential and commercial real estate appraiser in the State of California and is, therefore, entitled to use the title:

"Certified General Real Estate Appraiser"

This license has been issued in accordance with the provisions of the Real Estate Appraisers' Licensing and Certification Law.

BREA APPRAISER IDENTIFICATION NUMBER: 3004618

Effective Date: June 12, 2021
Date Expires: June 11, 2023

Loretta Dillon

Loretta Dillon, Deputy Bureau Chief, BREA

3057627

THIS DOCUMENT CONTAINS A TRUE WATERMARK - HOLD UP TO LIGHT TO SEE "CHAIN LINK"

Kevin Ziegenmeyer, MAI

Experience

Mr. Ziegenmeyer is a Certified General real estate appraiser and holds the Appraisal Institute's MAI designation. In 1989, Mr. Ziegenmeyer began his career in real estate as a controller for a commercial and residential real estate development corporation. In 1991 he began appraising and continued to be involved in appraisal assignments covering a wide variety of properties, including office, retail, industrial, residential income and subdivisions throughout the state of California, and Northern Nevada. Mr. Ziegenmeyer handles many of the firm's master-planned property appraisals and over the past two decades has developed expertise in the valuation of Community Facilities Districts and Assessment Districts.In fact, Mr. Ziegenmeyer was one of five appraisers to collaborate with other professionals in developing the appraisal guidelines for the California Debt and Investment Advisory Commission (Recommended Practices in the Appraisal of Real Estate for Land-Secured Financing - 2004). He has developed the experience and background necessary to deal with complex assignments covering an array of property types, with a particular focus on urban redevelopment in the cities and counties of San Francisco, Dublin, Monterey, Newport Beach, Alameda, Napa and San Mateo. In early 2015, Mr. Ziegenmeyer obtained the Appraisal Institute's MAI designation. Kevin is currently Senior Managing Director of the Integra-San Francisco office and Managing Director of the Integra-Sacramento office.

Licenses

California, Certified General Real Estate Appraiser, AG013567, Expires June 2023

Education

Academic:
Bachelor of Science in Accounting, Azusa Pacific University, California

Appraisal and Real Estate Courses:
Standards of Professional Practice, Parts A, B & C
Basic Valuation Procedures
Real Estate Appraisal Principles
Capitalization Theory and Techniques, Part A
Advanced Income Capitalization
Report Writing and Valuation Analysis
Advanced Applications
IRS Valuation Summit I & II
2008, 2009, 2010 & 2011 Economic Forecast
Business Practices and Ethics
Contemporary Appraisal Issues with Small Business Administration Financing
General Demonstration Appraisal Report Writing Seminar
7-Hour National USPAP Update Course
Valuation of Easements and Other Partial Interests
2009 Summer Conference
Uniform Appraisal Standards for Federal Land Acquisitions
2008 Economic Update
Valuation of Conservation Easements
Subdivision Valuation
2005 Annual Fall Conference

kziegenmeyer@irr.com - 916-435-3883 x224

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590 Menlo Drive
Suite 1
Rocklin, CA 95765

T 916-435-3883
F 916-435-4774

irr.com

Kevin Ziegenmeyer, MAI

Education (Cont'd)

General Comprehensive Exam Module I, II, III & IV
Advanced Income Capitalization
Advanced Sales Comparison & Cost Approaches
2004 Central CA Market Update
Computer-Enhanced Cash Flow Modeling
Forecast 2000, 2001, 2002, 2003 & 2004
Land Valuation Assignments
Land Valuation Adjustment Procedures
Highest & Best Use and Market Analysis
Entitlements, Land Subdivision & Valuation
Real Estate Value Cycles
El Dorado Hills Housing Symposium
Federal Land Exchanges
M & S Computer Cost-Estimating, Nonresidential

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Business, Consumer Services & Housing Agency

BUREAU OF REAL ESTATE APPRAISERS

REAL ESTATE APPRAISER LICENSE

Kevin K. Ziegenmeyer

has successfully met the requirements for a license as a residential and commercial real estate appraiser in the State of California and is, therefore, entitled to use the title:

"Certified General Real Estate Appraiser"

This license has been issued in accordance with the provisions of the Real Estate Appraisers' Licensing and Certification Law.

BREA APPRAISER IDENTIFICATION NUMBER: AG 013567

Effective Date: June 5, 2021
Date Expires: June 4, 2023

Loretta Dillon

Loretta Dillon, Deputy Bureau Chief, BREA

3057527

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About IRR

Integra Realty Resources, Inc. (IRR) provides world-class commercial real estate valuation, counseling, and advisory services. Routinely ranked among leading property valuation and consulting firms, we are now the largest independent firm in our industry in the United States, with local offices coast to coast and in the Caribbean.

IRR offices are led by MAI-designated Senior Managing Directors, industry leaders who have over 25 years, on average, of commercial real estate experience in their local markets. This experience, coupled with our understanding of how national trends affect the local markets, empowers our clients with the unique knowledge, access, and historical perspective they need to make the most informed decisions.

Many of the nation's top financial institutions, developers, corporations, law firms, and government agencies rely on our professional real estate opinions to best understand the value, use, and feasibility of real estate in their market.

Local Expertise...Nationally!

irr.com

Addendum B

Definitions

Definitions

The source of the following definitions is the Appraisal Institute, *The Dictionary of Real Estate Appraisal*, 7th ed. (Chicago: Appraisal Institute, 2022), unless otherwise noted.

As Is Market Value
The estimate of the market value of real property in its current physical condition, use, and zoning as of the appraisal date.

Disposition Value
The most probable price that a specified interest in property should bring under the following conditions:

1. Consummation of a sale within a specified time, which is shorter than the typical exposure time for such a property in that market.
2. The property is subjected to market conditions prevailing as of the date of valuation.
3. Both the buyer and seller are acting prudently and knowledgeably.
4. The seller is under compulsion to sell.
5. The buyer is typically motivated.
6. Both parties are acting in what they consider to be their best interests.
7. An adequate marketing effort will be made during the exposure time.
8. Payment will be made in cash in U.S. dollars (or the local currency) or in terms of financial arrangements comparable thereto.
9. The price represents the normal consideration for the property sold, unaffected by special or creative financing or sales concessions granted by anyone associated with the sale.

This definition can also be modified to provide for valuation with specified financing terms.

Effective Date
1. The date on which the appraisal or review opinion applies.
2. In a lease document, the date upon which the lease goes into effect.

Entitlement
In the context of ownership, use, or development of real estate, governmental approval for annexation, zoning, utility extensions, number of lots, total floor area, construction permits, and occupancy or use permits.

Entrepreneurial Incentive
The amount an entrepreneur expects to receive for his or her contribution to a project. Entrepreneurial incentive may be distinguished from entrepreneurial profit (often called *developer's*

profit) in that it is the expectation of future profit as opposed to the profit actually earned on a development or improvement. The amount of entrepreneurial incentive required for a project represents the economic reward sufficient to motivate an entrepreneur to accept the risk of the project and to invest the time and money necessary in seeing the project through to completion.

Entrepreneurial Profit

1. A market-derived figure that represents the amount an entrepreneur receives for his or her contribution to a project and risk; the difference between the total cost of a property (cost of development) and its market value (property value after completion), which represents the entrepreneur's compensation for the risk and expertise associated with development. An entrepreneur is motivated by the prospect of future value enhancement (i.e., the entrepreneurial incentive). An entrepreneur who successfully creates value through new development, expansion, renovation, or an innovative change of use is rewarded by entrepreneurial profit. Entrepreneurs may also fail and suffer losses.
2. In economics, the actual return on successful management practices, often identified with coordination, the fourth factor of production following land, labor, and capital; also called entrepreneurial return or entrepreneurial reward.

Exposure Time

1. The time a property remains on the market.
2. The estimated length of time that the property interest being appraised would have been offered on the market prior to the hypothetical consummation of a sale at market value on the effective date of the appraisal; a retrospective opinion based on an analysis of past events assuming a competitive and open market.

Fee Simple Estate

Absolute ownership unencumbered by any other interest or estate, subject only to the limitations imposed by the governmental powers of taxation, eminent domain, police power, and escheat.

Floor Area Ratio (FAR)

The relationship between the above-ground floor area of a building, as described by the zoning or building code, and the area of the plot on which it stands; in planning and zoning, often expressed as a decimal, e.g., a ratio of 2.0 indicates that the permissible floor area of a building is twice the total land area.

Highest and Best Use

1. The reasonably probable use of property that results in the highest value. The four criteria that the highest and best use must meet are legal permissibility, physical possibility, financial feasibility, and maximum productivity.
2. The use of an asset that maximizes its potential and that is possible, legally permissible, and financially feasible. The highest and best use may be for continuation of an asset's existing use or for some alternative use. This is determined by the use that a market participant would have in mind for the asset when formulating the price that it would be willing to bid. (ISV)

3. [The] highest and most profitable use for which the property is adaptable and needed or likely to be needed in the reasonably near future. (Uniform Appraisal Standards for Federal Land Acquisitions)

Investment Value

1. The value of a property to a particular investor or class of investors based on the investor's specific requirements. Investment value may be different from market value because it depends on a set of investment criteria that are not necessarily typical of the market.
2. The value of an asset to the owner or a prospective owner for individual investment or operational objectives.

Lease

A contract in which rights to use and occupy land, space, or structures are transferred by the owner to another for a specified period of time in return for a specified rent.

Leased Fee Interest

The ownership interest held by the lessor, which includes the right to receive the contract rent specified in the lease plus the reversionary right when the lease expires.

Leasehold Interest

The right held by the lessee to use and occupy real estate for a stated term and under the conditions specified in the lease.

Liquidation Value

The most probable price that a specified interest in real property should bring under the following conditions:

1. Consummation of a sale within a short time period.
2. The property is subjected to market conditions prevailing as of the date of valuation.
3. Both the buyer and seller are acting prudently and knowledgeably.
4. The seller is under extreme compulsion to sell.
5. The buyer is typically motivated.
6. Both parties are acting in what they consider to be their best interests.
7. A normal marketing effort is not possible due to the brief exposure time.
8. Payment will be made in cash in U.S. dollars (or the local currency) or in terms of financial arrangements comparable thereto.
9. The price represents the normal consideration for the property sold, unaffected by special or creative financing or sales concessions granted by anyone associated with the sale.

This definition can also be modified to provide for valuation with specified financing terms.

Marketing Time
An opinion of the amount of time it might take to sell a real or personal property interest at the concluded market value level during the period immediately after the effective date of an appraisal. Marketing time differs from exposure time, which is always presumed to precede the effective date of an appraisal.

Market Value
The most probable price which a property should bring in a competitive and open market under all conditions requisite to a fair sale, the buyer and seller each acting prudently and knowledgeably, and assuming the price is not affected by undue stimulus. Implicit in this definition is the consummation of a sale as of a specified date and the passing of title from seller to buyer under conditions whereby:

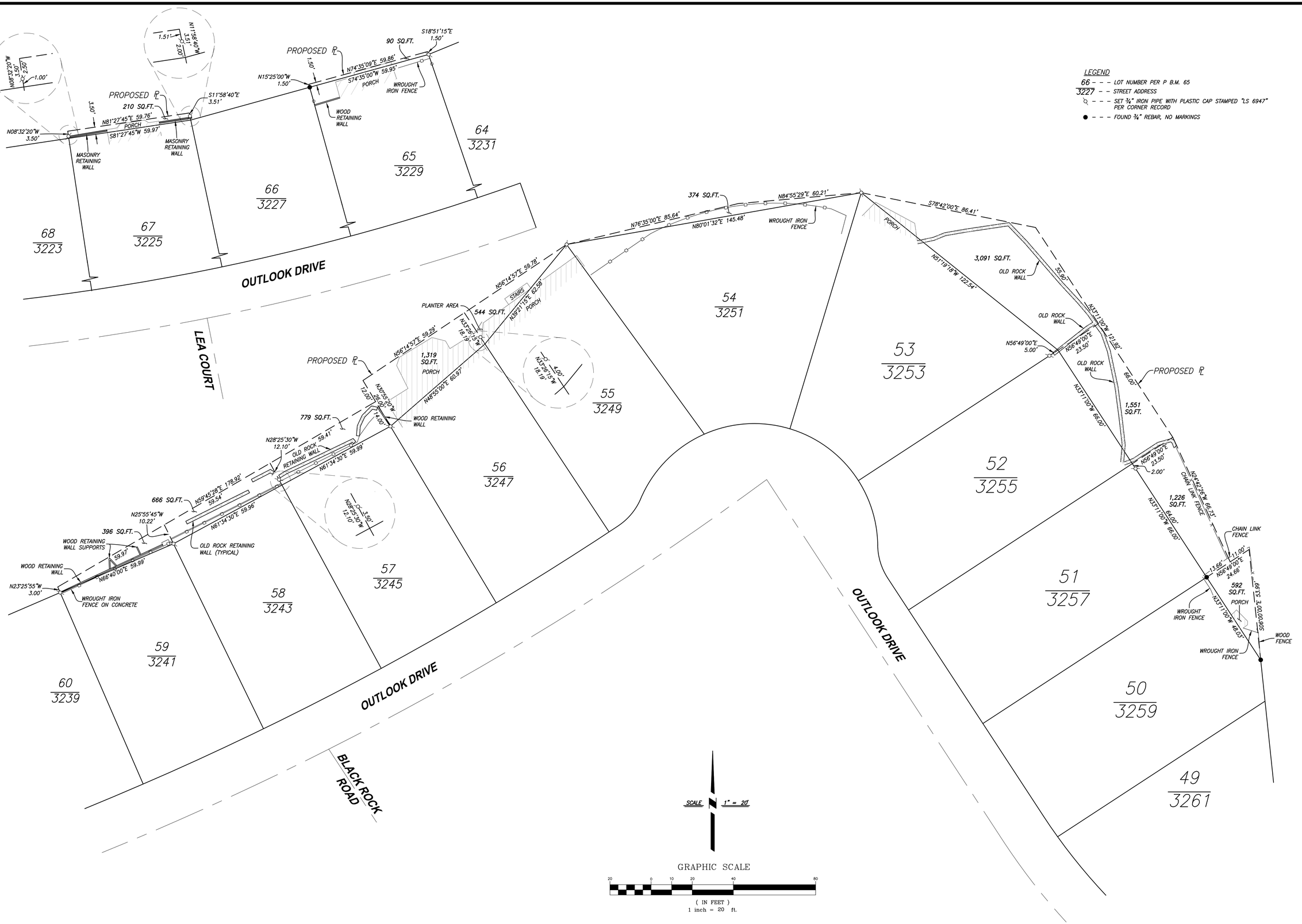
- buyer and seller are typically motivated;
- both parties are well informed or well advised, and acting in what they consider their own best interests;
- a reasonable time is allowed for exposure in the open market;
- payment is made in terms of cash in U.S. dollars or in terms of financial arrangements comparable thereto; and
- the price represents the normal consideration for the property sold unaffected by special or creative financing or sales concessions granted by anyone associated with the sale.

(Source: Code of Federal Regulations, Title 12, Chapter I, Part 34.42[h]; also Interagency Appraisal and Evaluation Guidelines, Federal Register, 75 FR 77449, December 10, 2010, page 77472)

Prospective Opinion of Value
A value opinion effective as of a specified future date. The term does not define a type of value. Instead, it identifies a value opinion as being effective at some specific future date. An opinion of value as of a prospective date is frequently sought in connection with projects that are proposed, under construction, or under conversion to a new use, or those that have not yet achieved sellout or a stabilized level of long-term occupancy.

Addendum C

Encroachments Exhibit



PREPARED UNDER THE DIRECTION OF: DATE:

NO	DESCRIPTIONS	REVISIONS	DATE	APPROVED

CenterPoint Engineering, Inc.
Civil Engineering & Land Surveying
4230 Rocklin Rd., Suite 200 - Rocklin, CA 95677
Phone: 916-773-4006 - Fax: 916-773-4499

OPEN SPACE TO BE DEEDED

Outlook Drive
City of Rocklin, Placer County
State of California

JOB NO	195201
DATE	11-17-2020
DR BY	BB
CK BY	MH
SCALE	1" = 20'

SHEET NUMBER **1** OF **1** SHEETS

Addendum D

Comparable Data

Land Sale Profile

Sale No. 1

Location & Property Identification

Property Name:	W/S Lincoln Way
Sub-Property Type:	Specialty, Park/Open Space
Address:	W/S Lincoln Way
City/State/Zip:	Galt, CA 95632
County:	Sacramento
Market Orientation:	Suburban
IRR Event ID:	2919231



This Open Space zoned parcel is located within the City of Galt. The parcel is crossed by a creek and the entirety of the parcel is located within a flood zone (AE designation). The open space designation does not allow for use as a residential home site.

Sale Information

Sale Price:	$80,000
Effective Sale Price:	$80,000
Sale Date:	07/25/2022
Sale Status:	Closed
$/Acre(Gross):	$3,897
$/Land SF(Gross):	$0.09
Grantor/Seller:	Tejeda Family Trust
Grantee/Buyer:	Charles Sun Hong Trustee of the Truth Church Of SDA
Property Rights:	Fee Simple
Financing:	Cash to seller
Recording No.:	20220725.283
Verification Type:	Secondary Verification

Improvement and Site Data

MSA:	Sacramento--Roseville--Arden-Arcade, CA
Legal/Tax/Parcel ID:	150-0700-001
Acres(Gross):	20.53
Land-SF(Gross):	894,286
Zoning Code:	OS
Zoning Desc.:	Open Space
Flood Plain:	Yes
Flood Zone Designation:	AE
Source of Land Info.:	Public Records

Comments

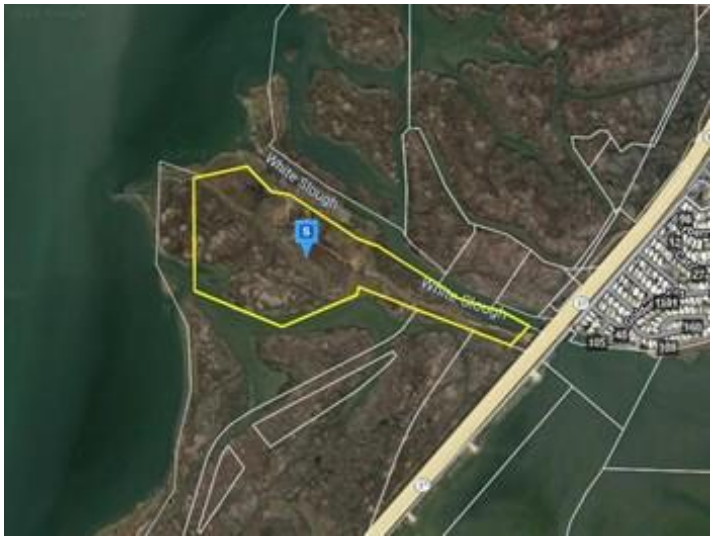
W/S Lincoln Way

Land Sale Profile

Sale No. 2

Location & Property Identification

Property Name:	W/S Hwy 37 at White Slough
Sub-Property Type:	Specialty, Wetland/Marshland
Address:	W/S Hwy 37 at White Slough
City/State/Zip:	Vallejo, CA 94589
County:	Solano
Market Orientation:	Coastal-Waterfront
IRR Event ID:	2919381



Source of Land Info.: Public Records

Sale Information

Sale Price:	$103,000
Effective Sale Price:	$103,000
Sale Date:	08/10/2021
Listing Price:	$125,000
Sale Status:	Closed
$/Acre(Gross):	$4,478
$/Land SF(Gross):	$0.10
Grantor/Seller:	Arsalan & Laila Darmal
Grantee/Buyer:	Ukyo Duong
Property Rights:	Fee Simple
Exposure Time:	16 (months)
Financing:	Cash to seller
Recording No.:	2021.84593
Verified By:	Noah Kauffman, MAI, R/W-AC
Verification Date:	09/20/2022
Verification Type:	Confirmed-Seller Broker

Comments

This is the sale of two parcels totaling 23 acres of marshland. Although the zoning allows for construction of a single-family residence, the property's location within a flood zone may ultimately limit use of the property to recreational uses.

Improvement and Site Data

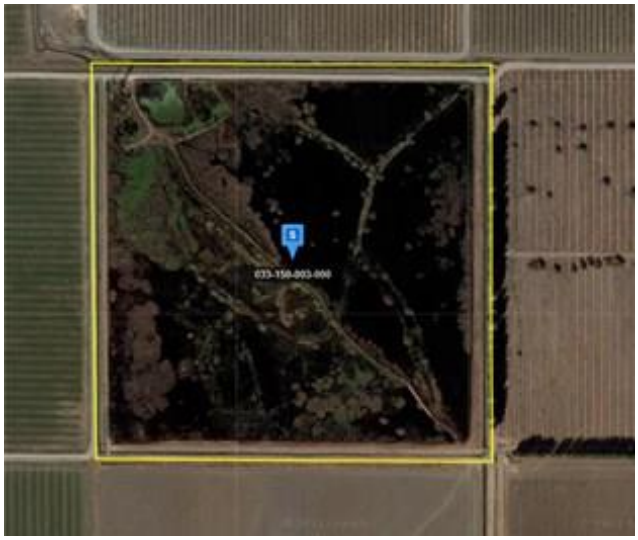
Legal/Tax/Parcel ID:	0067-220-010 & -012
Acres(Gross):	23.00
Land-SF(Gross):	1,001,880
Zoning Code:	MP
Zoning Desc.:	Marsh Preservation
Flood Plain:	Yes
Flood Zone Designation:	AE

Land Sale Profile

Sale No. 3

Location & Property Identification

Property Name:	E/O County Road 104, S/O Maxwell Ln.
Sub-Property Type:	Other
Address:	E/O County Road 104, S/O Maxwell Ln.
City/State/Zip:	Davis, CA 95618
County:	Yolo
Market Orientation:	Rural
IRR Event ID:	2667391



Sale Information

Sale Price:	$140,000
Effective Sale Price:	$140,000
Sale Date:	06/05/2020
Sale Status:	Closed
$/Acre(Gross):	$3,500
$/Land SF(Gross):	$0.08
Grantor/Seller:	William Beal TTE
Grantee/Buyer:	H. David Moehring TTE
Property Rights:	Fee Simple
Financing:	Cash to seller
Recording No.:	2020-0016415
Verified By:	Noah Kauffman, MAI, R/W-AC
Verification Date:	06/15/2021
Verification Type:	Confirmed-Seller Broker

Comments

This 40-acre parcel has previously been used for camping and duck hunting. According to the listing broker the property is a designated wetlands and includes six duck blinds. Water for the property is accessible from Reclamation District 2068 from March through November.

Improvement and Site Data

Legal/Tax/Parcel ID:	033-150-003
Acres(Gross):	40.00
Land-SF(Gross):	1,742,400
Zoning Code:	A-N
Zoning Desc.:	Agricultural Intensive
Flood Plain:	Yes
Flood Zone:	AE
Source of Land Info.:	Broker

Land Sale Profile

Sale No. 4

Location & Property Identification

Property Name:	Ponderosa Parcel 031
Sub-Property Type:	Residential
Address:	S/S Sunset Rd.
City/State/Zip:	Brownsville, CA 95919
County:	Yuba
Market Orientation:	Rural
IRR Event ID:	2938606



This is the 2020 sale of a 2.5-acre rural parcel.

Sale Information

Sale Price:	$15,000
Effective Sale Price:	$15,000
Sale Date:	02/04/2020
Recording Date:	03/11/2020
Listing Price:	$19,900
Sale Status:	Closed
$/Acre(Gross):	$6,000
$/Land SF(Gross):	$0.14
Grantor/Seller:	Sundown LLC
Grantee/Buyer:	Vilayvone Norasing
Property Rights:	Fee Simple
Exposure Time:	1 (months)
Financing:	Cash to seller
Recording No.:	2020.3920
Verification Type:	Secondary Verification

Improvement and Site Data

MSA:	Yuba City, CA
Legal/Tax/Parcel ID:	056-290-031
Acres(Gross):	2.50
Land-SF(Gross):	108,900
Zoning Code:	A/RR
Zoning Desc.:	Agricultural/Rural Residential
Source of Land Info.:	Public Records

Comments

Ponderosa Parcel 031

Land Sale Profile

Sale No. 5

Location & Property Identification

Property Name:	16699 Jackson Slough Rd.
Sub-Property Type:	Other
Address:	16699 Jackson Slough Rd.
City/State/Zip:	Isleton, CA 95641
County:	Sacramento
Market Orientation:	Small Town - Non Metro
IRR Event ID:	2314100



This is the sale of seven acres in Isleton. The property has a narrow shape, partially following a railroad bed, which offers limited utility overall. According to one of the brokers involved in the sale, the buyers planned to use the property for a hobby farm.

Sale Information

Sale Price:	$75,000
Effective Sale Price:	$75,000
Sale Date:	11/06/2019
Sale Status:	Closed
$/Acre(Gross):	$10,714
$/Land SF(Gross):	$0.25
Grantor/Seller:	Man Pham
Grantee/Buyer:	Rakesh Cidda & Karen Maxwell
Property Rights:	Fee Simple
Financing:	Cash to seller
Recording No.:	1911070549
Verified By:	Noah Kauffman, MAI, R/W-AC
Verification Date:	01/19/2020
Verification Type:	Confirmed-Seller Broker

Improvement and Site Data

Legal/Tax/Parcel ID:	157-0100-033
Acres(Gross):	7.00
Land-SF(Gross):	304,920
Zoning Code:	AG-80
Zoning Desc.:	Agricultural
Flood Plain:	Yes
Source of Land Info.:	Broker

Comments

16699 Jackson Slough Rd.

Land Sale Profile

Sale No. 6

Location & Property Identification

Property Name:	Ponderosa Way
Sub-Property Type:	Other
Address:	Ponderosa Way
City/State/Zip:	Foresthill, CA 95631
County:	Placer
Market Orientation:	Rural
IRR Event ID:	2938607



This is the sale of Open Space-zoned land within Placer County.

Sale Information

Sale Price:	$14,500
Effective Sale Price:	$14,500
Sale Date:	10/12/2018
Listing Price:	$30,000
Sale Status:	Closed
$/Acre(Gross):	$2,900
$/Land SF(Gross):	$0.07
Grantor/Seller:	Patritia Stinton
Grantee/Buyer:	Riggs Lonnie
Property Rights:	Fee Simple
Exposure Time:	5 (months)
Financing:	Cash to seller
Recording No.:	2018.73951
Verification Type:	Secondary Verification

Improvement and Site Data

MSA:	Sacramento--Roseville--Arden-Arcade, CA
Legal/Tax/Parcel ID:	073-261-023
Acres(Gross):	5.00
Land-SF(Gross):	217,800
Zoning Code:	O
Zoning Desc.:	Open Space
Source of Land Info.:	Public Records

Comments

Ponderosa Way

Addendum E

Engagement Letter

Integra Realty Resources
Sacramento

590 Menlo Drive
Suite 100
Rocklin, CA 95765

T 916.435.3883
F 916.435.4774
www.irr.com

October 26, 2022

David Mohlenbrok, Director
Community Development Department
City of Rocklin
3970 Rocklin Road
Rocklin, California 95677

SUBJECT: Twelve Residential Lots situated along Outlook Drive in Rocklin, CA
(lots 50 through 59, 65 and 66)
Rocklin, Placer County, California 95677

Dear David,:

Thank you for considering Integra Realty Resources – Sacramento for your real estate valuation needs. Enclosed is my Agreement for Appraisal Services pertaining to the above referenced property. The total fee for delivery of the completed appraisal report is $3,200.

Please return a signed copy of the attached Agreement to my office at your earliest convenience. Provided the signed contract is received within 3 business days, we anticipate delivery of the completed report no later than November 18, 2022.

In accordance with the attached Agreement for Appraisal Services, the scope of this assignment will require IRR – Sacramento to consider all relevant and applicable approaches to value as determined during the course of our research, analysis of the subject property and preparation of the report.

If you have any questions, please do not hesitate to contact me at 916.435.3883 or by e-mail at kziegenmeyer@irr.com.

Respectfully submitted,

Integra Realty Resources - Sacramento



Kevin Ziegenmeyer, MAI
Certified General Real Estate Appraiser
California Certificate # AG013567
Telephone: 916.435.3883
Email: kziegenmeyer@irr.com

Attachments

Agreement for Appraisal Services

The terms of the agreement are outlined as follows:

Date of the Agreement	October 26, 2022
Parties to the Agreement	Integra Realty Resources – Sacramento (also identified as "IRR") and David Mohlenbrok of City of Rocklin (the "Client").
Purpose of the Appraisal	To provide an opinion of market value for the fee simple interest in the subject property.
Intended User	The client and intended user is the City of Rocklin. The appraisal is not intended for any other user.
Intended Use	The intended use of the appraisal is to assist in establishing transfer amounts for City owned land that has been developed and utilized by adjacent property owners. The appraisal is not intended for any other use.
Effective Date of Value	Current date of value. (No re-inspection)
Appraised Property	The subject property represents Twelve Residential Lots situated along Outlook Drive in Rocklin, CA (lots 50 through 59, 65 and 66), within the city of Rocklin, Placer County, California.
USPAP Compliance	The appraisal will be prepared in conformance with and subject to the *Code of Professional Ethics and Standards of Professional Appraisal Practice* of the Appraisal Institute and the *Uniform Standards of Professional Appraisal Practice* (USPAP) developed by the Appraisal Standards Board of the Appraisal Foundation.
Prior Services	The Ethics Rule of USPAP requires appraisers to disclose to the client any other services they have provided in connection with the subject property in the prior three years, including valuation, consulting, property management, brokerage, or any other services. We have not appraised the property that is the subject of this report for the current client within the three-year period immediately preceding acceptance of this assignment.
Scope of Work	In accordance with our correspondence, the scope of this assignment will require IRR – Sacramento to consider all relevant and applicable approaches to value as determined

during the course of our research, subject property analysis and preparation of the report.

In late 2021 we appraised the subject properties under the hypothetical condition that the appraised land areas would be rezoned to residential classifications similar to the larger adjacent parcels that would expand by the size of the appraised land areas (once acquired). The valuation for this assignment is premised on as is zoning, with no consideration to a possible rezone.

Please note, Federal banking regulations require banks and other lending institutions to engage appraisers where FIRREA compliant appraisals must be used in connection with mortgage loans or other transactions involving federally regulated lending institutions. Given that requirement, this appraisal may not be accepted by a Federally-regulated financial institution.

Appraisal Report Format

The appraisal will be communicated in an Appraisal Report – Summary / Standard format. All work will be performed under the direct supervision of the undersigned, together with other staff members. The appraisal and this letter agreement will be subject to our standard assumptions and limiting conditions a copy of which is attached as Attachment I.

Fee

The total fee for this assignment will be $3,200.

It is understood that simple interest of 15% per annum will accrue on any unpaid balance for compensation due, subject to reduction pursuant to any applicable usury law. We shall also be entitled to recover our costs (including attorneys' fees), associated with collecting any amounts owed or otherwise incurred in connection with this assignment. If the assignment is cancelled by either party prior to completion, you agree to pay us for all our expenses and our time to date based upon the percentage of work completed. Upon default, we shall be permitted to file a lien against the subject property for any amounts owed pursuant to this engagement.

Start, Acceptance, and Delivery Dates

Provided the signed contract and retainer (if applicable) are received within 3 business days, we will commence work on the assignment. Additionally, with these conditions met we anticipate delivery of the appraisal report by November 18, 2022. This delivery date is contingent on the absence of events outside our control, timely access for inspection of

	the subject property, as well as our receipt of all requested information necessary to complete the assignment.
Report Copies	A PDF of the appraisal report will be provided.
Inspection Disclosure	Please be advised that we are not experts in the areas of building inspection (including mold), environmental hazards, ADA compliance or wetlands. Therefore, unless we have been provided with appropriate third-party expert reports, the appraisal will assume that there are no environmental, wetlands, or ADA compliance problems. The agreed upon fees for our services assume the absence of such issues inasmuch as additional research and analysis may be required. If an expert is required, you are responsible for their selection, payment and actions.
Litigation Clause	In the event that we receive a subpoena or are called to testify in any litigation, arbitration or administrative hearing of any nature whatsoever or as a result of this engagement or the related report, to which we are not a party, you agree to pay our then current hourly rates for such preparation and presentation of testimony.
Use of Data	You agree that: (i) the data collected by us in this assignment will remain our property; and (ii) with respect to any data provided by you, IRR – Sacramento and its partner companies may utilize, sell and include such data (either in the aggregate or individually), in the Integra database and for use in derivative products. You agree that all data already in the public domain may be utilized on an unrestricted basis. Finally, you agree that we may use commercially available as well as proprietary software programs to perform your assignment (web based and others).
Conflicts of Interest	If we discover during the course of preparing the appraisal there is a conflict of interest with a separate IRR office, we reserve the right to cancel the agreement and withdraw from the assignment without incurring penalties.

If you agree with the terms set forth in this letter and wish us to proceed with the engagement, please sign below and return one copy to us. Thank you for this opportunity to be of service and we look forward to working with you.

AGREED & ACCEPTED BY:

Integra Realty Resources – Sacramento

Kevin Ziegenmeyer, MAI
Senior Managing Director

October 26, 2022

AGREED & ACCEPTED BY:

David Mohlenbrok
david.mohlenbrok@rocklin.ca.us
916-625-5162

10/27/22

Date

ATTACHMENT I

STANDARD ASSUMPTIONS & LIMITING CONDITIONS

The appraisal report and any work product related to the engagement will be limited by the following standard assumptions:

1. The title is marketable and free and clear of all liens, encumbrances, encroachments, easements and restrictions. The subject property is under responsible ownership and competent management and is available for its highest and best use.
2. There are no existing judgments or pending or threatened litigation that could affect the value of the subject property.
3. There are no hidden or undisclosed conditions of the land or of the improvements that would render the subject property more or less valuable. Furthermore, there is no asbestos in the subject property.
4. The revenue stamps placed on any deed referenced herein to indicate the sale price are in correct relation to the actual dollar amount of the transaction.
5. The subject property is in compliance with all applicable building, environmental, zoning, and other federal, state and local laws, regulations and codes.
6. The information furnished by others is believed to be reliable, but no warranty is given for its accuracy.

The appraisal report and any work product related to the engagement will be subject to the following limiting conditions, except as otherwise noted in the report:

1. An appraisal is inherently subjective and represents our opinion as to the value of the subject property appraised.
2. The conclusions stated in our appraisal apply only as of the effective date of the appraisal, and no representation is made as to the effect of subsequent events.
3. No changes in any federal, state or local laws, regulations or codes (including, without limitation, the Internal Revenue Code) are anticipated.
4. No environmental impact studies were either requested or made in conjunction with this appraisal, and we reserve the right to revise or rescind any of the value opinions based upon any subsequent environmental impact studies. If any environmental impact statement is required by law, the appraisal assumes that such statement will be favorable and will be approved by the appropriate regulatory bodies.
5. Unless otherwise agreed to in writing, we are not required to give testimony, respond to any subpoena or attend any court, governmental or other hearing with reference to the subject property without compensation relative to such additional employment.
6. We have made no survey of the subject property and assume no responsibility in connection with such matters. Any sketch or survey of the subject property included in this report is for illustrative purposes only and should not be considered to be scaled accurately for size. The appraisal covers

the subject property as described in this report, and the areas and dimensions set forth are assumed to be correct.

7. No opinion is expressed as to the value of subsurface oil, gas or mineral rights, if any, and we have assumed that the subject property is not subject to surface entry for the exploration or removal of such materials, unless otherwise noted in our appraisal.
8. We accept no responsibility for considerations requiring expertise in other fields. Such considerations include, but are not limited to, legal descriptions and other legal matters such as legal title, geologic considerations, such as soils and seismic stability, and civil, mechanical, electrical, structural and other engineering and environmental matters. Such considerations may also include determinations of compliance with zoning and other federal, state, and local laws, regulations and codes.
9. The distribution of the total valuation in the report between land and improvements applies only under the reported highest and best use of the subject property. The allocations of value for land and improvements must not be used in conjunction with any other appraisal and are invalid if so used. The appraisal report shall be considered only in its entirety. No part of the appraisal report shall be utilized separately or out of context.
10. Neither all nor any part of the contents of this report (especially any conclusions as to value, the identity of the appraisers, or any reference to the Appraisal Institute) shall be disseminated through advertising media, public relations media, news media or any other means of communication (including without limitation prospectuses, private offering memoranda and other offering material provided to prospective investors) without the prior written consent of the persons signing the report.
11. Information, estimates and opinions contained in the report and obtained from third-party sources are assumed to be reliable and have not been independently verified.
12. Any income and expense estimates contained in the appraisal report are used only for the purpose of estimating value and do not constitute predictions of future operating results.
13. If the subject property is subject to one or more leases, any estimate of residual value contained in the appraisal may be particularly affected by significant changes in the condition of the economy, of the real estate industry, or of the subject property at the time these leases expire or otherwise terminate.
14. Unless otherwise stated in the report, no consideration has been given to personal property located on the subject property or to the cost of moving or relocating such personal property; only the real property has been considered.
15. The current purchasing power of the dollar is the basis for the value stated in the appraisal; we have assumed that no extreme fluctuations in economic cycles will occur.
16. The values found herein are subject to these and to any other assumptions or conditions set forth in the body of this report but which may have been omitted from this list of Assumptions and Limiting Conditions.
17. The analyses contained in the report necessarily incorporate numerous estimates and assumptions regarding property performance, general and local business and economic

conditions, the absence of material changes in the competitive environment and other matters. Some estimates or assumptions, however, inevitably will not materialize, and unanticipated events and circumstances may occur; therefore, actual results achieved during the period covered by our analysis will vary from our estimates, and the variations may be material.

18. The Americans with Disabilities Act (ADA) became effective January 26, 1992. We have not made a specific survey or analysis of the subject property to determine whether the physical aspects of the improvements meet the ADA accessibility guidelines. We claim no expertise in ADA issues, and render no opinion regarding compliance of the subject property with ADA regulations. Inasmuch as compliance matches each owner's financial ability with the cost to cure the non-conforming physical characteristics of a property, a specific study of both the owner's financial ability and the cost to cure any deficiencies would be needed for the Department of Justice to determine compliance.
19. The appraisal report is prepared for the exclusive benefit of you, your subsidiaries and/or affiliates. It may not be used or relied upon by any other party. All parties who use or rely upon any information in the report without our written consent do so at their own risk.
20. No studies have been provided to us indicating the presence or absence of hazardous materials on the subject property or in the improvements, and our valuation is predicated upon the assumption that the subject property is free and clear of any environment hazards including, without limitation, hazardous wastes, toxic substances and mold. No representations or warranties are made regarding the environmental condition of the subject property. IRR – Sacramento and/or any of its officers, owners, managers, directors, agents, subcontractors or employees (the "Integra Parties") shall not be responsible for any such environmental conditions that do exist or for any engineering or testing that might be required to discover whether such conditions exist. Because we are not experts in the field of environmental conditions, the appraisal report cannot be considered as an environmental assessment of the subject property.
21. The persons signing the report may have reviewed available flood maps and may have noted in the appraisal report whether the subject property is located in an identified Special Flood Hazard Area. However, we are not qualified to detect such areas and therefore do not guarantee such determinations. The presence of flood plain areas and/or wetlands may affect the value of the subject property, and the value conclusion is predicated on the assumption that wetlands are non-existent or minimal.
22. We are not a building or environmental inspector. The Integra Parties do not guarantee that the subject property is free of defects or environmental problems. Mold may be present in the subject property and a professional inspection is recommended.
23. The appraisal report and value conclusions for an appraisal assumes the satisfactory completion of construction, repairs or alterations in a workmanlike manner.
24. IRR – Sacramento is an independently owned and operated company, which has prepared the appraisal for the specific intended use stated elsewhere in the report. The use of the appraisal report by anyone other than the Client is prohibited except as otherwise provided. Accordingly, the appraisal report is addressed to and shall be solely for the Client's use and benefit unless we provide our prior written consent. We expressly reserve the unrestricted right to withhold our consent to your disclosure of the appraisal report or any other work product related to the

engagement (or any part thereof including, without limitation, conclusions of value and our identity), to any third parties. Stated again for clarification, unless our prior written consent is obtained, no third party may rely on the appraisal report (even if their reliance was foreseeable).

25. The conclusions of this report are estimates based on known current trends and reasonably foreseeable future occurrences. These estimates are based partly on property information, data obtained in public records, interviews, existing trends, buyer-seller decision criteria in the current market, and research conducted by third parties, and such data are not always completely reliable. The Integra Parties are not responsible for these and other future occurrences that could not have reasonably been foreseen on the effective date of this assignment. Furthermore, it is inevitable that some assumptions will not materialize and that unanticipated events may occur that will likely affect actual performance. While we are of the opinion that our findings are reasonable based on current market conditions, we do not represent that these estimates will actually be achieved, as they are subject to considerable risk and uncertainty. Moreover, we assume competent and effective management and marketing for the duration of the projected holding period of the subject property.

26. All prospective value opinions presented in this report are estimates and forecasts which are prospective in nature and are subject to considerable risk and uncertainty. In addition to the contingencies noted in the preceding paragraph, several events may occur that could substantially alter the outcome of our estimates such as, but not limited to changes in the economy, interest rates, capitalization rates, behavior of consumers, investors and lenders, fire and other physical destruction, changes in title or conveyances of easements and deed restrictions, etc. It is assumed that conditions reasonably foreseeable at the present time are consistent or similar with the future.

As will be determined during the course of the assignment, additional extraordinary or hypothetical conditions may be required in order to complete the assignment. The appraisal shall also be subject to those assumptions.

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Special Assessments for Delinquent Weed Abatement Charges

Date: June 27, 2023

Submitted By: Angela Doyle, Senior Accountant

Department: Administrative Services - Finance

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin Imposing Special Assessments Against Certain Parcels for Delinquent Weed Abatement Charges.
B. Adopt a Resolution of the City Council of the City of Rocklin Requesting the Collection of Specials Assessments on the 2023/24 Tax Roll (Weed Abatement Charges).

Background:

Each year, the City notifies land owners of vacant properties that they must maintain their properties to minimize fire risk. If the properties are not properly abated by the land owners, the City has the right, under California Government Code Section 39501, California Government Code section 39560 et seq., and Rocklin Municipal Code section 8.12.010 to abate the properties and seek reimbursements for these costs.

On April 12, 2022, the City Council adopted Resolution No. 2022-67, which identified parcels requiring abatement, ordered that such abatement be completed by June 1, 2022, and set a public hearing for April 26, 2022, to hear any protests. This Resolution also indicated the City may perform abatement on properties that were not properly abated by the owners and indicated that the City would bill the responsible land owner for any costs incurred by the City. Land owners of affected parcels were notified by mail of the public hearing and abatement requirements.

On April 26, 2022, the City Council held a public hearing and ordered the nuisance be abated. During the summer of 2022, Northern State Contractors Inc, performed abatement on properties that had not been abated by the owners and billed the City for its costs. The City then billed the property owners for fees associated with abating their land on September 2, 2022, and the City sent second notices in December 2022 to those property owners with unpaid invoices.

On May 9, 2023, the City Council adopted Resolution No. 2023-171 accepting a report on the costs of abatement attributed to each delinquent parcel and set a public hearing on June 13, 2023, so that the City Council could consider placing special assessments for reimbursement

of delinquent weed abatement charges on the 2023/24 tax roll. Notice of the public meeting was sent to all owners of record, was posted on the City's web page, posted near the Council Chambers at least three (3) days prior to the hearing, and was published in the Placer Herald on May 25, 2023. Public Hearing was held June 13, 2023 and continued to June 27, 2023.

The first resolution (Attachment 1) authorizes the imposition of special assessments on properties that have delinquent weed abatement fees, and requests the County collect such assessments. The second resolution (Attachment 2) is required by Placer County for all direct charges the County collected on the City's behalf. It serves to indemnify the County for any errors in those tax rolls submitted by the City.

Fiscal Impact:
Placement of special assessments on the tax rolls would result in the City being reimbursed $7,212.50 of weed abatement expenses and collecting $1,081.92 of administrative fees.

ATTACHMENTS:
1. Resolution A - Assessment for Delinquent Weed Abatement
2. Resolution B - Requesting Collection of Delinquent Weed Abatement

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
IMPOSING SPECIAL ASSESSMENTS AGAINST CERTAIN PARCELS FOR
DELINQUENT WEED ABATEMENT CHARGES

WHEREAS, pursuant to the provisions of California Government Code sections 39501 and 39560 et seq., and Rocklin Municipal Code section 8.12.010, on April 12, 2022, Council adopted Resolution 2022-67, which identified parcels in the City of Rocklin that required weed abatement, ordered such abatement to be completed by June 1, 2022, and set a public hearing for any protests on April 26, 2022;

WHEREAS, on April 26, 2022, Council held a public hearing and thereafter ordered the nuisance abated (Reso. No. 2022-80), and during the summer of 2022, a City contractor performed the weed abatement of properties that had not been abated, and billed the City for the weed abatement costs; and

WHEREAS, on May 9, 2023, Council accepted a report on the costs of weed abatement and set June 13, 2023, as the date for a public hearing to consider the imposition of special assessments to be placed on the tax roll (Reso. 2023-171); and

WHEREAS, notice of the public hearing on June 13, 2023 was duly sent to all owners of record of affected properties as required by law, and was posted as required by law; and

WHEREAS, on June 13, 2023 and June 27, 2023 public hearings were held and any objections to the imposition of special assessments on the parcels were heard and considered.

NOW THEREFORE, BE IT RESOLVED BY the City Council for the City of Rocklin as follows:

Section 1. The recitals set forth above are true and correct.

Section 2. The charges in the report on the cost of abatement set forth in Exhibit "A," attached hereto and incorporated herein by reference, are those charges expended for abatement of nuisance plus City and County special assessment processing fees, and are confirmed.

Section 3. As provided in California Government Code section 39577, the costs of abatement shall constitute a special assessment against the properties at which the services were rendered, and shall be collectible at the same time and in the same manner as secured property taxes are collected, and subject to the same penalties, priorities and procedures in the event of delinquency.

Section 4. As provided in California Government Code section 39577, a special assessment shall attach on the described properties upon recordation of this Resolution in the office of the Placer County Clerk-Recorder.

Section 5. The City Clerk shall file a certified copy of this Resolution in the office of the Placer County Auditor-Controller, and record a copy of this Resolution in the office of the Placer County-Clerk Recorder.

Section 6. The Auditor-Controller of Placer County is requested to attach for collection on the County tax rolls those fees attached hereto.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

Delinquent Weed Abatement Charges

PARCEL APN	DELINQUENT BALANCE	CITY ADMIN FEE 15%	COUNTY COLLECTION FEE 1%	TOTAL SPECIAL ASSESSMENT AMOUNT
045-031-014-000	$959.00	$143.85	$11.03	$1,113.88
045-053-039-000	$554.00	$83.10	$6.37	$643.47
371-040-024-000	$1,066.50	$159.98	$12.26	$1,238.74
010-230-008-000	$841.50	$126.23	$9.68	$977.41
045-031-001-000	$299.05	$44.86	$3.44	$347.35
045-031-003-000	$299.05	$44.86	$3.44	$347.35
045-031-004-000	$299.05	$44.86	$3.44	$347.35
045-031-047-000	$299.05	$44.86	$3.44	$347.35
045-031-005-510	$230.80	$34.62	$2.65	$268.07
010-010-016-000	$277.50	$41.63	$3.19	$322.32
010-010-017-000	$277.50	$41.63	$3.19	$322.32
010-010-029-000	$277.50	$41.63	$3.19	$322.32
010-040-040-000	$277.50	$41.63	$3.19	$322.32
010-024-002-000	$788.00	$118.20	$9.06	$915.26
030-140-024-000	$466.50	$69.98	$5.36	$541.84
TOTAL	**$7,212.50**	**$1,081.92**	**$82.93**	**$8,377.35**

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
REQUESTING THE COLLECTION OF TAXES, ASSESSMENTS ON THE 2023/24 TAX ROLL
(WEED ABATEMENT ASSESSMENTS)

WHEREAS, the City of Rocklin has imposed charges for weed abatement pursuant to California Government Code sections 39560 *et seq.* and section 8.12.010 of the Rocklin Municipal Code, for which unpaid charges are collected on behalf of the City by the County of Placer on the annual property tax roll; and

WHEREAS, the County of Placer has required, as a condition of the collection of taxes, assessments, liens and other charges on behalf of the City that the City warrant the legality of said charges and defend and indemnify the County from any challenge to the legality thereof.

NOW, THEREFORE, BE IT RESOLVED by City Council of the City of Rocklin as follows:

Section 1. The Auditor-Controller of Placer County is requested to attach for collection on the County 2023/24 tax rolls special assessments against certain parcels for delinquent weed abatement charges as set forth in Rocklin City Council Resolution No. 2023-__.

Section 2. The City warrants and represents that the special assessments comply with all requirements of state law, including but not limited to Articles XIIIC and XIIID of the California Constitution (Proposition 218).

Section 3. The City releases and discharges County, and its officers, agents and employees from any and all claims, demands, liabilities, costs and expenses, damages, causes of action, and judgments in any manner arising out of the collection by County of any of the special assessments on behalf of City.

Section 4. The City agrees to and shall defend, indemnify and hold harmless the County, its officers, agents and employees (the "Indemnified Parties") from any and all claims, demands, liabilities, costs and expenses, damages, causes of action, and judgments in any manner arising out of the collection by County of any of the special assessments requested to be collected by the County for the City, or in any manner arising out of City establishment and imposition thereof. City agrees that, in the event a judgment is entered in a court of law against any of the Indemnified Parties as a result of the collection of one of the special assessments, the County may offset the amount of the judgment from any other monies collected by the County on behalf of the City, including property taxes.

Section 5. The City agrees that its officers, agents, and employees will cooperate with the County in answering questions referred to the City by the County from any person concerning the City's special assessments, and that City will not refer such persons to County officers and employees for response.

Section 6. The City agrees to pay such reasonable and ordinary charges as the County may prescribe to recoup its costs in placing on the tax rolls and collecting the assessments, as provided by Government Code sections 29304 and 51800.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Annexation Into Community Facilities District No. 1 (Maverik Gas Station, APN 017-283-047-000)

Date: June 27, 2023

Submitted By: Daniel Choe, Deputy Director of Administrative Services
Megan Bressem, Management Analyst

Department: Administrative Services - Finance

Recommendation:

A. Adopt a Resolution of the City Council of the City of Rocklin of Annexation of Territory to Community Facilities District No. 1 (Annexation No. 78), Authorizing the Levy of a Special Tax, and Submitting Levy of Tax to Qualified Electors (Maverik Gas Station, APN 017-283-047-000).
B. Adopt a Resolution of the City Council of the City of Rocklin Declaring Results of Special Annexation Election, Determining Validity of Prior Proceedings, and Directing Recording of Amended Notice of Special Tax Lien Pertaining to Community Facilities District No. 1 (Annexation No. 78) (Maverik Gas Station, APN 017-283-047-000).

Background:

On May 9, 2023, the City Council adopted Resolution No. 2023-58, a Resolution of Intention to Annex Territory to Rocklin Community Facilities District (CFD) No. 1 (Annexation No. 78) and to Authorize the Levy of Special Taxes Therein to Amend the Rate and Set a Public Hearing Date regarding Maverik Gas Station, a retail commercial property located on the westerly side of the intersection of Sunset Boulevard and Lonetree Boulevard (Assessor's Parcel Number 017-283-047-000). A map of the annexation area is included as Attachment 3. A Notice of Public Hearing was published in the Placer Herald on June 1, 2023.

The development of Maverik Gas Station is conditioned on, among other things, annexation into CFD No. 1. CFD No. 1 finances the operation and maintenance of fire protection and suppression services and ambulance and paramedic services.

There are two resolutions staff is submitting for approval. The first resolution, included as Attachment 1, finds that no majority protest exists, orders the annexation, and authorizes the levy of the special tax, subject to approval by a two-thirds vote of the landowners.

In anticipation of approval of the annexation, the election has already occurred, and the second resolution, included as Attachment 2, approves the canvass of the election, declares

the results of the election, and orders the recording of the amended notice of special tax lien, which gives notice that the land within the project area is now subject to the special tax.

Fiscal Impact:
Adoption of the attached resolutions will allow special taxes to be levied and collected for CFD No. 1 to pay for services provided to the annexation territory.

ATTACHMENTS:
1. Resolution A - Levy of Special Tax
2. Resolution B - Annexation Election
3. Annexation Map

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL
OF THE CITY OF ROCKLIN
OF ANNEXATION OF TERRITORY TO
COMMUNITY FACILITIES DISTRICT NO. 1 (ANNEXATION NO. 78),
AUTHORIZING THE LEVY OF A SPECIAL TAX, AND
SUBMITTING LEVY OF TAX TO QUALIFIED ELECTORS
(Maverik Gas Station, APN 017-283-047-000)

BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The City Council of the City of Rocklin hereby finds as follows:

1. The City Council on May 9, 2023, adopted Resolution No. 2023-58 (the "Resolution of Intention") stating its intention to annex the territory to the City's Community Facilities District No. 1 (Annexation No. 78) (the "CFD"), pursuant to the Mello-Roos Community Facilities Act of 1982, as amended (the "Act");

2. A copy of the Resolution of Intention, incorporating a description and map of the proposed boundaries of the territory to be annexed to the CFD and stating the facilities and/or services to be provided and the rate and method of apportionment of the special tax to be levied within the CFD to pay for fire prevention and suppression services and ambulance and paramedic services, is on file with the City Clerk and the provisions thereof are fully incorporated herein by this reference as if fully set forth herein;

3. On the date hereof, this Council held a noticed public hearing as required by the Act and the Resolution of Intention relative to the proposed annexation of territory to the CFD;

4. At said hearing all interested persons desiring to be heard on all matters pertaining to the annexation of territory to the CFD and the levy of said special taxes within the area proposed to be annexed were heard and a full and fair hearing was held;

5. Prior to the time fixed for said hearing, written protests had not been filed against the proposed annexation of territory to the CFD by (i) 50% or more of the registered voters, or six registered voters, whichever is more, residing in the existing CFD, or (ii) 50% or more of the registered voters, or six registered voters, whichever is more, residing in the territory proposed to be annexed to the CFD, or (iii) owners of one-half or more of the area of land in the territory proposed to be annexed to the CFD; and

6. Annexation Map No. 78 to the CFD, has been filed with the County Recorder of the County of Placer, which map shows the territory to be annexed in these proceedings, and a copy thereof is on file with the City Clerk.

7. All prior proceedings taken by this Council with respect to the CFD and the proposed annexation of territory thereto have been duly considered and are hereby determined to be valid and in conformity with the Act, and the CFD has been validly established pursuant to the Act.

Section 2. The description and map of the boundaries of the territory to be annexed to CFD, as described in said Annexation Map No. 78 to the CFD on file with the City Clerk are hereby finally approved, are incorporated herein by reference, and shall be included within the boundaries of the CFD, and said territory is hereby ordered annexed to the CFD, subject to voter approval of the levy of the special taxes therein as hereinafter provided.

Section 3. The provisions of the Resolution of Intention as heretofore adopted by this Council are by this reference incorporated herein, as if fully set forth herein.

Section 4. Pursuant to the provisions of the Act, the proposition of the levy of the special tax within the territory to be annexed to the CFD shall be submitted to the voters of the area to be annexed to the CFD at an election called therefor as hereinafter provided.

Section 5. This Council hereby finds that fewer than 12 persons have been registered to vote within the territory proposed to be annexed to the CFD for each of the 90 days preceding the close of the hearing heretofore conducted and concluded by this Council for the purposes of these annexation proceedings. Accordingly, and pursuant to Section 53326 of the Act, this Council finds that for purposes of these proceedings the qualified electors are the landowners within the territory proposed to be annexed to the CFD and that the vote shall be by said landowners, each having one vote for each acre or portion thereof such landowner owns in the territory proposed to be annexed to the CFD.

Section 6. Pursuant to Section 53326 of the Act, the election shall be conducted by mail ballot under Section 4000 of the California Elections Code. This Council hereby determines that paragraphs (a), (b), (c)(1) and (2) of said Section 4000 are applicable to this election.

Section 7. The Council hereby calls a special election to consider the measure described in the ballot referred to in paragraph 8 below, which election shall be held on June 27, 2023, in the regular meeting place of this Council, City Council Chambers, 3970 Rocklin Road, Rocklin, California.

Section 8. The City Clerk is hereby appointed as the election official to conduct the election and cause to be provided to each landowner(s) in the territory to be annexed to the CFD, a ballot in the form of Exhibit A hereto, which form is hereby approved.

Section 9. The City Clerk shall accept the ballots of the qualified electors received prior to 5:00 p.m. on June 27, 2023 whether received by mail or by personal delivery.

Section 10. This Council hereby further finds that the provision of Section 53326 of the Act requiring a minimum of 90 days to elapse before said election is for the protection of voters, that the voters have waived such requirement and the date for the election herein above specified is established accordingly.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:

NOES: Councilmembers:

ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A

City of Rocklin
Community Facilities District No. 1 (Annexation No. 78)
(Maverik Gas Station, APN 017-283-047-000)

OFFICIAL BALLOT
SPECIAL TAX ANNEXATION ELECTION

This ballot is for the special landowner election. You must return this ballot in the enclosed postage paid envelope to the office of the City Clerk of the City of Rocklin no later than 5:00 p.m. on June 27, 2023, either by mail or in person. The City Clerk's office is located at 3970 Rocklin Road, Rocklin, California 95677.

To Vote, mark a cross (X) in the voting square after the word "YES" or after the word "NO." All marks otherwise made are forbidden. All distinguishing marks are forbidden and make the ballot void.

If you wrongly mark, tear, or deface this ballot, return it to the City Clerk of the City of Rocklin and obtain another.

BALLOT MEASURE: Shall the City of Rocklin, by and for its Community Facilities District No. 1 (the "CFD"), be authorized to levy special taxes within the territory annexed to the CFD pursuant to and as described in Resolution No. 2023-58 of the City of Rocklin, adopted by its Council on May 9, 2023?	YES:_____
	NO:_____

By execution in the space provided below, you also confirm your waiver of the time limit pertaining to the conduct of the election and any requirement for notice of election and analysis and arguments with respect to the ballot measure, as such waivers are described and permitted by Sections 53326(a) and 53327(b) of the California Government Code.

Number of Votes: 2
Property Owner: Maverik, Inc.

Address: 185 South State Street Ste. 800
Salt Lake City, UT 84111
Attn: Kevin Deis

By:_____
Title:_____

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL
OF THE CITY OF ROCKLIN
DECLARING RESULTS OF SPECIAL ANNEXATION
ELECTION, DETERMINING VALIDITY OF PRIOR
PROCEEDINGS, AND DIRECTING RECORDING OF
AMENDED NOTICE OF SPECIAL TAX LIEN
PERTAINING TO COMMUNITY FACILITIES DISTRICT NO. 1
(ANNEXATION NO. 78)
(Maverik Gas Station, APN 017-283-047-000)

BE IT RESOLVED by the City Council of the City of Rocklin as follows:

Section 1. The City Council of the City of Rocklin finds as follows:

1. In proceedings heretofore conducted by the Council pursuant to the Mello-Roos Community Facilities Act of 1982, as amended (the "Act"), this Council has heretofore adopted a resolution calling a special election of the qualified landowner electors in the territory of land proposed to be annexed to Community Facilities District No. 1 (Annexation No. 78) (the "CFD");

2. Pursuant to the terms of the resolution which is hereby incorporated herein by this reference, the special election has been held and the City Clerk has filed a Canvass of Votes Cast in Special Election, a copy of which is attached hereto as Exhibit A; and,

3. This Council has reviewed the Canvass and hereby approves it.

Section 2. The issue presented at the special election was the levy of a special tax within the territory annexed to the CFD, to be levied in accordance with the formula heretofore approved by this Council as described in Resolution No. 2023-58, the Resolution of Intention.

Section 3. Pursuant to the Canvass on file with the City Clerk, the issue presented at the special election was approved by the landowner-voter being the qualified electors of the territory annexed to the CFD by more than two-thirds of the qualified electors voting at the special election.

Section 4. Pursuant to the voter approval, the annexed territory to the CFD is hereby declared to be fully annexed to and part of the CFD and this Council may levy special taxes therein as heretofore provided in these proceedings.

Section 5. It is hereby found that all prior proceedings and actions taken by this Council pursuant to the CFD and the territory annexed thereto were valid and in conformity with the Act.

Section 6. Within 15 days of the date hereof, the City Clerk shall execute and cause to be recorded in the office of the County Recorder of the County of Placer, an amendment to the Notice of Special Tax Lien as required by Section 3117.5 of the California Streets and Highways Code.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:

NOES: Councilmembers:

ABSENT: Councilmembers:

ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A

City of Rocklin
Community Facilities District No. 1 (Annexation No. 78)
(Maverik Gas Station, APN 017-283-047-000)

CANVASS AND STATEMENT OF RESULT OF ELECTION

I hereby certify that on this date, I canvassed the returns of the election held on this date in the territory annexed to Community Facilities District No. 1 (Annexation No. 78) of the City of Rocklin, which election is designated as the Special Tax Annexation Election, and the total number of ballots cast in the territory to be annexed and the total number of votes cast for and against the measure are as follows and the totals as shown for and against the measure are full, true and correct:

	Qualified Landowner Votes	Votes Cast	YES	NO
City of Rocklin Community Facilities District No. 1 Annexation No. 78 Special Tax Annexation Election, June 27, 2023	2	_____	_____	_____

BALLOT MEASURE: Shall the City of Rocklin, by and for its Community Facilities District No. 1 (Annexation No. 78) (the "CFD"), be authorized to levy special taxes within the territory annexed to the CFD pursuant to and as described in Resolution No. 2023-58 of the City of Rocklin, adopted by its Council on May 9, 2023?

IN WITNESS WHEREOF, I HAVE HEREUNTO SET MY HAND this 27th day of June, 2023.

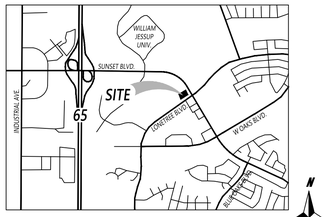
CITY OF ROCKLIN

By:_____
Hope Ithurburn, City Clerk

BOOK ___ OF COMMUNITY FACILITIES DISTRICT, PAGE ___

ANNEXATION MAP NO. 78 OF COMMUNITY FACILITIES DISTRICT NO. 1 MAVERIK GAS STATION / DR 2020-0003

PARCEL A OF MBR 2021-012536, BEING A PORTION OF SECTION 10, T.11N., R.6E., M.D.B.&M. CITY OF ROCKLIN, COUNTY OF PLACER, STATE OF CALIFORNIA.
MARCH 2023



VICINITY MAP
NOT TO SCALE

NOTES:

REFERENCE IS HEREBY MADE TO THAT CERTAIN MAP ENTITLED "PROPOSED BOUNDARIES OF COMMUNITY FACILITIES DISTRICT NO. 1, CITY OF ROCKLIN, COUNTY OF PLACER, STATE OF CALIFORNIA,"FILED THE 3RD DAY OF APRIL 1987 AT THE HOUR OF 9:12 AM IN BOOK 1 OF MAPS OF ASSESSMENT AND COMMUNITY FACILITIES DISTRICT AT PAGE 2, IN THE OFFICE OF THE COUNTY RECORDER OF THE COUNTY OF PLACER, STATE OF CALIFORNIA, WHICH THIS ANNEXATION MAP AFFECTS.



CITY COUNCIL'S STATEMENT:

I HEREBY CERTIFY THAT THE WITHIN MAP SHOWING PROPOSED BOUNDARIES OF ANNEXATION NO. _____ TO COMMUNITY FACILITIES DISTRICT NO. 1, CITY OF ROCKLIN, COUNTY OF PLACER, STATE OF CALIFORNIA, WAS APPROVED BY THE CITY COUNCIL OF THE CITY OF ROCKLIN, AT A MEETING THEREOF, HELD ON THE _____ DAY OF _____, 2023, BY ITS RESOLUTION NO. _____.

_____ _____
HOPE ITHURBURN DATE
CITY CLERK OF THE CITY OF ROCKLIN

CITY CLERK'S STATEMENT:

FILED IN THE OFFICE OF THE CITY CLERK OF THE CITY OF ROCKLIN THIS _____ DAY OF _____, 2023.

_____ _____
HOPE ITHURBURN DATE
CITY CLERK OF THE CITY OF ROCKLIN

RECORDER'S STATEMENT:

FILED THIS _____ DAY OF _____, 2023, AT THE HOUR OF _____ ___M., IN BOOK _____ OF MAPS OF ASSESSMENT AND COMMUNITY FACILITIES DISTRICTS, AT PAGE _____ IN THE OFFICE OF THE COUNTY RECORDER IN THE COUNTY OF PLACER, STATE OF CALIFORNIA.

_____ _____
RYAN RONCO DATE
COUNTY RECORDER OF PLACER COUNTY

_____
DEPUTY

FILE NO. _____ FEE _____

SHEET 1 OF 1

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject:	Fiscal Year 2023/24 Budget and the 2024-2028 Capital Improvement Plan
Date:	June 27, 2023
Submitted By:	Daniel Choe, Deputy Director of Administrative Services
Department:	Administrative Services - Finance

Recommendation:
Adopt a Resolution of the City Council of the City of Rocklin Adopting the Fiscal Year 2023/24 Budget and the 2024-2028 Capital Improvement Plan.

Background:
Section 3.28.010 of the Rocklin Municipal Code (RMC) requires the City Manager to submit to the City Council a proposed budget for the fiscal year (FY) commencing July 1 of that year. The City Manager's FY 2023/24 draft budget was submitted to the City Council on May 15, 2023 and is available at https://www.rocklin.ca.us/post/city-rocklin-budgets.

Section 3.28.040 of the RMC requires the City Council to conduct one or more hearings on the proposed budget and shall make such changes therein as may be deemed appropriate. Accordingly, the proposed budget was presented and discussed during the May 23, 2023 City Council meeting. The City Council budget has been increased by $2,200 for travel expenses. No other changes have been made to the FY 2023/24 draft budget. The FY 2023/24 budget is included as Attachment 2.

The FY 2023/24 budget totals $119 million for all funds. This budget supports approximately 270 full-time equivalent positions and resources needed to provide public services. The proposed budget is balanced and is developed with focus on the City's long-term needs and fiscal sustainability, consistent with City Council direction.

Anticipated General Fund resources total $68.3 million. These include a General Fund revenue estimate of $65.7 million, an 8% increase from the FY 2022/23 budget, and $2.6 million from the General Fund balance. The increase in the General fund revenue is primarily a result of increasing assessed values for existing properties. The majority of other revenue categories are anticipated to increase. Of the $2.6 million from the General Fund balance, $1 million will be used to offset the Sunset Whitney Recreation Area (SWRA) Demolition and Improvements project, and $1.6 million will be transferred to reserve funds.

The most significant City costs in the General Fund are related to personnel, which comprise approximately $46 million. Public Safety (police and fire services) totals $32 million. Citywide, there are 6.53 net new positions being added.

The Capital Improvement Plan (CIP) is a five-year planning document that identifies the current and future capital improvement project needs of the City. Each year, all project and equipment requests are considered and analyzed by taking into account the City's Strategic Plan, long-range planning objectives, need, priority, and the City's ability to implement them using available resources. The FY 2023/24 CIP budget totals $16.6 million, including $1.5 million in the General Fund, providing resources for 22 programs/projects and one equipment purchase. Pursuant to California Government Code section 66002, the CIP is adopted by, and annually updated by, a resolution of the City Council, adopted at a noticed public hearing. The CIP is included as Attachment 3.

Consistent with City Council direction, long-term fiscal sustainability is reflected in this budget. By year end, General Fund operating reserves will be approximately $15.7 million; reserved funds for disaster contingency and self-insured losses will be $1 million and $2 million, respectively.

The ratio between personnel expenditures and operations/maintenance for all funds is 63:37, in keeping with the "75:25" operating expenditure rule. This is another indicator of strong fiscal stability.

ATTACHMENTS:

1. Resolution
2. Exhibit A - FY 2023/24 Annual Budget
3. Exhibit B - 2024-2028 CIP

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
ADOPTING THE FISCAL YEAR 2023/24 BUDGET AND
2024-2028 CAPITAL IMPROVEMENT PLAN

WHEREAS, Chapter 3.28 of the Rocklin Municipal Code (RMC) requires the City Manager to submit to the City Council a proposed budget not later than May 15th, and the City Council adopt the final budget on or before June 30th of each year by resolution; and

WHEREAS, on May 23, 2023, pursuant to Chapter 3.28 of the RMC, the draft fiscal year (FY) 2023/24 budget and the draft 2024-2028 capital improvement plan were discussed at a public meeting of the City Council; and

WHEREAS, the City of Rocklin has maintained sustainable budget guidelines and key management practices to establish the financial goals of the City and the principles that govern budget deliberations, and are memorialized in Exhibit "A".

NOW THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The fiscal year 2023/24 Operating and Capital Improvement Plan Budgets are hereby approved and adopted as set forth in Exhibits "A and B", attached hereto.

Section 2. The 2024-2028 Capital Improvement Plan (CIP), which provides a comprehensive five-year plan for the City's capital project expenditures are hereby approved and adopted as set forth in Exhibit "B", attached hereto.

Section 3. The City Manager is authorized to make any expenditure and resource adjustments to the Budget based on final City Council action to adopt the Budget, in accordance with the Rocklin Municipal Code, City policy and procedure.

Section 4. The City Manager is authorized to revise any appropriation made in the Approved or Amended Budget where the revision is of a technical nature and is consistent with the City Council intent, the Rocklin Municipal Code, City policy and procedure.

Section 5. Purchase order commitments outstanding on June 30th, and associated appropriations, are hereby continued to the following fiscal year.

Section 6. The City Manager is authorized to make the following budget adjustments:

1. At year-end or upon closure of grants, adjust revenue and expenditure budgets associated with grants (federal, state, or local grants) to reflect actual revenues received and expenditures incurred in compliance with the original grant award and any amendments.

2. Appropriated grant funds remaining unspent at the end of the fiscal year must be retained and transferred to the following fiscal year to be expended to which they were appropriated.

3. Appropriated operating project and CIP funds remaining unspent at the end of the fiscal year, that are not required to balance the budget, can be retained and transferred to the following fiscal year for the originally approved projects and any amendments, excluding completed projects. All carryover funds must be approved by the City Manager or the City Manager's designee.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk



FISCAL YEAR 2023/24

ANNUAL BUDGET

130 YEARS
1893 ROCKLIN 2023
CALIFORNIA
ANNIVERSARY



To become a City that provides its citizens with exceptional quality of life while maintaining its small-town sense of community.

ROCKLIN
CALIFORNIA



Ken Broadway
Mayor



Greg Janda
Vice Mayor



David Bass
Councilmember



Jill Gayaldo
Councilmember



Bill Halldin
Councilmember

ROCKLIN
CALIFORNIA

CITY OFFICIALS

Aly Zimmermann	City Manager
Sherri Conway	Assistant City Manager
Daniel Cucchi	City Attorney (Interim)
Hope Ithurburn	City Clerk
Daniel Choe	City Treasurer

DEPARTMENT REPRESENTATIVES

Tameka Usher	Administrative Services
David Mohlenbrok	Community Development
Reginald Williams	Fire
Kevin Huntzinger	Parks & Recreation
Rustin Banks	Police
Justin Nartker	Public Services

BOARDS & COMMISSIONS

Architectural Review Committee
Arts Commission
Board of Appeals
Community Recognition Commission
Investment Advisory Committee
Parks and Recreation Commission
Planning Commission

PREPARED BY ADMINISTRATIVE SERVICES-FINANCE DIVISION

Daniel Choe	Deputy Director of Administrative Services/City Treasurer
Andy Schiltz	Principal Management Analyst
Megan Bressem	Management Analyst
Angela Doyle	Senior Accountant

IN CONJUNCTION WITH DEPARTMENT STAFF

3970 ROCKLIN ROAD
ROCKLIN, CA 95677
WWW.ROCKLIN.CA.US

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SECTION 1: INTRODUCTION

July 1, 2023

Honorable Mayor and City Council Members:

It is an honor to present the City of Rocklin Annual Budget for Fiscal Year (FY) 2023/2024. The FY 2023/24 Annual Budget totals $119 million ($103 million for operations, $16 million for capital expenditures).

A city budget serves as a policy document, a financial plan, a communications device, and an operations guide, reflecting the policies, goals, programs, and service priorities of the City Council and the community. The FY 2023/24 Annual Budget aligns projected available resources to the services needed by the community, such as police, fire, recreation, community development, public works, and administrative services, and represents the spending plan that provides funding for City services and programs. Desired programming and services support the City Council's newly adopted 2023-2025 strategic plan, and the following "5 Pillars":



Current Fiscal Year 2022/23

The FY 2022/23 approved budget totaled $102 million across all funds, with $89.4 million for operations, and $12.5 million for capital expenditures. Revenues totaled $104 million. General Fund expenditures were budgeted at $61.6 million, with $57.3 million for operations and $4.3 million for capital expenditures. General Fund revenues totaled $60.4 million.

City of Rocklin staff continue to do great work in the community. Some of the notable projects and expenditures in the current year include:

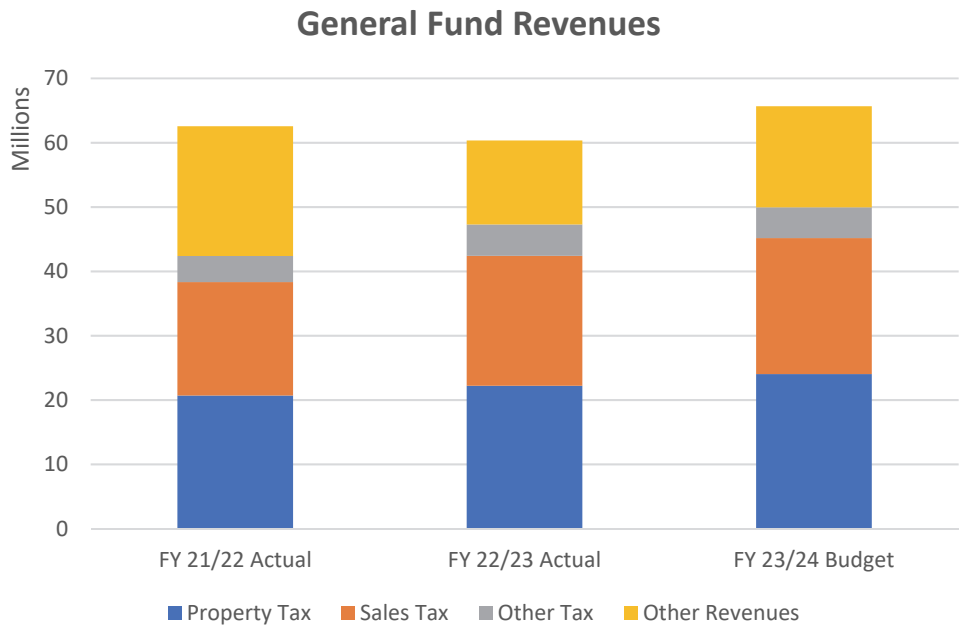
- Released more than $2.75 million in American Rescue Plan Act (ARPA) funding for Community Support programs
- Obtained an unmodified "clean" audit opinion on the Annual Comprehensive Financial Report for fiscal year ended June 30, 2022
- Awarded a $400,000 Placer Valley Tourism Grant award for the SWRA Pickle Ball Courts
- Completed the Rocklin Road at Pacific Street Roundabout project
- Developed a Community Oriented Policing and Problem-Solving Program
- Completed the construction of the SWRA East Trails
- Expanded the online permit guide tool that leads customers through the steps of the permit process and provides required forms and documents

Looking forward to FY 2023/24, the City of Rocklin remains fiscally sound due to prudent and conservative planning by the City Council. As we forecast the City's anticipated revenues and expenses over the next five-year period, it is important to note that steps will be needed in the future to enhance revenues and/or reduce expenditures to combat costs that are naturally rising at a higher rate than revenues. Our City's revenue outlook is generally positive, but increased operational costs, slowing of residential development, and increasing labor costs and liabilities require prudent monitoring of revenues and expenditures to ensure stability.

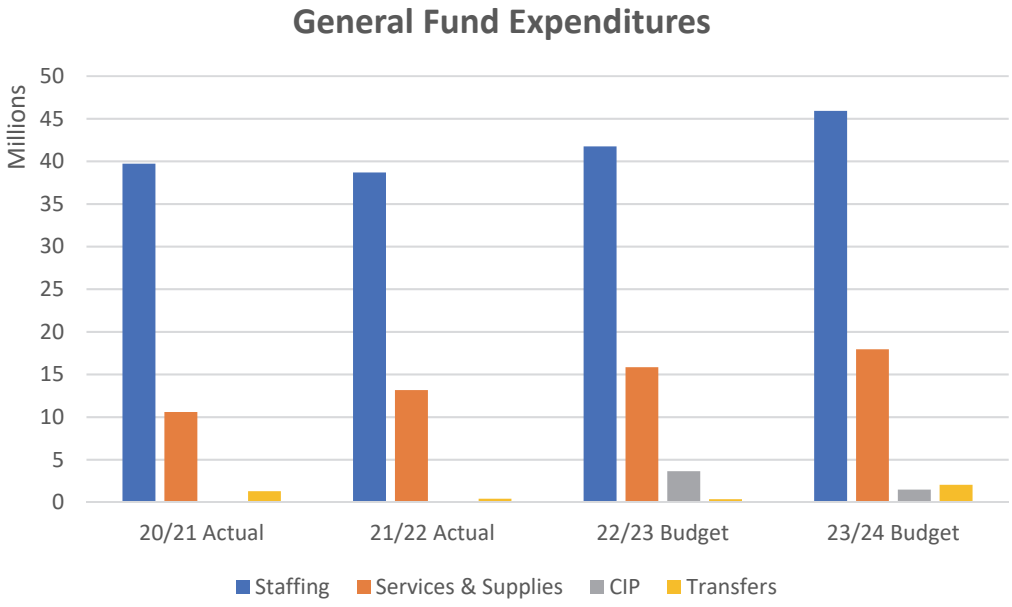
Fiscal Year 2023/24

The FY 2023/24 budget totals $119 million across all funds, with $103 million for operations, $16 million for capital expenditures and supports 270 full-time equivalent (FTE) positions.

General Fund revenue projections for FY 2023/24 include an 8.2% increase in property taxes, for a total of $24 million, and a 4.7% increase in sales tax, for a total of $21.2 million. The increases are due to rising home values, and sales growth by Rocklin businesses. Future projections show moderate increases as consumers are spending less on discretionary taxable merchandise.



General Fund expenditures are budgeted at $67.4 million, with $65.9 million for operations and $1.5 million for capital expenditures.



The most significant City costs in the General Fund are related to personnel, which comprise approximately $46 million. Public Safety (police and fire services) totals $32 million. Citywide, there are 6.53 net new positions being added, with four non-benefited

part-time positions being converted to benefited part-time positions, and two non-benefited part-time positions being consolidated into one FTE position across different departments.

Some of the significant projects and/or expenditures planned for FY 2023/24 include, but are not limited to:

- SWRA Master Plan implementation and improvements;
- Upgrade of the Tyler Munis Enterprise Resource Management system, including Tyler Content Management system City-wide;
- Conduct a professional communications assessment and provide training to key communications staff City-wide for the purpose of increasing public messaging;
- Establish a small business outreach program with the Community Development Department to assist business owners with planning, permitting, and inspections of new and tenant improvement projects;
- Upgrade of alerting system in all three fire stations;
- Research and planning for the future implementation of an innovative intelligence center in the Police Department;
- Complete the Oak & Pine affordable housing project and support efforts to increase the availability of affordable housing;
- Update the Zoning Code;
- Update the Stormwater Conveyance System and Drainage Infrastructure Prioritization Plan;
- ADA Ramp and sidewalk upgrades; and
- Whitney Park Bike Pump Track construction.

Consistent with City Council direction, long-term fiscal sustainability is reflected in this budget. By year end, General Fund operating reserves will be approximately $15.7 million; reserved funds for the emergency reserve and self-insured losses will be $1 million and $2 million, respectively, with an unreserved fund balance of approximately $15.4 million.

The ratio between personnel expenditures and operations/maintenance for all funds is 63:37, in keeping with the "75:25" operating expenditure rule.

Conclusion/Acknowledgements

We begin the City's 130th year enthusiastically – taking a moment to celebrate our many accomplishments – while anticipating all we have planned going forward. I want to thank all those who put a great deal of time, energy, and effort into the development of the FY 2023/24 budget. Each year, the budget document is the result of the tireless efforts of many members of Team Rocklin, led by Deputy Director of Administrative Services/City Treasurer Daniel Choe and supported by our dedicated Finance Division staff including, Principle Management Analyst Andrew Schiltz, Senior Accountant Angela Doyle, and Management Analyst Megan Bressem.

Additionally, I want to recognize and share a special thank you to the City employees in each department who contributed to the production of the budget, and who are committed to put into action the intentions this spending plan represents.

The leadership and commitment of City Council to sustaining the health and welfare of Rocklin provides a strong foundation of past successes and allows us to confidently build for the future.

It remains a great honor to serve as your City Manager, with a pledge to ensure the City of Rocklin is strong, vibrant, and fiscally sustainable.

Respectfully submitted,



Aly Zimmermann
City Manager

GEOGRAPHY

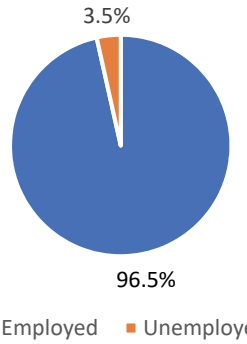
Size | 19.87 square miles
Elevation | 249' above sea level
Fire Protection | ISO Rating 2

DEMOGRAPHICS

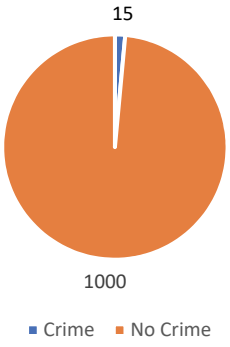
Population | 71,179

Median Age | 36.9

Unemployment Rate



Crime Rate 15.1/1000 Residents (2022)



ECONOMICS

Sales Tax	7.25%
Bond Rating	
Fitch Ratings	AA+
Standard & Poor's	AA+
Sales Tax per Capita	$297
Sales Tax Revenue	$21,157,300

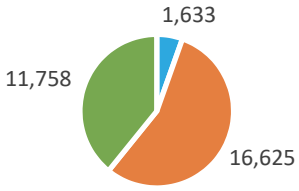
Median Household Income $106,164

Labor Force (2/23) 35,500
Employment (2/23) 34,300



EDUCATION | 2022-23 ENROLLMENT

Enrollment



- Jessup University
- Sierra College (Rocklin Campus)
- Rocklin Unified School District

% of Population with 4-Year Degrees









ROCKLIN
CALIFORNIA

TOP 10 EMPLOYERS

1. Horizon West Healthcare, Inc.
2. Sierra Joint Community College District
3. Rocklin Unified School District
4. S.E. Scher Corporation
5. Oracle America, Inc.
6. American Healthcare Administrative Services
7. United Natural Foods West, Inc.
8. City of Rocklin
9. Educational Media Foundation
10. SMA America Production, LLC

TOP 10 PROPERTY TAXPAYERS

1. Meridian Apartments, LP
2. Rhino Holdings Blue Oaks, LLC
3. TCG Rocklin Campus, LLC
4. Garnet Creek, LLC
5. Evergreen SR 1011, LP
6. PF Portfolio 2, LP
7. Winsted Apartments, LP
8. MG Rocklin California, LLC
9. Demmon Rocklin Ranch Partners, LP
10. Walmart Real Estate Business Trust

Sources:

California Department of Finance
City of Rocklin Community Development Department
City of Rocklin Finance Department
Jessup University
Rocklin Police Annual Report 2022
Rocklin Unified School District
Sierra College
State of California Employment Development Department
U.S. Bureau of Labor Statistics
U.S. Census Bureau

The City of Rocklin's annual operating budget serves many purposes:

- To establish financial guidelines to meet the City Council's priorities and policies;
- To allocate or reallocate resources to reflect the City Council's priorities and policies;
- A communication tool for residents to understand City services and programs, and their cost; and
- A planning tool for City staff in programming and making spending decisions.

The City's fiscal year is July 1 through June 30. The City Council annually adopts the budget for the ensuing fiscal year prior to July 1.

The budget document is divided into five sections:

1. **Introduction**
   The introductory section of the budget provides general information about the City, the City Manager's letter, budget overview, basis of budgeting, and budget process.

2. **City Structure**
   This section provides an overview of the City of Rocklin and the City-wide organizational chart.

3. **Budget Schedules**
   The budget schedules section includes information such as appropriations, sources and uses, changes in fund balance, summary of capital improvement plans, and general fund reserves.

4. **Departments**
   This section provides major categories of expenditures in the following services: general government, community development, fire, police, parks and recreation, and public services. Each section includes a department-specific overview of services, an organizational chart, past accomplishments, upcoming year's goals and objectives, significant changes from the prior budget, and budget and staffing charts.

5. **Definitions**
   This section includes information intended to assist readers in understanding the budget and terminology used.

BUDGET OVERVIEW

The fiscal year (FY) 2023/24 Operating and the Capital Improvement Plan budgets total $119 million for all funds. This budget supports 270 full-time equivalent (FTE) positions and resources needed to provide the following public services: police, fire, planning and community development, construction and maintenance of streets, storm drains and other infrastructure, parks and recreation, and general government services. The budget is balanced and is developed with focus on the City's long-term needs and fiscal sustainability, consistent with City Council direction.

Anticipated General Fund resources total $68.3 million. These include a General Fund revenue estimate of $65.7 million (an 8% increase from the FY 2022/23 revised budget) and $2.6 million from the General Fund Balance. The increase in the General Fund revenue is primarily a result of increasing assessed values for existing properties. With continued concerns over rising interest rates and inflation, sales taxes reflect a slowdown in taxable merchandise spending in FY 2023/24 as the higher cost of utilities, food, and other necessities limit dollars available for discretionary and non-essential items. The FY 2023/24 transient occupancy tax revenue budget is $1.3 million, a 7.2% decrease from the FY 2022/23 revised budget. There has been a modest decline in occupancy from calendar year 2021 to 2022, and is expected to remain constant in FY 2023/24. Other revenue categories are anticipated to increase. Of the $2.6 million from the General Fund Balance, $1 million will be used to offset the Sunset Whitney Recreation Area (SWRA) Demolition and Improvements project, and $1.6 million will be transferred to the Building Reserve Fund and the Park Infrastructure Reserve Fund to separately account for these reserve monies instead of keeping it in the fund balance of the General Fund.

Anticipated General Fund obligations total $68.2 million. These include a General Fund operating expense estimate of $65.9 million, Capital Outlay of $1.5 million, and a $788 thousand contribution towards the operating reserve as required by the City's Key Management Practices.

New positions and fleet equipment in the budget are listed below. Additional details are provided in the Department sections.

New Positions and Fleet Equipment

Department	Description	FTE Change	Net Budget Change
Administrative Services	Add a Departmental Administrative Specialist (1.0 FTE) to provide administrative support, as well as City-wide procurement support.	1.00	108,052
City Manager	Add a Management Analyst (1.0 FTE) position to administer Housing programs. Costs will be offset by deleting one vacant Housing Specialist (1.0 FTE) position.	-	26,729
Community Development	Add a Public Services Business Technician (1.0 FTE) position to provide administrative support.	1.00	108,038
Fire	Add a Fire Inspector I/II (1.0 FTE) position for fire inspections and permitting.	1.00	214,176

New Positions and Fleet Equipment (continued)

Department	Description	FTE Change	Net Budget Change
Parks and Recreation	Add a Recreation Coordinator (1.0 FTE) position for special and co-sponsor events, and programs. Costs will be offset by deleting one vacant part-time Office Assistant I/II (0.4 FTE) position allocation, and reducing non-permanent part-time position budgets.	0.53	-
Parks and Recreation	Add a truck and chipper for park maintenance and operations.	-	120,000
Police	Add two Police Officer (2.0 FTE) positions and two marked police vehicles in Police Operations.	2.00	506,376
Police	Funding to replace and upgrade the crime scene investigation van for response to major crime incidents.	-	150,000
Public Services	Add a Landscape Services Worker (1.0 FTE) position for inspection, construction, and repair of landscapes. This position is a non-general fund position, and the majority of costs are offset by deleting non-permanent part-time position budgets.	1.00	19,062
	FTE Total	**6.53**	**$ 1,252,433**

In addition, the budget includes the conversion of four non-benefited part-time positions into four benefited part-time positions and the consolidation of two non-benefited part-time positions into one FTE position across various departments. Finally, general liability insurance premiums and workers' compensation premiums continue to increase. The FY 2023/24 insurance premium and workers' compensation budgets are $3.7 million, an increase of $768,800 from the prior fiscal year. The City is a member of the Northern California Cities Self Insurance Fund (NCCSIF), a Joint Powers Authority, established to protect member resources by stabilizing risk costs in a reliable, economical, and beneficial manner. NCCSIF provides coverage and services in risk management and claims management. NCCSIF members' historical loss data is used when budgeting for claims costs and expenses for the upcoming fiscal year.

Additional details on the 2024-2028 Capital Improvement Plan, planned fleet and other equipment purchases are provided in Schedules 6 and 7.

GENERAL FUND FIVE-YEAR FORECAST

The five-year forecast is a financial tool to help evaluate current and future fiscal conditions to guide policy and programmatic decisions, including identifying future revenue and expenditure trends that may have immediate or long-term influence on City policies, strategic goals, and delivering City services.

In an effort to develop a budget with focus on both short- and long-term context, staff developed the following General Fund five-year forecast as part of the annual budget process:

General Fund 5-Year Forecast	FY2023/24 Budget	FY2024/25 Projection	FY2025/26 Projection	FY2026/27 Projection	FY2027/28 Projection
Sources					
Revenue	65,671,700	65,887,586	67,312,321	68,491,065	70,220,883
Other Sources	2,613,100	-	-	-	-
Total Sources	**68,284,800**	**65,887,586**	**67,312,321**	**68,491,065**	**70,220,883**
Uses					
Operation Expenditures	62,905,600	63,996,700	65,751,100	67,670,700	69,657,300
Capital Improvements	2,451,100	615,300	847,700	804,200	855,300
Transfers Out	2,054,900	336,800	318,700	299,700	303,400
Contribution to Operating Reserve	788,100	189,075	358,925	404,975	420,800
Total Uses	**68,199,700**	**65,137,875**	**67,276,425**	**69,179,575**	**71,236,800**
Net Resources / (Deficit)	**$ 85,100**	**$ 749,711**	**$ 35,896**	**$ (688,510)**	**$ (1,015,917)**

The five-year revenue forecast is based on the FY 2023/24 budget, which was developed in coordination with the City's tax revenue consultant based on national, state, regional, and local economic data; information received from City Departments; historical data; and data from other agencies. Expenditures in the forecast incorporate the City's Key Management Practices in maintaining an operating reserve equal to 25% of the budgeted expenditures in the General Fund (less any capital equipment, project budget, and transfers out), known labor increases, and utility rate increases impacting the City.

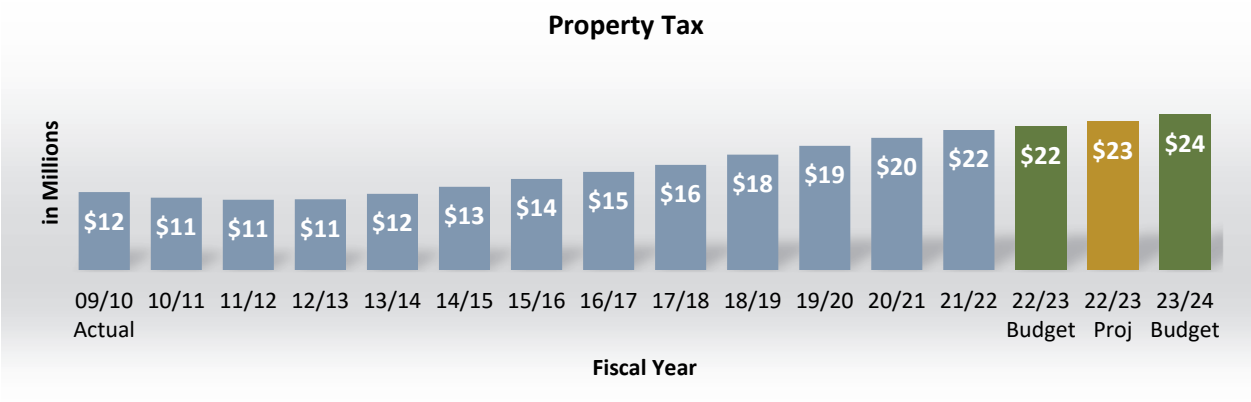
FYs 2023/24 through 2025/26 are balanced; however, the growth in expenditures is anticipated to outpace revenues beginning in FY 2026/27. Some of the ongoing challenges are increasing labor costs and liabilities that continue to compete with the priorities and needs of our community. Insurance premiums and workers' compensation costs continue to increase, and are expected to increase by $2.4 million over the period of the forecast. Therefore, it is important to continue to maintain a sufficient level of fund balance, strategically using one-time resources in mitigating future challenges, as well as proactively seeking opportunities to diversify City funding sources.

GENERAL FUND REVENUES

Major sources of the City's General Fund revenue come from property tax and sales and use tax. Estimates were developed in coordination with the City's tax revenue consultant based on economic indicators, information from other agencies, and historical data.

PROPERTY TAX

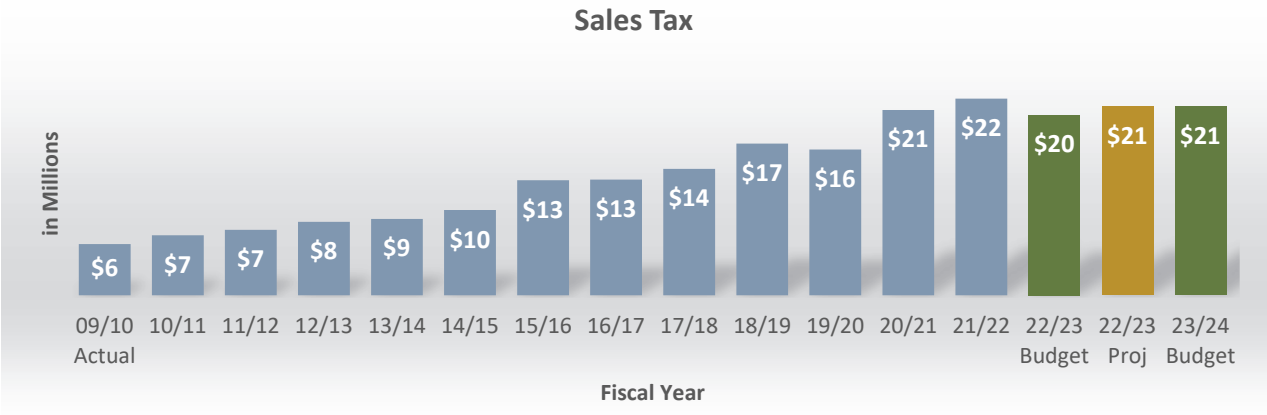
The FY 2023/24 property tax budget is $24 million, an 8.2% increase from the FY 2022/23 budget. Property taxes are the largest component of General Fund revenues at 37% of total General Fund revenue. Property tax has risen steadily since a recession low of $10.8 million in FY 2011/12. This is due to a combination of rising home values and new home construction. In 2022, the volume of home sales decreased as home purchases became more expensive overall for home buyers primarily due to the Federal Reserve repeatedly raising interest rates. Although this trend is anticipated to continue through FY 2023/24, there is not enough supply in the market that could lead to acute price declines.



Under California law, property taxes are assessed and collected by the counties up to 1% of assessed value, plus other increases approved by the voters. The property taxes go into a pool and are then allocated to the cities by statutory formula. Approximately 12.7% of ad valorem property taxes levied in Rocklin come in to the City.

SALES TAX

The FY 2023/24 sales tax budget is $21.2 million, a 4.7% increase from the FY 2022/23 revised budget. Sales taxes are the second largest source of General Fund revenues, and account for 32% of all General Fund revenues. Sales tax has risen steadily since a recession low of $5.7 million in FY 2009/10, as many Rocklin businesses experienced sales growth as well as higher online purchases. However, the FY 2023/24 sales tax revenue is anticipated to only modestly increase due to a slowdown in taxable merchandise spending. The motor vehicle dealerships, the City's largest business type, are projected to generate less sales tax revenues as auto inventory available for sale is increasing from historic lows after recent supply chain disruptions and computer chip shortages ease. Additionally, used car prices are declining on the wholesale market as quantities ease. Furthermore, general retail sales were flat or down in the state and county pools in the 2022 calendar year and are likely to continue in FY 2023/24. Sales tax is volatile and can change quickly; therefore, staff will constantly monitor sales tax revenues for signs of above or below budget performance.



Sales tax is imposed on retailers who sell tangible personal property in California. A use tax is imposed on purchasers whenever sales tax does not apply, such as for goods purchased out of state for use in California. The current sales tax rate in Placer County – City of Rocklin – is 7.25%. The 7.25% is distributed to various agencies with 1% going to the City of Rocklin.

FEES AND CHARGES

Local government has the ability to implement fees to fund City facilities, infrastructure, and services. Through enactment of Rocklin Municipal Code Chapter 3.32, the City maintains a service fee cost comparison system for the purpose of recovering some or all of the costs reasonably borne for providing services of a voluntary and limited nature through fees for those services, so that general taxes are not diverted from general services of a broad nature and utilized instead to subsidize such services of limited benefit. Annually, the City reviews and updates service fees, rental fees, regulatory fees, and penalties/fines to reflect changes in costs reasonably borne. In order to implement fee updates for the 2023 calendar year, the Finance Division in the Administrative Services Department will be taking the annual Schedule of Fees to the City Council for adoption by resolution in Fall 2023, and the new and increased fee amounts adopted will become effective January 1, 2024.

KEY MANAGEMENT PRACTICES

By the FY end, the General Fund operating reserve will be approximately $15.7 million. The emergency reserve and self-insured losses reserve will be $1 million and $2 million, respectively. The ratio between personnel expenditures and operations/maintenance for all funds is 65:35, in keeping with the "75:25" operating expenditure rule. Finally, projected ending fund balances for all funds will be approximately $115 million and approximately $15.4 million for the General Fund.

OPERATING BUDGET AWARD

The City's FY 2022/23 Adopted Operating Budget document received the Operating Budget Meritorious Award from the California Society of Municipal Officers. The award process includes peer reviews of cities' operating budgets. Peer review methods are employed to maintain standards, improve performance, and provide credibility.



California Society of Municipal Finance Officers

Certificate of Award

Operating Budget Meritorious Award
Fiscal Year 2022-2023

Presented to the

City of Rocklin

For meeting the criteria established to achieve the CSMFO Excellence Award in Budgeting.

February 10, 2023

Scott Catlett
2022 CSMFO President

James Russell-Field, Chair
Recognition Committee

Dedicated Excellence in Municipal Financial Reporting

BASIS OF BUDGETING

City accounts are organized on the basis of funds, each of which is considered a separate accounting entity. The operations of each fund are accounted for with a separate set of self-balancing accounts that comprise its assets, liabilities, fund equity, revenues, and expenditures or expenses, as appropriate.

Government resources are allocated to and accounted for in individual funds based upon the purposes for which they are to be spent and the means by which spending activities are controlled. Under the accrual basis of accounting, revenues are recognized in the period in which they are earned, while expenses are recognized in the period in which the liability is incurred.

Descriptions of the funds included in the budget are found in Section 5: Definitions.

The City's budget process and financial procedures are in accordance with all applicable standards of the Government Accounting Standards Board, Generally Accepted Accounting Principles, and the City's internal financial policies and Key Management Practices.

KEY MANAGEMENT PRACTICES

The City's budget is developed following the City's Key Management Practices as detailed herein:

Capital Improvement Plan

The City will develop and implement a five-year capital improvement plan requiring the City to anticipate long-term needs, which will determine the capital improvement priorities, provide for current fiscal year projects, and provide for forecasting and anticipation of future fiscal year expenditures.

Capital Assets

Capital assets, including property, plant, equipment, and infrastructure assets (e.g., roads, bridges, sidewalks, and similar items), are defined by the City as assets with an initial individual cost equal to or greater than $10,000 ($5,000 if grant funded) or $100,000 for infrastructure, with an estimated life in excess of one year.

Fleet Equipment

The City's budget will provide for the adequate maintenance and repair of fleet equipment and for orderly replacement.

Risk Management

The City operates a risk management and loss prevention program to minimize losses.

One-Time Revenue

An organization that depends on one-time revenue or on a source of revenue that will soon disappear, can, in essence, create deficits for itself. As such, the City will utilize the General Fund for one-time or temporary funds to obtain capital assets, fund capital projects, replenish the General Fund Operating Reserve, and/or make other nonrecurring purchases. Otherwise, strategic planning to earmark replacement revenue must be identified at the onset.

Debt Policy

Sound budget management requires the City to determine the availability of revenue to support future capital improvements. In addition to the policies and procedures for land-secured financing as enacted by Resolution No. 2005-112 and Debt Management Policy Resolution No. 2018-291, the City utilizes "Capital Needs Financing", as noted below, and conducts ongoing analysis of refunding opportunities.

Capital Needs Financing

The City will, where possible, and in accordance with the adopted Capital Improvement Plan, employ pay-as-you-go financing to save interest expenditures, preserve debt capacity for future borrowing, and not encumber future budgets with mandatory debt service expenditures. Exceptions to this practice will be for capital expenditures, which are determined to be time sensitive for purposes of public health, safety, welfare, or for economic gain.

Capital Expenditures

All capital projects planned and budgeted for in any given fiscal year will have funding identified and proven to be available. This means that all projects are subject to funding already received in prior fiscal years. Funds that are earmarked for receipt in the current fiscal year will not be utilized for current year expenditures.

Indirect Cost Allocation

An Indirect Cost Allocation (ICA) calculation is done during the budget process to allocate General Fund support service costs to other funds. City Council, City Clerk, City Attorney, City Manager, Finance, Human Resources, Information Technology, and Building Maintenance including the Building Repair Allocation are the support divisions and the remaining divisions are service divisions. Expenditures charged to the support divisions of the General Fund are the City's indirect costs pool. Allocation of indirect costs to the service divisions is conducted on a prorated basis based on total expenditures. The City will exclude Capital Project Funds from the ICA calculations for the budget year. Capital Projects Funds consist of nonrecurring purchases; therefore, exclusion from the ICA calculation will prevent reliance on one-time funds to balance the General Fund budget. For the year-end actuals, the ICA calculations will include Capital Projects Funds.

Operating Funds – Operating Expenditures

All non-General Fund operating funds will maintain sufficient cash balances to cover a minimum of seven months of operating expenditures planned and budgeted at the end of each fiscal year. This means that operations within these funds are subject to funding already received. This policy will ensure the City maintains sufficient cash on hand to cover its normal operations and enable it to identify and avoid potential cash flow issues.

De-Obligation

In the event an unplanned capital project is identified as a higher priority than a budget initially appropriated, the funded project list may be altered to take the new project into account. In this event, all projects will be reviewed, and a new priority list established. Any projects that are removed from the current funded project list will have the attached funding source de-obligated and that funding source will be attached to the new projects and/or returned to the reserve.

General Fund Operating Reserve

The City will maintain an operating reserve in the General Fund equivalent to, at a minimum, 25% of the planned budgeted operating expenditures. These reserved dollars will be used to support unforeseen emergencies, operating insufficiencies, and short-term internal loans. Management will exercise every mechanism available (e.g., budget cuts, hiring freezes, etc.) to avoid use of the reserve. To the extent the reserve level falls below 25%, a plan will be developed and executed to replenish the reserve at the targeted funding level within three years.

Building Reserve

The City will maintain a building reserve to fund capital maintenance projects to sustain existing City facilities or to support the expansion of existing City facilities to address workforce needs of City services.

Disaster Contingency Reserve

The City will maintain an emergency reserve equal to $1 million as set by Resolution No. 2012-136. These reserve dollars will be used in the event of a “declared emergency” providing financial support for recovery efforts as directed by the City Council.

Self-Insured Losses Reserve

The City will maintain a self-insured loss reserve equal to $2 million. These reserve dollars will be used for losses not covered under existing insurance programs.

Retirees’ Health Fund

The City will maintain a Retirees’ Health Fund, which will be used to fund the Retirees’ Health Trust to offset retirees’ health accrued liability.

Fleet Capital Reserve

The City will maintain Fleet Capital Reserves in the Fleet Fund for the purchase of new and replacement vehicles and other capital assets. Reserve amounts will be expensed to each operating fund division based upon the vehicles assigned. These amounts will be calculated utilizing the annual asset depreciation expense, and allocated through a modified Fleet Allocation process. In the case where funds reserved in the Fleet Fund for a particular asset to be replaced, or an additional new asset to be purchased, are insufficient to cover the entire cost of the asset, additional amounts will be expensed to the appropriate operating division in that year.

General Fund – Use of Surplus

In the event the General Fund realizes a surplus, after calculating the General Fund Operating Reserve target, remaining General Fund surplus (net amount by which the unreserved unassigned fund balance is increased in that fiscal year), in an amount not to exceed 5% of the current fiscal year's revenue budget will be assigned to: (i) fund long-term accrued liabilities, including but not limited to debt service, pension liability, and retiree's health benefits (e.g. other post-employment benefits liability, Section 115 Trust); (ii) provide funding for the Economic Reserve Fund; (iii) increase the contributions needed to fund the Fleet Capital Reserve; (iv) provide funding for the Building Reserve Fund; and (v) surplus funds in excess of the minimum reserve targets and requirements in this section can be used for other specific purposes consistent with the General Fund – use of surplus. After assigning the General Fund surplus as outlined above, the remaining General Fund surplus may be used in the following ways provided that such action is approved by the City Council: (i) increase the contributions needed to fund one-time expenditures; or (ii) start-up expenditures for new programs or construction and considered in the context of multi-year projections of revenue and expenditures. Any remaining General Fund surplus shall be added to its unassigned fund balance.

Reserve Targets

Reserve	Minimum Target	Maximum Target
General Fund Operating Reserve	25% of planned operating expenditures	Undefined
Building Reserve	Undefined	Undefined
Economic Reserve	Undefined	Undefined
Fleet Capital Reserve	Value of fleet replaement needs for next five years	Total purchase value of fleet assets
Pension Liability	80% funded status based on the most recent valuation report	100% funded status based on the most recent valuation report
Retiree's Health Fund	80% funded status based on the most recent valuation report	100% funded status based on the most recent valuation report

Monitoring Performance

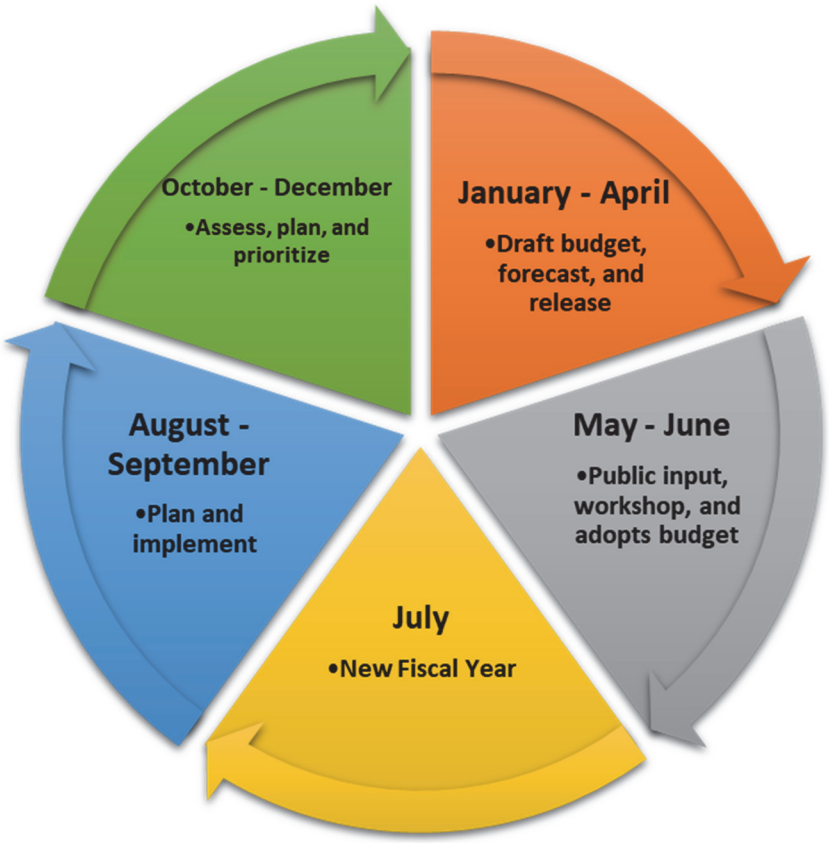
The City will review the current and five-year projected reserve to ensure that they are appropriate, given the economic and financial risk factors the City is subject to on an annual basis during the City's budget process.

"75:25" Operating Expenditure Rule

To support fiscal stability, the City will work to maintain personnel to operations/maintenance expenditure ratio no greater than 75:25. Pension and health care costs can be extremely volatile, and can lead to significant year-over-year expenditure increases. Maintaining a healthy balance between personnel and operations/maintenance expenditures allows for greater control over total expenditures and allows the City to more easily respond to unforeseen costs. The City will analyze its personnel to operations/maintenance expenditure ratio during the budget process each year and make prudent adjustments to bring the ratio back to appropriate levels within three years.

BUDGET PROCESS

The City prepares and submits an annual operating budget and a five-year capital improvement plan budget to the City Council for review and approval. The annual budget process is driven by the City's Strategic Plan.



The annual budget preparation begins by creating a budget calendar, in which due dates are established in order to meet the budget adoption date. Pursuant to Rocklin Municipal Code Chapter 3.28, the City Council must adopt the final budget by June 30th of each year.

To establish the annual budget, departments estimate revenue anticipated for the succeeding year, along with operating expenses to support the City Council priorities, objectives of each program, and proposed program expansions and augmentations. These estimates are continuously reevaluated and revised before the budget is transmitted to the City Manager.

The next step is for the City Manager and department staff to meet and review budget estimates. Revisions from these meetings are made and the budget estimates are reassessed. This step may repeat until the budget is balanced.

When the City Manager is satisfied with the proposed operating and capital budgets, they are transmitted to the City Council on or before May 15th of each year. The City Council reviews and may revise, the proposed budget in a public workshop. Any changes to the proposed budget, as considered and approved by the City Council during budget workshops, are included in the final budget. The budget is then formally adopted by the City Council on or before June 30th of each year.

TWO-YEAR STRATEGIC PLAN

On March 22, 2023, the City Council held a City Council retreat to develop the City's Strategic Plan for the next two years. At the conclusion of the retreat, the City Council agreed to the following "five pillars" and developed directional statements for each area:



RESILIENT

Rocklin is resilient and seeks ways to assist the community, businesses and citizens to be adaptable in the face of a changing world. The community is connected through a network of roadways, trails, and sidewalks that allow people and goods to move safely and efficiently throughout the City. Rocklin's infrastructure is designed, built, and maintained to withstand and quickly recover from disasters and disruptions.

VIBRANT

Rocklin stimulates a vibrant and diverse community through technology and efficient planning that is flexible and responsive. Rocklin's business-friendly environment promotes economic vitality, welcoming new and supporting existing businesses through excellent customer service.

LIVABLE

Rocklin is responsive to the unique and emerging needs of the community and offers a quality of life that supports health, happiness, and prosperity to all. This results in a community where residents have access to diverse parks, unique amenities, inviting gathering spaces, quality events, and exceptional educational opportunities.

SUSTAINABLE

Rocklin seeks opportunities to diversify its funding sources to ensure a strong fiscal foundation. Intentional long-term financial planning ensures community success and supports the City's quality of life.

SAFE

Rocklin residents feel comfortable walking and biking throughout the community, enjoying parks and public spaces, and know that the City is prioritizing the safety, health and well-being of its community. Rocklin invests in public safety by providing resources and technology to consistently reduce crime and ensure the City remains safe. The City partners with nonprofits, social service agencies, and the community to address the root causes of crime and social instability.

SECTION 2: CITY STRUCTURE

ABOUT ROCKLIN

Located in south Placer County at the intersection of Interstate 80 and State Highway 65, Rocklin is characterized by rolling hill terrain with 360-degree panoramic views of the Sierra Nevada mountains to the northeast and to the Sutter-Butte mountain range in the west.

Rocklin is a preferred location to live, work, visit, and grow business because of its convenient location, excellent schools and universities, abundant recreational opportunities, and the highest commitment to public safety.

Rocklin is located in proximity to many tourist locations and recreational amenities; it is within 20 minutes of Folsom Lake, 30 minutes of downtown Sacramento, and less than two hours from Lake Tahoe, the Pacific Ocean, and the Bay Area.

Rocklin has been one of the fastest growing cities in California. The City's population grew 64% from 2000-2014 with a current population of approximately 71,179, according to the California Department of Finance. In 2021, Money Magazine ranked the City in the top 50 Best Places to Live in America. The City was one of only two California cities to make the list.

The City's strongest assets are its residents, where citizen input and participation set Rocklin apart from other communities. We are proud of Rocklin's rich heritage and excited about its future. Thanks to residents, businesses and community leaders working together, we can ensure a bright future.

GOVERNANCE

The City operates under a Council-Manager form of government, which vests authority in an elected City Council. The City Council is the City's legislative and policy-making body and appoints the City Manager who is responsible for the overall administration of the City. In addition to the appointment of the City Manager, the City Council also appoints the City Attorney; City Treasurer; City Clerk; and members of the Architectural Review Committee, Arts Commission, Board of Appeals, Community Recognition Commission, Investment Advisory Committee, Parks and Recreation Commission, and Planning Commission.

The City-wide organizational chart displays the relationships between organizational units of the City government. Day-to-day operations are handled by the City departments, and departments are run by department directors who report to the City Manager. This organizational structure ensures the City Manager can keep the City Council apprised of operational issues of importance and of City-wide concern.

HISTORY OF ROCKLIN

Founded on Granite

While Rocklin has a long history, much of the growth has been within the last 30 years. Rocklin was first recognized as a destination along the transcontinental railroad in 1864, about the same time granite mining began. The City incorporated in 1893 during the heyday of railroad and granite mining activity. By 1910, 22 quarries operated in Rocklin and, in 1912 nearly 2,000 train carloads of granite were sent out of town to become part of the state capitol building and buildings in San Francisco.

First Developed Land

North of Rocklin's core was Spring Valley Ranch, founded in 1855 by the Whitney family, which included sheep and a wide variety of agricultural products. Over the years, 25 miles of crushed stone roads, 12 granite bridges, stables, barns, and housing for 200 ranch hands and their families were all constructed on this sprawling 30,000 acres, now considered the first developed property in Rocklin.

The ranch was eventually subdivided and sold off to various development interests as the expansion of suburban communities from Sacramento to the northeast led to the growth of the housing market in Rocklin during the late 1950s and early 1960s. This resulted in the development of the Sunset Whitney neighborhood, centered around a beautiful golf course.

Modern Business Expansion

Beginning in the 1980s, Rocklin realized the benefits of a lower cost of living and land that drew high technology firms and other industries to the region. This resulted in an expansion of commercial and residential development, including Stanford Ranch, the 3,000-acre master planned community that had also been part of Spring Valley Ranch. A steady period of growth continued in Rocklin with additional master plan areas including Whitney Oaks (1,000 acres) and Whitney Ranch (1,300 acres).

Looking Forward

As Rocklin has evolved, the City has saved many of the original granite bridges used to cross creeks on the Spring Valley Ranch and has designed parks to include these bridges.

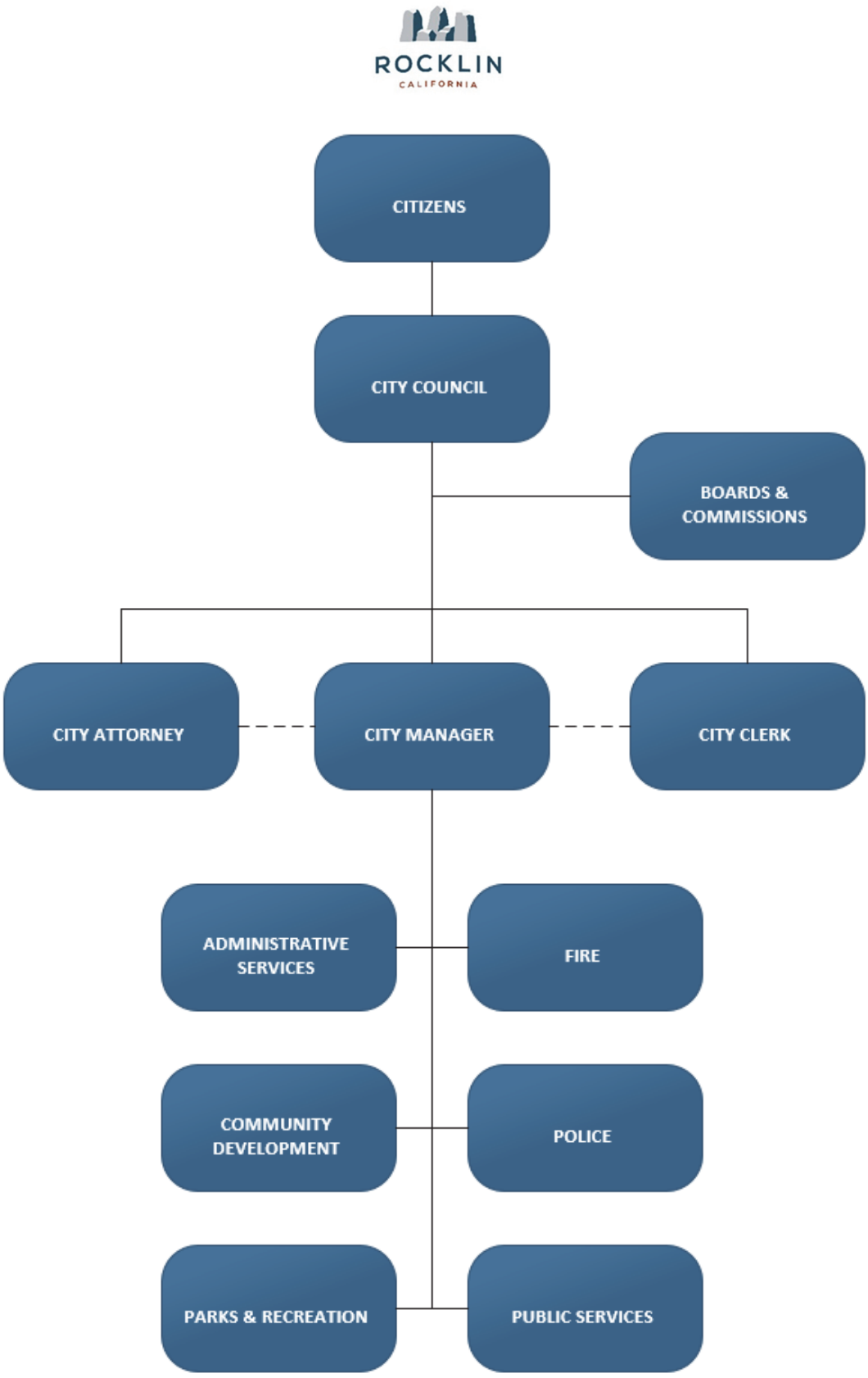
Rocklin is now nearing the end of its growth phase. With few remaining large, undeveloped parcels and no opportunities for annexation, City leaders are shifting their focus from one of growth to long-term sustainability. Rocklin must ensure that the City's high quality of life can be sustained in the years to come. This shift in focus requires new thinking, new ways of doing things but also provides for new opportunities.

Part of the City's long-term model for sustainability is to look back on where it all began: The City's core. Downtown and Historic Rocklin are ripe with opportunities for redevelopment. Quarries still dot the landscape, providing a unique asset for new community park and recreational uses.








ROCKLIN
CALIFORNIA
CITIZENS
CITY COUNCIL
BOARDS & COMMISSIONS
CITY ATTORNEY
CITY MANAGER
CITY CLERK
ADMINISTRATIVE SERVICES
FIRE
COMMUNITY DEVELOPMENT
POLICE
PARKS & RECREATION
PUBLIC SERVICES

SECTION 3: SCHEDULES

SCHEDULE 1A
Appropriations by Department

DEPARTMENT	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
City Council	44,008	89,291	97,800	97,800	99,400	1,600
City Manager	1,044,804	1,339,754	1,251,000	4,093,700	4,650,700	557,000
City Attorney	875,970	878,160	1,118,400	2,118,400	1,142,400	(976,000)
City Clerk	322,224	324,053	398,000	398,000	329,000	(69,000)
Administrative Services	11,848,111	9,536,920	10,436,600	12,466,600	15,426,700	2,960,100
Community Development	4,807,461	5,014,086	8,109,500	8,307,600	18,329,000	10,021,400
Fire	13,125,350	14,455,733	14,188,200	14,675,800	15,865,050	1,189,250
Information Technology	2,743,989	2,758,897	3,461,700	3,724,300	-	(3,724,300)
Parks and Recreation	7,019,613	7,848,339	10,540,900	11,266,000	10,326,500	(939,500)
Police	19,182,193	20,195,244	21,967,400	22,340,700	24,368,450	2,027,750
Public Services	18,731,160	21,292,522	25,868,700	30,085,800	22,450,900	(7,634,900)
Non-Departmental	13,486,833	3,065,368	4,499,000	7,168,500	5,728,800	(1,439,700)
TOTAL	**$ 93,231,716**	**$ 86,798,367**	**$101,937,200**	**$116,743,200**	**$118,716,900**	**$ 1,973,700**

SCHEDULE 1B
Appropriations by Category and Department

DEPARTMENT	Revenues	Staffing	Professional Services	Operating Expenses	Other Uses/ Debt Service/ Depreciation	Capital Outlay	Total Obligations	Net Operating
City Council	-	44,000	-	55,400	-	-	99,400	(99,400)
City Manager	170,000	1,209,400	3,148,800	167,500	125,000	-	4,650,700	(4,480,700)
City Attorney	28,100	675,300	400,000	39,000	28,100	-	1,142,400	(1,114,300)
City Clerk	-	279,900	16,000	33,100	-	-	329,000	(329,000)
Administrative Services	19,365,200	8,932,700	1,697,000	3,167,400	1,629,600	-	15,426,700	3,938,500
Community Development	10,405,800	4,176,600	2,550,600	349,200	315,500	10,937,100	18,329,000	(7,923,200)
Fire	5,103,800	11,409,600	276,350	1,630,400	2,273,700	275,000	15,865,050	(10,761,250)
Parks and Recreation	5,828,100	3,037,900	1,978,200	3,163,600	1,146,800	1,000,000	10,326,500	(4,498,400)
Police	1,851,500	20,595,200	816,800	2,716,750	239,700	-	24,368,450	(22,516,950)
Public Services	6,053,000	5,444,500	3,641,000	6,256,700	3,159,700	3,949,000	22,450,900	(16,397,900)
Non-Departmental	66,194,500	40,000	-	3,287,300	2,401,500	-	5,728,800	60,465,700
TOTAL	**$115,000,000**	**$ 55,845,100**	**$ 14,524,750**	**$ 20,866,350**	**$ 11,319,600**	**$16,161,100**	**$118,716,900**	**$(3,716,900)**

SCHEDULE 2
Sources, Uses, and Changes in Fund Balance

	Sources	Uses					Fund Balance	
	Revenues	Operations	CIP	Total Obligations	Contribution / Use of Reserve	Surplus/ (Deficit)	Beginning Balance	Ending Balance
FUNDS								
General Funds								
General Fund (100)	65,671,700	65,926,600	1,485,000	67,411,600	1,825,000	85,100	15,340,700	15,425,800
General Fund Reserve[1]		-	-	-	(1,825,000)	(1,825,000)	25,987,000	24,162,000
Boroski Landfill Monitoring (727)	28,100	28,100	-	28,100	-	-	-	-
Building Reserve (180)	1,189,000	-	-	-	-	1,189,000	-	1,189,000
Economic Development (122)	-	95,000	-	95,000	-	(95,000)	775,500	680,500
Park Infrastructure Reserve (170)	424,100	-	-	-	-	424,100	-	424,100
Quarry Park Adventures Reserve (115)	-	-	-	-	-	-	240,000	240,000
Quarry Park Amphitheater Events (111)	304,700	304,700	-	304,700	-	-	4,000	4,000
Retirees Health (151)	2,382,800	2,365,000	-	2,365,000	-	17,800	658,100	675,900
Technology Fees (130)	443,400	1,111,100	-	1,111,100	-	(667,700)	1,129,500	461,800
Subtotal General Funds	**70,443,800**	**69,830,500**	**1,485,000**	**71,315,500**	**-**	**(871,700)**	**44,134,800**	**43,263,100**
Capital Projects Funds								
Capital Construction Fees (304)	1,345,800	532,800	1,298,700	1,831,500	-	(485,700)	5,103,800	4,618,100
Reserve for LRBS Debt Service	-	-	-	-	-	-	1,059,300	1,059,300
Community Park Fees (301)	681,000	125,500	-	125,500	-	555,500	(177,000)	378,500
Community Recreation Center Facility Impact Fees (328)	55,400	-	-	-	-	55,400	-	55,400
North West Rocklin Community Park Fees (307)	426,500	-	-	-	-	426,500	69,200	495,700
Oak Tree Mitigation Fees (305)	44,000	157,300	-	157,300	-	(113,300)	98,600	(14,700)
Public Facilities Impact Fees (308)	61,000	-	-	-	-	61,000	1,077,400	1,138,400
Traffic Circulation Impact Fee (302)	2,160,900	590,800	1,500,000	2,090,800	-	70,100	17,127,700	17,197,800
Traffic Circulation Impact Fee Loans	-	-	-	-	-	-	747,600	747,600
Trails Impact Fees (321)	53,600	-	-	-	-	53,600	-	53,600
Whitney Ranch Interchange Fees (325)	116,200	-	-	-	-	116,200	1,580,600	1,696,800
Whitney Ranch Trunk Sewer Project (306)	44,500	44,500	-	44,500	-	-	-	-
Subtotal Project Funds	**4,988,900**	**1,450,900**	**2,798,700**	**4,249,600**	**-**	**739,300**	**26,687,200**	**27,426,500**
Debt Service Fund								
Capital Construction - Debt Service (400)	532,800	532,800	-	532,800	-	-	-	-
Subtotal Debt Service Fund	**532,800**	**532,800**	**-**	**532,800**	**-**	**-**	**-**	**-**
Internal Service Funds								
Risk Management (525)	4,175,600	4,144,000	-	4,144,000	-	31,600	1,137,400	1,169,000
Self-Insured Losses	-	-	-	-	-	-	2,935,100	2,935,100
Disaster Contingency	-	-	-	-	-	-	1,000,000	1,000,000
Vehicle Fleet Management (500)	3,482,200	3,544,700	-	3,544,700	-	(62,500)	3,841,000	3,778,500
Vehicle Fleet Net Capitalized Assets	-	-	-	-	-	-	7,529,900	7,529,900
Subtotal Internal Service Funds	**7,657,800**	**7,688,700**	**-**	**7,688,700**	**-**	**(30,900)**	**16,443,400**	**16,412,500**
Permanent Funds								
Cons. Easement Endowment (729)	11,500	11,500	-	11,500	-	-	453,500	453,500
Wetlands Maintenance Parcel 34 (728)	2,600	2,600	-	2,600	-	-	56,300	56,300
Subtotal Permanent Funds	**14,100**	**14,100**	**-**	**14,100**	**-**	**-**	**509,800**	**509,800**
Special Revenue Funds								
American Rescue Plan Act (266)	500,000	500,000	-	500,000	-	-	-	-
Asset Forfeiture - Federal (243)	400	-	-	-	-	400	17,500	17,900
Asset Forfeiture - State (242)	116,300	50,000	-	50,000	-	66,300	414,400	480,700
Bicycle and Pedestrian (212)	100,000	-	100,000	100,000	-	-	-	-
CASp Certification & Training (248)	-	-	-	-	-	-	67,200	67,200
CDBG - First-Time Home Buyers (254)	-	-	-	-	-	-	103,200	103,200
CDBG - First-Time Home Buyers Loans	-	-	-	-	-	-	21,000	21,000
CDBG - HUD Entitlement (257)	300,100	300,100	-	300,100	-	-	-	-
CDBG 2000 - Oak Court (253)	-	-	-	-	-	-	265,600	265,600
CDBG 2000 - Oak Court Loans	-	-	-	-	-	-	110,000	110,000
CDBG Housing Rehabilitation (251)	-	-	-	-	-	-	155,500	155,500
CDBG Housing Rehabilitation Loans	-	-	-	-	-	-	188,000	188,000

[1] Refer to Schedule 8 for General Fund reserves.

SCHEDULE 2 (continued)
Sources, Uses, and Changes in Fund Balance

	Sources	Uses					Fund Balance	
	Revenues	Operations	CIP	Total Obligations	Contribution / Use of Reserve	Surplus/ (Deficit)	Beginning Balance	Ending Balance
FUNDS								
CFD No. 6 - Open Space Maintenance (233)	358,000	382,200	-	382,200	-	(24,200)	253,200	229,000
Community Facilities District No. 1 (231)	2,284,300	2,284,300	-	2,284,300	-	-	-	-
Community Facilities District No. 5 (232)	7,148,700	6,222,700	-	6,222,700	-	926,000	4,342,400	5,268,400
Costco Settlement (209)	-	-	-	-	-	-	3,755,200	3,755,200
Gas Tax (201)	2,070,300	998,800	-	998,800	-	1,071,500	93,800	1,165,300
Grants/Other Reimbursables (275)	60,300	60,300	-	60,300	-	-	-	-
Landscaping & Lighting Maint. District No. 2 (235)	2,717,600	2,831,500	-	2,831,500	-	(113,900)	1,374,700	1,260,800
Lighting Maintenance District No. 1 (230)	252,400	252,400	-	252,400	-	-	-	-
Low & Moderate Income Housing Asset (270)	190,200	2,957,500	-	2,957,500	-	(2,767,300)	8,805,600	6,038,300
Low- & Moderate Income Loans	-	-	-	-	-	-	4,219,100	4,219,100
Park Development Fees (300)	835,800	401,800	-	401,800	-	434,000	900,500	1,334,500
Park Tax Special Assessment (236)	653,200	653,200	-	653,200	-	-	-	-
Recreation Facilities Contribution (221)	-	-	-	-	-	-	1,600	1,600
Sales Tax SB 325 (210)	5,155,600	3,717,600	5,604,100	9,321,700	-	(4,166,100)	5,644,000	1,477,900
SB 1 - Road Maintenance & Rehabilitation (205)	1,799,300	26,800	702,800	729,600	-	1,069,700	419,800	1,489,500
SB 325 Transit Fund (211)	973,600	1,023,600	-	1,023,600	-	(50,000)	50,000	-
Streets Grants Fund (240)	5,470,500	-	5,470,500	5,470,500	-	-	-	-
Supp Law Enforcement Services AB 3229 (736)	189,300	189,300	-	189,300	-	-	-	-
Traffic Safety/Police Grants (244)	186,700	186,700	-	186,700	-	-	-	-
Subtotal Special Revenue Funds	**31,362,600**	**23,038,800**	**11,877,400**	**34,916,200**	**-**	**(3,553,600)**	**31,202,300**	**27,648,700**
TOTAL	**$115,000,000**	**$102,555,800**	**$16,161,100**	**$118,716,900**	**$ -**	**$ (3,716,900)**	**$118,977,500**	**$115,260,600**

SCHEDULE 3
Revenues by Category for General Fund

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
GENERAL FUND						
Taxes						
Property Tax - Secured	$ 12,691,274	$ 13,445,416	$ 13,858,500	$ 13,858,500	$ 14,947,500	$ 1,089,000
Property Tax - RDA Residual Distribution	1,066,320	1,015,932	1,200,000	1,200,000	1,200,000	-
Property Tax - Unsecured	291,325	274,240	273,200	273,200	293,400	20,200
Property Tax - Prior Unsecured	1,933	2,931	2,000	2,000	1,700	(300)
Property Tax - Supplemental	395,790	572,997	254,100	254,100	435,400	181,300
Property Tax In Lieu MVLF	5,939,349	6,288,982	6,603,500	6,603,500	7,128,800	525,300
Subtotal Property Taxes	**20,385,992**	**21,600,497**	**22,191,300**	**22,191,300**	**24,006,800**	**1,815,500**
Sales Tax	20,217,932	21,366,903	19,572,200	19,572,200	20,487,500	915,300
Sales Tax - Public Safety	524,829	658,054	636,800	636,800	669,800	33,000
Subtotal Sales Taxes	**20,742,760**	**22,024,957**	**20,209,000**	**20,209,000**	**21,157,300**	**948,300**
Business License Tax	411,436	417,138	415,400	415,400	419,600	4,200
Short Term Rental Permit	-	695	-	-	2,100	2,100
STR Transient Occupancy Tax	3,381	15,629	18,000	18,000	18,000	-
Transfer Tax	720,525	900,285	600,000	600,000	540,000	(60,000)
Transient Occupancy Tax	685,515	959,236	1,272,000	1,422,000	1,320,000	(102,000)
Utility Franchise Tax	2,351,705	2,443,215	2,416,800	2,416,800	2,465,100	48,300
Subtotal Other Taxes	**4,172,562**	**4,736,198**	**4,722,200**	**4,872,200**	**4,764,800**	**(107,400)**
Total Taxes	**45,301,315**	**48,361,651**	**47,122,500**	**47,272,500**	**49,928,900**	**2,656,400**
Licenses and Taxes						
Animal License	56,238	74,046	65,000	65,000	65,000	-
Building Permit	4,874,488	4,085,542	3,161,300	3,161,300	3,515,300	354,000
Certificate of Occupancy	14,400	14,805	19,000	19,000	19,000	-
EIQ - CEQA	41,976	55,617	29,000	29,000	36,300	7,300
Encroachment Permit	131,345	113,204	100,000	100,000	100,000	-
Fire Operational Permits	43,129	44,233	45,000	45,000	45,000	-
Grazing Permit	-	1,232	1,000	1,000	1,000	-
Home Occupation Permit	26,068	26,011	20,800	20,800	22,900	2,100
Land Use Permits	118,523	132,794	83,600	83,600	101,400	17,800
Land Use Variances	1,639	-	1,300	1,300	1,000	(300)
Other Licenses & Permits	2,819	2,260	3,000	3,000	3,750	750
Oversize Load Permits	4,492	4,448	5,000	5,000	5,000	-
Plan Duplication Processing	1,131	3,028	1,600	1,600	1,900	300
Subtotal Licenses and Permits	**5,316,248**	**4,557,219**	**3,535,600**	**3,535,600**	**3,917,550**	**381,950**
Fines, Forfeitures, & Penalties						
Business License Penalties	1,934	6,260	5,000	5,000	7,000	2,000
DUI Cost Recovery	-	1,412	500	500	-	(500)
Parking Citations	10,522	15,444	10,000	10,000	11,000	1,000
Statute Violation Fines	64,567	109,161	60,000	60,000	60,000	-
Vehicle Cite Corr-Sign Off	945	1,536	1,500	1,500	-	(1,500)
Other Fines/Forfeiture/Penalties	6,290	12,279	11,100	11,100	10,600	(500)
Subtotal Fines, Forfeitures, & Penalties	**84,257**	**146,092**	**88,100**	**88,100**	**88,600**	**500**

SCHEDULE 3 (continued)
Revenues by Category for General Fund

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
GENERAL FUND (continued)						
Charges for Services						
Admission Fees	-	-	5,000	5,000	6,000	1,000
Animal Return Fees	840	1,115	2,500	2,500	1,000	(1,500)
Annexation Fees	29,418	43,485	-	-	-	-
Annual Business Inspection	9,245	6,150	11,000	11,000	6,000	(5,000)
Brycer Inspection Compliance Fees	-	4,346	400	400	7,500	7,100
Business License Application Fees	15,154	15,468	14,400	14,400	14,400	-
Concurrent Application Fees	104,602	112,297	81,100	81,100	100,200	19,100
Contract Services	169,850	164,305	167,700	167,700	250,700	83,000
Copies	1,365	918	2,000	2,000	3,000	1,000
Engineering Inspection Fees	569,189	371,325	400,000	400,000	400,000	-
Engineering Map Check Fees	29,480	30,955	24,000	24,000	40,000	16,000
Engineering Plan Check Fees	287,477	288,823	225,000	225,000	280,000	55,000
False Alarm Fees	63,579	57,875	30,000	30,000	40,000	10,000
Fingerprinting Fees	235	349	10,000	10,000	10,000	-
Fire Fees	243,109	154,731	166,000	166,000	174,000	8,000
First Responder Fees	-	106,662	250,000	250,000	300,000	50,000
Flood Zone	1,622	-	-	-	1,200	1,200
Grading Plan Fees	2,083	-	1,000	1,000	-	(1,000)
Impound & Repo Fees	19,056	21,782	19,000	19,000	20,000	1,000
Improvement Plan Revision Fees	-	-	3,400	3,400	2,000	(1,400)
Massage Technician Permits	6,295	6,653	7,500	7,500	7,000	(500)
Miscellaneous Engineering Fees	-	3,273	8,200	8,200	5,600	(2,600)
NWRAA Admin Fees	579	-	-	-	-	-
Overages/Underages	1	0	-	-	-	-
Program Fees	72,357	152,508	84,500	159,500	165,450	5,950
Records Maintenance Fees	27,429	26,853	28,000	28,000	28,000	-
Reports	520	1,040	500	500	500	-
Sale of Advertising	-	7,000	17,000	17,000	11,000	(6,000)
Sierra College Contract	652,736	663,102	600,000	600,000	615,000	15,000
State Bldg Stdrd Admin Fees	1,465	1,073	1,000	1,000	800	(200)
Tentative Subdivision Maps	43,123	39,845	58,700	58,700	58,100	(600)
Weed Abatement Fees	55,380	62,182	55,000	55,000	55,000	-
Subtotal Charges for Services	**2,406,187**	**2,344,115**	**2,272,900**	**2,347,900**	**2,602,450**	**254,550**
Intergovernmental						
County/Local Grants	18,211	37,000	10,000	10,000	-	(10,000)
Federal Grants	98,052	5,184	-	-	-	-
POST Reimbursements	6,078	10,749	20,000	20,000	26,000	6,000
RATTF Revenue	101,655	107,770	115,000	115,000	115,000	-
Reimbursement Revenue	1,021,369	941,778	186,600	240,800	287,400	46,600
Special Revenue	-	-	18,000	18,000	9,000	(9,000)
State Grants	-	105,355	-	-	-	-
State HOPTR	84,884	85,172	84,000	84,000	80,800	(3,200)

SCHEDULE 3 (continued)
Revenues by Category for General Fund

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
GENERAL FUND (continued)						
State Motor Vehicle In Lieu	51,597	81,409	-	-	-	-
State Realignment	100,000	100,000	100,000	100,000	100,000	-
Successor Agency Admin Fees	125,000	60,400	60,400	60,400	70,000	9,600
Subtotal Intergovernmental	**1,606,847**	**1,534,818**	**594,000**	**648,200**	**688,200**	**40,000**
Miscellaneous						
Cap Fac Impact Admin Fees	50,124	36,750	11,000	11,000	11,000	-
Donations	2,798	-	-	-	12,000	12,000
Mandated Payments Revenue	12,590	30,674	-	-	-	-
Miscellaneous Revenues	122,439	386,617	36,000	36,000	33,500	(2,500)
Rebate Payment	24,850	43,914	26,000	26,000	30,000	4,000
Sale of Taxable Items	789	1,131	900	900	500	(400)
Special Assessment Admin Fees	166,800	117,800	120,500	120,500	119,700	(800)
Subtotal Miscellaneous	**380,389**	**616,886**	**194,400**	**194,400**	**206,700**	**12,300**
Use of Money and Property						
GASB No. 31 FMV Adjustment	(316,067)	(1,144,398)	-	-	-	-
Interest Income	155,212	95,905	120,300	120,300	909,200	788,900
Rental Income	656,971	1,184,201	1,109,500	1,209,500	1,586,400	376,900
Sale of Assets	258,271	2,684	-	-	-	-
Small Cell License	2,000	-	300	300	-	(300)
Vending Machine	151	568	-	-	-	-
Subtotal Use of Money and Property	**756,537**	**138,961**	**1,230,100**	**1,330,100**	**2,495,600**	**1,165,500**
Other Sources						
Engineering Transfer In	44,916	79,800	83,600	83,600	53,600	(30,000)
Transfer In Fac Maint Allocation	167,956	165,700	207,300	207,300	199,100	(8,200)
Transfer In From Spec Revenue	3,668,241	3,176,793	3,302,600	3,382,500	3,366,800	(15,700)
Transfer In From CIP	-	-	-	-	44,500	44,500
Transfer In From Trust/Agency	2,345	2,427	2,500	2,500	2,600	100
Transfer In Indirect Cost Allocation	1,677,260	1,436,490	1,715,500	1,715,500	2,077,100	361,600
Subtotal Other Sources	**5,560,718**	**4,861,210**	**5,311,500**	**5,391,400**	**5,743,700**	**352,300**
TOTAL	**$ 61,412,498**	**$ 62,560,952**	**$ 60,349,100**	**$ 60,808,200**	**$ 65,671,700**	**$ 4,863,500**

SCHEDULE 4
Revenues by Fund and Object

Object Code	Object Description	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
GENERAL FUND (FUND 100)							
Charges for Services							
4100	Business License Application Fees	15,154	15,468	14,400	14,400	14,400	-
4108	Records Maintenance Fees	27,429	26,853	28,000	28,000	28,000	-
4118	Engineering Inspection Fees	569,189	371,325	400,000	400,000	400,000	-
4120	Engineering Plan Check Fees	287,477	288,823	225,000	225,000	280,000	55,000
4122	Engineering Map Check Fees	29,480	30,955	24,000	24,000	40,000	16,000
4124	Grading Plan Fees	2,083	-	1,000	1,000	-	(1,000)
4128	Improvement Plan Revision Fees	-	-	3,400	3,400	2,000	(1,400)
4130	Miscellaneous Engineering Fees	-	3,273	8,200	8,200	5,600	(2,600)
4131	Flood Zone	1,622	-	-	-	1,200	1,200
4132	Fingerprinting Fees	235	349	10,000	10,000	10,000	-
4134	Reports	520	1,040	500	500	500	-
4135	Copies	1,365	918	2,000	2,000	3,000	1,000
4136	Animal Return Fees	840	1,115	2,500	2,500	1,000	(1,500)
4138	Massage Technician Permits	6,295	6,653	7,500	7,500	7,000	(500)
4140	False Alarm Fees	63,579	57,875	30,000	30,000	40,000	10,000
4141	Brycer Inspection Compliance Fees	-	4,346	400	400	7,500	7,100
4142	Impound & Repo Fees	19,056	21,782	19,000	19,000	20,000	1,000
4146	Weed Abatement Fees	55,380	62,182	55,000	55,000	55,000	-
4149	Fire Fees	243,109	154,731	166,000	166,000	174,000	8,000
4150	Tentative Subdivision Maps	43,123	39,845	58,700	58,700	58,100	(600)
4151	Annual Business Inspection	9,245	6,150	11,000	11,000	6,000	(5,000)
4158	Concurrent Application Fees	104,602	112,297	81,100	81,100	100,200	19,100
4160	Annexation Fees	29,418	43,485	-	-	-	-
4170	Admission Fees	-	-	5,000	5,000	6,000	1,000
4172	Sale of Advertising	-	7,000	17,000	17,000	11,000	(6,000)
4235	Sierra College Contract	652,736	663,102	600,000	600,000	615,000	15,000
4240	Contract Services	169,850	164,305	167,700	167,700	250,700	83,000
4470	NWRAA Admin Fees	579	-	-	-	-	-
4475	State Bldg Stdrd Admin Fees	1,465	1,073	1,000	1,000	800	(200)
4511	First Responder Fees	-	106,662	250,000	250,000	300,000	50,000
4700	Program Fees	72,357	152,508	84,500	159,500	165,450	5,950
4705	Overages/Underages	1	0	-	-	-	-
	Subtotal Charges for Services	**2,406,187**	**2,344,115**	**2,272,900**	**2,347,900**	**2,602,450**	**254,550**
Fines, Forfeitures, & Penalties							
4102	Business License Penalties	1,934	6,260	5,000	5,000	7,000	2,000
4200	Statute Violation Fines	64,567	109,161	60,000	60,000	60,000	-
4210	Parking Citations	10,522	15,444	10,000	10,000	11,000	1,000
4215	Vehicle Cite Corr-Sign Off	945	1,536	1,500	1,500	-	(1,500)
4220	DUI Cost Recovery	-	1,412	500	500	-	(500)
4225	Other Fines/Forfeiture/Penalties	6,290	12,279	11,100	11,100	10,600	(500)
	Subtotal Fines, forfeitures, & Penalties	**84,257**	**146,092**	**88,100**	**88,100**	**88,600**	**500**

SCHEDULE 4 (continued)
Revenues by Fund and Object

Object Code	Object Description	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
Intergovernmental							
4500	Federal Grants	98,052	5,184	-	-	-	-
4505	State Grants	-	105,355	-	-	-	-
4510	County/Local Grants	18,211	37,000	10,000	10,000	-	(10,000)
4513	Special Revenue	-	-	18,000	18,000	9,000	(9,000)
4514	Reimbursement Revenue	1,021,369	941,778	186,600	240,800	287,400	46,600
4520	State Motor Vehicle In - Lieu	51,597	81,409	-	-	-	-
4521	RATTF Revenue	101,655	107,770	115,000	115,000	115,000	-
4522	State Realignment	100,000	100,000	100,000	100,000	100,000	-
4530	State HOPTR	84,884	85,172	84,000	84,000	80,800	(3,200)
4535	POST Reimbursements	6,078	10,749	20,000	20,000	26,000	6,000
4740	Successor Agency Admin Fees	125,000	60,400	60,400	60,400	70,000	9,600
	Subtotal Intergovernmental	**1,606,847**	**1,534,818**	**594,000**	**648,200**	**688,200**	**40,000**
Licenses and Permits							
4104	Animal License	56,238	74,046	65,000	65,000	65,000	-
4106	Building Permit	4,874,488	4,085,542	3,161,300	3,161,300	3,515,300	354,000
4107	Plan Duplication Processing	1,131	3,028	1,600	1,600	1,900	300
4110	Certificate of Occupancy	14,400	14,805	19,000	19,000	19,000	-
4112	Encroachment Permit	131,345	113,204	100,000	100,000	100,000	-
4114	Oversize Load Permits	4,492	4,448	5,000	5,000	5,000	-
4116	Other Licenses & Permits	2,819	2,260	3,000	3,000	3,750	750
4152	Land Use Permits	118,523	132,794	83,600	83,600	101,400	17,800
4154	Land Use Variances	1,639	-	1,300	1,300	1,000	(300)
4155	Fire Operational Permits	43,129	44,233	45,000	45,000	45,000	-
4156	EIQ - CEQA	41,976	55,617	29,000	29,000	36,300	7,300
4164	Home Occupation Permit	26,068	26,011	20,800	20,800	22,900	2,100
4165	Grazing Permit	-	1,232	1,000	1,000	1,000	-
	Subtotal Licenses and Permits	**5,316,248**	**4,557,219**	**3,535,600**	**3,535,600**	**3,917,550**	**381,950**
Taxes							
4000	Property Tax - Secured	12,691,274	13,445,416	13,858,500	13,858,500	14,947,500	1,089,000
4005	Property Tax - Unsecured	291,325	274,240	273,200	273,200	293,400	20,200
4010	Property Tax - Prior Unsecured	1,933	2,931	2,000	2,000	1,700	(300)
4011	Property Tax - RDA Residual Distribution	1,066,320	1,015,932	1,200,000	1,200,000	1,200,000	-
4020	Property Tax - Supplemental	395,790	572,997	254,100	254,100	435,400	181,300
4030	Sales Tax	20,217,932	21,366,903	19,572,200	19,572,200	20,487,500	915,300
4035	Sales Tax - Public Safety	524,829	658,054	636,800	636,800	669,800	33,000
4040	Transfer Tax	720,525	900,285	600,000	600,000	540,000	(60,000)
4045	Transient Occupancy Tax	685,515	959,236	1,272,000	1,422,000	1,320,000	(102,000)
4046	STR Transient Occupancy Tax	3,381	15,629	18,000	18,000	18,000	-
4047	Short Term Rental Permit	-	695	-	-	2,100	2,100
4050	Utility Franchise Tax	2,351,705	2,443,215	2,416,800	2,416,800	2,465,100	48,300
4055	Business License Tax	411,436	417,138	415,400	415,400	419,600	4,200

SCHEDULE 4 (continued)
Revenues by Fund and Object

Object Code	Object Description	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
4515	Property Tax In Lieu MVLF	5,939,349	6,288,982	6,603,500	6,603,500	7,128,800	525,300
	Subtotal Taxes	**45,301,315**	**48,361,651**	**47,122,500**	**47,272,500**	**49,928,900**	**2,656,400**
Use of Money and Property							
4300	Interest Income	155,212	95,905	120,300	120,300	909,200	788,900
4310	GASB No. 31 FMV Adjustment	(316,067)	(1,144,398)	-	-	-	-
4315	Rental Income	656,971	1,184,201	1,109,500	1,209,500	1,586,400	376,900
4316	Small Cell License	2,000	-	300	300	-	(300)
4400	Sale of Assets	258,271	2,684	-	-	-	-
4405	Vending Machine	151	568	-	-	-	-
	Subtotal Use of Money and Property	**756,537**	**138,961**	**1,230,100**	**1,330,100**	**2,495,600**	**1,165,500**
Miscellaneous							-
4320	Rebate Payment	24,850	43,914	26,000	26,000	30,000	4,000
4430	Special Assessment Admin Fees	166,800	117,800	120,500	120,500	119,700	(800)
4435	Miscellaneous Revenues	122,439	386,617	36,000	36,000	33,500	(2,500)
4437	Mandated Payments Revenue	12,590	30,674	-	-	-	-
4438	Cap Fac Impact Admin Fees	50,124	36,750	11,000	11,000	11,000	-
4440	Sale of Taxable Items	789	1,131	900	900	500	(400)
4600	Donations	2,798	-	-	-	12,000	12,000
	Subtotal Other Sources	**380,389**	**616,886**	**194,400**	**194,400**	**206,700**	**12,300**
Other Sources							-
4810	Transfer In From Spec Revenue	3,668,241	3,176,793	3,302,600	3,382,500	3,366,800	(15,700)
4820	Transfer In From CIP	-	-	-	-	44,500	44,500
4850	Transfer In From Trust/Agency	2,345	2,427	2,500	2,500	2,600	100
4860	Transfer In Indirect Cost Allocation	1,677,260	1,436,490	1,715,500	1,715,500	2,077,100	361,600
4865	Transfer In Fac Maint Allocation	167,956	165,700	207,300	207,300	199,100	(8,200)
4895	Engineering Transfer In	44,916	79,800	83,600	83,600	53,600	(30,000)
	Subtotal Other Sources	**5,560,718**	**4,861,210**	**5,311,500**	**5,391,400**	**5,743,700**	**352,300**
	GENERAL FUND TOTAL	**$ 61,412,498**	**$ 62,560,952**	**$ 60,349,100**	**$ 60,808,200**	**$ 65,671,700**	**$ 4,863,500**
QUARRY PARK AMPHITHEATER EVENTS (FUND 111)							
4170	Admission Fees	550	12,006	45,000	53,000	203,600	150,600
4172	Sale of Advertising	-	-	-	60,000	75,000	15,000
4700	Program Fees	3,804	37,221	22,500	22,500	21,100	(1,400)
4514	Reimbursement Revenue	833	4,869	13,000	13,000	-	(13,000)
4315	Rental Income	1,745	2,250	3,000	3,000	5,000	2,000
	QUARRY PARK AMPHITHEATER EVENTS TOTAL	**6,932**	**56,346**	**83,500**	**151,500**	**304,700**	**153,200**
QUARRY PARK ADVENTURES RESERVE (FUND 115)							
4514	Reimbursement Revenue	90,000	150,000	-	-	-	-
	QUARRY PARK ADVENTURES RESERVE TOTAL	**90,000**	**150,000**	**-**	**-**	**-**	**-**

SCHEDULE 4 (continued)
Revenues by Fund and Object

Object Code	Object Description	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
ECONOMIC DEVELOPMENT (FUND 122)							
4800	Transfer In From General Fund	167,726	-	-	449,000	-	(449,000)
4310	GASB No. 31 FMV Adjustment	-	-	-	-	-	-
	ECONOMIC DEVELOPMENT TOTAL	**167,726**	**-**	**-**	**449,000**	**-**	**(449,000)**
TECHNOLOGY FEE (FUND 130)							
4800	Transfer In From General Fund	-	-	-	77,000	-	(77,000)
4810	Transfer In From Spec Revenue	-	-	-	104,000	-	(104,000)
4885	Transfer In -Tech Fees	569,240	483,049	476,200	476,200	443,400	(32,800)
	TECHNOLOGY FEE TOTAL	**569,240**	**483,049**	**476,200**	**657,200**	**443,400**	**(213,800)**
RETIREES HEALTH (FUND 151)							
4435	Miscellaneous Revenues	-	-	-	-	-	-
4720	Retirees Health	3,120,000	2,213,000	2,289,000	2,289,000	2,365,000	76,000
4800	Transfer In From General Fund	754,767	-	-	224,500	-	(224,500)
4300	Interest Income	4,374	1,039	700	700	17,800	17,100
4310	GASB No. 31 FMV Adjustment	-	-	-	-	-	-
	RETIREES HEALTH TOTAL	**3,879,141**	**2,214,039**	**2,289,700**	**2,514,200**	**2,382,800**	**(131,400)**
PARK INFRASTRUCTURE RESERVE (FUND 170)							
4800	Transfer In From General Fund	-	-	-	-	424,100	424,100
	TOTAL	**-**	**-**	**-**	**-**	**424,100**	**424,100**
BUILDING RESERVE (FUND 180)							
4800	Transfer In From General Fund	-	-	-	-	1,189,000	1,189,000
	TOTAL	**-**	**-**	**-**	**-**	**1,189,000**	**1,189,000**
GAS TAX (FUND 201)							
4542	Gas Tax Section 2103	469,356	521,966	694,000	694,000	710,100	16,100
4551	Gas Tax Section 2106	229,493	233,894	286,600	286,600	300,700	14,100
4552	Gas Tax Section 2107	484,988	422,910	621,100	621,100	564,800	(56,300)
4553	Gas Tax Section 2107.5	7,500	7,500	7,500	7,500	7,500	-
4555	Gas Tax Section 2105	358,409	358,589	454,700	454,700	470,300	15,600
4300	Interest Income	5,852	1,818	1,700	1,700	16,900	15,200
	GAS TAX TOTAL	**1,555,598**	**1,546,676**	**2,065,600**	**2,065,600**	**2,070,300**	**4,700**
SB 1 - ROAD MAINTENANCE & REHABILITATION (FUND 205)							
4561	Road Maintenance & Rehabiliation SB 1	1,290,455	1,536,090	1,606,300	1,606,300	1,771,500	165,200
4300	Interest Income	3,929	3,465	1,100	1,100	27,800	26,700
	SB 1 ROAD MAINT. & REHAB TOTAL	**1,294,384**	**1,539,555**	**1,607,400**	**1,607,400**	**1,799,300**	**191,900**

SCHEDULE 4 (continued)
Revenues by Fund and Object

Object Code	Object Description	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
SALES TAX SB 325 (FUND 210)							
4525	State Gas Tax	3,125,793	5,609,746	4,338,900	4,338,900	5,058,600	719,700
4435	Miscellaneous Revenues	120	625	-	-	-	-
4300	Interest Income	18,162	8,081	5,000	5,000	97,000	92,000
	SALES TAX SB 325 TOTAL	**3,144,075**	**5,618,452**	**4,343,900**	**4,343,900**	**5,155,600**	**811,700**
SB 325 TRANSIT FUND (FUND 211)							
4540	State Gas Tax Article 8(C)	179,940	157,360	308,600	308,600	323,300	14,700
4543	STA Revenue	271,886	555,395	594,300	594,300	650,300	56,000
	SB 325 TRANSIT FUND TOTAL	**451,826**	**712,755**	**902,900**	**902,900**	**973,600**	**70,700**
BICYCLE AND PEDESTRIAN (FUND 212)							
4510	County/Local Grants	205,597	-	70,000	70,000	100,000	30,000
	BICYCLE AND PEDESTRIAN TOTAL	**205,597**	**-**	**70,000**	**70,000**	**100,000**	**30,000**
RECREATION FACILITIES CONTRIBUTION (FUND 221)							
4186	Whitney Oaks Park Dev Fees	-	500	-	-	-	-
4300	Interest Income	229	6	-	-	-	-
	RECREATION FACILITIES CONTRIBUTION TOTAL	**229**	**506**	**-**	**-**	**-**	**-**
LIGHTING MAINTENANCE DISTRICT NO. 1 (FUND 230)							
4162	Street Lighting Assessment	246,662	251,440	249,000	249,000	252,400	3,400
	LIGHTING MAINTENANCE DISTRICT NO. 1 TOTAL	**246,662**	**251,440**	**249,000**	**249,000**	**252,400**	**3,400**
COMMUNITY FACILITIES DISTRICT NO. 1 (FUND 231)							
4205	Int/Penalty Delinquent Assess	4,862	6,661	-	-	-	-
4425	Special Tax Revenue	1,930,559	2,085,355	2,195,800	2,195,800	2,284,300	88,500
	COMMUNITY FACILITIES DISTRICT NO. 1 TOTAL	**1,935,422**	**2,092,017**	**2,195,800**	**2,195,800**	**2,284,300**	**88,500**
COMMUNITY FACILITIES DISTRICT NO. 5 (FUND 232)							
4205	Int/Penalty Delinquent Assess	9,901	13,008	-	-	-	-
4500	Federal Grants	616	-	-	-	-	-
4850	Transfer In From Trust/Agency	3,156	1,134	1,200	1,200	11,500	10,300
4425	Special Tax Revenue	5,608,767	6,002,943	6,457,800	6,457,800	6,997,700	539,900
4300	Interest Income	13,622	6,149	9,700	9,700	124,500	114,800
4415	Othr Income - Use of Money/Property	15,000	15,000	15,000	15,000	15,000	-
	COMMUNITY FACILITIES DISTRICT NO. 5 TOTAL	**5,651,062**	**6,038,234**	**6,483,700**	**6,483,700**	**7,148,700**	**665,000**
CFD NO. 6 OPEN SPACE MAINTENANCE (FUND 233)							
4205	Int/Penalty Delinquent Assess	552	674	-	-	-	-
4425	Special Tax Revenue	324,910	337,515	351,800	351,800	351,800	-
4300	Interest Income	1,468	458	600	600	6,200	5,600
	CFD NO. 6 OPEN SPACE MAINTENANCE TOTAL	**326,930**	**338,647**	**352,400**	**352,400**	**358,000**	**5,600**

SCHEDULE 4 (continued)
Revenues by Fund and Object

Object Code	Object Description	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
LANDSCAPING & LIGHTING MAINT. DISTRICT NO. 2 (FUND 235)							
4435	Miscellaneous Revenues	6,000	-	-	-	-	-
4162	Street Lighting Assessment	2,411,758	2,495,424	2,563,000	2,563,000	2,684,700	121,700
4300	Interest Income	9,615	3,058	4,600	4,600	32,900	28,300
	LLMD NO. 2 TOTAL	**2,427,373**	**2,498,482**	**2,567,600**	**2,567,600**	**2,717,600**	**150,000**
PARK TAX SPECIAL ASSESSMENT (FUND 236)							
4166	Special District Assessment	627,285	637,420	645,400	645,400	653,200	7,800
	TOTAL	**627,285**	**637,420**	**645,400**	**645,400**	**653,200**	**7,800**
STREETS GRANTS FUND (FUND 240)							
4500	Federal Grants	320,817	470,962	3,677,700	4,253,400	1,750,500	(2,502,900)
4510	County/Local Grants	46,576	-	-	-	-	-
4513	Special Revenue	-	1,037,616	850,000	850,000	3,375,000	2,525,000
4514	Reimbursement Revenue	52,435	193,615	-	-	345,000	345,000
	STREETS SR/GRANTS FUND TOTAL	**419,827**	**1,702,193**	**4,527,700**	**5,103,400**	**5,470,500**	**367,100**
ASSET FORFEITURE - STATE (FUND 242)							
4230	Asset Forfeiture	219,659	146,969	100,000	100,000	100,000	-
4300	Interest Income	-	-	1,500	1,500	16,300	14,800
	ASSET FORFEITURE - STATE TOTAL	**219,659**	**146,969**	**101,500**	**101,500**	**116,300**	**14,800**
ASSET FORFEITURE - FEDERAL (FUND 243)							
4230	Asset Forfeiture	4,356	-	-	-	-	-
4300	Interest Income	55	25	-	-	400	400
	ASSET FORFEITURE - FEDERAL TOTAL	**4,411**	**25**	**-**	**-**	**400**	**400**
TRAFFIC SAFETY/POLICE GRANTS (FUND 244)							
4500	Federal Grants	7,456	154,557	15,500	113,000	15,000	(98,000)
4505	State Grants	325,060	266,199	229,000	229,000	127,300	(101,700)
4800	Transfer In From General Fund	-	3,143	11,600	11,600	44,400	32,800
	TRAFFIC SAFETY/POLICE GRANTS TOTAL	**332,516**	**423,899**	**256,100**	**353,600**	**186,700**	**(166,900)**
CASP CERTIFICATION & TRAINING (FUND 248)							
4101	BL CASp Fees -SB 1186	15,874	16,043	-	-	-	-
	TOTAL	**15,874**	**16,043**	**-**	**-**	**-**	**-**
CDBG HOUSING REHABILITATION (FUND 251)							
4300	Interest Income	250	259	-	-	-	-
	CDBG HOUSING REHABILITATION TOTAL	**250**	**259**	**-**	**-**	**-**	**-**

SCHEDULE 4 (continued)
Revenues by Fund and Object

Object Code	Object Description	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
CDBG 2000 - OAK COURT (FUND 253)							
4300	Interest Income	46,253	-	-	-	-	-
	CDBG 2000 - OAK COURT TOTAL	**46,253**	**-**	**-**	**-**	**-**	**-**
CDBG - HUD ENTITLEMENT (FUND 257)							
4500	Federal Grants	284,900	296,982	284,200	284,200	300,100	15,900
	CDBG - HUD ENTITLEMENT TOTAL	**284,900**	**296,982**	**284,200**	**284,200**	**300,100**	**15,900**
CDBG - COVID-19 CARES ACT (FUND 258)							
4500	Federal Grants	-	57,477	229,300	405,800	-	(405,800)
	TOTAL	**-**	**57,477**	**229,300**	**405,800**	**-**	**(405,800)**
TREASURY- DOF- CARES (FUND 259)							
4500	Federal Grants	759,311	-	-	-	-	-
	TOTAL	**759,311**	**-**	**-**	**-**	**-**	**-**
AMERICAN RESCUE PLAN ACT (FUND 266)							
4500	Federal Grants	-	200,295	-	3,061,000	500,000	(2,561,000)
	TOTAL	**-**	**200,295**	**-**	**3,061,000**	**500,000**	**(2,561,000)**
LOW & MODERATE INCOME HOUSING ASSET (FUND 270)							
4225	Other Fines/Forfeiture/Penalties	-	14,974	-	-	-	-
4300	Interest Income	195,548	228,529	9,900	9,900	190,200	180,300
	LOW & MOD INCOME HOUSING TOTAL	**195,548**	**243,503**	**9,900**	**9,900**	**190,200**	**180,300**
GRANTS/OTHER REIMBURSABLES (FUND 275)							
4500	Federal Grants	-	-	-	92,600	-	(92,600)
4505	State Grants	-	-	100,300	498,400	60,300	(438,100)
	GRANTS/OTHER REIMBURSABLES TOTAL	**-**	**-**	**100,300**	**591,000**	**60,300**	**(530,700)**
PARK DEVELOPMENT FEES (FUND 300)							
4505	State Grants	-	-	43,000	43,000	-	(43,000)
4174	Park Development Fees	438,870	110,103	703,100	703,100	801,900	98,800
4435	Miscellaneous Revenues	950	-	-	-	-	-
4300	Interest Income	4,393	2,021	2,200	2,200	33,900	31,700
	PARK DEVELOPMENT FEES TOTAL	**444,214**	**112,123**	**748,300**	**748,300**	**835,800**	**87,500**
COMMUNITY PARK FEES (FUND 301)							
4174	Park Development Fees	293,626	112,646	186,500	186,500	677,900	491,400
4435	Miscellaneous Revenues	300	-	-	-	-	-
4300	Interest Income	-	-	-	-	3,100	3,100
	COMMUNITY PARK FEES TOTAL	**293,926**	**112,646**	**186,500**	**186,500**	**681,000**	**494,500**

SCHEDULE 4 (continued)
Revenues by Fund and Object

Object Code	Object Description	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
TRAFFIC CIRCULATION IMPACT FEES (FUND 302)							
4514	Reimbursement Revenue	-	502,511	502,500	502,500	502,500	-
4176	Traffic Impact Fees	2,742,616	2,014,806	2,414,200	2,414,200	1,215,000	(1,199,200)
4820	Transfer In From CIP	222,741	-	-	-	-	-
4300	Interest Income	118,788	67,242	72,000	72,000	443,400	371,400
	TRAFFIC CIRCULATION IMPACT FEE TOTAL	**3,084,145**	**2,584,560**	**2,988,700**	**2,988,700**	**2,160,900**	**(827,800)**
CAPITAL CONSTRUCTION FEES (FUND 304)							
4178	Capital Construction Fees	2,887,626	1,752,919	1,946,800	1,946,800	703,200	(1,243,600)
4810	Transfer In From Spec Revenue	-	-	-	-	500,000	500,000
4300	Interest Income	24,230	12,332	11,500	11,500	142,600	131,100
	CAPITAL CONSTRUCTION FEES TOTAL	**2,911,856**	**1,765,251**	**1,958,300**	**1,958,300**	**1,345,800**	**(612,500)**
OAK TREE MITIGATION FEES (FUND 305)							
4184	Oak Tree Mitigation Fees	219,613	63,666	250,000	250,000	44,000	(206,000)
4435	Miscellaneous Revenues	1,550	-	-	-	-	-
4300	Interest Income	6,144	1,643	1,800	1,800	-	(1,800)
	OAK TREE MITIGATION FEES TOTAL	**227,307**	**65,309**	**251,800**	**251,800**	**44,000**	**(207,800)**
WHITNEY RANCH TRUNK SEWER PROJECT (FUND 306)							
4195	Whitney Rnch Trunk Sew Prj Fees	77,184	113,753	93,000	93,000	33,800	(59,200)
4800	Transfer In From General Fund	-	-	-	1,098,000	-	(1,098,000)
4300	Interest Income	3,532	1,396	300	300	10,700	10,400
	WHITNEY RANCH TRUNK SEWER PROJECT TOTAL	**80,716**	**115,149**	**93,300**	**1,191,300**	**44,500**	**(1,146,800)**
NORTH WEST ROCKLIN COMMUNITY PARK FEES (FUND 307)							
4196	Whitney Ranch- NWR Park Fees	42,336	44,178	470,500	470,500	419,400	(51,100)
4300	Interest Income	6,167	2,307	3,600	3,600	7,100	3,500
	NW ROCKLIN COMMUNITY PARK FEES TOTAL	**48,503**	**46,485**	**474,100**	**474,100**	**426,500**	**(47,600)**
PUBLIC FACILITIES IMPACT FEES (FUND 308)							
4190	Public Facilities Impact Fees	353,806	349,530	216,300	216,300	23,400	(192,900)
4300	Interest Income	3,687	2,078	2,600	2,600	37,600	35,000
	PUBLIC FACILITIES IMPACT FEES TOTAL	**357,493**	**351,608**	**218,900**	**218,900**	**61,000**	**(157,900)**
COMMUNITY FACILITIES DISTRICT NO. 10 (FUND 318)							
4999	Proceeds From Bond Issue	-	13,000,000	-	-	-	-
4300	Interest Income	1,747	-	-	-	-	-
	COMMUNITY FACILITIES DISTRICT NO. 10 TOTAL	**1,747**	**13,000,000**	**-**	**-**	**-**	**-**
TRAILS IMPACT FEES (FUND 321)							
4174	Park Development Fees	-	-	-	-	52,900	52,900
4300	Interest Income	-	-	-	-	700	700
	TRAILS IMPACT FEES TOTAL	**-**	**-**	**-**	**-**	**53,600**	**53,600**

SCHEDULE 4 (continued)
Revenues by Fund and Object

Object Code	Object Description	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
WHITNEY RANCH INTERCHANGE FEES (FUND 325)							
4198	Whitney Ranch Interchange	179,136	212,372	190,800	190,800	74,900	(115,900)
4820	Transfer In From CIP	-	-	-	-	-	-
4300	Interest Income	8,538	3,229	4,400	4,400	41,300	36,900
	WHITNEY RANCH INTERCHANGE FEES TOTAL	**187,674**	**215,601**	**195,200**	**195,200**	**116,200**	**(79,000)**
COMMUNITY RECREATION CENTER FACILITY IMPACT FEES (FUND 328)							
4190	Public Facilities Impact Fees	-	-	-	-	54,700	54,700
4300	Interest Income	-	-	-	-	700	700
	COMM REC CENTER FACILITY IMPACT FEES TOTAL	**-**	**-**	**-**	**-**	**55,400**	**55,400**
CAPITAL CONSTRUCTION - DEBT SERVICE (FUND 400)							
4820	Transfer In From CIP	531,714	530,918	532,400	532,400	532,800	400
4300	Interest Income	15	8	-	-	-	-
	CAPITAL CONSTRUCTION - DEBT SERVICE TOTAL	**531,728**	**530,926**	**532,400**	**532,400**	**532,800**	**400**
VEHICLE FLEET MANAGEMENT (FUND 500)							
4514	Reimbursement Revenue	241,030	128,166	-	-	-	-
4435	Miscellaneous Revenues	27,537	26,598	-	-	-	-
4900	Fleet Internal Service Revenue	2,104,084	2,381,526	2,853,500	2,853,500	3,363,400	509,900
4901	Contributed Capital	161,938	1,770,472	-	-	-	-
4800	Transfer In From General Fund	-	-	-	898,000	-	(898,000)
4300	Interest Income	21,999	10,135	17,600	17,600	118,800	101,200
4420	Sale of Surplus Assets	2,300	-	-	-	-	-
	VEHICLE FLEET MANAGEMENT TOTAL	**2,558,887**	**4,316,897**	**2,871,100**	**3,769,100**	**3,482,200**	**(286,900)**
RISK MANAGEMENT (FUND 525)							
4188	Insurance Claim Revenue	4,051	7,165	-	-	-	-
4735	COBRA Revenue	3,772	274	-	-	-	-
4710	Dental Benefits Revenue	330,726	346,331	324,300	324,300	325,800	1,500
4715	Vision Benefits Revenue	42,762	44,855	27,500	27,500	29,300	1,800
4718	Workers' Compensation Insurance	1,390,732	2,001,331	1,655,500	1,655,500	1,821,800	166,300
4719	General Liability Insurance	996,192	1,093,704	1,256,600	1,256,600	1,859,100	602,500
4721	Unemployment Insurance Allocation	-	-	40,000	40,000	40,000	-
4300	Interest Income	20,480	5,991	11,000	11,000	99,600	88,600
	RISK MANAGEMENT TOTAL	**2,788,716**	**3,499,652**	**3,314,900**	**3,314,900**	**4,175,600**	**860,700**
BOROSKI LANDFILL MONITORING (FUND 727)							
4800	Transfer In From General Fund	-	62,578	28,100	28,100	28,100	-
	BOROSKI LANDFILL MONITORING TOTAL	**-**	**62,578**	**28,100**	**28,100**	**28,100**	**-**

SCHEDULE 4 (continued)
Revenues by Fund and Object

Object Code	Object Description	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
WETLANDS MAINTENANCE PARCEL 34 (FUND 728)							
4300	Interest Income	1,634	1,465	1,600	1,600	2,600	1,000
4310	GASB No. 31 FMV Adjustment	1,400	(10,450)	-	-	-	-
	WETLANDS MAINTENANCE PARCEL 34 TOTAL	**3,034**	**(8,985)**	**1,600**	**1,600**	**2,600**	**1,000**
CONS. EASEMENT ENDOWMENT (FUND 729)							
4300	Interest Income	3,156	1,134	1,200	1,200	11,500	10,300
	CONS. EASEMENT ENDOWMENT TOTAL	**3,156**	**1,134**	**1,200**	**1,200**	**11,500**	**10,300**
SUPPLEMENTAL LAW ENFORCEMENT SERVICES AB 3229 (FUND 736)							
4505	State Grants	166,830	173,673	183,800	183,800	189,300	5,500
	AB 3229 TOTAL	**166,830**	**173,673**	**183,800**	**183,800**	**189,300**	**5,500**
	GRAND TOTAL	**$ 99,960,463**	**$116,770,819**	**$104,239,400**	**$112,018,400**	**$115,000,000**	**$ 2,981,600**

SCHEDULE 5
Staffing (in Full-Time Equivalent) by Department

DEPARTMENT/OFFICE	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
City Council	5.00	5.00	5.00	5.00	5.00	-
City Manager	3.47	4.47	4.47	4.47	5.50	1.03
City Attorney	2.00	3.00	3.00	3.00	3.00	-
City Clerk	2.00	2.00	2.00	2.00	2.00	-
Administrative Services	-	17.00	16.00	16.00	27.00	11.00
Community Development	24.40	25.00	25.00	25.00	28.00	3.00
Finance	11.00	-	-	-	-	-
Fire	41.00	43.50	43.50	43.50	44.50	1.00
Human Resources	5.00	-	-	-	-	-
Information Technology	8.00	8.00	9.00	10.00	-	(10.00)
Parks and Recreation	22.12	20.82	20.94	21.94	22.50	0.56
Police	88.94	90.44	92.44	92.44	94.50	2.06
Public Services	40.30	39.00	40.00	40.00	38.00	(2.00)
TOTAL	**253.23**	**258.23**	**261.35**	**263.35**	**270.00**	**6.65**

In addition to the new positions proposed in the budget, Schedule 5 reflects the position moves as a result of the following department re-organizations, effective July 1, 2023:

- The Engineering Division in the Public Services Department was consolidated into the Community Development Department.
- The Housing Division was consolidated into the City Manager's Office.
- The Information Technology (IT) Division was consolidated into the Administrative Services Department.

SCHEDULE 6
Capital Projects by Fund

FUND/PROJECT	Appropriations Thru 2/2023	Approved FY2023/24	Projection FY2024/25	Projection FY2025/26	Projection FY2026/27	Projection FY2027/28	Total
General Fund (Fund 100)							
Breen Park Play Structure Replacement	-	-	-	-	212,800	-	212,800
City Hall Office Space Study and Remodel	290,000	210,000	-	-	-	-	500,000
Fire Station 24 Security	103,500	-	-	-	-	-	103,500
Fire Station 25 Privacy	322,500	-	-	-	-	-	322,500
Fire Station 25 Security	100,600	-	-	-	-	-	100,600
Granite Drive Median	-	-	145,000	-	-	-	145,000
Mansion Oaks Park Play Structure Replacement	-	-	-	-	-	207,000	207,000
Memorial Park Play Structure Replacement	-	-	92,000	-	-	-	92,000
Sunset Whitney Recreation Area Improvements	764,800	1,000,000	-	-	-	-	1,764,800
Sunset Whitney Recreation Area Restrooms	230,000	-	-	-	-	-	230,000
Wesley Park Play Structure Replacement	-	-	-	212,800	-	-	212,800
Subtotal General Fund	**1,811,400**	**1,210,000**	**237,000**	**212,800**	**212,800**	**207,000**	**3,891,000**
Gas Tax (Fund 201)	-						
Annual Road Maintenance Program	405,000	-	405,000	405,000	405,000	405,000	2,025,000
Subtotal Gas Tax	**405,000**	**-**	**405,000**	**405,000**	**405,000**	**405,000**	**2,025,000**
SB 1 Road Maintenance & Rehabilitation (Fund 205)	-						
Annual Road Maintenance Program	-	-	500,000	500,000	500,000	500,000	2,000,000
Mission Hills Reconstruction - Phase IV	-	702,800	-	-	-	-	702,800
Subtotal SB 1	**-**	**702,800**	**500,000**	**500,000**	**500,000**	**500,000**	**2,702,800**
SB 325 - Sales Tax (Fund 210)	-						
ADA Transition Program - Non-HUD Areas	208,800	249,500	300,000	300,000	300,000	300,000	1,658,300
Aguilar Road Improvements	655,000	300,000	1,165,000	-	-	-	2,120,000
Annual Road Maintenance Program	456,700	805,000	400,000	400,000	400,000	400,000	2,861,700
Blue Oaks Pedestrian Crossing	27,800	333,000	-	-	-	-	360,800
Five Star Blvd. and Destiny Drive Reconstruction	-	1,315,000	-	-	-	-	1,315,000
Granite Drive Median	-	-	-	856,300	-	-	856,300
Pavement Rehabilitation	-	150,000	-	-	-	-	150,000
Placer County Water Agency Roadway Improv.	-	300,000	-	-	-	-	300,000
Sierra College Blvd. Roadway Rehabilitation	-	1,768,600	2,288,600	2,252,600	-	-	6,309,800
Stormwater Pipe Replacement Program	460,000	632,500	632,500	632,500	632,500	632,500	3,622,500
Subtotal SB 325 - Sales Tax	**1,808,300**	**5,853,600**	**4,786,100**	**4,441,400**	**1,332,500**	**1,332,500**	**19,554,400**
Bicycle and Pedestrian (Fund 212)	-						
Aguilar Road Improvements	-	100,000	-	-	-	-	100,000
Subtotal Bicycle and Pedestrian	**-**	**100,000**	**-**	**-**	**-**	**-**	**100,000**
Community Facilities District No. 5 (Fund 232)	-						
Night Ridge Park Play Structure Replacement	-	-	212,800	-	-	-	212,800
Ruhkala Park Play Structure Replacement	-	-	-	-	212,800	-	212,800
Subtotal Community Facilities District #5	**-**	**-**	**212,800**	**-**	**212,800**	**-**	**425,600**
Streets Grants (Fund 240)	-						
Citywide Roadway Resurfacing	-	-	-	2,350,000	-	-	2,350,000
Granite Drive Median	-	-	-	5,000	-	-	5,000
I-80 Westbound Auxiliary Lane	600,000	275,000	-	-	525,000	-	1,400,000
Pavement Rehabilitation	124,500	1,750,500	1,226,900	-	-	-	3,101,900
Rocklin Road/Sierra College Corridor Multimodal	2,014,200	3,100,000	5,100,000	-	-	-	10,214,200
Sierra College Blvd. (Schriber to Dominguez)	-	345,000	-	-	-	-	345,000
Subtotal Streets Grants	**2,738,700**	**5,470,500**	**6,326,900**	**2,355,000**	**525,000**	**-**	**17,416,100**

*The Annual Road Maintenance programs included in the CIP are reflected as an operating expense in Schedule 2.

SCHEDULE 6 (continued)
Capital Projects by Fund

	Appropriations Thru 2/2023	Approved FY2023/24	Projection FY2024/25	Projection FY2025/26	Projection FY2026/27	Projection FY2027/28	Total
FUND/PROJECT (continued)							
CDBG - HUD Entitlement (Fund 257)							
ADA Transition Program - HUD Specific Areas	185,200	185,200	200,000	200,000	200,000	200,000	1,170,400
Subtotal CDBG - HUD Entitlement	**185,200**	**185,200**	**200,000**	**200,000**	**200,000**	**200,000**	**1,170,400**
Traffic Circulation Impact Fees (Fund 302)							
Monument Springs Bridge	-	1,500,000	-	-	-	-	1,500,000
Rocklin Road/Sierra College Corridor Multimodal	-	-	8,750,000	-	-	-	8,750,000
Sierra College Blvd. (Schriber to Dominguez)	54,200	-	-	-	-	-	54,200
Subtotal Traffic Circulation Impact Fees	**54,200**	**1,500,000**	**8,750,000**	**-**	**-**	**-**	**10,304,200**
Capital Construction Impact Fees (Fund 304)							
Police Department HVAC and Roof Replacement	-	1,275,000	-	-	-	-	1,275,000
Reserve Apparatus Storage	136,300	23,700	-	-	-	-	160,000
Subtotal Capital Construction Impact Fees	**136,300**	**1,298,700**	**-**	**-**	**-**	**-**	**1,435,000**
North West Rocklin Community Park Fee (Fund 307)							
Bike Pump Track	920,000	-	-	-	-	-	920,000
Subtotal NW Rocklin Community Park Fee	**920,000**	**-**	**-**	**-**	**-**	**-**	**920,000**
TOTAL	**$ 16,320,800**	**$ 16,320,800**	**$ 22,567,800**	**$ 23,114,200**	**$ 8,388,100**	**$ 2,644,500**	**$ 81,094,500**

*The Annual Road Maintenance programs included in the CIP are reflected as an operating expense in Schedule 2.

SCHEDULE 7A
Fleet Replacement by Unit and Fund

Replacement Unit (Unit No.)	Department	General Fund (100)	CFD No. 5 (232)	Gas Tax (210)	LLMD No. 2 (235)	Fleet Fund (500)	Total
FLEET EQUIPMENT							
Code Enforcement Vehicle (4203)	Community Development	28,400	-	-	-	9,600	38,000
Fire Prevention Vehicle (New)	Fire	83,000	-	-	-	-	83,000
Parks Irrigation Technician Truck (6416)	Parks & Recreation	16,000	16,100	-	-	17,900	50,000
Parks Maintenance Worker Truck (6407)	Parks & Recreation	16,900	16,900	-	-	16,200	50,000
Parks Truck and Chipper (New)	Parks & Recreation	60,000	60,000	-	-	-	120,000
Police Crime Scene Investigation Van (5472)	Police	137,500	-	-	-	12,500	150,000
Police Marked Patrol Units (2 New)	Police	180,000	-	-	-	-	180,000
Police Marked patrol Units (5248,5249,5250,5260,5261)	Police	294,500	-	-	-	65,500	360,000
Police Unmarked Vehicles (5152, 5155, 5164)	Police	122,600	-	-	-	12,400	135,000
Police Volunteer Vehicle (5208)	Police	27,200	-	-	-	10,300	37,500
Landscape Maintenance Worker Truck (9402)	Public Services	-	14,100	7,100	14,100	14,700	50,000
Public Services Supervisor Truck (9202)	Public Services	-	11,300	5,600	11,200	9,400	37,500
	TOTAL	**$ 966,100**	**$ 118,400**	**$ 12,700**	**$ 25,300**	**$ 168,500**	**$ 1,291,000**

SCHEDULE 7B
Other Equipment by Funding Source

	Budget FY2023/24	Projection FY2024/25	Projection FY2025/26	Projection FY2026/27	Projection FY2027/28	Total
FUND/EQUIPMENT						
General Fund (Fund 100)						
Fire Station Alerting (Equipment)	275,000	-	-	-	-	275,000
Subtotal General Fund	**275,000**	**-**	**-**	**-**	**-**	**275,000**
Total Equipment	**$ 275,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 275,000**

SCHEDULE 8
General Fund Reserve

	Commitments Through FY2022/23	Budget FY2023/24	Ending Balance
COMMITTED RESERVE			
Assigned Reserve			
Future Fire Station	4,500,000	-	4,500,000
Purchase of Dual Band Radios	350,000	-	350,000
Quarry District Property Acquisition	1,496,300	-	1,496,300
Section 115 Trust for Pension	1,339,300	-	1,339,300
SWRA Building Demolition and Improvements	1,250,000	(1,000,000)	250,000
Zoning Code Update	500,000	-	500,000
Total Assigned Reserve	**9,435,600**	**(1,000,000)**	**8,435,600**
Building Repair Allocation [1]	1,189,000	(1,189,000)	-
Operating Reserve (25% of Operations)	14,938,300	788,100	15,726,400
Park Infrastructure Reserve [2]	424,100	(424,100)	-
TOTAL	**$ 25,987,000**	**$ (1,825,000)**	**$ 24,162,000**

[1] The Building Reserve Fund (180) was established to account for and administer the fund. Funds are being transferred to Fund 180 as part of the FY 2023/24 budget.

[2] The Park Infrastructure Reserve Fund (170) was established to account for and administer the fund. Funds are being transferred to Fund 170 as part of the FY 2023/24 budget.

SCHEDULE 9A
GANN Appropriations Limit
Citywide

Article XIIIB of the California Constitution restricts California governmental agencies to the amount of annual appropriations from proceeds of taxes. This initiative is commonly known as the GANN Spending Limitations Initiative. The appropriations limit is required to be calculated based on the limit for the fiscal year 1986/87, adjusted for the inflation and population factors. Only those revenues that are considered proceeds of taxes are subject to the limit. These calculations are based on the California Department of Finance estimate of the percentage change in per capita cost of living and the percentage change in population. The City is in compliance with Article XIIIB for each of the fiscal years as shown below.

Appropriations Year	Price Factor		Population Factor		Calculation Factor	Prior Year Limit	Current Year Limit
2017/18	1.0369		1.0456		1.0842	39,083,338	42,374,155
2018/19	1.0367		1.0345		1.0725	42,374,155	45,446,281
2019/20	1.0385		1.0314		1.0711	45,446,281	48,677,512
2020/21	1.0373		1.0130		1.0508	48,677,512	51,150,329
2021/22	1.0573		1.0279		1.0868	51,150,329	55,590,178
2022/23	1.0755		1.0047		1.0806	55,590,178	60,070,746
2023/24	1.0444	a	1.0021	b	1.0466	60,070,746	62,870,043

FY 2023/24 calculation is based on the State Department of Finance (DOF) estimate of the percent change in per capita cost of living (a - Price Factor) and the percentage change in population (b - Population Factor) of local governments (California Revenue and Taxation Code, Section 2227). FY 2022/23 calulation was revised based on the DOF Price Factor and Population memo, dated May 2023.

Appropriation Limit Supporting Calculations

Description	City	County
Revised 2022/23 Population Factor:		
Revised 1/1/22 DOF population	71,655	409,441
Revised 1/1/21 DOF population	71,644	407,517
Revised Population Factor	**1.000**	**1.0047**
Percent Increase	**0.02**	**0.47**
2023/24 Population Factor:		
Base percent	100.00	100.00
Increase (decrease) percent	(0.66)	0.21
Total percent	**99.34**	**100.21**
Population Factor (divided by 100)	**0.9934**	**1.0021**

SCHEDULE 9B
GANN Appropriations Limit
Special Districts

Appropriations Year	Price Factor		Population Ratio		Calculation Factor	CFD No. 1 Current Year Limit	CFD No. 5 Current Year Limit	CFD No. 6 Current Year Limit
2017/18	1.0369		1.0456		1.0842	7,432,868	45,057,406	5,571,278
2018/19	1.0367		1.0345		1.0725	7,971,751	48,324,068	5,975,196
2019/20	1.0385		1.0314		1.0711	8,538,542	51,759,909	6,400,032
2020/21	1.0373		1.0130		1.0508	8,972,300	54,389,313	6,725,154
2021/22	1.0573		1.0279		1.0868	9,751,096	59,110,305	7,308,898
2022/23	1.0755		1.0047		1.0806	10,537,034	63,874,596	7,897,995
2023/24	1.0444	a	1.0021	b	1.0466	11,028,060	66,851,152	8,266,041

FY 2023/24 calculation is based on the State Department of Finance (DOF) estimate of the percent change in per capita cost of living (a - Price Factor) and the percentage change in population (b - Population Factor) of local governments (California Revenue and Taxation Code, Section 2227). FY 2022/23 calulation was revised based on the DOF Price Factor and Population memo, dated May 2023.

Appropriation Limit Supporting Calculations

Description	City	County
Revised 2022/23 Population Factor:		
Revised 1/1/22 DOF population	71,655	409,441
Revised 1/1/21 DOF population	71,644	407,517
Revised Population Factor	**1.000**	**1.0047**
Percent Increase	**0.02**	**0.47**
2023/24 Population Factor:		
Base percent	100.00	100.00
Increase (decrease) percent	(0.66)	0.21
Total percent	**99.34**	**100.21**
Population Factor (divided by 100)	**0.9934**	**1.0021**

SECTION 4: DEPARTMENTS

OVERVIEW OF SERVICES

The City Council is the governing body of the Rocklin Municipal Government. The City Council adopts ordinances and resolutions, appoints the City Manager, City Attorney, City Clerk, and City Treasurer, and reviews and approves the major policies, programs, and the annual budget. The City Council consists of five members elected at-large alternately at the general election. The Mayor and Vice Mayor are appointed by the City Council each November for a one-year term. The City Council also serves as the governing board for the Rocklin Public Financing Authority.

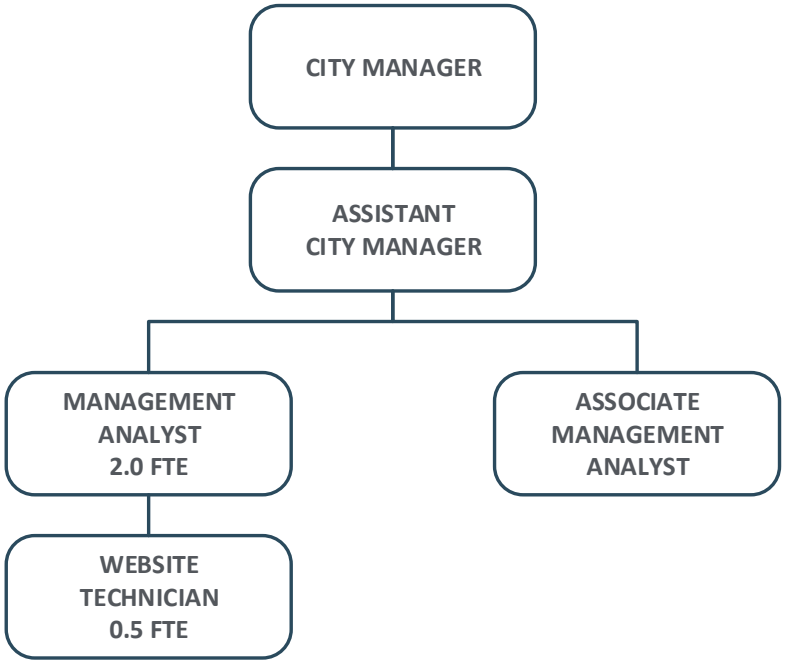
SIGNIFICANT CHANGES FROM THE PRIOR BUDGET

- None.

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
Mayor	1.00	1.00	1.00	1.00	1.00	-
Vice Mayor	1.00	1.00	1.00	1.00	1.00	-
Council Member	3.00	3.00	3.00	3.00	3.00	-
TOTAL	**5.00**	**5.00**	**5.00**	**5.00**	**5.00**	**-**
FUNDING SOURCES						
General Fund	44,008	89,291	97,800	97,800	99,400	1,600
TOTAL	**44,008**	**89,291**	**97,800**	**97,800**	**99,400**	**1,600**
Department Revenues	-	-	-	-	-	-
Net Resources/(Uses)	**$ (44,008)**	**$ (89,291)**	**$ (97,800)**	**$ (97,800)**	**$ (99,400)**	**$ (1,600)**
BUDGET SUMMARY						
Staffing						
Salaries & Benefits	43,197	44,124	44,000	44,000	44,000	-
Subtotal Staffing	**43,197**	**44,124**	**44,000**	**44,000**	**44,000**	**-**
Operating Expenses						
Licenses and Memberships	100	22,012	23,000	23,000	23,000	-
Supplies	386	2,776	3,600	3,600	1,000	(2,600)
Travel/Training/Meals	325	20,378	27,200	27,200	31,400	4,200
Subtotal Operating Expenses	**811**	**45,167**	**53,800**	**53,800**	**55,400**	**1,600**
Capital Outlay	-	-	-	-	-	-
TOTAL	**$ 44,008**	**$ 89,291**	**$ 97,800**	**$ 97,800**	**$ 99,400**	**$ 1,600**

OVERVIEW OF SERVICES

The City Manager is appointed by the City Council and is responsible for implementing and carrying out the policies of the City Council. As the chief executive officer of the City, the City Manager supervises operations, directs departments and services, and enforces the laws and policies as adopted by the City Council.



The City Manager's Office coordinates: Administration; Economic Development; Legislative Advocacy; Housing; and Public Affairs.

The office of the City Manager is the primary contact for providing current information to the public, the media, and City employees.

ECONOMIC DEVELOPMENT DIVISION

The Economic Development Division is responsible for implementing, coordinating and monitoring policies and programs to improve the City's business climate. This division works to keep Rocklin prosperous by acting on two overarching approaches to economic vitalization – 1) strengthening what the City already has, and 2) pursuing what the City needs to achieve a strong, diversified local economy.

HOUSING DIVISION

The Housing Division coordinates initiatives to address homelessness, administers the City's affordable housing programs, and oversees annual funding received from the U.S. Department of Housing and Urban Development in the form of Community Development Block Grants (CDBG).

ACCOMPLISHMENTS

- Released more than $2.75 million in American Rescue Plan Act (ARPA) funding for Community Support programs, with an emphasis on supporting youth, mental health, small businesses, and nonprofit organizations.
- Created an Economic Development website that provides tools and resources for new and existing businesses.
- Conducted a community-wide, statistically valid survey that gauged Rocklin resident satisfaction and provided feedback on City operations.
- Facilitated a City Council workshop that resulted in updated Strategic Plan goals for the next two years.
- Launched the Rocklin Arts Commission, whose purpose is to act in an advisory capacity to the City Council in matters pertaining to the implementation of the Public Art Master Plan.

GOALS AND OBJECTIVES

- Expend all remaining ARPA funding and propose Revenue Loss programming to City Council.
- Continue to implement the Economic Development Strategy by identifying and leveraging the strengths of the City of Rocklin and its public and private partners in order to foster a thriving business environment that is conducive to business growth, attraction, and creation.
- Conduct a professional communications assessment and provide training to key communications staff City-wide for the purpose of increasing public messaging.
- Explore opportunities to improve utility services to Rocklin residents and business owners, and communicate those processes with the community.
- Strengthen interagency relationships (FEMA, Caltrans, CalOES, Army Corps, CDFW, etc.).
- Nurture efforts to establish the Quarry District as a regional downtown destination.
- Establish a small business outreach program with the Community Development Department to assist business owners with planning, permitting, and inspections of new and tenant improvement projects.
- Create and promote events and activities that will attract outside visitors and overnight stays.
- Support the newly created Arts Commission's efforts: (i) to review, update, and implement elements of the 2016 Rocklin Public Art Master Plan; (ii) to advise the City Council on all matters affecting arts and culture of the City; (iii) to encourage programs in the arts and to promote the cultural enrichments of the community; and (iv) to establish an effective liaison between the City, County, and local cultural and artistic groups.
- Complete the Oak & Pine affordable housing project and support efforts to increase the availability of affordable housing.
- Continue to work with regional partners and establish local strategies to address homelessness in the City.

SIGNIFICANT CHANGES FROM THE PRIOR BUDGET

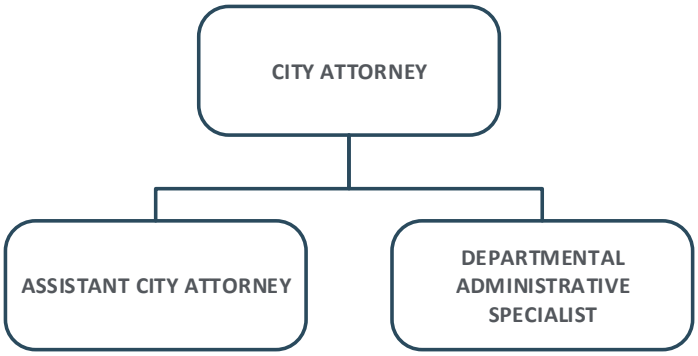
- The Housing Division was consolidated into the City Manager's Office as part of the FY 2023/24 budget. One position (1.0 FTE) and associated budgets were moved from the Community Development Department to the City Manager's Office.
- Converted the vacant Housing Specialist position (1.0 FTE) to a Management Analyst position (1.0 FTE) to administer Housing programs.

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
Assistant City Manager	-	1.00	1.00	1.00	1.00	-
Associate Management Analyst	-	1.00	1.00	1.00	1.00	-
City Manager	1.00	1.00	1.00	1.00	1.00	-
Executive Assistant	1.00	-	-	-	-	-
Management Analyst	1.00	1.00	1.00	1.00	2.00	1.00
Website Technician	0.47	0.47	0.47	0.47	0.50	0.03
TOTAL	**3.47**	**4.47**	**4.47**	**4.47**	**5.50**	**1.03**
DIVISION BUDGET SUMMARY						
City Manager	1,044,804	1,339,754	1,251,000	4,093,700	4,053,700	(40,000)
Housing	-	-	-	-	597,000	597,000
TOTAL	**$ 1,044,804**	**$ 1,339,754**	**$ 1,251,000**	**$ 4,093,700**	**$ 4,650,700**	**$ 557,000**
FUNDING SOURCES						
General Fund	922,586	1,071,578	1,154,900	1,154,900	1,561,900	407,000
American Rescue Plan Act	-	100,000	-	2,839,100	-	(2,839,100)
CDBG - HUD Entitlement	-	-	-	-	45,000	45,000
Economic Development	47,218	70,015	81,100	81,100	95,000	13,900
Low & Moderate Income Housing Asset	-	23,161	-	3,600	2,930,000	2,926,400
Sales Tax SB 325	75,000	75,000	15,000	15,000	18,800	3,800
TOTAL	**1,044,804**	**1,339,754**	**1,251,000**	**4,093,700**	**4,650,700**	**557,000**
Department Revenues	358,801	250,000	-	2,839,100	170,000	(2,669,100)
Net Resources/(Uses)	**$ (686,003)**	**$ (1,089,754)**	**$ (1,251,000)**	**$ (1,254,600)**	**$ (4,480,700)**	**$ (3,226,100)**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
BUDGET SUMMARY						
Staffing						
Salaries & Benefits	667,346	984,410	980,000	980,000	1,209,400	229,400
Subtotal Staffing	**667,346**	**984,410**	**980,000**	**980,000**	**1,209,400**	**229,400**
Professional Services						
Professional Services	147,341	172,659	121,000	727,200	3,148,800	2,421,600
Maintenance Contracts	-	-	-	50,000	-	(50,000)
Subtotal Professional Services	**147,341**	**172,659**	**121,000**	**777,200**	**3,148,800**	**2,371,600**
Operating Expenses						
Advertising	3,370	14,038	19,600	19,600	19,600	-
Communications	-	-	-	-	500	500
Licenses and Memberships	73,062	54,741	73,100	73,100	76,000	2,900
Non-Capital Equipment	1,978	512	-	-	-	-
Other Operating Expenses	662	8,475	45,500	39,500	52,500	13,000
Small Business Support Grant	149,952	100,000	-	2,192,500	-	(2,192,500)
Supplies	557	518	800	800	2,900	2,100
Travel/Training/Meals	535	4,401	11,000	11,000	16,000	5,000
Subtotal Operating Expenses	**230,117**	**182,685**	**150,000**	**2,336,500**	**167,500**	**(2,169,000)**
Other Uses						
Transfer to General Fund	-	-	-	-	125,000	125,000
Subtotal Other Uses	**-**	**-**	**-**	**-**	**125,000**	**125,000**
Capital Outlay	-	-	-	-	-	-
TOTAL	**$ 1,044,804**	**$ 1,339,754**	**$ 1,251,000**	**$ 4,093,700**	**$ 4,650,700**	**$ 557,000**

OVERVIEW OF SERVICES

The City Attorney's Office advises City officials in all legal matters, frames ordinances and resolutions required by the City Council, and performs other legal services required by the City Council.



SIGNIFICANT CHANGES FROM THE PRIOR BUDGET

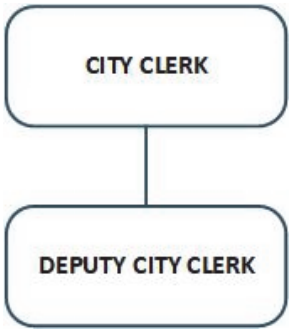
- None

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
Assistant City Attorney	1.00	1.00	1.00	1.00	1.00	-
City Attorney	1.00	1.00	1.00	1.00	1.00	-
Departmental Administrative Specialist	-	1.00	1.00	1.00	1.00	-
TOTAL	**2.00**	**3.00**	**3.00**	**3.00**	**3.00**	**-**
FUNDING SOURCES						
General Fund	875,970	878,160	1,118,400	2,118,400	1,142,400	(976,000)
TOTAL	**875,970**	**878,160**	**1,118,400**	**2,118,400**	**1,142,400**	**(976,000)**
Department Revenues	2	62,580	28,100	28,100	28,100	-
Net Resources/(Uses)	**$ (875,968)**	**$ (815,580)**	**$ (1,090,300)**	**$ (2,090,300)**	**$ (1,114,300)**	**$ 976,000**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
BUDGET SUMMARY						
Staffing						
Salaries & Benefits	605,524	579,848	671,300	671,300	675,300	4,000
Subtotal Staffing	**605,524**	**579,848**	**671,300**	**671,300**	**675,300**	**4,000**
Professional Services						
Professional Services	259,164	223,936	400,000	1,400,000	400,000	(1,000,000)
Maintenance Contracts	-	-	-	-	-	-
Subtotal Professional Services	**259,164**	**223,936**	**400,000**	**1,400,000**	**400,000**	**(1,000,000)**
Operating Expenses						
Licenses and Memberships	6,909	6,390	8,000	8,000	28,000	20,000
Non-Capital Equipment	-	117	700	700	700	-
Supplies	3,223	3,470	5,300	5,300	5,300	-
Travel/Training/Meals	1,150	1,822	5,000	5,000	5,000	-
Subtotal Operating Expenses	**11,282**	**11,799**	**19,000**	**19,000**	**39,000**	**20,000**
Other Uses						
Transfer to General Fund	-	62,578	28,100	28,100	28,100	-
Subtotal Other Uses	**-**	**62,578**	**28,100**	**28,100**	**28,100**	**-**
Capital Outlay	-	-	-	-	-	-
TOTAL	**$ 875,970**	**$ 878,160**	**$ 1,118,400**	**$ 2,118,400**	**$ 1,142,400**	**$ (976,000)**

OVERVIEW OF SERVICES

The City Clerk is the local official who administers democratic processes such as elections, access to City records, and all legislative actions, ensuring transparency to the public. The City Clerk acts as a compliance officer for federal, state, and local statutes including the Political Reform Act, the Brown Act, and the Public Records Act. The City Clerk manages public inquiries and relationships, and arranges ceremonial and official functions.



ACCOMPLISHMENTS

- Conducted the City of Rocklin's City Council 2022 election.
- Successfully implemented, in partnership with the Information Technology Division, the City-wide Granicus Peak Agenda Management System.
- Facilitated the implementation of the Form 700 (conflict of interest statements) e-filing solution for elected, appointed, and designated staff.
- Facilitated the implementation of a campaign disclosures e-filing solution for City Council candidates and elected officials.
- Facilitated the collection of election ballots through the Ballot Drop Box in partnership with the County of Placer's Election Office.
- Conducted community outreach efforts to inform of the roles and responsibilities of the City Clerk's Office and its service to the public.

GOALS & OBJECTIVES

- Upgrade the City's enterprise records management system to a system that is user friendly for both City staff and the public.

SIGNIFICANT CHANGES FROM THE PRIOR YEAR

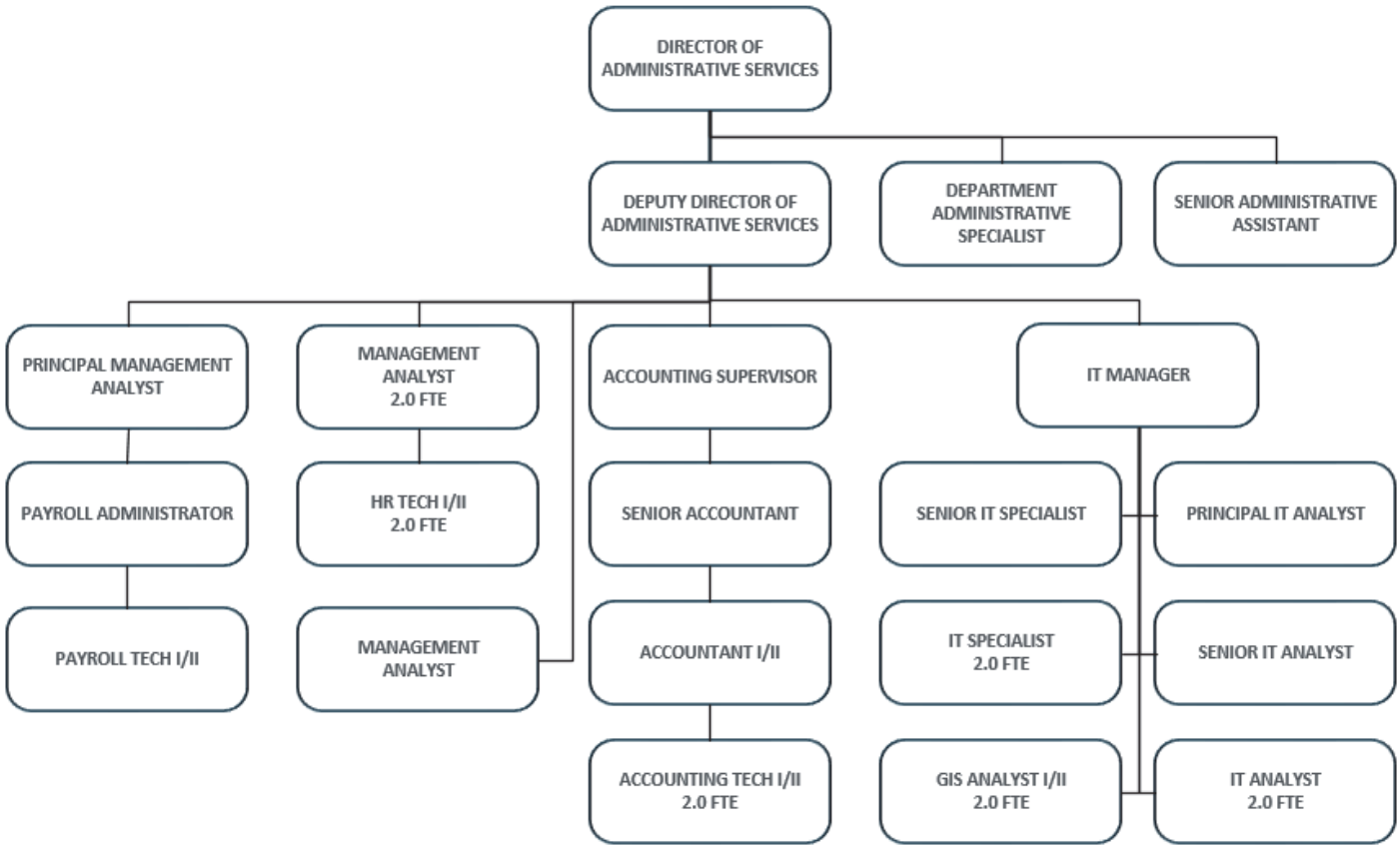
- Operating Expenses reduced primarily due to FY 2023/24 being a non-election year.

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
City Clerk	1.00	1.00	1.00	1.00	1.00	-
Deputy City Clerk	1.00	1.00	1.00	1.00	1.00	-
TOTAL	**2.00**	**2.00**	**2.00**	**2.00**	**2.00**	**-**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
FUNDING SOURCES						
General Fund	322,224	324,053	398,000	398,000	329,000	(69,000)
TOTAL	**322,224**	**324,053**	**398,000**	**398,000**	**329,000**	**(69,000)**
Department Revenues	-	-	-	-	-	-
Net Resources/(Uses)	**$ (322,224)**	**$ (324,053)**	**$ (398,000)**	**$ (398,000)**	**$ (329,000)**	**$ 69,000**
BUDGET SUMMARY						
Staffing						
Salaries & Benefits	245,263	283,441	288,300	288,300	279,900	(8,400)
Subtotal Staffing	**245,263**	**283,441**	**288,300**	**288,300**	**279,900**	**(8,400)**
Professional Services						
Professional Services	134	143	12,000	12,000	16,000	4,000
Subtotal Professional Services	**134**	**143**	**12,000**	**12,000**	**16,000**	**4,000**
Operating Expenses						
Advertising	13,500	26,649	16,200	16,200	20,000	3,800
Elections	57,431	-	60,000	60,000	-	(60,000)
Licenses and Memberships	955	581	900	1,100	1,500	400
Supplies	4,059	5,585	7,000	6,800	6,600	(200)
Travel/Training/Meals	881	7,653	13,600	13,600	5,000	(8,600)
Subtotal Operating Expenses	**76,827**	**40,468**	**97,700**	**97,700**	**33,100**	**(64,600)**
Capital Outlay	-	-	-	-	-	-
TOTAL	**$ 322,224**	**$ 324,053**	**$ 398,000**	**$ 398,000**	**$ 329,000**	**$ (69,000)**

OVERVIEW OF SERVICES

The Administrative Services Department provides financial, human resources, information technology, and payroll support to all City departments.



The department consists of four divisions: Finance, Human Resources, Information Technology, and Payroll.

FINANCE DIVISION

The Finance Division provides services in budgeting, investing and cash management, issuance and management of debt, infrastructure financing, special districts, grants, accounting, accounts payable, and accounts receivable.

HUMAN RESOURCES DIVISION

The Human Resources Division oversees the City's benefits administration, recruitment and selection, classification and compensation, employee relations, labor relations, leaves management, risk management (workers compensation and safety), training and staff development, performance management, and organizational development.

INFORMATION TECHNOLOGY DIVISION

The Information Technology (IT) Division manages and supports the City's technology infrastructure, business and enterprise applications and databases, and information security. IT provides technical support to City departments and Geographic Information System services to City staff and neighboring municipalities. Other services include troubleshooting and issue resolution, systems design, software and hardware specification development, procurement of IT software and equipment, vendor contract and licensing management, project management, and ongoing maintenance and upgrades of existing software and hardware solutions across the City network.

PAYROLL DIVISION

The Payroll Division oversees the City's compensation processes by issuing bi-weekly paychecks for active employees, and monthly paychecks for eligible committee and commission members. The Division is also responsible for maintaining and monitoring internal controls to ensure transactions comply with current labor agreements, payroll policies, and federal and state laws and regulations.

ACCOMPLISHMENTS

- Completed contract negotiations for Rocklin POA, PSM, and Fire bargaining groups.
- Implemented an internal customer interface (intranet) for the department utilizing SharePoint.
- Relaunched a City-wide Service Recognition Program.
- Implemented a Health and Wellness Program.
- Obtained an unmodified "clean" audit opinion on the Annual Comprehensive Financial Report for fiscal year ended June 30, 2022.
- Received the Meritorious Award for FY 2022/23 Operating Budget document from the California Society of Municipal Finance Officers.
- Implemented Governmental Accounting Standards Board Statement No. 87, Leases for the fiscal year ended June 30, 2022.
- Established the City Pooled Investments portfolio; proactively monitored, invested, and reinvested the City's investment portfolios consistent with the City's Investment Policy.
- Issued the Community Facilities District No. 10 Bonds, Series 2022, to support public infrastructure in the Whitney Ranch area.
- Implemented TRAKiT CodeTRAK software to bring Fire Department permitting and inspection processes online. CodeTRAK eliminated inefficient paper-based processes.
- Implemented the Granicus Peak Agenda Management System and improved video streaming service for public meetings.
- Implemented Tyler Brazos E-Citation solution, enabling police officers to issue citations using mobile devices in the field and remain in compliance with state (RIPA) reporting requirements.
- Upgraded the City-wide VDI thin clients to ensure a reliable desktop computer environment and enable video streaming during online meetings from the virtual desktop.
- Strengthened IT security by implementing Duo multifactor authentication, and worked with Crowdstrike Falcon to harden the City network against attacks.

GOALS AND OBJECTIVES

- Upgrade of the Tyler Munis Enterprise Resource Management system, including the Tyler Content Management system City-wide.
- Obtain unmodified audit opinion on the Annual Comprehensive Financial Report.
- Implement a Section 115 Trust for pension prefunding to further strengthen the City's fiscal health and local control.
- Implement a new online recruitment system, which will provide efficiencies and increase automated processes.
- Improve employee onboarding by implementing a comprehensive onboarding program and electronic signatures.
- Develop an IT Strategic Plan to foster transparency, increase operational efficiency, improve staff satisfaction, and to bolster trust.
- Replace the outdated document management and retention system.
- Remodel the City Council Chambers, and upgrade the audio and video system for public meetings.
- Invest in technology that supports economic development efforts.
- Evaluate opportunities to create new sources of revenue to support critical City services.
- Implement a two-year operating budget.
- Update City's Purchasing Code.
- Implement comprehensive workforce development and succession planning programs.

SIGNIFICANT CHANGES FROM THE PRIOR BUDGET

- The IT Division was consolidated into the Administrative Services Department as part of the FY 2023/24 budget, moving all IT positions (10.0 FTE) and associated budgets.
- Added a Department Administrative Specialist position (1.0 FTE) to provide administrative and Citywide procurement support.

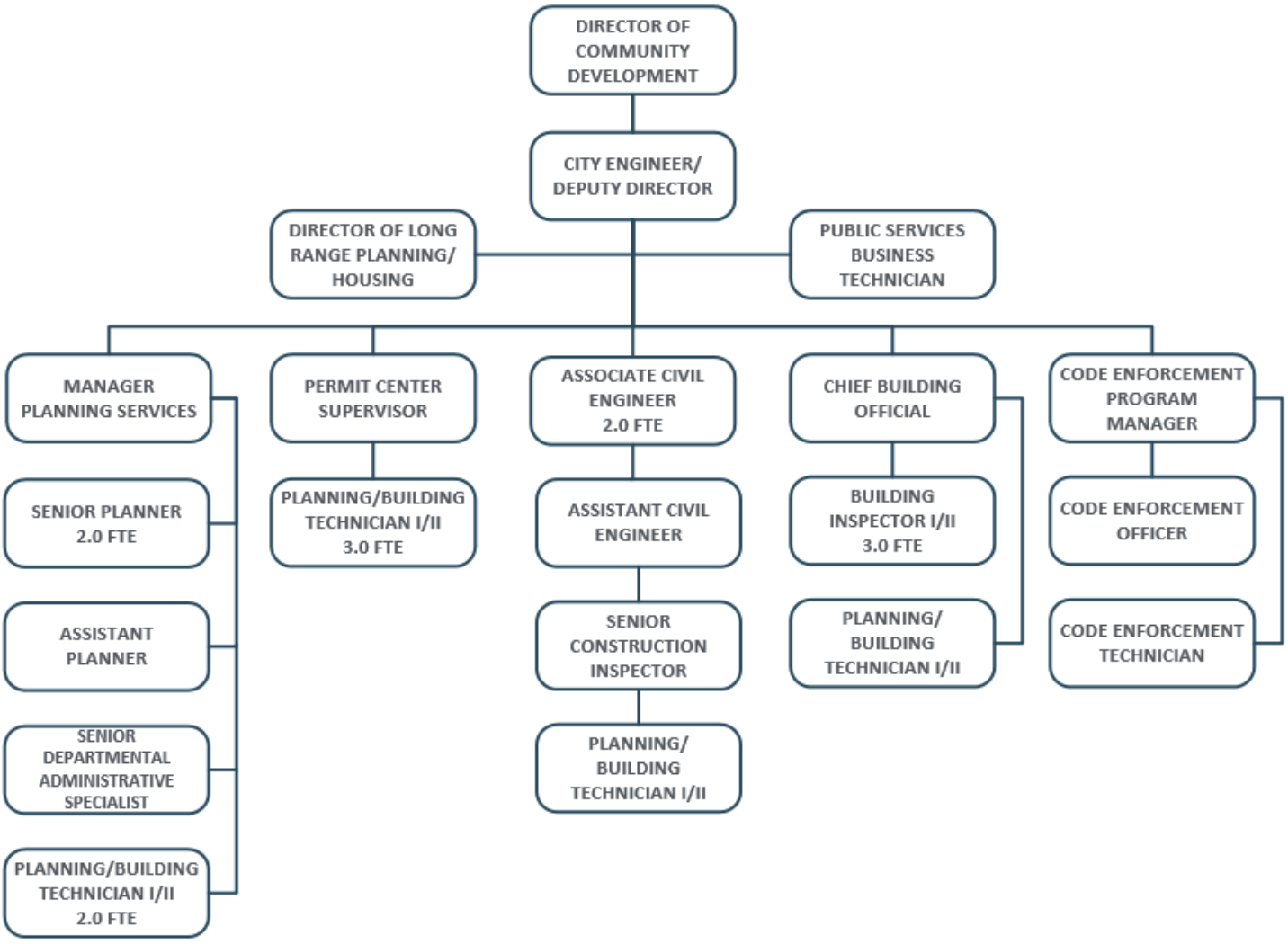
	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
Accountant I/II	-	2.00	1.00	1.00	1.00	-
Accounting Supervisor	-	1.00	1.00	1.00	1.00	-
Accounting Technician I/II	-	2.00	2.00	2.00	2.00	-
Departmental Administrative Specialist	-	-	-	-	1.00	1.00
Deputy Director of Administrative Services	-	1.00	1.00	1.00	1.00	-
Director of Administrative Services	-	1.00	1.00	1.00	1.00	-
GIS Analyst I/II	-	-	-	-	2.00	2.00
Human Resources Technician I/II	-	2.00	2.00	2.00	2.00	-
Information Technology Analyst	-	-	-	-	2.00	2.00
Information Technology Manager	-	-	-	-	1.00	1.00
Information Technology Specialist	-	-	-	-	2.00	2.00
Management Analyst	-	3.00	3.00	3.00	3.00	-

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY (continued)						
Payroll Administrator	-	1.00	1.00	1.00	1.00	-
Payroll Technician I/II	-	1.00	1.00	1.00	1.00	-
Principal Information Technology Analyst	-	-	-	-	1.00	1.00
Principal Management Analyst	-	1.00	1.00	1.00	1.00	-
Senior Accountant	-	1.00	1.00	1.00	1.00	-
Senior Administrative Assistant	-	1.00	1.00	1.00	1.00	-
Senior IT Analyst	-	-	-	-	1.00	1.00
Senior IT Specialist	-	-	-	-	1.00	1.00
TOTAL	**-**	**17.00**	**16.00**	**16.00**	**27.00**	**11.00**
DIVISION BUDGET SUMMARY						
Finance	8,578,370	5,233,802	6,275,700	8,305,700	5,774,300	(2,531,400)
Human Resources	3,269,741	4,303,118	4,160,900	4,160,900	5,527,200	1,366,300
Information Technology	-	-	-	-	4,125,200	4,125,200
TOTAL	**$ 11,848,111**	**$ 9,536,920**	**$ 10,436,600**	**$ 12,466,600**	**$ 15,426,700**	**$ 2,960,100**
FUNDING SOURCES						
General Fund	3,488,183	2,457,011	3,046,100	4,694,700	6,137,100	1,442,400
American Rescue Plan Act	-	-	-	79,900	-	(79,900)
Capital Construction - Debt Service	534,275	530,920	529,500	529,500	532,800	3,300
Capital Construction Fees	531,537	533,168	532,400	532,400	532,800	400
CASp Certification & Training	768	797	-	-	-	-
CDBG - HUD Entitlement	800	3,997	10,000	10,000	10,000	-
CDBG Housing Rehabilitation	800	800	-	-	-	-
CFD No. 6 Open Space Maintenance	4,287	4,308	4,600	4,600	4,600	-
Community Facilities District No. 5	56,046	60,056	64,600	64,600	70,000	5,400
Community Park Fees	68,969	77,084	68,100	68,100	125,500	57,400
Gas Tax	2,457	2,500	-	-	-	-
Lighting Maintenance District No. 1	18,366	14,814	15,400	15,400	15,200	(200)
Low & Moderate Income Housing Asset	64,500	65,448	64,100	64,100	25,000	(39,100)
Oak Tree Mitigation Fees	249,586	249,586	249,600	249,600	-	(249,600)
Park Development Fees	217,936	217,936	218,000	218,000	401,800	183,800
Public Facilities Impact Fees	225	1,395	-	-	-	-
Retirees Health	4,060,677	1,787,519	2,289,700	2,514,200	2,365,000	(149,200)
Risk Management	2,509,014	3,494,077	3,303,900	3,303,900	4,144,000	840,100
Technology Fee	15,819	10,635	15,000	92,000	1,036,100	944,100
Traffic Safety/Police Grants	-	167	-	-	-	-
Landscaping & Lighting Maint. District No. 2	23,866	24,702	25,600	25,600	26,800	1,200
TOTAL	**11,848,111**	**9,536,920**	**10,436,600**	**12,466,600**	**15,426,700**	**2,960,100**
Department Revenues	17,378,885	30,279,465	17,802,700	18,109,600	19,365,200	1,255,600
Net Resources/(Uses)	**$ 5,530,773**	**$ 20,742,545**	**$ 7,366,100**	**$ 5,643,000**	**$ 3,938,500**	**$ (1,704,500)**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
Staffing						
Salaries & Benefits	8,843,755	6,181,268	6,816,700	8,612,800	8,932,700	319,900
Subtotal Staffing	**8,843,755**	**6,181,268**	**6,816,700**	**8,612,800**	**8,932,700**	**319,900**
Professional Services						
Professional Services	363,622	323,979	467,900	524,900	809,600	284,700
Maintenance Contracts	605	666	600	600	887,400	886,800
Subtotal Professional Services	**364,227**	**324,644**	**468,500**	**525,500**	**1,697,000**	**1,171,500**
Operating Expenses						
Communications	-	-	-	-	444,800	444,800
Insurance Premiums	737,571	1,093,704	1,256,600	1,256,600	1,859,100	602,500
Licenses and Memberships	5,943	4,456	8,200	8,200	8,400	200
Non-Capital Equipment	7,079	3,408	1,000	1,000	421,500	420,500
Other Operating Expenses	15,708	11,536	13,500	13,500	16,500	3,000
Placer County Collection Fee	86,665	91,580	97,300	97,300	103,900	6,600
Property Damage Expenditure	55,706	71,975	-	-	68,000	68,000
Recruitments	26,789	37,548	39,000	57,000	39,000	(18,000)
Rental/Lease	-	-	-	-	69,500	69,500
Supplies	29,935	31,612	35,000	35,000	82,600	47,600
Travel/Training/Meals	4,201	14,248	24,500	26,500	52,900	26,400
Uniforms	-	196	-	-	-	-
Vehicle O&M/Fuel	-	-	-	-	1,200	1,200
Subtotal Operating Expenses	**969,597**	**1,360,263**	**1,475,100**	**1,495,100**	**3,167,400**	**1,672,300**
Other Uses						
Transfer to Debt Service	531,537	530,918	532,400	532,400	532,800	400
Transfer to General Fund	64,500	68,645	73,100	153,000	34,000	(119,000)
Transfer to Technology Fee	7,304	7,240	5,600	82,600	5,600	(77,000)
Subtotal Other Uses	**603,341**	**606,803**	**611,100**	**768,000**	**572,400**	**(195,600)**
Debt Service/Depreciation	**1,067,191**	**1,063,941**	**1,065,200**	**1,065,200**	**1,057,200**	**(8,000)**
Capital Outlay	-	-	-	-	-	-
TOTAL	**$11,848,111**	**$ 9,536,920**	**$10,436,600**	**$12,466,600**	**$15,426,700**	**$ 2,960,100**

OVERVIEW OF SERVICES

The Community Development Department oversees the responsible and sustainable growth of the City while ensuring safety and quality of life.



The department consists of five divisions: Building Services, Code Enforcement, Engineering Services, Permit Center, and Planning Services.

BUILDING SERVICES DIVISION

The Building Services Division is responsible for building plan reviews, building inspections, and building reports and statistics. The division ensures the safety, sustainability, and accessibility of the buildings and facilities being modified in the City.

CODE ENFORCEMENT DIVISION

The Code Enforcement Division provides quality customer service and is responsive to citizen complaints that are potential violations of the Rocklin Municipal Code and California Health and Safety Codes. The division upholds and enforces codes and standards established to protect the public health, safety, and welfare of the City's citizens and maintains or improves quality of life and property values within our community.

ENGINEERING SERVICES DIVISION

The Engineering Services Division provides a host of technical services to the development community, as well as residents and City staff. The division provides engineering review; processing and approval of private development projects; and the issuance of engineering-related permits, including: improvement plans, final maps, lot line adjustments, grant and summary vacation of easements, management of the improvements through the construction stage, and administering City development standards.

PERMIT CENTER DIVISION

The Permit Center Division is the primary point of contact for development-related inquiries, project submittals, plan and permit issuance, and fee calculations and payments.

PLANNING SERVICES DIVISION

The Planning Services Division implements and monitors the City's growth and development policies, processes development entitlement applications, processes administrative permits, updates and maintains the City's long-range planning documents, participates in a variety of regional planning efforts, and assists with implementing the Housing Element of the City's General Plan.

ACCOMPLISHMENTS

BUILDING SERVICES & PERMIT CENTER

- Continued the implementation of the electronic plan submittal and review process.
- Expanded the online permit guide tool that leads customers through the steps of the permit process and provides required forms and documents based upon the scope of work.
- Provided plan review and inspection services to allow for businesses to open, including, but not limited to, The Rising Zone, Anatolian Table, Das Flipperhaus, KidStrong, The Pizza Factory, Hard Exercise Works, Pasta Zone, and Sunset Boulevard Starbucks.
- Provided plan review and inspection services on multi-family projects, including Stanford Terrace, Terracina at Whitney Ranch, Sierra Gateway Apartments, and West Oaks Townhomes.

ENGINEERING SERVICES

- Commenced review of site improvement plans for 10 new projects, completed reviews of 15 projects with nine projects approved for construction, 12 pre-application projects, 13 planning entitlement projects, and multiple site grading reviews for custom home building permit applications.
- Reviewed and approved, for recordation with the County of Placer, five final maps, three lot line adjustments, four grant deeds, two Communities Facilities District annexation maps, three easement abandonments, four notices of completion and one certificate of compliance.
- Prepared engineering processes checklists and reviewed with organizational assessment consultant.

CODE ENFORCEMENT

- Developed and improved measurable and reportable statistics by increasing compliance rates, resolving verified violations, and increasing the proactive enforcement percentage of code enforcement cases to align with the reactive/complaint-based enforcement percentage of code enforcement cases.
- Processed amendments to the Rocklin Municipal Code for the property maintenance, off-street parking of accessory vehicles and graffiti abatement sections.
- Recorded a high efficiency rating of 99.6% compliance rate by opening a total of 1,330 cases and closing 1,325 cases, with five active cases rolling over to calendar year 2023.
- Documented 1,818 violations in the community and resolved 1,812 of the violations documented in calendar year 2022, with six remaining violations rolling over to calendar year 2023.
- Increased proactive enforcement to 45.5% as compared to 54.5% reactive/complaint-based enforcement in calendar year 2022.
- Developed minimum code enforcement officer safety standards to comply with SB 296.

PLANNING SERVICES

- Implemented an annual allocation of Community Development Block Grant (CDBG) funds related to housing and community development.
- Maintained and updated an annual database of apartments in the City, highlighting affordable housing options, and maintained interjurisdictional partnerships related to the Housing Choice Voucher Program.
- Maintained relationships with housing advocates in the areas of homeless prevention, transitional housing, fair housing issues, and special needs resources and served as a board member on the Placer County Continuum of Care.
- Solicited and successfully allocated all remaining CDBG – CV (Cares Act) funds to eligible programs.
- Participated in regional fee updates for the South Placer Regional Transportation Agency and Placer County Flood Control and Water Conservation District's Dry Creek Watershed programs.
- Processed land use entitlement applications and received approvals for projects including, but not limited to, College Park, Whitney Ranch Unit 49 Subdivision, Placer Creek Apartments, Lonetree Apartments (Phase I), West Oaks Regional Housing Need Allocation (RHNA) General Plan Amendments and Rezones, The Rising Zone Fitness Conditional Use Permit, Modifications to the Granite Lakes Estates and Vista Oaks Subdivisions to facilitate construction of the Monument Springs bridge, multiple tentative parcel maps, and multiple time extensions for previously approved projects.

GOALS AND OBJECTIVES

BUILDING SERVICES AND PERMIT CENTER

- Complete implementation of electronic plan submittal and review process to provide better and more efficient customer service by making it easier and faster to submit plans and documents and accelerate the plan review process.

- Continue to improve the customer service experience by expanding the use of an Online Permit Guide to cover the majority of building plan submittal types and making the permit process easier to understand.
- Initiate a process to help customers that are opening a business in Rocklin to better understand the permitting and inspection process and what is required to get their business open.

ENGINEERING SERVICES

- Develop and release a request for proposal document to retain on-call consultants for plan review services, including private water line design, structural calculations and review of storm water pollution prevention plans.
- Continue to update improvement plan and mapping review checklists.
- Continue implementation of the electronic plan submittal and review process through additional staff training and use with customers.
- Continue implementation of DocuSign for engineering-related contracts and agreements.
- Continue compiling lists of City Notes and Standards to be updated and coordinate with Public Services to update the City Notes and Standards documents.
- Develop and implement a plan to effectively integrate the consolidation of engineering services between Public Services and Community Development Departments.

CODE ENFORCEMENT

- Continue to identify and develop measurable and reportable statistics to help ensure efficient and effective operations.
- Introduce a street and sidewalk vending ordinance and amendments to current regulations regarding temporary signs during election periods.
- Implement minimum code enforcement officer safety standards to comply with SB 296.

PLANNING SERVICES

- Assist with processing of Rezones and General Plan Amendments necessary to address the City's Available Sites Inventory and meet the RHNA identified in the 2021-2029 Housing Element.
- Conduct a housing conditions survey and assess local needs for housing rehabilitation.
- Re-initiate the circulation element update, associated environmental Impact Report, and resulting Traffic Impact Fee Update.
- Continue to evaluate and process land use entitlement applications and bring them forward to public hearings.
- Develop and bring forward documents and amendments to the Rocklin Municipal Code as needed to ensure compliance with the many changes to state regulations that have occurred in the last year and those that continue to be adopted.
- Retain consultant staff to process the RHNA land use changes required by the General Plan Housing Element and to assist with processing California Environmental Quality Act documents.
- Update the City's Zoning Code.

SIGNIFICANT CHANGES FROM THE PRIOR BUDGET

- The Housing Division was consolidated into the City Manager's Office as part of the FY 2023/24 budget. One position (1.0 FTE) and associated budgets were moved from the Community Development Department to the City Manager's Office.
- The Engineering Division was consolidated into the Community Development Department as part of the FY 2023/24 budget. Three positions (3.0 FTE) and associated budgets were moved from the Public Services Department to the Community Development Department.
- Added a Public Services Business Technician position (1.0 FTE) to provide administrative support to five divisions in the department.

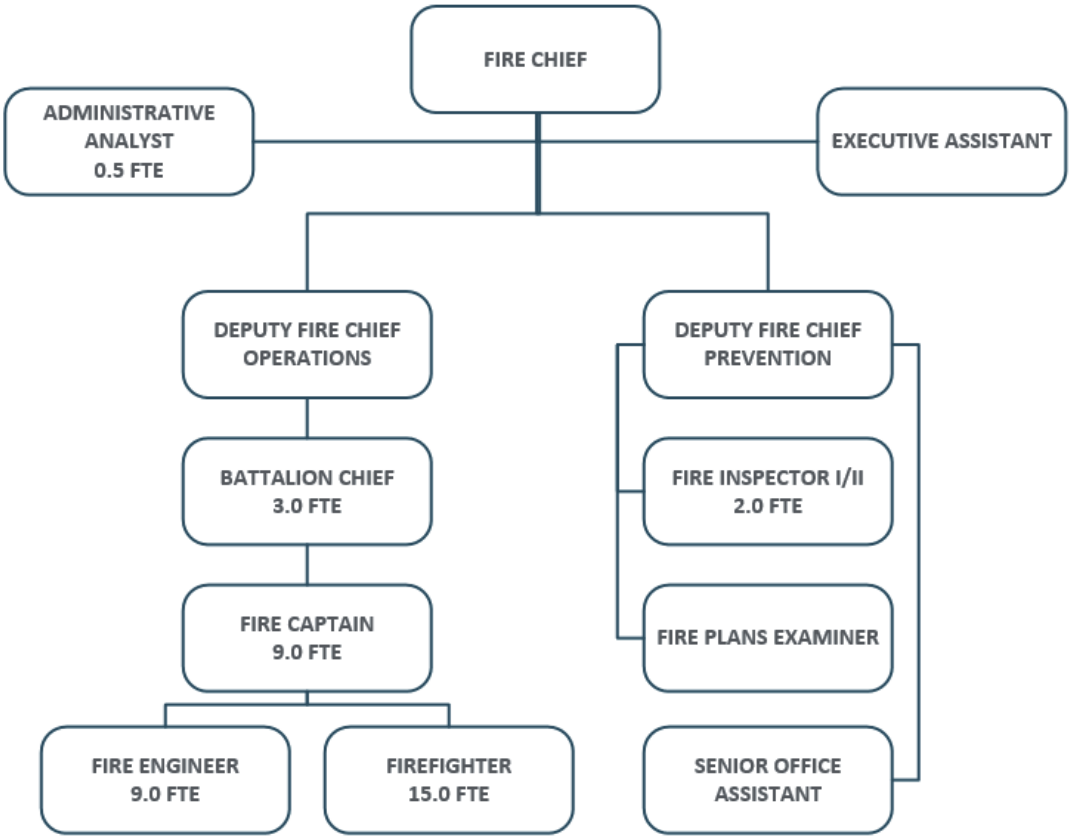
	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
Assistant City Manager	0.40	-	-	-	-	-
Assistant Civil Engineer	-	-	-	-	1.00	1.00
Assistant Planner	1.00	1.00	1.00	1.00	1.00	-
Associate Civil Engineer	1.00	1.00	1.00	1.00	2.00	1.00
Building Division Supervisor	1.00	1.00	1.00	-	-	-
Building Inspector I/II	2.00	2.00	2.00	3.00	3.00	-
Chief Building Official	1.00	1.00	1.00	1.00	1.00	-
City Engineer/Deputy Director	-	-	-	1.00	1.00	-
Code Enforcement Officer	1.00	1.00	1.00	1.00	1.00	-
Code Enforcement Program Manager	-	1.00	1.00	1.00	1.00	-
Code Enforcement Technician	1.00	1.00	1.00	1.00	1.00	-
Departmental Administrative Specialist	1.00	-	-	-	-	-
Director of Community Development	1.00	1.00	1.00	1.00	1.00	-
Director of Long Range Planning	1.00	-	-	-	-	-
Director of Long Range Planning & Housing	-	1.00	1.00	1.00	1.00	-
Environmental Services Specialist	1.00	-	-	-	-	-
Housing Specialist	-	1.00	1.00	1.00	-	(1.00)
Manager of Planning Services	1.00	1.00	1.00	1.00	1.00	-
Office Assistant I/II	2.00	2.00	2.00	-	-	-
Permit Center Coordinator	1.00	1.00	1.00	-	-	-
Permit Center Supervisor	-	-	-	1.00	1.00	-
Planning/Building Technician I/II	4.00	4.00	4.00	7.00	7.00	-
Public Services Business Technician	-	-	-	-	1.00	1.00
Senior Code Enforcement Officer	1.00	1.00	1.00	-	-	-
Senior Construction Inspector	-	-	-	-	1.00	1.00
Senior Dept Administrative Specialist	-	1.00	1.00	1.00	1.00	-
Senior Office Assistant	1.00	1.00	1.00	-	-	-
Senior Planner	2.00	2.00	2.00	2.00	2.00	-
TOTAL	**24.40**	**25.00**	**25.00**	**25.00**	**28.00**	**3.00**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
DIVISION BUDGET SUMMARY						
Building	2,012,993	2,145,619	2,212,300	2,229,500	2,396,400	166,900
Code Enforcement	323,374	388,350	390,300	390,300	441,300	51,000
Engineering	436,682	352,766	600,600	600,600	13,004,200	12,403,600
Housing	314,980	440,783	2,225,300	2,401,800	-	(2,401,800)
Planning	1,701,069	1,668,477	2,662,600	2,667,000	2,468,700	(198,300)
Planning Commission	18,363	18,090	18,400	18,400	18,400	-
TOTAL	**$ 4,807,461**	**$ 5,014,086**	**$ 8,109,500**	**$ 8,307,600**	**$ 18,329,000**	**$ 10,021,400**
FUNDING SOURCES						
General Fund	4,500,682	4,604,337	5,536,100	5,553,300	6,014,100	460,800
Bicycle and Pedestrian	-	-	-	-	100,000	100,000
CDBG - COVID-19 CARES Act	-	57,477	229,300	405,800	-	(405,800)
CDBG - HUD Entitlement	96,845	95,517	89,400	89,400	50,000	(39,400)
CFD No. 6 Open Space Maintenance	-	-	-	-	16,800	16,800
Community Facilities District No. 5	-	-	-	-	50,500	50,500
Low & Moderate Income Housing Asset	133,500	216,391	1,775,900	1,780,300	-	(1,780,300)
Oak Tree Mitigation Fees	12,430	3,578	11,100	11,100	4,800	(6,300)
Sales Tax SB 325	-	-	-	-	4,433,900	4,433,900
Streets Sr/Grants Fund	-	-	-	-	5,470,500	5,470,500
Technology Fee	64,004	34,560	40,000	40,000	35,000	(5,000)
Traffic Circulation Impact Fee	-	2,225	427,700	427,700	2,021,500	1,593,800
Landscaping & Lighting Maint. District No. 2	-	-	-	-	33,500	33,500
TOTAL	**4,807,461**	**5,014,086**	**8,109,500**	**8,307,600**	**18,329,000**	**10,021,400**
Department Revenues	6,831,718	6,092,586	4,910,400	5,086,900	10,405,800	5,318,900
Net Resources/(Uses)	**$ 2,024,256**	**$ 1,078,500**	**$ (3,199,100)**	**$ (3,220,700)**	**$ (7,923,200)**	**$ (4,702,500)**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
BUDGET SUMMARY						
Staffing						
Salaries & Benefits	3,165,587	3,086,508	3,530,900	3,530,900	4,176,600	645,700
Subtotal Staffing	**3,165,587**	**3,086,508**	**3,530,900**	**3,530,900**	**4,176,600**	**645,700**
Professional Services						
Professional Services	993,051	1,345,295	4,050,400	4,231,300	2,532,600	(1,698,700)
Maintenance Contracts	-	-	-	-	18,000	18,000
Subtotal Professional Services	**993,051**	**1,345,295**	**4,050,400**	**4,231,300**	**2,550,600**	**(1,680,700)**
Operating Expenses						
Advertising	-	-	-	-	20,000	20,000
Communications	18,184	9,812	13,000	13,000	14,400	1,400
Licenses and Memberships	19,068	3,978	8,000	8,000	147,300	139,300
Non-Capital Equipment	2,882	2,166	3,800	3,800	3,800	-
Other Operating Expenses	64,004	67,560	40,000	40,000	35,000	(5,000)
Supplies	29,615	22,476	29,400	29,400	29,400	-
Travel/Training/Meals	-	8,734	12,700	12,700	17,700	5,000
Vehicle O&M/Fuel	33,956	35,875	43,700	43,700	53,200	9,500
Vehicle Replacement	-	27,159	-	17,200	28,400	11,200
Subtotal Operating Expenses	**167,709**	**177,759**	**150,600**	**167,800**	**349,200**	**181,400**
Other Uses						
Transfer to Technology Fee	326,371	264,899	244,900	244,900	265,500	20,600
Transfer to General Fund	140,486	139,625	132,700	132,700	50,000	(82,700)
Subtotal Other Uses	**466,856**	**404,524**	**377,600**	**377,600**	**315,500**	**(62,100)**
Capital Outlay	**14,258**	**-**	**-**	**-**	**10,937,100**	**10,937,100**
TOTAL	**$ 4,807,461**	**$ 5,014,086**	**$ 8,109,500**	**$ 8,307,600**	**$ 18,329,000**	**$ 10,021,400**

OVERVIEW OF SERVICES

The Fire Department provides response to emergencies throughout the City in an effort to minimize injuries, loss of life, property and environmental damage. The mission of the Fire Department is to minimize risk, increase safety, and improve the quality of life in our community by responding quickly, solving problems and being nice.



The department consists of four divisions: Fire Administration, Field Operations, Fire Prevention and Emergency Management.

FIRE ADMINISTRATION DIVISION

The Fire Administration Division is responsible for managing department contracts, recruiting new personnel, purchasing personal protective equipment, apparatus acquisition, scheduling of school tours, overseeing the department's operating budget and providing general information to the public.

FIELD OPERATIONS DIVISION

The Field Operations Division is responsible for all emergency and non-emergency responses in the community, which include responses for fires, medical emergencies, technical rescues, motor vehicle accidents, and other natural or man-made disasters.

FIRE PREVENTION DIVISION

Fire Prevention supports the community by providing fire plan review services for new and tenant improvement projects, conducting life safety inspections, providing operational permits for increased hazards, investigation of suspicious fires, and providing public education to reduce the occurrence of fires and other hazardous conditions.

EMERGENCY MANAGEMENT DIVISION

Emergency Management is responsible for the oversight of the City's Emergency Operations Center (EOC) and serves at the will of the Director of Emergency Services (City Manager) to provide and coordinate training, policy development, staffing, and activations of the EOC.

ACCOMPLISHMENTS

FIRE ADMINISTRATION

- Recruited and promoted Plans Examiner, Firefighter/Paramedic, Fire Engineer, Fire Captain, and Battalion Chief positions.

FIRE OPERATIONS

- Responded to 6,422 incidents in 2022.
- Provided mutual aid to other communities 168 times.
- Deployed firefighters on three wildfire disasters.

FIRE PREVENTION

- Provided public education at 42 community events. Education sessions included, but were not limited to, Cardiopulmonary Resuscitation (CPR), fire extinguisher, and fire hazard mitigation training.
- Hired a Fire Inspector to conduct annual state mandated inspections of high-hazard facilities.
- Completed 824 fire inspections; 352 re-inspections; and reviewed 390 building, fire, and engineering plans.
- Instituted an Arson Unit Program designed to investigate suspicious fires within the City.

EMERGENCY MANAGEMENT

- Revised the City Emergency Operations Plan for emergency preparedness.
- Participated in updating the Placer County Local Mitigation Hazard Plan.
- Conducted Emergency Operations training for City staff.

GOALS AND OBJECTIVES

- Continue to seek grant opportunities to maintain and/or enhance service delivery.
- Upgrade department station alerting system.
- Improve communications by expanding digital radio program.
- Promote and hire new firefighters.
- Maintain fiscal responsibility by identifying areas to increase efficiencies.

- Implement technology-based solutions to improve report writing for emergency medical incidents and fire inspections.
- Provide post-traumatic stress syndrome training for first responders.
- Improve survivability for victims of fire, hazardous material release, entrapment, or other crisis incidents.
- Improve survivability of patients experiencing acute medical emergencies through education and training in citizen CPR.
- Improve firefighter safety and survival.
- Work towards increasing Fire staffing.
- Enhance functionality of the EOC, and provide incident command system training to employees responsible for staffing the EOC for emergency preparedness.
- Work towards constructing and staffing a fourth Rocklin Fire Station south/east of Interstate 80.
- Improve programs to reduce fire loss and increase community preparedness.

SIGNIFICANT CHANGES FROM THE PRIOR BUDGET

- Realigned revenue budgets in the department to directly reflect resources from Community Facilities District No. 1.
- Added one Fire Inspector I/II position (1.0 FTE) for fire inspections and permitting.

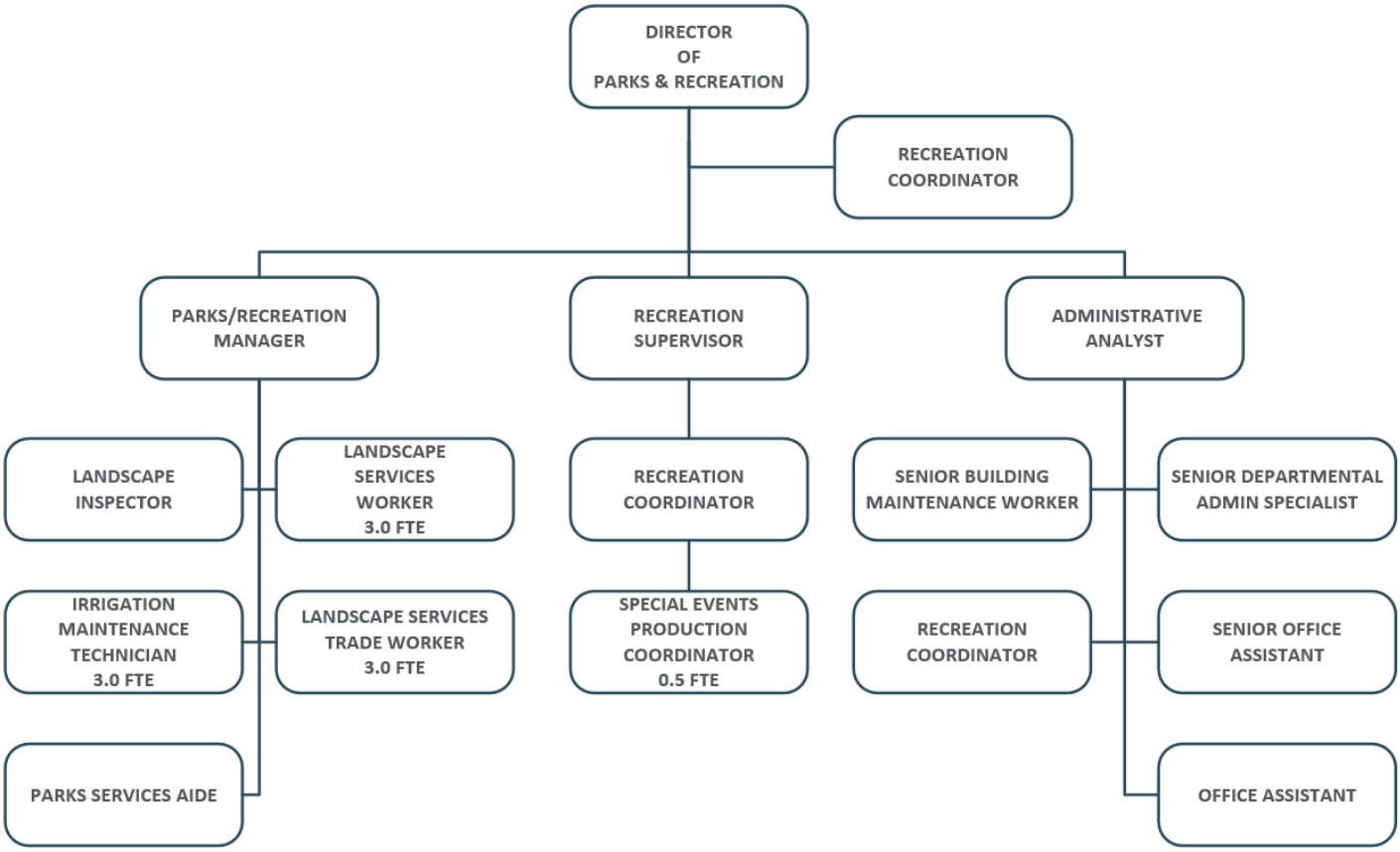
	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
Administrative Analyst	-	0.50	0.50	0.50	0.50	-
Battalion Chief	3.00	3.00	3.00	3.00	3.00	-
Department Administrative Specialist	1.00	-	-	-	-	-
Deputy Fire Chief	1.00	2.00	2.00	2.00	2.00	-
Executive Assistant	-	1.00	1.00	1.00	1.00	-
Fire Captain	9.00	9.00	9.00	9.00	9.00	-
Fire Chief	1.00	1.00	1.00	1.00	1.00	-
Fire Engineer	9.00	9.00	9.00	9.00	9.00	-
Fire Inspector I/II	-	1.00	1.00	1.00	2.00	1.00
Fire Prevention Plans Examiner	1.00	1.00	1.00	1.00	1.00	-
Firefighter	15.00	15.00	15.00	15.00	15.00	-
Senior Office Assistant	1.00	1.00	1.00	1.00	1.00	-
TOTAL	**41.00**	**43.50**	**43.50**	**43.50**	**44.50**	**1.00**

DIVISION BUDGET SUMMARY						
Administration	3,016,096	3,118,989	3,565,000	3,563,800	3,613,600	49,800
Emergency Management	-	-	5,000	7,600	7,000	(600)
Field Operations	9,793,010	10,871,568	9,847,400	10,325,000	11,214,750	889,750
Fire Prevention	316,244	465,177	770,800	779,400	1,029,700	250,300
TOTAL	**$ 13,125,350**	**$ 14,455,733**	**$ 14,188,200**	**$ 14,675,800**	**$ 15,865,050**	**$ 1,189,250**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
FUNDING SOURCES						
General Fund	11,183,681	12,363,717	11,992,400	12,465,000	13,580,750	1,115,750
Capital Construction Fees	6,248	-	-	15,000	-	(15,000)
Community Facilities District No. 1	1,935,422	2,092,017	2,195,800	2,195,800	2,284,300	88,500
TOTAL	**13,125,350**	**14,455,733**	**14,188,200**	**14,675,800**	**15,865,050**	**1,189,250**
Department Revenues	2,954,255	2,921,833	2,711,200	2,758,400	5,103,800	2,345,400
Net Resources/(Uses)	**$ (10,171,095)**	**$ (11,533,900)**	**$ (11,477,000)**	**$ (11,917,400)**	**$ (10,761,250)**	**$ 1,156,150**
BUDGET SUMMARY						
Staffing						
Salaries & Benefits	9,986,056	10,035,128	10,107,000	10,107,000	11,409,600	1,302,600
Subtotal Staffing	**9,986,056**	**10,035,128**	**10,107,000**	**10,107,000**	**11,409,600**	**1,302,600**
Professional Services						
Professional Services	164,042	189,514	231,600	223,100	222,800	(300)
Maintenance Contracts	46,846	43,811	48,300	54,900	53,550	(1,350)
Subtotal Professional Services	**210,888**	**233,325**	**279,900**	**278,000**	**276,350**	**(1,650)**
Operating Expenses						
Communications	46,077	33,115	31,500	31,000	16,800	(14,200)
Licenses and Memberships	13,015	12,679	10,900	7,200	7,800	600
Non-Capital Equipment	238,883	108,907	133,700	178,700	148,300	(30,400)
Placer County Collection Fee	19,305	20,834	22,000	22,000	22,800	800
Recruitments	693	290	800	1,200	4,000	2,800
Repair & Maintenance	2,732	2,778	3,500	15,500	21,500	6,000
Supplies	103,483	103,735	131,600	124,000	101,400	(22,600)
Travel/Training/Meals	13,005	33,093	47,800	49,000	57,900	8,900
Uniforms	6,246	17,986	4,000	21,300	10,200	(11,100)
Vehicle O&M/Fuel	559,904	845,176	954,200	954,200	1,156,700	202,500
Vehicle Replacement	6,248	932,366	-	425,400	83,000	(342,400)
Subtotal Operating Expenses	**1,009,592**	**2,110,959**	**1,340,000**	**1,829,500**	**1,630,400**	**(199,100)**
Other Uses						
Transfer to Technology Fee	2,698	5,138	12,500	12,500	12,200	(300)
Transfer to General Fund	1,916,116	2,071,182	2,173,800	2,173,800	2,261,500	87,700
Subtotal Other Uses	**1,918,814**	**2,076,321**	**2,186,300**	**2,186,300**	**2,273,700**	**87,400**
Capital Outlay	-	-	**275,000**	**275,000**	**275,000**	-
TOTAL	**$13,125,350**	**$14,455,733**	**$14,188,200**	**$14,675,800**	**$15,865,050**	**$ 1,189,250**

OVERVIEW OF SERVICES

The Parks and Recreation Department provides parks, facilities and recreation experiences to enhance quality of life.



The department consists of three divisions: Business Services, Recreation, and Parks.

BUSINESS SERVICES DIVISION

The Business Services Division provides services in budgeting, finance, administration, facility maintenance, operations, rentals, marketing, and public information.

RECREATION DIVISION

The Recreation Division provides services in recreation programs, park and field rentals, league group operations, and special events.

PARKS DIVISION

The Parks Division provides services in new landscape construction, park maintenance and inspections, special projects, park lighting and renovations, weed abatement, pesticide program, and water management.

ACCOMPLISHMENTS

BUSINESS SERVICES

- Completed Proposition 68 Grant-funded projects at Sunset Whitney Recreation Area (SWRA).
- Received a $400,000 Placer Valley Tourism grant award for SWRA Pickle Ball Courts.
- Awarded the Whitney Park Asphalt Pump Track Design Contract.
- Completed the SWRA Masterplan project and final concept design.
- Completed the Rocklin Event Center rental facility upgrades.
- Processed more than 2,800 rentals for City facilities in 2022.
- Transitioned previously contracted Quarry Park Concert production and 2023 Concert Season Lineup operations back in-house.

RECREATION

- Increased the number of spring camps, classes and programs from 34 in 2022 to 71 in 2023.
- Increased the number of summer camps, classes and programs from 70 in 2022 to 80 in 2023.
- More than 1,100 program participants attended recreation classes in 2022.
- Processed more than 8,200 rentals/permits for City fields and sports facilities.
- Hosted the National Softball Showcase tournament at Whitney Park and Kathy Lund Park.
- Had a successful 2022/23 event season, which included over 20 community and co-sponsored events.

PARKS

- Completed the construction of the SWRA East Trails, and opened all trails to the public.
- Completed the construction of the ADA sidewalk connecting Quarry Park Amphitheater to the City Hall parking lot.
- Upgraded the Pleasant Valley Park pump irrigation system.
- Completed the Sierra Meadows Park pump system replacement.
- Completed the Big Gun pump reconditioning project.
- Completed the upgrading of LED fixtures in all parks.

GOALS AND OBJECTIVES

BUSINESS SERVICES

- Increase weekday utilization of City facilities via marketing, technology enhancements, and pricing strategies.
- Implement department mission, vision, values and create strategic goals and objectives for the department.
- Continue to pursue grant opportunities and increase grant applications.
- Update the Parks Infrastructure Reserve Study.

RECREATION

- Expand programs and activities offered, with an emphasis on preschool-age children and teens.
- Increase recreation programs to ensure programs are offered to all ages and abilities within the community.
- Add STEAM programming and cooking classes starting in 2024.
- Add adult sports and health-related programs.
- Introduce "Floating Movie Nights" at RHS Pool to the 2024 Event Schedule.
- Incorporate Rocklin Maker Faire and Quarry Park Concerts back into the P&R Events schedule.

PARKS

- SWRA Masterplan and improvements.
- Whitney Park Asphalt Pump Track construction.
- Open Sierra Pine neighborhood park.
- Create a succession plan within the Parks Division.
- Increase park revenue through Cell Tower carrier replacements, co-locations and new additions.
- Use master planning processes to guide future development of parks that provide the community with amenities that are not offered within Rocklin's current park system.

SIGNIFICANT CHANGES FROM THE PRIOR BUDGET

- The Capital Outlay includes funds for the SWRA building demolition and improvements. Costs are offset by funds set-aside from the one-time FY 2021/22 year-end surplus in the General Fund (100).
- Added a Recreation Coordinator position (1.0 FTE) for special and co-sponsor events, and programs. The position is offset by deleting one vacant part-time Office Assistant I/II (0.47) position allocation, and reducing non-permanent part-time position budgets.
- Added a truck and chipper needed for park maintenance and operations.

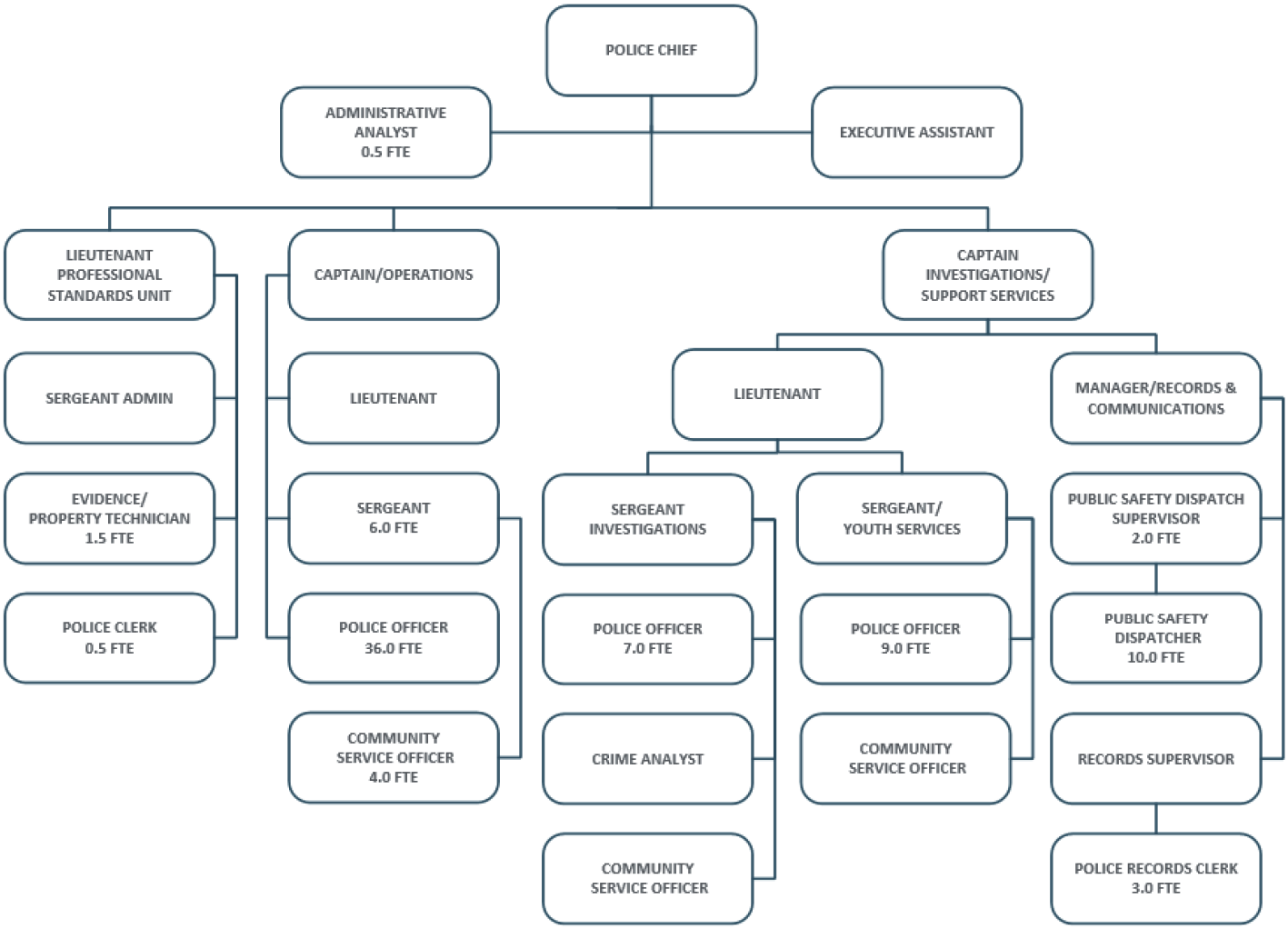
	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
Administrative Analyst	-	1.00	1.00	1.00	1.00	-
Assistant City Manager	0.30	-	-	-	-	-
Deputy Director of Parks and Recreation	1.00	-	-	-	-	-
Director of Parks and Recreation	1.00	1.00	1.00	1.00	1.00	-
Irrigation Maintenance Technician	2.00	2.00	3.00	3.00	3.00	-
Landscape Inspector	1.00	1.00	1.00	1.00	1.00	-
Landscape Services Trade Worker	3.00	3.00	3.00	3.00	3.00	-
Landscape Services Worker	3.00	3.00	3.00	3.00	3.00	-
Office Assistant I/II	2.47	1.47	1.47	1.47	1.00	(0.47)
Parks Division Supervisor	1.00	-	-	-	-	-
Parks/Recreation Manager	1.00	1.00	1.00	1.00	1.00	-

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY (continued)						
Parks Services Aide	-	-	-	1.00	1.00	-
Program Assistant II	1.41	1.41	-	-	-	-
Recreation Business Technician	1.00	-	-	-	-	-
Recreation Coordinator	1.00	1.00	2.00	2.00	3.00	1.00
Recreation Supervisor	-	1.00	1.00	1.00	1.00	-
Senior Building Maintenance Worker	1.00	1.00	1.00	1.00	1.00	-
Senior Dept Administrative Specialist	1.00	1.00	1.00	1.00	1.00	-
Senior Office Assistant	-	1.00	1.00	1.00	1.00	-
Senior Program Assistant	0.47	0.47	-	-	-	-
Special Events Production Coordinator	0.47	0.47	0.47	0.47	0.50	0.03
TOTAL	**22.12**	**20.82**	**20.94**	**21.94**	**22.50**	**0.56**
DIVISION BUDGET SUMMARY						
Business Services	984,555	912,449	845,400	861,400	1,326,300	464,900
Parks	5,416,029	5,923,328	8,413,500	8,953,100	7,352,800	(1,600,300)
Recreation	619,029	1,012,562	1,282,000	1,451,500	1,647,400	195,900
TOTAL	**$ 7,019,613**	**$ 7,848,339**	**$ 10,540,900**	**$ 11,266,000**	**$ 10,326,500**	**$ (939,500)**
FUNDING SOURCES						
General Fund	4,044,932	4,377,866	6,284,600	6,769,800	6,524,900	(244,900)
American Disabilities Act	15,660	-	-	-	-	-
CASp Certification & Training	7,205	-	-	-	-	-
Community Facilities District No. 5	2,169,060	2,331,854	2,488,900	2,526,400	2,739,300	212,900
Community Park Fees	16,619	5,571	12,500	12,500	-	(12,500)
Grants/Other Reimbursables	-	354,238	-	43,900	-	(43,900)
North West Rocklin Community Park Fees	-	-	920,000	920,000	-	(920,000)
Oak Tree Mitigation Fees	63,032	64,869	74,000	74,000	74,000	-
Park Development Fees	-	-	43,000	87,000	-	(87,000)
Park Tax Special Assessment	627,285	637,420	645,400	645,400	653,200	7,800
Quarry Park Amphitheater Events	9,328	25,023	36,500	151,000	295,100	144,100
Recreation Facilities Contribution	39,000	-	-	-	-	-
Technology Fee	27,492	51,497	36,000	36,000	40,000	4,000
TOTAL	**7,019,613**	**7,848,339**	**10,540,900**	**11,266,000**	**10,326,500**	**(939,500)**
Department Revenues	2,797,619	3,254,151	4,009,800	4,657,900	5,828,100	1,170,200
Net Resources/(Uses)	**$ (4,221,994)**	**$ (4,594,188)**	**$ (6,531,100)**	**$ (6,608,100)**	**$ (4,498,400)**	**$ 2,109,700**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
BUDGET SUMMARY						
Staffing						
Salaries & Benefits	2,426,592	2,477,120	2,905,900	2,905,900	3,037,900	132,000
Subtotal Staffing	**2,426,592**	**2,477,120**	**2,905,900**	**2,905,900**	**3,037,900**	**132,000**
Professional Services						
Professional Services	1,597,710	1,789,904	1,752,000	2,010,000	1,973,000	(37,000)
Maintenance Contracts	-	-	1,200	3,200	5,200	2,000
Subtotal Professional Services	**1,597,710**	**1,789,904**	**1,753,200**	**2,013,200**	**1,978,200**	**(35,000)**
Operating Expenses						
Advertising	3,761	14,905	35,100	51,100	80,000	28,900
Communications	41,661	37,380	51,400	50,800	54,600	3,800
Other Operating Expenses	26,372	46,423	36,000	36,000	40,000	4,000
Placer County Collection Fee	6,287	6,393	6,500	6,500	6,500	-
Rental/Lease	255,444	311,641	338,300	344,800	369,900	25,100
Repair & Maintenance	176,410	199,201	300,000	300,000	270,000	(30,000)
Small Business Support Grant	92,618	-	-	-	-	-
Supplies	383,450	415,765	459,800	467,100	614,800	147,700
Travel/Training/Meals	3,705	11,800	21,000	17,000	21,000	4,000
Uniforms	1,004	2,629	1,000	1,000	1,000	-
Utilities	1,065,681	1,129,922	1,150,000	1,160,000	1,207,000	47,000
Vehicle O&M/Fuel	245,807	204,535	210,700	210,700	252,600	41,900
Vehicle Replacement	-	112,884	48,600	123,600	185,900	62,300
Subtotal Operating Expenses	**2,320,128**	**2,525,010**	**2,705,200**	**2,816,400**	**3,163,600**	**347,200**
Other Uses						
Transfer to General Fund	620,998	631,027	638,900	638,900	1,070,800	431,900
Transfer to Technology Fee	54,185	71,040	76,600	76,600	76,000	(600)
Subtotal Other Uses	**675,184**	**702,067**	**715,500**	**715,500**	**1,146,800**	**431,300**
Capital Outlay	**-**	**354,238**	**2,461,100**	**2,815,000**	**1,000,000**	**(1,815,000)**
TOTAL	**$ 7,019,613**	**$ 7,848,339**	**$10,540,900**	**$11,266,000**	**$10,326,500**	**$ (939,500)**

OVERVIEW OF SERVICES

The Rocklin Police Department provides law enforcement services to the citizens of Rocklin.



The department consists of four divisions: Administration, Operations, Investigations and Support Services.

ADMINISTRATION DIVISION

The Administration Division provides support to other divisions of the department. It also includes the Professional Standards Unit (PSU), which is primarily responsible for protecting the integrity and reputation of the Police Department. This entails managing the agency's mandated training requirements to overseeing the complaint and commendation processes of police personnel. The PSU, in collaboration with Human Resources, coordinates testing, interviewing and background investigations to recruit the best possible candidates.

OPERATIONS DIVISION

The Operations Division is the largest division in the department, and consists of several police units including Patrol, SWAT, Animal Control, Canine, Reserve Program, Field Training, and Community Services.

INVESTIGATIONS DIVISION

The Investigations Division consists of several units: detectives assigned to the Rocklin Police Department, Placer County Special Investigations Unit (SIU), the Regional Auto Theft Task Force (RATTF), Tri-County Drug Enforcement Team (TRIDENT), Traffic/Motors, and Youth Services covering Sierra College, Rocklin High School, Whitney High School, Victory High School, and all Rocklin Unified Middle and Elementary Schools.

SUPPORT SERVICES DIVISION

The Support Services Division consists of the 911 Communications Center, Records, Technical Services, Crime Prevention and Volunteers.

ACCOMPLISHMENTS

- Deployed 32 Automatic License Plate Reader cameras throughout the City.
- Developed a Community Oriented Policing and Problem-Solving program to provide directed enforcement in response to specific community needs, with an emphasis on providing homeless outreach, and responding to organized retail crime.
- Boosted recruitment efforts by creating a recruitment webpage specific to the department at joinRocklinPD.org and re-established a recruitment team to attend events such as Patriot Day, job fairs, and other City-related events.
- Streamlined Police Operations through the use of multiple technologies. This includes the following newly implemented programs: Electronic Citation System, California Incident-Based Reporting System (CIBRS), National Incident-Based Reporting System, and Racial and Identity Profiling Act (RIPA).
- Increased community presence through various social media outlets, and experienced significant growth over all platforms in 2022. As documented in the Department's social media posts, there was an increase to in-person community engagement as well.

GOALS AND OBJECTIVES

- The department is working towards an overall Health & Wellness Program for staff to include enrichment training, physical and mental health, and a strong peer support team. The department recently received a $41,000 grant from the State of California to use for this purpose and is in the process of determining where the funds will have the largest impact for personnel.
- Implement a fully operational Rocklin Police Department substation on the west side of the City to allow remote access to report writing stations and a place to interview reporting persons at a more convenient location on the far side of the City.
- Continue research and plan for future implementation of an innovative intelligence center, expanding our ability to work collaboratively with surrounding allied agencies by sharing information and increasing crime solvability potential.
- Continue to promote the City's recruitment page and focus efforts on innovative advertisement to capture the best qualified individuals to fill positions.
- Maintain, improve, and expand City partnerships that aid in crime prevention, and creating a safe community.
- Continue public education efforts regarding prevention of crimes of opportunity.

- Work towards increasing Police staffing.
- Evaluate the creation of a Real-Time Crime Center.
- Reduce Interagency Response Times through improved technology.
- Improve interoperability between law enforcement, fire and EMS agencies.

SIGNIFICANT CHANGES FROM THE PRIOR BUDGET

- Added two Police Officer positions (2.0 FTE) and vehicles in the Police Operations Division.
- Vehicle replacement includes funds to replace and upgrade the police crime scene investigation van for response to major crime incidents ($150,000).

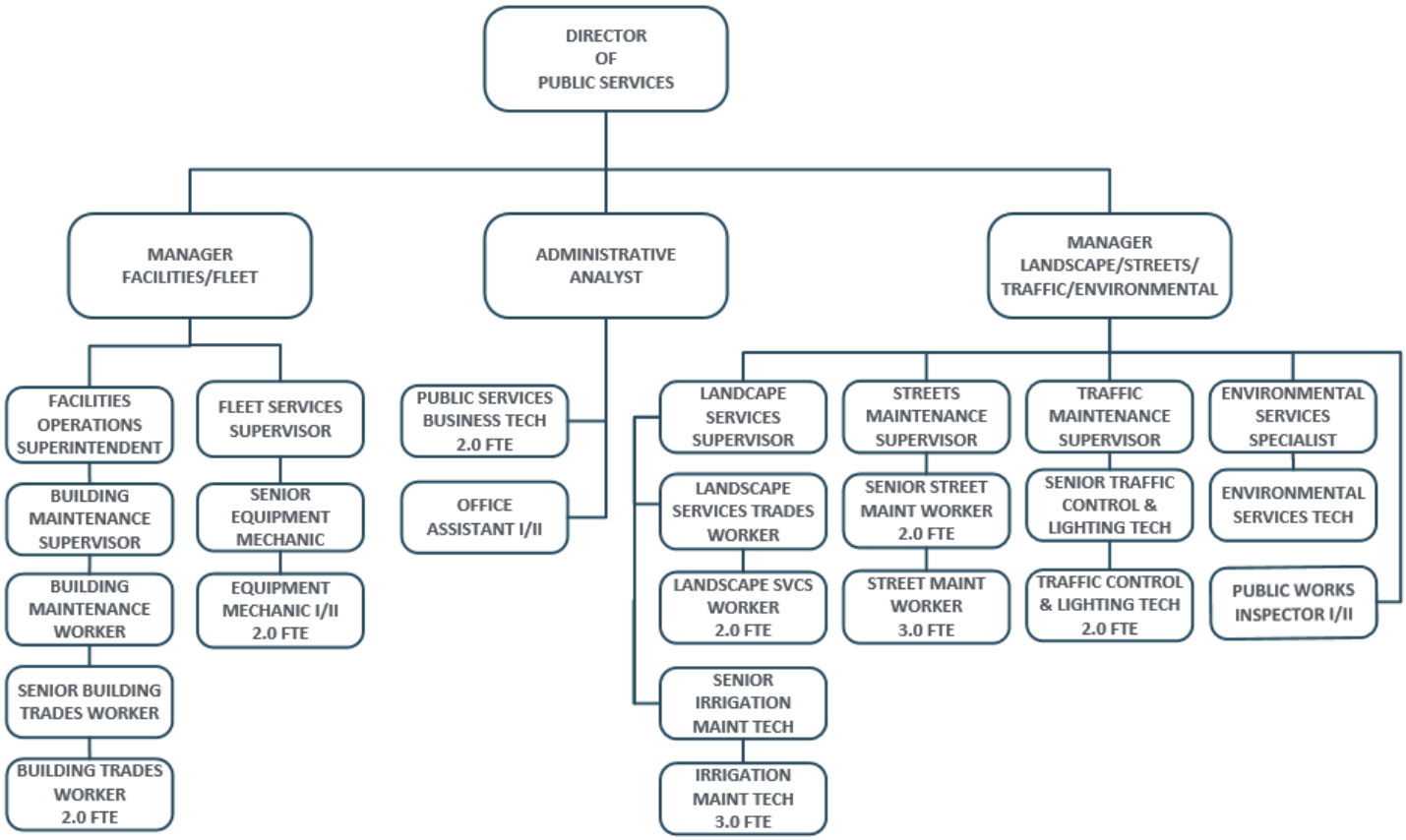
	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
Administrative Analyst	-	0.50	0.50	0.50	0.50	-
Animal Control Officer	2.00	-	-	-	-	-
Community Service Officer	4.00	6.00	6.00	6.00	6.00	-
Crime Analyst	1.00	1.00	1.00	1.00	1.00	-
Evidence/Property Technician	1.47	1.47	1.47	1.47	1.50	0.03
Executive Assistant	1.00	1.00	1.00	1.00	1.00	-
Manager of Police Records and Comm	1.00	1.00	1.00	1.00	1.00	-
Police Captain	2.00	2.00	2.00	2.00	2.00	-
Police Chief	1.00	1.00	1.00	1.00	1.00	-
Police Clerk	0.47	0.47	0.47	0.47	0.50	0.03
Police Lieutenant	2.00	3.00	3.00	3.00	3.00	-
Police Officer	48.00	48.00	50.00	50.00	52.00	2.00
Police Records Clerk	3.00	3.00	3.00	3.00	3.00	-
Police Records Supervisor	1.00	1.00	1.00	1.00	1.00	-
Police Sergeant	9.00	9.00	9.00	9.00	9.00	-
Public Safety Dispatch Supervisor	2.00	2.00	2.00	2.00	2.00	-
Public Safety Dispatcher I/II	10.00	10.00	10.00	10.00	10.00	-
TOTAL	**88.94**	**90.44**	**92.44**	**92.44**	**94.50**	**2.06**

DIVISION BUDGET SUMMARY						
Administration	1,207,263	1,562,093	1,543,800	1,707,200	2,166,700	459,500
Investigations	5,141,531	4,725,907	4,410,400	4,404,400	5,455,400	1,051,000
Operations	10,290,937	11,367,689	13,019,000	13,222,000	13,578,800	356,800
Support Services	2,542,461	2,539,555	2,994,200	3,007,100	3,167,550	160,450
TOTAL	**$ 19,182,193**	**$ 20,195,244**	**$ 21,967,400**	**$ 22,340,700**	**$ 24,368,450**	**$ 2,027,750**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
FUNDING SOURCES						
General Fund	18,569,885	19,328,096	21,494,000	21,680,100	23,931,150	2,251,050
Asset Forfeiture - State	102,151	144,045	15,000	109,700	50,000	(59,700)
Grants/Other Reimbursables	-	92,640	-	-	-	-
Low & Moderate Income Housing Asset	-	-	-	-	2,500	2,500
Quarry Park Amphitheater Events	4,481	13,591	18,500	13,500	8,800	(4,700)
Supp Law Enforcement Services AB 3229	166,830	173,673	183,800	183,800	189,300	5,500
Traffic Safety/Police Grants	338,845	443,199	256,100	353,600	186,700	(166,900)
TOTAL	**19,182,193**	**20,195,244**	**21,967,400**	**22,340,700**	**24,368,450**	**2,027,750**
Department Revenues	2,162,744	2,322,001	1,769,200	1,959,300	1,851,500	(107,800)
Net Resources/(Uses)	**$ (17,019,448)**	**$ (17,873,243)**	**$ (20,198,200)**	**$ (20,381,400)**	**$ (22,516,950)**	**$ (2,135,550)**
BUDGET SUMMARY						
Staffing						
Salaries & Benefits	17,470,678	17,368,129	18,541,400	18,595,100	20,595,200	2,000,100
Subtotal Staffing	**17,470,678**	**17,368,129**	**18,541,400**	**18,595,100**	**20,595,200**	**2,000,100**
Professional Services						
Professional Services	233,846	225,677	341,000	348,200	622,700	274,500
Maintenance Contracts	257,367	276,285	333,000	413,600	194,100	(219,500)
Subtotal Professional Services	**491,212**	**501,962**	**674,000**	**761,800**	**816,800**	**55,000**
Operating Expenses						
Advertising	436	3,419	5,000	20,000	20,500	500
Communications	14,915	11,450	57,000	58,300	48,600	(9,700)
Insurance Premiums	-	4,259	5,000	5,000	9,000	4,000
Licenses and Memberships	18,412	17,632	12,000	12,000	20,850	8,850
Non-Capital Equipment	48,223	264,055	133,500	154,900	195,500	40,600
Other Operating Expenses	11,977	12,158	-	-	-	-
Recruitments	5,140	5,228	10,000	10,000	14,000	4,000
Rental/Lease	-	-	-	6,700	24,000	17,300
Repair & Maintenance	2,545	55,330	21,500	14,100	31,000	16,900
Reserve Officer Expense	1,368	3,732	7,000	7,000	7,000	-
Supplies	148,979	233,059	113,600	126,200	184,000	57,800
Travel/Training/Meals	42,967	84,941	88,000	132,200	107,700	(24,500)
Uniforms	46,194	36,827	70,000	55,000	80,000	25,000
Vehicle O&M/Fuel	698,346	724,395	1,024,500	1,024,500	1,212,800	188,300
Vehicle Replacement	3,226	488,771	653,400	778,900	761,800	(17,100)
Subtotal Operating Expenses	**1,042,729**	**1,945,256**	**2,200,500**	**2,404,800**	**2,716,750**	**311,950**
Other Uses						
Transfer to General Fund	166,830	266,313	183,800	183,800	189,300	5,500
Transfer to Special Revenue Fund	-	3,143	11,600	11,600	44,400	32,800
Transfer to Technology Fee	4,212	3,422	6,100	6,100	6,000	(100)
Subtotal Other Uses	**171,043**	**272,878**	**201,500**	**201,500**	**239,700**	**38,200**
Capital Outlay	**6,532**	**107,019**	**350,000**	**377,500**	**-**	**(377,500)**
TOTAL	**$19,182,193**	**$20,195,244**	**$21,967,400**	**$22,340,700**	**$24,368,450**	**$ 2,027,750**

OVERVIEW OF SERVICES

The primary responsibility of the Public Services Department is to maintain the City's infrastructure in support of the community, the City's General Plan, and other City departments. The department designs, builds and maintains facilities and other public areas, coordinates street excavation and pavement maintenance work, manages the City's vehicle and equipment fleet, monitors water quality, and regulates street and sidewalk use while enhancing and protecting the public rights-of-way.



The department consists of seven divisions: Streets, Environmental Services, Landscape, Traffic Signals & Lighting/Operations, Facilities, Fleet Services and Administration.

STREETS DIVISION

The Streets Division is responsible for streets and alleys, storm water and creek maintenance, open space maintenance, and the City's rights-of-way.

ENVIRONMENTAL SERVICES DIVISION

The Environmental Services Division is responsible for federal/state/local regulatory processes, procedures, permitting, and programs. Also, the City's grazing, weed abatement, environmental, related community partnerships, and departmental risk management oversight are managed by this division.

LANDSCAPE DIVISION

The Landscape Division manages and repairs all landscape on City-owned streets, rights-of-way, and pocket parks. This includes the installation and maintenance of all City-owned fencing within the City right-of-way.

TRAFFIC SIGNALS & LIGHTING/OPERATIONS DIVISION

The Traffic Signals & Lighting/Operations Division is responsible for the safe and efficient movement of people, goods, and services throughout City roadways. The division manage the traffic signals, street lights, and all signs and roadway markings.

FACILITIES DIVISION

The Facilities Division is responsible for the design, development, and maintenance of all City facilities. This includes City Administrative Offices, Police, Fire, Recreation, and Rental Facilities.

FLEET SERVICES DIVISION

The Fleet Services Division acquires and maintains all City vehicles, including fire trucks, police cars and construction and maintenance equipment.

ADMINISTRATION DIVISION

The Administration Division provides administrative assistance/support to all divisions within the department. This includes, but is not limited to, project bid development and tracking, contract documentation management, financial and budget development and monitoring, as well as general administrative duties.

ACCOMPLISHMENTS

- Completed the Mountaingate Pavement Rehabilitation project.
- Completed prioritization of Local Road and Safety Plan (LRSP) implementation of green bike lanes and created a multi-year phasing plan.
- Completed the Rocklin Road at Pacific Road Roundabout project.
- Coordinated with FEMA and CalOES on declared storm emergencies: city-wide clean-up efforts, Tanus Circle Roadway/Drainage repair, and Midas Ave at Antelope Creek roadway closure.
- Continued implementation on California SB 1383 organic waste reduction requirements: contracted with Placer County for Tier II Inspection and Education Services, and established compliant purchasing policies and procedures.
- Purchased two Type III and one Type VI fire apparatuses. These apparatuses are being assembled with an anticipated delivery date in 2024.
- Completed the Mission Hills Phase III Roadway Rehabilitation project.
- Completed Cresleigh Springs and Cresleigh Ridge entryway monument signs foundation/structural repairs.

GOALS AND OBJECTIVES

- Assist in the transition of the Engineering Division to the Community Development Department.
- Update department Strategic Plan.
- Implement phasing plan for green bike lanes as part of the LRSP.
- Complete City Hall office space study and remodel.
- Update Stormwater Conveyance System and Drainage Infrastructure Prioritization Plan.
- Coordinate with Finance to provide SB 1383 departmental purchasing training on new policies and procedures.
- Update City-wide Americans with Disability Act Transition Plan.
- Update Pavement Management Plan.
- Update General Open Space Management Plan.
- Update Capital Improvement Plan documents to better compete for future grant funding opportunities.
- Continue to identify and pursue energy saving opportunities.
- Identity innovative solutions to infrastructure maintenance and enhancements.
- Identify critical gaps in City trails within the public right-of-way.
- Update the Infrastructure Reserve Study.

SIGNIFICANT CHANGES FROM THE PRIOR BUDGET

- Added a Landscape Services Worker position (1.0 FTE) for inspection, construction, and repair of landscapes. The majority of the position cost is offset by deleting non-permanent part-time budgets in the department.
- The Engineering Division was consolidated into the Community Development Department as part of the FY 2023/24 budget. Three positions (3.0 FTE) and associated budgets were moved from the Public Services Department to the Community Development Department.

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
Administrative Analyst	-	1.00	1.00	1.00	1.00	-
Assistant City Manager	0.30	-	-	-	-	-
Assistant Civil Engineer	1.00	1.00	1.00	1.00	-	(1.00)
Associate Civil Engineer	1.00	1.00	1.00	1.00	-	(1.00)
Building Maintenance Supervisor	1.00	1.00	1.00	1.00	1.00	-
Building Maintenance Worker	2.00	1.00	1.00	1.00	1.00	-
Building Trades Worker	2.00	2.00	2.00	2.00	2.00	-
Director of Public Services	1.00	1.00	1.00	1.00	1.00	-
Environmental Services Specialist	1.00	1.00	1.00	1.00	1.00	-
Environmental Services Technician	1.00	1.00	1.00	1.00	1.00	-
Equipment Mechanic I/II	3.00	3.00	3.00	2.00	2.00	-
Facilities Operations Superintendent	1.00	1.00	1.00	1.00	1.00	-
Fleet Services Supervisor	1.00	1.00	1.00	1.00	1.00	-
Irrigation Maintenance Technician	3.00	3.00	3.00	3.00	3.00	-
Landscape Services Supervisor	1.00	1.00	1.00	1.00	1.00	-
Landscape Services Trades Worker	1.00	1.00	1.00	1.00	1.00	-
Landscape Services Worker	1.00	1.00	1.00	1.00	2.00	1.00
Office Assistant I/II	1.00	1.00	1.00	1.00	1.00	-
Public Services Administrative Supervisor	1.00	-	-	-	-	-
Public Services Business Technician	1.00	1.00	2.00	2.00	2.00	-
Public Services Manager	1.00	2.00	2.00	2.00	2.00	-
Public Works Inspector I/II	1.00	1.00	1.00	1.00	1.00	-
Senior Building Trades Worker	1.00	1.00	1.00	1.00	1.00	-
Senior Construction Inspector	1.00	1.00	1.00	1.00	-	(1.00)
Senior Equipment Mechanic	-	-	-	1.00	1.00	-
Senior Irrigation Maintenance Technician	1.00	1.00	1.00	1.00	1.00	-
Senior Street Maintenance Worker	2.00	2.00	2.00	2.00	2.00	-
Senior Traffic Control and Lighting Tech	1.00	1.00	1.00	1.00	1.00	-
Street Maintenance Supervisor	1.00	1.00	1.00	1.00	1.00	-
Street Maintenance Worker I/II	3.00	3.00	3.00	3.00	3.00	-
Streets/Traffic Operations Manager	1.00	-	-	-	-	-
Traffic Control and Lighting Technician	2.00	2.00	2.00	2.00	2.00	-
Traffic Maintenance Supervisor	1.00	1.00	1.00	1.00	1.00	-
TOTAL	**40.30**	**39.00**	**40.00**	**40.00**	**38.00**	**(2.00)**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
DIVISION BUDGET SUMMARY						
Administration	326,805	254,181	380,100	380,100	437,700	57,600
Engineering	2,118,570	5,604,312	6,961,300	9,470,200	-	(9,470,200)
Facilities	3,217,583	2,390,324	3,588,800	3,898,100	5,857,500	1,959,400
Fleet Services	2,310,032	2,474,894	2,966,800	3,054,300	3,459,800	405,500
Landscape	3,128,513	3,090,828	3,618,000	3,618,000	3,568,300	(49,700)
Traffic Signals & Lighting/Operations	2,410,492	2,138,346	2,352,800	2,352,800	2,342,100	(10,700)
Streets	5,219,166	5,339,636	6,000,900	7,312,300	6,785,500	(526,800)
TOTAL	**$ 18,731,160**	**$ 21,292,522**	**$ 25,868,700**	**$ 30,085,800**	**$ 22,450,900**	**$ (7,634,900)**
FUNDING SOURCES						
General Fund	3,471,868	3,310,957	5,545,100	5,501,800	4,972,100	(529,700)
American Rescue Plan Act	-	100,295	-	38,000	500,000	462,000
Bicycle and Pedestrian	-	-	70,000	70,000	-	(70,000)
Boroski Landfill Monitoring	34,254	28,324	28,100	28,100	28,100	-
Capital Construction Fees	621,938	113,435	136,300	136,300	1,298,700	1,162,400
CDBG - HUD Entitlement	187,255	197,467	184,800	184,800	195,100	10,300
CFD No. 6 Open Space Maintenance	282,878	235,954	355,000	355,000	310,500	(44,500)
Community Facilities District No. 5	2,166,286	2,236,690	2,645,100	2,645,100	2,562,700	(82,400)
Gas Tax	1,449,002	1,471,830	2,103,500	2,103,500	707,100	(1,396,400)
Grants/Other Reimbursables	-	-	100,300	100,300	60,300	(40,000)
Lighting Maintenance District No. 1	226,528	234,683	228,900	228,900	231,800	2,900
Oak Tree Mitigation Fees	66,387	74,506	79,000	79,000	78,500	(500)
Public Facilities Impact Fees	-	-	-	111,300	-	(111,300)
Rocklin PFA - Capital	360,885	-	-	-	-	-
Sales Tax SB 325	3,272,765	3,000,872	3,048,900	3,541,200	3,864,800	323,600
SB 1 - Road Maintenance & Rehabilitation	891,388	1,861,608	532,800	1,738,300	729,600	(1,008,700)
SB 325 Transit Fund	411,858	590,582	902,900	902,900	1,023,600	120,700
Streets Maintenance Reserve	237,508	-	-	-	-	-
Streets Sr/Grants Fund	438,963	3,129,317	4,527,700	5,103,400	-	(5,103,400)
Traffic Circulation Impact Fee	28,852	-	32,200	32,200	-	(32,200)
Vehicle Fleet Management	2,310,032	2,474,894	2,966,800	3,054,300	3,459,800	405,500
Wetlands Maintenance Parcel 34	2,345	2,427	2,500	2,500	2,600	100
Whitney Ranch Interchange Fees	10,883	3,279	-	-	-	-
Whitney Ranch Trunk Sewer Project	12,826	29,848	-	1,750,100	-	(1,750,100)
Landscaping & Lighting Maint. District No. 2	2,242,962	2,191,222	2,378,800	2,378,800	2,425,600	46,800
American Disabilities Act	3,500	4,331	-	-	-	-
TOTAL	**18,731,160**	**21,292,522**	**25,868,700**	**30,085,800**	**22,450,900**	**(7,634,900)**
Department Revenues	3,670,053	6,520,074	8,015,100	8,628,800	6,053,000	(2,575,800)
Net Resources/(Uses)	**$ (15,061,107)**	**$ (14,772,447)**	**$ (17,853,600)**	**$ (21,457,000)**	**$ (16,397,900)**	**$ 5,059,100**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
Staffing						
Salaries & Benefits	5,499,756	5,227,442	6,025,200	6,025,200	5,444,500	(580,700)
Subtotal Staffing	**5,499,756**	**5,227,442**	**6,025,200**	**6,025,200**	**5,444,500**	**(580,700)**
Professional Services						
Professional Services	2,420,757	2,557,289	3,594,200	3,761,600	3,343,000	(418,600)
Maintenance Contracts	215,583	242,017	254,400	270,800	298,000	27,200
Subtotal Professional Services	**2,636,340**	**2,799,305**	**3,848,600**	**4,032,400**	**3,641,000**	**(391,400)**
Operating Expenses						
Communications	27,118	33,925	44,600	39,500	34,600	(4,900)
Fleet Non-Capital	17,797	-	10,000	10,000	10,000	-
Fuel/Oil	308,379	449,143	425,000	425,000	525,000	100,000
Insurance Premiums	86,288	111,179	108,100	113,900	125,000	11,100
Licenses and Memberships	175,460	160,529	179,000	192,700	82,600	(110,100)
Non-Capital Equipment	51,898	59,910	32,500	1,783,600	40,000	(1,743,600)
Other Operating Expenses	-	3,300	-	-	-	-
Placer County Collection Fee	64	114	-	-	-	-
Rental/Lease	39,280	43,129	68,000	64,800	58,000	(6,800)
Repair & Maintenance	2,948,052	2,949,084	1,680,700	2,002,600	1,527,500	(475,100)
Supplies	541,239	448,881	507,500	496,900	494,200	(2,700)
Travel/Training/Meals	13,607	27,471	37,500	47,200	39,000	(8,200)
Uniforms	2,845	5,456	3,900	3,900	4,300	400
Utilities	1,944,567	2,055,643	2,031,700	1,986,900	2,172,700	185,800
Vehicle O&M	164,884	192,950	165,000	165,000	225,000	60,000
Vehicle O&M/Fuel	564,588	570,739	619,300	619,300	686,900	67,600
Vehicle Replacement	186,139	444,145	316,900	408,600	231,900	(176,700)
Subtotal Operating Expenses	**7,072,205**	**7,555,599**	**6,229,700**	**8,359,900**	**6,256,700**	**(2,103,200)**
Other Uses						
Engineering Transfer Out	44,916	79,800	83,600	83,600	53,600	(30,000)
Transfer to Capital Improvement	-	-	-	-	500,000	500,000
Transfer to General Fund	2,345	2,427	102,800	102,800	1,251,900	1,149,100
Transfer to Technology Fee	10,673	7,882	7,600	7,600	8,800	1,200
Subtotal Other Uses	**57,934**	**90,109**	**194,000**	**194,000**	**1,814,300**	**1,620,300**
Debt Service/Depreciation	**792,707**	**840,133**	**1,135,600**	**1,135,600**	**1,345,400**	**209,800**
Capital Outlay	**2,672,218**	**4,779,932**	**8,435,600**	**10,338,700**	**3,949,000**	**(6,389,700)**
TOTAL	**$18,731,160**	**$21,292,522**	**$25,868,700**	**$30,085,800**	**$22,450,900**	**$ (7,634,900)**

OVERVIEW OF SERVICES

Activities accounted for in the Non-Departmental section include costs and programs that are not directly associated with an individual department. Examples of expenditures budgeted in this section includes City-wide general liability expenditure budget allocated to each fund, transfers out to reserves, and resource transfers to other funds.

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
POSITION SUMMARY						
There are no positions in this budget	-	-	-	-	-	-
TOTAL	**-**	**-**	**-**	**-**	**-**	**-**
FUNDING SOURCES						
General Fund	2,041,530	1,219,572	2,301,900	4,971,400	3,118,800	(1,852,600)
CFD No. 6 Open Space Maintenance	46,306	35,534	55,900	55,900	50,300	(5,600)
Community Facilities District No. 10	8,331,432	-	-	-	-	-
Community Facilities District No. 5	730,375	677,601	816,600	816,600	800,200	(16,400)
Community Park Fees	2,523	1,543	100	100	-	(100)
Cons. Easement Endowment	3,156	1,134	1,200	1,200	11,500	10,300
Gas Tax	265,628	237,338	348,700	348,700	193,300	(155,400)
Landscaping & Lighting Maint. District No. 2	357,215	306,396	357,400	357,400	345,600	(11,800)
Lighting Maintenance District No. 1	1,768	1,943	4,700	4,700	5,400	700
Oak Tree Mitigation Fees	19,373	17,055	-	-	-	-
Public Facilities Impact Fees	16,967	18,406	10,200	10,200	-	(10,200)
Quarry Park Amphitheater Events	179	274	500	500	800	300
Rocklin PFA - Capital	177	-	-	-	-	-
Sales Tax SB 325	472,928	381,712	412,000	412,000	1,004,200	592,200
Traffic Circulation Impact Fee	150,308	105,464	112,700	112,700	69,300	(43,400)
Treasury- DOF- Cares	759,311	-	-	-	-	-
Vehicle Fleet Management	64,918	61,395	77,100	77,100	84,900	7,800
Whitney Ranch Interchange Fees	222,741	-	-	-	-	-
Whitney Ranch Trunk Sewer Project	-	-	-	-	44,500	44,500
TOTAL	**13,486,833**	**3,065,368**	**4,499,000**	**7,168,500**	**5,728,800**	**(1,439,700)**
Department Revenues	63,765,553	65,040,191	64,962,000	67,711,400	66,194,500	(1,516,900)
Net Resources/(Uses)	**$50,278,720**	**$61,974,823**	**$60,463,000**	**$60,542,900**	**$60,465,700**	**$ (77,200)**

	Actual FY2020/21	Actual FY2021/22	Original Budget FY2022/23	Revised Budget FY2022/23	Approved Budget FY2023/24	Change Increase/ (Decrease)
Staffing						
Salaries & Benefits	-	-	40,000	40,000	40,000	-
Subtotal Staffing	**-**	**-**	**40,000**	**40,000**	**40,000**	**-**
Operating Expenses						
General Liability Insurance Allocation	996,192	1,093,704	1,256,600	1,256,600	1,859,100	602,500
Other Operating Expenses	-	-	842,300	842,300	1,150,000	307,700
Placer County Collection Fee	239,924	244,472	263,100	263,100	278,200	15,100
Supplies	-	-	50,000	50,000	-	(50,000)
Subtotal Operating Expenses	**1,236,116**	**1,338,176**	**2,412,000**	**2,412,000**	**3,287,300**	**875,300**
Other Uses						
Transfer to Capital Improvement	222,741	-	-	1,098,000	-	(1,098,000)
Transfer to Debt Service	177	-	-	-	-	-
Transfer to General Fund	1,681,804	-	-	673,500	44,500	(629,000)
Transfer to GF - Fac Maint. Allocation	167,956	165,700	207,300	207,300	199,100	(8,200)
Transfer to GF - ICA	1,677,260	1,436,490	1,715,500	1,715,500	2,077,100	361,600
Transfer to Internal Service	-	-	-	898,000	-	(898,000)
Transfer to Special Revenue Fund	3,156	1,134	1,200	1,200	11,500	10,300
Transfer to Technology Fee	163,797	123,428	122,900	122,900	69,300	(53,600)
Subtotal Other Uses	**3,916,891**	**1,726,751**	**2,046,900**	**4,716,400**	**2,401,500**	**(2,314,900)**
Debt Service/Depreciation	**2,395**	**440**	**100**	**100**	**-**	**(100)**
Capital Outlay	**8,331,432**	**-**	**-**	**-**	**-**	**-**
TOTAL	**$13,486,833**	**$ 3,065,368**	**$ 4,499,000**	**$ 7,168,500**	**$ 5,728,800**	**$ (1,439,700)**

SECTION 5: DEFINITIONS

GOVERNMENTAL FUNDS

GENERAL FUNDS

General Fund 100	The primary operating fund of the City. It is used to account for all activities except those legally or administratively required to be accounted for in other funds.
Americans with Disabilities Act (ADA) 737	The ADA is a federal civil rights law that prohibits discrimination against individuals with disabilities in all areas of public life, including all public and private places that are open to the general public. This fund accounts for monies used for services and improvements to facilities for individuals with disabilities**.**
Boroski Landfill Monitoring 727	This fund accounts for costs to monitor the previous Landfill area.
Building Reserve Fund 180	Pursuant to the City's Key Management Practices, the Building Reserve Fund accounts for set aside funds to fund capital maintenance projects to sustain existing City facilities or to support the expansion of existing City facilities to address the workforce needs of the City services.
General Fund Economic Development 122	This fund accounts for committed funds previously set aside to be used for economic development activities pursuant to the City's Key Management Practices.
General Fund Quarry Park Adventure Shortfall 115	This is a general fund-type fund that accounts for a set aside for Quarry Park Adventures operating shortfalls.
General Fund Quarry Park Amphitheater Events 111	This is a general fund-type fund that accounts for the special event activities hosted by the Parks and Recreation Department at the Quarry Park Amphitheater.
Park Infrastructure Fund 170	This fund accounts for committed funds previously set aside for parks infrastructure projects.
Retirees Health 151	This fund accounts for monies to fund the Retirees' Health premium payments and to fund the Retirees' Health Trust.
Technology Fees 130	This fund accounts for fees collected to cover the cost of e-commerce and related technology systems.

SPECIAL REVENUE FUNDS

American Rescue Plan Act 266	This fund accounts for funds received through the Federal American Rescue Plan Act.

Asset Forfeiture (Federal) 243	This fund accounts for funds received by the Police Department from the Federal Government to share assets forfeited in cases worked on with joint efforts. Funds are to be used to support law enforcement efforts.
Asset Forfeiture (State) 242	This fund accounts for funds received by the Police Department from the State. 15% of these funds must be set aside to combat drug abuse and divert gang activity. Funds remaining after the 15% set aside are to be used to support law enforcement efforts.
Bicycle and Pedestrian 212	This fund accounts for SB 325 (Local Transportation Fund) amounts designated for Bicycle and Pedestrian transit needs of local government.
CASp Certification and Training 248	This fund accounts for SB 1186 monies collected for staff training for construction-related state accessibility requirements.
CDBG 2000 (Oak Court) 253	This fund accounts for State Community Development Block Grant funds received for the City's down payment assistance program for purchasers of Oak Court residences.
CDBG First-Time Home Buyers 254	This fund accounts for State Community Development Block Grant funds received for the City's down payment assistance program for first time home buyers.
CDBG Housing and Urban Development Entitlement 257	This fund accounts for funds received from Federal Community Development Block Grant entitlement grant awards to be used for Public Services, Housing and Capital, Planning and Administration of Grant.
CDBG Housing Rehabilitation 251	This fund accounts for State Community Development Block Grant funds received for the City's Housing Rehabilitation Program.
CDBG HUD Coronavirus 258	This fund accounts for funds received from the Federal Coronavirus Aid, Relief, and Economic Security Act (CARES Act) through the Department of Housing and Urban Development to be used to prevent, prepare for, and respond to COVID-19.
Community Facilities District 1 231	This fund accounts for special tax monies used for fire protection, fire suppression, and paramedic services.
Community Facilities District 5 232	This fund accounts for special tax monies used for streets and parkway lights, streetscapes, open space and parks.
Community Facilities District 6 233	This fund accounts for special tax monies used for operation and maintenance of open space and storm drainage facilities.
Costco Settlement 209	Funds are to be used in accordance with the Settlement Agreement between Costco, Town of Loomis, and City of Rocklin, dated October 2022.
DOF Treasury Coronavirus 259	This fund accounts for funds received from the CARES Act through the Department of Finance to be used to prevent, prepare for, and respond to COVID-19.

Grant & Other Reimbursables 275	This fund accounts for reimbursable costs for grants or other reimbursement agreements.
LCTP 219	This fund accounts for monies from the state-funded Low Carbon Transportation Program to reduce greenhouse gas emissions and improve mobility.
Lighting & Landscape Maintenance District No. 2 235	This fund accounts for special assessment monies used for lighting and landscape of public areas within the District.
Lighting Maintenance District No. 1 230	This fund accounts for special assessment monies used for lighting of public areas within the District.
Low and Moderate Housing 270	This fund accounts for the housing assets to be used for low and moderately low-income housing.
Motor Vehicle Fuel Tax (Gas Tax) 201	This fund accounts for monies received from the State of California under various State statutes. The allocations must be spent for street transportation-related purposes.
Opioid Settlement 247	Funds are for opioid remediation as allowed by Section VI(B)(2) of the Opioid Settlement Agreement and amendments between California cities and counties with Janssen Pharmaceuticals and its parent company Johnson & Johnson, and its distributors, dated July 21, 2021.
Park Development and Maintenance Tax 236	This fund accounts for special tax monies used for maintenance, development, repair and operation of parks.
Recreation Facilities Contribution 221	This fund accounts for monies collected for recreation facilities construction and improvements.
SB1- Road Maintenance & Rehab Allocation 205	This fund accounts for monies designated by the Road Repair and Accountability Act of 2017 (SB 1) to be used for maintenance, rehabilitation and safety improvements of streets and highways.
SB325 Sales Tax 210	This fund accounts for the remaining sales tax revenue collected in accordance with SB 325 (Local Transportation Fund) to be used for maintenance of streets.
SB325 Transit Funds 211	This fund accounts for monies from the SB 325 (Local Transportation Fund) and State Transit Assistance funds for the transit needs of local government.
Streets Grants Fund 240	This fund accounts for various grants related to street projects.

Supplemental Law Enforcement 736	This fund accounts for state funds received to be used for public safety purposes.
Traffic Safety/PD Grants 244	This fund accounts for monies received for traffic safety programs and police grants.
CAPITAL PROJECT FUNDS	
Capital Construction Tax 304	This fund accounts for taxes imposed on the privilege of the construction of new buildings; the conversion of any existing building from a non-residential to residential use; and an addition to any building. Funds can be used for development of public facilities and acquisition of fire equipment and is the funding source to pay the debt payments in the Capital Construction Debt Service Fund (Fund 400).
Community Center Impact Fee 328	This fund accounts for impact fees used for new or additions to existing community recreation center facilities.
Community Park Fees 301	This fund accounts for impact fees used for community parks and City-wide recreational facility construction and improvements to reduce the impacts caused by new development within the City.
NW Rocklin Community Park Fees 307	This fund accounts for impact fees used to fund the North West Rocklin Community Park.
Oak Tree Mitigation Fees 305	This fund accounts for fees used to help mitigate the decline of oak woodlands due to urbanization.
Park Development Fees 300	This fund accounts for fees to be used for the development, installation, servicing, maintenance, repair and operation of parks and related recreation and facilities.
Public Facilities Impact Fees 308	This fund accounts for impact fees used to fund expansion of public facilities to serve new development within the City.
Traffic Circulation Impact Fees 302	This fund accounts for impact fees used to finance street construction and improvements to reduce the impacts caused by new development within the City.
Trails Impact Fees 321	This fund accounts for impact fees used to construct additional trails to reduce the impacts caused by new development within the City.
Whitney Ranch Interchange Fees 325	This fund accounts for impact fees used to develop the Whitney Ranch/Highway 65 Interchange.

Whitney Ranch Trunk Sewer Project 306	This fund accounts for impact fees used to fund the Whitney Ranch Trunk Sewer Project.
DEBT SERVICE FUND	
Capital Construction Debt Service 400	This fund accounts for the principal and interest payments on capital construction debt such as Lease Revenue Bonds.
PERMANENT FUNDS	
Conservation Easement Endowment 729	This fund accounts for an endowment from which the income will fund initial preserve area maintenance and operations in Sunset Ranchos Phase l.
Wetlands Maintenance 728	This fund accounts for an endowment from which the income will fund ongoing maintenance of wetlands on a single lot parcel in Stanford Ranch Phase III.
INTERNAL SERVICE FUNDS	
Fleet Management 500	An internal service fund used to account for the cost of maintenance of all City vehicles and a reserve to offset future replacement costs.
Risk Management 525	An internal service fund to account for and finance the risk management functions for the City. This includes general liability, workers compensation, property damage, dental, vision, and other insurance expenditures and also holds reserves for Self-Insured Losses and Disaster Contingency.
FIDUCIARY FUND	
Public Arts Trust Fund 739	This fund accounts for donations and expenditures for public arts projects.

TERM	DEFINITION
Actual	Actual level of expenditures/FTE positions/revenues approved for fiscal year as noted.
Appropriation	An authorization by the City Council, which permits officials to incur obligations and expend City resources, for a specific purpose within a fiscal year.
Assessment	Revenue collected for City services that benefit properties in specific areas or districts.
Assessment District	A separate local government agency formed to provide specific services. Property owners within the Assessment District boundary pay the district in proportion to the benefits or services they receive.
Balanced Budget	The amount of budgeted expenditures is equal to or less than the amount of budgeted revenues plus other available resources.
Beginning/Ending Fund Balance	Unencumbered budgetary resources available in a fund from the prior/current year after payment of the prior/current year's expenses. Not necessarily cash on hand.
Budget	An annual financial plan consisting of draft/adopted expenditures for specific purposes and the draft/adopted means of financing them.
California Public Employees' Retirement System (CalPERS)	The nation's largest public pension fund, providing health and retirement benefits to more than 1.6 million state, public school, and local public agency employees, retirees, and their families. The City contracts with CalPERS for health and retirement benefits.
Capital Assets	Capital assets include land, improvements to land, easements, building improvements, vehicles, machinery, equipment, works of art and historical treasures, infrastructure, and all other tangible or intangible assets that are used in operations and that have initial useful lives extending beyond a single reporting period.
Capital Improvement Plan (CIP)	An ongoing five-year plan of single and multiple-year capital expenditures which is annually updated.
Capital Outlay	A specific undertaking involving procurement, construction or installation of facilities, or related equipment, that improves, preserves, enhances, or modernizes the City's provision of municipal services, has a useful life in excess of one year, and costs in excess of $10,000. The threshold for infrastructure is in excess of $100,000. Capital outlays may include construction or major repair of City buildings and facilities such as streets, roads, storm drains, traffic signals, lights, parks, community centers, etc.

Community Facilities District (CFD)	The Mello-Roos Act (1982) allows any county, city, special district, school district, or joint powers authority to establish a CFD that allow for financing of public improvements and services. To establish a CFD, voters within the district must approve its creation by a two-thirds vote of property owners.
Debt Service	The costs of paying the principal and interest on borrowed money according to a predetermined payment schedule.
Department	Refers to the City Council; City Manager; City Attorney; City Clerk; Administrative Services, Community Development; Fire; Parks and Recreation; Police; and Public Services.
Division	A roll-up of units within a department. There are one or more units within a division.
Equipment	Capital outlay for tangible property of a relatively permanent nature, such as vehicles or equipment with a unit cost of $10,000 or more.
Financial Accounting Standards Board (FASB)	An independent standards-setting body designated to set accounting and financial reporting standards for commercial entities and nongovernmental not-for-profit entities.
Fiscal Year (FY)	A time period designated by the City signifying the beginning and ending period for recording financial transactions. The City has a fiscal year from July 1 through June 30, referred to as FY 2023/24 or FY 24.
Full-Time Equivalent (FTE)	A unit indicating the workload of a position in order to distinguish workloads comparable to a full-time position. An FTE of 1.0 means that the position is equivalent to a full-time workload; an FTE of 0.5 means that the position is half-time.
Fund	A group of related accounts used to maintain control over resources that have been segregated for specific activities or purpose.
Fund Balance	The total money remaining after current expenditures for operations and capital improvements are subtracted from the sum of the beginning fund balance and current resources.
Franchise Fee	A franchise fee is charged for the privilege of using public rights-of-way and property within the City for public or private purposes. The City assesses franchise fees on cable television providers, utilities, and trash collection contractors.

Generally Accepted Accounting Principles (GAAP)	Accounting rules used to prepare financial statements for publicly traded companies and many private companies in the United States. Generally accepted accounting principles for local and state governments operates under a different set of assumptions, principles, and constraints, as determined by the Government Accounting Standards Board (GASB).
Government Accounting Standards Board (GASB)	GASB was established for the purpose of creating and implementing consistent standards of accounting and financial reporting among all state and local governmental entities.
General Fund	The City's principal operating fund, which is supported by taxes and fees and which, generally, has no restrictions on its use. Expenditures may be described as discretionary and non-discretionary.
Grant	Contributions of cash or other assets provided by external agencies, which are restricted to a specific purpose, have a time limit for use, and frequently are reimbursed after incurring eligible costs.
Indirect Cost	Elements of costs necessary in the performance of a service that are not readily identified to the unit of service, such as administration, supplies, etc.
Internal Service Fund (ISF)	An ISF provides services to all City Departments and charges the various other funds for services rendered. ISFs should be self-supporting.
Non-Departmental	Program costs that do not relate to any one department, but represent costs of a general citywide nature.
Ordinance	A law or regulation made by a city or town government.
Operating Budget	Annual appropriation of funds for ongoing program costs, including staffing, other services and supplies, equipment, debt service/depreciation.
Other Post-Employment Benefits (OPEB)	Benefits that an employee will begin to receive at the start of retirement, not including pension benefits.
Revised	Level of expenditures/FTE positions/Revenues reflecting adjustments made during the current fiscal year.
Resolution	A formal declaration by the City Council.
Resources	Total dollars available for appropriation during the fiscal year, including estimated revenues, transfers-in, and beginning fund balance.

Revenue	Income received from the following categories: taxes, charges for fees and services; licenses and permits; use of money and property; intergovernmental; fines, forfeitures, and penalties; miscellaneous revenues; and transfers in from other funds.
Services and Supplies	Costs of contractual or outside services, office supplies, and equipment.
Staffing	The personnel costs of a City program, including salaries and wages, direct and indirect benefits, such as health insurance, retirement contribution, worker's compensation, unemployment insurance, etc.
Surplus	An excess of total current resources over total current requirements/obligations.
Variance	Changes in expenditures, revenues, or staffing levels between fiscal years.

ACRONYM	DESCRIPTION
AB	Assembly Bill
ADA	Americans with Disabilities Act
CalPERS	California Public Employees' Retirement System
CDBG	Community Development Block Grant
CMO	City Manager's Office
CSMFO	California Society of Municipal Finance Officers
ACFR	Annual Comprehensive Financial Report
CIP	Capital Improvement Plan
DFEH	Department of Fair Employment and Housing
CDPH	California Department of Public Health
EIR	Environmental Impact Report
FY	Fiscal Year
FLSA	Fair Labor Standards Act
FMLA	Family Medical Leave Act
FT	Full-Time
PT	Part-Time
FTE	Full-Time Equivalent
GF	General Fund
GO	General Obligation
GAAFR	Governmental Accounting, Auditing, and Financial Reporting
GASB	Governmental Accounting Standards Board
GFOA	Government Finance Officers Association of the United States of America
HIPPA	Health Insurance Portability and Accountability Act of 1996
IAFF	International Association of Fire Fighters
IS	Information System
IT	Information Technology
LT	Limited Term

MOU	Memorandum of Understanding
NCCSIF	Northern California Cities Self Insurance Fund
OSHA	Occupational Safety and Health Agency
PCI	Payment Card Industry
PFFP	Public Facilities Financing Plan
PG&E	Pacific Gas and Electric
PPT	Permanent Part-Time
PRA	Public Records Act
SB	Senate Bill
STR	Short-Term Rental
TPT	Temporary Part-Time
VLF	Vehicle License Fee

APPENDICES

Fund Analysis
Fiscal Year 2023/24 Budget

Fund No.	Fund Description	Beginning Balance	Revenue	Expenditures	Interest	Transfers In	Transfers Out	Ending Balance
ALL FUNDS								
100	**General Fund Reserved**							
	Building Repair Allocation	1,189,000	-	-	-	-	1,189,000	-
	Park Infrastructure Reserve	424,100	-	-	-	-	424,100	-
	Assigned Fund Balance	9,435,600	-	1,000,000	-	-	-	8,435,600
	Operating Reserve	14,938,300	-	-	-	788,100	-	15,726,400
	General Fund Reserved Totals	**25,987,000**	**-**	**1,000,000**	**-**	**788,100**	**1,613,100**	**24,162,000**
	General Fund Unreserved	15,340,700	59,018,800	64,356,700	909,200	4,955,600	441,800	15,425,800
	Total General Fund	**41,327,700**	**59,018,800**	**65,356,700**	**909,200**	**5,743,700**	**2,054,900**	**39,587,800**
111	Quarry Park Amphitheater Events	-	304,700	304,700	-	-	-	-
115	Quarry Park Adventures Reserve	4,000	-	-	-	-	-	4,000
122	Economic Development	240,000	-	95,000	-	-	-	145,000
130	Technology Fees	775,500	-	1,111,100	-	443,400	-	107,800
151	Retirees Health	1,129,500	2,365,000	2,365,000	17,800	-	-	1,147,300
170	Park Infrastructure Reserve	658,100	-	-	-	424,100	-	1,082,200
180	Building Reserve	-	-	-	-	1,189,000	-	1,189,000
201	Gas Tax	-	2,053,400	860,900	16,900	-	137,900	1,071,500
205	SB 1 - Road Maintenance & Rehabilitation	93,800	1,771,500	702,800	27,800	-	26,800	1,163,500
209	Costco Settlement	419,800	-	-	-	-	-	419,800
210	Sales Tax SB 325	3,755,200	5,058,600	8,301,900	97,000	-	1,019,800	(410,900)
211	SB 325 Transit Fund	5,644,000	973,600	1,023,600	-	-	-	5,594,000
212	Bicycle and Pedestrian	50,000	100,000	100,000	-	-	-	50,000
230	Lighting Maintenance District No. 1	1,600	252,400	250,200	-	-	2,200	1,600
231	Community Facilities District No. 1	-	2,284,300	22,800	-	-	2,261,500	-
232	Community Facilities District No. 5	-	7,012,700	5,497,600	124,500	11,500	725,100	926,000
233	CFD No. 6 - Open Space Maintenance	4,342,400	351,800	339,300	6,200	-	42,900	4,318,200
235	Landscaping & Lighting Maint. District No. 2	253,200	2,684,700	2,515,400	32,900	-	316,100	139,300
236	Park Tax Special Assessment	1,374,700	653,200	6,500	-	-	646,700	1,374,700
240	Streets Grants Fund	-	5,470,500	5,470,500	-	-	-	-
242	Asset Forfeiture - State	-	100,000	50,000	16,300	-	-	66,300
243	Asset Forfeiture - Federal	414,400	-	-	400	-	-	414,800
244	Traffic Safety/Police Grants	17,500	142,300	186,700	-	44,400	-	17,500
251	CDBG Housing Rehabilitation	67,200	-	-	-	-	-	67,200
	CDBG Housing Rehabilitation Loans	155,500	-	-	-	-	-	155,500
253	CDBG 2000 - Oak Court	188,000	-	-	-	-	-	188,000
	CDBG 2000 - Oak Court Loans	265,600	-	-	-	-	-	265,600
254	CDBG - First-Time Home Buyers	110,000	-	-	-	-	-	110,000
	CDBG - First Time Home Buyers Loans	103,200	-	-	-	-	-	103,200
257	CDBG - HUD Entitlement	21,000	300,100	241,100	-	-	59,000	21,000
266	American Rescue Plan Act	-	500,000	-	-	-	500,000	-
270	Low & Moderate Income Housing Asset	-	-	2,807,500	190,200	-	150,000	(2,767,300)
	Low & Moderate Income Loans	8,805,600	-	-	-	-	-	8,805,600
275	Grants/Other Reimbursables	4,219,100	60,300	-	-	-	60,300	4,219,100
300	Park Development Fees	-	801,900	401,800	33,900	-	-	434,000
301	Community Park Fees	900,500	677,900	125,500	3,100	-	-	1,456,000
302	Traffic Circulation Impact Fee	(177,000)	1,717,500	2,021,500	443,400	-	69,300	(106,900)
	Traffic Circulation Impact Fee Loans	17,127,700	-	-	-	-	-	17,127,700
304	Capital Construction Fees	747,600	703,200	1,298,700	142,600	500,000	532,800	261,900
	Reserve For LRBS Debt Service	5,103,800	-	-	-	-	-	5,103,800

Fund Analysis (continued)
Fiscal Year 2023/24 Budget

Fund No.	Fund Description	Beginning Balance	Revenue	Expenditures	Interest	Transfers In	Transfers Out	Ending Balance
ALL FUNDS (continued)								
305	Oak Tree Mitigation Fees	1,059,300	44,000	152,500	-	-	4,800	946,000
306	Whitney Ranch Trunk Sewer Project	98,600	33,800	-	10,700	-	44,500	98,600
307	North West Rocklin Community Park Fees	-	419,400	-	7,100	-	-	426,500
308	Public Facilities Impact Fees	69,200	23,400	-	37,600	-	-	130,200
321	Trails Impact Fees	1,077,400	52,900	-	700	-	-	1,131,000
325	Whitney Ranch Interchange Fees	-	74,900	-	41,300	-	-	116,200
328	Community Recreation Center Facility Impact Fees	1,580,600	54,700	-	700	-	-	1,636,000
400	Capital Construction - Debt Service	-	-	532,800	-	532,800	-	-
500	Vehicle Fleet Management	-	3,363,400	3,485,700	118,800	-	59,000	(62,500)
	Vehicle Fleet Net Capitalized Assets	3,841,000	-		-	-	-	3,841,000
525	Risk Management	7,529,900	4,076,000	4,144,000	99,600	-	-	7,561,500
	Risk Mgmt - Reserves-Self-Insured Losses; Disaster Cont; NCCSIF	1,137,400	-	-	-	-	-	1,137,400
727	Boroski Landfill Monitoring	3,935,100	-	28,100	-	28,100	-	3,935,100
728	Wetlands Maintenance Parcel 34	-	-	-	2,600	-	2,600	-
729	Cons. Easement Endowment	56,300	-	-	11,500	-	11,500	56,300
736	Sup Law Enforcement Services AB 3229	453,500	189,300	-	-	-	189,300	453,500
	TOTAL	**$ 118,977,500**	**$ 103,690,200**	**$ 109,799,900**	**$ 2,392,800**	**$ 8,917,000**	**$ 8,917,000**	**$ 115,260,600**

JOB CLASSIFICATION	Bargaining Unit	Schedule	Range	Minimum	Maximum
Accountant I	AFSCME	B	28	62,790	86,556
Accountant II	AFSCME	B	33	71,041	97,930
Accounting Supervisor	Management	B	16	93,767	129,258
Accounting Technician I	AFSCME	B	21	52,823	72,817
Accounting Technician II	AFSCME	B	25	58,306	80,376
Administrative Analyst	AFSCME	B	39	82,385	113,569
Administrative Assistant	AFSCME	B	23	55,497	76,503
Animal Control Officer	Police	B	NSP6	58,902	80,277
Assistant City Attorney	Management	B	26	120,029	165,462
Assistant City Manager	Management	B	38	161,426	222,528
Assistant Civil Engineer	AFSCME	B	35	74,637	102,888
Assistant Land Surveyor	AFSCME	B	37	78,416	108,097
Assistant Planner	AFSCME	B	33	71,041	97,930
Associate Civil Engineer	AFSCME	B	43	90,938	125,359
Associate Management Analyst	Management	B	5	71,464	98,514
Associate Planner	AFSCME	B	39	82,385	113,569
Building Division Supervisor	AFSCME	B	39	82,385	113,569
Building Inspector I	AFSCME	B	26	59,764	82,385
Building Inspector II	AFSCME	B	32	69,308	95,542
Building Maintenance Supervisor	AFSCME	B	29	64,359	88,720
Building Maintenance Worker	AFSCME	B	20	51,534	71,041
Building Plans Examiner	AFSCME	B	31	67,618	93,212
Building Trades Worker	AFSCME	B	28	62,790	86,556
Chief Building Official	Management	B	23	111,459	153,648
City Attorney	Management	B	NA	-	219,480
City Clerk	Management	B	NA	-	110,210
City Engineer	Management	B	27	123,030	169,598
City Manager	Management	B	NA	-	252,000
Code Enforcement Officer	AFSCME	B	28	62,790	86,556
Code Enforcement Program Manager	Management	B	15	91,480	126,106
Code Enforcement Technician	AFSCME	B	14	44,438	61,258
Community Development Inspector	AFSCME	B	30	65,968	90,938
Community Development Technician	AFSCME	B	25	58,306	80,376
Community Service Officer	Police	B	NSP3	68,084	92,792
Construction Inspector I	AFSCME	B	26	59,764	82,385
Construction Inspector II	AFSCME	B	30	65,968	90,938
Crime Analyst	Police	B	NSP1	76,257	103,931
Departmental Administrative Specialist	Confidential	B	17	54,994	75,810
Deputy City Clerk	Confidential	B	20	59,223	81,639
Deputy Director of Administrative Services	Management	B	26	120,029	165,462
Deputy Fire Chief	Management	B	31	135,802	187,205
Deputy Fire Marshal	AFSCME	B	43	90,938	125,359
Director of Long-Range Planning and Housing	Management	B	23	111,459	153,648

JOB CLASSIFICATION (continued)	Bargaining Unit	Schedule	Range	Minimum	Maximum
Manager of Planning Services	Management	B	19	100,977	139,197
Manager of Police Records and Communications	Management	B	15	91,480	126,106
Office Assistant I	AFSCME	B	5	35,583	49,051
Office Assistant II	AFSCME	B	11	41,265	56,884
Paralegal	Confidential	B	24	65,371	90,114
Park Services Aide	AFSCME	B	5	35,583	49,051
Parks and Recreation Manager	Management	B	17	96,111	132,490
Parks Division Supervisor	AFSCME	B	37	78,416	108,097
Payroll Administrator	Management	B	6	73,250	100,977
Payroll Technician I	Confidential	B	17	54,994	75,810
Payroll Technician II	Confidential	B	20	59,223	81,639
Permit Center Supervisor	AFSCME	B	27	61,258	84,445
Permit Services Supervisor	AFSCME	B	33	71,041	97,930
Plan Check Engineer	AFSCME	B	44	93,212	128,493
Planning/Building Technician I	AFSCME	B	19	50,277	69,308
Planning/Building Technician II	AFSCME	B	23	55,497	76,503
Police Captain	Management	B	35	149,900	206,639
Police Chief	Management	B	40	169,598	233,793
Police Clerk	Temporary	B	NA	18.76	20.10
Police Community Program Coordinator	Police	B	NSP3	68,084	92,792
Police Lieutenant	Public Safety Mgr	B	SP 2	137,686	187,652
Police Officer	Police	B	SP 2	91,055	124,099
Police Officer Trainee	Police	B	NSP7	-	56,096
Police Records Clerk	Police	B	NSP7	56,096	76,453
Police Records Supervisor	Police	B	NSP3	68,084	92,792
Police Sergeant	Police	B	SP 1	111,943	152,567
Police Services Manager	Public Safety Mgr	B	SP 1	84,069	114,577
Police Technical Assets Coordinator	Police	B	NSP3	68,084	92,792
Principal Information Technology Analyst	Management	B	16	93,767	129,258
Principal Management Analyst	Management	B	20	103,501	142,677
Program Assistant I	Temporary	B	NA	15.50	16.60
Program Assistant II	Temporary	B	NA	16.28	17.43
Public Safety Dispatcher I	Police	B	NSP6	58,902	80,277
Public Safety Dispatcher II	Police	B	NSP3	68,084	92,792
Public Safety Dispatch Supervisor	Police	B	NSP0	78,680	107,232
Public Services Business Technician	AFSCME	B	27	61,258	84,445
Public Services Manager	Management	B	17	96,111	132,490
Public Services Operations Supervisor	AFSCME	B	35	74,637	102,888
Public Services Technician	AFSCME	B	22	54,143	74,637
Public Works Inspector I	AFSCME	B	26	59,764	82,385
Public Works Inspector II	AFSCME	B	30	65,968	90,938
Recreation Coordinator	AFSCME	B	23	55,497	76,503

JOB CLASSIFICATION (continued)	Bargaining Unit	Schedule	Range	Minimum	Maximum
Recreation Supervisor	AFSCME	B	31	67,618	93,212
Reserve Police Officer Coordinator	Temporary	B	NA	-	26.18
Senior Accountant	AFSCME	B	39	82,385	113,569
Senior Administrative Assistant	Confidential	B	11	47,421	65,371
Senior Building Maintenance Worker	AFSCME	B	22	54,143	74,637
Senior Building Trades Worker	AFSCME	B	30	65,968	90,938
Senior Construction Inspector	AFSCME	B	32	69,308	95,542
Senior Departmental Administrative Specialist	Confidential	B	20	59,223	81,639
Senior Engineer	Management	B	18	98,514	135,802
Senior Equipment Mechanic	AFSCME	B	28	62,790	86,556
Senior Information Technology Analyst	AFSCME	B	42	88,720	122,302
Senior Information Technology Specialist	AFSCME	B	35	74,637	102,888
Senior Irrigation Maintenance Technician	AFSCME	B	26	59,764	82,385
Senior Maintenance Worker	Temporary	B	NA	20.68	22.15
Senior Office Assistant	AFSCME	B	15	45,549	62,790
Senior Planner	AFSCME	B	44	93,212	128,493
Senior Police Technician	Confidential	B	20	59,223	81,639
Senior Public Safety Dispatcher	Police	B	NSP2	73,192	99,753
Senior Public Services Specialist	Temporary	B	NA	17.02	18.23
Senior Records Clerk	Police	B	NSP4	63,183	86,114
Senior Street Maintenance Worker	AFSCME	B	21	52,823	72,817
Senior Traffic Control and Lighting Technician	AFSCME	B	32	69,308	95,542
Special Events Production Coordinator	Temporary	B	NA	20.68	22.15
Street Maintenance Supervisor	AFSCME	B	33	71,041	97,930
Street Maintenance Worker I	AFSCME	B	15	45,549	62,790
Street Maintenance Worker II	AFSCME	B	17	47,855	65,968
Traffic Control and Lighting Technician	AFSCME	B	29	64,359	88,720
Traffic Maintenance Assistant	AFSCME	B	19	50,277	69,308
Traffic Maintenance Supervisor	AFSCME	B	37	78,416	108,097
Website Technician	Temporary	B	NA	25.00	26.78

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
ADOPTING THE FISCAL YEAR 2023/24 BUDGET AND
2024-2028 CAPITAL IMPROVEMENT PLAN

WHEREAS, Chapter 3.28 of the Rocklin Municipal Code (RMC) requires the City Manager to submit to the City Council a proposed budget not later than May 15th, and the City Council adopt the final budget on or before June 30th of each year by resolution; and

WHEREAS, on May 23, 2023, pursuant to Chapter 3.28 of the RMC, the draft fiscal year (FY) 2023/24 budget and the draft 2024-2028 capital improvement plan were discussed at a public meeting of the City Council; and

WHEREAS, the City of Rocklin has maintained sustainable budget guidelines and key management practices to establish the financial goals of the City and the principles that govern budget deliberations, and are memorized in Exhibit "A".

NOW THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The fiscal year 2023/24 Operating and Capital Improvement Plan Budgets are hereby approved and adopted as set forth in Exhibits "A and B", attached hereto.

Section 2. The 2024-2028 Capital Improvement Plan (CIP), which provides a comprehensive five-year plan for the City's capital project expenditures are hereby approved and adopted as set forth in Exhibit "B", attached hereto.

Section 3. The City Manager is authorized to make any expenditure and resource adjustments to the Budget based on final City Council action to adopt the Budget, in accordance with the Rocklin Municipal Code, City policy and procedure.

Section 4. The City Manager is authorized to revise any appropriation made in the Approved or Amended Budget where the revision is of a technical nature and is consistent with the City Council intent, the Rocklin Municipal Code, City policy and procedure.

Section 5. Purchase order commitments outstanding on June 30th, and associated appropriations, are hereby continued to the following fiscal year.

Section 6. The City Manager is authorized to make the following budget adjustments:

1. At year-end or upon closure of grants, adjust revenue and expenditure budgets associated with grants (federal, state, or local grants) to reflect actual revenues received and expenditures incurred in compliance with the original grant award and any amendments.

2. Appropriated grant funds remaining unspent at the end of the fiscal year must be retained and transferred to the following fiscal year to be expended to which they were appropriated.

3. Appropriated operating project and CIP funds remaining unspent at the end of the fiscal year, that are not required to balance the budget, can be retained and transferred to the following fiscal year for the originally approved projects and any amendments, excluding completed projects. All carryover funds must be approved by the City Manager or the City Manager's designee.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk



2024-2028
CAPITAL IMPROVEMENT PLAN

130 YEARS
1893 ROCKLIN CALIFORNIA 2023
ANNIVERSARY

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ROCKLIN
CALIFORNIA

Mayor
Ken Broadway

Vice-Mayor
Greg Janda

Councilmembers
David Bass
Jill Gayaldo
Bill Halldin

City Manager
Aly Zimmermann

Assistant City Manager
Sherri Conway

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ROCKLIN
CALIFORNIA

Vision Statement

To become a City that provides its citizens with exceptional quality of life, while maintaining its small-town sense of community.

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EXECUTIVE SUMMARY

The City of Rocklin Capital Improvement Plan (CIP) is a five-year planning document to identify the current and future capital improvement needs of the City and to align those needs with the appropriate timing and financing in a way that assures the most responsible and efficient use of City resources.

This document is prepared separately from the City's Operating Budget in order to provide a more thorough and detailed description of the City's capital improvement projects and equipment contained within.

Each year, all projects and equipment included in the plan are reviewed, and requests for new projects and equipment are considered. Pursuant to the City's Strategic Plan and long-range planning objectives, each request is analyzed according to need, relative priority, and the City's ability to implement it using available resources. Existing capital projects are reassessed annually to determine if adjustments are needed due to changes in conditions or needs.

The five (5) year CIP is based on the City Council and City administration's recognition of the need to have a comprehensive and financially balanced plan to maintain and improve its capital infrastructure and facilities to meet the needs of a dynamic city.

CIP Committee Recommendations:

Sixteen (16) Previously Approved Projects:

ADA Transition Program - Housing and Urban Development (HUD) Specific Areas
ADA Transition Program - Non-Housing and Urban Development (HUD) Areas
Aguilar Road Improvements
Annual Road Maintenance Program
City Hall Office Space Study and Remodel
Granite Drive Median
Mission Hills Reconstruction Phase IV
Monument Springs Bridge
Park Play Structure Replacements
Pavement Rehabilitation
Police Department HVAC and Roof Replacement
Reserve Apparatus Storage
Rocklin Road / Sierra College Corridor Multimodal Enhancements
Sierra College Blvd. Widening (Schriber to Dominguez)
Stormwater Pipe Replacement Program
Sunset Whitney Recreation Area Improvements

EXECUTIVE SUMMARY

Six (6) New Projects:

Blue Oaks Pedestrian Crossing
Citywide Roadway Resurfacing
Five Star Blvd. and Destiny Drive Reconstruction
I-80 Westbound Auxiliary Lane
Placer County Water Agency Roadway Improvements
Sierra College Blvd. Roadway Rehabilitation

Fourteen (14) Unfunded Future Projects:

City Hall HVAC Refurbishment
Community Center Renovation
Farron Street Railroad Crossing Pedestrian Improvement
Johnson Springview Community Park Parking Lot
Kathy Lund Community Park Parking Lot
Kathy Lund Community Park Picnic Pavilion
Pacific Street - Curb, Gutter, and Sidewalk
Park Drive Resurface and Restripe
Public Safety Training Center
Quarry District Alley Work
Railroad Avenue North West Extension
Sunset Blvd. Sidewalk
Sunset Park Trail Phase A
Whitney Ranch Pkwy and University Avenue Roundabout

One (1) Equipment:

Fire Station Alerting

When projects are in-progress, but not fully completed by the end of the budgeted fiscal year, the project's remaining expenditure budget may carry-forward into the following fiscal year to allow for project completion.

Five (5) In-Progress Projects Completing in FY 2023/24:

Fire Station 24 Security
Fire Station 25 Privacy
Fire Station 25 Security
Bike Pump Track
Sunset Whitney Recreation Area Restrooms

CAPITAL IMPROVEMENT PLAN ACCOMPLISHMENTS

The Capital Improvement Plan has proven to be successful in achieving numerous capital improvement project accomplishments.

Some of the significant accomplishments in FY 2022/23 include:

- **Argonaut Culvert Replacement**
  Replaced the failed pipe, restored the headwall and endwall, and repaired the pedestrian pathway pavement allowing Clover Valley Creek to flow under Argonaut Avenue.

- **Pacific Street Roundabout**
  Replaced existing signalized intersection with a multilane roundabout with new pavement and street lighting system.

- **Sunset Whitney Recreation Area East Trails**
  Replaced existing pathway on eastern portion of the Sunset Whitney Recreation Area.

CAPITAL IMPROVEMENT PLAN OVERVIEW

Throughout the course of a year various City departments identify and compile lists of capital improvement projects and equipment requests that are then submitted during the budget process. In order to systematically review and evaluate these requests, a Capital Improvement Plan (CIP) has been developed. The Capital Improvement Plan (CIP) is a planning document to ensure that the City's infrastructure and equipment are appropriate and adequate to serve the needs of the citizens of Rocklin.

Capital Assets

Capital assets are real or personal property that have a value equal to or greater than the capitalization threshold and have an estimated useful life of greater than one year. The threshold for infrastructure is set at $100,000. The thresholds for all assets other than infrastructure are $10,000 unless the asset is acquired using grant funds, in which case it is $5,000.

CIP Committee

The CIP Committee is comprised of staff representing various departments:

Aly Zimmermann, City Manager
Tameka Usher, Director of Administrative Services
Daniel Choe, Deputy Director of Administrative Services
Justin Nartker, Director of Public Services
David Mohlenbrok, Director of Community Development
Kevin Huntzinger, Director of Parks and Recreation
Reginald Williams, Fire Chief
Rustin Banks, Police Chief
Matt McClure, Public Services Manager
Karen Egyud, Public Services Administrative Analyst
Debbie Draper, Public Services Business Technician

Project Prioritization

The prioritization of proposed CIP projects is critical in determining which projects will be included in the five-year program planning period. Factors such as Federal, State, and safety regulations, demands due to increased population, potential for increased efficiencies, maintenance of existing service levels and funding availability are all analyzed. Additionally, projects defined as part of the City's Strategic Plan are given high priority.

CAPITAL IMPROVEMENT PLAN OVERVIEW

CIP Management

The CIP Committee and City staff manages the CIP on an ongoing basis throughout the fiscal year, providing progress reports when needed, evaluating new opportunities, and resolving issues.

The following are some activities related to the management of the CIP:

- New CIP projects, with funding identified in the current fiscal year, must be approved before work can begin. The availability of funding and staffing are confirmed, as well as determining that the need for the project still exists.

- Oversight to ensure the funds are used for improvement projects and equipment according to City policies and procedures.

- The projected expenditures shown for future fiscal years are provided primarily for project planning and financial budgeting purposes and do not reflect a commitment of funds past the first fiscal year of the plan.

- After a CIP project and the associated budget is adopted, there may be a need to either increase a project budget or add a new project to the CIP prior to the next CIP budget cycle. In these cases, a request will be submitted to the City Council for approval.

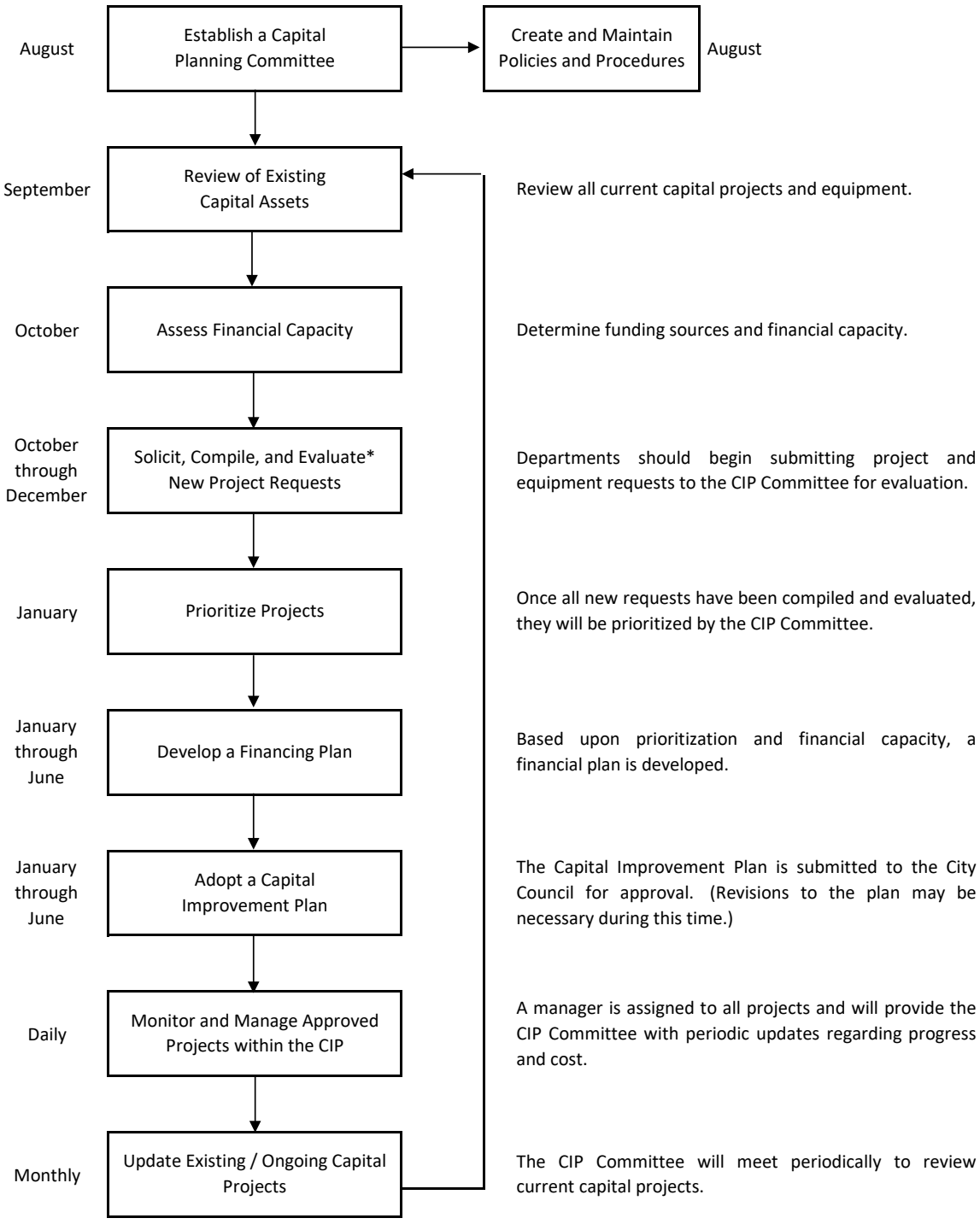
Traffic Impact Fees and Capital Improvement Program

Consistent with the Rocklin General Plan, new development in the City will occur, generating additional traffic throughout the City and contributing to the degradation of traffic levels of service. Street improvements necessary to maintain acceptable levels of service are identified in the Circulation Element of the General Plan, along with various methods of financing these improvements. One of these methods is the Traffic Impact Fee. The purpose of the Traffic Impact Fee is to finance street improvements to reduce the impacts caused by new development within the City.

A study was conducted dated May 23, 2007 (Resolution 2007-126) and amended to update the Traffic Impact Fee on July 26, 2011 (Resolution 2011-125). The purpose of the study was to determine roadway improvement needs, improvement costs, and a fee determination. Impact fees collected shall only be used to finance the street improvements described or identified within the “City of Rocklin Traffic Impact Fees and Capital Improvement Program” (the “Fee Report”).

The City is currently working with Fehr and Peers to update the existing “Fee Report”. However, it will be at least a year before the update will be completed.

CAPITAL IMPROVEMENT PLAN TIMELINE



* The evaluation process should encompass all related project and asset costs including future associated operating, overhead, and maintenance costs.

HOW THE CAPITAL IMPROVEMENT PLAN IS ORGANIZED

The Capital Improvement Plan projects and equipment are categorized in the following manner:

- Facility Improvements
- Roadway Improvements
- Stormwater Pipe Improvements
- Parks and Trails Improvements
- Future Improvements
- Equipment

Each of these categories is separately identified in the CIP and includes expenditures or estimated cost by project or equipment type.

Facility Improvements

Facility Improvements vary in scale and type and are necessary to maintain operations, expand capacity or efficiencies, or to increase the life of the facility. These projects can also provide opportunities to improve access so that programs and services are more easily available.

Roadway Improvements

Roadway Improvements include construction of new streets, reconstruction of existing streets and provisions for the widening or improvement of existing thoroughfares including the acquisition of ROW, traffic signals, and other related traffic capacity and safety needs.

Stormwater Pipe Improvements

Improvements or capital repairs to the City's stormwater pipe system.

Parks and Trails Improvements

Improvements relative to parks and trails include the implementation of various park plans and trails, and the upgrading and construction of additional improvements.

Future Improvements

The Future Improvements section includes any improvements that are currently not funded, and some of which may be contingent on the growth of the City and the demands on the current infrastructure**.**

Equipment

Equipment used for City operations that are not permanently attached to real property.

HOW TO READ THE PROJECT DETAIL SHEETS

Each Project Detail Sheet outlines enough basic project information to help a reader understand the scope and cost of the project. Following is an explanation of what the CIP project detail sheets contain and how to read them.

The **Project Name** is the name that has been assigned to the project.

A **Project #** is assigned upon approval of a project and is provided where applicable.

If a project is **New,** or has been designated as **Ongoing**, it will be labeled at the top of the page.

The **Project Category** designates which of the following categories the project falls under, and is also noted at the top of the page.

The **Location** describes the approximate site(s) within the City where the project will take place.

The responsible **Project Manager** is provided by each department.

The estimated **Start** and **Completion Dates** are provided by each department.

The requesting department provides a brief project **Description / Justification** which describes the basic scope and need for the project.

The **Schedule by Cost Element** represents both actual expenditures incurred to date and projected expenditures by the categories as defined below. Please note that projects are not required to include expenditures in all categories.

- **Land / ROW** - Includes property acquisition, right-of-way and related acquisition services.
- **Planning / Design** - Includes concept and schematic design, feasibility analysis, scheduling, and specification preparation.
- **Construction** - Includes bid administration and the award of contract and construction.
- **Misc. -** Additional expenses such as environmental studies, etc.
- **Contingency 15%** - A project contingency is provided for most projects due to the uncertain nature of construction costs.

The **Schedule by Funding Source** identifies the source(s) which have been identified to fund the project. Final funding sources will be determined by 1) the amount of funds available and 2) by the project's priority.

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Capital Improvement Plan - Summary

Capital Improvement Plan - Projects	Project	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
General Fund (100)									
Breen Park Play Structure Replacement	10605	-	-	-	-	-	212,800	-	212,800
City Hall Office Space Study and Remodel	45421	-	290,000	210,000	-	-	-	-	500,000
Fire Station 24 Security	45451	-	103,500	-	-	-	-	-	103,500
Fire Station 25 Privacy	41016	-	322,500	-	-	-	-	-	322,500
Fire Station 25 Security	41017	-	100,600	-	-	-	-	-	100,600
Granite Drive Median	50012	-	-	-	145,000	-	-	-	145,000
Mansion Oaks Park Play Structure Replacement	10605	-	-	-	-	-	-	207,000	207,000
Memorial Park Play Structure Replacement	10605	-	-	-	92,000	-	-	-	92,000
Sunset Whitney Recreation Area Improvements	Multi	-	764,800	1,000,000	-	-	-	-	1,764,800
Sunset Whitney Recreation Area Restrooms	21010	-	230,000	-	-	-	-	-	230,000
Wesley Park Play Structure Replacement	10605	-	-	-	-	212,800	-	-	212,800
Subtotal General Fund		**$ -**	**$ 1,811,400**	**$ 1,210,000**	**$ 237,000**	**$ 212,800**	**$ 212,800**	**$ 207,000**	**$ 3,891,000**
Gas Tax (201)									
Annual Road Maintenance Program	50007	Ongoing	405,000	-	405,000	405,000	405,000	405,000	2,025,000
Subtotal Gas Tax		**$ -**	**$ 405,000**	**$ -**	**$ 405,000**	**$ 405,000**	**$ 405,000**	**$ 405,000**	**$ 2,025,000**
SB1 - Road Maintenance & Rehabilitation (205)									
Annual Road Maintenance Program	50007	Ongoing	-	-	500,000	500,000	500,000	500,000	2,000,000
Mission Hills Reconstruction - Phase IV	50154	-	-	702,800	-	-	-	-	702,800
Subtotal SB1 - Road Maintenance & Rehabilitation		**$ -**	**$ -**	**$ 702,800**	**$ 500,000**	**$ 500,000**	**$ 500,000**	**$ 500,000**	**$ 2,702,800**
SB 325 - Sales Tax (210)									
ADA Transition Program - Non HUD Areas	45295	Ongoing	208,800	249,500	300,000	300,000	300,000	300,000	1,658,300
Aguilar Road Improvements	50104	155,000	500,000	300,000	1,165,000	-	-	-	2,120,000
Annual Road Maintenance Program	50007	Ongoing	456,700	805,000	400,000	400,000	400,000	400,000	2,861,700
Blue Oaks Pedestrian Crossing	50177	27,800	-	333,000	-	-	-	-	360,800
Five Star Blvd. and Destiny Drive Reconstruction	50049	-	-	1,315,000	-	-	-	-	1,315,000
Granite Drive Median	50012	-	-	-	-	856,300	-	-	856,300
Pavement Rehabilitation	50005	-	-	150,000	-	-	-	-	150,000
Placer County Water Agency Roadway Improv.	50174	-	-	300,000	-	-	-	-	300,000
Sierra College Blvd. Roadway Rehabilitation	50091	-	-	1,768,600	2,288,600	2,252,600	-	-	6,309,800
Stormwater Pipe Replacement Program	50139	Ongoing	460,000	632,500	632,500	632,500	632,500	632,500	3,622,500
Subtotal SB 325 - Sales Tax		**$ 182,800**	**$ 1,625,500**	**$ 5,853,600**	**$ 4,786,100**	**$ 4,441,400**	**$ 1,332,500**	**$ 1,332,500**	**$ 19,554,400**
Bicycle and Pedestrian (212)									
Aguilar Road Improvements	50104	-	-	100,000	-	-	-	-	100,000
Subtotal Bicycle and Pedestrian		**$ -**	**$ -**	**$ 100,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 100,000**
Community Facilities District #5 (232)									
Night Ridge Park Play Structure Replacement	10605	-	-	-	212,800	-	-	-	212,800
Ruhkala Park Play Structure Replacement	10605	-	-	-	-	-	212,800	-	212,800
Subtotal Community Facilities District #5		**$ -**	**$ -**	**$ -**	**$ 212,800**	**$ -**	**$ 212,800**	**$ -**	**$ 425,600**
Streets Grants (240)									
Citywide Roadway Resurfacing	50004	-	-	-	-	2,350,000	-	-	2,350,000
Granite Drive Median	50012	-	-	-	-	5,000	-	-	5,000
I-80 Westbound Auxiliary Lane	50161	-	600,000	275,000	-	-	525,000	-	1,400,000
Pavement Rehabilitation	50005	103,200	21,300	1,750,500	1,226,900	-	-	-	3,101,900
Rocklin Road/Sierra College Corridor Multimodal	50162	1,164,200	850,000	3,100,000	5,100,000	-	-	-	10,214,200
Sierra College Blvd. (Schriber to Dominguez)	50164	-	-	345,000	-	-	-	-	345,000
Subtotal Streets Grants		**$ 1,267,400**	**$ 1,471,300**	**$ 5,470,500**	**$ 6,326,900**	**$ 2,355,000**	**$ 525,000**	**$ -**	**$ 17,416,100**
CDBG - HUD Entitlement (257)									
ADA Transition Program - HUD Specific Areas	69628	Ongoing	185,200	195,100	200,000	200,000	200,000	200,000	1,180,300
Subtotal CDBG - HUD Entitlement		**$ -**	**$ 185,200**	**$ 195,100**	**$ 200,000**	**$ 200,000**	**$ 200,000**	**$ 200,000**	**$ 1,180,300**

Capital Improvement Plan - Summary

Capital Improvement Plan - Projects	Project	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Traffic Circulation Impact Fees (302)									
Monument Springs Bridge	50173	-	-	1,500,000	-	-	-	-	1,500,000
Rocklin Road/Sierra College Corridor Multimodal	50162	-	-	-	8,750,000	-	-	-	8,750,000
Sierra College Blvd. (Schriber to Dominguez)	50164	24,200	30,000	-	-	-	-	-	54,200
Subtotal Traffic Circulation Impact Fees		**$ 24,200**	**$ 30,000**	**$ 1,500,000**	**$ 8,750,000**	**$ -**	**$ -**	**$ -**	**$ 10,304,200**
Capital Construction Impact Fees (304)									
Police Department HVAC and Roof Replacement	45461	-	-	1,275,000	-	-	-	-	1,275,000
Reserve Apparatus Storage	45455	-	136,300	23,700	-	-	-	-	160,000
Subtotal Capital Construction Impact Fees		**$ -**	**$ 136,300**	**$ 1,298,700**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 1,435,000**
North West Rocklin Community Park Fee (307)									
Bike Pump Track	45225	-	920,000	-	-	-	-	-	920,000
Subtotal North West Rocklin Community Park Fee		**$ -**	**$ 920,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 920,000**
Unfunded									
Rocklin Road/Sierra College Corridor Multimodal	50162	-	-	-	1,150,000	15,000,000	5,000,000	-	21,150,000
Subtotal Unfunded		**$ -**	**$ -**	**$ -**	**$ 1,150,000**	**$ 15,000,000**	**$ 5,000,000**	**$ -**	**$ 21,150,000**
Total Projects		**$ 1,474,400**	**$ 6,584,700**	**$ 16,330,700**	**$ 22,567,800**	**$ 23,114,200**	**$ 8,388,100**	**$ 2,644,500**	**$ 81,104,400**

Capital Improvement Plan - Equipment									
General Fund (100)									
Fire Station Alerting (Equipment)		-	275,000	275,000	-	-	-	-	550,000
Subtotal General Fund		**$ -**	**$ 275,000**	**$ 275,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 550,000**
Total Equipment		**$ -**	**$ 275,000**	**$ 275,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 550,000**
Total Capital Improvement Plan		**$ 1,474,400**	**$ 6,859,700**	**$ 16,605,700**	**$ 22,567,800**	**$ 23,114,200**	**$ 8,388,100**	**$ 2,644,500**	**$ 81,654,400**

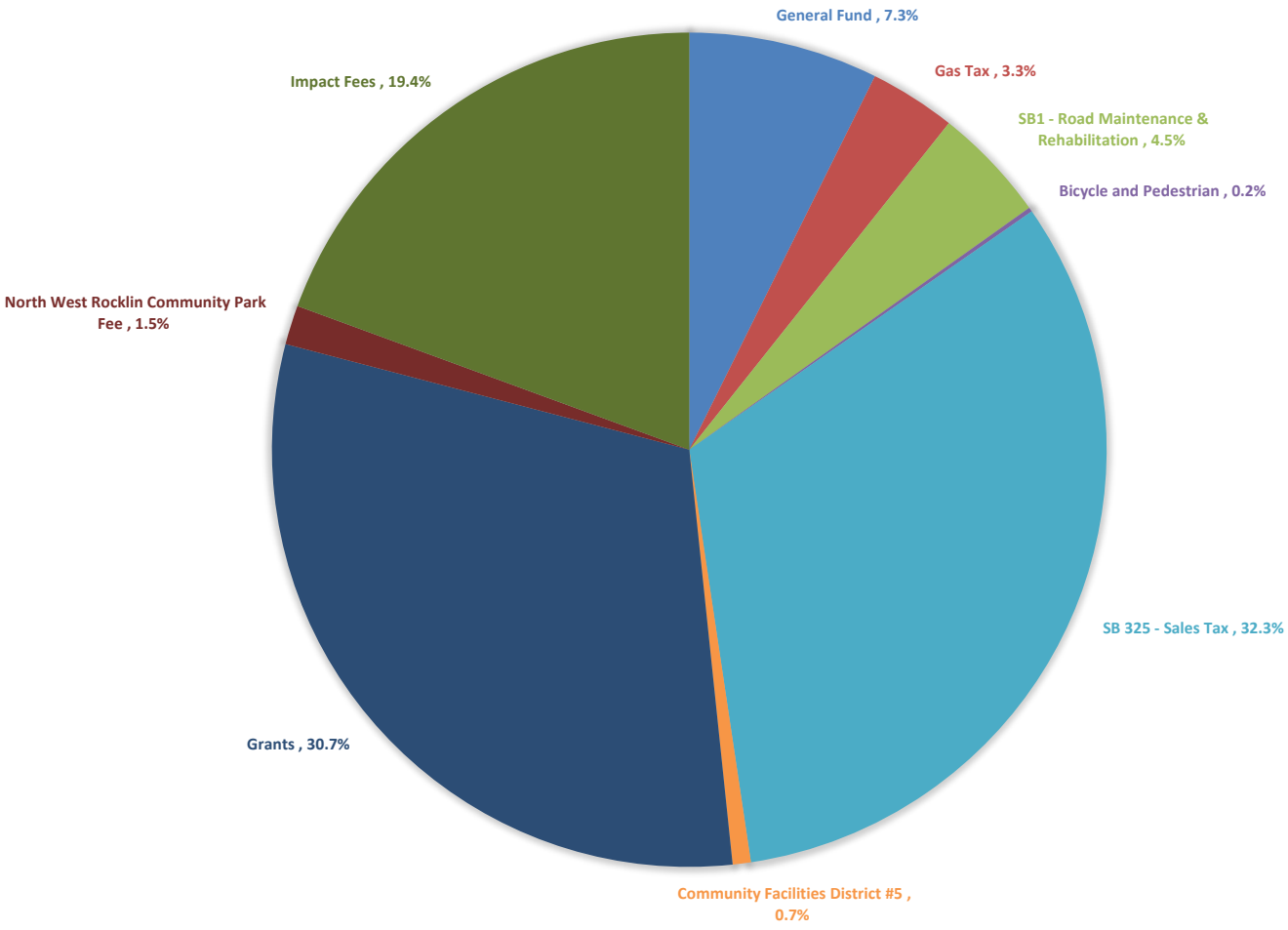
Summary by Category	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Facility Improvements	-	952,900	1,508,700	-	-	-	-	**2,461,600**
Roadway Improvements	1,474,400	3,257,000	13,189,500	21,630,500	22,268,900	7,330,000	1,805,000	**70,955,300**
Stormwater Pipe Improvements	-	460,000	632,500	632,500	632,500	632,500	632,500	**3,622,500**
Parks & Trails Improvements	-	1,914,800	1,000,000	304,800	212,800	425,600	207,000	**4,065,000**
Equipment	-	275,000	275,000	-	-	-	-	**550,000**
Total	**$ 1,474,400**	**$ 6,859,700**	**$ 16,605,700**	**$ 22,567,800**	**$ 23,114,200**	**$ 8,388,100**	**$ 2,644,500**	**$ 81,654,400**

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Capital Improvement Plan by Fund

Capital Improvement Plan	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
General Fund (100)	-	2,086,400	1,485,000	237,000	212,800	212,800	207,000	**4,441,000**
Gas Tax (201)	-	405,000	-	405,000	405,000	405,000	405,000	**2,025,000**
SB1 - Road Maintenance & Rehabilitation (205)	-	-	702,800	500,000	500,000	500,000	500,000	**2,702,800**
SB 325 - Sales Tax (210)	182,800	1,625,500	5,853,600	4,786,100	4,441,400	1,332,500	1,332,500	**19,554,400**
Bicycle and Pedestrian (212)	-	-	100,000	-	-	-	-	**100,000**
Community Facilities District #5 (232)	-	-	-	212,800	-	212,800	-	**425,600**
Streets Grants (240)	1,267,400	1,471,300	5,470,500	6,326,900	2,355,000	525,000	-	**17,416,100**
CDBG - HUD Entitlement (257)	-	185,200	195,100	200,000	200,000	200,000	200,000	**1,180,300**
Traffic Circulation Impact Fees (302)	24,200	30,000	1,500,000	8,750,000	-	-	-	**10,304,200**
Capital Construction Impact Fees (304)	-	136,300	1,298,700	-	-	-	-	**1,435,000**
North West Rocklin Community Park Fee (307)	-	920,000	-	-	-	-	-	**920,000**
Unfunded	-	-	-	1,150,000	15,000,000	5,000,000	-	**21,150,000**
Total Capital Improvement Plan	**$ 1,474,400**	**$ 6,859,700**	**$ 16,605,700**	**$ 22,567,800**	**$ 23,114,200**	**$ 8,388,100**	**$ 2,644,500**	**$ 81,654,400**

Total by Funding Source



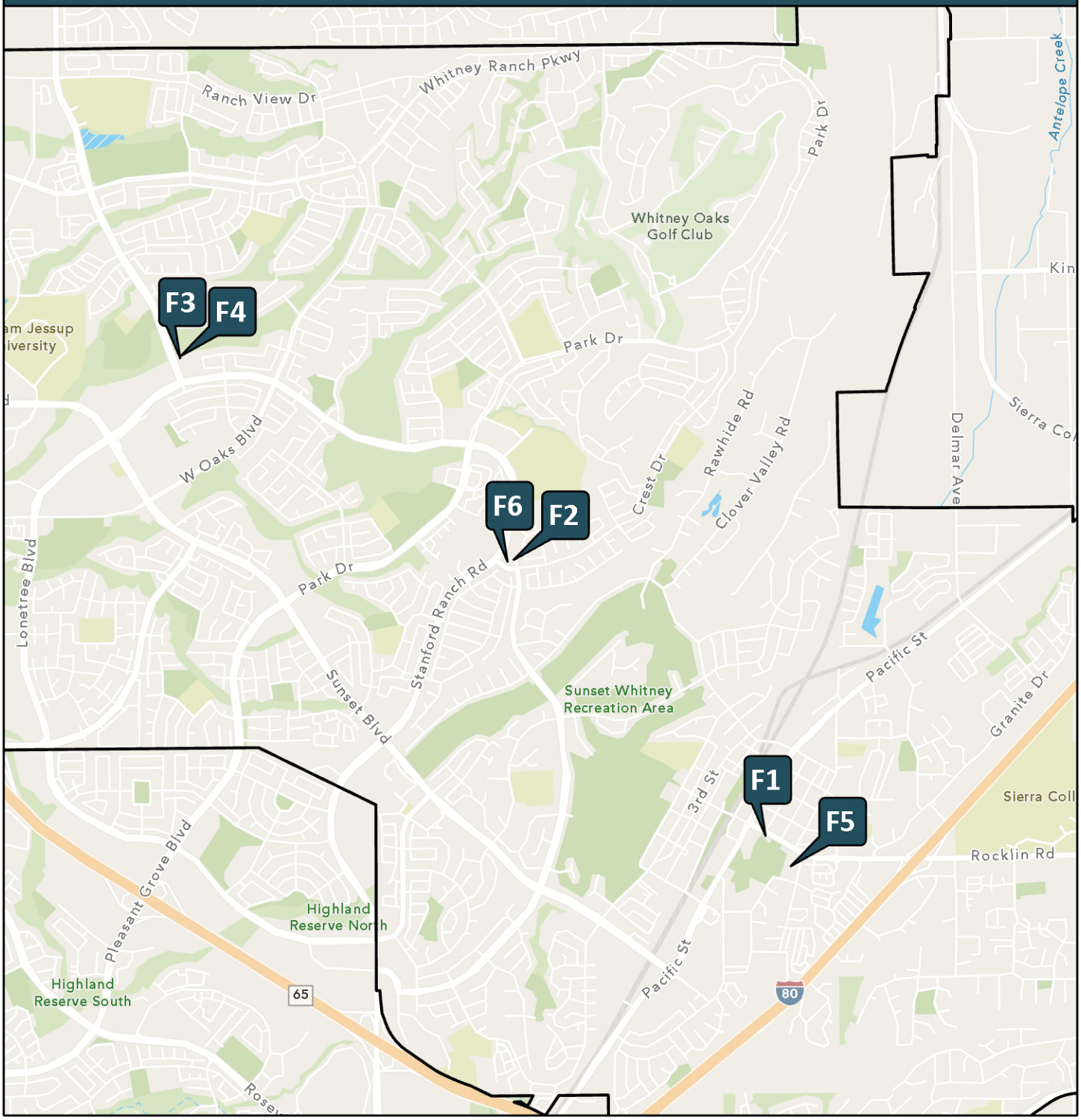
*Percentages Do Not Include Unfunded Amounts

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Capital Improvement Plan

Facility Improvement Projects

Facility Improvement Projects



No.	Project
F1	City Hall Office Space Study and Remodel
F2	Fire Station 24 Security
F3	Fire Station 25 Privacy
F4	Fire Station 25 Security
F5	Police Department HVAC and Roof Replacement
F6	Reserve Apparatus Storage

FACILITY IMPROVEMENT PROJECTS

Summary by Cost Element and Funding Source

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	35,000	10,000	-	-	-	-	**45,000**
Construction	-	798,200	1,303,200	-	-	-	-	**2,101,400**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	119,700	195,500	-	-	-	-	**315,200**
Total	**$ -**	**$ 952,900**	**$ 1,508,700**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 2,461,600**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	816,600	210,000	-	-	-	-	**1,026,600**
304 - Capital Construction	-	136,300	1,298,700	-	-	-	-	**1,435,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 952,900**	**$ 1,508,700**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 2,461,600**

Facility Improvement

City Hall Office Space Study and Remodel



Project Name	Project #
City Hall Office Space Study and Remodel	**45421**

Location

City Hall - 3970 Rocklin Road

Description / Justification

Evaluate and remodel the current space and departmental needs of the City Hall. This effort will improve efficiencies, enhance security for confidential matters and materials, and realign space for specific departmental needs.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	252,200	182,600	-	-	-	-	**434,800**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	37,800	27,400	-	-	-	-	**65,200**
Total	**$ -**	**$ 290,000**	**$ 210,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 500,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	290,000	210,000	-	-	-	-	**500,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 290,000**	**$ 210,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 500,000**

Est. Start Date	07/01/22
Est. Completion Date	06/30/24
Project Manager	Matt McClure
	Public Services Department

Actual thru FY 2021/22	$	-
FY 2022/23 Budget as of 2/2023	$	290,000
FY 2024 - FY 2028 Projected Funding	$	210,000
Estimated Total Project Cost	**$**	**500,000**

Facility Improvement

Fire Station 24 Security



Project Name	Project #
Fire Station 24 Security	**45451**

Location

Fire Station 24 (#2) - 3401 Crest Drive

Description / Justification

Fire Station 24 is located at 3401 Crest Drive near the busy intersection of Crest Drive and Whitney Drive. The Fire Station is an essential critical facility which houses a Battalion Chief, truck company, and multiple response vehicles. Due to its location in the center of the City, Fire Station 24 has been identified as the Department Operation Center (DOC) for local disasters. The facility is equipped with a backup generator and has the necessary infrastructure and equipment to host the DOC. This request is to provide fencing and drive-thru gate access while providing security for critical infrastructure and facilities. Approximately 205' of fence line and gates will be required to secure this facility.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	90,000	-	-	-	-	-	**90,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	13,500	-	-	-	-	-	**13,500**
Total	**$ -**	**$ 103,500**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 103,500**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	103,500	-	-	-	-	-	**103,500**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 103,500**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 103,500**

Est. Start Date	06/01/23
Est. Completion Date	07/30/23
Project Manager	Matt McClure
	Public Services Department

Actual thru FY 2021/22	$ -
FY 2022/23 Budget as of 2/2023	$ 103,500
FY 2024 - FY 2028 Projected Funding	$ -
Estimated Total Project Cost	**$ 103,500**

Facility Improvement

Fire Station 25 Privacy



Project Name	Project #
Fire Station 25 Privacy	**41016**

Location

Fire Station 25 (#3) - 2001 Wildcat Blvd.

Description / Justification

Fire Station 25 houses one Type I Engine and one Type III Engine. The facility has living space for four personnel including one Fire Captain, one Fire Engineer, and two Firefighters. Living quarters for the personnel were constructed as a dorm style, but have been modified with curtains to improve privacy. The Fire Department is requesting a more permanent solution to improve employee privacy by modifying the existing facility to create individual rooms. This modification shall also include the designated Fire Captain's dorm. This room has been appropriately repurposed, yet the dorm experiences extreme weather conditions during the summer months. Modifying this dorm will require replacing a door with a wall and ensuring the area is properly ventilated.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	35,000	-	-	-	-	-	**35,000**
Construction	-	250,000	-	-	-	-	-	**250,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	37,500	-	-	-	-	-	**37,500**
Total	**$ -**	**$ 322,500**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 322,500**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	322,500	-	-	-	-	-	**322,500**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 322,500**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 322,500**

Est. Start Date	07/01/22
Est. Completion Date	11/30/23
Project Manager	Matt McClure
	Public Services Department

Actual thru FY 2021/22	$	-
FY 2022/23 Budget as of 2/2023	$	322,500
FY 2024 - FY 2028 Projected Funding	$	-
Estimated Total Project Cost	**$**	**322,500**

Facility Improvement

Fire Station 25 Security



Project Name	Project #
Fire Station 25 Security	**41017**

Location

Fire Station 25 (#3) - 2001 Wildcat Blvd.

Description / Justification

The Fire Department is looking to improve critical facility safety and security due to the influx of new residential communities, commercial facilities, impacts from Highway 65, and the planned development of a medical campus. This improved security will ensure access to restricted areas of the facility is maintained while allowing community access to the front of the building. To achieve this, the Department requests an electronic gate be installed that restricts access to the rear of the facility.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	87,500	-	-	-	-	-	**87,500**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	13,100	-	-	-	-	-	**13,100**
Total	**$ -**	**$ 100,600**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 100,600**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	100,600	-	-	-	-	-	**100,600**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 100,600**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 100,600**

Est. Start Date	06/01/23
Est. Completion Date	07/30/23
Project Manager	Matt McClure
	Public Services Department

Actual thru FY 2021/22	$	-
FY 2022/23 Budget as of 2/2023	$	100,600
FY 2024 - FY 2028 Projected Funding	$	-
Estimated Total Project Cost	**$**	**100,600**

Facility Improvement

Police Department HVAC and Roof Replacement



Project Name	Project #
Police Department HVAC and Roof Replacement	**45461**

Location

Police Department - 4080 Rocklin Road

Description / Justification

The Facilities' Reserve Study shows replacement of the Police Station HVAC units in FY 2023/24. There have been some premature failures of the single-ply roofing and some major repairs have been made to prevent leaks. The roofing needs to be replaced before any catastrophic failures occur. In order for the roofing to be replaced, all HVAC units must be removed from the roof. Since the HVAC units are scheduled for replacement in FY 2023/24, it makes the most sense financially to replace the roof at the same time.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	10,000	-	-	-	-	**10,000**
Construction	-	-	1,100,000	-	-	-	-	**1,100,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	165,000	-	-	-	-	**165,000**
Total	**$ -**	**$ -**	**$ 1,275,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 1,275,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
304 - Capital Const.	-	-	1,275,000	-	-	-	-	**1,275,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ 1,275,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 1,275,000**

Est. Start Date	07/01/23
Est. Completion Date	06/30/24
Project Manager	Matt McClure
	Public Services Department

Actual thru FY 2021/22	$ -
FY 2022/23 Budget as of 2/2023	$ -
FY 2024 - FY 2028 Projected Funding	$ 1,275,000
Estimated Total Project Cost	**$ 1,275,000**

Facility Improvement

Reserve Apparatus Storage



Project Name	Project #
Reserve Apparatus Storage	**45455**

Location

Fire Station 24 (#2) - 3401 Crest Drive

Description / Justification

Install a 30' x 70' metal building with 2 roll up doors and 1 man door. Current facilities are unable to house all fire apparatus and support vehicles.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	118,500	20,600	-	-	-	-	**139,100**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	17,800	3,100	-	-	-	-	**20,900**
Total	**$ -**	**$ 136,300**	**$ 23,700**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 160,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
304 - Capital Const.	-	136,300	23,700	-	-	-	-	**160,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 136,300**	**$ 23,700**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 160,000**

Est. Start Date	07/01/22
Est. Completion Date	06/30/24
Project Manager	Matt McClure
	Public Services Department

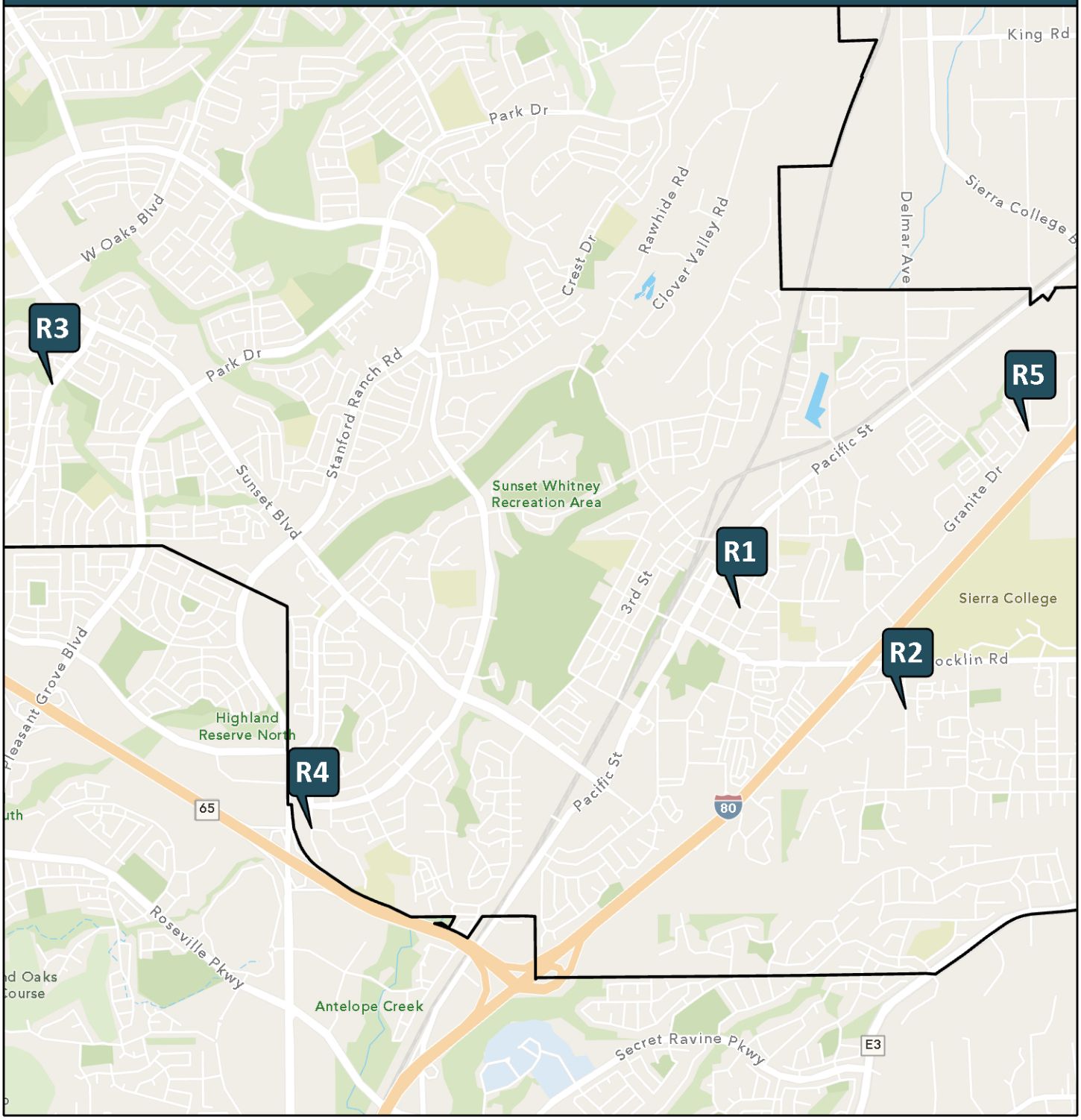
Actual thru FY 2021/22	$	-
FY 2022/23 Budget as of 2/2023	$	136,300
FY 2024 - FY 2028 Projected Funding	$	23,700
Estimated Total Project Cost	**$**	**160,000**

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Capital Improvement Plan

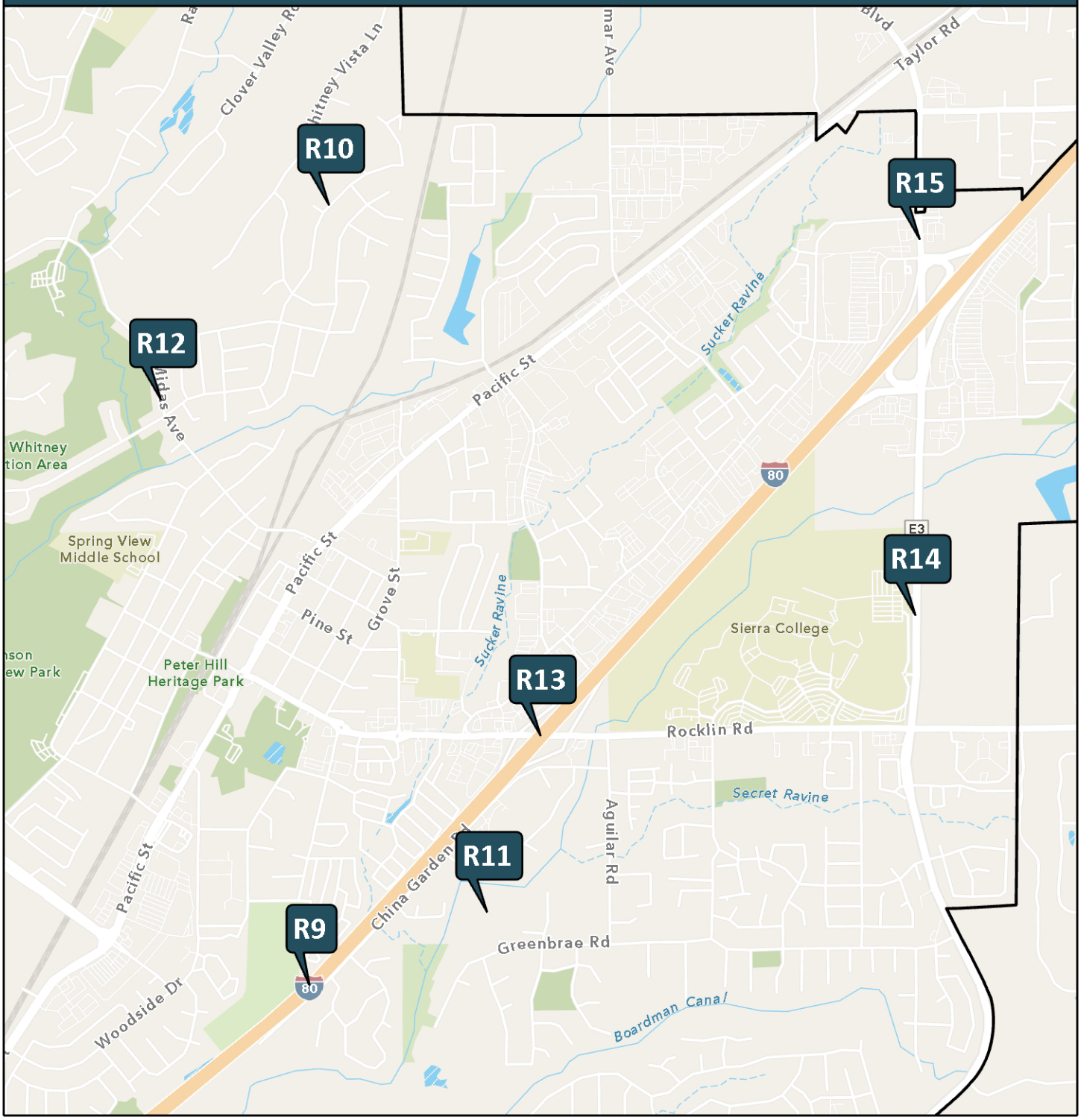
Roadway Improvement Projects

Roadway Improvement Projects



No.	Project
R1	ADA Transition Program HUD Specific Areas
	ADA Transition Program Non-HUD Specific Areas - Citywide
R2	Aguilar Road Improvements
	Annual Road Maintenance - Citywide
R3	Blue Oaks Pedestrian Crossing
	Citywide Roadway Resurfacing - Citywide
R4	Five Star Blvd. and Destiny Dr. Reconstruction
R5	Granite Drive Median

Roadway Improvement Projects



No.	Project
R9	I-80 Westbound Auxiliary Lane
R10	Mission Hills Reconstruction Phase IV
R11	Monument Springs Bridge
	Pavement Rehabilitation - Citywide
R12	Placer County Water Agency Roadway Improvements
R13	Rocklin Rd / Sierra College Corridor Multimodal Enhancements
R14	Sierra College Blvd. Roadway Rehabilitation
R15	Sierra College Blvd. Widening (Schriber Way to Dominguez Rd)

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ROADWAY IMPROVEMENT PROJECTS

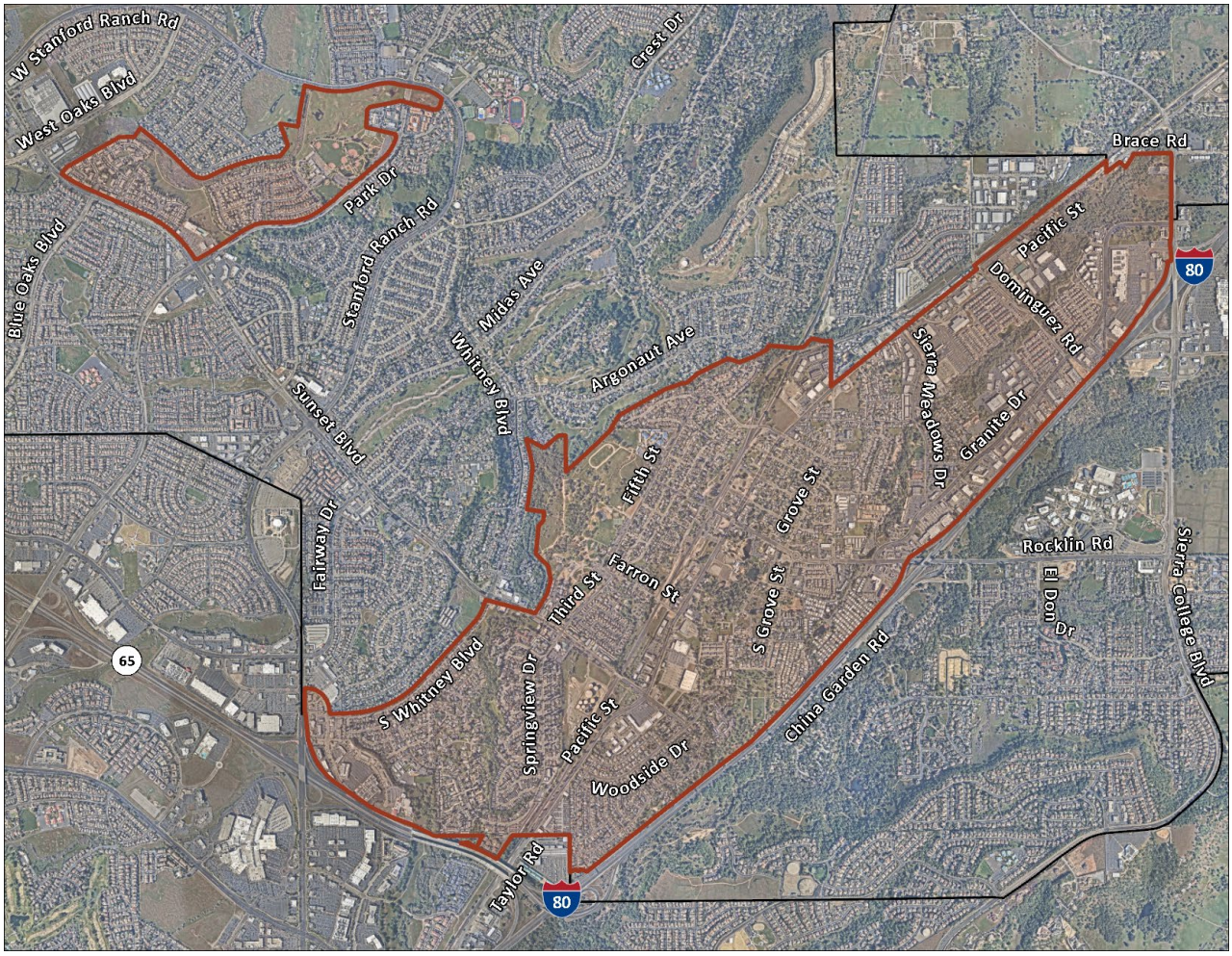
Summary by Cost Element and Funding Source

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	400,000	-	-	-	-	**400,000**
Planning / Design	1,474,400	2,001,300	3,390,000	-	-	525,000	-	**7,390,700**
Construction	-	1,091,900	8,427,900	18,809,200	19,364,400	5,917,500	1,569,600	**55,180,500**
Misc.	-	-	-	-	-	-	-	**-**
Contingency 15%	-	163,800	971,600	2,821,300	2,904,500	887,500	235,400	**7,984,100**
Total	**$ 1,474,400**	**$ 3,257,000**	**$ 13,189,500**	**$ 21,630,500**	**$ 22,268,900**	**$ 7,330,000**	**$ 1,805,000**	**$ 70,955,300**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	-	-	145,000	-	-	-	**145,000**
201 - Gas Tax	-	405,000	-	405,000	405,000	405,000	405,000	**2,025,000**
205 - SB1 / RMRA	-	-	702,800	500,000	500,000	500,000	500,000	**2,702,800**
210 - SB325 Sales Tax	182,800	1,165,500	5,221,100	4,153,600	3,808,900	700,000	700,000	**15,931,900**
212 - Bike/Ped	-	-	100,000	-	-	-	-	**100,000**
240 - Streets Grants	1,267,400	1,471,300	5,470,500	6,326,900	2,355,000	525,000	-	**17,416,100**
257 - CDBG HUD	-	185,200	195,100	200,000	200,000	200,000	200,000	**1,180,300**
302 - Traffic Circ. Impact	24,200	30,000	1,500,000	8,750,000	-	-	-	**10,304,200**
Unfunded	-	-	-	1,150,000	15,000,000	5,000,000	-	**21,150,000**
Total	**$ 1,474,400**	**$ 3,257,000**	**$ 13,189,500**	**$ 21,630,500**	**$ 22,268,900**	**$ 7,330,000**	**$ 1,805,000**	**$ 70,955,300**

Roadway Improvement

ADA Transition Program – HUD Specific Areas



Project Name	Project #
ADA Transition Program - HUD Specific Areas	**69628**

Location

Housing and Urban Development (HUD) approved areas

Description / Justification

Upgrade existing curbs, gutters, and sidewalks for ADA compliance.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	161,000	169,700	173,900	173,900	173,900	173,900	**1,026,300**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	24,200	25,400	26,100	26,100	26,100	26,100	**154,000**
Total	**N/A**	**$ 185,200**	**$ 195,100**	**$ 200,000**	**$ 200,000**	**$ 200,000**	**$ 200,000**	**$ 1,180,300**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
257 - HUD / CDBG	-	185,200	195,100	200,000	200,000	200,000	200,000	**1,180,300**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**N/A**	**$ 185,200**	**$ 195,100**	**$ 200,000**	**$ 200,000**	**$ 200,000**	**$ 200,000**	**$ 1,180,300**

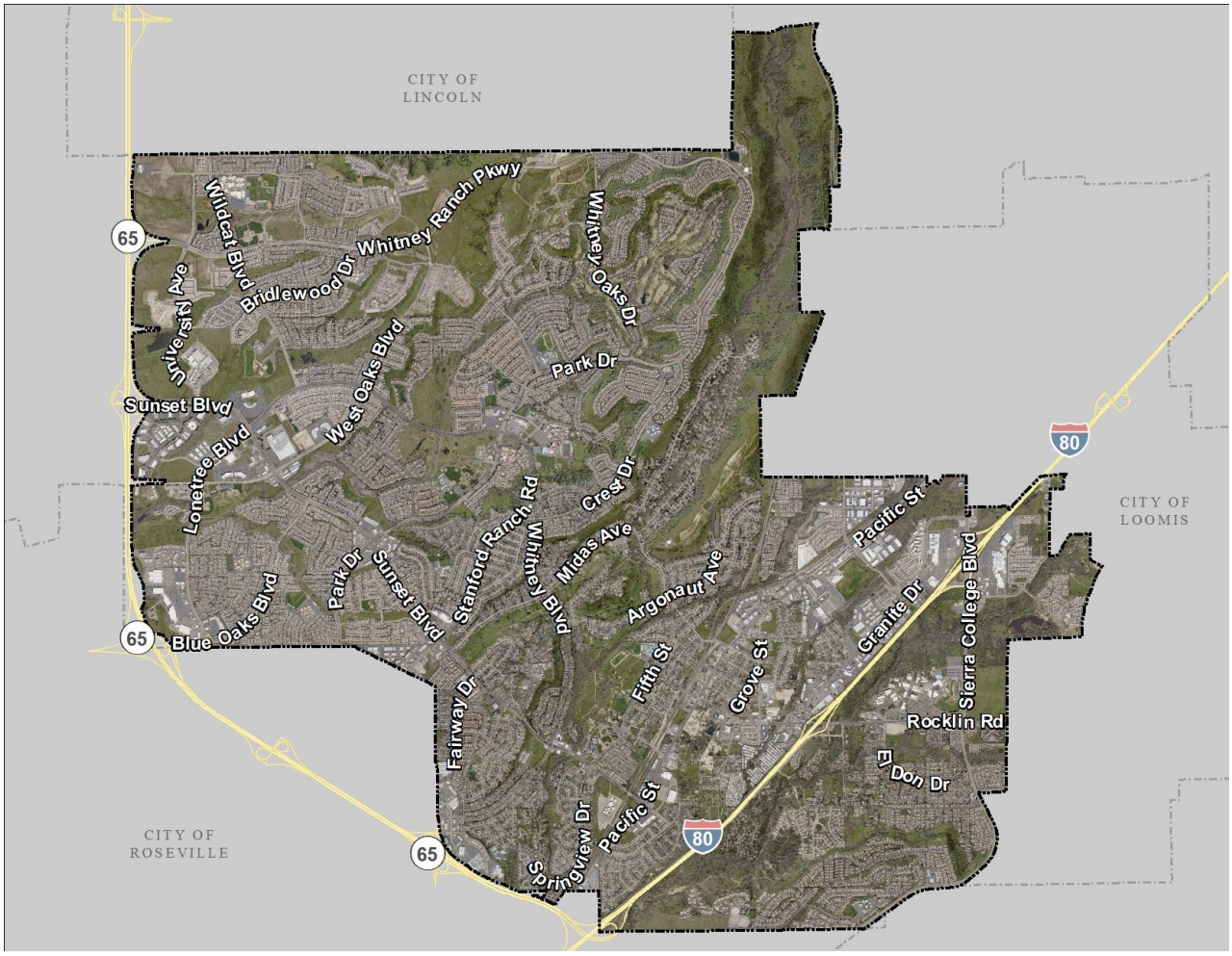
* New Project Code Assigned Per Grant Award/Year.

Est. Start Date	Ongoing
Est. Completion Date	Ongoing
Project Manager	Rick Lawrence
	Public Services Department

Actual thru FY 2021/22		Ongoing
FY 2022/23 Budget as of 2/2023	$	185,200
FY 2024 - FY 2028 Projected Funding	$	995,100
Estimated Total Project Cost	**$**	**1,180,300**

Roadway Improvement

ADA Transition Program – Non-HUD Areas



Project Name	Project #
ADA Transition Program - Non HUD Areas	**45295**

Location

Citywide

Description / Justification

Upgrade existing curbs, gutters, and sidewalks for ADA compliance.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	181,600	217,000	260,900	260,900	260,900	260,900	**1,442,200**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	27,200	32,500	39,100	39,100	39,100	39,100	**216,100**
Total	**N/A**	**$ 208,800**	**$ 249,500**	**$ 300,000**	**$ 300,000**	**$ 300,000**	**$ 300,000**	**$ 1,658,300**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
210 - Sales Tax	-	208,800	249,500	300,000	300,000	300,000	300,000	**1,658,300**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**N/A**	**$ 208,800**	**$ 249,500**	**$ 300,000**	**$ 300,000**	**$ 300,000**	**$ 300,000**	**$ 1,658,300**

Est. Start Date	Ongoing	Actual thru FY 2021/22	Ongoing
Est. Completion Date	Ongoing	FY 2022/23 Budget as of 2/2023	$ 208,800
Project Manager	Rick Lawrence	FY 2024 - FY 2028 Projected Funding	$ 1,449,500
	Public Services Department	**Estimated Total Project Cost**	**$ 1,658,300**

Roadway Improvement

Aguilar Road Improvements



Project Name	Project #
Aguilar Road Improvements	**50104**

Location

Aguilar Road between China Garden Road and Greenbrae Road

Description / Justification

Aguilar Road Improvements include road resurfacing, installation of curb, gutter, sidewalk, and drainage improvements. Aguilar Road is a substandard road, these enhancements will improve pedestrian and bicycle traffic. A residential development project (Rocklin Meadows) is conditioned to install a large section of frontage improvements along the west side of Aguilar Road. This project would continue those improvements up to China Garden Road.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	400,000	-	-	-	-	**400,000**
Planning / Design	155,000	500,000	-	-	-	-	-	**655,000**
Construction	-	-	-	1,013,000	-	-	-	**1,013,000**
Misc.	-	-	-	-	-	-	-	**-**
Contingency 15%	-	-	-	152,000	-	-	-	**152,000**
Total	**$ 155,000**	**$ 500,000**	**$ 400,000**	**$ 1,165,000**	**$ -**	**$ -**	**$ -**	**$ 2,220,000**

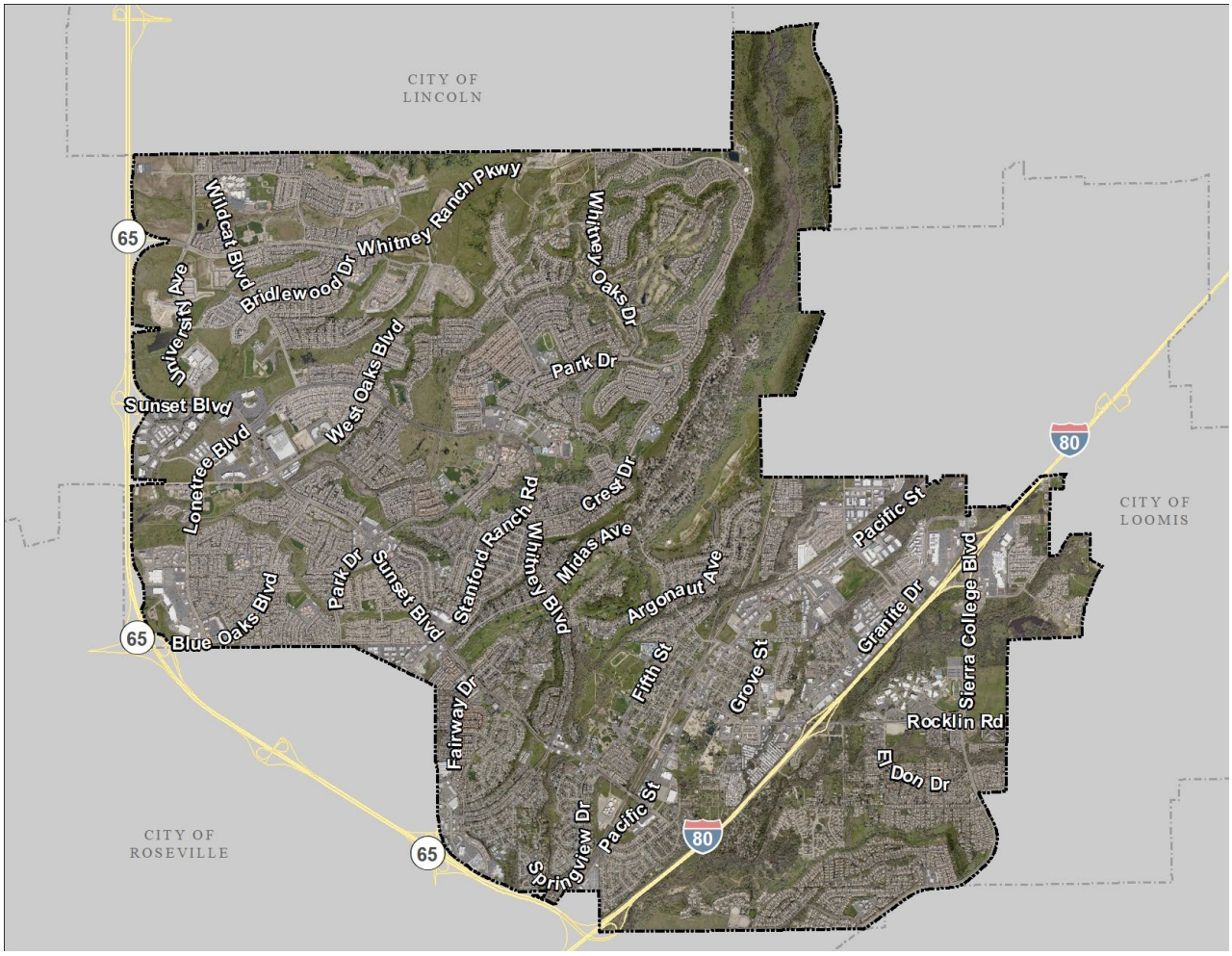
Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
210 - Sales Tax	155,000	500,000	300,000	1,165,000	-	-	-	**2,120,000**
212 - Bike / Ped	-	-	100,000	-	-	-	-	**100,000**
	-	-	-	-	-	-	-	**-**
	-	-	-	-	-	-	-	**-**
	-	-	-	-	-	-	-	**-**
Total	**$ 155,000**	**$ 500,000**	**$ 400,000**	**$ 1,165,000**	**$ -**	**$ -**	**$ -**	**$ 2,220,000**

Est. Start Date	05/15/22
Est. Completion Date	06/30/25
Project Manager	To Be Assigned
	Community Development Department

Actual thru FY 2021/22	$ 155,000
FY 2022/23 Budget as of 2/2023	$ 500,000
FY 2024 - FY 2028 Projected Funding	$ 1,565,000
Estimated Total Project Cost	**$ 2,220,000**

Roadway Improvement

Annual Road Maintenance Program



Project Name	Project #
Annual Road Maintenance Program	**50007**

Location

Citywide

Description / Justification

This is a yearly cost for road maintenance throughout the City to extend the original construction life of road pavement; thereby saving the City major paving costs. City roads are prioritized according to the Pavement Condition Index.

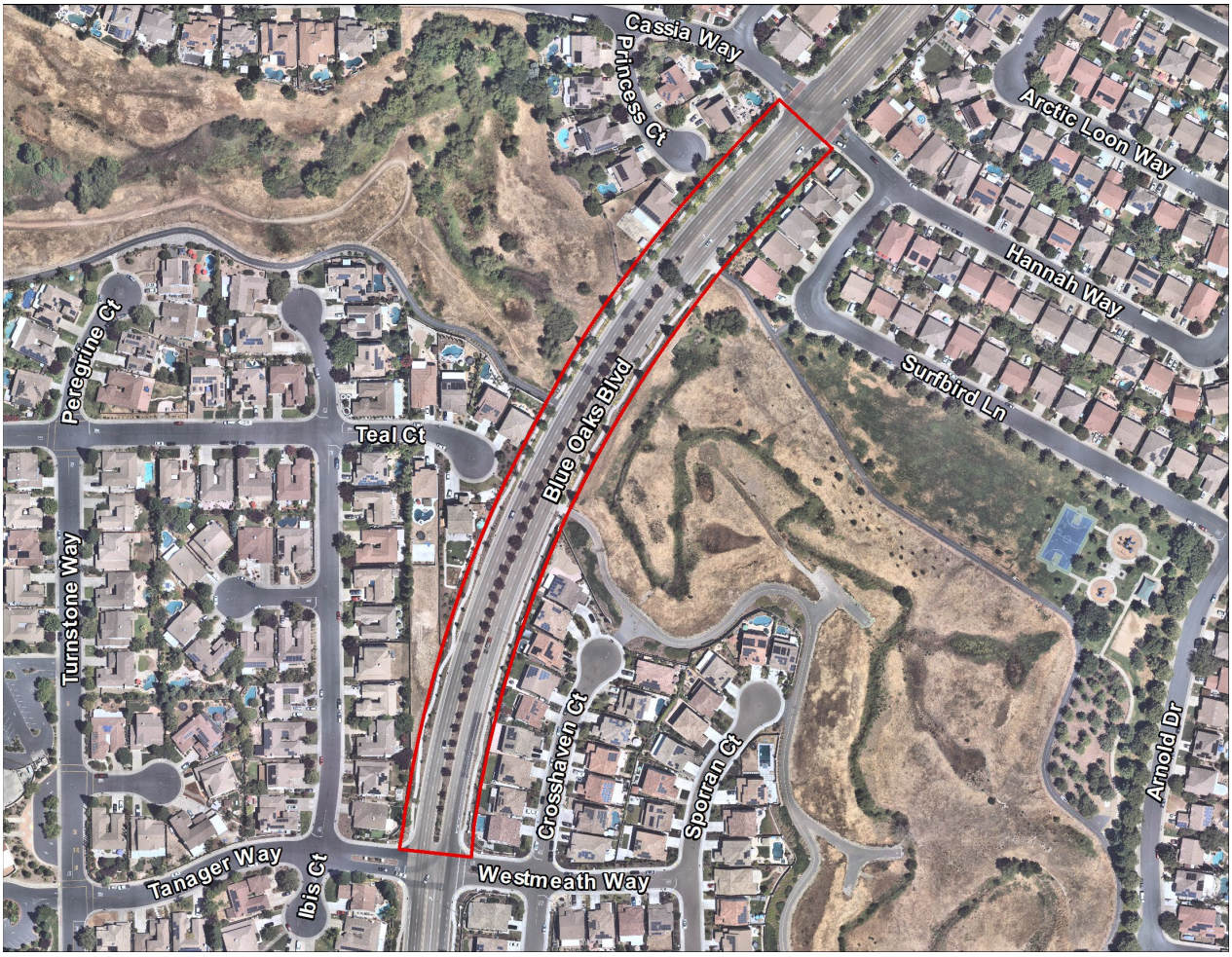
Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	749,300	700,000	1,134,800	1,134,800	1,134,800	1,134,800	**5,988,500**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	112,400	105,000	170,200	170,200	170,200	170,200	**898,200**
Total	**N/A**	**$ 861,700**	**$ 805,000**	**$ 1,305,000**	**$ 1,305,000**	**$ 1,305,000**	**$ 1,305,000**	**$ 6,886,700**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
201 - Gas Tax	-	405,000	-	405,000	405,000	405,000	405,000	**2,025,000**
210 - Sales Tax	-	456,700	805,000	400,000	400,000	400,000	400,000	**2,861,700**
205 - SB1 RMRA	-	-	-	500,000	500,000	500,000	500,000	**2,000,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**N/A**	**$ 861,700**	**$ 805,000**	**$ 1,305,000**	**$ 1,305,000**	**$ 1,305,000**	**$ 1,305,000**	**$ 6,886,700**

Est. Start Date	Ongoing	Actual thru FY 2021/22	Ongoing
Est. Completion Date	Ongoing	FY 2022/23 Budget as of 2/2023	$ 861,700
Project Manager	Rick Lawrence	FY 2024 - FY 2028 Projected Funding	$ 6,025,000
	Public Services Department	**Estimated Total Project Cost**	**$ 6,886,700**

Roadway Improvement

Blue Oaks Pedestrian Crossing



Project Name	Project #
Blue Oaks Pedestrian Crossing	**50177**

Location

Along Blue Oaks Blvd. between Cassia Way and Tanager Way

Description / Justification

The installation of a pedestrian hybrid beacon system to connect both sides of the bike trail system along Blue Oaks Blvd. This location is such that the public crosses directly over the landscape median versus going to the nearest marked crosswalks, which are over 1,500 ft away in either direction. It will also create trail connectivity in addition to a safe crossing point.

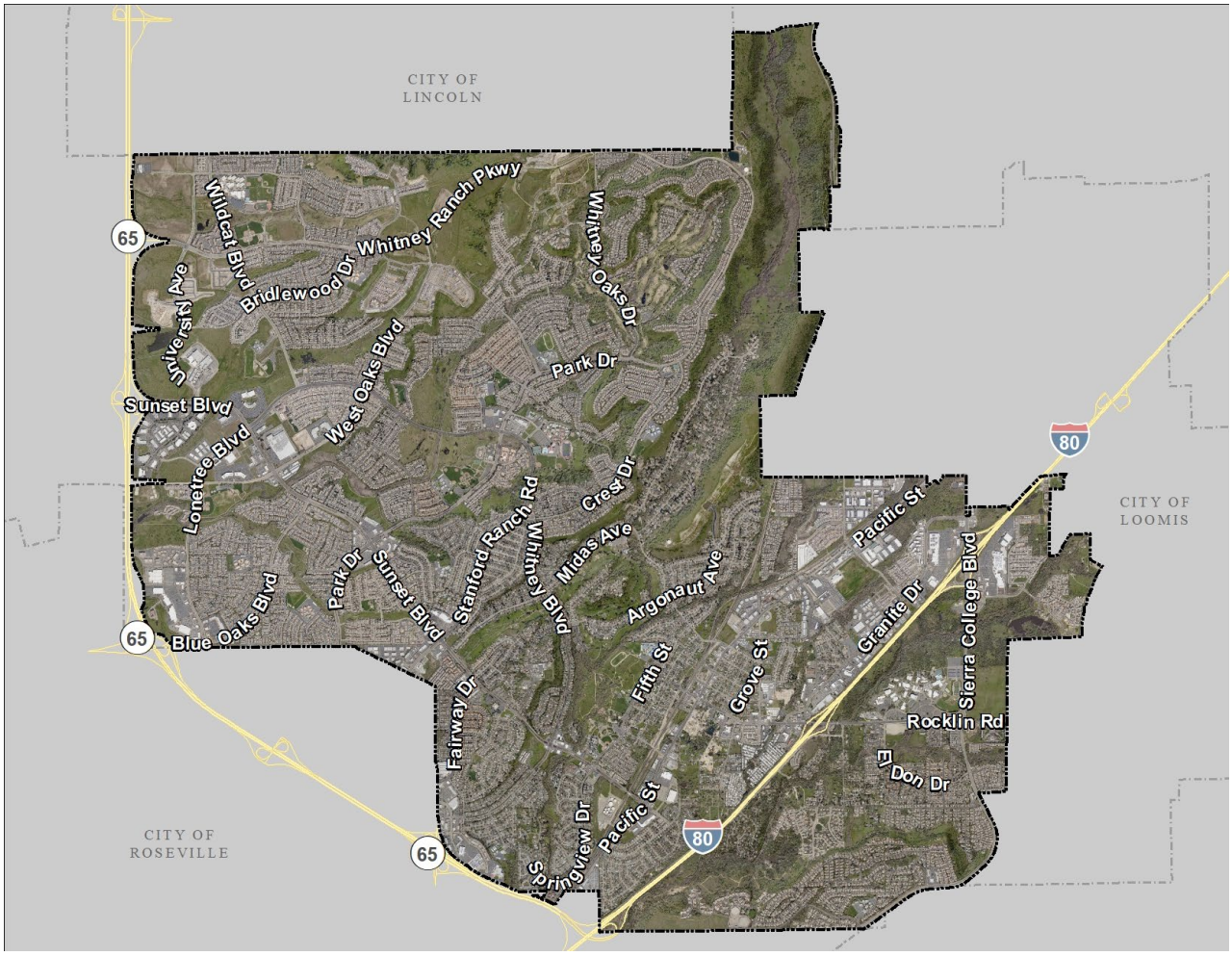
Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	27,800	-	-	-	-	-	-	**27,800**
Construction	-	-	289,500	-	-	-	-	**289,500**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	43,500	-	-	-	-	**43,500**
Total	**$ 27,800**	**$ -**	**$ 333,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 360,800**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
210 - Sales Tax	27,800	-	333,000	-	-	-	-	**360,800**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ 27,800**	**$ -**	**$ 333,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 360,800**

Est. Start Date	02/01/24	Actual thru FY 2021/22	$ 27,800
Est. Completion Date	05/31/24	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ 333,000
	Community Development Department	**Estimated Total Project Cost**	**$ 360,800**

Roadway Improvement

Citywide Roadway Resurfacing



Project Name	Project #
Citywide Roadway Resurfacing	**50004**

Location

Segments of Sunset Blvd., Park Drive, Blue Oaks Drive, Pacific Street, Rocklin Road, and Sierra College Blvd.

Description / Justification

Micropave roadway segments. Asphalt dig outs and ADA improvements have been completed in preparation for the resurfacing of these arterial roadways. New striping will incorporate aspects of the City Council-approved Local Roadway Safety Plan such as green bike lanes near identified paths of travel to schools, parks and commercial centers.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	-	-	2,043,500	-	-	**2,043,500**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	306,500	-	-	**306,500**
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ 2,350,000**	**$ -**	**$ -**	**$ 2,350,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
240 - RSTP (Grant)	-	-	-	-	2,350,000	-	-	**2,350,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ 2,350,000**	**$ -**	**$ -**	**$ 2,350,000**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ 2,350,000
	Community Development Department	**Estimated Total Project Cost**	**$ 2,350,000**

Roadway Improvement

Five Star Blvd. and Destiny Drive Reconstruction



Project Name	Project #
Five Star Blvd. and Destiny Drive Reconstruction	**50049**

Location

Five Star Blvd. from Stanford Ranch Road to the end and Destiny Drive from Five Star Blvd. to the end

Description / Justification

There are several failed sections of asphalt on Five Star Blvd. and Destiny Drive that, if not addressed, could potentially become a safety hazard for the public.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	15,000	-	-	-	-	**15,000**
Construction	-	-	1,130,500	-	-	-	-	**1,130,500**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	169,500	-	-	-	-	**169,500**
Total	**$ -**	**$ -**	**$ 1,315,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 1,315,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
210 - Sales Tax	-	-	1,315,000	-	-	-	-	**1,315,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ 1,315,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 1,315,000**

Est. Start Date	07/01/23	Actual thru FY 2021/22	$ -
Est. Completion Date	06/30/24	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ 1,315,000
	Community Development Department	**Estimated Total Project Cost**	**$ 1,315,000**

Roadway Improvement

Granite Drive Median



Project Name	Project #
Granite Drive Median	**50012**

Location

Granite Drive from Dominguez Road to Sierra College Blvd. (4000 feet)

Description / Justification

Construct and landscape a median that includes double arched light poles to promote traffic safety by limiting the movements to businesses and housing along Granite Drive. The lighting scheme and landscaping design will enhance the aesthetics of the Granite Drive corridor.

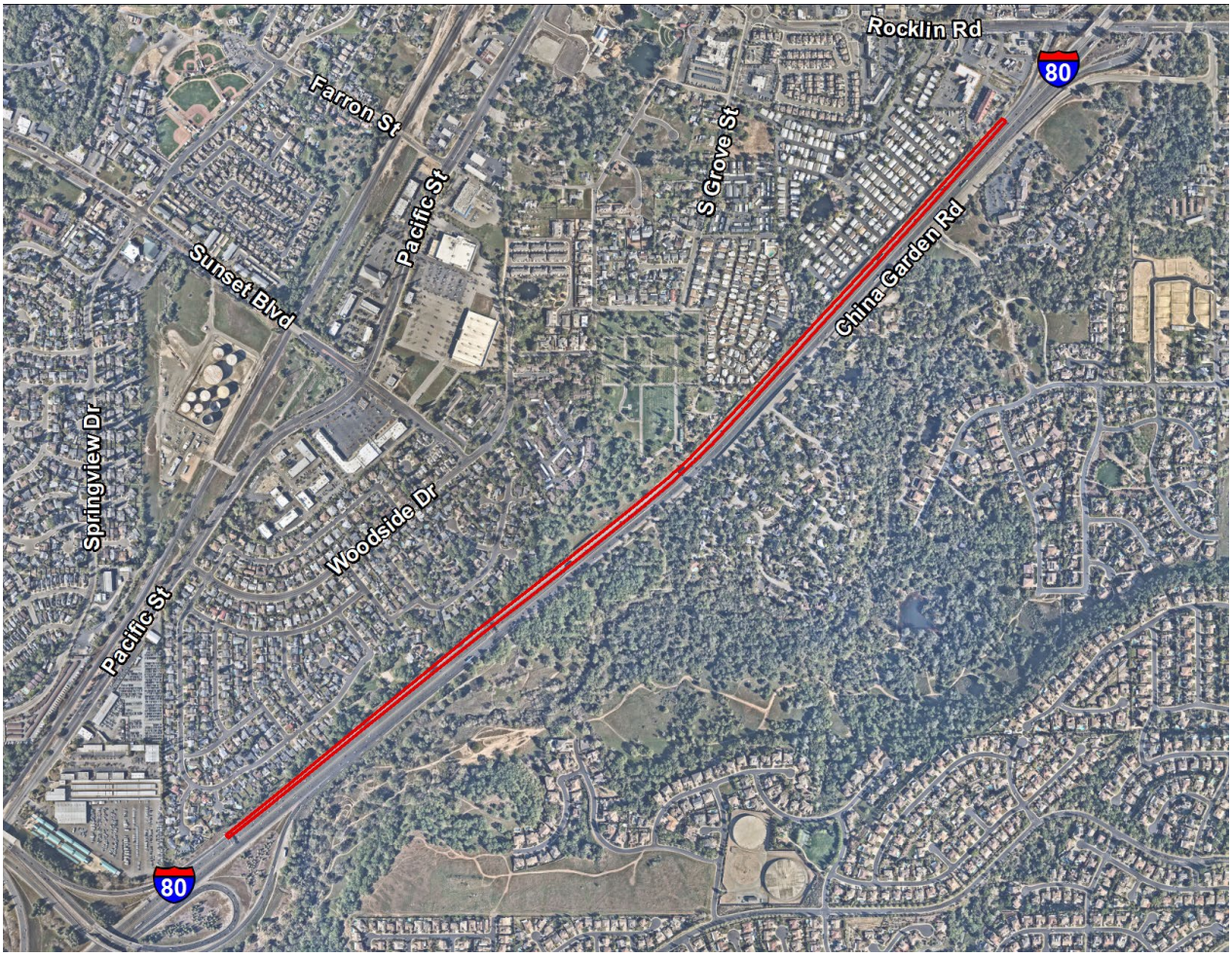
Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	-	126,100	749,000	-	-	**875,100**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	18,900	112,300	-	-	**131,200**
Total	**$ -**	**$ -**	**$ -**	**$ 145,000**	**$ 861,300**	**$ -**	**$ -**	**$ 1,006,300**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	-	-	145,000	-	-	-	**145,000**
210 - Sales Tax	-	-	-	-	856,300	-	-	**856,300**
240 - Developer	-	-	-	-	5,000	-	-	**5,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ 145,000**	**$ 861,300**	**$ -**	**$ -**	**$ 1,006,300**

Est. Start Date	TBD by Development	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD by Development	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ 1,006,300
	Community Development Department	**Estimated Total Project Cost**	**$ 1,006,300**

Roadway Improvement

I-80 Westbound Auxiliary Lane



Project Name	Project #
I-80 Westbound Auxiliary Lane	**50161**

Location

Westbound I-80 from Rocklin Road to Highway 65 (4,500 ft)

Description / Justification

Construct auxiliary lane from the termination of the acceleration lane from the westbound on-ramp to the Highway 65 off-ramp.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	600,000	275,000	-	-	525,000	-	**1,400,000**
Construction	-	-	-	-	-	-	-	**9,600,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 600,000**	**$ 275,000**	**$ -**	**$ -**	**$ 525,000**	**$ -**	**$ 11,000,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
240 - SPRTA Funded	-	600,000	275,000	-	-	525,000	-	**1,400,000**
Unfunded Future Years	-	-	-	-	-	-	-	**9,600,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 600,000**	**$ 275,000**	**$ -**	**$ -**	**$ 525,000**	**$ -**	**$ 11,000,000**

		Unfunded	$ 9,600,000
Est. Start Date	02/01/23	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ 600,000
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ 800,000
	Community Development Department	**Estimated Total Project Cost**	**$ 11,000,000**

Roadway Improvement

Mission Hills Reconstruction (Multiple Phases)



Project Name	Project #
Mission Hills Reconstruction Phase IV	**50154**

Location

Various streets in the Mission Hills, Hillside, and Argonaut area

Description / Justification

Current pavement is beyond preventative maintenance and past its useful life. This project will remove and replace 3" of asphalt on various streets in the Mission Hills, Hillside, and Argonaut areas.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	611,100	-	-	-	-	**611,100**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	91,700	-	-	-	-	**91,700**
Total	**$ -**	**$ -**	**$ 702,800**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 702,800**

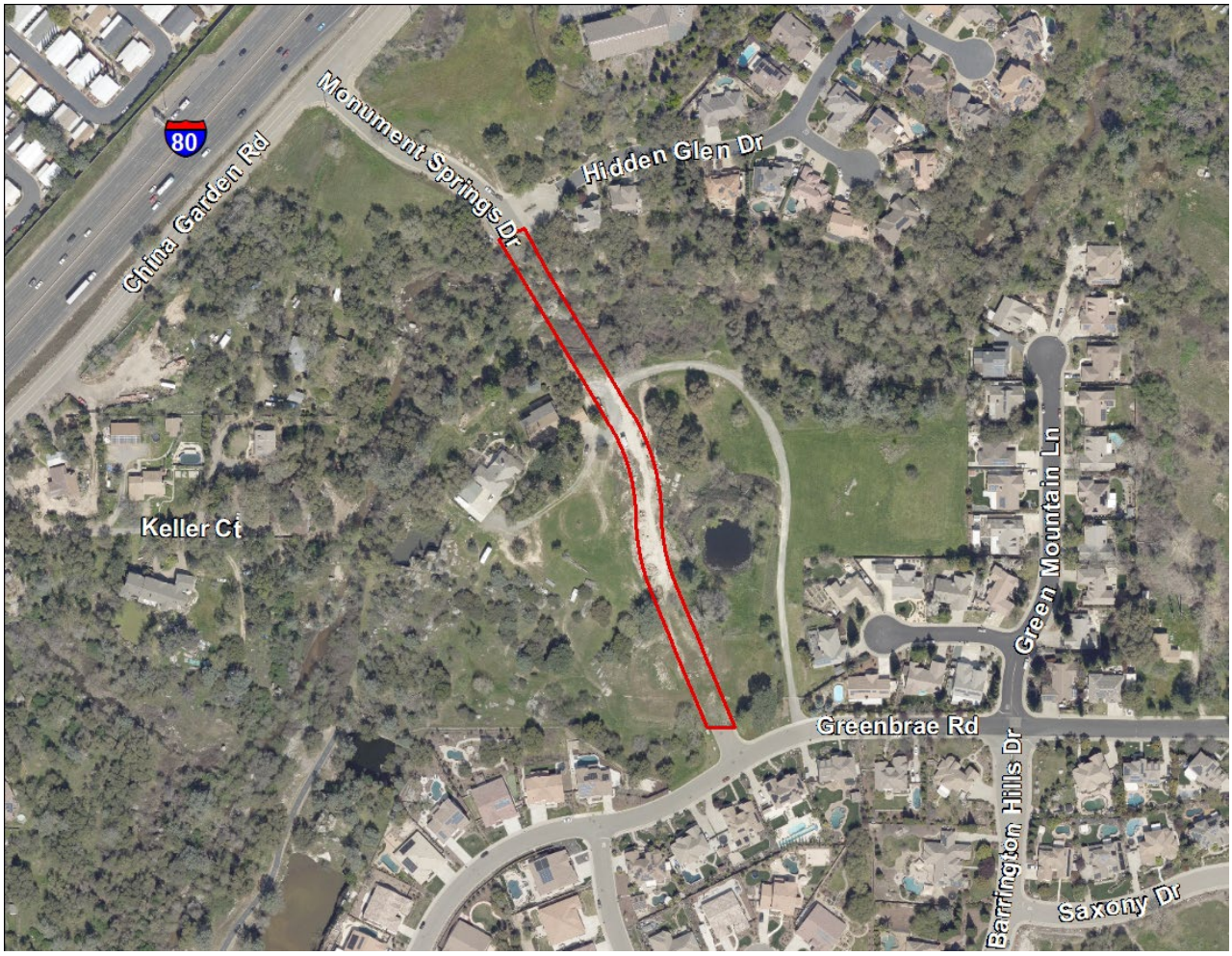
Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
205 - SB1 RMRA	-	-	702,800	-	-	-	-	**702,800**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ 702,800**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 702,800**

Est. Start Date	08/15/23
Est. Completion Date	05/31/24
Project Manager	Rick Lawrence
	Public Services Department

Actual thru FY 2021/22	$ -
FY 2022/23 Budget as of 2/2023	$ -
FY 2024 - FY 2028 Projected Funding	$ 702,800
Estimated Total Project Cost	**$ 702,800**

Roadway Improvement

Monument Springs Bridge



Project Name	Project #
Monument Springs Bridge	**50173**

Location

Greenbrae Road to Monument Springs Drive over Secret Ravine

Description / Justification

The developers of Granite Lake Estates, Vista Oaks, and Highlands Parcel A are conditioned to construct a steel truss bridge with connecting roadway improvements before any additional building permits can be approved. Funds will be used for the construction of Monument Springs Bridge.

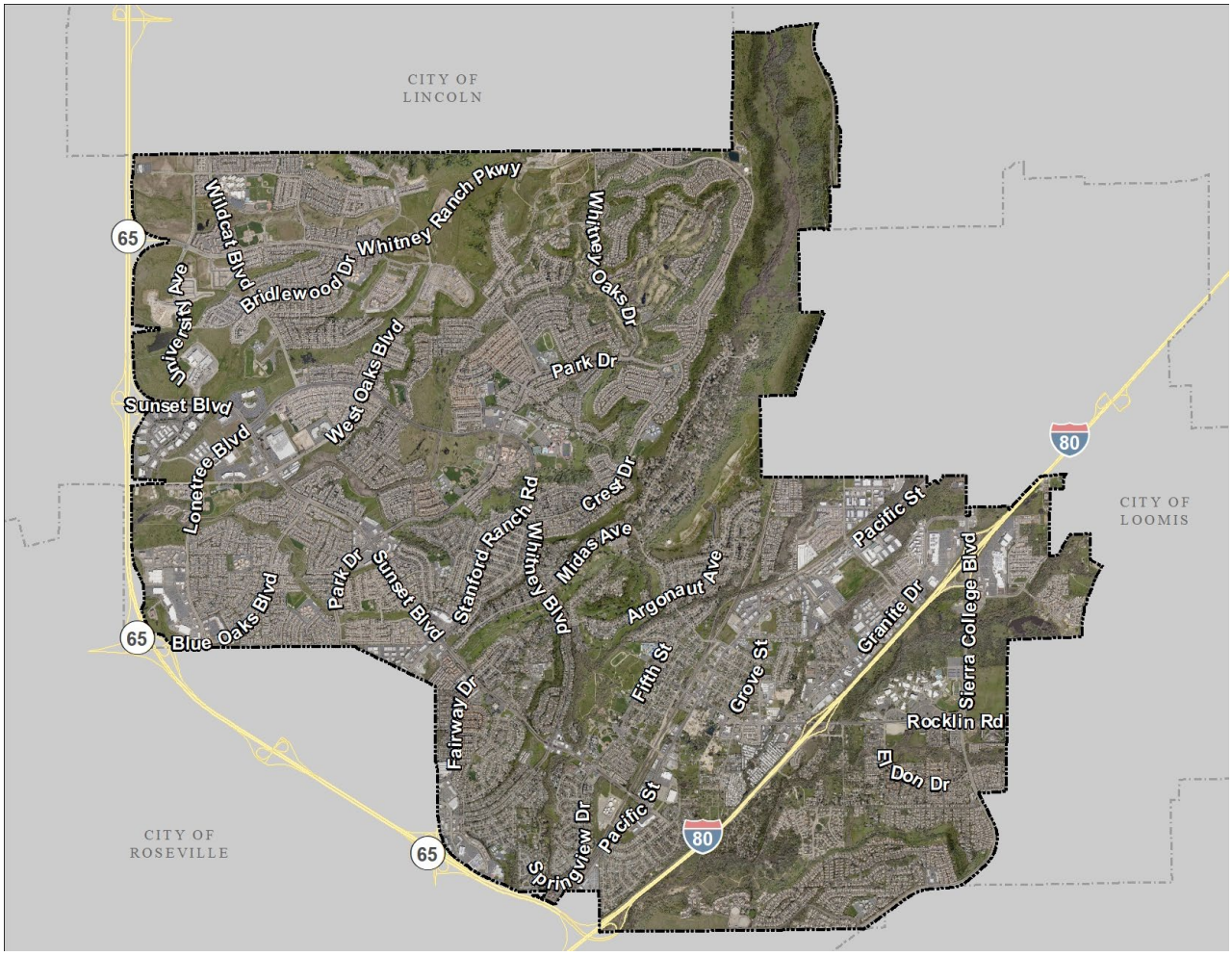
Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	1,500,000	-	-	-	-	**1,500,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ 1,500,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 1,500,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
302 - Traffic Circul.	-	-	1,500,000	-	-	-	-	**1,500,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ 1,500,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 1,500,000**

Est. Start Date	Contingent on Developer	Actual thru FY 2021/22	$ -
Est. Completion Date	Contingent on Developer	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ 1,500,000
	Community Development Department	**Estimated Total Project Cost**	**$ 1,500,000**

Roadway Improvement

Pavement Rehabilitation



Project Name	Project #
Pavement Rehabilitation	**50005**

Location

Citywide

Description / Justification

Degraded asphalt to be removed and replaced, and other road rehabilitation techniques, on arterials and collectors in order to preserve the roadways and prevent more costly repairs and rehabilitation in the coming years.
Construction is currently scheduled for FY2023/24 and FY2024/25. However, if the FY2024/25 funding becomes available sooner, construction could be completed in FY2023/24.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	103,200	21,300	-	-	-	-	-	**124,500**
Construction	-	-	1,672,200	1,066,900	-	-	-	**2,739,100**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	228,300	160,000	-	-	-	**388,300**
Total	**$ 103,200**	**$ 21,300**	**$ 1,900,500**	**$ 1,226,900**	**$ -**	**$ -**	**$ -**	**$ 3,251,900**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
240 - RSTP (Grant)	103,200	21,300	1,750,500	1,226,900	-	-	-	**3,101,900**
210 - Sales Tax	-	-	150,000	-	-	-	-	**150,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ 103,200**	**$ 21,300**	**$ 1,900,500**	**$ 1,226,900**	**$ -**	**$ -**	**$ -**	**$ 3,251,900**

Est. Start Date	02/01/21
Est. Completion Date	06/30/25
Project Manager	To Be Assigned
	Community Development Department

Actual thru FY 2021/22	$ 103,200
FY 2022/23 Budget as of 2/2023	$ 21,300
FY 2024 - FY 2028 Projected Funding	$ 3,127,400
Estimated Total Project Cost	**$ 3,251,900**

Roadway Improvement

Placer County Water Agency Roadway Improvements



Project Name	Project #
Placer County Water Agency Roadway Improvements	**50174**

Location

Various segments on Midas Avenue

Description / Justification

Placer County Water Agency (PCWA) will be replacing pipeline on segments of Midas Avenue. In cooperation with the City, PCWA will expand the scope of pavement restoration to include the full width of the roadway. The City will reimburse PCWA for needed asphalt dig outs throughout the project area and will also reimburse for non-project related pavement costs (bike lane areas).

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	300,000	-	-	-	-	**300,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ 300,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 300,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
210 - Sales Tax	-	-	300,000	-	-	-	-	**300,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ 300,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 300,000**

Est. Start Date	TBD by Placer County Water Agency	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD by Placer County Water Agency	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Rick Lawrence	FY 2024 - FY 2028 Projected Funding	$ 300,000
	Public Services Department	**Estimated Total Project Cost**	**$ 300,000**

Roadway Improvement

Rocklin Road / Sierra College Corridor Multimodal Enhancements



Project Name	Project #
Rocklin Road / Sierra College Corridor Multimodal Enhancements	**50162**

Location

Eastbound and Westbound on/off ramps at the I-80 and Rocklin Road Interchange

Description / Justification

Improve pedestrian and multimodal facilities within the Rocklin Road / I-80 Interchange corridor to promote connectivity between Sierra College and the surrounding communities. This project will include enhancements that provide for pedestrians and cyclists and will also address interchange inefficiencies to improve transit operations. This project is part of the Capital Improvement Program originally approved by Council on Resolution 2011-125, and each year since, as a way to fund traffic projects to address new development and growth, including Sierra College, which is an important asset to the community and region. Once the environmental studies are complete, this project may be eligible for SB1 grant funding.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	1,164,200	850,000	3,100,000	-	-	-	-	**5,114,200**
Construction	-	-	-	13,043,500	13,043,500	4,347,900	-	**30,434,900**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	1,956,500	1,956,500	652,100	-	**4,565,100**
Total	**$ 1,164,200**	**$ 850,000**	**$ 3,100,000**	**$ 15,000,000**	**$ 15,000,000**	**$ 5,000,000**	**$ -**	**$ 40,114,200**

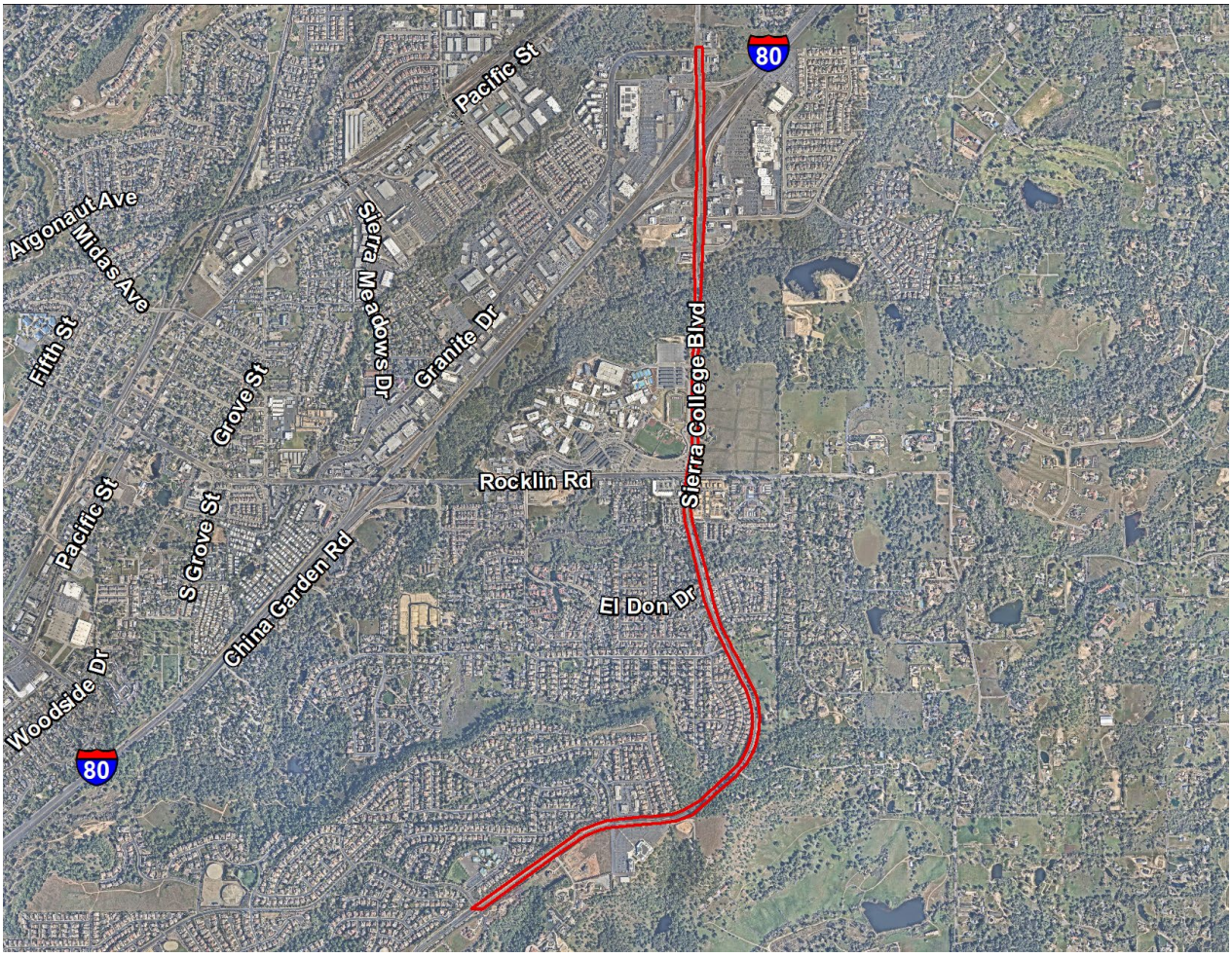
Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
240 - SPRTA	1,164,200	850,000	3,100,000	5,100,000	-	-	-	**10,214,200**
302 - Traffic Impact	-	-	-	8,750,000	-	-	-	**8,750,000**
*Unfunded	-	-	-	1,150,000	15,000,000	5,000,000	-	**21,150,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ 1,164,200**	**$ 850,000**	**$ 3,100,000**	**$ 15,000,000**	**$ 15,000,000**	**$ 5,000,000**	**$ -**	**$ 40,114,200**

Est. Start Date	08/01/21
Est. Completion Date	TBD Based on Funding
Project Manager	To Be Assigned
	Community Development Department

Actual thru FY 2021/22	$ 1,164,200
FY 2022/23 Budget as of 2/2023	$ 850,000
FY 2024 - FY 2028 Projected Funding	$ 38,100,000
Estimated Total Project Cost	**$ 40,114,200**

Roadway Improvement

Sierra College Blvd. Roadway Rehabilitation



Project Name	Project #
Sierra College Blvd. Roadway Rehabilitation (Multi-Phased)	**50091**

Location

Sierra College Blvd. from the Loomis Town limit to the Roseville City limit

Description / Justification

Sierra College Blvd. is in need of repair. The type of repairs required will vary depending on the pavement condition within the various segments of roadway.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	1,537,900	1,990,100	1,958,800	-	-	**5,486,800**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	230,700	298,500	293,800	-	-	**823,000**
Total	**$ -**	**$ -**	**$ 1,768,600**	**$ 2,288,600**	**$ 2,252,600**	**$ -**	**$ -**	**$ 6,309,800**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
210 - Sales Tax	-	-	1,768,600	2,288,600	2,252,600	-	-	**6,309,800**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ 1,768,600**	**$ 2,288,600**	**$ 2,252,600**	**$ -**	**$ -**	**$ 6,309,800**

Est. Start Date	Summer 2023	Actual thru FY 2021/22	$ -
Est. Completion Date	06/30/26	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ 6,309,800
	Community Development Department	**Estimated Total Project Cost**	**$ 6,309,800**

Roadway Improvement

Sierra College Blvd. Widening (Schriber Way to Dominguez Road)



Project Name	Project #
Sierra College Blvd. Widening (Schriber Way to Dominguez Road)	**50164**

Location

Southbound Sierra College Blvd. from Schriber Way/Rocklin Station Driveway to Dominguez Road/Bass Pro Drive intersection

Description / Justification

Sierra College Blvd. widening and frontage improvements including a third southbound travel lane, bike lane, 12ft auxiliary/right turn lane, curb, gutter, and sidewalk along the Lifehouse Church property. The Rocklin Station project was conditioned to enter into a reimbursement agreement with the City to pay the full cost of frontage improvements along the Lifehouse Church property. The City would construct the improvements and charge the Rocklin Station developer 50% of the cost. The Lifehouse Church owners would dedicate the additional right of way and pay the remaining 50% of the construction cost. The City will pay for the design.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	24,200	30,000	-	-	-	-	-	**54,200**
Construction	-	-	300,000	-	-	-	-	**300,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	45,000	-	-	-	-	**45,000**
Total	**$ 24,200**	**$ 30,000**	**$ 345,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 399,200**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
240 - Developer	-	-	345,000	-	-	-	-	**345,000**
302 - Traffic Circ.	24,200	30,000	-	-	-	-	-	**54,200**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ 24,200**	**$ 30,000**	**$ 345,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 399,200**

Est. Start Date	11/01/20
Est. Completion Date	06/30/24
Project Manager	To Be Assigned
	Community Development Department

Actual thru FY 2021/22	$ 24,200
FY 2022/23 Budget as of 2/2023	$ 30,000
FY 2024 - FY 2028 Projected Funding	$ 345,000
Estimated Total Project Cost	**$ 399,200**

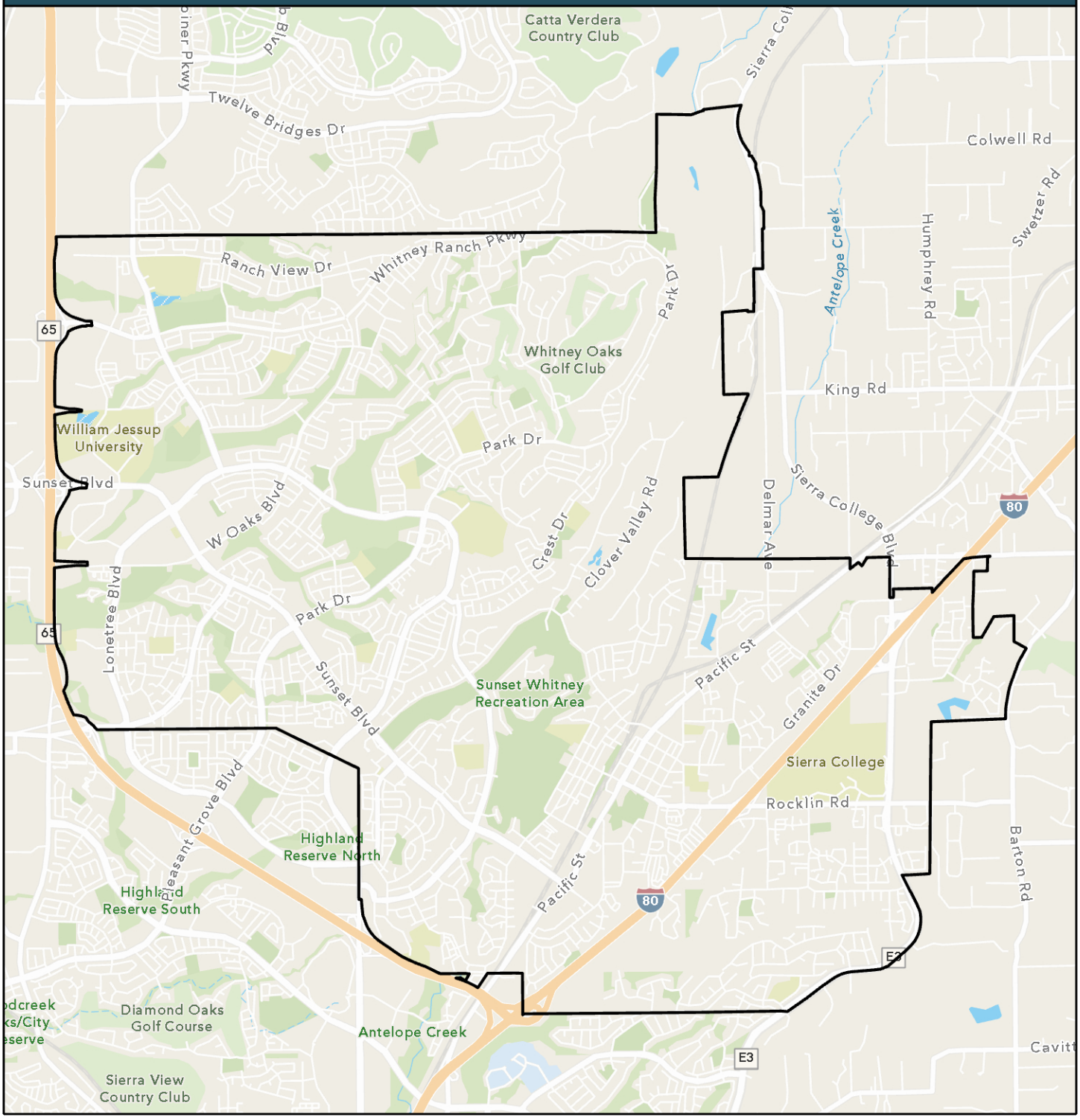
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Capital Improvement Plan

Stormwater Pipe Improvement Projects

ROCKLIN
CALIFORNIA

Stormwater Pipe Improvement Projects



Project

Stormwater Pipe Replacement Program - Citywide

STORMWATER PIPE IMPROVEMENT PROJECTS

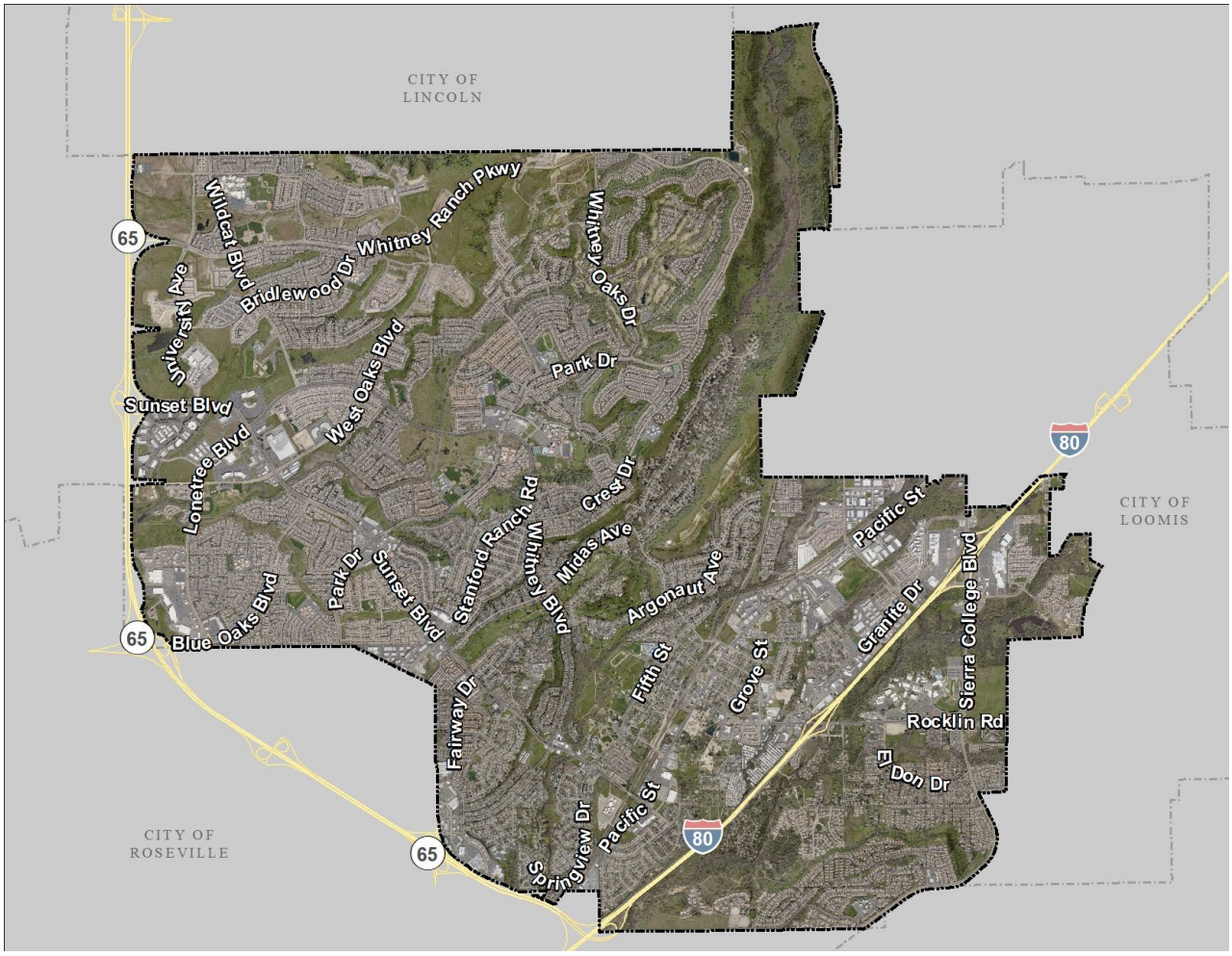
Summary by Cost Element and Funding Source

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	400,000	550,000	550,000	550,000	550,000	550,000	**3,150,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	60,000	82,500	82,500	82,500	82,500	82,500	**472,500**
Total	**$ -**	**$ 460,000**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 3,622,500**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
210 - Sales Tax	-	460,000	632,500	632,500	632,500	632,500	632,500	**3,622,500**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 460,000**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 3,622,500**

Stormwater Pipe Improvement

Stormwater Pipe Replacement Program



Project Name	Project #
Stormwater Pipe Replacement Program	**50139**

Location

Citywide

Description / Justification

Repair and replace existing corrugated metal drainage pipe at various locations within the City's storm drain system. The stormwater assessment report has identified major outfall work and other pipes that are reaching the end of their life-cycle.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	400,000	550,000	550,000	550,000	550,000	550,000	**3,150,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	60,000	82,500	82,500	82,500	82,500	82,500	**472,500**
Total	**N/A**	**$ 460,000**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 3,622,500**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
210 - Sales Tax	-	460,000	632,500	632,500	632,500	632,500	632,500	**3,622,500**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**N/A**	**$ 460,000**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 632,500**	**$ 3,622,500**

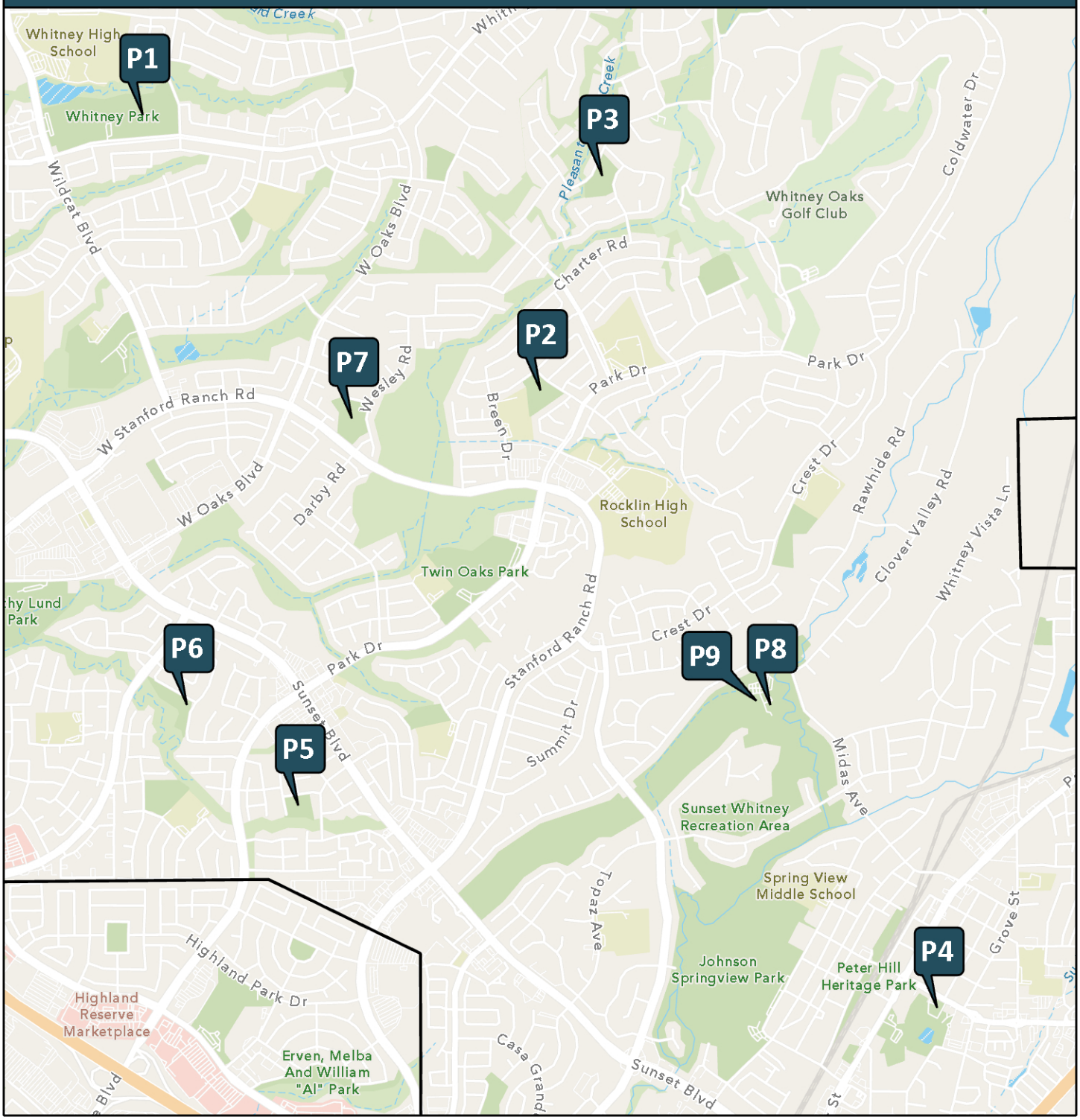
Est. Start Date	Ongoing	Actual thru FY 2021/22	Ongoing
Est. Completion Date	Ongoing	FY 2022/23 Budget as of 2/2023	$ 460,000
Project Manager	Rick Lawrence	FY 2024 - FY 2028 Projected Funding	$ 3,162,500
	Public Services Department	**Estimated Total Project Cost**	**$ 3,622,500**

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Capital Improvement Plan

Parks and Trails Improvement Projects

Parks and Trails Improvement Projects



No.	Project
P1	Bike Pump Track
P2	Breen Park Play Structure Replacement
P3	Mansion Oaks Park Play Structure Replacement
P4	Memorial Park Play Structure Replacement
P5	Night Ridge Park Play Structure Replacement
P6	Ruhkala Park Play Structure Replacement
P7	Wesley Park Play Structure Replacement
P8	Sunset Whitney Recreation Area Improvements
P9	Sunset Whitney Recreation Area Restrooms

PARKS AND TRAILS IMPROVEMENT PROJECTS

Summary by Cost Element and Funding Source

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	110,000	-	-	-	-	-	**110,000**
Construction	-	1,480,000	1,000,000	265,000	185,000	370,000	180,000	**3,480,000**
Misc.	-	75,000	-	-	-	-	-	**75,000**
Contingency 15%	-	249,800	-	39,800	27,800	55,600	27,000	**400,000**
Total	**$ -**	**$ 1,914,800**	**$ 1,000,000**	**$ 304,800**	**$ 212,800**	**$ 425,600**	**$ 207,000**	**$ 4,065,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	994,800	1,000,000	92,000	212,800	212,800	207,000	**2,719,400**
232 - CFD #5	-	-	-	212,800	-	212,800	-	**425,600**
307 - NW Rocklin Comm.	-	920,000	-	-	-	-	-	**920,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 1,914,800**	**$ 1,000,000**	**$ 304,800**	**$ 212,800**	**$ 425,600**	**$ 207,000**	**$ 4,065,000**

Parks and Trails Improvement

Bike Pump Track



Project Name	Project #
Bike Pump Track	**45225**

Location

Whitney Community Park

Description / Justification

Design and build a Bike Pump Track at Whitney Community Park (Phase II), per City Council direction.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	100,000	-	-	-	-	-	**100,000**
Construction	-	700,000	-	-	-	-	-	**700,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	120,000	-	-	-	-	-	**120,000**
Total	**$ -**	**$ 920,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 920,000**

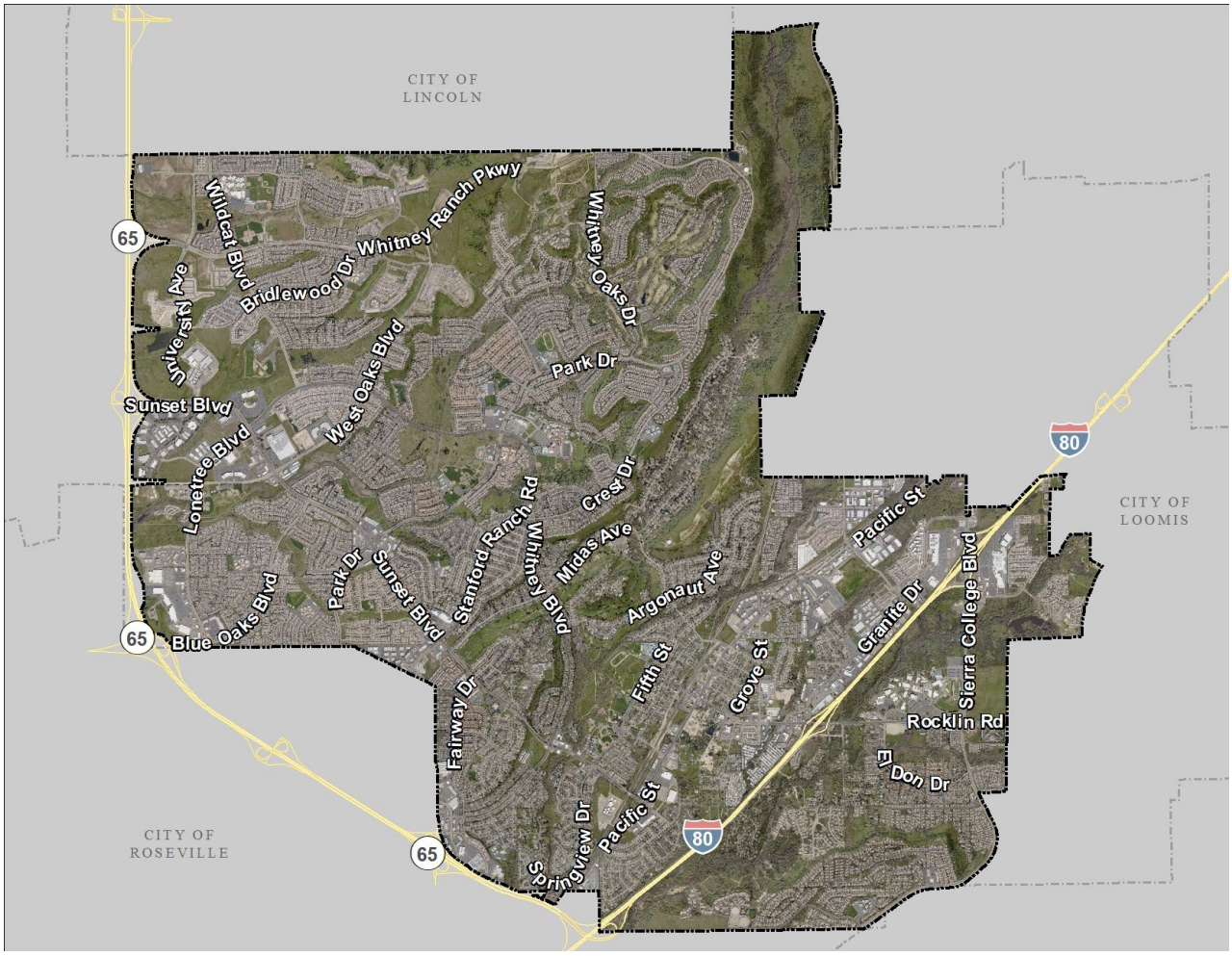
Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
307 - NW Rocklin Comm. Park Fee	-	920,000	-	-	-	-	-	**920,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 920,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 920,000**

Est. Start Date	01/01/24
Est. Completion Date	06/30/24
Project Manager	Shawn Darling
	Parks and Recreation Department

Actual thru FY 2021/22	$ -
FY 2022/23 Budget as of 2/2023	$ 920,000
FY 2024 - FY 2028 Projected Funding	$ -
Estimated Total Project Cost	**$ 920,000**

Parks and Trails Improvement

Park Play Structure Replacements



Project Name	Project #
Park Play Structure Replacements	**10605**

Location

Various parks throughout the City

Description / Justification

Replace current play structures at end of useful life.

Playground Replacement Schedule by Park	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Project Total
100 - Memorial Park	-	-	-	80,000	-	-	-	**80,000**
232 - Night Ridge Park	-	-	-	185,000	-	-	-	**185,000**
100 - Wesley Park	-	-	-	-	185,000	-	-	**185,000**
100 - Breen Park	-	-	-	-	-	185,000	-	**185,000**
232 - Ruhkala Park	-	-	-	-	-	185,000	-	**185,000**
100 - Mansion Oaks Park	-	-	-	-	-	-	180,000	**180,000**
100 - Contingency 15%	-	-	-	12,000	27,800	27,800	27,000	**94,600**
232 - Contingency 15%	-	-	-	27,800	-	27,800	-	**55,600**
Total	**$ -**	**$ -**	**$ -**	**$ 304,800**	**$ 212,800**	**$ 425,600**	**$ 207,000**	**$ 1,150,200**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	-	-	92,000	212,800	212,800	207,000	**724,600**
232 - CFD #5	-	-	-	212,800	-	212,800	-	**425,600**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ 304,800**	**$ 212,800**	**$ 425,600**	**$ 207,000**	**$ 1,150,200**

Est. Start Date	07/01/23	Actual thru FY 2021/22	$ -
Est. Completion Date	06/30/28	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Shawn Darling	FY 2024 - FY 2028 Projected Funding	$ 1,150,200
	Parks and Recreation Department	**Estimated Total Project Cost**	**$ 1,150,200**

Parks and Trails Improvement

Sunset Whitney Recreation Area Improvements



Project Name	Project #
Sunset Whitney Recreation Area Improvements	**Multi**

Location

Sunset Whitney Recreation Area

Description / Justification

Design and construct various improvements at SWRA and clean up surrounding area. The project is identified in the strategic plan to maintain and enhance Rocklin's physical environment and to continually develop and enhance the area for public use.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	10,000	-	-	-	-	-	**10,000**
Construction	-	580,000	1,000,000	-	-	-	-	**1,580,000**
Misc.	-	75,000	-	-	-	-	-	**75,000**
Contingency 15%	-	99,800	-	-	-	-	-	**99,800**
Total	**$ -**	**$ 764,800**	**$ 1,000,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 1,764,800**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	764,800	1,000,000	-	-	-	-	**1,764,800**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 764,800**	**$ 1,000,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 1,764,800**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ 764,800
Project Manager	Shawn Darling	FY 2024 - FY 2028 Projected Funding	$ 1,000,000
	Parks and Recreation Department	**Estimated Total Project Cost**	**$ 1,764,800**

Parks and Trails Improvement

Sunset Whitney Recreation Area Restrooms



Project Name	Project #
Sunset Whitney Recreation Area Restrooms	**21010**

Location

Sunset Whitney Recreation Area

Description / Justification

Purchase and install one prefabricated code compliant permanent restroom facility at Sunset Whitney Recreation Area.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	200,000	-	-	-	-	-	**200,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	30,000	-	-	-	-	-	**30,000**
Total	**$ -**	**$ 230,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 230,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	230,000	-	-	-	-	-	**230,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ 230,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 230,000**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ 230,000
Project Manager	Shawn Darling	FY 2024 - FY 2028 Projected Funding	$ -
	Parks and Recreation Department	**Estimated Total Project Cost**	**$ 230,000**

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Capital Improvement Plan

Future Improvement Projects

Future Facility Improvement

City Hall HVAC Refurbishment



ROCKLIN
CALIFORNIA

Future Facility Improvement

Project Name	Project #
City Hall HVAC Refurbishment	**TBD**

Location

City Hall - 3970 Rocklin Road

Description / Justification

The repair of the HVAC ducting and controls in the City Hall building was recognized as a necessary project and included in the recent City Hall Refurbishment Project as a bid alternate, unfortunately the funding was not available to complete the work. The HVAC ducting and controls in City Hall are old and because the building has had so many interior renovations over the years the system does not balance. One office space can be extremely cold while another office space on the opposite side of the building on the same floor can be extremely hot. Many staff members use space heaters in the winter which uses excessive electricity and has tripped the breaker in the past

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	-	-	-	-	-	**300,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 300,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	**300,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 300,000**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Matt McClure	FY 2024 - FY 2028 Projected Funding	$ -
	Public Services Department	**Estimated Total Project Cost**	**$ 300,000**

Future Facility Improvement

Community Center Renovation



ROCKLIN
CALIFORNIA

Future Facility Improvement

Project Name	Project #
Community Center Renovation	**TBD**

Location

Community Center - 5480 5th Street

Description / Justification

Remodel existing Community Center. Repair facility and provide necessary ADA and rental upgrades. Increased programming and rentals will generate revenue to cover cost of renovation, per Park and Recreation consultant findings.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	50,000
Construction	-	-	-	-	-	-	-	850,000
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	135,000
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 1,035,000

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	1,035,000
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 1,035,000

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Matt McClure	FY 2024 - FY 2028 Projected Funding	$ -
	Public Services Department	**Estimated Total Project Cost**	**$ 1,035,000**

Future Roadway Improvement

Farron Street Railroad Crossing Pedestrian Improvement



Project Name	Project #
Farron Street Railroad Crossing Pedestrian Improvement	**TBD**

Location

Farron Street Railroad Crossing

Description / Justification

Improve pedestrian access by installing concrete panels along the railroad tracks to provide a path of travel around the crossing arms. The ADA transition plan was completed in 2019, and identified this barrier. This project is a direct opportunity to improve pedestrian mobility, especially for those with disabilities. Redevelopment of the old Kmart will increase pedestrian volumes to and from Johnson Springview Park, and Farron Street's railroad crossing is a direct barrier, on both sides of the street.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	**49,500**
Construction	-	-	-	-	-	-	-	**300,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	**45,000**
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 394,500**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	**394,500**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 394,500**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Rick Lawrence	FY 2024 - FY 2028 Projected Funding	$ -
	Public Services Department	**Estimated Total Project Cost**	**$ 394,500**

Future Parks and Trails Improvement

Johnson Springview Community Park Parking Lot



Project Name	Project #
Johnson Springview Community Park Parking Lot	**TBD**

Location

Johnson Springview Community Park

Description / Justification

Additional parking is required to accommodate the increase in park attendance due to special events, sports activities, and the splash pad. Paving the vacant area adjacent to the volleyball and basketball courts will help alleviate parking congestion.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	-	-	-	-	-	300,000
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	45,000
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 345,000

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	345,000
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 345,000

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Shawn Darling	FY 2024 - FY 2028 Projected Funding	$ -
	Parks and Recreation Department	**Estimated Total Project Cost**	**$ 345,000**

Future Parks and Trails Improvement

Kathy Lund Community Park Parking Lot



Project Name	Project #
Kathy Lund Community Park Parking Lot	**TBD**

Location

Kathy Lund Community Park

Description / Justification

Additional parking is required to accommodate the increase in park attendance due to special events, sports activities, and the splash pad. Extending the existing parking lot will add approximately 65 parking spaces adjacent to the splash pad and church to help alleviate parking congestion.

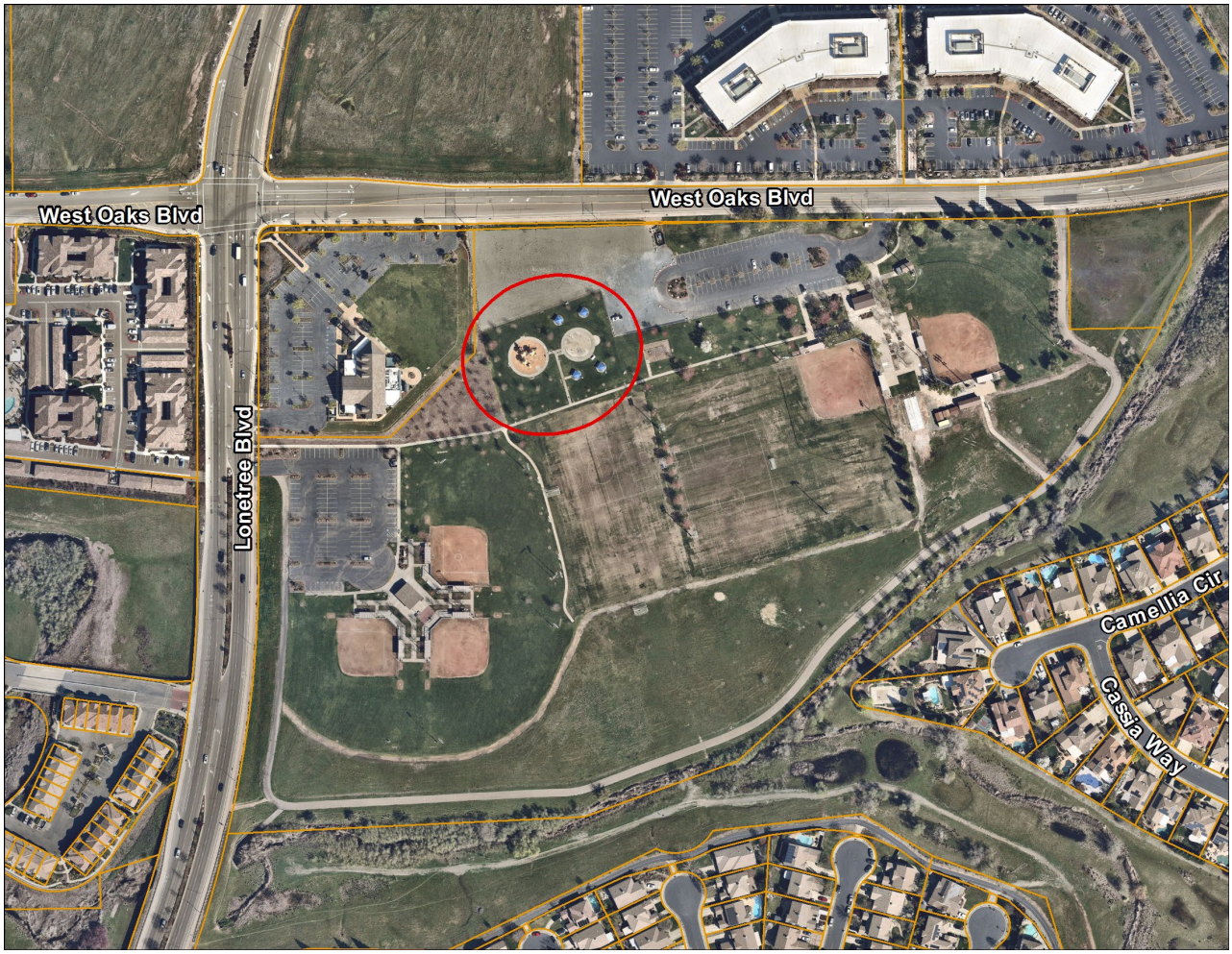
Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	-	-	-	-	-	300,000
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	45,000
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 345,000

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	345,000
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 345,000

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Shawn Darling	FY 2024 - FY 2028 Projected Funding	$ -
	Parks and Recreation Department	**Estimated Total Project Cost**	**$ 345,000**

Future Parks and Trails Improvement

Kathy Lund Community Park Picnic Pavilion



Project Name	Project #
Kathy Lund Community Park Picnic Pavilion	**TBD**

Location

Kathy Lund Community Park

Description / Justification

Purchase and install one prefabricated Picnic Pavilion Structure at Kathy Lund Park. Provide structure similar to Johnson Springview Park to expand current rental operations at the park. The facility would replace existing small shade structures and allow the City to program and rent out the space. Revenue generated from future rentals to offset initial cost of purchase and install over the life of the structure. Provide residents with new amenity and future enjoyment of playground and splash pad areas.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	**25,000**
Construction	-	-	-	-	-	-	-	**200,000**
Misc.	-	-	-	-	-	-	-	**25,000**
Contingency 15%	-	-	-	-	-	-	-	**37,500**
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 287,500**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	**287,500**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 287,500**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Jeff Scanlon	FY 2024 - FY 2028 Projected Funding	$ -
	Parks and Recreation Department	**Estimated Total Project Cost**	**$ 287,500**

Future Roadway Improvement

Pacific Street – Curb, Gutter, and Sidewalk

(Americana Way to Delmar Avenue)



Project Name	Project #
Pacific Street - Curb, Gutter, and Sidewalk (Americana Way to Delmar Ave.)	**TBD**

Location

North side of Pacific Street from Americana Way to Delmar Avenue

Description / Justification

Requested pedestrian enhancement to construct curb, gutter, and sidewalk.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	**45,000**
Construction	-	-	-	-	-	-	-	**450,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	**67,500**
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 562,500**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	**562,500**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 562,500**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ -
	Community Development Department	**Estimated Total Project Cost**	**$ 562,500**

Future Roadway Improvement

Park Drive Resurface and Restripe



Project Name	Project #
Park Drive Resurface and Restripe	**TBD**

Location

Park Drive from Crest Drive to Whitney Oaks Drive

Description / Justification

Design and create a pedestrian corridor on Park Drive to enhance and encourage pedestrian activities along Park Drive.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	-	-	-	-	-	2,000,000
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	-
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 2,000,000

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	2,000,000
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 2,000,000

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Justin Nartker	FY 2024 - FY 2028 Projected Funding	$ -
	Public Services Department	**Estimated Total Project Cost**	**$ 2,000,000**

Future Facility Improvement

Public Safety Training Center



Project Name	Project #
Public Safety Training Center	**TBD**

Location

Corporation Yard - 4081 Alvis Court

Description / Justification

Neither the City nor the Fire Department has a dedicated public safety training facility. Fire training is currently conducted in small shipping containers in the rear of fire station #24, vacant parking lots, unoccupied buildings, and at training centers located in the cities of Roseville and Grass Valley. A public safety training center within the city will allow fire companies to remain local and continue to respond to emergencies as needed. The training center would also benefit the Rocklin Police Department as they train to conduct search operations, provide forcible entry training, canine training, and other law enforcement activities. Additionally, training on City property will reduce liabilities that are common when training on private property.
This project was previously known as "Fire Training Tower".

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	**10,000**
Construction	-	-	-	-	-	-	-	**475,000**
Misc.	-	-	-	-	-	-	-	**100,000**
Contingency 15%	-	-	-	-	-	-	-	**86,300**
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 671,300**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	**671,300**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 671,300**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Matt McClure	FY 2024 - FY 2028 Projected Funding	$ -
	Public Services Department	**Estimated Total Project Cost**	**$ 671,300**

Future Roadway Improvement

Quarry District Alley Work



Project Name	Project #
Quarry District Alley Work	**TBD**

Location

Various alleyways within the Quarry District

Description / Justification

Dirt alleyways currently discharge stormwater directly into the drainage system. Paving the alleys will allow for the proper drainage through the system and will tie into the eradication of blight in the area.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	**200,000**
Construction	-	-	-	-	-	-	-	**675,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	**107,300**
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 982,300**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	**982,300**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 982,300**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ -
	Community Development Department	**Estimated Total Project Cost**	**$ 982,300**

Future Roadway Improvement

Railroad Avenue North West Extension



Project Name	Project #
Railroad Avenue North West Extension	**TBD**

Location

Railroad Avenue - City property adjacent to railroad tracks

Description / Justification

This project will provide a roadway connection and additional parking to support public transit and Quarry District development in the area. This land can only be used for parking and the construction of a roadway, per the purchase agreement with Union Pacific Railroad.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	**200,000**
Construction	-	-	-	-	-	-	-	**4,174,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	**626,000**
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 5,000,000**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	**5,000,000**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 5,000,000**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ -
	Community Development Department	**Estimated Total Project Cost**	**$ 5,000,000**

Future Roadway Improvement

Sunset Blvd. Sidewalk



Project Name	Project #
Sunset Blvd. Sidewalk	**TBD**

Location

Southerly side of Sunset Blvd.

Description / Justification

Construct sidewalk, curb, and gutter along Sunset Blvd. from Coronado Way, 600 feet toward South Whitney Blvd. The Developer is installing sidewalk, curb, and gutter along the development on Sunset Blvd., resulting in a gap left between their property line and Coronado Way. This project will fill in the gap between the existing sidewalk and the proposed development and create a continuous path.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	20,000
Construction	-	-	-	-	-	-	-	93,600
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	17,000
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 130,600

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	130,600
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 130,600

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Rick Lawrence	FY 2024 - FY 2028 Projected Funding	$ -
	Public Services Department	**Estimated Total Project Cost**	**$ 130,600**

Future Parks and Trails Improvement

Sunset Park Trail Phase A



Project Name	Project #
Sunset Park Trail Phase A	**TBD**

Location

Sunset Park Trail Phase A

Description / Justification

A shared use path and use of existing utility easement would be part of the larger Sunset Park Trail system which is presented in three phases. Phase A would begin at the Stanford Ranch Road utility easement and travel west terminating at Farrier Road. This project is part of the Strategic Plan of maintaining and enhancing Rocklin's physical environment and one of the top priorities identified by the Trails Strategy & Action Plan as well as the Parks & Recreation Commission. Funding may be offset by possible South Placer Municipal Utility District.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	**25,000**
Construction	-	-	-	-	-	-	-	**250,000**
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	**41,300**
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 316,300**

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	**316,300**
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 316,300**

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	Shawn Darling	FY 2024 - FY 2028 Projected Funding	$ -
	Parks and Recreation Department	**Estimated Total Project Cost**	**$ 316,300**

Future Roadway Improvement

Whitney Ranch Pkwy and University Avenue Roundabout



Project Name	Project #
Whitney Ranch Pkwy and University Avenue Roundabout	**TBD**

Location

Intersection of Whitney Ranch Pkwy and University Avenue

Description / Justification

This project consists of the removal of a stop controlled intersection and replacing it with a multi-lane roundabout. This will reduce green house emissions while improving peak hour traffic flow.

Schedule by Cost Element	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Land / ROW	-	-	-	-	-	-	-	-
Planning / Design	-	-	-	-	-	-	-	-
Construction	-	-	-	-	-	-	-	5,000,000
Misc.	-	-	-	-	-	-	-	-
Contingency 15%	-	-	-	-	-	-	-	-
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 5,000,000

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
Unfunded	-	-	-	-	-	-	-	5,000,000
306 - Whitney Ranch	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
	-	-	-	-	-	-	-	-
Total	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 5,000,000

Est. Start Date	TBD	Actual thru FY 2021/22	$ -
Est. Completion Date	TBD	FY 2022/23 Budget as of 2/2023	$ -
Project Manager	To Be Assigned	FY 2024 - FY 2028 Projected Funding	$ -
	Community Development Department	**Estimated Total Project Cost**	**$ 5,000,000**

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Capital Improvement Plan

Equipment

Equipment

Summary by Funding Source

Schedule by Funding Source	Actual Thru FY 2021/22	FY 2022/23 As of 2/2023	FY 2023/24	FY 2024/25	FY 2025/26	FY 2026/27	FY 2027/28	Total
100 - General Fund	-	275,000	275,000	-	-	-	-	**550,000**
	-	-	-	-	-	-	-	**-**
	-	-	-	-	-	-	-	**-**
	-	-	-	-	-	-	-	**-**
	-	-	-	-	-	-	-	**-**
Total	**$ -**	**$ 275,000**	**$ 275,000**	**$ -**	**$ -**	**$ -**	**$ -**	**$ 550,000**

Fleet Asset or Equipment Type	Department Requesting or Assigned To
Fire Station Alerting	**Fire**

Justification

The current alerting system does not meet the current or projected needs of the Fire Department. Several failures have occurred leading to fire personnel being alerted late or in some cases not at all. Replacing the current system will enhance dispatching of firefighters, reduce turnout and response times, and provide sustainable technology that is supportive of a regional communications center.

Purpose and Use

- [x] New Equipment or Fleet Request
- [ ] Fleet Replacement

- [x] Replace Obsolete or Outdated Equipment
- [x] Reduce Staff Time / Increase Efficiency
- [ ] Expand Service or New Operation
- [ ] Meet Required Regulations / Safety Standards

Cost Breakdown

Quantity 3	Per Unit Cost	Total Cost
Purchase Price	183,333	**550,000**
Lease Price - Per Year	-	-
Up-Fit / Equipment	-	-
Misc.	-	-
Less Discount	-	-
Less Trade In	-	-
Total	**$ 183,300**	**$ 550,000**

Funding Source	FY 2022/23	FY 2023/24				Total
100 - General Fund	275,000	275,000	-	-	-	**550,000**
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
Total	**$ 275,000**	**$ 275,000**	**$ -**	**$ -**	**$ -**	**$ 550,000**

Unit #	Asset Replacing - Make/Model	Mileage	Year	Disposition Method

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City of Rocklin

Capital Improvement Plan

Appendices

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ACRONYMS

ADA	Americans with Disabilities Act
CDBG	Community Development Block Grant (Federal Grant)
CEQA	California Environmental Quality Act
CFD	Community Facilities District
CIP	Capital Improvement Plan or Capital Improvement Project
CMAQ	Congestion Mitigation and Air Quality (Federal Grant)
DOC	Department Operation Center
EOC	Emergency Operations Center
FEMA	Federal Emergency Management Agency
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GF	General Fund
GFOA	Government Finance Officers Association
GIS	Geographic Information Systems
HUD	Housing and Urban Development
L & L	Landscaping and Lighting
LCTOP	Low Carbon Transit Operations Program
LED	Light-Emitting Diode
LLAD	Landscape and Lighting Assessment District
LOS	Level of Service
NEPA	National Environmental Policy Act
O & M	Operations and Maintenance
OES	Office of Emergency Services
PMP	Pavement Management Program
PPE	Personal Protective Equipment
RFP	Request for Proposal
RFQ	Request for Qualifications
RMRA	Road Maintenance and Rehabilitation Account
ROW	Right-of-Way
RSTP	Regional Surface Transportation Program (Federal Grant)
RTIP	Regional Transportation Improvement Program (State Grant)
STP	Surface Transportation Program
SB1	Senate Bill 1: The Road Repair and Accountability Act of 2017
SPRTA	South Placer Regional Transportation Authority
SWRA	Sunset Whitney Recreation Area
TDA	Transportation Development Act

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GLOSSARY

Account Number
Numeric identification of the account. The City's account number structure is comprised of three fields of characters. The first field is three characters wide and identifies the various unique funds within the accounting system. The next field contains three characters and identifies the division within the City. The final field contains four characters and identifies the object code of the account number. The same object code may be used in many divisions. The combination of the three fields provides for a unique number for the transaction.

Accrual Basis
A basis of accounting in which transactions are recognized at the time they are incurred, as opposed to when cash is received or spent.

Americans with Disabilities Act of 1990 (ADA)
A federal law providing for a wide range of protection to individuals with disabilities ranging from prohibitions against discrimination in employment to specific requirements for modifications of public facilities and transportation systems.

Appropriation
An authorization granted by the City Council to make expenditures and to incur obligations for specific purposes.

Assessment District
A separate local government agency formed to provide specific services. Property owners within the assessment district boundary pay the district in proportion to the benefits or services they receive.

Bond
A municipal bond is a written promise from a local government to repay a sum of money on a specific date at a specified interest rate. Bonds are most frequently used to finance capital improvement projects such as buildings, streets and bridges.

Budget
An annual financial plan consisting of proposed and approved expenditures for specified purposes and the proposed means for financing them.

Budget Amendments
The Council has the sole responsibility for adopting the City's budget, and may amend or supplement the budget at any time after adoption by majority vote. The City Manager has the authority to approve line item budgetary transfers between expenditure objects of the budget as long as it is in the same fund and within the same division.

GLOSSARY

Capital Assets
Capital assets include land, improvements to land, buildings, building improvements, vehicles, equipment, and infrastructure with an initial, individual cost of $10,000 ($5,000 if grant funded) or more, $100,000 for infrastructure and an estimated life in excess of one year.

Capital Improvement Plan (CIP)
A plan used to determine the capital improvement priorities, provide for the budget of current year projects, and provide for the forecasting and anticipation of future year expenditures.

Capital Project
Major construction, acquisition, or renovation activities that add value to a government's physical assets or significantly increases the useful life.

Community Facilities District (CFD)
The Mello-Roos Act (1982) allows any county, city, special district, school district, or joint powers authority to establish a CFD that allows for financing of public improvements (e.g., sewers, streets) and services. To establish a CFD, voters within the district must approve its creation by two-thirds.

Contingency
An appropriation of funds to cover unforeseen events.

Construction Engineering
Engineering work during the construction process. This ensures that projects are constructed in accordance with design parameters and specifications.

Debt Service
The costs of paying the principal and interest on borrowed money according to a predetermined payment schedule.

Debt Service Funds
Debt service funds are used to account for the payment of principal and interest on City debt and debt related entities.

Design Engineering
The process of identifying project options, developing a project scope, conducting feasibility analyses, and creating plans, specifications, and estimates for a capital improvement.

Depreciation
The process of allocating the cost of a capital asset to the periods during which the asset is used.

GLOSSARY

Detail Project Sheet
A summary page for a single project or program that details the project objective and proposed budget.

Development Impact Fee
Development impact fees are charged against development to mitigate the impact of new development on existing facilities. Operating and maintenance costs cannot be recovered through development impact fees.

Encumbrance
The commitment of appropriated funds to purchase goods or services. An encumbrance is not an expenditure. An encumbrance reserves funds to be expended.

Fiscal Year
The 12-month period for recording financial transactions specified by the City as beginning July 1st and ending June 30th.

Fund Balance
The total dollars remaining after current expenditures for operations and capital improvements are subtracted from the sum of the beginning fund balance and current resources.

General Plan
The City's controlling policy document for the physical development of the City. Under state law all capital improvement projects must be consistent with the City's General Plan

Generally Accepted Accounting Principles (GAAP)
GAAP are the standard framework of guidelines for financial accounting as defined by the Governmental Accounting Standards Board (GASB) for state and local governments in the U.S.

Grant
Program revenues provided by external agencies, which support a specific purpose, and have a time limit for use. Grants may be classified as either operational or capital, depending on the grantor.

Infrastructure
The physical assets of the City, such as streets, roadways, public buildings and parks, and the support structures within a development.

GLOSSARY

Landscaping and Lighting (L & L) Act of 1972
The 1972 Act lets cities, counties, and special districts levy assessments for land purchase and construction, operation and maintenance of parks, landscaping, lighting, traffic signals, and graffiti abatement.

Ordinance
A formal legislative enactment by the governing board of a municipality. If it is not in conflict with any higher form of law, it has the full force and effect of law within the boundaries of the municipality to which it applies.

Prior Year
The fiscal year preceding the current year.

Project Costs
An estimate of the resources required to complete the capital project as described on the project detail sheet. Many of the project costs shown in the CIP are preliminary in nature since no significant engineering or environmental evaluation has been done which would allow for more specific estimates to be produced.

Projection
Estimate of future performance made by economists, corporate planners and credit and securities analysts, typically using historic trends and other assumed input.

Resolution
In general, expression of desire or intent. Legal order by a government entity.

Right-of-Way
A public designation for space needed to accommodate streets, public utilities and other public facilities.

Special District
A designated geographic area established to provide a specialized service (e.g., Landscape Maintenance District).

GUIDE TO FUNDS

The City uses funds to report on its financial position and the results of its operations. Fund accounting is designed to demonstrate legal compliance, and to aid financial management, by segregating transactions related to certain City functions or activities.

A fund is an accounting entity with a self-balancing set of accounts established to record the financial position and results of operations of a specific governmental activity.

The City maintains the following fund types:

Governmental Fund Types

- **General Fund**
  The primary operating fund of the City and accounts for all financial resources, except those required to be accounted for in another fund.
- **Special Revenue Funds**
  Account for the proceeds of specific revenue sources (other than special assessments, expendable trusts or capital projects) that are restricted by law or administrative action to expenditures for specified purposes.
- **Capital Project Funds**
  Account for financial resources segregated for the acquisition of major capital projects or facilities (other than those financed by proprietary fund types).
- **Debt Service Funds**
  Account for the accumulation of resources for and payment of, interest and principal on general long-term debt and related costs.
- **Permanent Funds**
  Account for and report resources that are restricted to the extent that only earnings, and not principal, may be used for purposes that support City programs for the benefit of the City and its citizens.

Proprietary Fund Types

- **Internal Service Funds**
  Account for the financing of goods or services provided by one department or agency to other departments or agencies of the City or to other governmental units on a cost-reimbursement basis.

Fiduciary Fund Types

- **Agency Funds**
  Account for assets held by the City as trustee or agent for individuals, private organizations, other governmental units and/or other funds. These funds are custodial in nature and do not involve measurement of results of operations.

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DESCRIPTION OF FUNDS

General Funds

100 - General Fund

The primary operating fund of the City. It is used to account for all activities except those legally or administratively required to be accounted for in other funds.

111 - General Fund Quarry Park Amphitheater Events

This is a general fund type fund that accounts for the special event activities hosted by the Parks and Recreation Department at the Quarry Park Amphitheater.

115 - General Fund Quarry Park Adventure Shortfall

This is a general fund type fund that accounts for a set aside for Quarry Park Adventures operating shortfalls, to be used as needed for deficits, up to the amount specified in the contract with the park operator.

120 - General Fund Streets Maintenance Reserve

This is a general fund type fund. These committed funds are set aside to be used for the maintenance of streets and roads.

122 - General Fund Economic Development

This is a general fund type fund that accounts for committed funds previously set aside to be used for economic development activities.

130 - Technology Fees

This fund accounts for fees collected to cover the cost of e-commerce and related technology systems.

151 - Retirees Health

This fund accounts for monies to fund the Retirees' Health premium payments and to fund the Retirees' Health Trust. Budget policy requires 5% of any annual General Fund unreserved surplus to be transferred to the Retirees' Health fund.

727 - Boroski Landfill Monitoring

This fund accounts for costs to monitor the Boroski Landfill.

737 - Americans with Disabilities Act (ADA)

The ADA is a civil rights law that prohibits discrimination against individuals with disabilities in all areas of public life, including all public and private places that are open to the general public. This fund accounts for monies used for services and improvements to facilities for individuals with disabilities**.**

DESCRIPTION OF FUNDS

Special Revenue Funds

201 - Motor Vehicle Fuel Tax (Gas Tax)

This fund accounts for monies received from the State of California under various State statutes. The allocations must be spent for street transportation related purposes.

205 - SB1 Road Maintenance and Rehab Allocation

This fund accounts for monies designated by the Road Repair and Accountability Act of 2017 (SB1) to be used for maintenance, rehabilitation and safety improvements of streets and highways.

210 - SB325 Sales Tax

This fund accounts for the remaining sales tax revenue collected in accordance with Senate Bill 325 (Local Transportation Fund) to be used for maintenance of streets.

211 - SB325 Transit Funds

This fund accounts for monies from the SB 325 (Local Transportation Fund) and State Transit Assistance funds for the transit needs of local government.

212 - Bicycle and Pedestrian

This fund accounts for SB 325 (Local Transportation Fund) amounts designated for Bicycle and Pedestrian transit needs of local government.

219 - LCTP

This fund accounts for monies from the state-funded Low Carbon Transportation Program to reduce greenhouse gas emissions and improve mobility.

221 - Recreation Facilities Contribution

This fund accounts for facilities construction and improvements.

230 - Lighting Maintenance District 1

This fund accounts for special assessment monies used for lighting of public areas.

231 - Community Facilities District 1

This fund accounts for special tax monies used for fire protection, fire suppression, and paramedic services.

232 - Community Facilities District 5

This fund accounts for special tax monies used for streets and parkway lights, streetscapes, open space and parks.

DESCRIPTION OF FUNDS

233 - Community Facilities District 6

This fund accounts for special tax monies used for operation and maintenance of open space and storm drainage facilities.

235 - Landscaping and Lighting Maintenance District 2

This fund accounts for special assessment monies used for landscaping and lighting of public areas.

236 - Park Development and Maintenance Tax

This fund accounts for special tax monies used for maintenance, development, repair and operation of parks.

240 - Streets Grants Fund

This fund accounts for various grants related to street capital projects.

242 - Asset Forfeiture (State)

This fund accounts for funds received by the Police Department from the State. 15% of these funds must be set aside to combat drug abuse and divert gang activity. Funds remaining after the 15% set aside are to be used to support law enforcement efforts.

243 – Asset Forfeiture (Federal)

This fund accounts for funds received by the Police Department from the Federal Government to share assets forfeited in cases worked on with joint efforts. Funds are to be used to support law enforcement efforts.

244 - Traffic Safety/PD Grants

This fund accounts for monies received for traffic safety programs and police grants.

248 - CASP Certification and Training

This fund accounts for SB 1186 monies collected for staff training for construction related state accessibility requirements.

251 - CDBG Housing Rehabilitation

This fund accounts for State Community Development Block Grant funds received for the City's housing rehabilitation program.

253 - CDBG 2000 (Oak Court)

This fund accounts for State Community Development Block Grant funds received for the City's down payment assistance program for purchasers of Oak Court residences.

DESCRIPTION OF FUNDS

254 - CDBG First Time Home Buyers

This fund accounts for State Community Development Block Grant funds received for the City's down payment assistance program for first time home buyers.

257 - CDBG Housing and Urban Development Entitlement

This fund accounts for funds received from Federal Community Development Block Grant entitlement grant awards to be used for Public Services, Housing and Capital, Planning and Administration of Grant.

258 - CDBG HUD Coronavirus

This fund accounts for funds received from the Federal Coronavirus Aid, Relief, and Economic Security Act (CARES Act) through the Dept. of Housing & Urban Development to be used to prevent, prepare for, and respond to COVID-19.

259 - DOF Treasury Coronavirus (New)

This fund accounts for funds received from the CARES Act through the Dept. of Finance to be used to prevent, prepare for, and respond to COVID-19.

266 - American Rescue Plan Act (ARPA)

This fund accounts for funds received through the Federal American Rescue Plan Act.

270 - Low- and Moderate-Income Housing Asset

This fund accounts for the administration and operation of the City's low- and moderate-income housing program of the former Rocklin Redevelopment Agency transferred to the City of Rocklin as the Housing Successor.

275 – Grant & Other Reimbursables

This fund accounts for reimbursable costs for grants or other reimbursement agreements.

736 - Supplemental Law Enforcement Grant

This fund accounts for state funds received to be used for public safety purposes.

Capital Project Funds

300 - Park Development Fees

This fund accounts for fees to be used for the development, installation, servicing, maintenance, repair and operation of parks and related recreation and facilities.

DESCRIPTION OF FUNDS

301 - Community Park Fees

This fund accounts for impact fees used for community parks and city-wide recreational facility construction and improvements to reduce the impacts caused by new development within the City.

302 - Traffic Circulation Impact Fees

This fund accounts for impact fees used to finance street construction and improvements to reduce the impacts caused by new development within the City.

304 - Capital Construction Tax

This fund accounts for taxes imposed on Development to be used for development of public facilities and acquisition of fire equipment and is the funding source to pay the debt payments in Fund 400 Capital Construction Debt Service.

305 - Oak Tree Mitigation Fees

This fund accounts for fees used to help mitigate the decline of oak woodlands due to urbanization.

306 - Whitney Ranch Trunk Sewer Project

This fund accounts for impact fees used to fund the Whitney Ranch Trunk Sewer Project.

307 - NW Rocklin Community Park Fees

This fund accounts for impact fees used to fund the North West Rocklin Community Park.

308 - Public Facilities Impact Fees

This fund accounts for impact fees used to fund expansion of public facilities to serve new development within the City.

311 - Rocklin Public Financing Authority

This fund accounts for debt funded capital construction projects.

325 - Whitney Ranch Interchange Fees

This fund accounts for impact fees used to develop the Whitney Ranch/Highway 65 Interchange.

Debt Service Fund

400 - Capital Construction Debt Service

This fund accounts for the principal and interest payments on capital construction debt such as Lease Revenue Bonds.

DESCRIPTION OF FUNDS

Permanent Funds

728 - Wetlands Maintenance

This fund accounts for an endowment from which the income will fund ongoing maintenance of wetlands on a single lot parcel in Stanford Ranch Phase III.

729 - Conservation Easement Endowment

This fund accounts for an endowment from which the income will fund initial preserve area maintenance and operations in Sunset Ranchos Phase l.

Internal Service Funds

500 - Fleet Management

An internal service fund used to account for the cost of maintenance of all City vehicles and a reserve to offset future replacement costs.

525 - Risk Management

An internal service fund to account for and finance the risk management functions for the City. This includes general liability, workers compensation, property damage, dental, vision, and other insurance expenditures and also holds reserves for Self-Insured Losses and Disaster Contingency.

Fiduciary Fund

739 - Public Arts Trust Fund

This fund accounts for donations and expenditures for public arts projects.

RESOLUTION NO. 2011-125

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
AMENDING THE CITYWIDE TRAFFIC IMPACT FEE
BASED ON DECREASED CONSTRUCTION COST
AND REDUCED CAPITAL PROJECTS LIST
FOR ALL DEVELOPMENT WITHIN THE CITY OF ROCKLIN
AMENDING RESOLUTION NO. 2007-126

The City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin finds as follows:

A. Consistent with the Rocklin General Plan, new development in the City of Rocklin will occur, generating additional traffic throughout the City and contributing to the degradation of traffic levels of service. Street improvements necessary to maintain acceptable levels of service are identified in the Circulation Element of the General Plan, along with various methods of financing these improvements. On of these methods is the traffic impact fee, authorized by Article IV of Chapter 3.16 of the Rocklin Municipal Code.

B. Section 3.16.210 requires the City Council, on an annual basis to review the traffic impact fee to determine whether the fee amounts are reasonably related to the impacts of development and whether the described street improvements are still needed.

C. To carry out this responsibility, the City Council caused the preparation of a study of roadway improvement needs, improvement costs, and a traffic impact fee determination. This study sets forth the relationship between new development, the needed street improvements, and the estimated costs of these improvements. The study, entitled "City of Rocklin Traffic Impact Fees and Capital Improvement Program" (the "Fee Report"), dated July 12, 2004, was prepared by staff and DKS Associates, and is on file with the City of Rocklin.

D. The purpose of the traffic impact fee is to finance street improvements to reduce the impacts caused by new development within the City. Impact fees collected pursuant to this resolution shall be used to finance only the street improvements described or identified within the Fee Report.

E. The citywide Traffic Impact Fee and Capital Improvement Program Report (the "Fee Report") was most recently updated by DKS Associates and City staff by report dated May 23, 2007, approved by Resolution No. 2007-126. This update amended the language of Section 6 of the Fee Report and replaced Tables 3, 4 and 5 of the Fee Report with update tables showing citywide needs for traffic related capital improvements.

F. Resolution 2007-126 calls for the City Manager, on or about July of each year, to review the estimated cost of the described street improvements, the continued need for those improvements, and the reasonable relationship between such need and the impacts of the various types of development pending or anticipated and for which the fee is charged. If the relationship between the need and the impacts of the various types of development pending or anticipated still exists, the City Manager shall recommend to the City Council that the fees be automatically adjusted annually based on the Caltrans Price Index for Selected California Construction Items as published on the Caltrans website.

G. The City Council finds that the traffic impact fees are for the acquisition of right-of-way and installation of improvements for which an account has been established and funds appropriated, and that the City has adopted a proposed improvement schedule, included in the Fee Report.

H. The facts and evidence presented establish that there is a reasonable relationship between the need for the described street improvements and the impacts of development for which a fee is charged, and between the amount of the fee and the costs of the improvements for which it will be used, as these reasonable relationships are described in more detail in the Fee Report.

Section 2. Tables 3, 4, and 5 of the Fee Report, as most recently updated in 2007, are replaced and superseded by the new Tables 3, 4, and 5 attached to this resolution as Exhibits A, B, and C. The Citywide Traffic Impact Fee shall be adjusted downward based on new cost estimates for the projects contained in the updated Tables 3, 4, and 5.

Section 3. The Traffic Impact Fee shall be adjusted in accordance with the following table:

Land Use	Current Fee – Resolution 2007-126	Recommended Fee 13.6% Reduction
Single Family Residential (1 to 8 units/acre)	$4,368.00/DUE	$3,774.00/DUE
Multi-Family Residential (Over 8 units/acre)	$2,752.00/DUE	$2,378.00/DUE
Retail/Commercial Centers	$6.988.00/sq.ft	$6.038/sq.ft.
Office	$7.643.00/ sq.ft.	$6.604.00/sq.ft.
Industrial	$3.931.00/sq.ft.	$3.396.00/sq.ft.

Section 4. The fee imposed by the Resolution shall become effective on August 1, 2011 and shall apply to all building permits.

PASSED AND ADOPTED this 26th day of July, 2011, by the following vote:

AYES: Ruslin, Yuill, Hill, Storey, Magnuson

NOES: None

ABSENT: None

ABSTAIN: None

George Magnuson, Mayor

ATTEST:

Barbara Ivanusich, City Clerk

EXHIBIT A

Table 3
Updated Draft 2025 Roadway Improvement Needs
City of Rocklin CIP Update (July 2011)

Project Number	Street	From/To	Description of Improvements	Estimated Total Cost	Funding Sources			Cost/ L.F.	Reimb. Cost/L.F.	Length
					Other Sources		Traffic Impact Fees	(Project)	(Reimbursed)	(ft)
					Amount	Source		per lane	per lane	
1	Whitney Ranch Pkwy.	Northbound SR 65 Ramp to east of Wildcat Blvd.	Construct 6-lane roadway	$ 3,083,809.00	$ 2,588,324.00	Developers	$ 495,485.00	$ 217	$ 52	2368
2		East of Widcat Blvd. to Whitney Oaks Dr	Construct 4-lane roadway	$ 12,428,607.00	$ 11,762,435.00	Developers	$ 666,172.00	$ 244	$ 26	12735
3	University Ave.	Sunset Boulevard/Atherton Road to West Ranchview Dr.(North of Wildcat Blvd.)	Construct 4-lane roadway	$ 7,037,817.00	$ 6,244,056.00	Developers	$ 793,761.00	$ 220	$ 50	8000
4	West Ranchview Dr.	University Ave. to Wildcat Blvd.	Construct 2-lane roadway	$ 750,000.00	$ 750,000.00	Developers	$ -	$ 452	$ -	830
5	Wildcat Blvd.	Current terminus to Lincoln City Limits	Construct 4-lane roadway	$ 5,628,500.00	$ 5,048,296.00	Developers	$ 580,204.00	$ 248	$ 51	5685
6	West Oaks Boulevard	Terminus of two lane portion to Whitney Ranch Parkway	Construct 4-lane extension	$ 3,436,900.00	$ 3,069,785.00	Developers	$ 367,115.00	$ 232	$ 50	3700
7	Lone Tree Boulevard	Sandhill Dr. toWest Oaks Blvd	Widen to 4 lanes	$ 1,081,532.00	$ 266,000.00	Developer	$ 815,532.00	$ 299	$ 226	1808
8	Sunset Boulevard	Northbound SR 65 Ramp to West Stanford Ranch Road	Widen to 6 lanes	$ 898,540.00	$ -		$ 898,540.00	$ 243	$ 243	1850
9		Stanford Ranch Road to Pacific Street	Widen to 6 lanes including UPRR bridge	$ 4,177,406.00	$ -		$ 4,177,406.00	$ 251	$ 251	8335
10	Pacific Street	Southwest of Sunset Boulevard to northeast of Sunset Boulevard	Widen to 6 lanes	$ 238,316.00	$ -		$ 238,316.00	$ 397	$ 397	600
11		Sierra Meadows Dr. to Loomis Town Limits	Widen to 4 lanes	$ 5,251,927.00	$ 52,684.00	Developers	$ 5,199,243.00	$ 533	$ 526	4926
		Key: Yellow = Project has been complete								

Table 3
Updated Draft 2025 Roadway Improvement Needs
City of Rocklin CIP Update (July 2011)

Project Number	Street	From/To	Description of Improvements	Estimated Total Cost	Funding Sources			Cost/ L.F.	Reimb. Cost/L.F.	Length
					Other Sources		Traffic Impact Fees	(Project)	(Reimbursed)	(ft)
					Amount	Source		per lane	per lane	
12	[redacted]	Loomis Town Limits to east of Sierra College Boulevard	Widen to 4 lanes	$ 372,266.00	$ -		$ 372,266.00			
13		West of Sierra College Boulevard to I-80 Eastbound Ramps	Widen to 6 lanes(add 2 lanes)	$ 1,534,000.00	$ -		$ 1,534,000.00	$ 145	$ 145	1280
14		I-80 Westbound Ramps to west of Granite Drive	Widen to 6 lanes	$ 236,875.00	$ -		$ 236,875.00	$ 185	$ 185	4145
15		[redacted]	[redacted]	$ 1,641,600.00	$ -		$ 1,641,600.00	$ 237	$ 237	500
16	Valley View Parkway	Park Drive to 300' West of Sierra College Boulevard	Construct 4-lane roadway	$ 9,575,210.00	$ 8,992,147.00	Developers	$ 583,063.00	$ 311	$ 311	2640
17		300' West of Sierra College Boulevard to Sierra College Boulevard	Construct 4-lane roadway	$ 624,822.00	$ 581,950.00		$ 42,872.00	$ 532	$ 65	4500
18	Sierra College Boulevard	Nightwatch Dr. to Aguilar Trib.	Widen to 5 lanes (add 1 lanes)	$ 2,483,850.00	$ 2,483,850.00	SPRTA Regional Fees	$ -	$ 521	$ 71	300
19		Aguilar trib. to I-80	Widen to 6 lanes	$ 4,967,700.00	$ 3,190,725.00	SPRTA Regional / Developer	$ 1,776,975.00	$ 222	$ -	5600
20		I-80 to south of Taylor Road	Widen to 6 lanes	$ 3,565,550.00	$ 3,565,550.00	SPRTA Regional / Developer		$ 272	$ 97	4560
21		Adjacent to Clover Valley	Widen to 4 lanes	$ 8,648,670.00	$ 8,648,670.00	SPRTA / Regional Developer	$ -	$ 509	$ -	1750
		Key: Green = Proposed Project to be deleted						$ 738	$ -	5850

Table 3
Updated Draft 2025 Roadway Improvement Needs
City of Rocklin CIP Update (July 2011)

Project Number	Street	From/To	Description of Improvements	Estimated Total Cost	Funding Sources		
					Other Sources		Traffic Impact Fees
					Amount	Source	
22	Dominguez Rd.	Granite Drive to Sierra College Boulevard	2-lane extension with bridge over I-80	$ 11,920,000.00	$ 7,000,000.00	RDA 3mil/Dev. 4mil	$ 4,920,000.00
23	Monument Springs	China Garden Rd to Greenbrae Rd extension	2-lane extension and 2-lane bridge	$ 2,147,226.00	$ 2,147,226.00	Developer	$ -
24	Greenbrae Road	Current terminus to Monument Springs Dr extension	2-lane extension	$ 109,553.00	$ 109,553.00	Developer	$ -
25	Aguilar Road	At Aguilar Tributary	Abandon roadway	$ 25,000.00	$ 25,000.00	Developer	$ -
26	Civic Center Dr.	Pacific st. to Rocklin Rd.(Excluding Winding Ln)	Construct 2-lane roadway incl RW cost	$ 2,472,163.00			$ 2,472,163.00
27	Ruhkala Rd.Extension	Winding Ln to Civic Center Dr.	Construct 2-lane roadway incl RW cost	$ 733,915.00	$ 733,915.00	RDA	$ -
28	Midas Ave.	Pacific St. to Third St.	Construct 2-lane grade separation of UPRR tracks incl RW	$ 5,650,000.00	$ 4,237,500.00	Caltrans Grade Separation / RDA	$ 1,412,500.00
29							$ 29,224,088.00
30	Adminstration of Traffic Fees and CIP @ 15%						**$ 4,383,613.20**
			Total	**$ 100,721,754.00**	**$ 71,497,666.00**		**$ 33,607,701**

EXHIBIT B

Table 4

Updated Draft 2025 Intersection Improvement Needs

City of Rocklin CIP Update (July 2011)

Project Number	Intersection		Description of Improvements	Estimated Total Cost	Funding Sources		
	North/South Roadway	East/West Roadway			Other Sources		City Traffic Impact Fees
					Amount	Source	
1	Sunset Boulevard	West Oaks Boulevard	Change southound inside thru to thru/left (split phase)	$ 13,200.00	$ -		$ 13,200.00
2	Park Drive	Stanford Ranch Road	Add 2nd eastbound left Add 3rd eastbound thru/right	$ 25,300.00	$ -		$ 25,300.00
3	Stanford Ranch Road	Sunset Boulevard	Add 2nd northbound left Add 3rd westbound thru (part of roadway widening #12) Add 2nd westbound left Add 3rd eastbound thru (part of roadway widening #12) Add 2nd southbound left	$ 60,000.00	$ -		$ 60,000.00
4	Stanford Ranch Road	NB SR 65 Ramps	Add free southbound right Add 2nd northbound left	$ -	$ -	Hwy 65 JPA	$0
5	Pacific Street	Sunset Boulevard	Add 3rd northbound left Add 3rd southbound thru (part of roadway widening #11) Add free westbound right	$ 163,300.00	$ -		$ 163,300.00
6	Sierra College Boulevard	Valleyview Parkway	Construct Intersection (part of roadway construction #17 and roadway widening # 21)	$ -	$ -		$0
7	Midas Av.	Fifth St.& Argonaut Av.	Traffic signals	$ 375,000.00			$375,000
8	8 to 10 undefined locations		Traffic signals	$ 1,500,000.00	$ -		$ 1,500,000.00
9	6 to 8 sets of intersections		Signal interconnect systems	$ 400,000.00	$ -		$ 400,000.00
10							$ 2,536,800.00
11	Adminstration of Traffic Fees and CIP @ 15%						$ 380,520.00
			TOTAL =	$ 2,536,800.00	$ -		$ 2,917,320.00

EXHIBIT C

Table 5

Updated Draft 2025 Interchange Improvement Needs

City of Rocklin CIP Update

Project Number	Interchange		Description of Improvements	Estimated Total Cost	Funding Sources		
	North/South Roadway	East/West Roadway			Other Sources		City Traffic Impact Fees
					Amount	Source	
1	SR 65	Sunset Boulevard	Construct interchange	$ 16,500,000.00	$ 16,500,000.00	Highway 65 JPA	0
2	SR 65	Whitney Boulevard	Construct interchange	$ 13,000,000.00	$ 11,800,000.00	Developer	$1,200,000
3	Sierra College Boulevard	At I-80	Reconstruction interchange	$ 27,798,000.00	$ 19,185,000.00	Caltrans/ STIP	$ 8,613,000.00
4	Rocklin Road	I-80 / Rocklin Road interchange		$ 30,000,000.00	$10,000,000	SPRTA/SB 325 split	$ 20,000,000.00
5							$ 29,813,000.00
6	Administration of Traffic Fees and CIP @ 15%						$ 4,471,950.00
			TOTAL=	$ 87,298,000.00	$ 57,485,000.00		$ 34,284,950.00

COST SUMMARY

		Estimated Total Cost	Funding Sources			
			Other Sources		City Traffic Impact Fees	Admin. Fees
			Amount	Source		
Streets:	Table 1:	$ 100,721,754	$ 71,497,666	See Tables	$ 29,224,088	$ 4,383,613
Intersections:	Table 2:	$ 2,536,800	$ -	See Tables	$ 2,536,800	$ 380,520
Interchanges:	Table 3:	$ 87,298,000	$ 57,485,000	See Tables	$ 29,813,000	$ 4,471,950
	Total =	$ 190,556,554	$ 128,982,666		$ 61,573,888	$ 9,236,083
					TOTAL TRAFFIC FEE =	$ 70,809,971

NOTE: [Total Estimated Cost - Funding from all sources] = Traffic Impact Fee plus 15% Admin Cost
NOTE: COST REVISED - SPS

Key: Yellow = Project has been completed

INDEX BY CATEGORY

Name	Project #	Page #
Facility Improvement Projects		
City Hall Office Space Study and Remodel	45421	20
Fire Station 24 Security	45451	22
Fire Station 25 Privacy	41016	24
Fire Station 25 Security	41017	26
Police Department HVAC and Roof Replacement	45461	28
Reserve Apparatus Storage	45455	30
Roadway Improvement Projects		
ADA Transition Program HUD Specific Areas	69628	38
ADA Transition Program Non-HUD Areas	45295	40
Aguilar Road Improvements	50104	42
Annual Road Maintenance Program	50007	44
Blue Oaks Pedestrian Crossing	50177	46
Citywide Roadway Resurfacing	50004	48
Five Star Blvd. and Destiny Drive Reconstruction	50049	50
Granite Drive Median	50012	52
I-80 Westbound Auxiliary Lane	50161	54
Mission Hills Phase IV	50154	56
Monument Springs Bridge	50173	58
Pavement Rehabilitation	50005	60
Placer County Water Agency Roadway Improvements	50174	62
Rocklin Road / Sierra College Corridor Multimodal Enhancements	50162	64
Sierra College Blvd. Roadway Rehabilitation	50091	66
Sierra College Blvd. Widening (Schriber Way to Dominguez Road)	50164	68
Stormwater Pipe Improvement Projects		
Stormwater Pipe Replacement Program	50139	74
Parks and Trails Improvement Projects		
Bike Pump Track	45225	80
Park Play Structure Replacements	10605	82
Sunset Whitney Recreation Area Improvements	Multi	84
Sunset Whitney Recreation Area Restrooms	21010	86
Future Improvement Projects		
City Hall HVAC Refurbishment	TBD	90
Community Center Renovation	TBD	92
Farron Street Railroad Crossing Pedestrian Improvement	TBD	94
Johnson Springview Community Park Parking Lot	TBD	96
Kathy Lund Community Park Parking Lot	TBD	98
Kathy Lund Community Park Picnic Pavilion	TBD	100
Pacific Street Curb, Gutter, and Sidewalk	TBD	102
Park Drive Resurface and Restripe	TBD	104
Public Safety Training Center	TBD	106
Quarry District Alley Work	TBD	108
Railroad Avenue North West Extension	TBD	110
Sunset Blvd. Sidewalk	TBD	112
Sunset Park Trail Phase A	TBD	114
Whitney Ranch Pkwy and University Avenue Roundabout	TBD	116
Equipment		
Fire Station Alerting	N/A	121

INDEX BY PROJECT NAME

Name	Project #	Category	Page #
ADA Transition Program HUD Specific Areas	69628	Roadway Improvement	38
ADA Transition Program Non-HUD Areas	45295	Roadway Improvement	40
Aguilar Road Improvements	50104	Roadway Improvement	42
Annual Road Maintenance Program	50007	Roadway Improvement	44
Bike Pump Track	45225	Parks and Trails Improvement	80
Blue Oaks Pedestrian Crossing	50177	Roadway Improvement	46
City Hall HVAC Refurbishment	TBD	Future Improvement	90
City Hall Office Space Study and Remodel	45421	Facility Improvement	20
Citywide Roadway Resurfacing	50004	Roadway Improvement	48
Community Center Renovation	TBD	Future Improvement	92
Farron Street Railroad Crossing Pedestrian Improvement	TBD	Future Improvement	94
Fire Station 24 Security	45451	Facility Improvement	22
Fire Station 25 Privacy	41016	Facility Improvement	24
Fire Station 25 Security	41017	Facility Improvement	26
Fire Station Alerting	N/A	Equipment	121
Five Star Blvd. and Destiny Drive Reconstruction	50049	Roadway Improvement	50
Granite Drive Median	50012	Roadway Improvement	52
I-80 Westbound Auxiliary Lane	50161	Roadway Improvement	54
Johnson Springview Community Park Parking Lot	TBD	Future Improvement	96
Kathy Lund Community Park Parking Lot	TBD	Future Improvement	98
Kathy Lund Community Park Picnic Pavilion	TBD	Future Improvement	100
Mission Hills Phase IV	50154	Roadway Improvement	56
Monument Springs Bridge	50173	Roadway Improvement	58
Pacific Street Curb, Gutter, and Sidewalk	TBD	Future Improvement	102
Park Drive Resurface and Restripe	TBD	Future Improvement	104
Park Play Structure Replacements	10605	Parks and Trails Improvement	82
Pavement Rehabilitation	50005	Roadway Improvement	60
Placer County Water Agency Roadway Improvements	50174	Roadway Improvement	62
Police Department HVAC and Roof Replacement	45461	Facility Improvement	28
Public Safety Training Center	TBD	Future Improvement	106
Quarry District Alley Work	TBD	Future Improvement	108
Railroad Avenue North West Extension	TBD	Future Improvement	110
Reserve Apparatus Storage	45455	Facility Improvement	30
Rocklin Road / Sierra College Corridor Multimodal Enhancements	50162	Roadway Improvement	64
Sierra College Blvd. Roadway Rehabilitation	50091	Roadway Improvement	66
Sierra College Blvd. Widening (Schriber Way to Dominguez Road)	50164	Roadway Improvement	68
Stormwater Pipe Replacement Program	50139	Stormwater Pipe Improvement	74
Sunset Blvd. Sidewalk	TBD	Future Improvement	112
Sunset Park Trail Phase A	TBD	Future Improvement	114
Sunset Whitney Recreation Area Improvements	Multi	Parks and Trails Improvement	84
Sunset Whitney Recreation Area Restrooms	21010	Parks and Trails Improvement	86
Whitney Ranch Pkwy and University Avenue Roundabout	TBD	Future Improvement	116

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ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Solid Waste Collection Service (Recology) Rate Increase

Date: June 27, 2023

Submitted By: Justin Nartker, Public Services Director

Department: Public Services

Recommendation:
A. Conduct a public hearing to hear testimony regarding the proposed amended Solid Waste Collection Service Rates and, if there is no majority protest;
B. Adopt a Resolution of the City Council of the City of Rocklin Amending Solid Waste Collection Service Rates within the Incorporated Limits of the City of Rocklin and Rescinding Resolution No. 2022-150.

Background:
On May 9, 2023, the City Council adopted Resolution No. 2023-63, which established Tuesday, June 27, 2023, as a Public Hearing to allow an opportunity for owners of property and customers served by Recology Auburn Placer to appear and protest the proposed increase in fees. The rate adjustment request consists of a Cost of Living Increase of 5.7%, however the Franchise Agreement with the City of Rocklin has a maximum yearly increase of 4.0%. The remaining 1.7% will be rolled into the following year per the Franchise Agreement. Resolution No. 2023-63 also authorized the City Clerk to give additional notice of the proposed increase and Public Hearing as required by law. Written notice of the proposed rates and public hearing was provided by Recology Auburn Placer to its customers as required by law. Written protest and comment letters received prior to the posting of the agenda are included in Attachment 4. Any protest and comment letters received after the agenda has posted will be provided to the City Council via a blue memo.

Recology Auburn Placer continues to perform their obligations as outlined in the Franchise Agreement. They are responsive to citizen concerns and requests from the City. In addition to standard weekly service, Rocklin residents also receive green waste pickup, street sweeping, curbside e-waste recycling, spring cleanup, cardboard recycling and dead (large) animal pickup.

The current and proposed collection rates for common items are reflected in Attachment 3.

Fiscal Impact:
The proposed COLA of 4% will be charged to the customer and will result in a minor increase to the City's franchise fee revenue.

ATTACHMENTS:
1. Resolution
2. Resolution Exhibit A - Rates
3. Common Collection Rates chart
4. Protest and Comment Letters

RESOLUTION NO. 2023-

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN
AMENDING SOLID WASTE COLLECTION SERVICE RATES WITHIN THE INCORPORATED
LIMITS OF THE CITY OF ROCKLIN AND RESCINDING RESOLUTION NO. 2022-150

WHEREAS, the City of Rocklin has entered into a franchise agreement with Recology Auburn Placer to provide solid waste collection services within the City of Rocklin; and

WHEREAS, pursuant to the franchise agreement, Recology Auburn Placer has requested a Cost of Living Rate Adjustment to the solid waste collection service rates charged to its customers within the City of Rocklin, and has demonstrated the rate adjustment reasonably relates to the cost of providing the services; and

WHEREAS, on May 9, 2023, the City Council approved Resolution 2023-63, a Resolution of Intent to Amend the Solid Waste Collection Services Rates and setting a public hearing for June 27, 2023; and

WHEREAS, the duly noticed public hearing for the proposed solid waste collection service rates was held before the City Council on Jun 27, 2023; and

WHEREAS, at the conclusion of the public hearing, the City Clerk tabulated the number of written protests received and reported that there was not a majority protest of the proposed solid waste collection service rates by owners or authorized representative of the identified and affected properties; and

WHEREAS, after the public testimony and staff's report and recommendations, the City Council has determined that the solid waste collection service rates are appropriate, lawful and fair, and should be adopted.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. Findings. The above recitals are true and correct, and incorporated herein by reference.

Section 2. Adoption of solid waste collection service rates. The updated solid waste collection service rates set forth in Exhibit "A" attached hereto, are hereby approved and adopted, to be effective July 1, 2023.

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Councilmembers:
NOES: Councilmembers:
ABSENT: Councilmembers:
ABSTAIN: Councilmembers:

_____
Ken Broadway, Mayor

ATTEST:

_____
Hope Ithurburn, City Clerk

EXHIBIT A

Recology Auburn Placer

CITY of ROCKLIN

Rate Components

For Rate Year July 1, 2023 through June 30, 2024

Annual Increase - Rate Year 2022-2023	
Cost of Living Increase	5.700%
Other - Carry forward from 2021 - 2022	7.600%
Other	0.000%
TOTAL INCREASE (Cap at 4%)	**4.000%**

* * Old Landfill Rate (See Note 1)	$ 95.50
*** * New Landfill Rate (See Note 1)**	**$ 103.75**
Landfill Increase	$8.25
% Increase	8.64%
Street Sweeping	0.00%

*** * Note 1** Landfill Rates Applicable to Debris Boxes and C&D:

CURRENT-EFFECTIVE JANUARY 1, 2023
RESIDENTIAL RATES

		BASE RATE	DISPOSAL	FRANCHISE FEE	TOTAL
**	1 - 32-Gallon Can	$ 7.92	$ 7.24	$ 1.68	$ 16.84
	Toter Only	$ 13.66	$ 14.48	$ 3.13	$ 31.27
	Unlimited Toters	18.70	$ 18.62	4.15	41.47
	Senior Citizen				
**	1 - 32-Gallon Can	$ 3.87	$ 7.24	$ 1.23	$ 12.34
	Toter Only	$ 8.93	$ 14.48	2.60	26.01
	Unlimited Toter	9.85	$ 18.62	3.16	31.63
	Extra Charge				
	Bag	$ 2.40	$ 0.86	$ 0.36	$ 3.62
	Can	3.08	1.67	0.53	5.28
	Toter	7.17	3.34	1.17	11.68
	Extra Toter Rental (Unlimited Svc.)	3.39		0.38	3.77
	Backyard Gate Fee	1.50		0.17	1.67

PROPOSED - EFFECTIVE JULY 1, 2023
RESIDENTIAL RATES

		BASE RATE	DISPOSAL	FRANCHISE FEE	TOTAL
**	**1 - 32-Gallon Can**	$ 8.24	$ 7.87	$ 1.79	**$ 17.90**
	Toter Only	$ 14.21	$ 15.74	$ 3.33	**$ 33.28**
	Unlimited Toters	19.45	20.23	4.41	**44.09**
	Senior Citizen				
**	**1 - 32-Gallon Can**	$ 4.02	$ 7.87	$ 1.32	**$ 13.21**
	Toter Only	$ 9.29	15.74	$ 2.78	**$ 27.81**
	Unlimited Toter	10.24	20.23	3.39	**33.86**
	Extra Charge				
	Bag	$ 2.50	$ 0.93	$ 0.38	**$ 3.81**
	Can	3.20	1.82	0.56	**5.58**
	Toter	7.46	3.63	1.23	**12.32**
	Extra Toter Rental (Unlimited Svc.)	3.53		0.39	**3.92**
	Backyard Gate Fee	1.56		0.17	**1.73**

** **Existing Customers only. Service is not available to new customers.**

CITY OF ROCKLIN

CURRENT-EFFECTIVE JANUARY 1, 2023
COMMERCIAL RATES

SIZE		Frequency (Pickups per Week) 1	2	3	4	5	6
1	Cu Yd	$ 68.88	$ 114.04	$ 158.98	$ 204.44	$ 249.92	$ 312.38
	Disposal	31.04	62.08	93.11	124.15	155.19	186.23
		$ 99.92	$ 176.12	$ 252.09	$ 328.59	$ 405.11	$ 498.61
	Fran Fee	11.10	19.57	28.01	36.51	45.01	55.40
	TOTAL	$ 111.02	$ 195.69	$ 280.10	$ 365.10	$ 450.12	$ 554.01
2	Cu Yd	$ 113.86	$ 204.69	$ 295.30	$ 386.11	$ 476.66	$ 595.85
	Disposal	62.08	124.15	186.23	248.30	310.38	372.45
		$ 175.94	$ 328.84	$ 481.53	$ 634.41	$ 787.04	$ 968.30
	Fran Fee	19.55	36.54	53.50	70.49	87.45	107.59
	TOTAL	$ 195.49	$ 365.38	$ 535.03	$ 704.90	$ 874.49	$ 1,075.89
3	Cu Yd	$ 159.15	$ 295.18	$ 431.19	$ 567.14	$ 703.09	$ 878.87
	Disposal	93.11	186.23	279.34	372.45	465.56	558.68
		$ 252.26	$ 481.41	$ 710.53	$ 939.59	$ 1,168.65	$ 1,437.55
	Fran Fee	28.03	53.49	78.95	104.40	129.85	159.73
	TOTAL	$ 280.29	$ 534.90	$ 789.48	$ 1,043.99	$ 1,298.50	$ 1,597.28
4	Cu Yd	$ 204.44	$ 385.85	$ 567.04	$ 748.10	$ 929.48	$ 1,161.87
	Disposal	124.15	248.30	372.45	496.60	620.75	744.90
		$ 328.59	$ 634.15	$ 939.49	$ 1,244.70	$ 1,550.23	$ 1,906.77
	Fran Fee	36.51	70.46	104.39	138.30	172.25	211.86
	TOTAL	$ 365.10	$ 704.61	$ 1,043.88	$ 1,383.00	$ 1,722.48	$ 2,118.63
5	Cu Yd	$ 249.92	$ 420.45	$ 591.01	$ 761.37	$ 932.17	$ 1,165.23
	Disposal	155.19	310.38	465.56	620.75	775.94	931.13
		$ 405.11	$ 730.83	$ 1,056.57	$ 1,382.12	$ 1,708.11	$ 2,096.36
	Fran Fee	45.01	81.20	117.40	153.57	189.79	232.93
	TOTAL	$ 450.12	$ 812.03	$ 1,173.97	$ 1,535.69	$ 1,897.90	$ 2,329.29
6	Cu Yd	$ 283.36	$ 481.00	$ 726.93	$ 875.97	$ 1,119.86	$ 1,399.83
	Disposal	186.23	372.45	558.68	744.90	931.13	1,117.35
		$ 469.59	$ 853.45	$ 1,285.61	$ 1,620.87	$ 2,050.99	$ 2,517.18
	Fran Fee	52.18	94.83	142.85	180.10	227.89	279.69
	TOTAL	$ 521.77	$ 948.28	$ 1,428.46	$ 1,800.97	$ 2,278.88	$ 2,796.87
7	Cu Yd	$ 316.87	$ 541.58	$ 862.90	$ 990.54	$ 1,307.54	$ 1,634.40
	Disposal	217.26	434.53	651.79	869.05	1,086.31	1,303.58
		$ 534.13	$ 976.11	$ 1,514.69	$ 1,859.59	$ 2,393.85	$ 2,937.98
	Fran Fee	59.35	108.46	168.30	206.62	265.98	326.44
	TOTAL	$ 593.48	$ 1,084.57	$ 1,682.99	$ 2,066.21	$ 2,659.83	$ 3,264.42

CITY OF ROCKLIN

PROPOSED - EFFECTIVE JULY 1, 2023
COMMERCIAL RATES

SIZE		Frequency (Pickups per Week) 1	2	3	4	5	6
1	**Cu Yd**	$ 71.64	$ 118.60	$ 165.34	$ 212.62	$ 259.92	$ 324.88
	Disposal	33.72	67.44	101.16	134.88	168.59	202.31
		$ 105.36	$ 186.04	$ 266.50	$ 347.50	$ 428.51	$ 527.19
	Fran Fee	11.71	20.67	29.61	38.61	47.61	58.58
	TOTAL	**$ 117.07**	**$ 206.71**	**$ 296.11**	**$ 386.11**	**$ 476.12**	**$ 585.77**
2	**Cu Yd**	$ 118.41	$ 212.88	$ 307.11	$ 401.55	$ 495.73	$ 619.68
	Disposal	67.44	134.88	202.31	269.75	337.19	404.63
		$ 185.85	$ 347.76	$ 509.42	$ 671.30	$ 832.92	$ 1,024.31
	Fran Fee	20.65	38.64	56.60	74.59	92.55	113.81
	TOTAL	**$ 206.50**	**$ 386.40**	**$ 566.02**	**$ 745.89**	**$ 925.47**	**$ 1,138.12**
3	**Cu Yd**	$ 165.52	$ 306.99	$ 448.44	$ 589.83	$ 731.21	$ 914.02
	Disposal	101.16	202.31	303.47	404.63	505.78	606.94
		$ 266.68	$ 509.30	$ 751.91	$ 994.46	$ 1,236.99	$ 1,520.96
	Fran Fee	29.63	56.59	83.55	110.50	137.44	169.00
	TOTAL	**$ 296.31**	**$ 565.89**	**$ 835.46**	**$ 1,104.96**	**$ 1,374.43**	**$ 1,689.96**
4	**Cu Yd**	$ 212.62	$ 401.28	$ 589.72	$ 778.02	$ 966.66	$ 1,208.34
	Disposal	134.88	269.75	404.63	539.50	674.38	809.25
		$ 347.50	$ 671.03	$ 994.35	$ 1,317.52	$ 1,641.04	$ 2,017.59
	Fran Fee	38.61	74.56	110.48	146.39	182.34	224.18
	TOTAL	**$ 386.11**	**$ 745.59**	**$ 1,104.83**	**$ 1,463.91**	**$ 1,823.38**	**$ 2,241.77**
5	**Cu Yd**	$ 259.92	$ 437.27	$ 614.65	$ 791.82	$ 969.46	$ 1,211.84
	Disposal	168.59	337.19	505.78	674.38	842.97	1,011.56
		$ 428.51	$ 774.46	$ 1,120.43	$ 1,466.20	$ 1,812.43	$ 2,223.40
	Fran Fee	47.61	86.05	124.49	162.91	201.38	247.04
	TOTAL	**$ 476.12**	**$ 860.51**	**$ 1,244.92**	**$ 1,629.11**	**$ 2,013.81**	**$ 2,470.44**
6	**Cu Yd**	$ 294.69	$ 500.24	$ 756.01	$ 911.01	$ 1,164.65	$ 1,455.82
	Disposal	202.31	404.63	606.94	809.25	1,011.56	1,213.88
		$ 497.00	$ 904.87	$ 1,362.95	$ 1,720.26	$ 2,176.21	$ 2,669.70
	Fran Fee	55.22	100.54	151.44	191.14	241.80	296.63
	TOTAL	**$ 552.22**	**$ 1,005.41**	**$ 1,514.39**	**$ 1,911.40**	**$ 2,418.01**	**$ 2,966.33**
7	**Cu Yd**	$ 329.54	$ 563.24	$ 897.42	$ 1,030.16	$ 1,359.84	$ 1,699.78
	Disposal	236.03	472.06	708.09	944.13	1,180.16	1,416.19
		$ 565.57	$ 1,035.30	$ 1,605.51	$ 1,974.29	$ 2,540.00	$ 3,115.97
	Fran Fee	62.84	115.03	178.39	219.37	282.22	346.22
	TOTAL	**$ 628.41**	**$ 1,150.33**	**$ 1,783.90**	**$ 2,193.66**	**$ 2,822.22**	**$ 3,462.19**

CITY OF ROCKLIN

CURRENT-EFFECTIVE JANUARY 1, 2023
COMMERCIAL RATES
COMMERCIAL CAN

		Frequency (Pickups per Week)				
		1	2	3	4	5
1	Can	$ 11.56	$ 23.50	$ 35.28	$ 47.09	$ 58.84
	Disposal	7.24	14.48	21.73	28.97	36.21
		$ 18.80	$ 37.98	$ 57.01	$ 76.06	$ 95.05
	Fran Fee	2.09	4.22	6.33	8.45	10.56
	TOTAL	$ 20.89	$ 42.20	$ 63.34	$ 84.51	$ 105.61
2	Cans	$ 13.50	$ 27.14	$ 40.85	$ 54.52	$ 68.08
	Disposal	14.48	28.97	43.45	57.94	72.42
		$ 27.98	$ 56.11	$ 84.30	$ 112.46	$ 140.50
	Fran Fee	3.11	6.23	9.37	12.50	15.61
	TOTAL	$ 31.09	$ 62.34	$ 93.67	$ 124.96	$ 156.11
3	Cans	$ 15.26	$ 30.94	$ 46.27	$ 61.81	$ 77.29
	Disposal	21.73	43.45	65.18	86.91	108.63
		$ 36.99	$ 74.39	$ 111.45	$ 148.72	$ 185.92
	Fran Fee	4.11	8.27	12.38	16.52	20.66
	TOTAL	$ 41.10	$ 82.66	$ 123.83	$ 165.24	$ 206.58
4	Cans	$ 16.98	$ 33.46	$ 76.89	$ 68.59	$ 85.83
	Disposal	28.97	57.94	86.91	115.87	144.84
		$ 45.95	$ 91.40	$ 163.80	$ 184.46	$ 230.67
	Fran Fee	5.11	10.16	18.20	20.50	25.63
	TOTAL	$ 51.06	$ 101.56	$ 182.00	$ 204.96	$ 256.30
5	Cans	$ 18.80	$ 38.08	$ 57.44	$ 76.62	$ 95.86
	Disposal	36.21	72.42	108.63	144.84	181.05
		$ 55.01	$ 110.50	$ 166.07	$ 221.46	$ 276.91
	Fran Fee	6.11	12.28	18.45	24.61	30.77
	TOTAL	$ 61.12	$ 122.78	$ 184.52	$ 246.07	$ 307.68
6	Cans	$ 20.60	$ 41.75	$ 62.97	$ 84.17	$ 105.10
	Disposal	43.45	86.91	130.36	173.81	217.26
		$ 64.05	$ 128.66	$ 193.33	$ 257.98	$ 322.36
	Fran Fee	7.12	14.30	21.48	28.66	35.82
	TOTAL	$ 71.17	$ 142.96	$ 214.81	$ 286.64	$ 358.18

CITY OF ROCKLIN

PROPOSED - EFFECTIVE JULY 1, 2023
COMMERCIAL RATES
COMMERCIAL CAN

		Frequency (Pickups per Week)				
		1	2	3	4	5
1	**Can**	$ 12.02	$ 24.44	$ 36.69	$ 48.97	$ 61.19
	Disposal	7.87	15.74	23.60	31.47	39.34
		$ 19.89	$ 40.18	$ 60.29	$ 80.44	$ 100.53
	Fran Fee	2.21	4.46	6.70	8.94	11.17
	TOTAL	**$ 22.10**	**$ 44.64**	**$ 66.99**	**$ 89.38**	**$ 111.70**
2	**Cans**	$ 14.04	$ 28.23	$ 42.48	$ 56.70	$ 70.80
	Disposal	15.74	31.47	47.21	62.94	78.68
		$ 29.78	$ 59.70	$ 89.69	$ 119.64	$ 149.48
	Fran Fee	3.31	6.63	9.97	13.29	16.61
	TOTAL	**$ 33.09**	**$ 66.33**	**$ 99.66**	**$ 132.93**	**$ 166.09**
3	**Cans**	$ 15.87	$ 32.18	$ 48.12	$ 64.28	$ 80.38
	Disposal	23.60	47.21	70.81	94.41	118.02
		$ 39.47	$ 79.39	$ 118.93	$ 158.69	$ 198.40
	Fran Fee	4.39	8.82	13.21	17.63	22.04
	TOTAL	**$ 43.86**	**$ 88.21**	**$ 132.14**	**$ 176.32**	**$ 220.44**
4	**Cans**	$ 17.66	$ 34.80	$ 79.97	$ 71.33	$ 89.26
	Disposal	31.47	62.94	94.41	125.88	157.35
		$ 49.13	$ 97.74	$ 174.38	$ 197.21	$ 246.61
	Fran Fee	5.46	10.86	19.38	21.91	27.40
	TOTAL	**$ 54.59**	**$ 108.60**	**$ 193.76**	**$ 219.12**	**$ 274.01**
5	**Cans**	$ 19.55	$ 39.60	$ 59.74	$ 79.68	$ 99.69
	Disposal	39.34	78.68	118.02	157.35	196.69
		$ 58.89	$ 118.28	$ 177.76	$ 237.03	$ 296.38
	Fran Fee	6.54	13.14	19.75	26.34	32.93
	TOTAL	**$ 65.43**	**$ 131.42**	**$ 197.51**	**$ 263.37**	**$ 329.31**
6	**Cans**	$ 21.42	$ 43.42	$ 65.49	$ 87.54	$ 109.30
	Disposal	47.21	94.41	141.62	188.83	236.03
		$ 68.63	$ 137.83	$ 207.11	$ 276.37	$ 345.33
	Fran Fee	7.63	15.31	23.01	30.71	38.37
	TOTAL	**$ 76.26**	**$ 153.14**	**$ 230.12**	**$ 307.08**	**$ 383.70**

CURRENT-EFFECTIVE JANUARY 1, 2023
COMMERCIAL RATES
COMMERCIAL TOTERS

		Frequency (Pickups per Week)		
		1	2	3
1	Toter	$ 24.04	$ 48.08	$ 72.12
	Disposal	14.48	28.97	43.45
		$ 38.52	$ 77.05	$ 115.57
	Fran Fee	4.28	8.56	12.84
	TOTAL	$ 42.80	$ 85.61	$ 128.41
2	Toters	$ 41.86	$ 83.73	$ 125.58
	Disposal	28.97	57.94	86.91
		$ 70.83	$ 141.67	$ 212.49
	Fran Fee	7.87	15.74	23.61
	TOTAL	$ 78.70	$ 157.41	$ 236.10
3	Toters	$ 59.69	$ 119.38	$ 179.09
	Disposal	43.45	86.91	130.36
		$ 103.14	$ 206.29	$ 309.45
	Fran Fee	11.46	22.92	34.38
	TOTAL	$ 114.60	$ 229.21	$ 343.83
4	Toters	$ 77.51	$ 155.02	$ 232.54
	Disposal	57.94	115.87	173.81
		$ 135.45	$ 270.89	$ 406.35
	Fran Fee	15.05	30.10	45.15
	TOTAL	$ 150.50	$ 300.99	$ 451.50
5	Toters	$ 95.33	$ 190.62	$ 285.97
	Disposal	72.42	144.84	217.26
		$ 167.75	$ 335.46	$ 503.23
	Fran Fee	18.64	37.27	55.91
	TOTAL	$ 186.39	$ 372.73	$ 559.14

CITY OF ROCKLIN

PROPOSED - EFFECTIVE JULY 1, 2023
COMMERCIAL RATES
COMMERCIAL TOTERS

		Frequency (Pickups per Week)		
		1	2	3
1	**Toter**	$ 25.00	$ 50.00	$ 75.00
	Disposal	15.74	31.47	47.21
		$ 40.74	$ 81.47	$ 122.21
	Fran Fee	4.53	9.05	13.58
	TOTAL	**$ 45.27**	**$ 90.52**	**$ 135.79**
2	**Toters**	$ 43.53	$ 87.08	$ 130.60
	Disposal	31.47	62.94	94.41
		$ 75.00	$ 150.02	$ 225.01
	Fran Fee	8.33	16.67	25.00
	TOTAL	**$ 83.33**	**$ 166.69**	**$ 250.01**
3	**Toters**	$ 62.08	$ 124.16	$ 186.25
	Disposal	47.21	94.41	141.62
		$ 109.29	$ 218.57	$ 327.87
	Fran Fee	12.14	24.29	36.43
	TOTAL	**$ 121.43**	**$ 242.86**	**$ 364.30**
4	**Toters**	$ 80.61	$ 161.22	$ 241.84
	Disposal	62.94	125.88	188.83
		$ 143.55	$ 287.10	$ 430.67
	Fran Fee	15.95	31.90	47.85
	TOTAL	**$ 159.50**	**$ 319.00**	**$ 478.52**
5	**Toters**	$ 99.14	$ 198.24	$ 297.41
	Disposal	78.68	157.35	236.03
		$ 177.82	$ 355.59	$ 533.44
	Fran Fee	19.76	39.51	59.27
	TOTAL	**$ 197.58**	**$ 395.10**	**$ 592.71**

CITY OF ROCKLIN

CURRENT-EFFECTIVE JANUARY 1, 2023
COMMERCIAL RATES

	BASE RATE	DISPOSAL	FRANCHISE FEE	TOTAL
EXTRA EMPTIES				
1 Cu Yd	$ 16.82	$ 7.16	$ 2.66	$ 26.64
2 Cu Yd	27.85	14.33	4.69	46.87
3 Cu Yd	38.83	21.49	6.70	67.02
4 Cu Yd	49.93	28.65	8.73	87.31
5 Cu Yd	61.06	35.81	10.76	107.63
6 Cu Yd	75.37	42.98	13.15	131.50
7 Cu Yd	89.69	50.14	15.54	155.37
BIN RENTAL				
1 Cu Yd	$ 18.23		$ 2.03	$ 20.26
2 Cu Yd	22.64		2.52	25.16
3 Cu Yd	27.24		3.03	30.27
4 Cu Yd	31.74		3.53	35.27
5 Cu Yd	36.24		4.03	40.27
6 Cu Yd	40.86		4.54	45.40
7 Cu Yd	45.44		5.05	50.49

PROPOSED - EFFECTIVE JULY 1, 2023
COMMERCIAL RATES

	BASE RATE	DISPOSAL	FRANCHISE FEE	**TOTAL**
EXTRA EMPTIES				
1 Cu Yd	$ 17.49	$ 7.78	$ 2.81	**$ 28.08**
2 Cu Yd	28.96	15.56	4.95	**49.47**
3 Cu Yd	40.38	23.34	7.08	**70.80**
4 Cu Yd	51.93	31.13	9.23	**92.29**
5 Cu Yd	63.50	38.91	11.38	**113.79**
6 Cu Yd	78.38	46.69	13.90	**138.97**
7 Cu Yd	93.28	54.47	16.42	**164.17**
BIN RENTAL				
1 Cu Yd	$ 18.96		$ 2.11	**$ 21.07**
2 Cu Yd	23.55		2.62	**26.17**
3 Cu Yd	28.33		3.15	**31.48**
4 Cu Yd	33.01		3.67	**36.68**
5 Cu Yd	37.69		4.19	**41.88**
6 Cu Yd	42.49		4.72	**47.21**
7 Cu Yd	47.26		5.25	**52.51**

CITY OF ROCKLIN

CURRENT-EFFECTIVE JANUARY 1, 2023
COMMERCIAL RATES
DEBRIS BOXES

	BASE RATE	DISPOSAL	FRANCHISE FEE	**TOTAL**
15 Cu Yd	$ 195.14	$ 194.82	$ 43.33	$ 433.29
20 Cu Yd	213.24	259.76	52.56	525.56
30 Cu Yd	182.03	389.64	63.52	635.19
33 Cu Yd	168.01	428.60	66.29	662.90
40 Cu Yd	139.80	519.52	73.26	732.58
50 Cu Yd	199.43	649.40	94.31	943.14
Temporary Bins				
5 Cu Yd	$ 100.00	$ 35.81	$ 15.09	$ 150.90
6 Cu Yd	113.34	42.98	17.37	173.69
7 Cu Yd	126.76	50.14	19.66	196.56

PROPOSED - EFFECTIVE JULY 1, 2023
COMMERCIAL RATES
DEBRIS BOXES

	BASE RATE	DISPOSAL	FRANCHISE FEE	**TOTAL**
15 Cu Yd	$ 202.95	$ 211.65	$ 46.07	**$ 460.67**
20 Cu Yd	221.77	282.20	56.00	**559.97**
30 Cu Yd	189.31	423.30	68.07	**680.68**
33 Cu Yd	174.73	465.63	71.15	**711.51**
40 Cu Yd	145.39	564.40	78.87	**788.66**
50 Cu Yd	207.41	705.50	101.43	**1,014.34**
Temporary Bins				
5 Cu Yd	$ 104.00	$ 38.91	$ 15.88	**$ 158.79**
6 Cu Yd	117.87	46.69	18.28	**182.84**
7 Cu Yd	131.83	54.47	20.70	**207.00**

CURRENT-EFFECTIVE JANUARY 1, 2023
COMMERCIAL RATES
C & D BINS

	BASE RATE	DISPOSAL	FRANCHISE FEE	**TOTAL**
C & D BINS				
5 Cu Yd	$ 87.98	$ 35.81	$ 13.75	$ 137.54
6 Cu Yd	99.76	42.98	15.86	158.60
7 Cu Yd	126.76	50.14	19.66	196.56

PROPOSED - EFFECTIVE JULY 1, 2023
COMMERCIAL RATES
C & D BINS

		BASE RATE	DISPOSAL	FRANCHISE FEE	**TOTAL**
C & D BINS					
5 Cu Yd		$ 91.50	$ 38.91	14.49	**$ 144.90**
6 Cu Yd		103.75	46.69	16.72	**167.16**
7 Cu Yd	Subject to Note	131.83	54.47	20.70	**207.00**

NOTE: Rate subject to a minimum of three (3) containers per service

Recology Auburn Placer

City of Rocklin

Cost of Living Increase

For Rate Year July 1, 2023 through June 30, 2024

City of Rocklin Format

\\norcalsrv01\KWillard\

BASIC FORMULA

COLA = 0.1 Fixed Cost + .45 x (ECI_{v1} / ECI_{v0}) + .45 x (PPI_{v1} / PPI_{v0})

Rounding: To 3 decimal places per basic agreement	0.0010

CALCULATION:

Fixed / Non-escalating Costs

No index used

Factor (per cent of total cost)			0.10	
	Index	None		
	Index	None		

Labor Cost

Employment Cost Index - Wages & Salaries, Private Industry, Manufacturing; production, transportation and material moving (Series ID: CIU2023000500000I)

Factor (percent of total cost)		0.45	
Index:	4th Quarter 2022	157.00	
Base Index:	4th Quarter 2021	148.40	
Change in Index:		8.60	
		5.80%	

Goods & Services

Producer Price Index for All Commodities (WPU00000000)

Factor (percent of total cost)		0.45	
Index:	December 2022	258.01	
Base Index:	December 2021	241.20	
Change in Index:		16.82	
		7.00%	
Calculation 1 =			**1.0570**

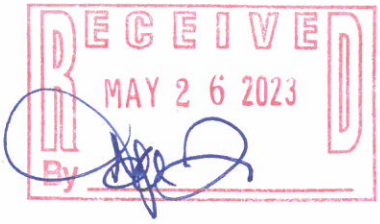
NET RATE CHANGE (percent) **5.70%**

Attachment 3

Common Collection Rates – Current and Proposed

	Container	Base Rate (Monthly)	Disposal	Franchise Fee	Total	+ or -
Current Rate	1 - 32 Gallon Can	7.92	7.24	1.68	16.84	
Proposed Rate		8.24	7.87	1.79	17.90	+1.06
Current Rate	Toter Only	13.66	14.48	3.13	31.27	
Proposed Rate		14.21	15.74	3.33	33.28	+2.01
Current Rate	Unlimited Toter	18.70	18.62	4.15	41.47	
Proposed Rate		19.45	20.23	4.41	44.09	+2.62
Current Rate	Sr. Unlimited Toter	9.85	18.62	3.16	31.63	
Proposed Rate		10.24	20.23	3.39	33.86	+2.23
Current Rate	1 Yd - 1 x Week	68.88	31.04	11.10	111.02	
Proposed Rate		71.64	33.72	11.71	117.07	+6.05
Current Rate	1 Yd - 4 x Week	204.44	124.15	36.51	365.10	
Proposed Rate		212.62	134.88	38.61	386.11	+21.01
Current Rate	4 Yd - 1 x Week	204.44	124.15	36.51	365.10	
Proposed Rate		212.62	134.88	38.61	386.11	+21.01

Rates provided above are a sampling of the multiple rates provided within the Franchise Agreement.



To

The City Clerk
Rocklin, California

Sub: Proposed Rate Increase On Recology Auburn Placer.

Respected Sir/Madam,

I Saminder Chandhok is writing this letter on behalf of my business **The Curry Leaves (Indian Restaurant) 6502 Lonetree Blvd. Rocklin CA 95678 and a house 6400 Cormorant Cir, Rocklin CA 95765.**

We strongly oppose for the rate increase for Recology Garbage Service. They are already on a very higher side if we compare it from other vendors from Roseville and Sacramento.
The prices in Roseville and Sacramento is really less in comparison to Recology and they have better service then Recology.

It is already very difficult to survive in this inflation and if this price hike happens it will get more difficult for the people living here and all the businesses out in Rocklin.
We would also request to have another vendor in Rocklin as due to just one vendor they have a monopoly and they don't care for the public and impose very high price and also don't care of their service. We are really facing lot of issues with their service and pricing.

Thanking You,
Saminder Chandhok
The Curry Leaves
Rocklin

May 30, 2023 Protest against Recology fee increase – APN 016-160-004-000

RECEIVED
JUN 01 2023
BY

City of Rocklin
City Council
3970 Rocklin Rd.
Rocklin, CA 95677

FOR: City Council meeting June 27, 2023
ATTN: Mayor and City Council Members
RE: Recology's proposed rate increase

Thank you for your time in reading/considering this 'protest':

We oppose the rate increase request for July 1, 2023. The last rate increase just went into effect on January 1, 2023. Now they are asking for another one! Per the two post cards sent for the notices of public hearing, this equals a 10.32% increase in less than seven months, whiles being disguised as just a 6.08% increase.

Inflation is harming everyone, and yes, Recology's expenses have also increased. Has there been any outside auditing of Recology's business practices, to help them find ways to adjust their budgets without increasing fees to 'consumers' again, especially so soon?

We are tenants on Whitney Blvd., north of Paragon. There are often street sweepers who go down our street (Recology logo on doors) that are NOT sweeping the streets. On the morning of May 17th, I was driving behind a Recology sweeper that was southbound on Whitney (from just south of Argonaut) and followed it to Sunset, where we both turned right. It continued on Sunset over the hill (Topaz cross street), then it continued down Sunset. (It was going much slower than the speed limit in the left lane, so I passed it in the right lane just west of Topaz.) The whole time, it was NOT doing any street sweeping. This wasn't the first time that I've seen Recology sweepers all around Rocklin, just driving around, but NOT doing any sweeping for multiple blocks at a time to miles at a time.

Why does Recology pay gas, vehicle maintenance, salary, etc. for sweeper trucks that are NOT doing any sweeping services? Why do street sweepers need to go down some streets twice a week? The people around here are NOT that dirty, doing that much littering, drains aren't clogged, nor is there industrial or construction areas around here that need more frequent sweeping, all year long.

Government & business waste = too many upper & middle management staff, who rarely work 20 hours a week, but get paid $110,000+ a year. They only "talk" well about how hard they work, but they don't do any work. Agency cut backs (layoffs, hiring freezes, unfilled positions) fall on "worker bees", but they are the folks who actually do the work, while getting paid less. Upper & middle management needs some serious cutting. (I recently retired from the fiscal dept. of a large school district, so I know firsthand about this exact kind of salary 'waste' that could be better spent running departments/businesses). – Can't some outside auditing/oversight help Recology save money?

While we appreciate the minimal senior discount Recology offers, they increased fees only once in 2021 and only once in 2022, even with inflation. There should NOT be increased fees a second time in 2023!

Utility increases (all kinds) have continued to hammer consumers – usually at higher rates than inflation. While Recology might say "but it is only a $2.01 per month increase", add that to all the other $2+ to $300+ a month increases everyone keeps dealing with & those on fixed incomes will continue becoming homeless – or continue fleeing California.

Thank you for your consideration,

Randy & Jill Patterson, Rocklin, CA

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Whitney Park Pump Track Design Review

Date: June 27, 2023

Submitted By: Kevin Huntzinger, Director of Parks and Recreation

Department: Parks and Recreation

Recommendation:
Review and Approve the Final Design for the Whitney Park Pump Track and Prioritize the Project Components.

Background:
On March 10, 2021, the pump track concept was presented to the Parks, Recreation and Arts Commission for consideration and discussion. After several meetings and further discussion with stakeholders, the Commission recommended that staff bring the pump track concept to the City Council, which was done on May 25, 2021. Following the pump track concept presentation, the City Council directed staff to research basic feasibility, potential funding sources, and locations. On July 27, 2021, staff brought the site evaluation report to City Council for consideration. The City Council determined that Whitney Community Park was the best-suited location, for a pump track, given its dedicated funding source and existing infrastructure, including surrounding bike trails and storm drain connectivity. The City Council then directed staff to prepare a Request for Proposals for Design Services.

The City released a Request for Proposals (RFP), on April 11, 2022, to obtain design services for the Whitney Park Pump Track Project. The City received one qualified response from American Ramp Company (ARC), which was evaluated on factors set forth in the RFP. American Ramp Company was interviewed by a committee consisting of the City Manager, Parks and Recreation Director, Parks and Recreation Manager, Administrative Analyst, and two of the Parks, Recreation and Arts Commissioners. Staff ultimately determined the proposal submitted by ARC was sufficient and began to negotiate a contract for pump track design services.

On July 26, 2022, City Council adopted Resolution No. 2022-162, authorizing the City Manager to execute a contract with American Ramp Company for professional design services for an asphalt pump track within the undeveloped park space at Whitney Community Park (Attachment 2). After receiving recommendations and feedback from City staff, the Parks, Recreation and Arts Commission, and the Rocklin Bike Coalition, ARC provided the City a final design concept for the Whitney Park Pump Track (Attachment 1). Along with the

design, staff requested separate cost estimates for the project components. This will allow the City to select the features that are considered to be a high priority while ensuring the project's budget is maintained. The breakdown of estimated costs is provided on the final page of Attachment 1, and summarized here:

- Pump Track: $609,000
- Bicycle Playground: $253,858
- Jump Line (Dirt Trail): $213,278
- Jump Line (Asphalt Trail): $393,278
- P3 50/50 + Mow Strip: $78,264
- P3 Mow Strip only: $27,098
- Parking Lot: $136,800-$171,000
- Benches: $4,500/each
- Fixit Bike Repair Station: $3,000/each
- Shelter: $45,000-$55,000

The Parks and Recreation Commission reviewed ARC's final design concept at their meeting on June 14, 2023. The Commission discussed two recommendations to bring forward to the City Council. The first and highest priority includes the pump track, P3 50/50 + mow strip, benches, and an ADA path from the existing parking lot or bike trail. The approximate cost is $742,000. The next and second priority includes the pump track, P3 50/50 + mow strip, bicycle playground, and an ADA path from the existing parking lot or bike trail. The approximate cost is $986,552. The P3 50/50 + mow strip is an alternate to natural turf and irrigation around the interior areas of the asphalt pump track, along with the exterior mow strip surrounding the outside edges. This product is similar to the rubberized play surface found in some playground areas, such as Willard Park.

Neither Commission recommendation includes the parking lot. The Commission's recommendation of an ADA path from the existing parking lot or bike trail is to ensure ADA access to the pump track without funding the parking lot at this time. The approximate cost for the ADA path is $30,000.

After thorough review and consideration of future development at Whitney Community Park, staff recommends funding the pump track, P3 50/50 + mow strip, and the parking lot. The approximate cost for these amenities is $847,000. Staff has identified the parking lot as a necessity for the current project while satisfying future needs as the City continues to develop Whitney Park Phase II. Parks and Recreation staff also support the installation of the P3 50/50 + mow strip to limit ongoing maintenance costs and eliminate upfront project expenses, including the installation of irrigation and other necessary infrastructure to support natural turf and maintenance access. It is a priority for staff to utilize the dedicated Northwest Rocklin Community Park Fee funding source to install the P3 50/50 + mow strip, rather than increasing annual maintenance cost in CFD #5 to maintain additional turf and landscape in the future.

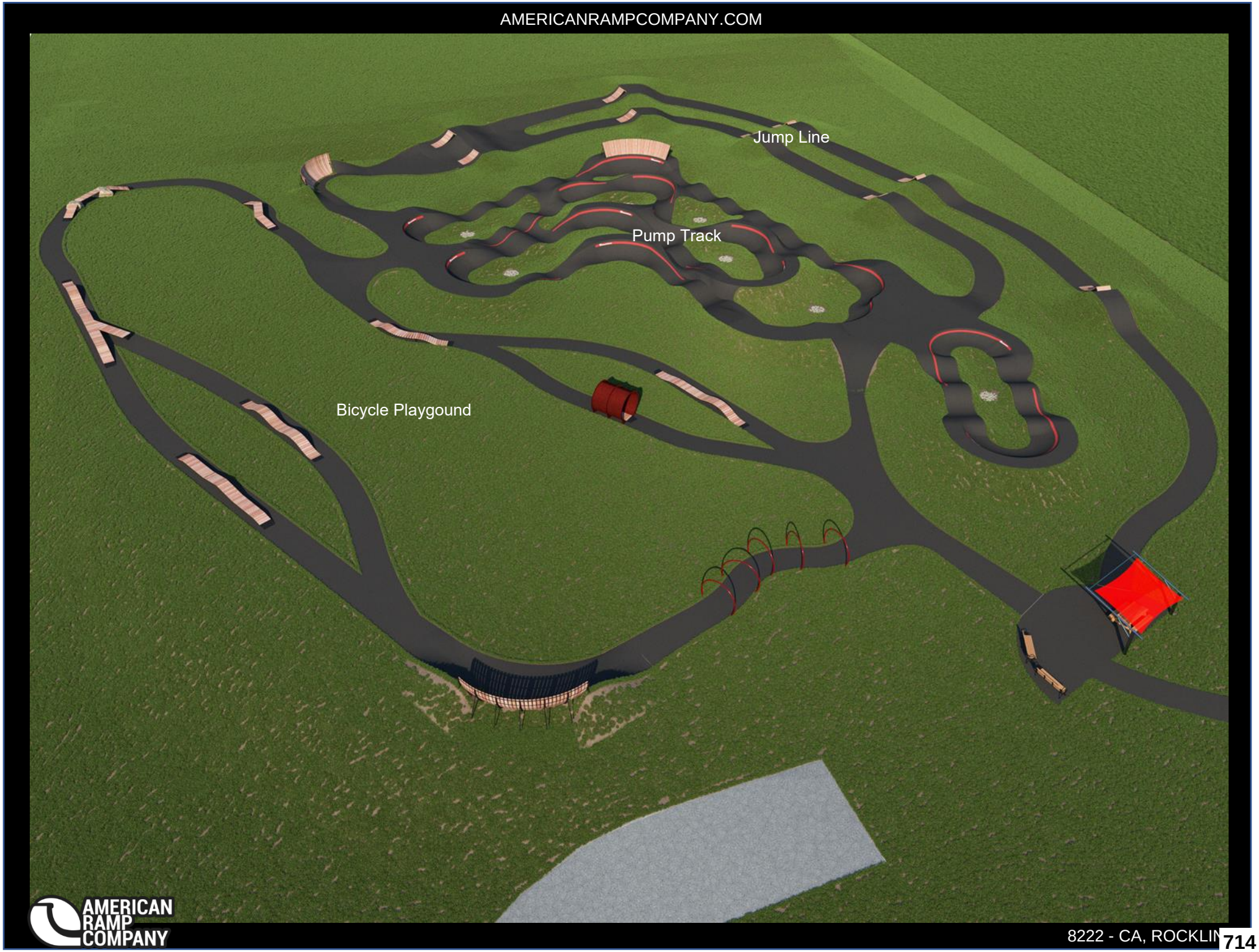
Staff recommends the City Council approve the pump track design, approve the includes of the pump track, P3 50/50 + Mow Strip, and parking lot as Bid Items, and prioritize the remaining features as Bid Alternates. By prioritizing Bid Alternates, staff can include all items in the Bid Documents, and if bid rates are lower than the cost estimate, staff can add in alternate items in priority order.

Fiscal Impact:

A budget of $920,000 in the Northwest Rocklin Community Park Fee Fund (Fund 307) was approved for the project as part of the FY 2022/23 Annual Budget. The design costs totaled $67,300, with $852,700 remaining for project construction.

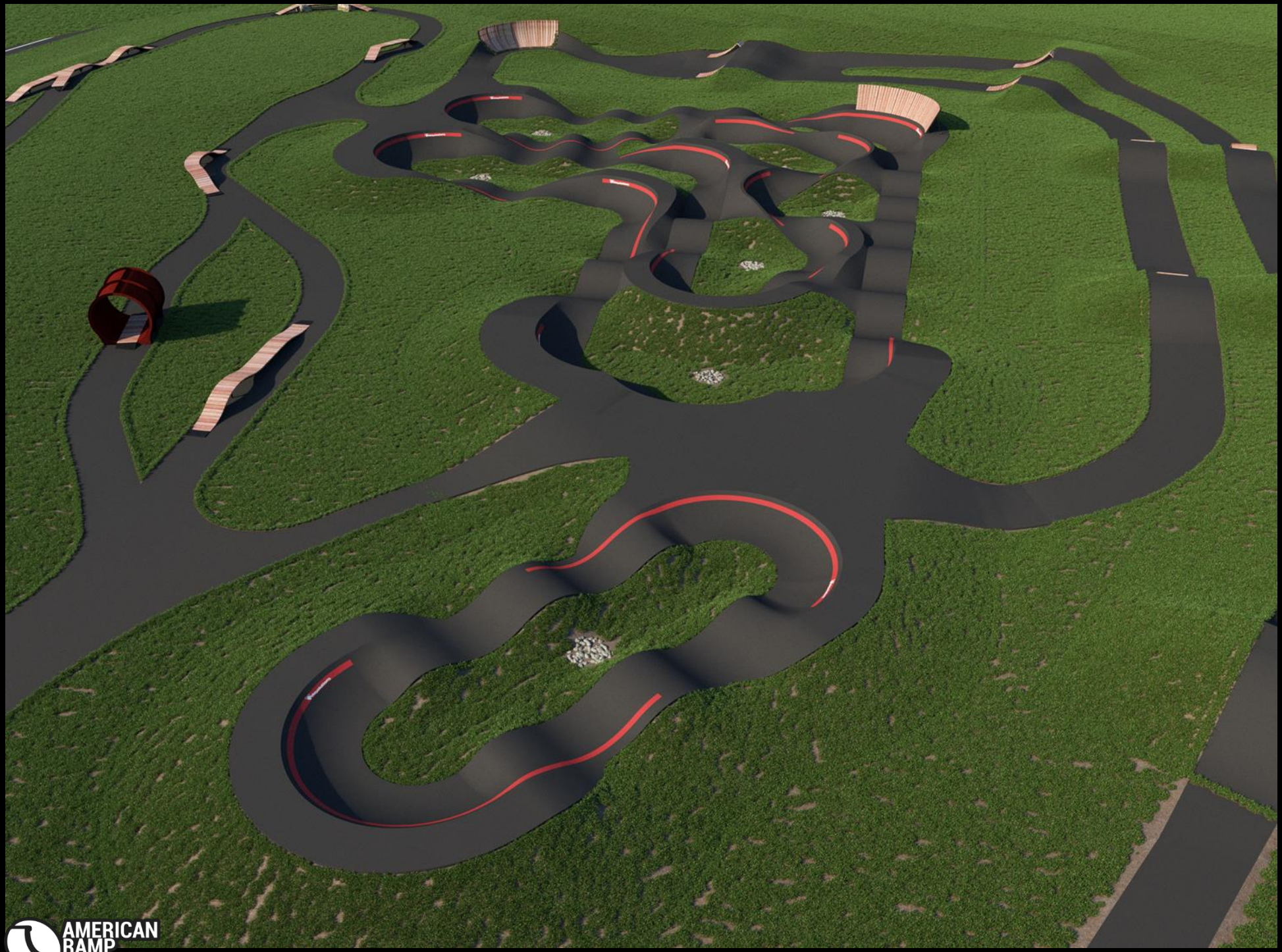
ATTACHMENTS:

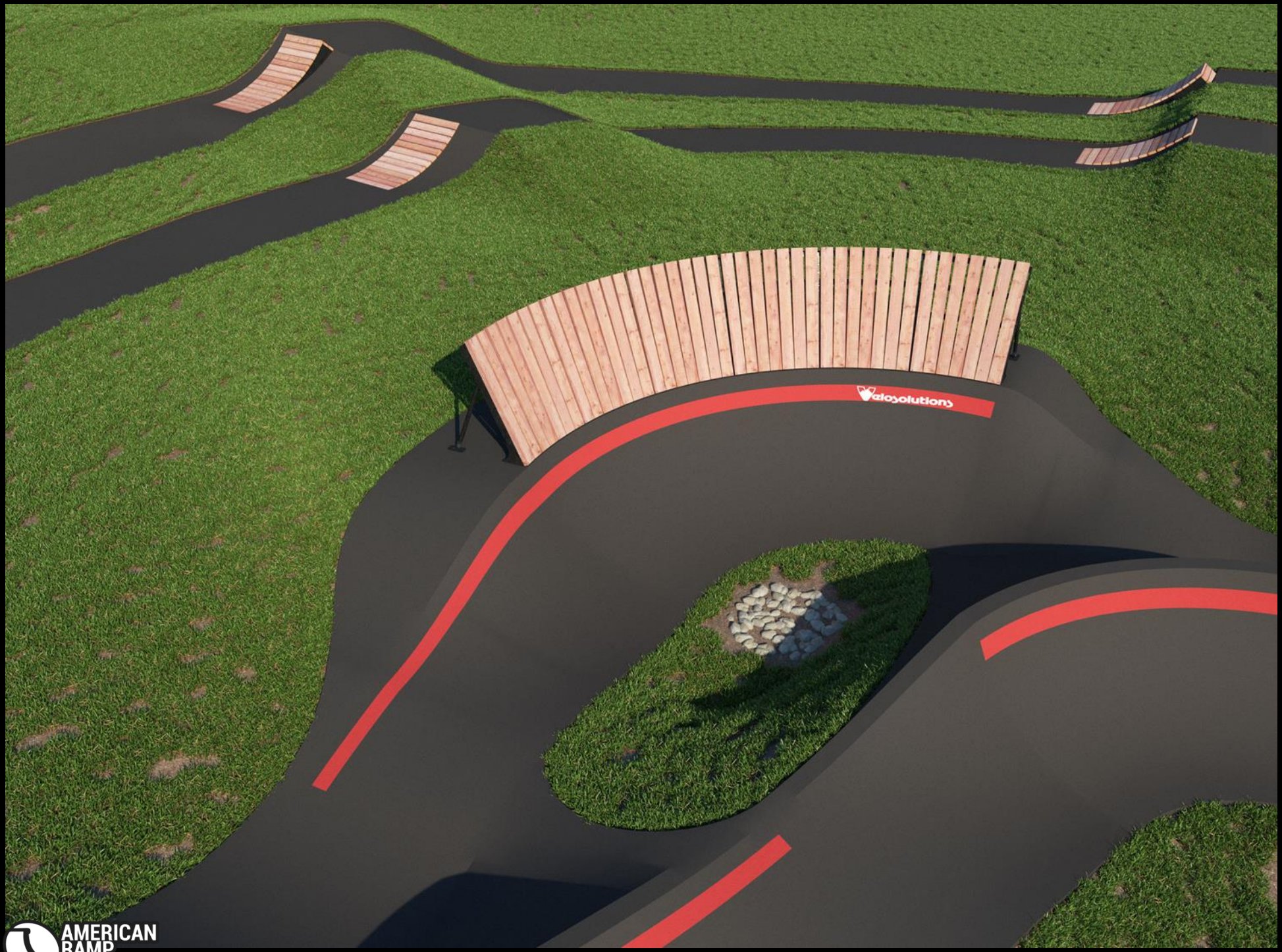
1. Bike Pump Track Final Design and Cost Estimate
2. Contract with American Ramp Company for Bike Pump Track Design


AMERICANRAMPCOMPANY.COM
Jump Line
Pump Track
Bicycle Playgound
AMERICAN RAMP COMPANY
8222 - CA, ROCKLIN

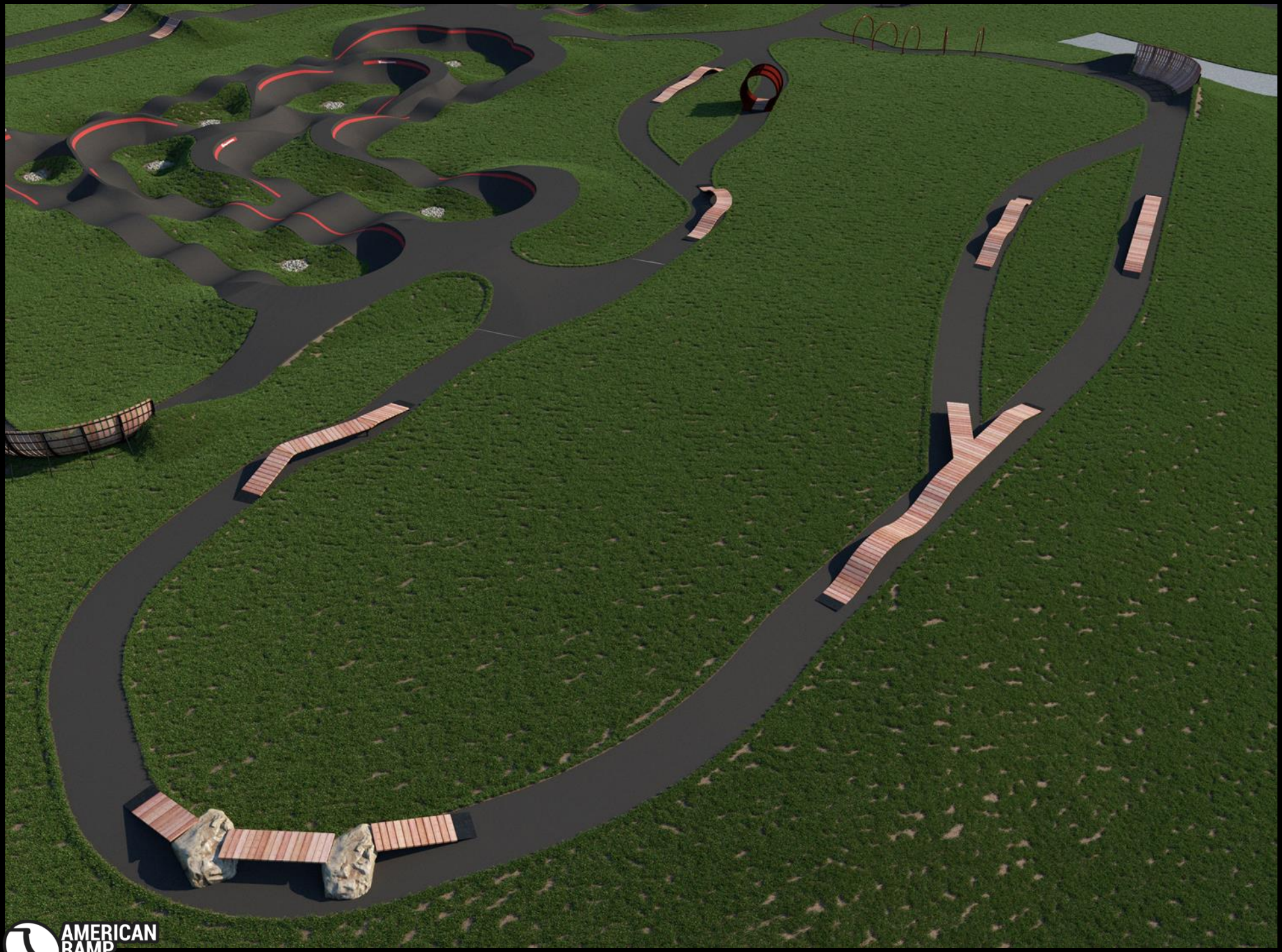


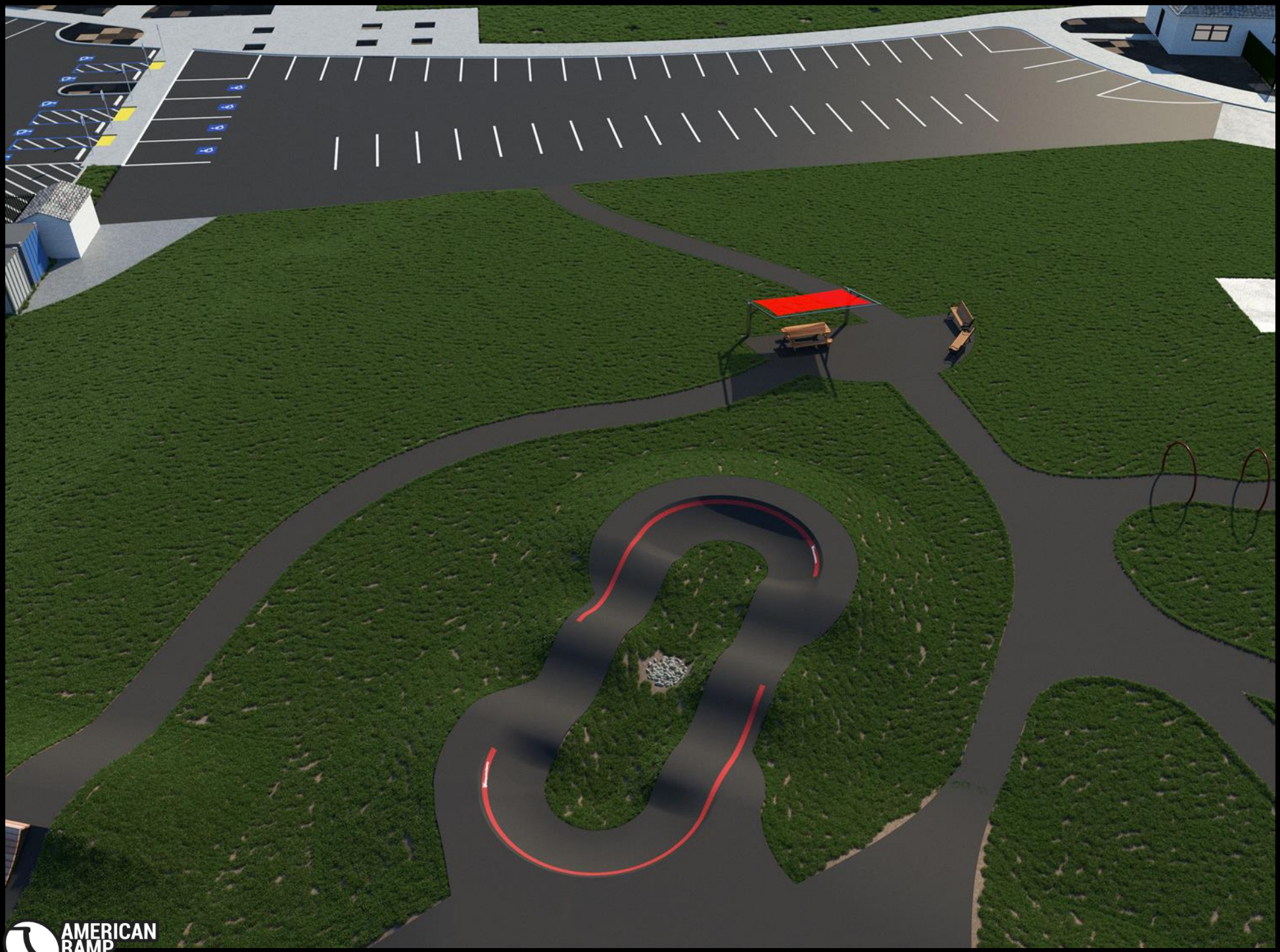
AMERICAN RAMP COMPANY





AMERICAN RAMP COMPANY







AMERICAN RAMP COMPANY

8222 - CA, ROCKLIN

601 S. McKinley Ave
Joplin, MO 64801
Toll-free: 800-RAMP-778
Local: 417-206-6816
Fax: 417-206-6888
sales@americanrampcompany.com

Quote #	Design #	Customer	Date	Designer
Q27357.0	8222	City of Rocklin	06-13-23	Neil Bowman

AMERICAN RAMP COMPANY - PRICING

PUMP TRACK (MAIN AND BEGINNER TRACK) - $ 609,000.00
BICYCLE PLAYGROUND (ASPHALT TRAIL WITH FEATURES) - $ 253,858.17
TAX (7.25% ON MATERIALS AND PRODUCT) - $ 15,430.04
GRAND TOTAL - **$ 878,288.21**

AMERICAN RAMP COMPANY - SCOPE OF WORK

MANUFACTURING, INSTALLATION, AND CONSTRUCTION

INCLUDES*:

- • All labor, supplies, tools, materials, and equipment required per scope of work
- • Fabrication and installation of all Pump Track elements
- • Fabrication and installation of Bicycle Playground Features
- • Rough Grading
- • Any necessary drainage per construction documents
- • 1 Wallride

ADD ALTERNATES (tax not included)

-Jump Line
Dirt Trail with Features - $ 213,278.49
Asphalt Trail with Features - $ 393,278.49

-Landscape Upgrades
P3 50/50 + Mow Strip - $ 78,264.00
P3 Mow Strip Only - $ 27,098.00

-Complementary Features as shown in renderings (cost is turnkey approximate)

Parking Lot - $136,800.00 - $171,000.00
Benches - $4,500 each
Fixit Bike Repair Station - $3,000 each
Shelter - $45,000.00 - $55,000.00

RESOLUTION NO. 2022-162

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROCKLIN APPROVING AND AUTHORIZING THE CITY MANAGER TO EXECUTE A CONTRACT WITH AMERICAN RAMP COMPANY FOR THE DESIGN OF AN ASPHALT PUMP TRACK WITHIN THE UNDEVELOPED PARK SPACE AT WHITNEY COMMUNITY PARK

WHEREAS, City staff released a request for proposals (RFP) on April 11, 2022 for professional design services for an asphalt pump track at Whitney Community Park; and

WHEREAS, one proposal was received from American Ramp Company, and a City selection committee, comprised of City Staff and a Parks, Recreation and Arts Commission subcommittee, evaluated the proposal based on the factors set forth in the RFP; and

WHEREAS, the City selection committee recommends the Whitney Park Phase II pump track design contract be awarded to American Ramp Company, in an amount not to exceed $67,300.

NOW, THEREFORE, the City Council of the City of Rocklin does resolve as follows:

Section 1. The City Council of the City of Rocklin hereby approves and authorizes the City Manager to execute a Contract for Services with American Ramp Company for professional services to design an asphalt pump track within the undeveloped park space at Whitney Community Park in a total amount not to exceed $67,300, in substantially the form attached hereto as Exhibit "A."

PASSED AND ADOPTED this 26th day of July, 2022, by the following vote:

AYES:	Councilmembers:	Gayaldo, Halldin, Janda
NOES:	Councilmembers:	None
ABSENT:	Councilmembers:	Broadway
ABSTAIN:	Councilmembers:	None

Bill Halldin, Mayor

ATTEST:

Hope Ithurburn, City Clerk

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CONTRACT FOR SERVICES

THIS CONTRACT is made on July 26, 2022, by and between the CITY OF ROCKLIN a municipal corporation ("City"), and AMERICAN RAMP COMPANY "Contractor," who mutually agree as follows:

1. SCOPE OF SERVICES

Subject to the terms and conditions set forth in this agreement, Contractor shall provide to the City the services described in the Scope of Work, Exhibit A. Contractor shall provide the services at the time, place and in the manner specified in Exhibits A and/or B. Contractor shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of the services: (a) Contractor notifies the City and City agrees the services are outside the scope of Exhibit A; (b) Contractor estimates the additional compensation required for these additional services; and (c) City after notice, approves in writing a supplemental agreement specifying the additional services and the amount of compensation therefore. City shall have no obligation whatsoever under this agreement or any supplemental agreement, unless and until the agreement or supplemental agreement is approved by the City Manager, the City Manager's authorized designee, or by the Rocklin City Council, as required by the Rocklin Municipal Code or other local law or policy.

2. COMPENSATION

A. The City shall pay Contractor for the services rendered pursuant to this agreement at the times and in the manner set forth in the Scope of Services, Exhibit A, the Schedule for Performance, Exhibit B, and in accordance with the Schedule of Fees in Exhibit C, but in no event shall the total compensation exceed the **total sum of $67,300 (Sixty-Seven Thousand, Three Hundred Dollars).** The payments specified in Exhibits A and/or B shall be the only payments to be made to Contractor for the services rendered pursuant to this Agreement unless pursuant to Section 1, above, City approves additional compensation for additional services.

B. Contractor shall furnish City with invoices for all expenses as well as for all materials authorized by this Contract. The invoices shall be submitted with the monthly billings. Reimbursable expenses shall be limited to actual expenditures of Contractor for expenses that are necessary for the proper completion of the services and shall only be payable if specifically authorized in advance by City.

C. Contractor shall submit all billings/invoices for services to City in the manner specified in Exhibit B. Contractor's fees shall be as specified in the Scope of Work, Exhibit A or the Schedule of Fees as set forth in Exhibit C. All invoices submitted by Contractor shall contain the following information:

(1) Job/project name or description;
(2) City's current purchase order and/or work order number (if applicable);
(3) Contractor's invoice number;
(4) Date of invoice issuance;
(5) Description of services billed under invoice, including the description of tasks performed and the corresponding rate charged for the completion of that task;

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(6) Amount of invoice, itemizing all authorized reimbursable expenses; and
(7) Total billed to date under agreement.

D. City shall make payment to Contractor net 30 days from receipt of an acceptable invoice. If Contractor's performance is not in conformity with the Scope of Work, Exhibit A, or the Schedule of Performance, Exhibit B, or the provisions set forth above, payments may be delayed or denied, unless the Contractor's failure to perform is a documented result of the City's failure to conform with the Schedule of Performance, or if the Schedule of Performance is extended pursuant to Section 4. City shall not be responsible for delays in payment to Contractor resulting from Contractor's failure to comply with the invoice format described above, or as set forth in the Schedule of Performance.

E. During performance of the agreement and for a period of three (3) years after completing all services, Contractor shall maintain all accounting and financial records related to this Agreement, including but not limited to records of Contractor's costs for all services performed under this agreement and records of Contractor's reimbursable expenses, in accordance with generally accepted accounting practices, and shall keep and make the records available for inspection and audit by representatives of the City upon reasonable written notice.

F. Contractor shall pay when and as due, any and all taxes incurred as a result of Contractor's compensation hereunder, including estimated taxes, and shall provide City with proof of payment upon request. Contractor hereby agrees to indemnify City for any claims, losses, costs, fees, liabilities, damages or injuries suffered by City arising out of Contractor's breach of this section.

3. FACILITIES AND EQUIPMENT

Contractor shall at its sole cost and expense, furnish all facilities and equipment that may be required for Contractor to perform services pursuant to this agreement. City shall furnish to Contractor, only the facilities and equipment listed in Exhibit A (if any) according to any terms and conditions set forth in Exhibit A.

4. TERM OF CONTRACT

A. This agreement shall become effective on the date that it is approved by both parties, set forth on the first page of the agreement and shall continue in effect until project completion, unless sooner terminated as provided herein.

B. The services of Contractor are to commence upon execution of this Contract and shall be undertaken and completed in accordance with the Schedule of Performance attached hereto and incorporated herein by this reference as **Exhibit B.**

5. SUSPENSION/TERMINATION:

A. City shall have the right at any time to temporarily suspend Contractor's performance hereunder, in whole or in part, by giving written notice of suspension to Contractor. If City gives such notice, Contractor shall immediately suspend its activities under this agreement.

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B. This Contract may be terminated by either party, provided that the other party is given not less than **thirty (30)** calendar days' written notice (delivered by certified mail, return receipt requested) of intent to terminate. If the agreement is terminated by the City:

i. Contractor shall immediately cease rendering services pursuant to this agreement;
ii. Contractor shall, not later than five days after such notice of termination, deliver to City copies of all information prepared pursuant to this agreement;
iii. City shall pay Contractor the reasonable value of services rendered by Contractor prior to termination; provided however, City shall not in any manner be liable for lost profits that might have been made by Contractor had the agreement not been terminated or had Contractor completed the services required by this agreement. Contractor shall furnish to City such financial information as in the judgment of the City is necessary for City to determine the reasonable value of the services rendered by Contractor. The foregoing is cumulative and does not affect any right or remedy that city may have in law or equity.

C. Notwithstanding any provisions of this Contract, Contractor shall not be relieved of liability to the City for damages sustained by the City by virtue of any breach of this Contract by Contractor, and the City may withhold any payments due to Contractor until such time as the exact amount of damages, if any, due the City from Contractor is determined.

6. INDEPENDENT CONTRACTOR

Contractor enters into this Contract as an independent contractor and not as an employee or agent of the City. Contractor shall have no power or authority by this Contract to act on behalf of City in any capacity whatsoever as an agent, or to bind the City in any respect or to any obligations whatsoever. Nothing in this Contract shall be construed to be inconsistent with this relationship or status. All employees, agents, contractors or subcontractors hired or retained by the Contractor are employees, agents, contractors or subcontractors of the Contractor and not of the City. The City shall not be obligated in any way to pay any wage claims or other claims made against Contractor by any such employees, agents, contractors or subcontractors, or any other person resulting from performance of this agreement.

7. AMENDMENTS, CHANGES OR MODIFICATIONS

Amendments, changes or modifications in the terms of this Contract may be made at any time by mutual written agreement between the parties hereto and shall be signed by the persons authorized to bind the parties hereto.

8. EXTENSIONS OF TIME

Contractor may, for good cause, request extensions of time to perform the services required hereunder. Such extensions shall be authorized in advance by the City in writing and shall be incorporated in written amendments to this Contract or the attached Work Program in the manner provided in Section 7.

9. PROPERTY OF CITY

It is mutually agreed that all materials prepared by the Contractor under this Contract shall become the property of the City, and the Contractor shall have no property right therein

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whatsoever. Immediately upon termination, the City shall be entitled to, and the Contractor shall deliver to the City, all data, drawings, specifications, reports, estimates, summaries and other such materials as may have been prepared or accumulated to date by the Contractor in performing this Contract which is not Contractor's privileged information, as defined by law, or Contractor's personnel information, along with all other property belonging exclusively to the City which is in the Contractor's possession.

10. COMPLIANCE WITH ALL LAWS:

Contractor shall keep itself fully informed of, shall observe and comply with, and shall cause any and all persons, firms or corporations employed by it or under its control to observe and comply with, all applicable laws, ordinances, and codes of federal, State and local governments, which in any manner affect those engaged or employed on the work described by this Contract or the materials used or which in any way affect the conduct of the work, and shall commit no trespass on any public or private property in performing any of the work authorized by this Contract.

For all leases and purchases of materials, equipment, supplies or other tangible personal property used to perform the agreement and shipped from outside California, the Contractor and any subcontractors leasing or purchasing such materials, equipment, supplies or other tangible personal property shall obtain a Use Tax Direct Payment Permit or Seller's Permit from the California State Board of Equalization, in accordance with the applicable SBE criteria and requirements. This provision applies in all instances unless prohibited by the funding source for the agreement.

11. WARRANTIES AND RESPONSIBILITIES - CONTRACTOR

A. Contractor agrees and represents that it is qualified to properly provide the services set forth in **Exhibit "A"** in a manner which is consistent with the generally accepted standards of Contractor's profession.

B. Contractor is duly licensed, qualified and experienced to perform the services set forth in the Scope of Services, Exhibit A. Contractor represents and warrants that Contractor has all licenses, permits, qualifications and approvals of whatsoever nature that are legally required for Contractor to practice its profession or provide any services under this agreement. Contractor represents and warrants that Contractor shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this agreement, any licenses, permits and approvals that are legally required for Contractor to practice its profession or provide such services. If Contractor is an out of state corporation, Contractor further warrants and represents that it possesses a valid certification of qualification to transact business in the State of California issued by the California Secretary of State.

C. Contractor shall perform all services required pursuant to this agreement in the manner and according to the standards currently observed by a competent practitioner of Contractor's profession in California. Contractor shall devote such time and effort to the performance of services pursuant to this agreement as is necessary for the satisfactory and timely performance of Contractor's obligations under this agreement. Neither party shall be considered in default of this agreement to the extent that party's performance is prevented or delayed by any cause that is beyond the reasonable control of that party.

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D. All products of whatsoever nature that Contractor delivers to City pursuant to this Agreement shall be prepared in a professional manner and conform to the standards of quality normally observed by a person currently practicing in Contractor's profession, and shall be provided in accordance with any scope of services or schedule of performance specified in Exhibits A or B.

E. Contractor shall assign only competent personnel to perform services pursuant to this agreement. Contractor shall designate a project manager who at all times shall represent the Contractor before the City on all matters relating to this Contract. The project manager shall continue in such capacity unless and until he or she is removed at the request of the City, is no longer employed by Contractor, or is replaced with the written approval of the City, which approval shall not be unreasonably withheld. Contractor shall notify City in writing, of any other changes in Contractor's staff assigned to perform the services required under this agreement, prior to any such performance. In the event the City desires the removal of any person assigned by Contractor to perform services pursuant to this agreement, because the City in its sole discretion, determines that such person is not performing in accordance with the standards required herein, Contractor shall remove such person immediately upon receiving notice from the City of the desire for the removal of such person.

F. Contractor agrees and represents that the work performed under this Contract shall be in accordance with applicable federal, State and local law.

G. Contractor shall provide corrective services without charge to the City for services which fail to meet the above professional and legal standards and which are reported to Contractor in writing within sixty (60) days of discovery. Should Contractor fail or refuse to perform promptly its obligations, the City may render or undertake performance thereof and the Contractor agrees it has satisfied itself by its own investigation and research regarding the conditions affecting the work to be done and labor and materials needed, and that its decision to execute this agreement is based on such independent investigation and research. Contractor shall be liable for any expenses thereby incurred**.**

12. SUBCONTRACTING

None of the services covered by this Contract shall be subcontracted without the prior written consent of the City, which will not be unreasonably withheld. Contractor shall be as fully responsible to the City for the negligent acts and omissions of its contractors and subcontractors, and of persons either directly or indirectly employed by them, as it is for the negligent acts and omissions of persons directly employed by Contractor.

13. ASSIGNABILITY

Contractor shall not assign or transfer any interest in this Contract whether by assignment or novation, without the prior written consent of the City. The City has a strong interest in the qualifications and capability of the persons and entities that will fulfill the obligations imposed on Contractor under this agreement. However, claims for money due or to become due Contractor from the City under this Contract may be assigned to a financial institution, or to a trustee in bankruptcy, without such approval. Notice of any assignment or transfer whether voluntary or involuntary shall be furnished promptly to the City.

14. INTEREST IN CONTRACT

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Contractor covenants that neither it, nor any of its employees, agents, contractors, or subcontractors has any interest, nor shall they acquire any interest, direct or indirect, in the subject of the Contract, nor any other interest which would conflict in any manner or degree with the performance of its services hereunder. Contractor shall make all disclosures required by the City's conflict of interest code in accordance with the category designated by the City, unless the City Manager determines in writing that Contractor's duties are more limited in scope than is warranted by the category designated by the City code and that a narrower disclosure category should apply. Contractor also agrees to make disclosure in compliance with the City conflict of interest code if, at any time after the execution of this Contract, City determines and notifies Contractor in writing that Contractor's duties under this Contract warrant greater disclosure by Contractor than was originally contemplated. Contractor shall make disclosures in the time, place and manner set forth in the conflict of interest code and as directed by the City.

15. MATERIALS CONFIDENTIAL

To the extent permitted by law, all of the materials prepared or assembled by Contractor pursuant to performance of this Contract are confidential and Contractor agrees that they shall not be made available to any individual or organization without the prior written approval of the City, except by court order.

16. LIABILITY OF CONTRACTOR-NEGLIGENCE

Contractor shall be responsible for performing the work under this Contract in a manner which is consistent with the generally-accepted standards of the Contractor's profession and shall be liable for its own negligence and the negligent acts of its employees, agents, contractors and subcontractors. The City shall have no right of control over the manner in which the work is to be done but only as to its outcome, and shall not be charged with the responsibility of preventing risk to Contractor or its employees, agents, contractors or subcontractors.

17. INDEMNITY AND LITIGATION, COSTS

To the fullest extent permitted by law, Contractor shall hold harmless, defend at its own expense, and indemnify the City, its officers, officials, agents, employees and volunteers against any and all claims, damages, demands, liability, costs, losses and expenses, including without limitation court costs and reasonable attorneys' fees, arising from all acts or omissions of Contractor, or its officers, agents or employees in rendering services under this Agreement; excluding however, such liability, claims, losses, damages or expenses arising from the City's sole negligence, or willful acts. The provisions of this paragraph shall survive termination or suspension of this Contract. These Indemnification provisions are independent of and shall not in any way be limited by the Insurance requirements of this agreement. City approval of the Insurance required by this Agreement does not in any way relieve the Contractor from liability under this section.

18. CONTRACTOR TO PROVIDE INSURANCE

Contractor agrees to have and maintain the policies set forth below, against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, or employees. All policies, endorsements, certificates, and/or binders shall be subject to approval by the City as to form and content. A lapse in any required insurance coverage during this Agreement shall be a breach of this Agreement.

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A. **MINIMUM SCOPE AND LIMIT OF INSURANCE**

1. ***Commercial General Liability (CGL)***: Insurance Services Office Form CG 00 01 covering CGL on an "occurrence" basis, including products and completed operations, property damage, bodily injury and personal & advertising injury with limits no less than **$2,000,000** per occurrence. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (ISO CG 25 03 or 25 04) or the general aggregate limit shall be twice the required occurrence limit.

2. ***Automobile Liability***: Insurance Services Office Form Number CA 00 01 covering any auto (Code 1), or if Contractor has no owned autos, covering hired (Code 8) and non-owned autos (Code 9), with limit no less than **$1,000,000** per accident for bodily injury and property damage.

3. ***Workers' Compensation*** as required by the State of California, with Statutory Limits and Employer's Liability Insurance with limit of no less than **$1,000,000** per accident for bodily injury or disease. If Contractor has no employees, Contractor must notify City if an employee is hired, verify proof of coverage for any subcontractors, and agrees to hold City harmless and defend City from claims arising from failure to provide workers' compensation benefits.

4. ***Professional Liability (Errors and Omissions)***: Insurance appropriate to the Contractor's profession, with limit no less than **$2,000,000** per occurrence or claim, **$2,000,000** aggregate. Professional liability coverage shall extend for at least five years after completion of the Contractor's services under this Agreement.

If the Contractor maintains broader coverage and/or higher limits than the minimums shown above, the City requires and shall be entitled to the broader coverage and/or the higher limits maintained by the contractor. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the City.

B. **OTHER INSURANCE PROVISIONS**

The insurance policies are to contain, or be endorsed to contain, the following provisions:

1. ***Additional Insured Status***. The City, its officers, officials, employees, and volunteers are to be covered as additional insureds on the CGL policy with respect to liability arising out of work or operations performed by or on behalf of the Contractor including materials, parts, or equipment furnished in connection with such work or operations. General liability coverage can be provided in the form of an endorsement to the Contractor's insurance (at least as broad as ISO Form CG 20 10 11 85 or both CG 20 10, CG 20 26, CG 20 33, or CG 20 38; and CG 20 37 forms if later revisions used).

2. ***Primary Coverage***. For any claims related to this contract, the Contractor's insurance coverage shall be primary insurance coverage at least as broad as ISO CG 20 01 04 13 as respects the City, its officers, officials, employees, or volunteers. Any insurance or self-insurance maintained by the City, its officers, officials, employees or volunteers shall be excess of the Contractor's insurance and shall not contribute with it.

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3. ***Notice of Cancellation***. Each insurance policy required above shall provide that coverage shall not be canceled, except with 30 days' notice to the City, with ten days' for notice of cancellation due to non-payment.

4. ***Waiver of Subrogation***. Contractor hereby grants to City a waiver of any right to subrogation which any insurer of said Contractor may acquire against the City by virtue of the payment of any loss under such insurance. Contractor agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the City has received a waiver of subrogation endorsement from the insurer.

5. ***Self-Insured Retentions***. Self-insured retentions must be declared to and approved by the City. The City may require the Contractor to purchase coverage with a lower retention or provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or City.

6. ***Acceptability of Insurers***. Insurance is to be placed with insurers authorized to conduct business in the state with a current A.M. Best's rating of no less than A:VII, unless otherwise acceptable to the City.

7. ***Claims Made Policies***. If any of the required policies for professional liability insurance provide claims-made coverage:

i. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.

ii. Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of the contract of work.

iii. If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a Retroactive Date prior to the contract effective date, the Contractor must purchase "extended reporting" coverage for a minimum of five (5) years after completion of contract work.

8. ***Verification of Coverage***. Contractor shall furnish the City with original Certificate of Insurance including all required amendatory endorsements (or copies of the applicable policy language effecting coverage required by this clause) and a copy of the Declarations and Endorsement Page of the CGL policy listing all policy endorsements to the City before work begins. However, failure to obtain the required documents prior to the work beginning shall not waive the Contractor's obligation to provide them. The City reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these specifications, at any time.

9. ***Subcontractors***. Contractor shall require and verify that all subcontractors maintain insurance meeting all the requirements stated herein, and Contractor shall ensure that City is an additional insured on insurance required from subcontractors.

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10. ***Special Risks or Circumstances***. City reserves the right to modify these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

19. GENERAL/MISCELLANEOUS PROVISIONS:

A**. Contract Documents**. This agreement and its exhibits shall be known as the "Contract Documents." Terms set forth in any Contract Document shall be deemed to be incorporated in all Contract Documents as if set forth in full therein. In the event of conflict between terms contained in these Contract Documents, the more specific term shall control. If any portion of the Contract Documents shall be in conflict with any other portion, provisions contained in the Contract shall govern over conflicting provisions contained in the exhibits to the Contract. In the event of any conflict between the terms or conditions of this written agreement and any terms or conditions of any document prepared or provided by Contractor and made a part of this agreement, including without limitation any document relating to the scope of services or payment therefor, the written terms of this document shall control over those terms or conditions.

B. **Non-Discrimination in Employment and Equal Employment Opportunity**. Contractor shall not engage in unlawful employment discrimination. Such unlawful employment discrimination includes, but is not limited to, employment discrimination based upon a person's race, color, ancestry, national origin, religious creed, sex (including pregnancy, childbirth breastfeeding or related medical condition), sexual orientation, gender, gender identity, gender expression and age (over 40), disability (mental and physical), medical condition, marital status, citizenship, and military and veteran status.

C. **Inspection of Records**. Contractor shall maintain and make available for inspection by the City and its auditors accurate records of all of its costs, disbursements and receipts with respect to any work under this Contract. Such inspections may be made during regular office hours at any time until six (6) months after the final payments under this Contract are made to the Contractor.

D. **Entire Agreement**. This Contract constitutes the entire agreement between the parties relative to the services specified herein and supersedes whatever oral or written understanding they may have had prior to the execution of this agreement. No alteration or modification of this agreement shall be valid or effective unless and until such modification is evidenced by a writing signed by both parties to this Contract, by persons authorized to act on behalf of the parties. There are no understandings, agreements, conditions, representations, warranties or promises, with respect to this Contract, except those contained in or referred to in the writing.

E. **Severability**. If any portion of this agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.

F. **Waiver**. Neither City's acceptance of, or payment for, any service performed by Contractor, nor any waiver by either party of any default, breach, or condition precedent, shall be construed as a waiver of any provision of this agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.

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G. **Notice**. All notices that are required to be given by one party to the other under this Contract shall be in writing and shall be deemed to have been given if delivered personally or enclosed in a properly addressed envelope and deposited in a United States Post Office for delivery by registered or certified mail addressed to the parties at the following addresses:

City: City of Rocklin
Parks and Recreation
5460 5th Street
Rocklin, CA 95677

City of Rocklin
Office of the City Attorney
3970 Rocklin Road
Rocklin, CA 95677

Contractor: American Ramp Company
601 S. McKinley Avenue
Joplin, MO 64801

H. **Enforcement of Agreement.** This Contract shall be interpreted, governed and enforced in accordance with the laws of the State of California. Venue of any action arising out of this Contract shall be brought and maintained in Placer County California, regardless of where else venue may lie. The parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such court, and consent to service of process issued by such courts.

I. **Attorney's Fees**. In any action brought by either party to enforce the terms of this Contract, each party shall bear responsibility for its attorney's fees and all costs regardless of whether one party is determined to be the prevailing party.

J. **Power and Authority to Enter into Agreement**. All parties to this Agreement warrant and represent that they have the power and authority to enter into this Agreement in the names, titles, and capacities herein stated and on behalf of any entities, persons, or firms represented or purported to be represented by such entity(ies), person(s), or firm(s) and that all formal requirements necessary or required by any state and/or federal law in order to enter into this Agreement have been fully complied with. Furthermore, by entering into this Agreement, Contractor hereby warrants that it shall not have breached the terms or conditions of any other contract or Agreement to which Contractor is obligated, which breach would have a material effect hereon.

K. **Counterparts**; **Electronic Signatures**. This Agreement may be executed in two or more counterparts, each of which when so executed shall be deemed to be an original and all of which when taken together shall constitute one and the same instrument. The words "execution," "signed," "signature," and words of like import in this Agreement or in any other certificate, agreement or document related to this Agreement, shall include digital electronic signatures (DocuSign). The use of digital electronic signatures and electronic records (including, without limitation, any contract or other record created, generated, sent, communicated, received, or stored by electronic means) shall be of the same legal effect, validity and enforceability as a

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manually executed signature or use of a paper-based record-keeping system to the fullest extent permitted by applicable law.

L. **Exhibits**. All exhibits referred to herein and attached hereto, are by this reference incorporated as if set forth fully herein.

CONTRACTOR

By: DocuSigned by: James Moss 966AA2746693468...
James Moss, CEO/CFO
American Ramp Company

CITY OF ROCKLIN

By: DocuSigned by: Aly Zimmermann 66D99BDD198445B...
Aly Zimmermann, City Manager

ATTEST:

By: DocuSigned by: Hope Ithurburn A99E538144D94EF...
Hope Ithurburn, City Clerk

APPROVED AS TO FORM:

By: DocuSigned by: Sheri Chapman F3B1093A1D74413...
Sheri Chapman, City Attorney

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EXHIBIT A

Contractor Proposal/Scope of Work

Whitney Park Phase II Asphalt Pump Track Design Services Scope of Work:

Contractor will prepare a site design plan and supporting project documentation for an asphalt pump track at Whitney Park. The following minimum components will be included in the site design plan and supporting project documentation:

1. Gather and review information, perform site visits to review and document existing conditions of Whitney Community Park. Meet with City staff to determine needs and receive input and direction.
2. Provide design and engineering services for a well-designed asphalt pump track which will set Rocklin apart from other municipal pump tracks in the region. Design may include, but are not limited to the following:
    a) Progressive asphalt pump track design to serve multiple ages and abilities.
    b) Design that minimizes future maintenance requirements.
3. Develop project alternatives and cost estimates for each. Provide detailed estimates of one-time and ongoing costs to develop, operate, and maintain additional amenities. Include a phased implementation approach for additional amenities considering available funding and other project constraints.
    a) Alternative improvements may include, but are not limited to the following:
        i) Recreation amenities, such as passive green space; landscaping improvements; public artwork; site amenities such as benches, gates, shade structures, water fountains, parking and restrooms; ADA access improvements; lighting, safety and security improvements.
4. Prepare and provide Site Construction Drawings for final project design.

American Ramp Company presented the initial project proposal below, in response to the CITY RFP for the Whitney Park Phase II Asphalt Pump Track Design Services, outlining an approximate schedule for the scope of work, which is hereby incorporated herein, as follows:

TASK 1.0 – CONCEPTUAL DESIGN SERVICES:

1.1 Site Surveys

- Obtain all surveys necessary to perform the scope of work.

1.2 Community Engagement Meeting

- American Ramp Company will host a live meeting with your local riders or project team to formulate ideas for your concept design.
- Review of samples designs with group to identify a preferred theme.
- Summarize meeting findings with group.

1.3 Site Review

- Review location of asphalt pump track for opportunities and constraints.
- Discuss potential locations for entry, spectator viewing and drainage flow.

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1.4 Design Revisions
- Revise conceptual design based on feedback from initial input (2-revisions included).
- Verify the design is responsive to the site and budget.

1.5 Cost Estimate
- Provide cost estimate (lump sum) for construction of approved asphalt pump track design.

Deliverables
- Large poster size print of final design
- Cost Estimate (lump sum)

TASK 2.0 – CONSTRUCTION DOCUMENT PHASE (60% Client Review Submittal)

Objectives:
- To refine the schematic design providing detailed direction as to the materials, location, and dimensioning of the design elements.
- To refine an estimate of probable construction costs and budget.
- Upon review by the Client/City of the schematic design plans, ARC shall prepare the design development drawings setting forth, in technical detail, the requirements for construction of the design. Evolutionary adjustments to the design development documents will be incorporated into the work prior to the start of construction documents.

2.1 Project Meeting
- ARC will make available to the Client a copy of the final plan prior to project meeting.
- Evaluate pump track plan for security, access, code compliance and phasing.
- Review plan for innovation, value engineering, and review design schedule.

2.2 Materials Research
- Identify proposed materials and furnishings to be used within the pump track project. Identify all products by manufacturer and approximate cost.

2.3 Prepare Base Information
- Prepare base information for inclusion in all future design documents.
- ARC will coordinate drawings within our scope of work with Project Design Team members as updates become available.

2.4 Site Plan
- Convey major site features relevant to the pump track placement onsite between Phase 1 and Phase 2. New site survey will be provided by ARC.

2.5 Preliminary Pump Track Material Reference Plan
- Identify all major amenities in the pump track Master Plan by keynote description.
- Reference all major details, enlargements, and sections.

2.6 Preliminary Grading and Drainage Plan & Coordination
- Proposed spot grades at necessary points to convey intended elevations and direction of flow by ARC.
- Location and sizing of drainage structures, sizing and location of invert and finish grades of drains by ARC.

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2.7 Sections/Profiles Plan
- Vertical sections at appropriate scale conveying the overall pump track design intent.

2.8 Construction Details
- Provide sufficient construction detailing for the construction of all elements within this project that falls under this scope of work within the project limit of work lines.

2.9 Specifications
- Provide 60% specifications for all elements within this project that falls under this scope of work within the project limit work lines.

2.10 Preliminary Statement of Probable Construction Costs – 60%
- Prepare cost estimate for the pump track within the project's limit of work.

2.11 60% Client Review Submittal
- Submit 60% plan set, specifications, and construction estimate for review by Client/City.
- It shall be the responsibility of the Client/City to review all material and respond to ARC with any comments or questions in a timely manner.

2.12 Project Meeting – DD Review (ARC/CLIENT/CITY) PHONE CONFERENCE
- Client/City review of 60% submittal.

Task 2.0 Deliverables
- 2.1 Preferred Master Plan rendered on 24" x 36" sheet at an appropriate scale and revised sketches of any amenity revisions.
- 2.2 Cut-sheets and/or product samples for submittal to client for review and approval.
- 2.3 Individual coordination items in sketch format for submittal to Project Design Team as necessary to complete the 60%plan set.
- 2.4+ (1) 24"x36" 60% plan set – Electronic Version (.pdf and/or .dwg)
- Set 60% Specifications – Electronic Version (.pdf and/or .doc)
- Cost Estimate – Electronic Version (.pdf and/or .xlsx)

TASK 3.0 – CONSTRUCTION DOCUMENT PHASE (100% Submittals)

3.1 Project Meeting – CD Overview (ARC/CLIENT) PHONE CONFERENCE
- Review approved design development drawings and master schedule.

3.2 Statement of Probable Construction Cost – 100%
- Develop spreadsheet of all pump track improvement quantities and unit rates for probable construction cost.
- If necessary, ARC will identify acceptable alternatives to align the probable construction cost with the available construction budget. The cost estimate will be submitted with the 60% plan set to allow for any necessary design adjustments prior to 100% plan submittal and acceptance.
- A final cost estimate based on a current market value that falls within budget will be submitted with the 100% final construction documents.

3.3 100% Specifications

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- Refine and revise as necessary technical specifications in CSI (Construction Specification Institute) format for all pump track construction.

3.4 100% Biddable Construction Document Submittal

- ARC will finalize plans to facilitate construction of this pump track project. These final construction documents at a minimum shall include:
- Site Plan
- Materials Reference Plan
- Layout Plan
- Grading and Drainage Plan
- Sections/Profiles Plan
- Construction Details
- Quantities

Task 3.0 Deliverables

- 3.1 Full Bid Ready Plans
- 3.2 Revised statement of probable costs.
- 3.3 Revised specifications.

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EXHIBIT B

Schedule of Performance

American Ramp Company presented the initial project schedule below, outlining an approximate schedule of 16 weeks from project start date to completion.

PUMP TRACK DESIGN SCOPE OF SERVICES

1.0 Conceptual Design Services and Site Surveys	4 weeks
2.0 Construction Documents 60%	6 weeks
3.0 Construction Documents 100% Stamped	6 weeks
TOTAL	**16 WEEKS**

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EXHIBIT C

Schedule of Fees

PROJECT FEES

Fees for the Services detailed in the proposed Scope of Work are outlined below.

PUMP TRACK DESIGN SCOPE OF SERVICES	**FEE AMOUNT**	
1.0 Conceptual Design Services and site surveys	4 weeks	$32,300.00
2.0 Construction Documents 60%	6 weeks	$17,500.00
3.0 Construction Documents 100% Stamped	6 weeks	$17,500.00
TOTAL	**16 WEEKS**	**$67,300.00**

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EXHIBIT D

CERTIFICATE OF COMPLIANCE WITH LABOR CODE § 3700
[Labor Code § 1861]

I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.

CONTRACTORS

By: DocuSigned by: James Moss 980AA2740093408...
[Title] CFO

ROCKLIN
CALIFORNIA

Request for Proposals
Whitney Park Phase II Asphalt Pump Track Design Services

RFP responses to be received until:

Friday, April 29, 2022, by 1:00 p.m.

at

City of Rocklin
Attn: Kevin Huntzinger, Director of Parks & Recreation
Office of the City Manager
3970 Rocklin Road
Rocklin, CA 95677

Or by email at: kevin.huntzinger@rocklin.ca.us

Date Issued: April 11, 2022

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Section 1. Introduction

The City of Rocklin (City) is soliciting proposals from qualified, licensed design firms (Consultant) to provide professional design and engineering services, document existing site conditions, develop project alternatives and cost estimates for each alternative, and prepare Site Construction Drawings for an Asphalt Pump Track within the undeveloped park space at Whitney Community Park located at 1801 Whitney Ranch Parkway, 95765.

The City of Rocklin is located in South Placer County at the intersection of Interstate 80 and State Highway 65, and is characterized by rolling hill terrain with 360-degree panoramic views of the Sierra Nevada Mountains to the northeast and to the Sutter-Butte mountain range in the West. With an estimated population of 70,000 people, Rocklin is one of the fastest growing cities in California, with approximately 65% of its growth since 2000. The people who live and work in the City of Rocklin are the heart of the community and have built a special place for residents and visitors. Rocklin is a preferred location for business and living due to its convenient location, excellent schools, diverse mix of housing, abundant parks and recreational opportunities, and the highest commitment to public safety.

Section 2. Project Description and Background

The idea of an asphalt pump track was prompted by community interest. The Rocklin City Council first took up the pump track idea on May 25 2021, directing staff to research basic feasibility, potential funding sources and locations for the track. Sites considered were areas at or near Margaret Azevedo Park, Johnson-Springview Park, Twin Oaks Park, and Whitney Park. Whitney Park was chosen as the best-suited potential site, given its dedicated development funding source (with funds currently available) and existing infrastructure, including surrounding bike trails and connection to storm drains. Staff were directed to prepare a request for proposals for design services.

The goal of this RFP is to select a well-qualified Consultant to provide future design services for a progressive asphalt pump track that serves multiple ages and abilities. Applicants must provide evidence of thorough knowledge and experience in this area and provide a project history to support this. The Consultant shall provide design and engineering services and construction drawings for a complete and fully functional asphalt pump track to be located on City-owned park land at Whitney Community Park. The City also desires the selected Consultant to develop alternative amenities, and cost estimates for each alternative, that may be included with the project design. The project area will be located within the 18 acres of undeveloped park space at Whitney Community Park. The City desires the project to occupy approximately two acres of the undeveloped section.

Section 3. Scope of Services Expectations

The City's intention is that the selected Consultant would create conceptual designs, preliminary cost estimates, construction schedules, and final design, including the required construction drawings and specifications for the public bid of the project construction.

The intent of the request is to solicit proposals for the professional services of an Asphalt Pump Track Design team authorized to do business in the City of Rocklin and State of California. The RFP seeks proposals to perform desired design services for an Asphalt Pump Track for a 2.0-acre undeveloped section of park space owned by the City of Rocklin (Exhibit A – Vicinity Map).

The Consultant must have experience in asphalt pump track design services or work performed with Public Agencies for projects of similar scope or size (approx. 15,000 sq. ft.). The Consultant should offer a full range of technical services to be performed by key staff and/or consulting partners with experience which includes construction document production and construction administration services for construction design projects.

The following components are expected to be included in the site design plan and supporting project documentation from the selected qualified Consultant at a minimum:

1. Gather and review information, perform site visits to review and document existing conditions of Whitney Community Park. Meet with City staff to determine needs and receive input and direction.
2. Provide design and engineering services for a well-designed asphalt pump track which will set Rocklin apart from other municipal pump tracks in the region. Design may include, but are not limited to the following:
    a) Progressive asphalt pump track design to serve multiple ages and abilities.
    b) Design that minimizes future maintenance requirements.
3. Develop project alternatives and cost estimates for each. Provide detailed estimates of one-time and ongoing costs to develop, operate, and maintain additional amenities. Include a phased implementation approach for additional amenities considering available funding and other project constraints.
    a) Alternative improvements may include, but are not limited to the following:
        i) Recreation amenities, such as passive green space; landscaping improvements; public artwork; site amenities such as benches, gates, shade structures, water fountains, parking and restrooms; ADA access improvements; lighting, safety and security improvements.
4. Prepare and provide Site Construction Drawings for final project design.

Section 4. Proposal Requirements

1. Cover Letter

A one to two-page document outlining the Consultant's interest in the project and vision for helping Rocklin create an asphalt pump track that will contribute to the quality of life or residents and visitors for generations.

2. Company Profile

A brief narrative on the Consultant's work history, management structure and general explanation of expertise in parks and recreation design services, landscape architecture and pump track design.

3. Proposed Staff

The resumes and/or short biographies of key staff members who will be contributing to the project, including their areas of responsibility.

4. Project Approach

Proposals should provide a complete and concise description of the company's ability to meet the requirements of the RFP. This section should include a narrative briefly describing the proposed approach, outlining strategies and tactics that will ensure the objectives will be met in a comprehensive and timely manner. This section could include a workflow diagram with performance milestones and estimated timeframes for completion.

5. Experience

Consultants submitting proposals are expected to supply adequate information that demonstrates their ability to meet the project expectations, as outlined by this document.

a) Provide a brief description of Consultant's experience in completing similar projects within the last five (5) years.
b) Provide a detailed discussion of specialized experience in completing scope of required services listed above.
c) Provide three (3) similar projects which your firm or company has completed within the last five (5) years. Project information should include a brief project description, agency or client name, contact information, the year of project completion, and the project construction cost.

6. References

Proposal must provide at least three references, within the past 10 years, of clients for whom services have been performed that are comparable in quality and scope to that specified within this RFP. The references shall include names, addresses, and contact information for whom the prior work was performed, and include an explanation of the services provided to these clients.

7. Cost Proposal

Include a summary of estimated costs based on the project described above, including staff's hourly rates. The cost proposal should provide sufficient detail that would allow staff to understand cost impacts if modifications are necessary. All costs should be included in the estimated budget, such as travel to meetings, presentations of the draft design to City Council and Commissions, etc. Additional services that the consultant may recommend may be included, but should be priced separately.

The City will evaluate the cost proposal relative to services provided. The cost proposal will be considered towards the end of the RFP process and will have an impact on the decision of the City. Cost will be evaluated only after technical evaluations are complete, and only for those companies deemed likely to be selected, based on technical evaluations and qualification review

Section 5. Submittal Instructions

Submittal packages shall include the following:

- One (1) original and three (3) printed copies of the proposal, and
- One (1) electronic copy of the proposal, in PDF format on flash drive or other electronic media

Proposals will be received not later than 1:00 p.m. on Friday, April 29, 2022 at the office of the City Manager, 3970 Rocklin Road, Rocklin, CA 95677. Proposals shall be delivered in sealed envelopes or container and clearly marked with the RFP title on the outside of the parcel.

Proposals shall be submitted ONLY to:

City of Rocklin
Attention: Kevin Huntzinger, Director of Parks and Recreation
Office of the City Manager
3970 Rocklin Road, Rocklin, CA 95677

The City shall not be responsible for proposals addressed to a different person or delivered to a different location other than that specified herein. Postmarks will not be accepted and proposals received after the deadline date and time will not be accepted or considered. No Exceptions. The City reserves the right to waive minor defects and/or irregularities in proposals, and shall be the sole judge of any such defect or irregularity. The City also reserves the right to reject all proposals.

All costs associated with proposal preparation shall be borne by the proposer.

Questions

All questions and inquiries must be submitted via email to Kevin Huntzinger at kevin.huntzinger@rocklin.ca.us. The deadline to submit questions is Monday, April 18, 2022. One comprehensive response to all submitted questions will be posted online on Friday, April 22, 2022.

Section 6. Proposal Evaluation and Selection

Award of the RFP shall be made to the responsive and responsible proposer(s) whose proposal is determined, through a formal evaluation panel process, to be the most advantageous to the City after the evaluation panel has taken into consideration the evaluation factors set forth in the RFP. Proposals shall be scored according to the criteria stated in this section.

Proposals submitted will be reviewed by a selection committee. Consultants that have submitted the best and most complete proposals may be invited to an interview. The number of Consultants that may be invited to an interview may vary depending upon the number of proposals submitted.

One or more Consultants may be selected. The City reserves the right to make a selection after review of the proposals without oral interviews; therefore, the proposal should be submitted initially on the most favorable terms that the Consultants might propose.

Contracts will be negotiated with the Consultant(s) considered best meeting the City's need for this project. In the event a mutually satisfactory contract cannot be negotiated with one of the City's choices, negotiations may be terminated.

The selected Consultant(s) will be required to execute a City prepared contract as referenced in Section 7, below. The contract may further refine the scope of services and will provide for the terms and conditions of employment.

The award of any contract is expressly contingent upon City approval and the availability of funds.

The City reserves the right to reject any or all proposals, or to waive minor irregularities in said proposals, or to negotiate minor deviations with the successful Consultant(s). In the case of differences between written words and figures in a proposal, the amount stated in written words shall govern. In the case of a difference in unit price versus the extended figure, the unit price shall govern.

The City reserves the right to seek clarification on any or all proposal submittals to ensure the RFP specifications are met. Proposals may be rejected from any proposer who does not comply with the City's request for clarification.

Once a decision has been made to recommend award of the contract to a specific consultant, then a formal notice of the intent to award to the recommended consultant shall be made by the City. If the contract exceeds the City Manager's signing authority and needs to be brought before City Council, the letter of intent to award will be sent prior to final approval by City Council.

A City of Rocklin business license, as well as all applicable permits, licenses and certifications required by local, state or federal law are required before the award of a contract.

Selection Criteria

The following criteria will be used in consideration of proposals:	**Weight (%)**
Quality and completeness of proposal	10%
Company qualifications, demonstrated experience with similar projects, and references	40%
Project approach and understanding. Ability to produce deliverables within specified timelines	25%
Responsiveness and ability to work closely with City staff	10%
Cost proposal	15%

Section 7. Consultant's Responsibilities

Each Consultant submitting a response to this Request for Proposals acknowledges and agrees that the preparation of all materials for submittal to the City and all presentations, related costs and travel expenses are at the candidate's sole expense. In addition, each Firm acknowledges and agrees that all documentation and/or materials submitted in response to this request shall remain the property of the City.

The City also reserves the right to waive any informality in any proposal and to delete certain items listed in the proposal as set forth therein. The selection committee will make a recommendation to the approving authority.

Standard Professional Services Agreement

The selected Consultant must be willing to execute the City's standard Professional Services Agreement. An example is included as Exhibit B. Any exceptions thereto must be identified in the proposal.

Insurance Requirements

Evidence of adequate general liability, professional liability, automotive, and workers' compensation insurance, in accordance with City requirements, must be provided to the City.

Section 8. Schedule

RFP Issued …… **Monday, April 11, 2022**
Submission of Questions Deadline …… **Monday, April 18, 2022**
City Response to Written Questions …… **Friday, April 22, 2022**
Proposal Submission Deadline**:** …… **Friday, April 29, 2022 at 1:00 p.m.**
Proposal review and notification to all applicants: …… **Friday, May 13, 2022**
Interviews (if needed, subject to change): …… **Monday, May 23, 2022**
Notice of Contract Award or Intent to Award: …… **Wednesday**, **June 01, 2022**
(May include approval by Parks, Recreation and Arts Commission and City Council)

Section 9. List of Exhibits

Exhibit A: Project Vicinity Map
Exhibit B: Standard Professional Services Agreement

Exhibit A

Project Vicinity Map

Whitney Community Park, 1801 Whitney Ranch Parkway, 95765.


Proposed
Build Site -
Approx. 2
Acres
18 Acres - Undeveloped Park Space
Wildcat Blvd
Whitney Ranch Pkwy
Whitney Ranch Pkwy

Exhibit B

Standard Professional Services Agreement

CONTRACT FOR SERVICES

THIS CONTRACT is made on _____, 20__, by and between the CITY OF ROCKLIN a municipal corporation ("City"), and

Name of Contractor
Address
Phone/E-mail

"Contractor" who mutually agree as follows:

1. SCOPE OF SERVICES

Subject to the terms and conditions set forth in this agreement, Contractor shall provide to the City the services described in the Scope of Work, Exhibit A. Contractor shall provide the services at the time, place and in the manner specified in Exhibits A and/or B. Contractor shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of the services: (a) Contractor notifies the City and City agrees the services are outside the scope of Exhibit A; (b) Contractor estimates the additional compensation required for these additional services; and (c) City after notice, approves in writing a supplemental agreement specifying the additional services and the amount of compensation therefore. City shall have no obligation whatsoever under this agreement or any supplemental agreement, unless and until the agreement or supplemental agreement is approved by the City Manager, the City Manager's authorized designee, or by the Rocklin City Council, as required by the Rocklin Municipal Code or other local law or policy.

2. COMPENSATION

A. The City shall pay Contractor for the services rendered pursuant to this agreement at the times and in the manner set forth in the Scope of Services, Exhibit A, the Schedule for Performance, Exhibit B, and in accordance with the Schedule of Fees in Exhibit C, but in no event shall the total compensation exceed the **total sum of [].** The payments specified in Exhibits A and/or B shall be the only payments to be made to Contractor for the services rendered pursuant to this Agreement unless pursuant to Section 1, above, City approves additional compensation for additional services.

B. Contractor shall furnish City with invoices for all expenses as well as for all materials authorized by this Contract. The invoices shall be submitted with the **[**final**] [**monthly**] [**other**]** billings. Reimbursable expenses shall be limited to actual expenditures of Contractor for expenses that are necessary for the proper completion of the services and shall only be payable if specifically authorized in advance by City.

C. Contractor shall submit all billings/invoices for services to City in the manner specified in Exhibit B. Contractor's fees shall be as specified in the Scope of Work, Exhibit A or the Schedule of Fees as set forth in Exhibit C. All invoices submitted by Contractor shall contain the following information:

(1) Job/project name or description;
(2) City's current purchase order and/or work order number (if applicable);
(3) Contractor's invoice number;
(4) Date of invoice issuance;

(5) Description of services billed under invoice, including the description of tasks performed and the corresponding rate charged for the completion of that task;
(6) Amount of invoice, itemizing all authorized reimbursable expenses; and
(7) Total billed to date under agreement.

D. City shall make payment to Contractor net 30 days from receipt of an acceptable invoice. If Contractor's performance is not in conformity with the Scope of Work, Exhibit A, or the Schedule of Performance, Exhibit B, or the provisions set forth above, payments may be delayed or denied, unless the Contractor's failure to perform is a documented result of the City's failure to conform with the Schedule of Performance, or if the Schedule of Performance is extended pursuant to Section 4. City shall not be responsible for delays in payment to Contractor resulting from Contractor's failure to comply with the invoice format described above, or as set forth in the Schedule of Performance.

E. During performance of the agreement and for a period of three (3) years after completing all services, Contractor shall maintain all accounting and financial records related to this Agreement, including but not limited to records of Contractor's costs for all services performed under this agreement and records of Contractor's reimbursable expenses, in accordance with generally accepted accounting practices, and shall keep and make the records available for inspection and audit by representatives of the City upon reasonable written notice.

F. Contractor shall pay when and as due, any and all taxes incurred as a result of Contractor's compensation hereunder, including estimated taxes, and shall provide City with proof of payment upon request. Contractor hereby agrees to indemnify City for any claims, losses, costs, fees, liabilities, damages or injuries suffered by City arising out of Contractor's breach of this section.

3. FACILITIES AND EQUIPMENT

Contractor shall at its sole cost and expense, furnish all facilities and equipment that may be required for Contractor to perform services pursuant to this agreement. City shall furnish to Contractor, only the facilities and equipment listed in Exhibit A (if any) according to any terms and conditions set forth in Exhibit A.

4. TERM OF CONTRACT

A. This agreement shall become effective on the date that it is approved by both parties, set forth on the first page of the agreement and shall **[**continue in effect until both parties have fully performed their respective obligations under this agreement**]** **OR** **[**shall terminate on _____, 20XX**]**, unless sooner terminated as provided herein.

B. The services of Contractor are to commence upon **[**execution of this Contract by**]** **OR** **[**receipt of written notice to proceed from**]** the City, and shall be undertaken and completed in accordance with the Schedule of Performance attached hereto and incorporated herein by this reference as **Exhibit B.**

C. The City Manager or his or her designee may, by written instrument signed by the Parties, extend the duration of this Contract for **[**a period of _____**]** **OR** **[**a period equal to the original term of this Contract**]** in the manner provided in Section 7.

5. SUSPENSION/TERMINATION:

A. City shall have the right at any time to temporarily suspend Contractor's performance hereunder, in whole or in part, by giving written notice of suspension to Contractor. If City gives such notice, Contractor shall immediately suspend its activities under this agreement.

B. This Contract may be terminated by either party, provided that the other party is given not less than **[_____]** calendar days' written notice (delivered by certified mail, return receipt requested) of intent to terminate. If the agreement is terminated by the City:

i. Contractor shall immediately cease rendering services pursuant to this agreement;
ii. Contractor shall, not later than five days after such notice of termination, deliver to City copies of all information prepared pursuant to this agreement;
iii. City shall pay Contractor the reasonable value of services rendered by Contractor prior to termination; provided however, City shall not in any manner be liable for lost profits that might have been made by Contractor had the agreement not been terminated or had Contractor completed the services required by this agreement. Contractor shall furnish to City such financial information as in the judgment of the City is necessary for City to determine the reasonable value of the services rendered by Contractor. The foregoing is cumulative and does not affect any right or remedy that city may have in law or equity.

C. Notwithstanding any provisions of this Contract, Contractor shall not be relieved of liability to the City for damages sustained by the City by virtue of any breach of this Contract by Contractor, and the City may withhold any payments due to Contractor until such time as the exact amount of damages, if any, due the City from Contractor is determined.

6. INDEPENDENT CONTRACTOR

Contractor enters into this Contract as an independent contractor and not as an employee or agent of the City. Contractor shall have no power or authority by this Contract to act on behalf of City in any capacity whatsoever as an agent, or to bind the City in any respect or to any obligations whatsoever. Nothing in this Contract shall be construed to be inconsistent with this relationship or status. All employees, agents, contractors or subcontractors hired or retained by the Contractor are employees, agents, contractors or subcontractors of the Contractor and not of the City. The City shall not be obligated in any way to pay any wage claims or other claims made against Contractor by any such employees, agents, contractors or subcontractors, or any other person resulting from performance of this agreement.

7. AMENDMENTS, CHANGES OR MODIFICATIONS

Amendments, changes or modifications in the terms of this Contract may be made at any time by mutual written agreement between the parties hereto and shall be signed by the persons authorized to bind the parties hereto.

8. EXTENSIONS OF TIME

Contractor may, for good cause, request extensions of time to perform the services required hereunder. Such extensions shall be authorized in advance by the City in writing and shall be incorporated in written amendments to this Contract or the attached Work Program in the manner provided in Section 7.

9. PROPERTY OF CITY

It is mutually agreed that all materials prepared by the Contractor under this Contract shall become the property of the City, and the Contractor shall have no property right therein whatsoever. Immediately upon termination, the City shall be entitled to, and the Contractor shall deliver to the City, all data, drawings, specifications, reports, estimates, summaries and other such materials as may have been prepared or accumulated to date by the Contractor in performing this Contract which is not Contractor's privileged information, as defined by law, or Contractor's personnel information, along with all other property belonging exclusively to the City which is in the Contractor's possession.

10. COMPLIANCE WITH ALL LAWS:

Contractor shall keep itself fully informed of, shall observe and comply with, and shall cause any and all persons, firms or corporations employed by it or under its control to observe and comply with, all applicable laws, ordinances, and codes of federal, State and local governments, which in any manner affect those engaged or employed on the work described by this Contract or the materials used or which in any way affect the conduct of the work, and shall commit no trespass on any public or private property in performing any of the work authorized by this Contract.

For all leases and purchases of materials, equipment, supplies or other tangible personal property used to perform the agreement and shipped from outside California, the Contractor and any subcontractors leasing or purchasing such materials, equipment, supplies or other tangible personal property shall obtain a Use Tax Direct Payment Permit or Seller's Permit from the California State Board of Equalization, in accordance with the applicable SBE criteria and requirements. This provision applies in all instances unless prohibited by the funding source for the agreement.

FOR WORK SUBJECT TO PREVAILING WAGES [C. The work contemplated under this Contract is a public work for the purposes of Labor Code section 1720, and is subject to the payment of prevailing wages. Accordingly, Contractor shall comply with the provisions of Exhibit **"___".]**

11. WARRANTIES AND RESPONSIBILITIES - CONTRACTOR

A. Contractor agrees and represents that it is qualified to properly provide the services set forth in **Exhibit "A"** in a manner which is consistent with the generally accepted standards of Contractor's profession.

B. Contractor is duly licensed, qualified and experienced to perform the services set forth in the Scope of Services, Exhibit A. Contractor represents and warrants that Contractor has all licenses, permits, qualifications and approvals of whatsoever nature that are legally required for Contractor to practice its profession or provide any services under this agreement. Contractor represents and warrants that Contractor shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this agreement, any licenses, permits and approvals that are legally required for Contractor to practice its profession or provide such services. If Contractor is an out of state corporation, Contractor further warrants and represents that it possesses a valid certification of qualification to transact business in the State of California issued by the California Secretary of State.

C. Contractor shall perform all services required pursuant to this agreement in the manner and according to the standards currently observed by a competent practitioner of Contractor's profession in California. Contractor shall devote such time and effort to the performance of services pursuant to this agreement as is necessary for the satisfactory and timely performance of Contractor's obligations under this agreement. Neither party shall be considered in default of this agreement to the extent that party's performance is prevented or delayed by any cause that is beyond the reasonable control of that party.

D. All products of whatsoever nature that Contractor delivers to City pursuant to this Agreement shall be prepared in a professional manner and conform to the standards of quality normally observed by a person currently practicing in Contractor's profession, and shall be provided in accordance with any scope of services or schedule of performance specified in Exhibits A or B.

E. Contractor shall assign only competent personnel to perform services pursuant to this agreement. Contractor shall designate a project manager who at all times shall represent the Contractor before the City on all matters relating to this Contract. The project manager shall continue in such capacity unless and until he or she is removed at the request of the City, is no longer employed by Contractor, or is replaced with the written approval of the City, which approval shall not be unreasonably withheld. Contractor shall notify City in writing, of any other changes in Contractor's staff assigned to perform the services required under this agreement, prior to any such performance. In the event the City desires the removal of any person assigned by Contractor to perform services pursuant to this agreement, because the City in its sole discretion, determines that such person is not performing in accordance with the standards required herein, Contractor shall remove such person immediately upon receiving notice from the City of the desire for the removal of such person.

F. Contractor agrees and represents that the work performed under this Contract shall be in accordance with applicable federal, State and local law.

G. Contractor shall provide corrective services without charge to the City for services which fail to meet the above professional and legal standards and which are reported to Contractor in writing within sixty (60) days of discovery. Should Contractor fail or refuse to perform promptly its

obligations, the City may render or undertake performance thereof and the Contractor agrees it has satisfied itself by its own investigation and research regarding the conditions affecting the work to be done and labor and materials needed, and that its decision to execute this agreement is based on such independent investigation and research. Contractor shall be liable for any expenses thereby incurred**.**

12. SUBCONTRACTING

None of the services covered by this Contract shall be subcontracted without the prior written consent of the City, which will not be unreasonably withheld. Contractor shall be as fully responsible to the City for the negligent acts and omissions of its contractors and subcontractors, and of persons either directly or indirectly employed by them, as it is for the negligent acts and omissions of persons directly employed by Contractor.

13. ASSIGNABILITY

Contractor shall not assign or transfer any interest in this Contract whether by assignment or novation, without the prior written consent of the City. The City has a strong interest in the qualifications and capability of the persons and entities that will fulfill the obligations imposed on Contractor under this agreement. However, claims for money due or to become due Contractor from the City under this Contract may be assigned to a financial institution, or to a trustee in bankruptcy, without such approval. Notice of any assignment or transfer whether voluntary or involuntary shall be furnished promptly to the City.

14. INTEREST IN CONTRACT

Contractor covenants that neither it, nor any of its employees, agents, contractors, or subcontractors has any interest, nor shall they acquire any interest, direct or indirect, in the subject of the Contract, nor any other interest which would conflict in any manner or degree with the performance of its services hereunder. Contractor shall make all disclosures required by the City's conflict of interest code in accordance with the category designated by the City, unless the City Manager determines in writing that Contractor's duties are more limited in scope than is warranted by the category designated by the City code and that a narrower disclosure category should apply. Contractor also agrees to make disclosure in compliance with the City conflict of interest code if, at any time after the execution of this Contract, City determines and notifies Contractor in writing that Contractor's duties under this Contract warrant greater disclosure by Contractor than was originally contemplated. Contractor shall make disclosures in the time, place and manner set forth in the conflict of interest code and as directed by the City.

15. MATERIALS CONFIDENTIAL

To the extent permitted by law, all of the materials prepared or assembled by Contractor pursuant to performance of this Contract are confidential and Contractor agrees that they shall not be made available to any individual or organization without the prior written approval of the City, except by court order.

16. LIABILITY OF CONTRACTOR-NEGLIGENCE

Contractor shall be responsible for performing the work under this Contract in a manner which is consistent with the generally-accepted standards of the Contractor's profession and shall

be liable for its own negligence and the negligent acts of its employees, agents, contractors and subcontractors. The City shall have no right of control over the manner in which the work is to be done but only as to its outcome, and shall not be charged with the responsibility of preventing risk to Contractor or its employees, agents, contractors or subcontractors.

17. INDEMNITY AND LITIGATION COSTS

Contractor shall indemnify, defend, and hold harmless the City, its officers, officials, agents, and employees against all claims, damages, demands, liability, costs, losses and expenses, including without limitation court costs and reasonable attorneys' fees, arising from Contractor's negligent acts or negligent failure to act, errors, omissions or willful misconduct incident to the performance of this Contract except such loss or damage caused by the active negligence**,** sole negligence, or willful misconduct of the City. The provisions of this paragraph shall survive termination or suspension of this Contract.

Contractor shall protect, hold free and harmless, defend and indemnify the Agency, its Contractors, and each of their officers, employees and agents, from any and all liability, penalties, costs, losses, damages, expenses, causes of action, claims or judgments, including attorney's fees and expenses of litigation arising out of, pertaining to, or relating to the negligence, recklessness, or willful misconduct of the Contractor, its employees or anyone else employed by the Contractor in the performance of professional design services under this agreement, to the extent of the Contractor's proportionate percentage of fault.

To the extent permitted by Civil Code section 2782.8, for all other claims unrelated to the provision of professional design services, the Contractor shall protect, hold free and harmless, defend and indemnify the Agency, its Contractors, and each of their officers, employees and agents, from any and all liability, penalties, costs, losses, damages, expenses, causes of action, claims or judgments, including attorney's fees and expenses of litigation, which arise out of or are in any way connected with the Contractor's, or its subcontractors' or suppliers', performance under this agreement or failure to comply with any of the obligations contained in the agreement. This indemnity shall imply no reciprocal right of the Contractor in any action on the agreement pursuant to California Civil Code section 1717 or section 1717.5. To the fullest extent legally permissible, this indemnity, defense and hold harmless agreement by the Contractor shall apply to any and all acts or omissions unrelated to the provision of professional design services, whether active or passive, on the part of the Contractor or its agents, employees, representatives, or subcontractor's agents, employees and representatives, resulting in claim or liability, irrespective of whether or not any acts or omissions of the parties to be indemnified hereunder may also have been a contributing factor to the liability, except such loss or damage which was caused by the active negligence, the sole negligence, or the willful misconduct of the Agency.

18. CONTRACTOR TO PROVIDE INSURANCE

Contractor shall procure and maintain for the duration of the contract insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, its agents, representatives, or employees.

A. MINIMUM SCOPE AND LIMIT OF INSURANCE

1. **Commercial General Liability (CGL):** Insurance Services Office Form CG 00 01 covering CGL on an "occurrence" basis, including products and completed operations, property damage, bodily injury and personal & advertising injury with limits no less than **$2,000,000** per occurrence. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (ISO CG 25 03 or 25 04) or the general aggregate limit shall be twice the required occurrence limit.

2. ***Automobile Liability:*** Insurance Services Office Form Number CA 0001 covering, Code 1 (any auto), or if Contractor has no owned autos, Code 8 (hired) and 9 (non-owned), with limit no less than **$1,000,000** per accident for bodily injury and property damage.

3. ***Workers' Compensation*** insurance as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than **$1,000,000** per accident for bodily injury or disease. ***(Not required if Contractor provides written verification it has no employees]***

[FOR PROFESSIONAL SERVICES AGREEMENTS, INCLUDE PARAGRAPH 4 BELOW – OTHERWISE, DELETE IT]

4. ***Professional Liability*** (Errors and Omissions) Insurance appropriate to the Contractor's profession, with limit no less than **$2,000,000** per occurrence or claim, $2,000,000 aggregate. ***[Remove if not applicable]***

If the Contractor maintains broader coverage and/or higher limits than the minimums shown above, the City requires and shall be entitled to the broader coverage and/or the higher limits maintained by the contractor. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the City.

B. Other Insurance Provisions

The insurance policies are to contain, or be endorsed to contain, the following provisions:

1. ***Additional Insured Status.*** The City, its officers, officials, employees, and volunteers are to be covered as additional insureds on the CGL policy with respect to liability arising out of work or operations performed by or on behalf of the Contractor including materials, parts, or equipment furnished in connection with such work or operations. General liability coverage can be provided in the form of an endorsement to the Contractor's insurance (at least as broad as ISO Form CG 20 10 11 85 or both CG 20 10, CG 20 26, CG 20 33, or CG 20 38; and CG 20 37 forms if later revisions used).

2. ***Primary Coverage.*** For any claims related to this contract, the Contractor's insurance coverage shall be primary with coverage at least as broad as ISO CG 20

01 04 13 as respects the City, its officers, officials, employees, or volunteers, and shall be in excess of the Contractor's insurance and shall not contribute to it.

3. ***Notice of Cancellation.*** Each insurance policy required above shall state that coverage shall not be canceled, except with notice to the City.

4. ***Waiver of Subrogation.*** Contractor hereby grants to City a waiver of any right to subrogation which any insurer of said Contractor may acquire against the City by virtue of the payment of any loss under such insurance. Contractor agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the City has received a waiver of subrogation endorsement from the insurer.

5. ***Self-Insured Retentions***. Self-insured retentions must be declared to and approved by the City. The City may require the Contractor to provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or City.

6. ***Acceptability of Insurers.*** Insurance is to be placed with insurers authorized to conduct business in the state with a current A.M. Best's rating of no less than A:VII, unless otherwise acceptable to the City.

7. ***Claims Made Policies.*** If any of the required policies provide coverage on a claims made basis:

i. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.

ii. Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of the contract of work.

iii. If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a Retroactive Date prior to the contract effective date, the Contractor must purchase "extended reporting" coverage for a minimum of five (5) years after completion of contract work.

8. ***Verification of Coverage.*** Contractor shall furnish the City with original Certificate of Insurance including all required amendatory endorsements (or copies of the applicable policy language effecting coverage required by this clause) and a copy of the Declarations and Endorsement Page of the CGL policy listing all policy endorsements to the City before work begins. However, failure to obtain the required documents prior to the work beginning shall not waive the Contractor's obligation to provide them. The City reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these specifications, at any time.

9. ***Subcontractors.*** Contractor shall require and verify that all subcontractors maintain insurance meeting all the requirements stated herein, and Contractor shall ensure that City is an additional insured on insurance required from subcontractors.

10. ***Special Risks or Circumstances.*** City reserves the right to modify these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.

19. GENERAL/MISCELLANEOUS PROVISIONS:

A**. Contract Documents.** This agreement and its exhibits shall be known as the "Contract Documents." Terms set forth in any Contract Document shall be deemed to be incorporated in all Contract Documents as if set forth in full therein. In the event of conflict between terms contained in these Contract Documents, the more specific term shall control. If any portion of the Contract Documents shall be in conflict with any other portion, provisions contained in the Contract shall govern over conflicting provisions contained in the exhibits to the Contract. In the event of any conflict between the terms or conditions of this written agreement and any terms or conditions of any document prepared or provided by Contractor and made a part of this agreement, including without limitation any document relating to the scope of services or payment therefor, the written terms of this document shall control over those terms or conditions.

B. **Non-Discrimination in Employment and Equal Employment Opportunity**. Contractor shall not engage in unlawful employment discrimination. Such unlawful employment discrimination includes, but is not limited to, employment discrimination based upon a person's race, color, ancestry, national origin, religious creed, sex (including pregnancy, childbirth breastfeeding or related medical condition), sexual orientation, gender, gender identity, gender expression, age (over 40), disability (mental and physical), medical condition, marital status, citizenship, and military and veteran status.

C. **Inspection of Records**. Contractor shall maintain and make available for inspection by the City and its auditors accurate records of all of its costs, disbursements and receipts with respect to any work under this Contract. Such inspections may be made during regular office hours at any time until six (6) months after the final payments under this Contract are made to the Contractor.

D. **Entire Agreement**. This Contract constitutes the entire agreement between the parties relative to the services specified herein and supersedes whatever oral or written understanding they may have had prior to the execution of this agreement. No alteration or modification of this agreement shall be valid or effective unless and until such modification is evidenced by a writing signed by both parties to this Contract, by persons authorized to act on behalf of the parties. There are no understandings, agreements, conditions, representations, warranties or promises, with respect to this Contract, except those contained in or referred to in the writing.

E. **Severability**. If any portion of this agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.

F. **Waiver**. Neither City's acceptance of, or payment for, any service performed by Contractor, nor any waiver by either party of any default, breach, or condition precedent, shall be construed as a waiver of any provision of this agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.

G. **Notice**. All notices that are required to be given by one party to the other under this Contract shall be in writing and shall be deemed to have been given if delivered personally or enclosed in a properly addressed envelope and deposited in a United States Post Office for delivery by registered or certified mail addressed to the parties at the following addresses:

City:

Copy to: City Attorney
City of Rocklin
3970 Rocklin Road
Rocklin, CA 95677
Email: LegalNotices@rocklin.ca.us

Contractor:

H. **Enforcement of Agreement.** This Contract shall be interpreted, governed and enforced in accordance with the laws of the State of California. Venue of any action arising out of this Contract shall be brought and maintained in Placer County California, regardless of where else venue may lie. The parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such court, and consent to service of process issued by such courts.

I. **Attorney's Fees**. In any action brought by either party to enforce the terms of this Contract, each party shall bear responsibility for its attorney's fees and all costs regardless of whether one party is determined to be the prevailing party.

J. **Power and Authority to Enter into Agreement**. All parties to this Agreement warrant and represent that they have the power and authority to enter into this Agreement in the names, titles, and capacities herein stated and on behalf of any entities, persons, or firms represented or purported to be represented by such entity(ies), person(s), or firm(s) and that all formal requirements necessary or required by any state and/or federal law in order to enter into this Agreement have been fully complied with. Furthermore, by entering into this Agreement, Contractor hereby warrants that it shall not have breached the terms or conditions of any other contract or Agreement to which Contractor is obligated, which breach would have a material effect hereon.

K. **Exhibits**. All exhibits referred to herein and attached hereto, are by this reference incorporated as if set forth fully herein.

CITY OF ROCKLIN

By: _____
Aly Zimmermann, City Manager

ATTEST:

By: _____
Hope Ithurburn, City Clerk

APPROVED AS TO FORM:

By: _____
Sheri Chapman, City Attorney

CONTRACTOR

By: _____
Title:

By: _____
Title:

EXHIBIT A

Contractor Proposal/Scope of Work

EXHIBIT B

Schedule of Performance

EXHIBIT C

Schedule of Fees

EXHIBIT __

CERTIFICATE OF COMPLIANCE WITH LABOR CODE § 3700
[Labor Code § 1861]

I am aware of the provisions of Section 3700 of the Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.

CONTRACTORS

By: _____
[Title]

EXHIBIT __

LABOR COMPLIANCE

1. PREVAILING WAGE

A. The Work contemplated herein constitutes a public work within the meaning of Labor Code sections 1720 and 1771. It shall be mandatory upon the Contractor and upon any Subcontractor, to pay not less than the said specified prevailing rates of wages to all workers employed by them under the Contract in accordance with Labor Code section 1774. The Director of the Department of Industrial Relations ("DIR") of the State of California has determined the general prevailing rate of wages of per diem wages in the locality in which the work is to be performed for each craft or type of worker needed to execute the Contract. The Contractor acknowledges that it has examined the prevailing rate of per diem wages as established by the DIR. Copies of the current schedules for prevailing wages are on file at City Hall, and the contents of those schedules are incorporated herein as if set forth in full. The Contractor shall post a copy of the applicable prevailing wage determinations at each job site, along with any other work place posters required by law.

B. The City will not recognize any claims for additional compensation because of the payment of prevailing wages. The possibility of wage increases is one of the elements to be considered by the Contractor in determining its proposal, and will not under any circumstances be considered as the basis of a claim against the City.

C. By executing this Contract Contractor warrants that it has registered with the Department of Industrial Relations in accordance with Labor Code section 1725.5.

2. PREVAILING WAGE RECORDS

A. The Contractor and each subcontractor shall keep an accurate payroll record which shows the name, address, social security number, correct work classification (in accordance with the wage decision), both straight and overtime worked each day and week, and hourly rate of pay, gross wages earned, deductions made and net wages paid to each journeyman, apprentice, worker or other employee paid by the Contractor /subcontractor in connection with the Work. These payroll records shall be certified and shall be made available at Contractor's principal office. These records shall be maintained during the course of the Work. The Contractor and all subcontractors shall make the certified payroll records available for inspection by City representatives upon request and shall permit such representatives to interview employees during the work hours on the job site.

B. The City shall notify the Contractor in writing of any discrepancies or violations that are discovered during such inspections. Written notification pursuant to this Section shall include the actions that will be necessary to resolve the discrepancies and/or violations. The Contractor shall be held entirely responsible for the prompt resolution of all non-compliances with the prevailing wage laws, including those pertaining to all subcontractors and any lower-tier subcontractors. The Contractor shall forfeit as penalty to the City the amount specified by law for each calendar day or portion thereof for each worker (whether employed by the Contractor or any subcontractor) paid less than the stipulated prevailing rates for any work done under the Contract in violation of the provisions of the Labor Code and in particular, Section 1775.

C. To the extent applicable, Contractor and subcontractors shall maintain and furnish to the Department of Industrial Relations ("DIR"), a certified copy of each weekly payroll (but no less often than monthly), with a statement of compliance signed under penalty of perjury. Such certified payroll reports shall be transmitted electronically to the DIR.

D. The City will not recognize any claims for additional compensation because of the payment of the prevailing wages. The possibility of wage increases is one of the elements to be considered by the Contractor in entering into the Contract, and will not under any circumstances, other than delays caused by the City, or the City's agents, be considered as the basis of a claim against the City.

3. Labor Discrimination

Attention is directed to Section 1735 of the Labor Code, which reads as follows:

> "No discrimination shall be made in the employment of persons upon public works because of their race, color, national origin or ancestry, physical handicap, mental condition, marital status, or sex of such person, except as provided in Section 12940 of the Government Code, and every General Contractor for public works violating this section is subject to all the penalties imposed for a violation of this chapter."

4 Eight-Hour Day Limitation

(a) In accordance with the provisions of the Labor Code, and in particular, Sections 1810 to 1815 thereof, inclusive, incorporated herein by reference, eight hours labor shall constitute a day's work, and no worker in the employ of Contractor, or any Subcontractor, doing or contracting to do any part of the work contemplated by the Contract, shall be required or permitted to work more than eight (8) hours in any one calendar day and forty (40) hours in any one calendar week in violation of those provisions; provided that subject to Labor Code Section 1815, a worker may perform work in excess of either eight (8) hours per day or forty (40) hours during any one week upon compensation for all hours worked in excess of eight (8) hours per day or forty (40) hours during any one week at not less than one and one-half times the basic rate of pay.

(b) The Contractor and each Subcontractor shall also keep an accurate record showing the names and actual hours worked of all workers employed by them in connection with the Contract. This record shall be open at all reasonable hours to the inspection of the City. It is hereby further agreed that, except as provided in (a) above, the Contractor shall forfeit as a penalty to the City the sum of twenty-five dollars ($25) for each worker employed in the performance of the Contract by the Contractor or by any of its Subcontractors for each calendar day during which such worker is required or permitted to labor more than eight (8) hours in and one calendar day and forty (40) hours in any one calendar week in violation of Sections 1810 through 1815.

5. Compliance with State Requirements for Employment of Apprentices

(a) The Contractor's attention is directed to Section 1777.5 of the Labor Code. Provisions of said Section pertaining to employment of registered apprentices are hereby incorporated by reference into this Contract. As applicable, the Contractor or any Subcontractor employed by the Contractor in the performance of this Contract shall take such actions as necessary to comply with the provisions of Section 1777.5

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Commemorative Tree and Bench Donation Appeal

Date: June 27, 2023

Submitted By: Kevin Huntzinger, Director of Parks and Recreation

Department: Parks and Recreation

Recommendation:
Reject the Commemorative Tree and Bench Donation Application Appeals Submitted by Barry Goodwin

Background:
As the Parks and Recreation Department began to receive an increased number of requests for the installation of trees and benches in City parks, it became evident that it would benefit the City to adopt a policy to regulate and manage the requests. On January 26, 2021, the City Council approved the establishment of a "two by two by two" committee, with the task of creating a tree and bench donation program and policy. The committee consisted of two members each from the City Council, Parks Recreation and Arts Commission, and Community Recognition Committee. The committee researched other municipalities in the area with similar programs and used key components to build the framework for the Parks and Recreation Tree and Bench Donation Policy.

On December 14, 2021, the City Council adopted Resolution No. 2021-240, approving the Tree and Bench Donation Policy (Attachment 1). Page 3 of the policy identifies what language can be included on the donation plaque. Since the policy's approval, the Parks, Recreation and Arts Commission has approved several tree and bench donation applications using the policy as a guide for their decisions.

Mr. Barry Goodwin submitted two separate tree and bench donation applications, which were reviewed at the Parks, Recreation and Arts Commission (PRAC) meetings on August 10, 2022 and September 14, 2022.

The application that was reviewed at the August 10, 2022 PRAC meeting was rejected by the Commission because the third line of the plaque listed a date range. The policy requires that the third line of the plaque list the date of donation. The details of Mr. Goodwin's request are below.

Line One: In Memory of
Line Two: Julia Marie Goodwin
Line Three: 1993-2021

The application that was reviewed at the September 14, 2022 PRAC meeting was rejected by the Commission because all three lines of the requested plaque verbiage did not meet the requirements of the policy. The details of Mr. Goodwin's request are below.

Line One: She Defended These Goals
Line Two: With Her Heart and Soul
Line Three: May She "Substitute Out" In Peace

On September 15, 2022, Mr. Goodwin appealed the two decisions (Attachment 2). Since the appeals have been filed, Parks and Recreation staff have been working with the City Clerk's Office and the City Manager's Office to develop a process for the appeals to be considered by City Council.

Staff recommends the City Council reject the appeals submitted by Mr. Barry Goodwin, as they are not consistent with the adopted Tree and Bench Donation policy.

Fiscal Impact:
There is no fiscal impact associated with the staff recommendation.

ATTACHMENTS:
1. Tree and Bench Donation Policy
2. Appeal dated 09.15.2022

Rocklin Parks & Recreation Dept.

ROCKLIN
CALIFORNIA

Commemorative Tree & Bench Program

City of Rocklin



PROGRAM DESCRIPTION

Commemorate individuals or families through this special program by donating a tree or bench in their honor. There have been predetermined locations, tree species, and specific benches that will be available for placement as part of this donation program.









TREES

The available trees for commemoration are as follows:

- Chinese Pistache
- Autumn Blaze Maple
- October Glory Maple
- Crepe Myrtle
- Little Leaf Linden
- Zelkova

BENCHES

Bench locations only available in Rocklin parks & bench design will be determined by the City.

PLAQUE

A 10"x 6" granite plaque can be installed in conjunction with the bench with specific writing selected.

Please note, trees are not able to have a plaque.



Zelkova Tree

PROCESS

If you would like to participate in the City of Rocklin's Commemorative Tree and Bench Donation Program, below is the process.

1. Applicant to review and initial the *City of Rocklin Parks and Recreation Department Tree and Bench Donation Policy.*
2. Complete the *Commemorative Tree and Bench Donation Application* and submit to the Parks and Recreation Department.
3. The Director of Parks and Recreation will provide either a temporary approval or denial of the application.
4. The temporary approval will be submitted to the Parks, Recreation, and Arts Commission (PRAC) during a regular meeting, which is held the 2nd Wednesday of the month.
5. Once approved, an agreement between the City and the Applicant will be created.
6. The Applicant will then sign the agreement and pay the appropriate fees at the Parks and Recreation Office.
7. Parks Staff will then proceed with ordering and installation.



Crepe Myrtle Tree

DONOR RECOGNITION

For tree donations, a specific location may be requested and it is up to the City and the Parks, Recreation, and Arts Commission for the final location. Specific tree species are limited to park locations that match the landscape.

For bench donations, a location may be requested. The City and Parks, Recreation, and Arts Commission shall make the final determination based on various factors such as accessibility, maintenance impacts, safety, etc.

> *"Memorialize those you love with this lasting donation."*

CITY FEES

Benches: For the total cost of the bench, plaque, including labor will be $2,500.

Trees: For the total cost of the tree, including labor, will be $300.

COMMEMORATIVE TREE & BENCH PROGRAM APPLICATION

ROCKLIN CALIFORNIA

CONTACT INFO

APPLICANT NAME:

_____

ADDRESS:

_____

PHONE NUMBER:

_____

EMAIL:

_____

LOCATION REQUEST

Mark your first, second, and third option next to the park location below:

- ☐ Johnson-Springview Park
- ☐ Kathy Lund Park
- ☐ Margaret Azevedo park
- ☐ Twin Oaks Park
- ☐ Whitney Park
- ☐ Bolton Park
- ☐ Boulder Ridge Park
- ☐ Breen Park
- ☐ Brigham & Hawes Park
- ☐ Christine Anderson Park
- ☐ Clarke Dominguez Park
- ☐ Clover Valley Park
- ☐ Corral-Alva Park
- ☐ Gayaldo Park
- ☐ Joe Hernandez Park
- ☐ Mansion Oaks Park
- ☐ Monte Verde Park
- ☐ Monument Park
- ☐ Night Ridge Park
- ☐ Pebble Creek Park
- ☐ Pernu Park
- ☐ Peter Hill Heritage Park
- ☐ Pleasant Valley Creek Park
- ☐ Ruhkala Park
- ☐ Sasaki Park
- ☐ Sierra Meadows Park
- ☐ Sonora Park
- ☐ Sunset East Park
- ☐ SWRA
- ☐ Vista Grande Park
- ☐ Wesley Park
- ☐ Wickman Park
- ☐ Willard Park
- ☐ Woodside Park

COMMEMORATIVE OPTION

- ☐ TREE $300 *(Tree species will vary depending on location.)*
- ☐ BENCH $2,500

PLAQUE INFO (BENCH ONLY)

LINE ONE
(Select One)

- ☐ Dedicated to
- ☐ Donated by
- ☐ In Memory of
- ☐ In Celebration of
- ☐ In Honor of
- ☐ In Appreciation of

LINE TWO – HONOREE'S NAME
(20 Characters)

___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___

LINE THREE *(Application Date Only)*

_____ _____ _____
(Month) *(Date)* *(Year)*

☐ *Check box if you want to opt out of including a date on the plaque*

SIGNATURE

I have reviewed and acknowledge the Parks and Recreation Department Tree and Bench Donation Policy and agree to the terms. I acknowledge that the information on this application is correct and all spelling is accurate.

_____ _____ _____
Signature *Print Name* *Date*

OFFICE ONLY

Date Received: _____

Director of Parks & Recreation

- ☐ Temporary Approval
- ☐ Temporary Denial

Parks, Recreation, and Arts Commission
Meeting Date: _____

Notified of Meeting Date:
_____

Request & Receive Map Location: _____

PRAC Outcome:

- ☐ Final Approval
- ☐ Final Denial

Notified of Outcome:
_____

Applicant Appeal

- ☐ *Yes (See section IV Appeal for process)*
- ☐ *No*

Applicant Appeal

Agreement Signed:
(15 days from approval of PRAC meeting)
_____

Payment Received:
_____

Map Location Signed:
_____

Orders:

Bench:
_____

Tree:
_____

Plaque:
_____

Notified of Proof of Plaque:
_____

Estimated Date of Completion:
_____

Notified applicant of completion:
_____

Parks and Recreation Department
Tree and Bench Donation Policy

The City Council recognizes the need to promote community involvement and active participation in quality of life components throughout the community, and the need to establish a fair, equitable, and uniform procedure by which trees and benches may be donated to the City for installation in City parks. This policy establishes criteria for donations to assure area compatibility, attractiveness, usefulness, and sustainability of maintenance. Each donation considered for inclusion in the City's parks system will be subject to the limitations and guidelines for each particular area as established by the City's Parks and Recreation Department.

I) Acceptance of Donations

1) All donation applications for the donation of trees and park benches to the City must be made on the form maintained by the Department of Parks and Recreation.

2) Based on the value of the donation and availability of appropriate property to install the donation, City staff will review the acceptability of any donation and determine if the benefits to be derived warrant acceptance of the donation. At a minimum, any monetary donation for a tree or bench shall cover the entire cost of purchasing and installing the item, at current market value based on the time of approval of the donation, as well as any other maintenance costs required below.

3) Criteria for evaluation includes consideration of any initial expenditure required in order to accept the donation, the community benefit to be derived from the donation, and, with respect to benches, the potential and extent of the City's obligation to maintain a donated bench for a minimum of 10 years. Unless otherwise specified in this policy, after 10 years, or at the end of the donated item's useful life, as determined by the City, the donated item may be removed or replaced by the City.

4) The cost of a park or bench donation shall also include a maintenance fee equal to 50% of the estimated 10-year maintenance cost of the donated tree or bench (including the plaque), paid for in full by the donor at the time of the donation. This maintenance fee is in addition the cost and installation of the donated item.

5) If, during the application phase, the Director of Parks and Recreation determines that there are no suitable or available locations for the donation, or if the donor only wants to make a donation for installation at an unavailable location, the Director of Parks and Recreation shall reject the donation application.

6) If a suitable general location for the donation exists, the donor is amenable for the item to be installed at that general location, and donor is willing to fund the donation amount required to install and maintain the donation, as required by this policy, the Director of Parks and Recreation shall submit the donation application to the Parks, Recreation and Arts Commission ("PRAC") for review and approval. Pursuant to Rocklin Municipal Code Section 2.44.110, the PRAC is hereby responsible for reviewing and approving all tree and bench donations to the City, including the wording of any plaque to be attached to a donated bench, within the standards outlined in this policy. Any wording of a plaque approved by the PRAC shall be considered government speech and an action reflective of the view of the City Council.

7) Within fifteen (15) calendar days of the approval of the donation by the PRAC, the donor shall enter into a donation agreement with the City, subject to the review and approval as to form by the City Attorney. The Director of Parks and Recreation is hereby authorized to execute the donation agreement and to accept the donation on behalf of the City.

INITALS _____

8) All donations accepted by the City shall become City property and shall be open and available for general public use in a manner consistent with the City of Rocklin Parks and Recreation rules, policies, and practices. At no point should the acceptance of a donation to the City be construed as the creation of a public forum.

II) Types of Donations

1) Trees

A) Donations for trees may be used to install a tree or trees at a City park(s) recommended by the Director of Parks and Recreation and approved by the PRAC.

B) Tree donations are limited to specific species that match the landscape in park locations. The type of tree to be installed shall be at the sole discretion of the City, as recommended by the Director of Parks and Recreation and approved by the PRAC.

C) Donors may request a location for the donated tree, but the City shall make the final determination based on various factors including, but not limited to, landscaping, irrigation necessity, safety, viability of the tree, or other determinative factors. The PRAC shall approve the general location for the installation of the donated tree, with the Director of Parks and Recreation approving the specific location within the approved general location based on the above factors.

D) Tree donations shall not be memorialized with a plaque or other fixture.

E) The City shall not assume responsibility for replacement of a donated tree for any reason at any time.

F) The City shall not guarantee the health, vitality, or existence of the tree for any amount of time.

G) The City shall reserve the right to remove or relocate a donated tree if the location of the donated tree is in conflict with any future park improvement project(s) at the location of the donated tree or if the donated tree is creating a potential or current health or safety hazard. The decision to remove a tree for the aforementioned reasons shall be at the sole discretion of the Director of Parks and Recreation.

2) Benches

A) Donations for a bench shall be used to install a bench at one of the City's various parks as recommended by the Director of Parks and Recreation and approved by the PRAC.

B) The PRAC, with the assistance of the Parks and Recreation Department shall designate the type, style, design, and placement of benches to be donated to the City and placed on City property.

C) An inventory of all available bench locations will be maintained by the City.

D) Donors may request a location for the donated bench, but the City shall make the final determination based on various factors including, but not limited to, accessibility, maintenance impacts, safety, or other determinative factors. The PRAC shall approve the general location for the installation of the donated bench, with the Director of Parks and Recreation approving the specific location within the approved general location based on the above factors.

E) If requested and approved by the PRAC, the donated bench may be installed with a ten (10) inch by six (6) inch size granite plaque limited to the following standard content:

(i) Line One of the plaque shall only include one of the six below phrases in one-fourth (1/4) inch characters of a standard font:
(a) Dedicated to
(b) Donated by
(c) In Memory of
(d) In Celebration of
(e) In Honor of
(f) In Appreciation of

(ii) Line Two of the plaque shall only include the name of the individual being honored in three-eighths (3/8) inch characters of a standard font. Line Two shall have a maximum of twenty (20) characters in standard font. Spelling shall be the sole responsibility of the donor. The donor shall be financially responsible for any plaque re-engraving due to spelling errors made by the donor.

(iii) Line Three of the plaque shall list the date the donation was made in one-fourth (1/4) inch characters of a standard font in the following format:

[Month to be spelt out] [Numerical date], [Numerical year]

(iv) The standard font shall be recommended by the Director of Parks and Recreation and require the approval of the PRAC.

F) The PRAC shall have the sole discretion for approval or denial of the content of the plaque. The PRAC shall deny any plaque language it determines would be inappropriate for public display and/or that would attract vandalism. All content on approved plaques are expressive actions reflecting the views of the City Council and in no circumstance shall a plaque constitute a public forum.

(i) If the PRAC denies the donor's proposed language, but approves alternative language, the donor may accept the alternative language and move forward with the donation without having to reapply for approval from the PRAC.

G) Donated benches and plaques are guaranteed for a minimum of ten (10) years following installation or "useful life", as determined by the City, whichever comes first.

H) If a donated bench or plaque is damaged or stolen within the period set forth in Section II(2)(G) of this policy, the City shall repair or replace the item one time at no additional cost to the donor. Additional replacements of the bench or plaque within the period set forth in Section II(2)(G) shall require another monetary donation by the donor in the amount set forth by the Director of Parks and Recreation at the time of installation of the subsequent bench or plaque. Installation of an additional bench shall not extend the original period set forth in in Section II(2)(G). If the donor does not furnish the funds to replace the damaged bench within five (5) calendar days of the City providing notice of such damage requiring repair, the bench and/or plaque shall be removed. The City reserves the right to remove, without replacing, any bench or plaque that has been damaged or is beyond repair, as determined by the City, at any time and without prior notice to the donor.

INITALS _____

III) Right to Decline

1) The City of Rocklin reserves the right to decline any donation if, upon review, acceptance of the donation is determined to not be in the best interest of the City.

IV) Appeal

1) Any decision of the Director of Parks and Recreation with respect to the rejection of a donation or placement location of a donated tree or bench may be appealed to the PRAC for final determination. All requests for appeals must be made in writing within ten (10) calendar days of the Director of Parks and Recreation's action and must be accompanied with the required appeal fee, as established by a resolution of the City Council.

2) Any decision of the PRAC with respect to the approval or rejection of a donation may be appealed to the City Council for final determination. All requests for appeals must be made in writing within ten (10) calendar days of the PRAC's action and must be accompanied with the required appeal fee, as established by a resolution of the City Council.

3) All requests for appeals shall be submitted to the City Clerk's Office.

V) Timeliness

1) Donations will be processed in the order received.

2) Complete funding for the donation must be processed before the purchasing of any donated item or commencement of any work to install the item. If the donor has not provided the complete funding amount required by the City for purchasing and installation of the donated item within fifteen (15) calendar days of approval of the donation by the PRAC, the donor loses any rights conferred with respect to the donation and the City shall not accept the donation.

3) City shall be responsible for tree and bench installation.

4) All donations are limited to a period of 10 years or until the end of the useful life of the item, as determined by the City. After 10 years, or the end of the useful life of the item, whichever comes first, reasonable effort will be made to contact the original donor (City will attempt to make contact for no more than 30 calendar days if donor is unable to be reached) for a right of first refusal to keep the donation in their name. If denied, or the donor is unable to be reached, the location may become available for a new donation.

The undersigned has read and understands the conditions contained herein and made a part of the Parks and Recreation Department Tree and Bench Donation Policy, and agrees to all of the aforementioned rules, regulations, and conditions for the Parks and Recreation Department Tree and Bench Donation Policy. By signing, you also agree to pay all applicable charges.

X_____ _____
Signature Date

Name: _____

Kevin Huntzinger

Subject: FW: Commemorative Tree & Bench Application

From: Goodwin, Barry L (IT Business Consultant)
Sent: Thursday, September 15, 2022 10:00 AM
To: Kevin Huntzinger <Kevin.Huntzinger@rocklin.ca.us>
Cc: Monica Nitz <Monica.Nitz@rocklin.ca.us>; Christine.Anderson@rocklin.ca.us; Matthew Duarte <Matthew.Duarte@rocklin.ca.us>; Susanne Stockton <Susanne.Stockton@rocklin.ca.us>; Nancy.Hartwell@rocklin.us.ca; Alison.Winter@rocklin.us.ca; Goodwin, Barry L (IT Business Consultant)
Subject: RE: Commemorative Tree & Bench Application

Kevin,

As I expressed in the Parks, Recreation, and Arts Commission meeting yesterday; I would like to officially appeal the commission's decision to support your suggestion to reject my application for a third line on the primary plaque, and a second plaque altogether, at the base of Julia Marie Goodwin's bench.

Please let me know what additional steps I need to take in order to appeal this ruling.

Respectfully,

Barry Goodwin

ROCKLIN
CALIFORNIA

City Council Staff Report

Subject: Public Financing Authority of the City of Rocklin Adopting a Budget for Fiscal Year 2023/24

Date: June 27, 2023

Submitted By: Daniel Choe, Deputy Director of Administrative Services
Megan Bressem, Management Analyst
Angela Doyle, Senior Accountant

Department: Administrative Services - Finance

Recommendation:
Adopt a Resolution of the Public Financing Authority of the City of Rocklin Adopting a Budget for Fiscal Year 2023/24.

Background:
On December 13, 1994, the City of Rocklin (City) and the former Rocklin Redevelopment Agency formed a joint powers authority, the Rocklin Public Finance Authority (RPFA). In 1999, the RPFA issued revenue bonds in the amount of $14,910,000. The proceeds from the revenue bonds were used to purchase special tax bonds issued by the City for and on behalf of three Community Facilities Districts (CFD No. 6, CFD No. 8 and CFD No. 9). In 2003, the RPFA issued revenue bonds to refund the 1999 RPFA revenue bonds.

In 2017, the RPFA entered into a special tax revenue refunding loan agreement in the amount of $5,000,077 to refund the remaining 2003 RPFA refunding revenue bonds. The RPFA holds the debt of CFD No. 6, CFD No. 8 and CFD No. 9 as an investment. The investment balance is currently $1,526,806. The loan will be paid off in Fiscal Year 2025/26.

The budget for the RPFA is prepared and presented to the RPFA Board (Board) on an annual basis. The Board's approval of the RPFA budget provides staff with the authority to pay the RPFA's expenses. The annual debt service payments on the 2017 RPFA special tax revenue refunding loan agreement are the only expenditures of the RPFA. Debt service payments received from CFD No. 6, CFD No. 8 and CFD No. 9 are the source of funds used for the 2017 RPFA special tax revenue refunding loan agreement debt service payments. Interest earned from the CFD No. 6, CFD No. 8 and CFD No. 9 investment is the primary source of revenue for the RPFA.

ATTACHMENTS:
1. Resolution - RPFA Budget

RESOLUTION NO. 2023- PFA

RESOLUTION OF THE PUBLIC FINANCING AUTHORITY OF THE CITY OF ROCKLIN ADOPTING A BUDGET FOR FISCAL YEAR 2023/24

The Rocklin Public Financing Authority does resolve as follows:

Section 1. The following budget is hereby adopted for fiscal year 2023/24 for the Rocklin Public Financing Authority.

		FY 2023/24 Budget
Debt Service Fund:		
Revenues		$ 28,200
Expenditures		
Principal Payment	($497,700)	
Interest Payment	($ 28,200)	
Total Expenditures:		($ 525,900)
Results of Operations:		($ 497,700)
Estimated Reserved Fund Balance 7/1/23:		$ 1,602,000
Estimated Reserved Fund Balance 6/30/24:		$ 1,104,300

PASSED AND ADOPTED this 27th day of June, 2023, by the following vote:

AYES: Members:
NOES: Members:
ABSENT: Members:
ABSTAIN: Members:

_____
Ken Broadway, Chair

ATTEST:

_____
Hope Ithurburn, Secretary